

# 1 Preliminaries

Let  $\mathbf{C}$  be a set of concept names and  $\mathbf{R}$  a set of role names such that they are disjoint.

**Definition 1** (*QFBAPA*). Let  $T$  be a set of symbols

- set terms over  $T$  are:
  - empty set  $\emptyset$  and universal set  $\mathcal{U}$
  - every set symbol in  $T$
  - if  $s, t$  are set terms then also  $s \cap t$ ,  $s \cup t$  and  $s^\neg$
- set constraints over  $T$  are
  - $s \subseteq t$  and  $s \not\subseteq t$
  - $s = t$  and  $s \neq t$
 where  $s, t$  are set terms
- cardinality terms over  $T$  are:
  - every number  $n \in \mathbb{N}$
  - $|s|$  if  $s$  is a set term
  - if  $k, l$  are cardinality terms then also  $k + l$  and  $n \cdot k$ ,  $n \in \mathbb{N}$
- cardinality constraints over  $T$  are:
  - $k = l$  and  $k \neq l$
  - $k < l$  and  $k \geq l$
  - $k \leq l$  and  $k > l$
  - $n \text{ dvd } k$  and  $n \neg \text{dvd } k$

where  $k, l$  are cardinality terms and  $n \in \mathbb{N}$

For readability we use  $\lesseqgtr$  to address the comparison symbols  $=, \leq, \geq, <, >$ . The negation  $\not\lesseqgtr$  address the symbols  $\neq, >, <, \geq, \leq$  respectively.

Since  $s \subseteq t$  can be expressed as the cardinality constraint  $|s \cap t^\neg| \leq 0$  we will not consider any set constraints further in this work.

**Definition 2** (*ALCSCC*). *ALCSCC* concepts are defined inductively:

- all concept names
- $\text{succ}(c)$  if  $c$  is a set or cardinality constraint over *ALCSCC* concepts and role names
- if  $C, D$  are concepts then:
  - $\neg C$
  - $C \sqcup D$

$$- C \sqcap D$$

In case we want to express  $x : succ(s = t)$ , with  $s, t$  being set terms, we write instead  $x : succ(|s \cap t^\neg| \leq 0) \sqcap succ(|s^\neg \cap t| \leq 0)$ .

An ABox  $S$  in  $\mathcal{ALCSCC}$  is a finite set of assertions of the form  $x : C$  and  $(x, y) : s$ , where  $C$  is a  $\mathcal{ALSCSS}$  concept,  $s$  a set term and  $x, y$  variables. The set  $Var(S)$  is the set of variables occurring in  $S$ .

**Definition 3** (Interpretation). An *interpretation*  $\mathcal{I} = (\Delta^{\mathcal{I}}, \cdot^{\mathcal{I}}, \pi_{\mathcal{I}})$  over an ABox  $S$  in  $\mathcal{ALCSCC}$  consists of a non-empty set  $\Delta^{\mathcal{I}}$ , an assignment  $\pi_{\mathcal{I}}$  and a mapping  $\cdot^{\mathcal{I}}$  which maps:

- $\emptyset$  to  $\emptyset^{\mathcal{I}}$
- $\mathcal{U}$  to  $\mathcal{U}^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}}$
- each variable  $x \in Var(S)$  to  $x^{\mathcal{I}} \in \Delta^{\mathcal{I}}$
- every concept names  $A \in \mathbf{C}$  to  $A^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}}$
- every role name  $r \in \mathbf{R}$  to  $r^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}} \times \Delta^{\mathcal{I}}$ , such that every element in  $\Delta^{\mathcal{I}}$  has a finite number of successors.

The set  $r^{\mathcal{I}}(x)$  contains all elements  $y$  such that  $(x, y) \in r^{\mathcal{I}}$  e.g. it contains all  $r$ -successors of  $x$ .

