



Theory of Stochastic Gravitational Wave Backgrounds

mathematical formalism and potential sources

Arianna Renzini

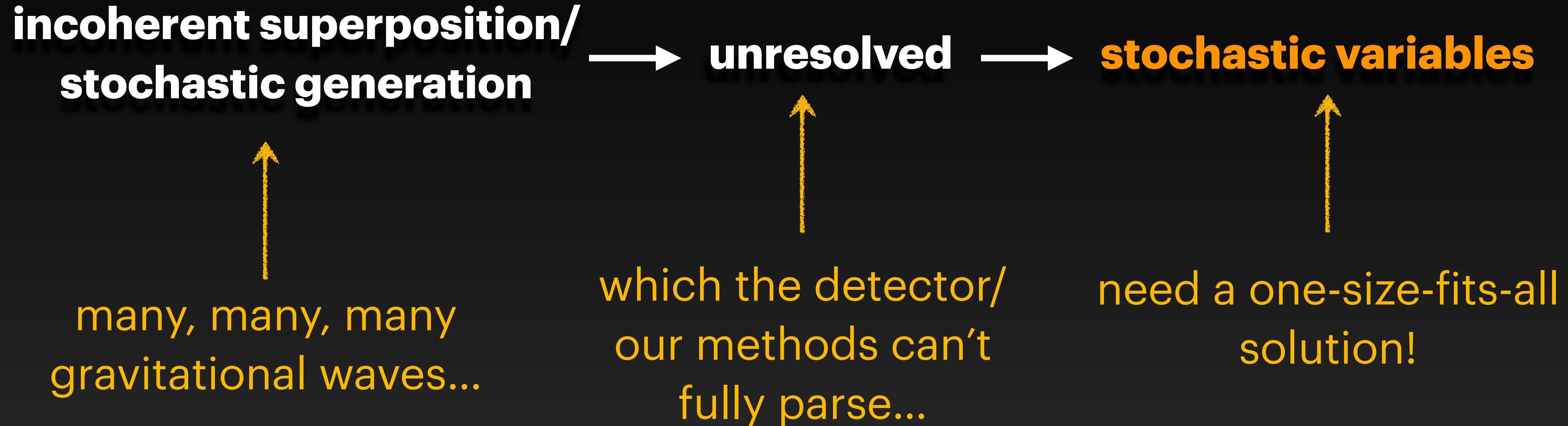
VIPER PTA summer school — Vanderbilt, Nashville TN — 13th July 2022

Outline

- **Common formalism for stochastic backgrounds**
 - ▶ plane wave expansion
 - ▶ Intensity and Stokes' parameters
 - ▶ GW energy density
 - ▶ Energy spectra
- **Overview of GW(B) sources**
 - ▶ GW emitters across the spectrum
 - ▶ key features: merger rate, energy emission, anisotropy
- **Focus: backgrounds from compact binary coalescences**
 - ▶ properties of the CBC background in LIGO/Virgo
 - ▶ observation timeline

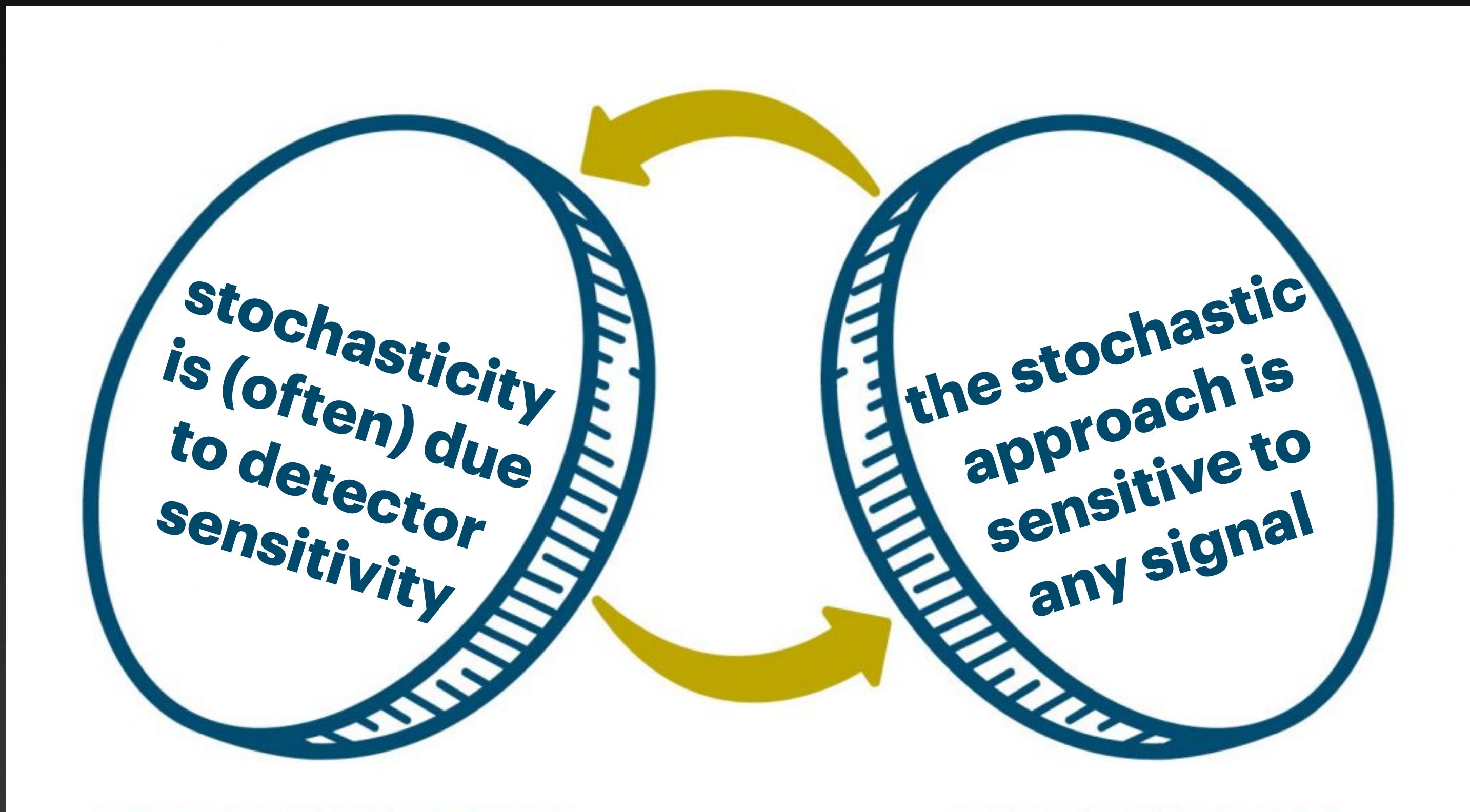
Stochastic GWB formalism
a free-for-all ensemble of plane waves.

A gravitational-wave “background”



A gravitational-wave “background”

incoherent superposition/
stochastic generation → unresolved → **stochastic variables**



A gravitational-wave “background”

**incoherent superposition/
stochastic generation** → **unresolved** → **stochastic variables**

$$1 \text{ gravitational wave: } h_{ij}(t) = \sum_A h_A(t) \epsilon_{ij}^A$$

target for match-filtered searches

$$\text{superposition of many waves: } h_{ij}(t, x) = \int_f df \int_{S^2} d\hat{n} \tilde{h}_A(f, \hat{n}) \epsilon_{ij}^A(\hat{n}) e^{i2\pi f(t - \hat{n} \cdot x)}$$

$$\text{total GW intensity: } I(f) \sim \frac{1}{2} \sum_{\text{waves}} \sum_A |\tilde{h}_A(f)|^2$$

target for stochastic searches

A gravitational-wave “background”

**incoherent superposition/
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target for stochastic searches

Plane wave expansion

$$h_{ij}(t, \vec{x}) = \int_f df \int_{S^2} d\hat{n} \tilde{h}_A(f, \hat{n}) \epsilon^A_{ij}(\hat{n}) e^{i 2\pi f(t - \hat{n} \cdot \vec{x})}$$

time evolution
strain tensor
“measured” at point \vec{x}

integral over all signal frequencies
integral over all sky directions

plane wave coefficients
polarisation tensor
plain wave mode

If $\tilde{h}_A(f, \hat{n})$ are mean-zero Gaussian fields, the signal is fully described by its **second moments**:

$$\langle h_+(f, \hat{n}) h_+^\star(f', \hat{n}') \rangle + \langle h_\times(f, \hat{n}) h_\times^\star(f', \hat{n}') \rangle = \delta^{(2)}(\hat{n}, \hat{n}') \delta(f - f') I(f, \hat{n})$$

ensemble averages
over all realisations of
the field

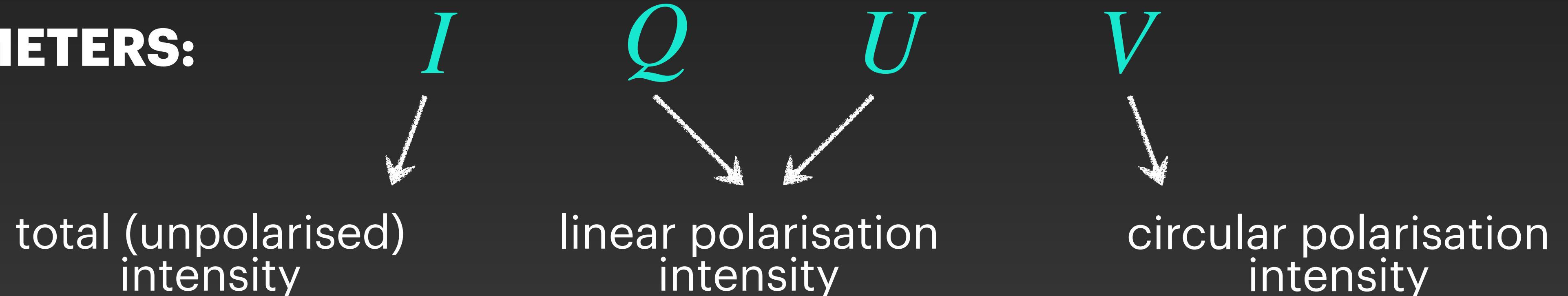
target for stochastic searches: ↗
variance of the field

Stokes' parameters

The full set of second moments may be written as

$$\begin{pmatrix} \langle h_+(f, \hat{n}) h_+^\star(f', \hat{n}') \rangle & \langle h_+(f, \hat{n}) h_\times^\star(f', \hat{n}') \rangle \\ \langle h_\times(f, \hat{n}) h_+^\star(f', \hat{n}') \rangle & \langle h_\times(f, \hat{n}) h_\times^\star(f', \hat{n}') \rangle \end{pmatrix} = \delta^{(2)}(\hat{n}, \hat{n}') \delta(f - f') \times \\ \times \begin{pmatrix} I(f, \hat{n}) + Q(f, \hat{n}) & U(f, \hat{n}) - iV(f, \hat{n}) \\ U(f, \hat{n}) + iV(f, \hat{n}) & I(f, \hat{n}) - Q(f, \hat{n}) \end{pmatrix}$$

