

**Fall 2021**

# ADVANCED TOPICS IN COMPUTER VISION

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# Supervised Learning

Feature Space  $\mathcal{X}$

Label Space  $\mathcal{Y}$

**Goal:** Construct a **predictor**  $f : \mathcal{X} \rightarrow \mathcal{Y}$  to minimize

$$R(f) \equiv \mathbb{E}_{XY} [\text{loss}(Y, f(X))]$$

Optimal predictor (Bayes Rule) depends on unknown  $P_{XY}$ , so instead  
**learn a good prediction rule from training data**  $\{(X_i, Y_i)\}_{i=1}^n \stackrel{\text{iid}}{\sim} P_{XY}$  (unknown)

$$\max \mathbb{E}_{x,y \sim p(x,y)} [\log p(y|x)]$$

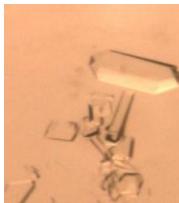
- ML has been largely focused on this ...
  - **But Lots** of other problem settings are coming up:
    - What if we **also** have unlabeled data?
    - What if we **only** have unlabeled data?
    - What if we *have poor-quality labels* (e.g., coarse or potentially mistaken?)
    - What if we have many datasets, but one somehow differing from another?
    - What if we only have one example, or a few per (new) class?
    - .....

# And wait, there are more!

- Transfer Learning
- Semi-supervised learning
- One/Few-shot learning
- Un/Self-Supervised Learning
- Domain adaptation
- Meta-Learning
- Zero-shot learning
- Continual / Lifelong-learning
- Multi-modal learning
- Multi-task learning
- Active learning
- ...

Setting	Source	Target	Shift Type
Semi-supervised	Single labeled	Single unlabeled	None
Domain Adaptation	Single labeled	Single unlabeled	Non-semantic
Domain Generalization	Multiple labeled	Unknown	Non-semantic
Cross-Task Transfer	Single labeled	Single unlabeled	Semantic
Few-Shot Learning	Single labeled	Single few-labeled	Semantic
Un/Self-Supervised	Single unlabeled	Many labeled	Both/Task

# Particularly Meaningful for CV ...



0 1 2 3 4 5 6 7 8 9  
8 9 0 1 2 3 4 5 6 7



Unlabeled data,  $X_i$

Cheap and abundant !



Human expert/  
Special equipment/  
Experiment

“Crystal” “Needle” “Empty”

“0” “1” “2” ...

“Sports”  
“News”  
“Science”

...

Labeled data,  $Y_i$

Expensive and scarce !

# Particularly Meaningful for CV ...

image-level labels



points



bounding boxes



scribbles



pixel-level labels



1s/class

2.4s/instance

10s/instance

17s/instance

78s/instance

Annotation time

# Particularly Meaningful for CV ...



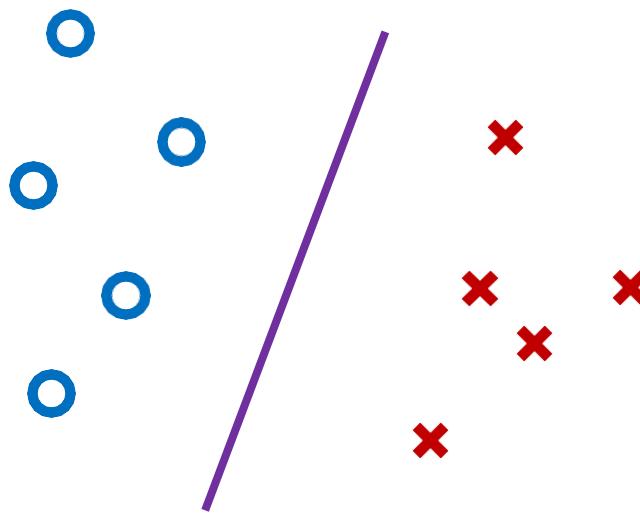
# A Whole Big Field! We try to cover a few ...