For compound concepts the mapping  $\cdot^{\mathcal{I}}$  is extended inductively as follows

- $\top^{\mathcal{I}} = \Delta^{\mathcal{I}}$  and  $\perp^{\mathcal{I}} = \emptyset^{\mathcal{I}}$
- $(C \sqcap D)^{\mathcal{I}} := C^{\mathcal{I}} \cap D^{\mathcal{I}}$ ,  $(C \sqcup D)^{\mathcal{I}} := C^{\mathcal{I}} \cup D^{\mathcal{I}}$
- $(\neg C)^{\mathcal{I}} := \Delta^{\mathcal{I}} \setminus C^{\mathcal{I}}$
- $(s \cap t)^{\mathcal{I}} := s^{\mathcal{I}} \cap t^{\mathcal{I}}$ ,  $(s \cup t)^{\mathcal{I}} := s^{\mathcal{I}} \cup t^{\mathcal{I}}$
- $(s^\neg)^{\mathcal{I}} := \mathcal{U}^{\mathcal{I}} \setminus s^{\mathcal{I}}$
- $|s|^{\mathcal{I}} := |s^{\mathcal{I}}|$
- $(k + l)^{\mathcal{I}} := (k^{\mathcal{I}} + l^{\mathcal{I}})$ ,  $(n \cdot k)^{\mathcal{I}} := n \cdot k^{\mathcal{I}}$
- $succ(c)^{\mathcal{I}} = \{x \in \Delta^{\mathcal{I}} \mid \text{the mapping } \cdot^{\mathcal{I}_x} \text{ satisfies } c\}$

The mapping  $\cdot^{\mathcal{I}_x}$  maps  $\emptyset$  to  $\emptyset^{\mathcal{I}_x}$ ,  $\mathcal{U}$  to  $\mathcal{U}^{\mathcal{I}_x} := \{\bigcup_{r \in \mathbf{R}} r^{\mathcal{I}}(x)\}$ , every concept  $C$  occurring in  $c$  to  $C^{\mathcal{I}_x} := C^{\mathcal{I}} \cap \mathcal{U}^{\mathcal{I}_x}$  and every role name  $r$  occurring in  $c$  to  $r^{\mathcal{I}_x} := r^{\mathcal{I}}(x)$ .

The mappings satisfies for the cardinality terms  $k, l$

- $k \lesseqgtr l$  iff  $k^{\mathcal{I}} \lesseqgtr l^{\mathcal{I}}$
- $n \text{ dvd } l$  iff  $\exists m \in \mathbb{N} : n \cdot m = l^{\mathcal{I}}$

The assignment  $\pi_{\mathcal{I}} : \text{Var}(S) \rightarrow \Delta^{\mathcal{I}}$  satisfies

- $x : C$  iff  $\pi_{\mathcal{I}}(x) \in C^{\mathcal{I}}$
- $(x, y) : s$  iff  $(\pi_{\mathcal{I}}(x), \pi_{\mathcal{I}}(y)) \in s^{\mathcal{I}}$

$\pi_{\mathcal{I}}$  satisfies an ABox  $S$  if  $\pi_{\mathcal{I}}$  satisfies every assertion in  $S$ . If  $\pi_{\mathcal{I}}$  satisfies  $S$  then  $\mathcal{I}$  is a model of  $S$ .

**Definition 4** (Negation Normal Form). A  $\mathcal{ALCSCC}$  concept is in *negation normal form* ( $NNF$ ) if the negation sign  $\neg$  appears only in front of a concept name or above a role name. Let  $C$  be a arbitrary  $\mathcal{ALCSCC}$  concept. With  $NNF(C)$  we denote the concept which is obtained by applying the rules below on  $C$  until none is applicable any more.