STOKES' PARAMETERS:

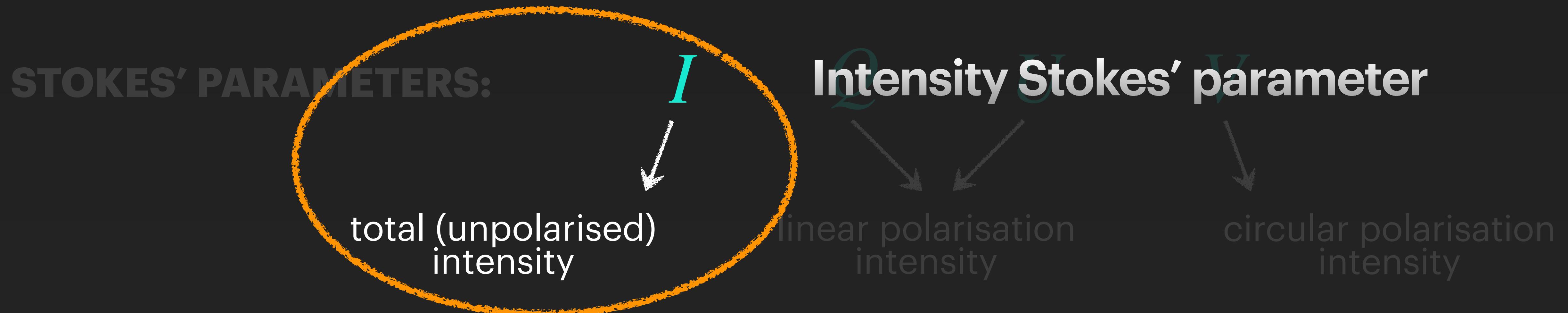


Stokes' parameters

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$$\times \begin{pmatrix} I(f, \hat{n}) + Q(f, \hat{n}) & U(f, \hat{n}) - iV(f, \hat{n}) \\ U(f, \hat{n}) + iV(f, \hat{n}) & I(f, \hat{n}) - Q(f, \hat{n}) \end{pmatrix}$$

Any SGWB is (almost always) expected to be unpolarised,



GW energy density: a splinter in the Universe

Universe energy content

$$H(z) = H_0 \left(\Omega_R (1+z)^4 + \Omega_M (1+z)^3 + \Omega_k (1+z)^2 + \Omega_\Lambda \right)^{1/2}$$



$$\Omega_\gamma + \Omega_\nu + \Omega_{\text{GW}} + \dots$$

GWs act as light degrees of freedom of the early Universe → can be constrained by [CMB measurements '15](#) :

$$h_0^2 \Omega_{\text{GW}} < 1.2 \times 10^{-6}$$

→ may safely be considered a perturbation (for cosmology).

GW energy density: the spectrum

Isaacson '68

GW energy density: $\rho_{\text{GW}} = \frac{1}{32\pi G} \langle \dot{h}_{ij} \dot{h}_{ij} \rangle = \frac{4\pi^2}{G} \int_0^\infty df \int_{S^2} d\hat{n} f^2 I(f)$

fractional GW
energy density
per log-f bin

$$\Omega_{\text{GW}}(f) := \frac{1}{\rho_c} \frac{d\rho_{\text{GW}}}{d \ln f} = \frac{32\pi^3}{3H_0^2} f^3 I(f)$$

Allen & Ottewill '97

COSMOLOGY AND ASTROPHYSICS: GW history of the universe.

number of events in unit comoving volume - redshifted to observed number

from Phinney '01 : $\rho_{\text{GW}} = \int_0^\infty \frac{df}{f} \int_0^\infty dz \frac{N(z)}{1+z} f_s \frac{dE_{\text{GW}}}{df_s}$

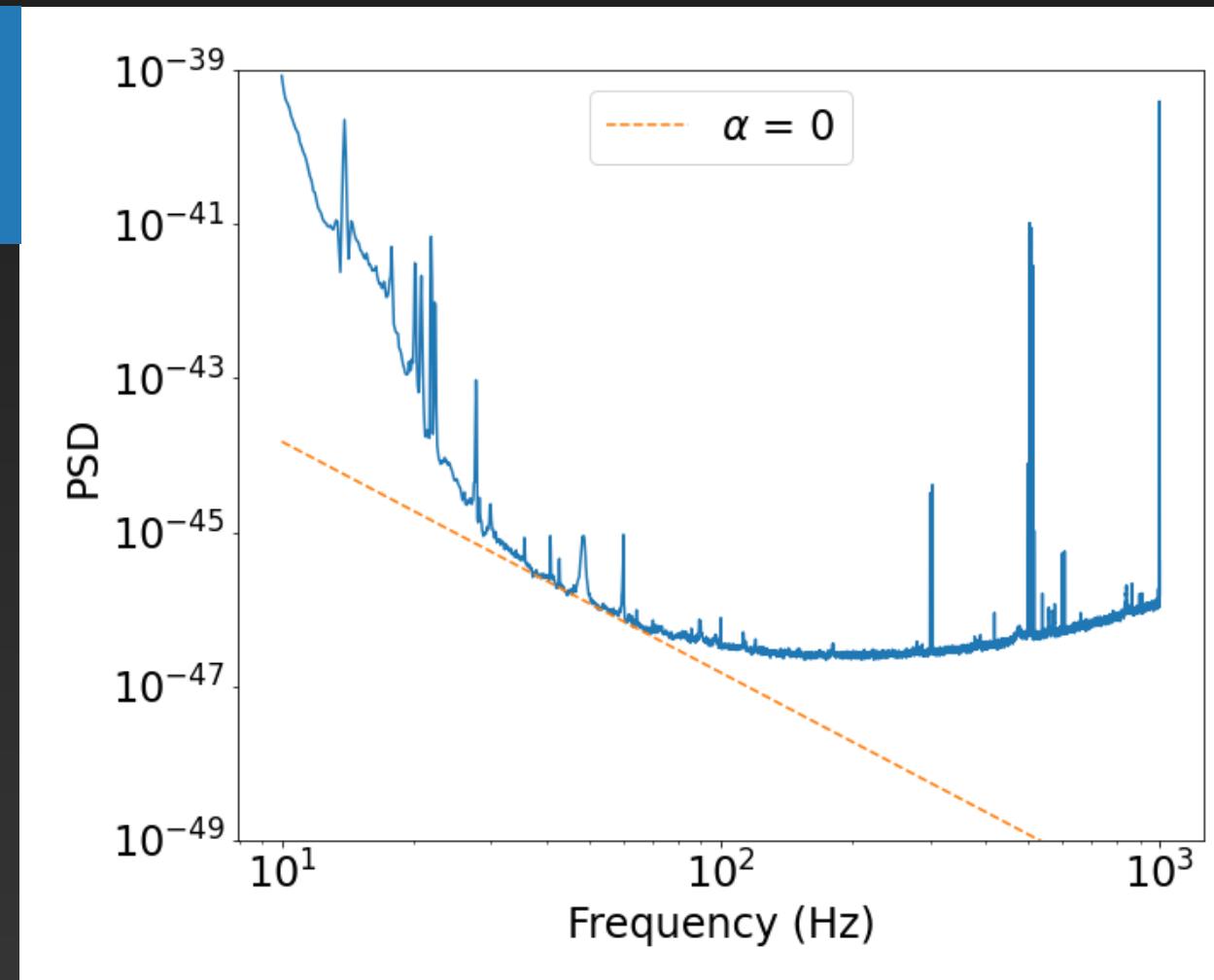
↑
energy radiated per event
per source-frame frequency

Stochastic background spectral shapes

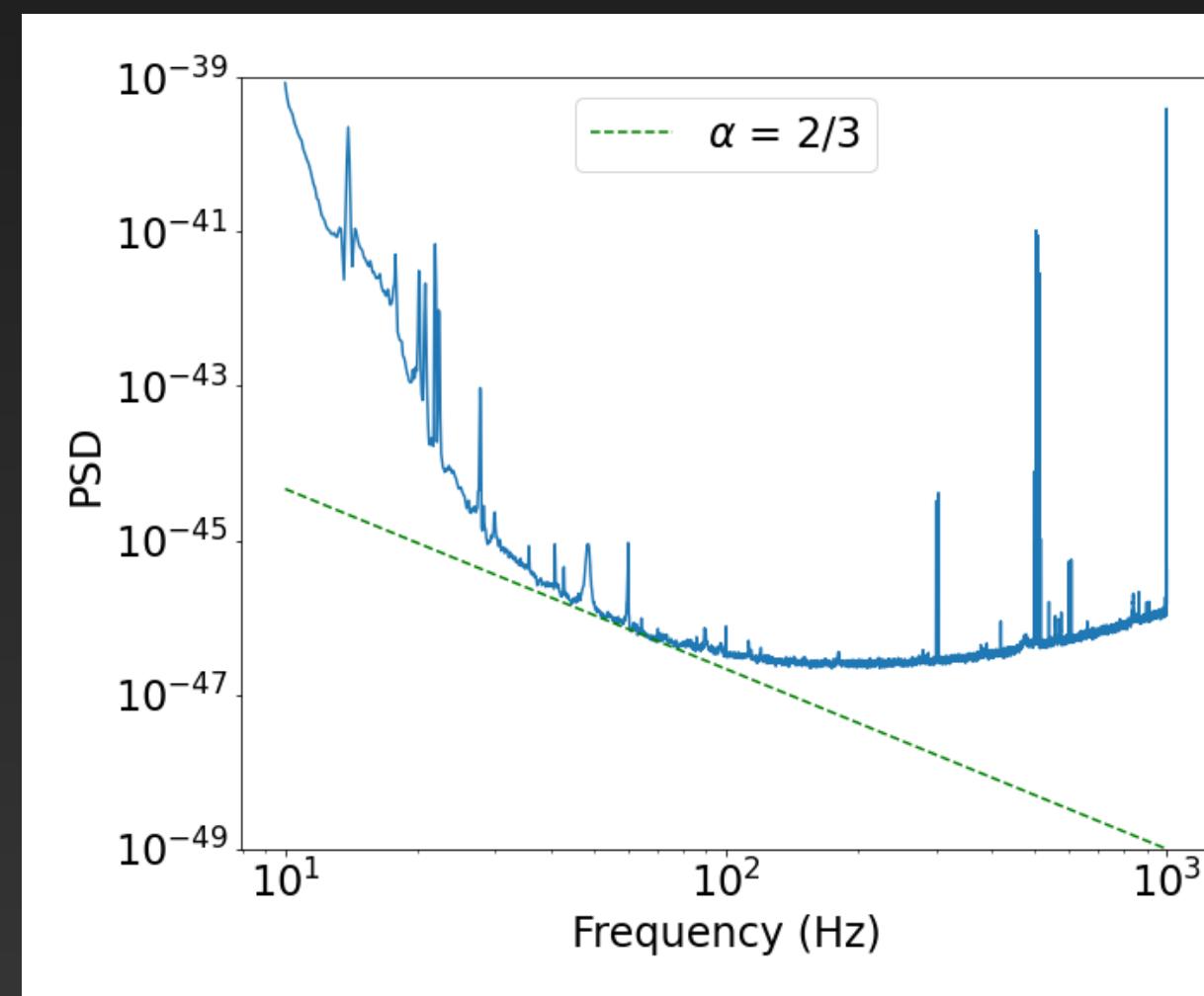
Spectral shape usually assumed a power law:

$$\Omega_{\text{GW}} = \Omega_{\text{GW}}(f_{\text{ref}}) \left(\frac{f}{f_{\text{ref}}} \right)^{\alpha}$$