- Semi-Supervised and Weakly-Supervised Learning
- Few-Shot Learning
- Active Learning
- Transfer and Multi-Task Learning
- Self-Supervised Learning

# Problem settings in a nutshell

## **PN** learning

(i.e., supervised learning)



P & N data are available for training

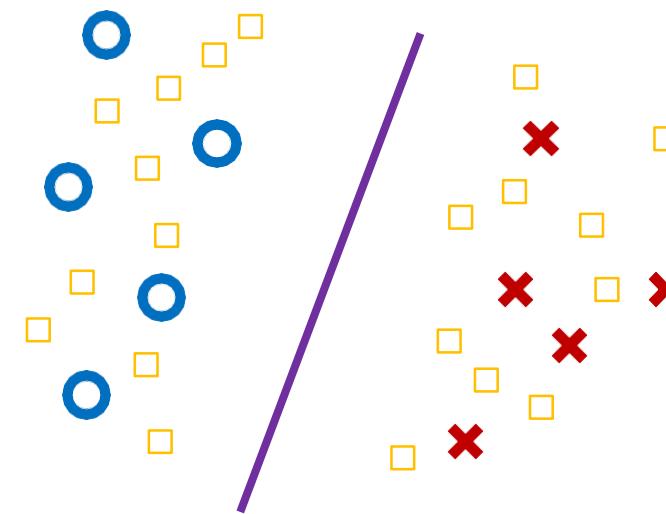
○ : positive data

✖ : negative data

□ : unlabeled data

## **PNU** learning

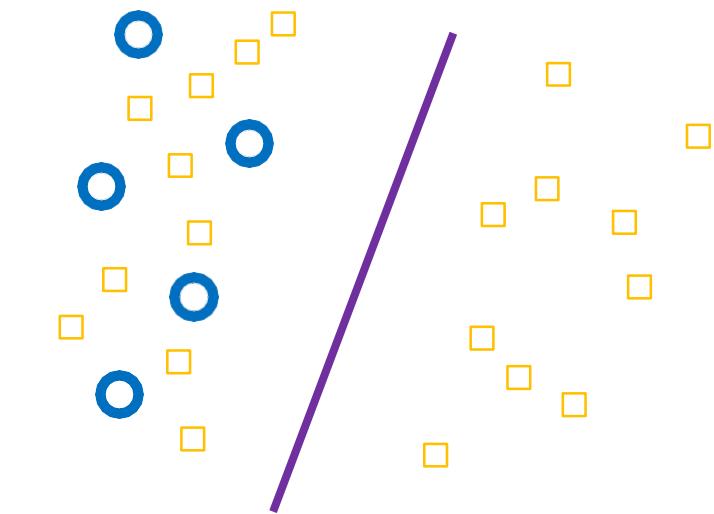
(i.e., semi-supervised learning)



P, N & U data are available for training

## **PU** learning

P & U data are available for training



# What is Semi-Supervised Learning?

Supervised Learning

$$(x, y) \sim p(x, y)$$

$$\max \mathbb{E}_{(x,y) \sim p(x,y)} [\log p(y|x)]$$

Cognitive science

Semi-Supervised Learning  
Computational model of how humans learn from labeled and unlabeled data.

$D_U$

- concept learning in children:  $x=\text{animal}$ ,  $y=\text{concept}$  (e.g., dog)
- Daddy points to a brown animal and says “dog!”
- Children also observe animals by themselves

- Training data: both labeled data (image, label) and unlabeled data (image)

- **Goal: Use unlabeled data to learn**

# An Incomplete List of Methods ....

- **Confidence & Entropy** – “**no matter what, be confident**”
  - Pseudo labeling
  - Entropy minimization
  - Virtual Adversarial Training
- **Label Consistency** – “**label is robust to perturbations**”
  - Pseudo labeling, yet applying different sample augmentations
  - Temporal Ensembling, Mean Teacher ...
- **Regularization**
  - Weight decay, Dropout ...
  - Strong/unsupervised data augmentation: MixUp, CutOut, MixMatch ...
- Co-Training / Self-Training / Pseudo Labeling / Noisy Student