- |   |   |
|---|---|
| • $\neg \top \rightarrow \perp$                         | • $\neg(k \lesseqgtr l) \rightarrow k \not\lesseqgtr l$     |
| • $\neg \perp \rightarrow \top$                         | • $\neg(k \not\lesseqgtr l) \rightarrow k \lesseqgtr l$     |
| • $\neg \neg C \rightarrow C$                           | • $\neg(n \text{ dvd } k) \rightarrow n \neg \text{dvd } k$ |
| • $\neg(C \sqcap D) \rightarrow \neg C \sqcup \neg D$   | • $\neg(n \neg \text{dvd } k) \rightarrow n \text{ dvd } k$ |
| • $\neg(C \sqcup D) \rightarrow \neg C \sqcap \neg D$   | • $(s \cap t)^{\neg} \rightarrow s^{\neg} \cup t^{\neg}$    |
| • $C^{\neg} \rightarrow \neg C$                         | • $(s \cup t)^{\neg} \rightarrow s^{\neg} \cap t^{\neg}$    |
| • $\neg \text{succ}(c) \rightarrow \text{succ}(\neg c)$ | • $(s^{\neg})^{\neg} \rightarrow s$                         |

The rule  $C^{\neg} \rightarrow \neg C$  is necessary because  $C^{\neg}$  can be a result of  $s^{\neg}$ , where  $s$  is a set term. It can be transformed into  $\neg C$ : For every interpretation  $\mathcal{I}$  of  $S$  we have  $(C^{\neg})^{\mathcal{I}} = \mathcal{U} \setminus C^{\mathcal{I}}$  and  $(\neg C)^{\mathcal{I}} = \Delta^{\mathcal{I}} \setminus C^{\mathcal{I}}$ . Since  $\mathcal{U} \subseteq \Delta$  we can conclude that every element in  $(C^{\neg})^{\mathcal{I}}$  is also in  $(\neg C)^{\mathcal{I}}$ .

## 2 Tableau

A Tableau-algorithm consist of completion rules to decide satisfiability of a set of assertions. The rules are applied exhaustively on the set until none is applicable any more. One major characteristic of this algorithm is that it does not matter in which order the rules are applied. Another characteristic is that it works non-deterministically: In case we have disjunctions we can choose between the concepts in this disjunctions. If a choice ends in a *clash* then we track back to the point where we had to chose and take the other choice instead. If all choices ends in a clash then the ABox is unsatisfiable, otherwise it is satisfiable.

**Definition 5** (Clash). An ABox  $S$  contains a *clash* if

- $\{x : \perp\} \subseteq S$  or

- $\{x : A, x : \neg A\} \subseteq S$  or
- $\{(x, y) : s, (x, y) : s^\neg\} \subseteq S$  or
- $\{x : succ(c)\} \subseteq S$  and  $c$  is violated regarding  $x$  and no more rules are applicable

**Definition 6** (Induced Interpretation  $\mathcal{I}(S)$ ). An interpretation  $\mathcal{I}(S)$  can be induced from an ABox  $S$  by the following steps:

- for each variable  $x \in Var(S)$  we introduce  $x^{\mathcal{I}(S)}$  and add it to  $\Delta^{\mathcal{I}(S)}$
- for each  $x : C$  such that  $C$  is a concept name we add  $x^{\mathcal{I}(S)}$  to  $C^{\mathcal{I}(S)}$
- for each  $(x, y) : r$  such that  $r$  is a role name we add  $(x^{\mathcal{I}(S)}, y^{\mathcal{I}(S)})$  to  $r^{\mathcal{I}(S)}$

With  $\mathcal{I}(S)$  we can count how many successors a variable has during the Tableau-algorithm.

**Definition 7** (Violated assertion). Let  $S$  be a set of assertion,  $x$  be a variable,  $k$  be a cardinality term and  $n \in \mathbb{N}$ . An assertion is *violated* if

- $x : succ(k \leq n)$  and  $k^{\mathcal{I}(S)_x} \not\leq n$
- $x : succ(k \leq l)$  and  $k^{\mathcal{I}(S)_x} \not\leq l^{\mathcal{I}(S)_x}$
- $x : succ(n \text{ dvd } k)$  and  $mod(k^{\mathcal{I}(S)_x}, n) \neq 0$

where  $n \in \mathbb{N}$ .

Also important for the algorithm is to consider the *signs* of concept names and role names.