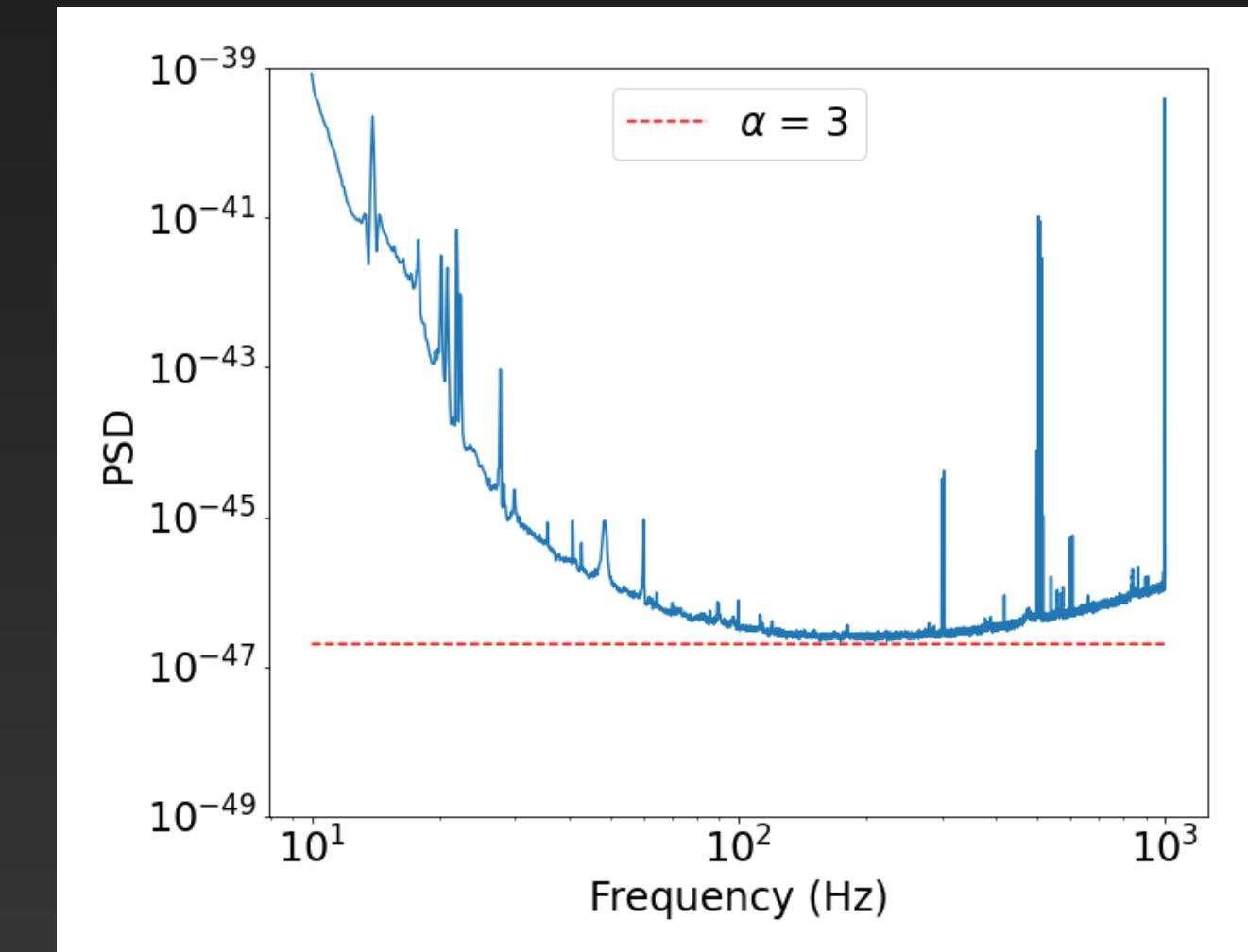
"cosmological"



"inspiral/astro"



"best fit"



Another spectrum: characteristic strain

is the amplitude density over logarithmic frequency interval:

$$h_c(f) = \sqrt{16\pi f I(f)}$$

$$\text{PSD}(f) = 16\pi I(f) \longrightarrow h_c(f) = \sqrt{f} \text{ASD}(f)$$

Total GW energy: $\mathcal{E}_{\text{tot}} = \int df \text{ASD}^2(f) = \int d\log f h_c^2$

see e.g. [Phinney '01](#) and [Mingarelli et al. '19](#).

Different quantities, same physics.

- **Results/cosmology:** $\Omega_{\text{GW}}(f) = \frac{32\pi^3}{3H_0^2} f^3 I(f)$

Contribution to the Universe's energy density

- **Ground-based interferometers:** $I(f) \sim \sum_A \langle h_A(f) h_A^\star(f) \rangle$
Intensity^A

- **Pulsar Timing Arrays:** $h_c(f) = \sqrt{16\pi f I(f)}$

Characteristic strain

Different quantities, same physics.

- **Results/cosmology:** $\Omega_{\text{GW}}(f) = \Omega_{\text{GW}}(f_{\text{ref}}) \left(\frac{f}{f_{\text{ref}}} \right)^{\alpha}$
Power-law index α , reference frequency f_{ref}
- **Ground-based interferometers:** $I(f) = I(f_{\text{ref}}) \left(\frac{f}{f_{\text{ref}}} \right)^{\alpha-3}$
- **Pulsar Timing Arrays:** $h_c(f) = h_c(f_{\text{ref}}) \left(\frac{f}{f_{\text{ref}}} \right)^{\alpha/2-1}$

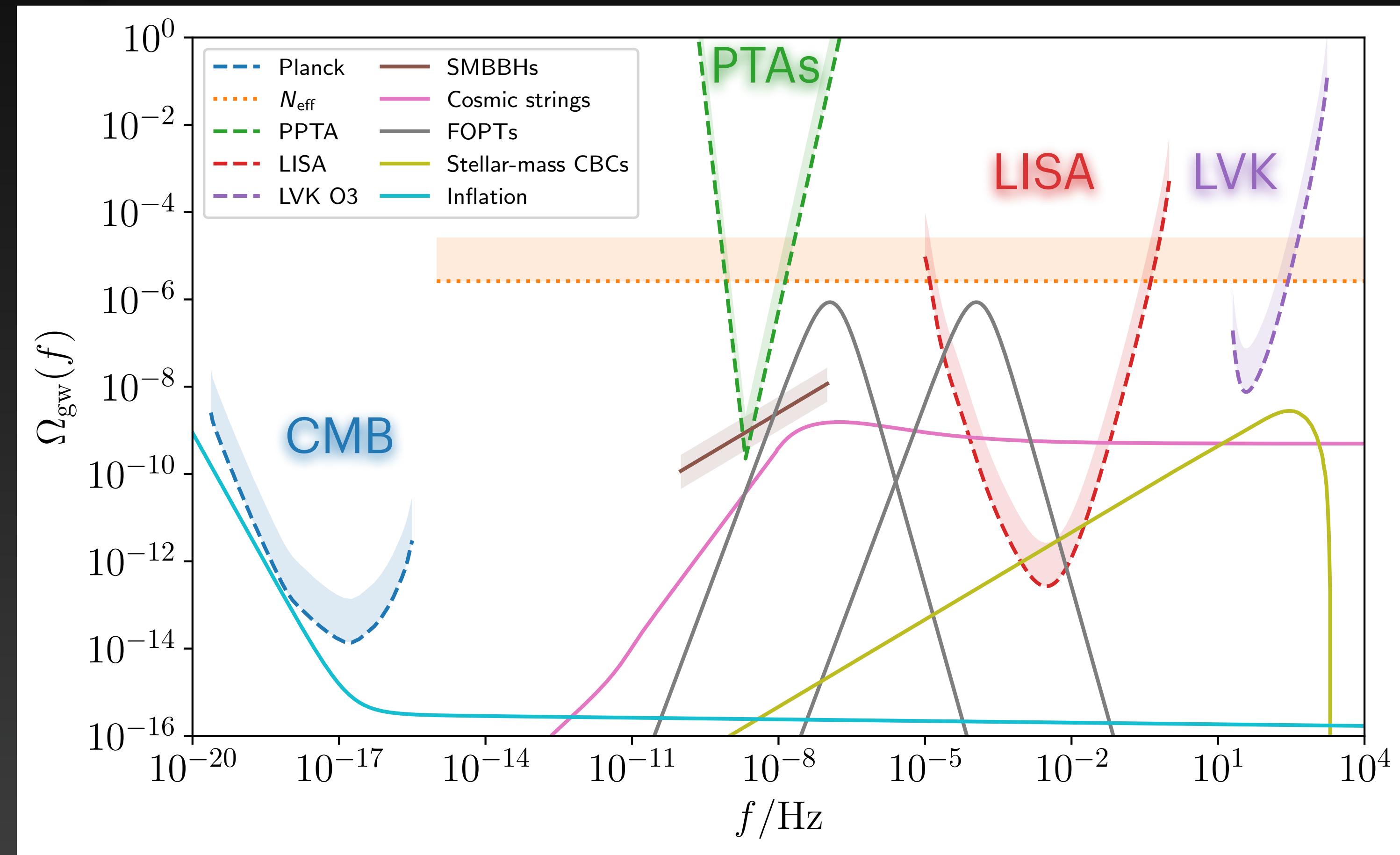
... for more details, see [Mingarelli et al. '19](#).

GW(B) sources across the spectrum
Those you can't determine, are stochastic.