# Pseudo Labeling

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## Pseudo-Label : The Simple and Efficient Semi-Supervised Learning Method for Deep Neural Networks

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### Abstract

We propose the simple and efficient method of semi-supervised learning for deep neural networks. Basically, the proposed network is trained in a supervised fashion with labeled and unlabeled data simultaneously. For unlabeled data, *Pseudo-Labels*, just picking up the class which has the maximum predicted probability, are used as if they were true labels. This is in effect equivalent to *Entropy Regularization*. It favors a low-density separation between classes, a commonly assumed prior for semi-supervised learning. With Denoising Auto-Encoder and Dropout, this simple method outperforms conventional methods for semi-supervised learning with very small labeled data on the MNIST handwritten digit dataset.

and unsupervised tasks using same neural network simultaneously. In (Ranzato et al., 2008), the weights of each layer are trained by minimizing the combined loss function of an autoencoder and a classifier. In (Larochelle et al., 2008), *Discriminative Restricted Boltzmann Machines* model the joint distribution of an input vector and the target class. In (Weston et al., 2008), the weights of all layers are trained by minimizing the combined loss function of a global supervised task and a *Semi-Supervised Embedding* as a regularizer.

In this article we propose the simpler way of training neural network in a semi-supervised fashion. Basically, the proposed network is trained in a supervised fashion with labeled and unlabeled data simultaneously. For unlabeled data, *Pseudo-Labels*, just picking up the class which has the maximum predicted probability every weights update, are used as if they were true la-

- **Simple idea:**

- Train on labeled data
- Make predictions on unlabeled data
- Pick confident predictions, and add to training data
- Can do end-to-end (no need to separate stages)

- **Issues:**

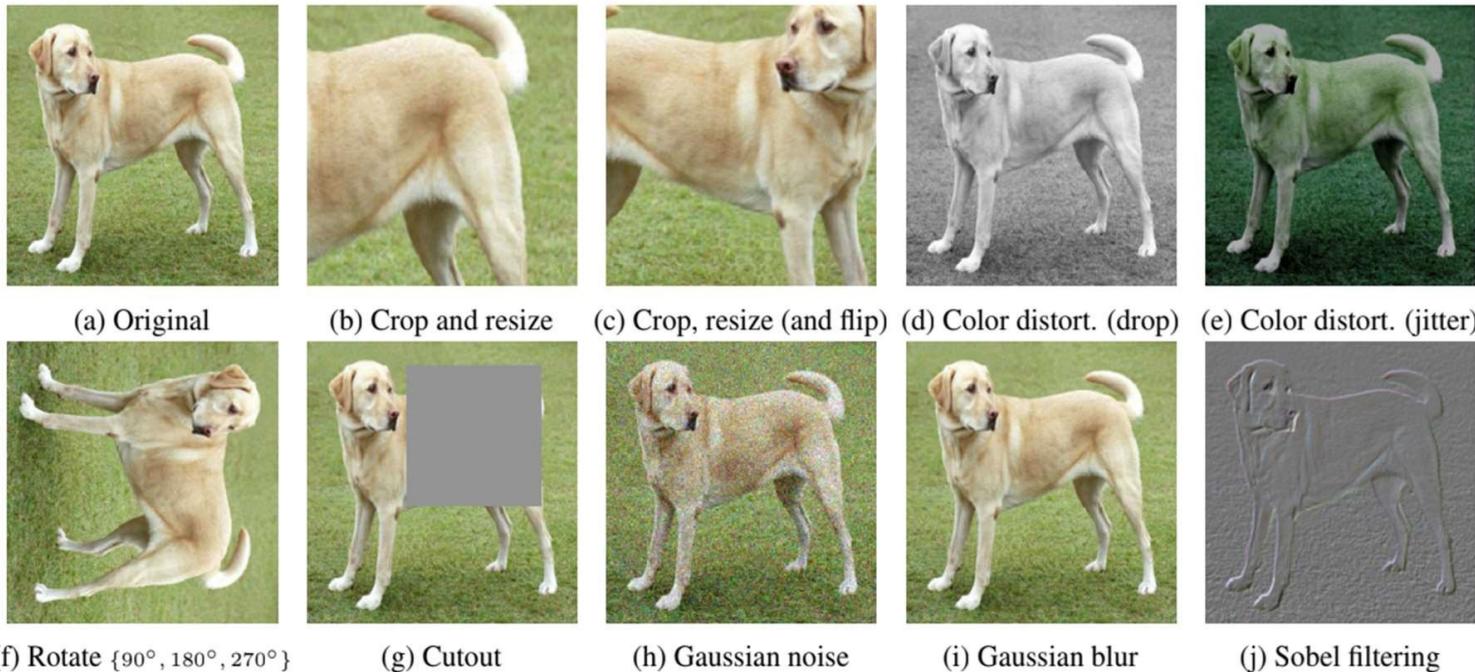
- “**Under-confidence**” or flatness – “sharpening” by entropy minimization
- “**Overconfidence**”? – Need better uncertainty quantification