**Definition 8** (Positive and Negative Sign). Let  $(x, y) : s$  be an arbitrary assertion with  $x, y \in Var(S)$  and  $s$  being a set term in *NNF*. A concept name  $C$  has a *positive sign* in  $s$  if no negation sign appears immediately in front of  $C$ . It has a *negative sign* otherwise. A role name  $r$  has a *positive sign* if no negation sign appears above it. It has a *negative sign* otherwise.

Concept names in **C** have a positive sign and role names in **R** have a positive sign.

For the Tableau-algorithm we define the properties of the following notations:

- Conjunction binds stronger than disjunction:  $s \cup t \cap u = s \cup (t \cap u)$
- if  $k, l$  are cardinality terms then  $k = l$  replaces  $k \leq l$  and  $k \geq l$

## 2.1 Restrictions

Similar in [1] and [2], where a variable can be replaced by another variable, we can merge two variables during the Tableau-algorithm.

**Definition 9** (Merge). *Merging*  $y_1$  and  $y_2$  results in one variable  $y$ : replace all occurrence of  $y_1$  and  $y_2$  with  $y$ .

Note that by merging two successors of  $x$  into one variable  $y$  other assertions might become violated. In regards of the Tableau-algorithm we distinguish between two types:

- assertions regarding the predecessor  $x$
- assertions regarding the successor  $y$

We want to avoid to violate satisfied assertions regarding the predecessor because this can lead into an endless loop of adding and merging variables. On the other hand we allow satisfied assertions regarding the merged successor  $y$  becoming violated. The reason is that in this case  $y$  has at least one successor itself and hence like with  $x$  we try to fix these violated assertions regarding  $y$  by applying rules while also avoiding violating already satisfied ones. Hence we want to *block* a merging if necessary.

**Definition 10** (Blocking). A satisfied assertion  $x : succ(k \leq l)$  *blocks* two variables  $y_1$  and  $y_2$  from merging if otherwise by merging we have  $k^{\mathcal{I}(S)_x} \not\leq l^{\mathcal{I}(S)_x}$ .

Dealing with  $\mathcal{ALCSCC}$  concept is challenging, especially dealing with cardinality constraints. Therefore we restrict cardinality constraint to a more simple form. One difficulty lays in disjunctions in constraint terms. We want to split them, which are in  $NNF$ , into conjunctions ( $CNNF$ ) such that the conjunctions are disjoint to each other. While splitting we want to keep everything in  $NNF$  because by turning a concept with conjunctions into its  $NNF$  disjunctions may appear.

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### Algorithm 1: $CNNF(c)$

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**Input** : cardinality term  $c$   
**Output**:  $c$  with no disjunctions

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1  $t$  is a cardinality term (can be 0);
2  $s_1, s_2, s_3$  are set terms ( $s_3$  can be  $\top$ );
3 while  $c$  contains disjunctions do
4   | split  $c$  into  $(s_1 \cup s_2) \cap s_3 + t$ ;
5   |  $c := |s_1 \cap s_2 \cap s_3| + |NNF(s_1^-) \cap s_2 \cap s_3| + |s_1 \cap NNF(s_2^-) \cap s_3| + t$ ;
6 end
7 return  $c$ ;
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We can easily see that the splitting creates exponential more new set terms. Therefore we only want to transform a cardinality term  $c := |s_1 \cup s_2|$  into its  $CNNF$  for a certain case: For a assertion  $x : succ(c' \leq d)$ , such that  $c$  is in  $c'$ , we transform  $c$  into its  $CNNF$  if there exists another assertion  $x : succ(c^* \leq e)$  such that  $c \neq c^*$  and for any interpretation  $\mathcal{I}$  we have  $(s_1 \cup s_2)^{\mathcal{I}} \supseteq \bigcup_{s \text{ in } c^*} s^{\mathcal{I}}$ .

We also loose a bit the property of a Tableau-algorithm that rules can be applied in any order: In case we add  $(x, y) : s$  to our ABox and  $s$  is a (still finite) chain of disjunction and conjunction, we want to add the assertions of  $y$  before any other rule application so that all  $n(x, k, S)$ , for which  $|s|$  occurs in  $k$ , also count  $y$ . This is important because we want to know the correct number of successors at any time so we can avoid any violation of assertions.