Gravitational-Wave Background Sources

incoherent superposition/
stochastic generation → unresolved → stochastic variables

Primordial



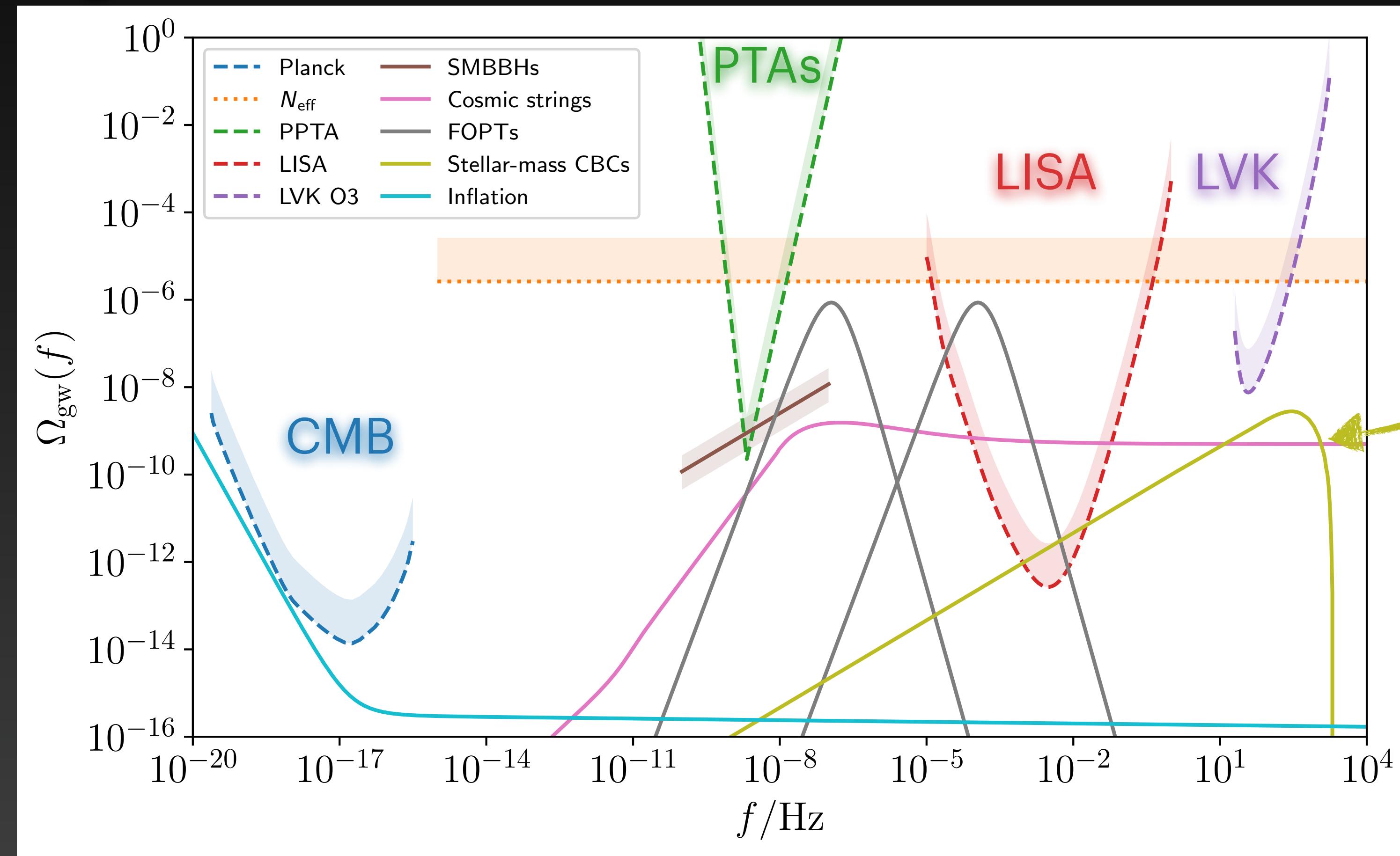
Astrophysical

From [AIR et al.](#)

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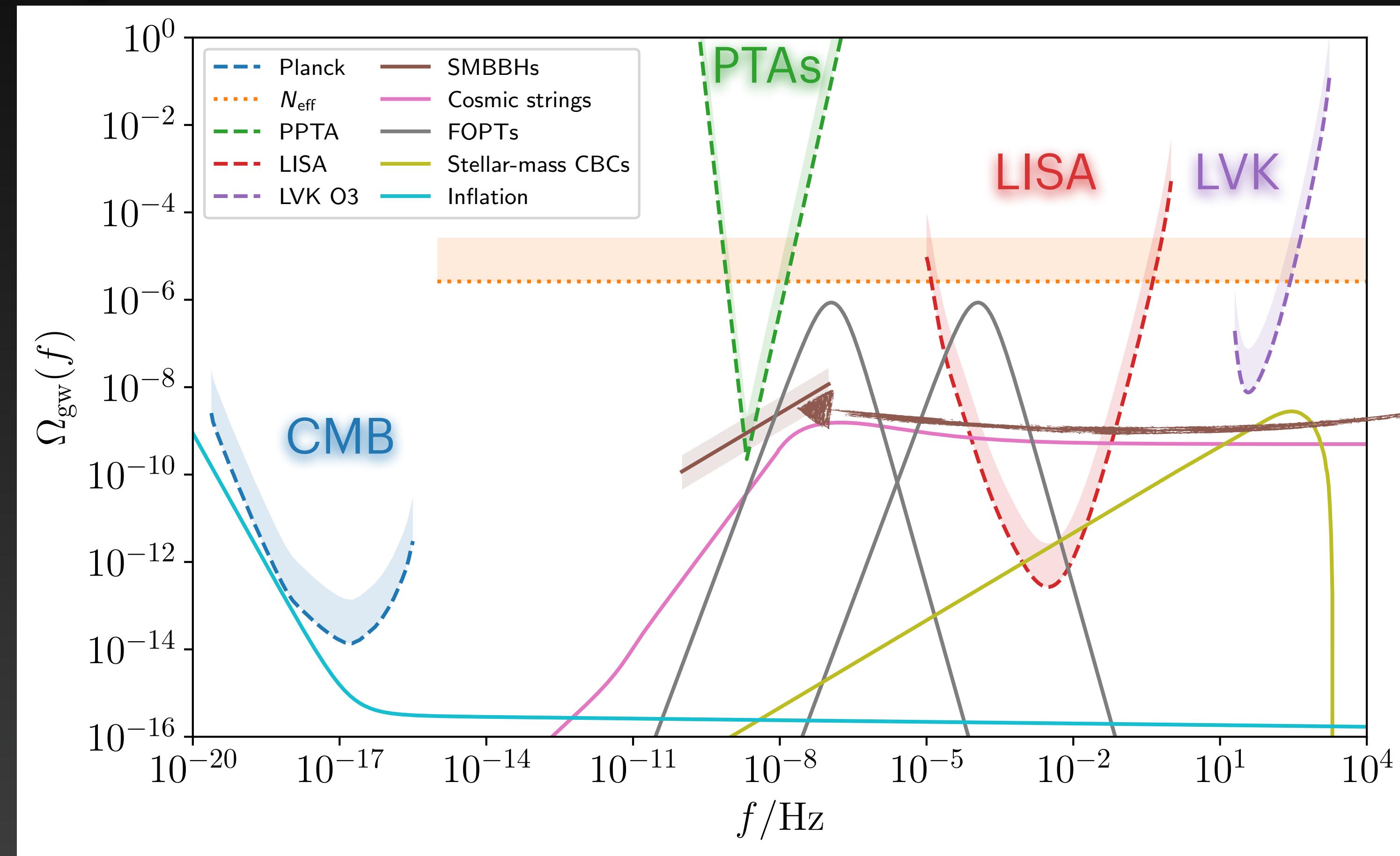
stellar mass compact
binary coalescences

From [AIR et al.](#)

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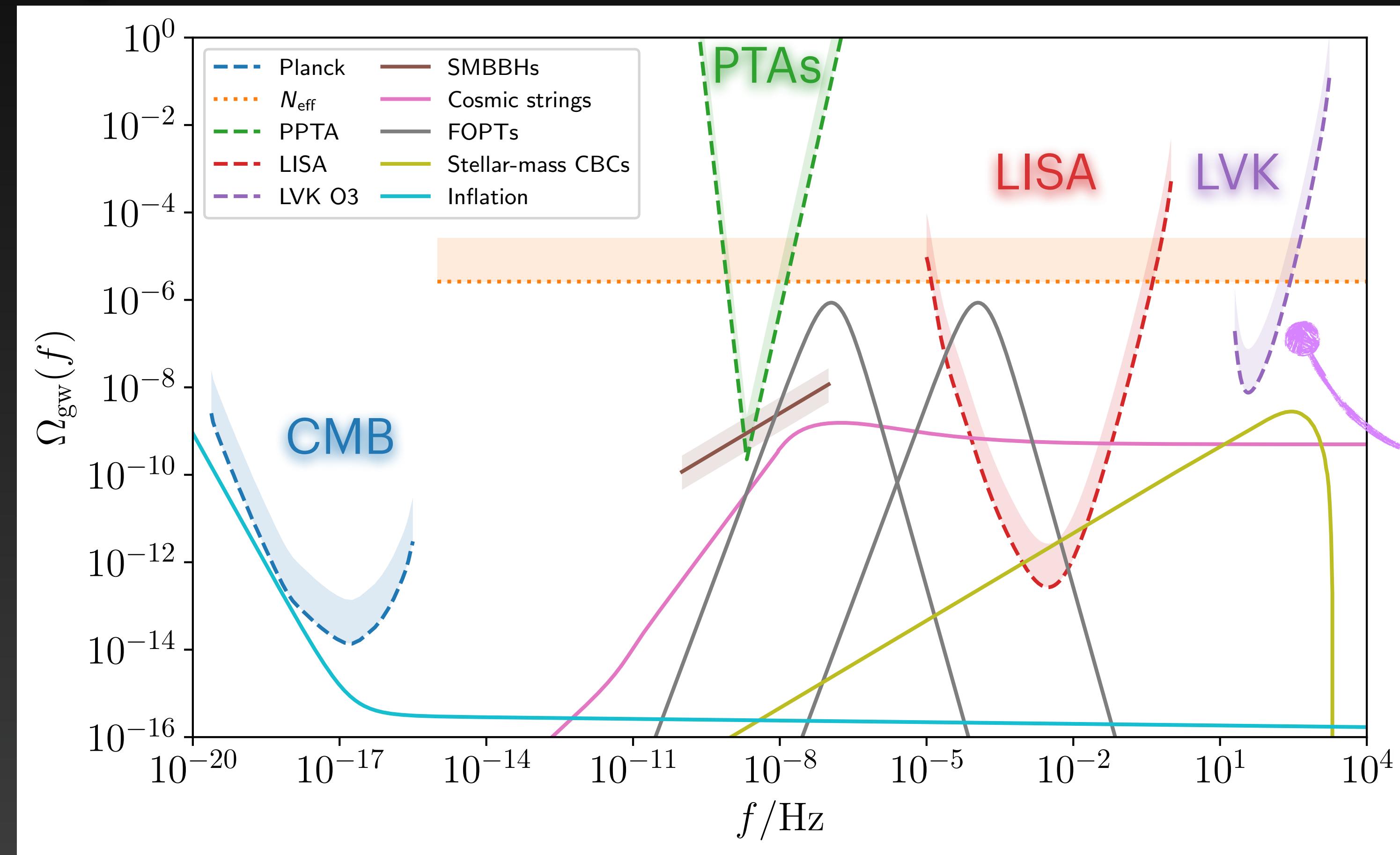
supermassive black
hole binary inspirals

From [AIR et al.](#)