# Label Consistency with Data Augmentations

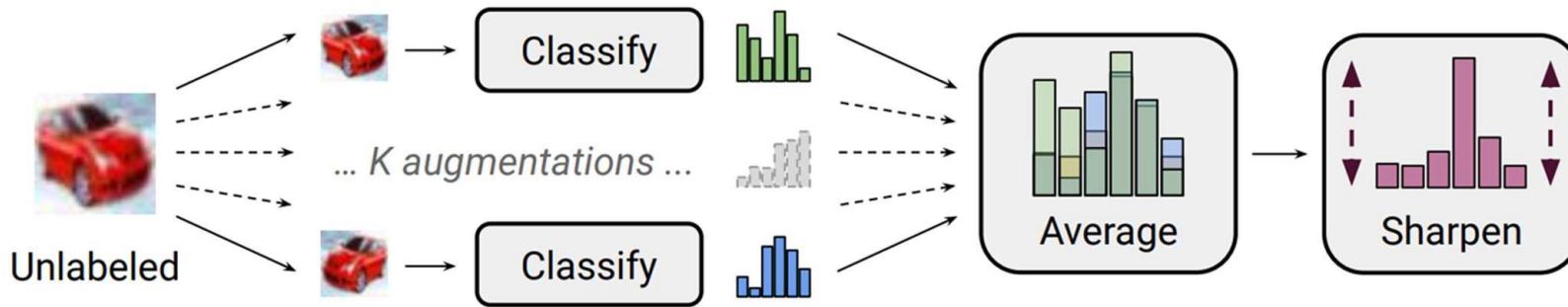


Make sure that the logits are similar

# More Data Augmentations -> Regularization



# MixMatch: A Holistic Approach for Semi-Supervised Learning



$$\lambda \sim \text{Beta}(\alpha, \alpha)$$

$$\lambda' = \max(\lambda, 1 - \lambda)$$

$$x' = \lambda' x_1 + (1 - \lambda') x_2$$

$$p' = \lambda' p_1 + (1 - \lambda') p_2$$

**MixUp**

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**Algorithm 1** MixMatch takes a batch of labeled data  $\mathcal{X}$  and a batch of unlabeled data  $\mathcal{U}$  and produces a collection  $\mathcal{X}'$  (resp.  $\mathcal{U}'$ ) of processed labeled examples (resp. unlabeled with guessed labels).