## 2.2 Algorithm

To maintain readability we write  $k \leq l$  instead of  $l \geq k$  and  $k < l$  instead of  $l > k$ . Therefore  $k \leq l$  can only represent  $k \leq l$ ,  $k = l$  or  $k < l$  from now on.

Like in [1] and [2] we have to be *safe* when introducing new variables otherwise we may end in a endless loop or with a false output.

For an assertion  $x : succ(k \leq l)$  or  $x : succ(k < l)$ , which is violated regarding  $x$ , it is *safe* to introduce a new variable  $y$  and  $S' := S \cup \{(x, y) : l\}$  if

$$n(x, k, S') - n(x, k, S) < n(x, l, S') - n(x, l, S)$$

This says that it is only safe to add a variable if  $l$  increases faster then  $k$ .

**Definition 11** (Tableau). Let  $S$  be a set of assertions in simplified *CNNF*.

1.  $\sqcap$ -rule:  $S$  contains  $x : C_1 \sqcap C_2$  but not both  $x : C_1$  and  $x : C_2$   
 $\rightarrow S := S \cup \{x : C_1, x : C_2\}$
2.  $\sqcup$ -rule:  $S$  contains  $x : C_1 \sqcup C_2$  but neither  $x : C_1$  nor  $x : C_2$   
 $\rightarrow S := S \cup \{x : C_1\}$  or  $S := S \cup \{x : C_2\}$
3. *choose*-rule:  $S$  contains  $x : succ(k < l)$  or  $x : succ(k \leq l)$  and  $(x, y) : k', k'$  occurs in  $k$ , but  $(x, y) : k \notin S$   
 $\rightarrow$  either  $S := S \cup \{(x, y) : k\}$  or  $S := S \cup \{(x, y) : k^-\}$ . Then jump to rule 9
4. *choose-a-role*-rule:  $S$  contains  $(x, y) : s$  but for any  $r \in \mathbf{R}$ :  $(x, y) : r \notin S$   
 $\rightarrow$  choose  $r \in \mathbf{R}$ , such that  $r$  has not a negative sign in any set term  $s$ , for which  $(x, y) : s \in S$ , and  $S := S \cup \{(x, y) : r\}$ . Then jump to rule 9
5. *divide*-rule:  $S$  contains  $x : succ(n \, dvd \, l)$ , which is violated  
 $\rightarrow$  introduce a new variable  $y$ , choose  $|s|$  in  $l$  and  $S := S \cup \{(x, y) : s\}$ . Then jump to rule 9
6.  $\leq$ -rule:  $S$  contains  $x : succ(c)$ , with  $c \in \{k \leq l, k < l\}$ , which is violated, and it is safe to add a new variable  $y$  in  $l$ :  
 $\rightarrow$  choose  $|s|$  in  $l$  and  $S := S \cup \{(x, y) : s\}$ . Then jump to rule 9
7. *merge*-rule:  $S$  contains  $x : succ(c)$ , with  $c \in \{k \leq l, k < l\}$ , which is violated,  $(x, y) : s_1 \in S$  and  $(x, y) : s_2 \in S$ , with  $s_1$  and  $s_2$  in  $k$   
 $\rightarrow$  merge  $y_1$  and  $y_2$