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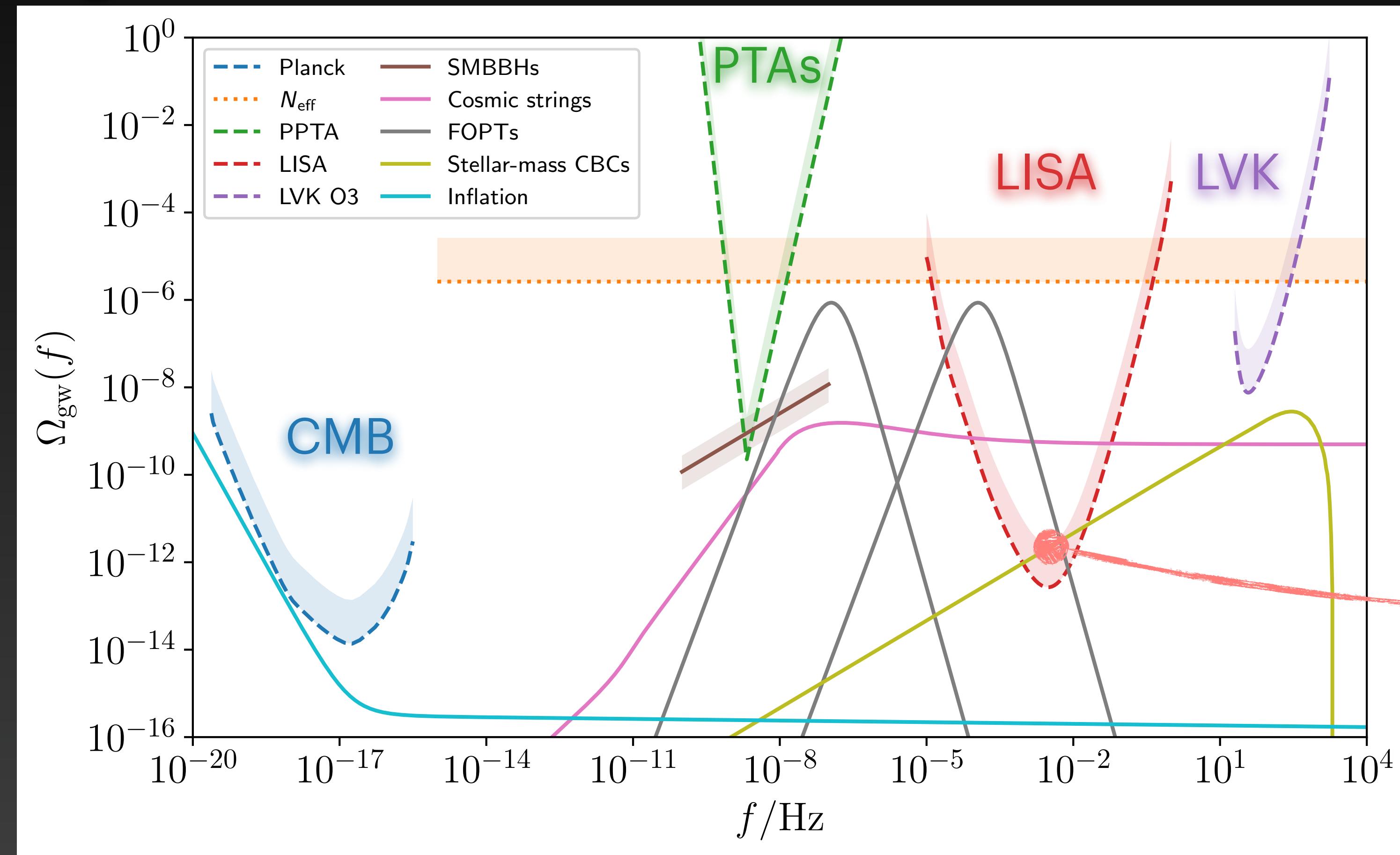
stellar mass black hole
and neutron star CBCs
supermassive black
hole binary inspirals
core-collapse supernovas

From [AIR et al.](#)

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core-collapse
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binary white dwarfs

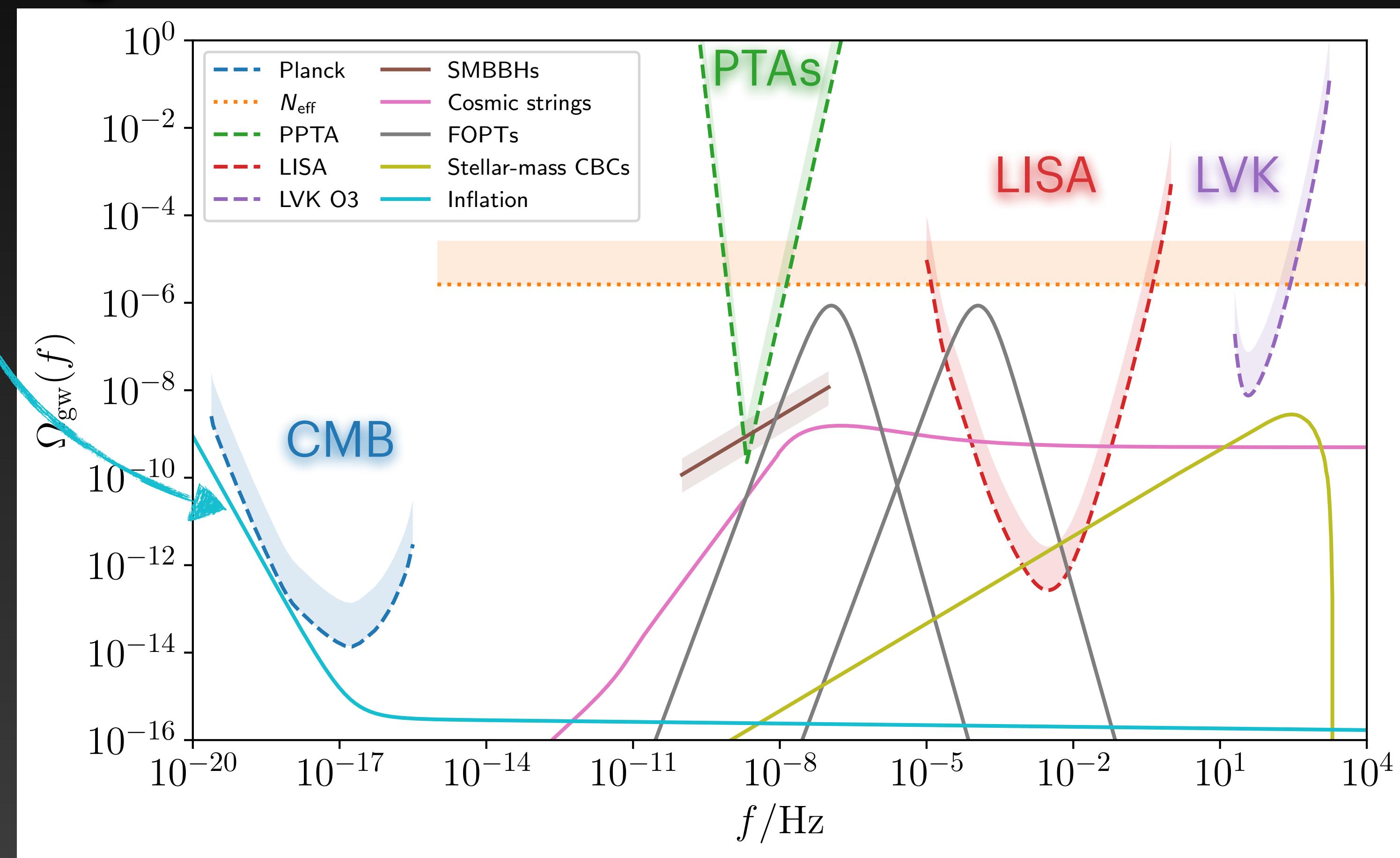
From [AIR et al.](#)

Gravitational-Wave Background Sources

incoherent superposition/
stochastic generation → unresolved → stochastic variables

Primordial

GWs from inflation



Astrophysical

stellar mass compact
binary coalescences

supermassive black
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core-collapse
supernovas

binary white dwarfs

From [AIR et al.](#)

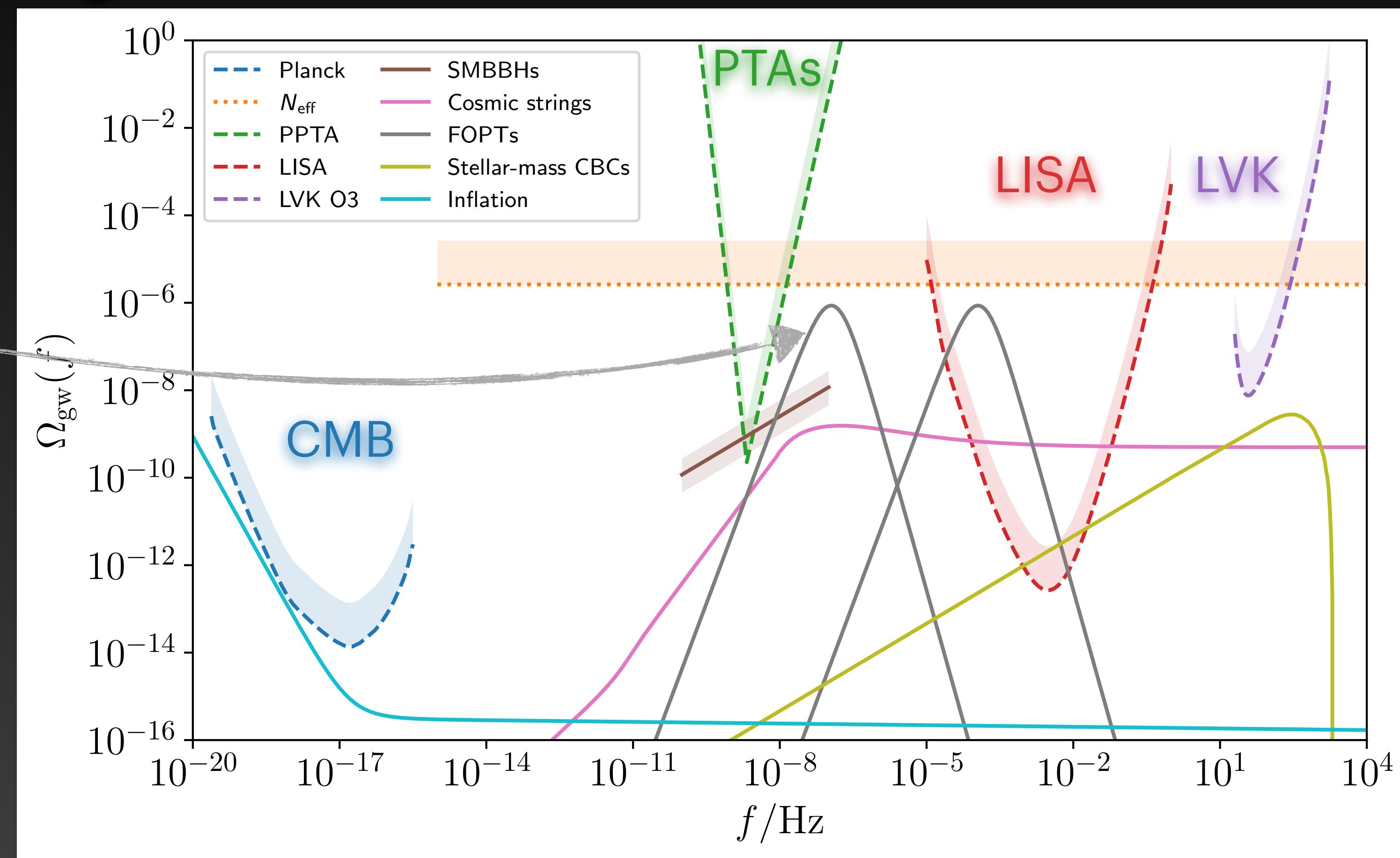
Gravitational-Wave Background Sources

incoherent superposition/
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Primordial