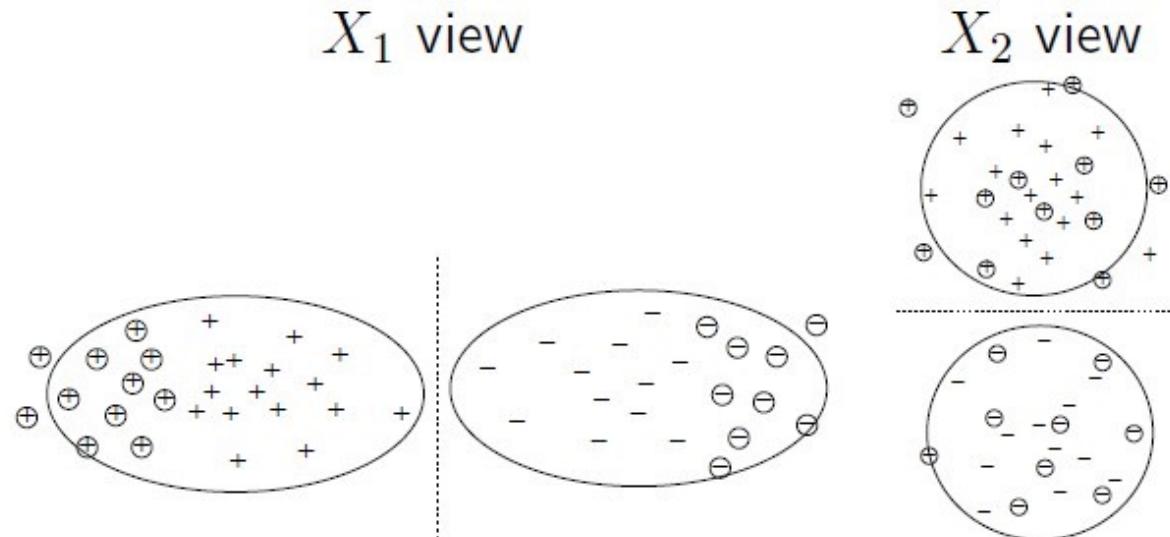
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- 1: **Input:** Batch of labeled examples and their one-hot labels  $\mathcal{X} = ((x_b, p_b); b \in (1, \dots, B))$ , batch of unlabeled examples  $\mathcal{U} = (u_b; b \in (1, \dots, B))$ , sharpening temperature  $T$ , number of augmentations  $K$ , Beta distribution parameter  $\alpha$  for MixUp.
  - 2: **for**  $b = 1$  **to**  $B$  **do**
  - 3:    $\hat{x}_b = \text{Augment}(x_b)$  // Apply data augmentation to  $x_b$
  - 4:   **for**  $k = 1$  **to**  $K$  **do**
  - 5:      $\hat{u}_{b,k} = \text{Augment}(u_b)$  // Apply  $k^{\text{th}}$  round of data augmentation to  $u_b$
  - 6:   **end for**
  - 7:    $\bar{q}_b = \frac{1}{K} \sum_k \text{P}_{\text{model}}(y | \hat{u}_{b,k}; \theta)$  // Compute average predictions across all augmentations of  $u_b$
  - 8:    $q_b = \text{Sharpen}(\bar{q}_b, T)$  // Apply temperature sharpening to the average prediction (see eq. (7))
  - 9: **end for**
  - 10:  $\hat{\mathcal{X}} = ((\hat{x}_b, p_b); b \in (1, \dots, B))$  // Augmented labeled examples and their labels
  - 11:  $\hat{\mathcal{U}} = ((\hat{u}_{b,k}, q_b); b \in (1, \dots, B), k \in (1, \dots, K))$  // Augmented unlabeled examples, guessed labels
  - 12:  $\mathcal{W} = \text{Shuffle}(\text{Concat}(\hat{\mathcal{X}}, \hat{\mathcal{U}}))$  // Combine and shuffle labeled and unlabeled data
  - 13:  $\mathcal{X}' = (\text{MixUp}(\hat{\mathcal{X}}_i, \mathcal{W}_i); i \in (1, \dots, |\hat{\mathcal{X}}|))$  // Apply MixUp to labeled data and entries from  $\mathcal{W}$
  - 14:  $\mathcal{U}' = (\text{MixUp}(\hat{\mathcal{U}}_i, \mathcal{W}_{i+|\hat{\mathcal{X}}|}); i \in (1, \dots, |\hat{\mathcal{U}}|))$  // Apply MixUp to unlabeled data and the rest of  $\mathcal{W}$
  - 15: **return**  $\mathcal{X}', \mathcal{U}'$
-

# “Co-Training”

## Assumptions

- feature split  $x = [x^{(1)}; x^{(2)}]$  exists
- $x^{(1)}$  or  $x^{(2)}$  alone is sufficient to train a good classifier



# “Co-Training”

- (Blum & Mitchell, 1998) (Mitchell, 1999) assumes that
  - features can be split into two sets;
  - each sub-feature set is sufficient to train a good classifier.
- Initially two separate classifiers are trained with the labeled data, on the two sub-feature sets respectively.
- Each classifier then classifies the unlabeled data, and “teaches” the other classifier with the few unlabeled examples (and the predicted labels) they feel most confident.
- Each classifier is retrained with the additional training examples given by the other classifier, and the process repeats.