8. *set-rule*:  $S$  contains  $x : succ(|s_1 \cap \dots \cap s_j| \leq 0)$  and  $(x, y) : s_1, \dots, (x : y) : s_i$ ,  $i < j$ , but not  $(x, y) : s_{i+1}, \dots, (x, y) : s_j$   
 $\rightarrow$  choose  $n \in \{i+1, \dots, j\}$ , extend  $S := S \cup \{(x, y) : s_n^-\}$  and then jump to rule 9
9. *set.term-rule* (Repeat until inapplicable): In  $S$  is  $(x, y) : s$  and
  - a)  $s = s_1 \cap s_2$  but  $\{(x, y) : s_1, (x, y) : s_2\} \not\subseteq S$   
 $\rightarrow S := S \cup \{(x, y) : s_1, (x, y) : s_2\}$
  - b)  $s = s_1 \cup s_2$  and neither  $\{(x, y) : s_1\} \subseteq S$  nor  $S \setminus \{(x, y) : s_2\} \subset S$   
 $\rightarrow$  either  $S := S \cup \{(x, y) : s_1\}$  or  $S := S \cup \{(x, y) : s_2\}$
  - c)  $s = C$  and  $y : C \notin S$ , where  $C$  is an  $\mathcal{ALCSCC}$  concepts  
 $\rightarrow S := S \cup \{y : C\}$

Note that:

- $s$  in 6 can also be of the form  $t^-$ .
- if  $n_1 \text{ dvd } n_2 \cdot l$  and  $\text{mod}(n_2, n_1) \neq 0$  then  $n_1 \text{ dvd } l$  eventually  $\rightarrow$  no infinite application of rule 6

**Definition 12** (Derived Set). A *derived set* is an ABox  $S'$  where rule 9 is not applicable.

The first rule decompose the conjunction and the second rule adds non-deterministically the right assertion. The *choose-rule* is important because we need to know of every successor what kind of role successors they are and in which concepts they are. We use  $n(x, k, S)$  to count the successors of  $x$  in  $k$  which is important for detecting and avoiding violations of assertions. Now there might be a successor  $y$  which satisfies only some part of  $k$  in the given  $S$  such that  $n(x, k, S)$  does not count  $y$ :

**Example 1.**

$$S = \{x : succ(|r \cap s| > 1), (x, y) : r\}$$

However there might be an interpretation  $\mathcal{I}'$  where  $y$  is also a  $s$ -successor of  $x$  and hence  $n(x, k, S) \neq n_{\mathcal{I}'}(x, k, S)$ . However the Tableau-algorithm should be able to construct every model of  $S$ , if  $S$  is consistent. Therefore this rule adds non-deterministically either  $(x, y) : s$  or  $(x, y) : s^-$  which are the only two possibilities.

The *choose-a-role-rule* is necessary because for a assertion  $x : succ(c)$  we might have no role name with a positive sign in  $c$ . Which means we know  $x$  must have some successors but we can not decide which role-successor it is. As example we have

**Example 2.**

$$\begin{aligned} \mathbf{R} &= \{r, s\} \\ S &= \{x : succ(|r^-| \geq 1)\} \end{aligned}$$

It states that  $x$  have at least one successor which is not a  $r$ -successor. Since **R** only contains  $r$  and  $s$  we know that the successors must be  $s$ -successors. First we apply rule 6 to actually add a successor. Therefore  $y$  is introduced and  $(x, y) : r^\neg$  is added to  $S$ . Now no more rules are applicable except for rule 4. With that rule we can pick either  $r$  or  $s$ . We can not pick  $r$  because  $r^\neg$  occurs in the assertion. Therefore we have to pick  $s$ . In the *cardinality*<sub>1</sub>-rule we first make a choice whether we take  $k$  or  $l$  from a cardinality constraints  $k \leq l$ , where the chosen cardinality term has at least a term of the form  $|s|$  with  $s$  being a set term. Intuitively it would be better to only want to increase  $l$  but there are cases, where we have to add a successor in  $k$  instead.