GWs from inflation

first order phase
transitions



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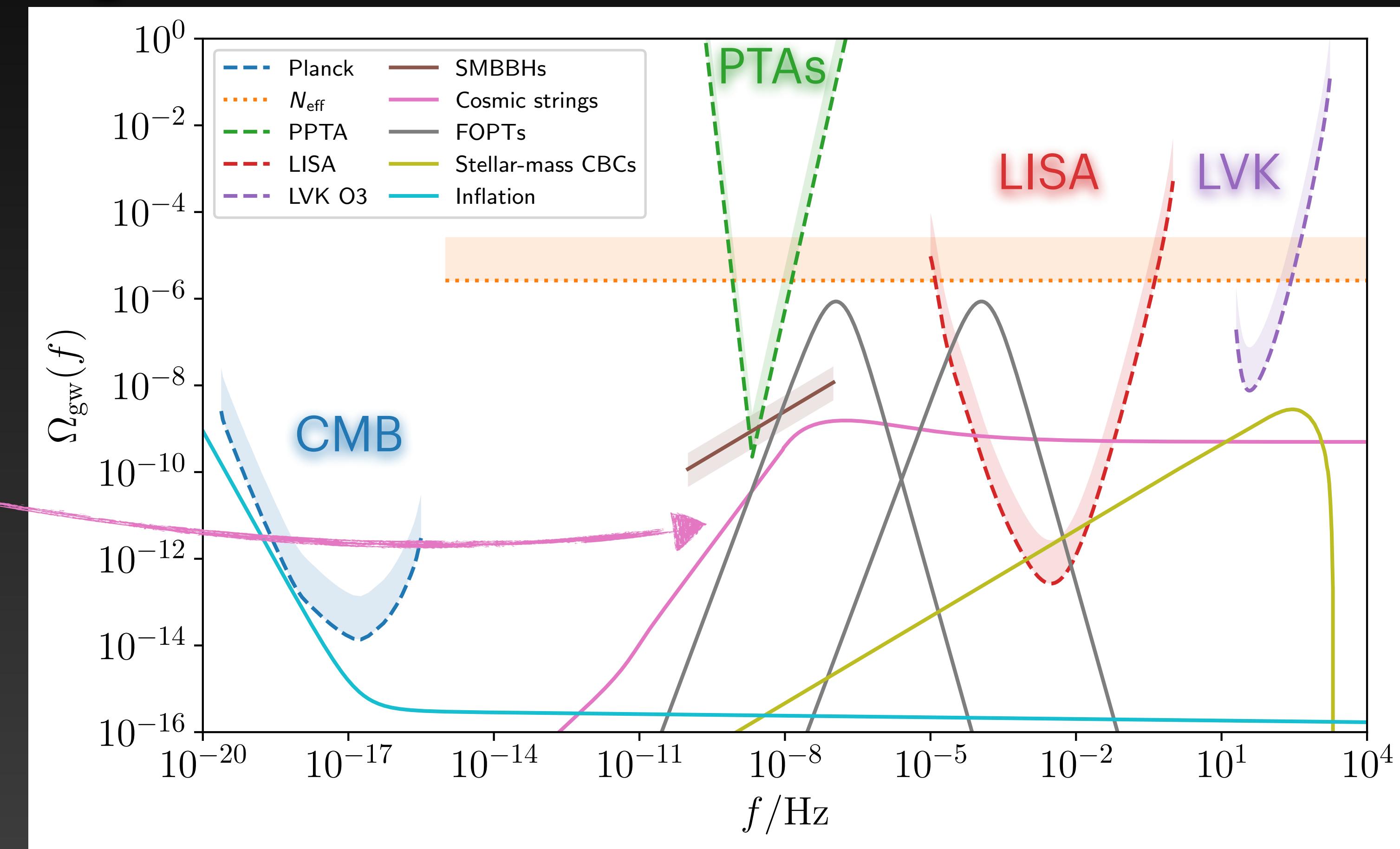
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cosmic strings



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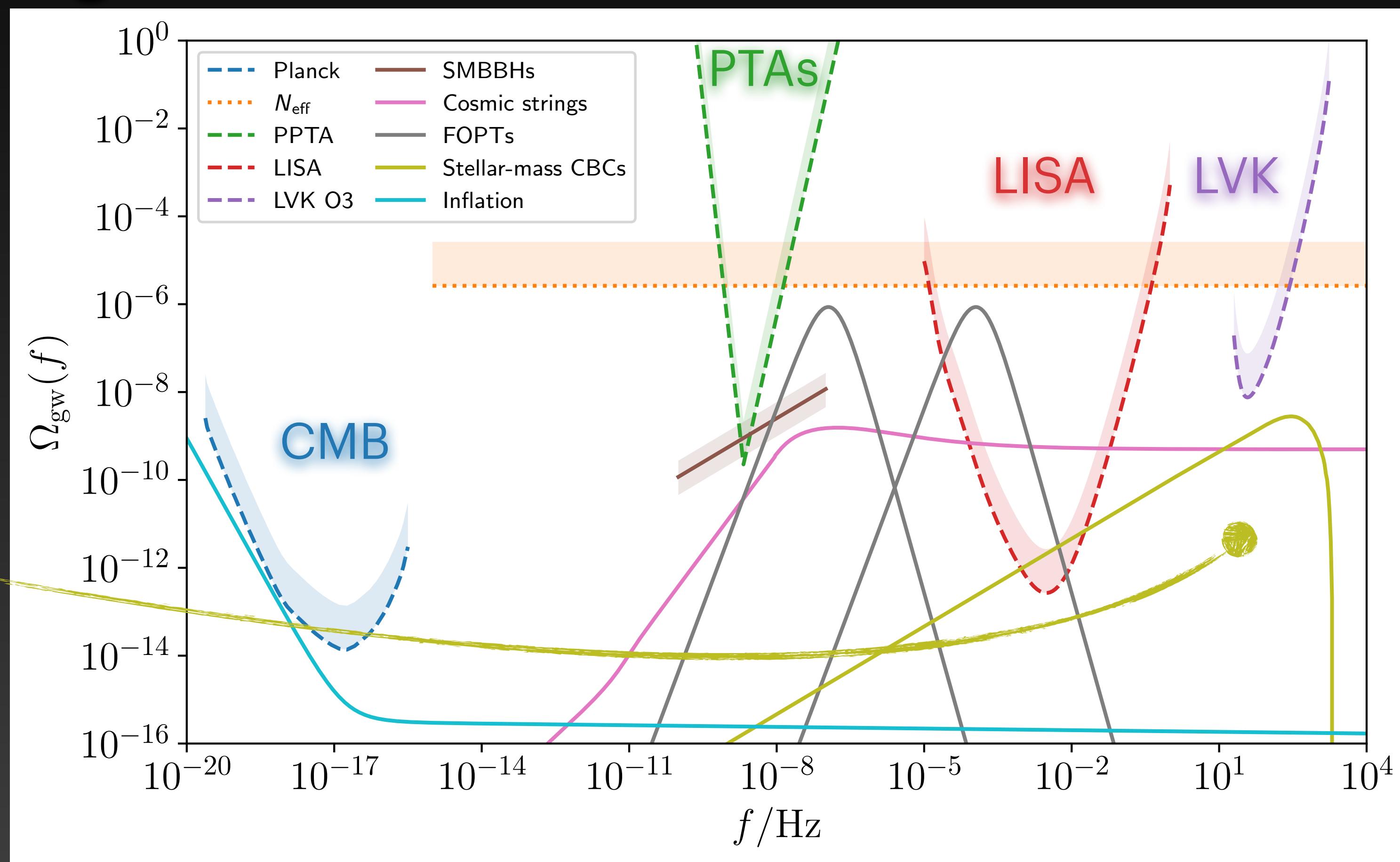
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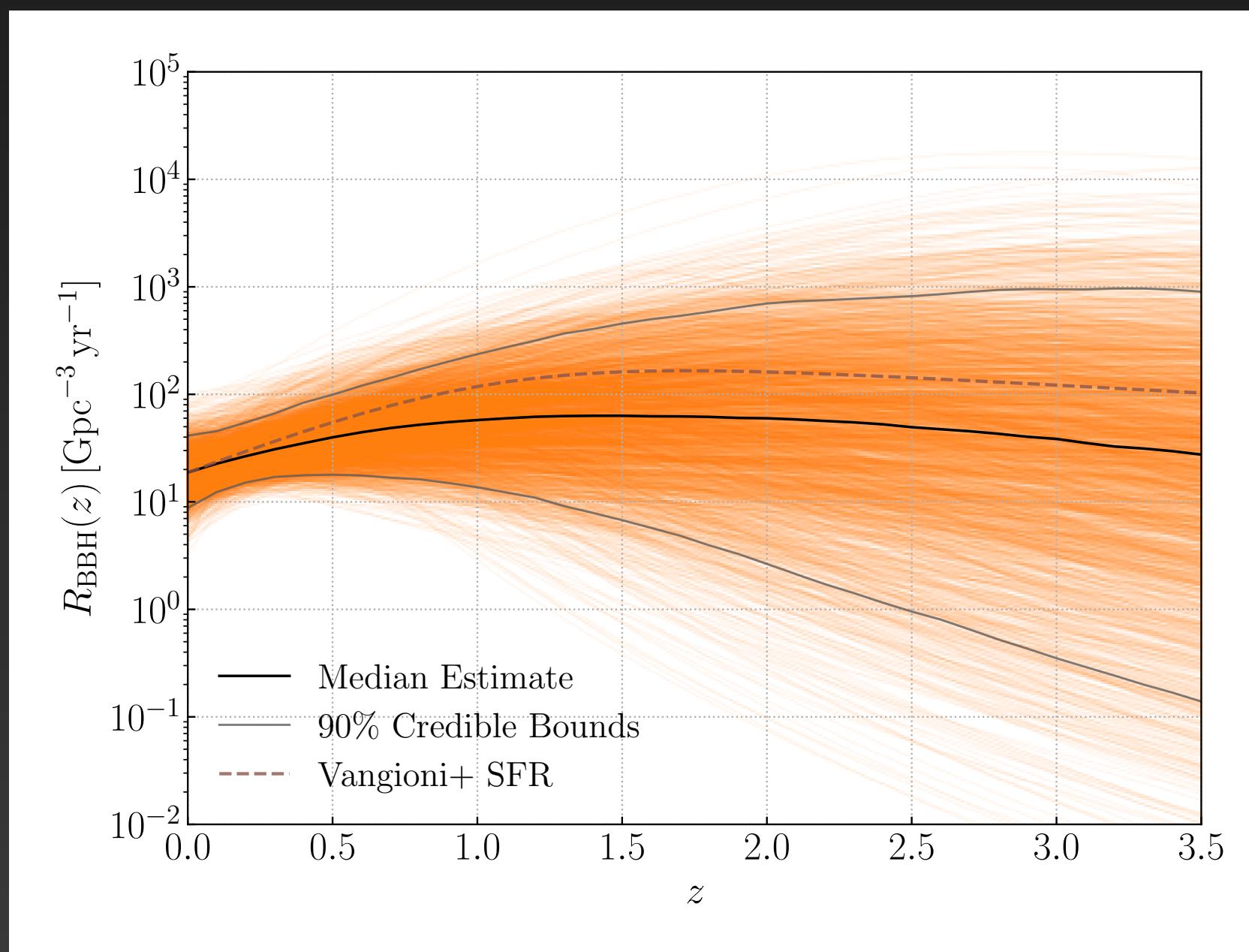
From [AIR et al.](#)