# “Co-Training”

**Input:** labeled data  $\{(\mathbf{x}_i, y_i)\}_{i=1}^l$ , unlabeled data  $\{\mathbf{x}_j\}_{j=l+1}^{l+u}$   
each instance has two views  $\mathbf{x}_i = [\mathbf{x}_i^{(1)}, \mathbf{x}_i^{(2)}]$ ,  
and a learning speed  $k$ .

1. let  $L_1 = L_2 = \{(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_l, y_l)\}$ .
2. Repeat until unlabeled data is used up:
  3. Train view-1  $f^{(1)}$  from  $L_1$ , view-2  $f^{(2)}$  from  $L_2$ .
  4. Classify unlabeled data with  $f^{(1)}$  and  $f^{(2)}$  separately.
  5. Add  $f^{(1)}$ 's top  $k$  most-confident predictions  $(\mathbf{x}, f^{(1)}(\mathbf{x}))$  to  $L_2$ .  
Add  $f^{(2)}$ 's top  $k$  most-confident predictions  $(\mathbf{x}, f^{(2)}(\mathbf{x}))$  to  $L_1$ .  
Remove these from the unlabeled data.

# “Noisy Student”

**Require:** Labeled images  $\{(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)\}$  and unlabeled images  $\{\tilde{x}_1, \tilde{x}_2, \dots, \tilde{x}_m\}$ .

- 1: Learn teacher model  $\theta_*$  which minimizes the cross entropy loss on labeled images

$$\frac{1}{n} \sum_{i=1}^n \ell(y_i, f^{noised}(x_i, \theta))$$

- 2: Use an unnoised teacher model to generate soft or hard pseudo labels for unlabeled images

$$\tilde{y}_i = f(\tilde{x}_i, \theta_*), \forall i = 1, \dots, m$$

- 3: Learn student model  $\theta'_*$  which minimizes the cross entropy loss on labeled images and unlabeled images with noise added to the student model

$$\frac{1}{n} \sum_{i=1}^n \ell(y_i, f^{noised}(x_i, \theta')) + \frac{1}{m} \sum_{i=1}^m \ell(\tilde{y}_i, f^{noised}(\tilde{x}_i, \theta'))$$

- 4: Iterative training: Use the student as a teacher and go back to step 2.

Method	# Params	Extra Data	Top-1 Acc.	Top-5 Acc.
ResNet-50 [23]	26M	-	76.0%	93.0%
ResNet-152 [23]	60M	-	77.8%	93.8%
DenseNet-264 [28]	34M	-	77.9%	93.9%
Inception-v3 [67]	24M	-	78.8%	94.4%
Xception [11]	23M	-	79.0%	94.5%
Inception-v4 [65]	48M	-	80.0%	95.0%
Inception-resnet-v2 [65]	56M	-	80.1%	95.1%
ResNeXt-101 [75]	84M	-	80.9%	95.6%
PolyNet [83]	92M	-	81.3%	95.8%
SENet [27]	146M	-	82.7%	96.2%
NASNet-A [86]	89M	-	82.7%	96.2%
AmoebaNet-A [54]	87M	-	82.8%	96.1%
PNASNet [39]	86M	-	82.9%	96.2%
AmoebaNet-C [13]	155M	-	83.5%	96.5%
GPipe [30]	557M	-	84.3%	97.0%
EfficientNet-B7 [69]	66M	-	85.0%	97.2%
EfficientNet-L2 [69]	480M	-	85.5%	97.5%
ResNet-50 Billion-scale [76]	26M	3.5B images labeled with tags	81.2%	96.0%
ResNeXt-101 Billion-scale [76]	193M		84.8%	-
ResNeXt-101 WSL [44]	829M		85.4%	97.6%
FixRes ResNeXt-101 WSL [71]	829M		86.4%	98.0%
<b>Noisy Student (L2)</b>	480M	300M unlabeled images	<b>87.4%</b>	<b>98.2%</b>