**Example 3.**

$$S = \{x : succ(|s \cap r| + |s \cap r^\neg| + |s^\neg \cap r| < |s| + |r|)\}$$

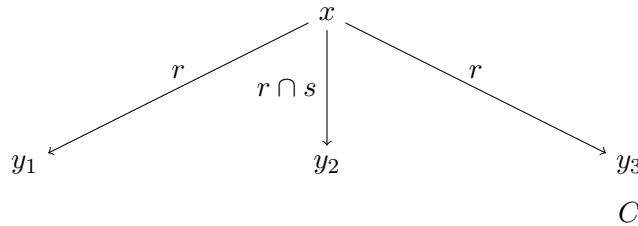
Note that  $|s \cap r| + |s \cap r^\neg| + |s^\neg \cap r| = |s \cup r|$ . No matter how often we add a successor in  $|r|$  or  $|s|$ , the left hand side always increases as fast as the right hand side and therefore this assertion stays violated (hence it is not safe). However if we add a successor in  $|s \cap r|$  the assertion becomes satisfied. Hence for this example we can choose the *cardinality*<sub>1</sub>-rule and choose  $i = |s \cap r| + |s \cap r^\neg| + |s^\neg \cap r|$ . Then the rule pick one  $|s^*|$  from  $i$  and look whether introducing a new successor  $y$  in  $s$  is safe. In our case let  $s^* := s \cap r$ . We know it is save to add this successor because  $|s| + ||r|$  would increases faster. Therefore  $(x, y) : s \cap r$  is added to  $S$ .

We consider now two examples to explain the *cardinality*<sub>2</sub>-rule.

**Example 4.** Consider the following example

$$S = \{x : succ(|r| = 1) \sqcap succ(|r \cap s| = 1) \sqcap succ(|r \cap C| = 1)\}$$

First by the  $\sqcap$ -rule we split the whole assertion into three assertions and add them to  $S$ . By applying the *cardinality*<sub>1</sub>-rule and add a new variable  $y$  we satisfy at least one assertion but still at least one assertion remains violated. If we apply it a second time to satisfy the remaining assertion(s) then  $x : succ(|r| = 1)$ , which was satisfied before, becomes violated. In case we decided to apply the *cardinality*<sub>1</sub>-rule on  $x : succ(|r| = 1)$  and  $x : succ(|r \cap s| = 1)$  we have the option of either applying rule the same rule on  $x : succ(|r \cap C| = 1)$  next or applying the *cardinality*<sub>2</sub>-rule on the two introduced variables next. We decide for the first choice:



In this case we have to merge three variables:  $y_1$ ,  $y_2$  and  $y_3$ . We can apply the *cardinality*<sub>2</sub>-rule here because satisfied assertions regarding  $x$  do not become violated.



We can also see that the order does not matter: In case we decided for the second choice and merge  $y_1$  and  $y_2$  to  $y$  before introducing  $y_3$  we end up with the same results because then we have to merge  $y$  and  $y_3$ .

$$x \xrightarrow[r \sqcap s]{} y$$

$C$

In the example we see a case where  $S$  is unsatisfiable.

**Example 5.**

$$S = \{x : succ(|r| < 2) \sqcap succ(|r| \geq 2)\}$$

First we use the  $\sqcap$ -rule to split the assertion into two assertions. The assertion  $x : succ(|r| < 2)$  is satisfied therefore the algorithm tries to fix  $x : succ(|r| \geq 2)$ . Hence the *cardinality*<sub>1</sub>-rule is applied. after that the first assertions remains satisfied but the second one remains violated. Therefore the algorithm applies the *cardinality*<sub>1</sub>-rule again on  $x : succ(|r| \geq 2)$  which result in  $x : succ(|r| < 2)$  being violated. To fix this the algorithm tries to apply the *cardinality*<sub>2</sub>-rule and tries to merge the two variable. However since  $x : succ(|r| \geq 2)$  would become violated the merging is preempted and the algorithm stops with a clash. Since this sequence of rule applications is the only one possible we can say that all possible sequences leads to a clash which means that  $S$  in this example is unsatisfiable.

The applications of the *set.term*-rules eventually terminates because the number of concept names and role names are finite in  $S$  (since  $S$  is finite).

## References

- [1] B. Hollunder and F. Baader. Qualifying Number Restrictions Concept Languages. Technical report, Research Report RR-91-03, 1991.
- [2] S. Tobies. *Complexity Results and Practical Algorithms for Logics in Knowledge Representation*. PhD thesis, Rheinisch-Westfälischen Technischen Hochschule Aachen, 2001.