Stochastic sources: event rate

from [Phinney '01](#) : $N(z) = R(z) \frac{dt_r}{dz} \equiv \frac{R(z)}{(1+z)H(z)}$

$$\Omega_{\text{GW}}(f) = \frac{f}{\rho_c} \int_0^{z_{\max}} dz$$

$$R(z) \frac{dE_{\text{GW}}}{df_s} \Big|_{f_s=f(1+z)}$$



eg, from [LVK](#) for BBHs

$$R(z) = \mathcal{C}(\alpha, \beta, z_p) \frac{R_0 (1+z)^\alpha}{1 + \left(\frac{1+z}{1+z_p}\right)^{\alpha+\beta}}$$

star formation
rate

[Callister et al. '16](#), [Callister et al. '20](#)

Stochastic sources: compact binary spectrum (super massive black hole binaries)

exercise: what is the spectral shape and amplitude of Ω_{BSMBH} ?

from [Sesana '08](#):

$$\frac{dE_{\text{GW}}}{d\ln f_s} = \frac{\pi^{2/3}}{3} G^{2/3} \mathcal{M}^{5/3} f_s^{2/3}$$

single binary inspiral

$$\left[\frac{dE_{\text{GW}}}{df_s} \right]_{f_s}$$



$$\left\langle \frac{dE_{\text{GW}}}{df_s} \right\rangle_{f_s}$$

average over
population parameters

$$N = \int_{\mathcal{M}_{\min}}^{\mathcal{M}_{\max}} \frac{dN}{d\mathcal{M}} d\mathcal{M}$$

number density
parametrised by mass

$$\Omega_{\text{GW}}^{\text{CB}} \propto f^{2/3} \int_0^{z_{\max}} dz \frac{1}{(1+z)^{1/3}} \int_{\mathcal{M}_{\min}}^{\mathcal{M}_{\max}} d\mathcal{M} \frac{dN}{d\mathcal{M}} \mathcal{M}^{5/3}$$

Stochastic sources: anisotropic spectra

$$\Omega_{\text{GW}}(f, \hat{n}) := \frac{1}{\rho_c} \frac{d\rho_{\text{GW}}}{d \ln f d\hat{n}}$$



$$\Omega_{\text{GW}}(f, \hat{n}) \approx E(f) \Omega_{\text{GW}}(\hat{n})$$



spectral shape power on the
sky

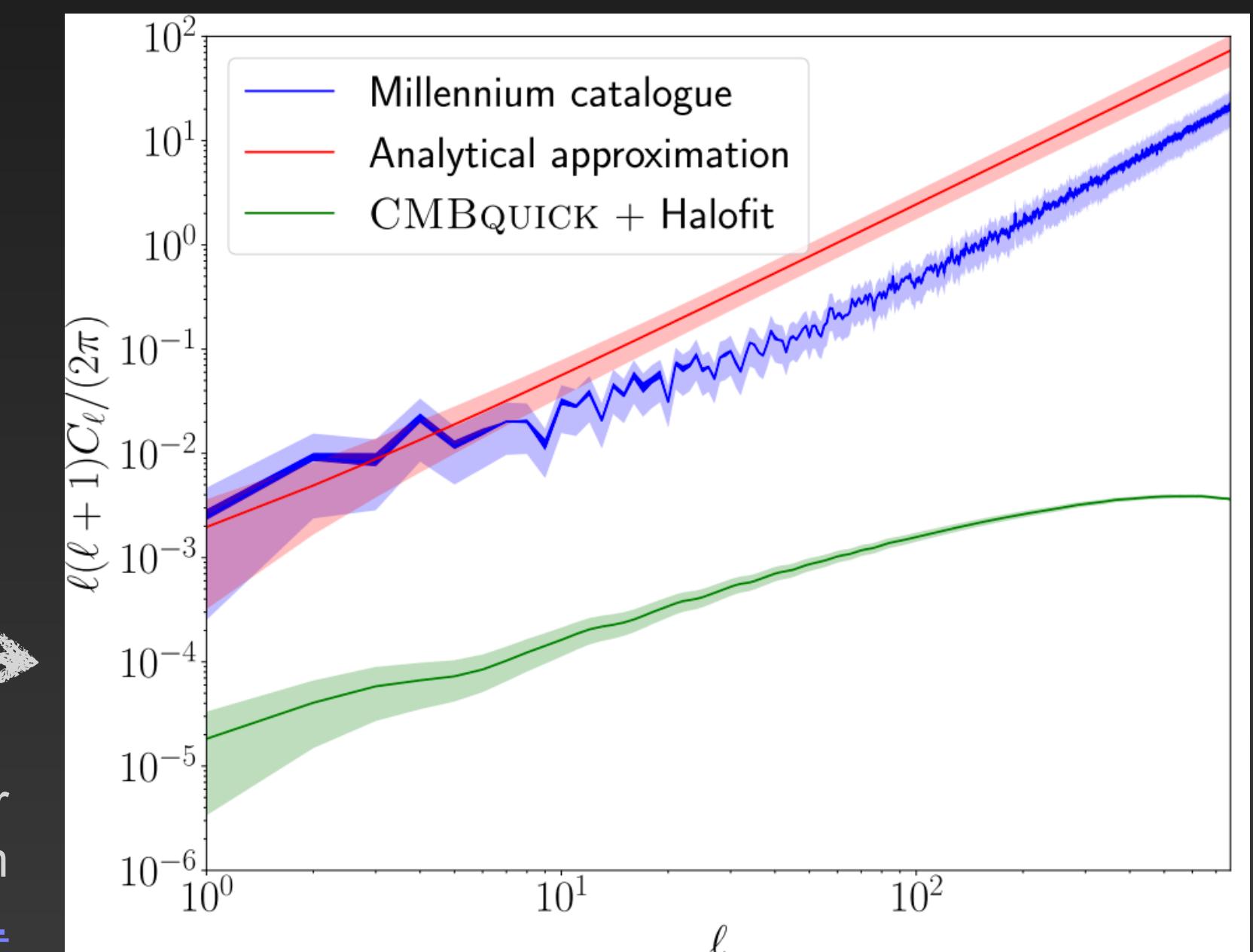
$$\Omega_{\text{GW}}(\hat{n}) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{+\ell} \Omega_{\ell m}^{\text{GW}} Y_{\ell m}(\hat{n})$$

↓
assuming Gaussianity

$$C_{\ell}^{\Omega} = \frac{1}{2\ell + 1} \sum_{m=-\ell}^{+\ell} \langle \Omega_{\ell m}^{\text{GW}} \Omega_{\ell m}^{\text{GW}} \rangle$$

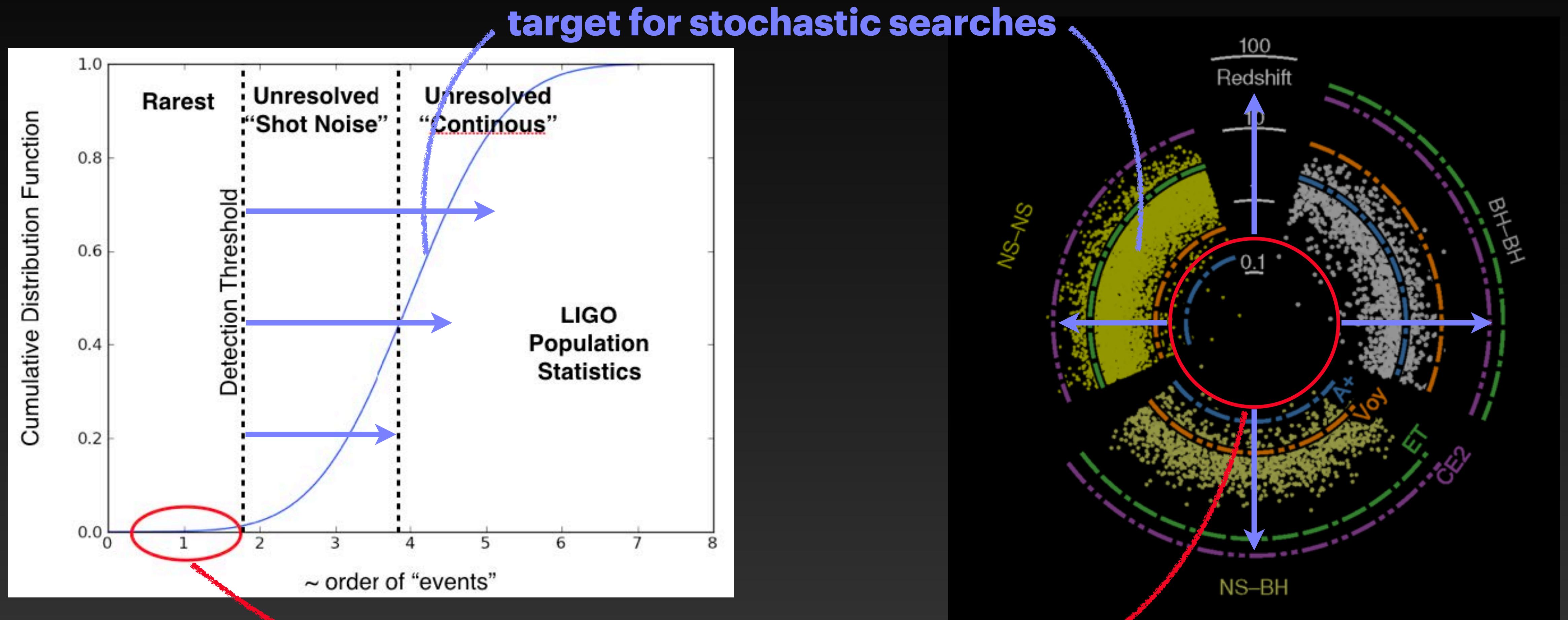
Expected CBC GWB angular
power spectrum from
[Cusin et al.](#) & [Jenkins et al.](#)

spherical harmonic expansion



The SGWB from CBCs in LIGO
it's not *really* a background, and not *really* stochastic.