# Weakly-supervised Learning

**Weak Supervision:** Lower degree (or cheaper, simpler) annotations at **training stage** than the required outputs at the **testing stage**.

- The most prominent example in CV: Segmentation!!!
- Will be discussed in the later “Special Topic”

image-level labels



points



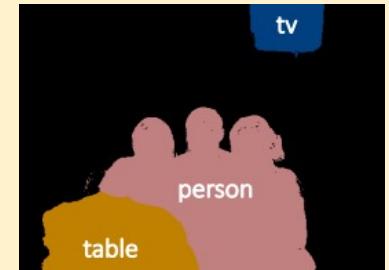
bounding boxes



scribbles



pixel-level labels



Training Stage (Weakly-supervised Annotations)

Testing Stage

# Few-Shot Learning

**People are  
good at it**



**Human-level concept learning  
through probabilistic  
program induction**

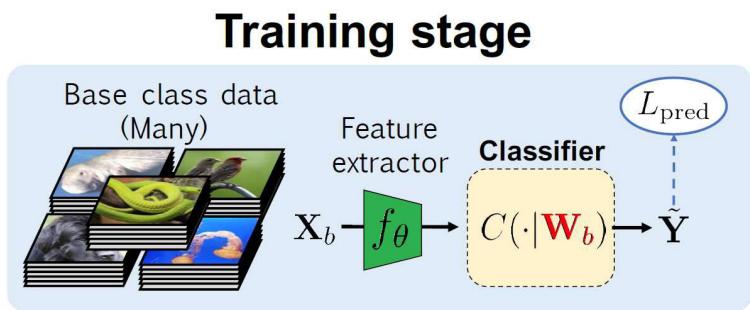
Brenden M. Lake,<sup>1\*</sup> Ruslan Salakhutdinov,<sup>2</sup> Joshua B. Tenenbaum<sup>3</sup>

**Machines are  
getting  
better at it**

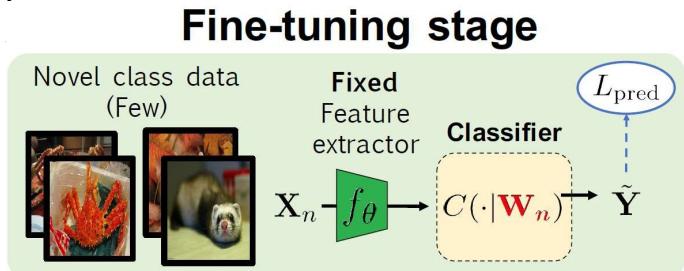
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ඃ	ආ	ං	ඇ	ඇ

# Normal Approach?

- **Do what we always do: Fine-tuning**
  - Train classifier on base classes



- Freeze features
- Learn classifier weights for new classes using few amounts of labeled data (during “query” time!)