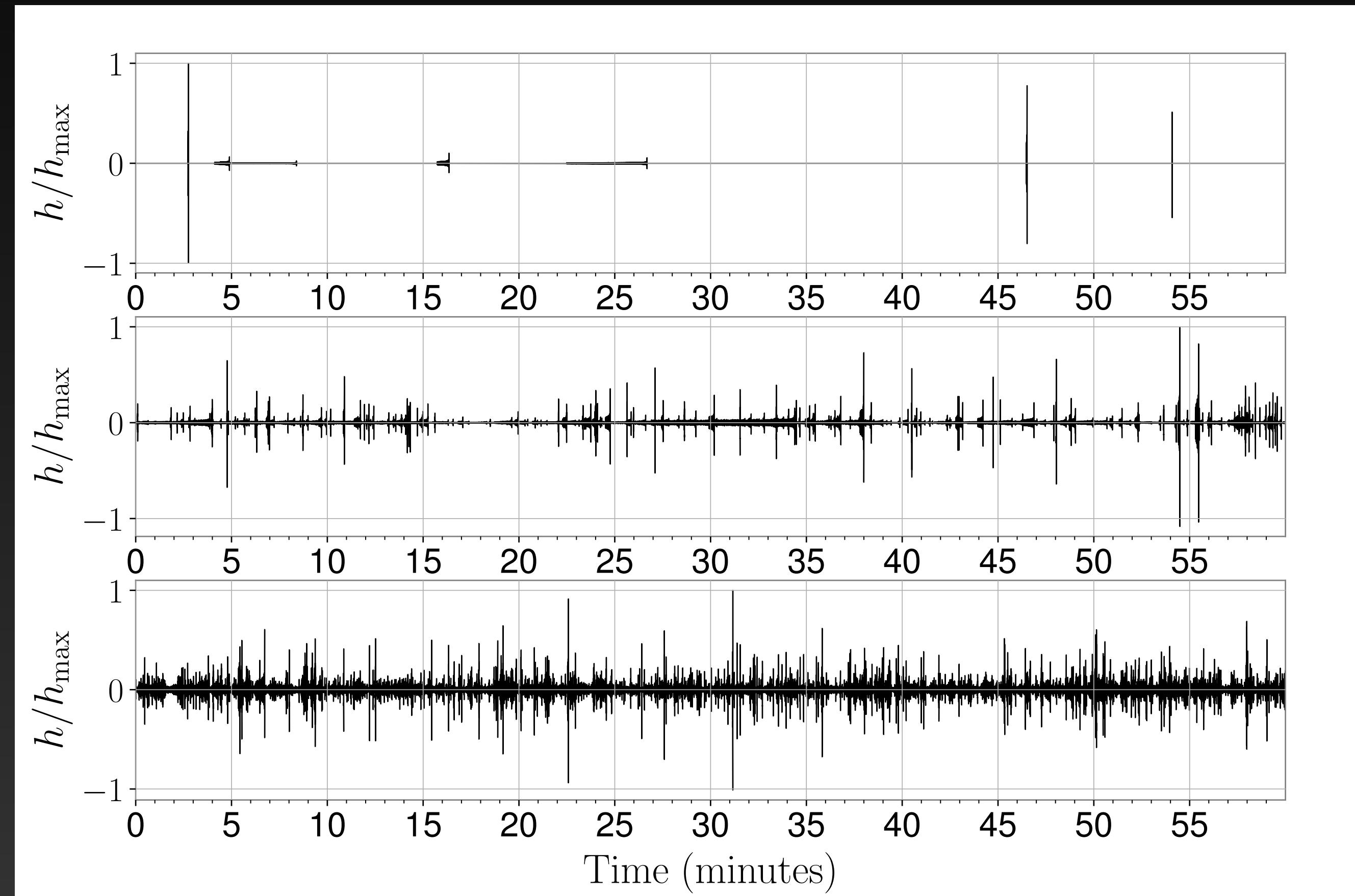
The CBC stochastic GW background in L/V



Observing CBCs in the time domain

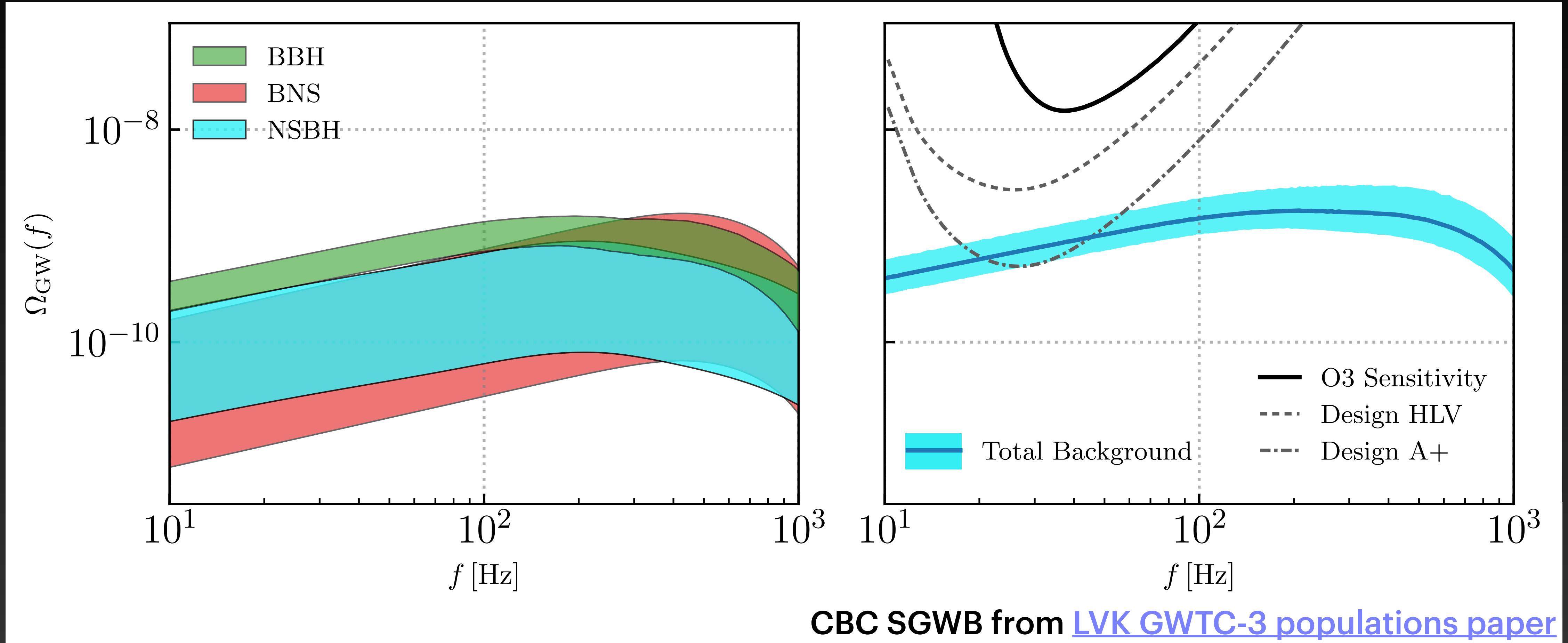
Gaussianity/non-Gaussianity of continuous/intermittent GWBs

current CBC signal due
to detector sensitivity

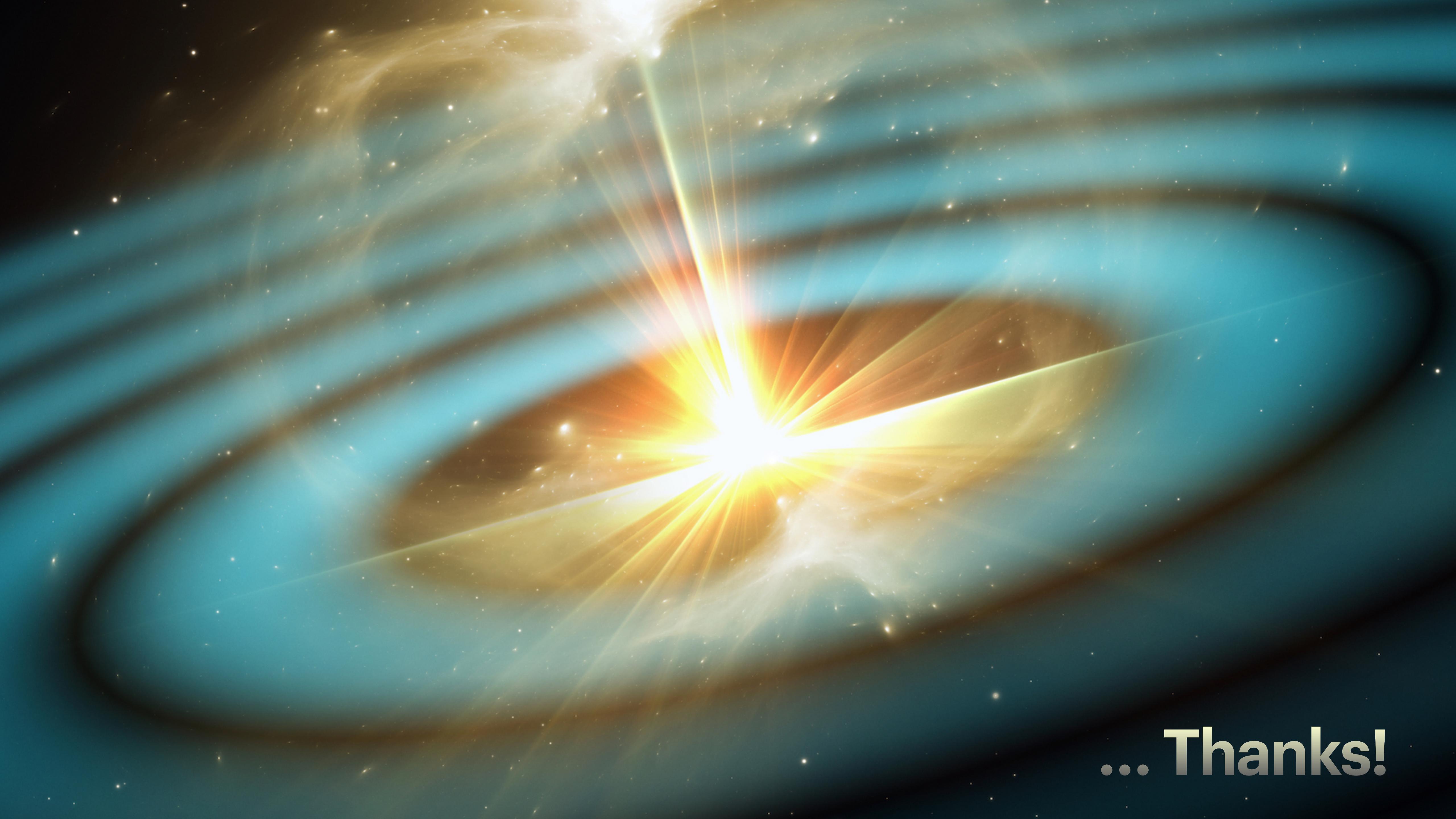


We can use different models to search for Gaussian/non backgrounds!

Observing the background: the spectrum



How long until detection? → depending on sensitivity/methods, before 3G!



... Thanks!