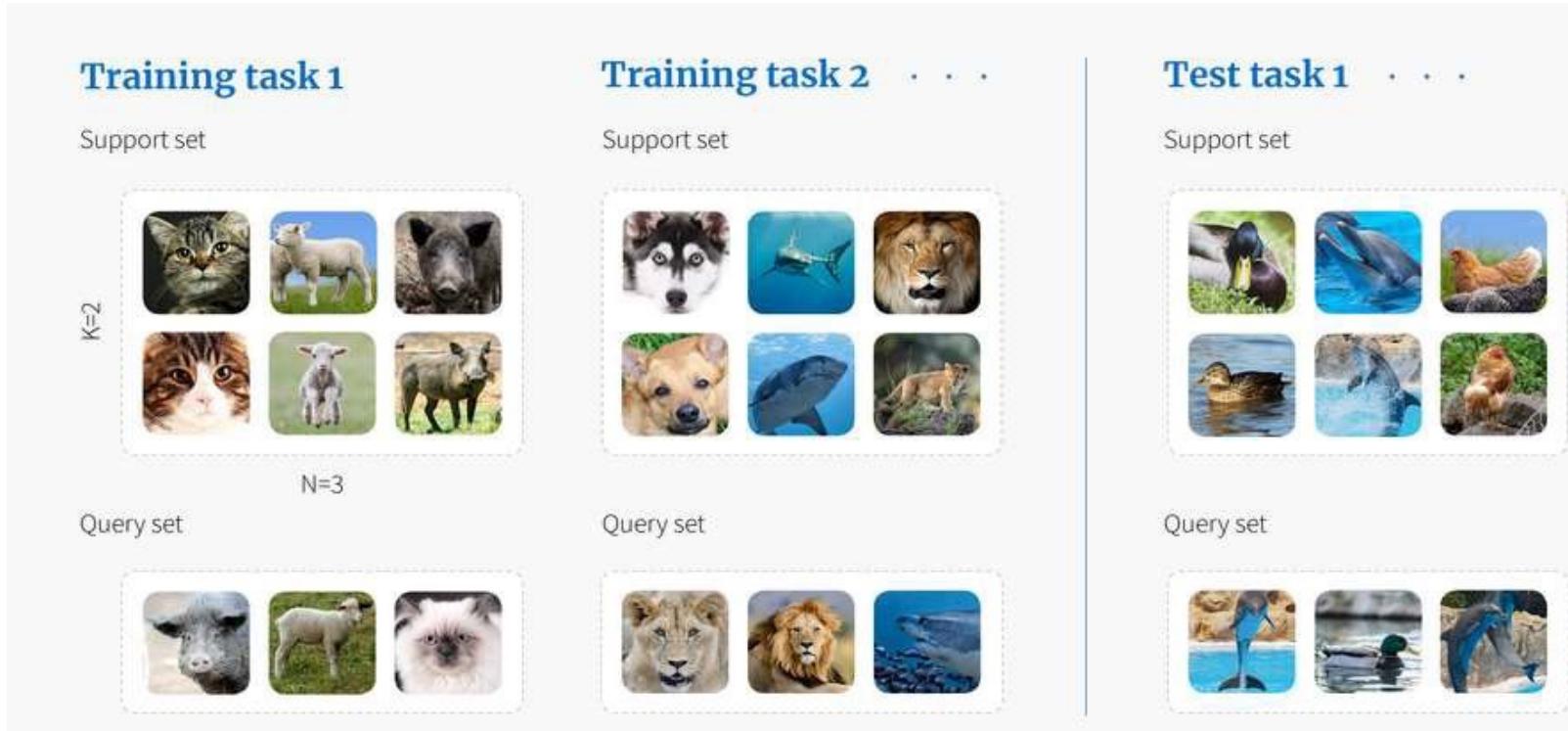


## Cons?

- The training we do on the base classes does not factor the task into account
- No notion that we will be performing a bunch of N-way tests
- **Idea:** simulate what we will see during test time – and can do that many times!

# Meta Learning Approach

- Set up a set of smaller tasks *during training* which simulates what we will be doing during testing



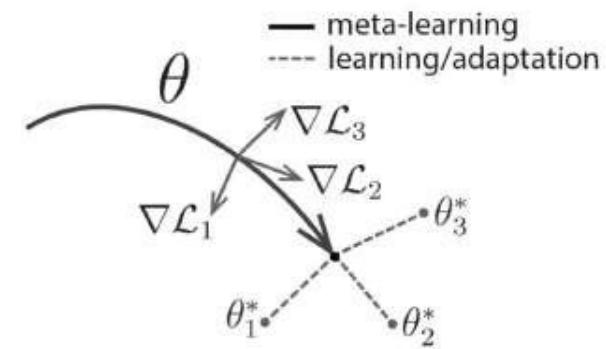
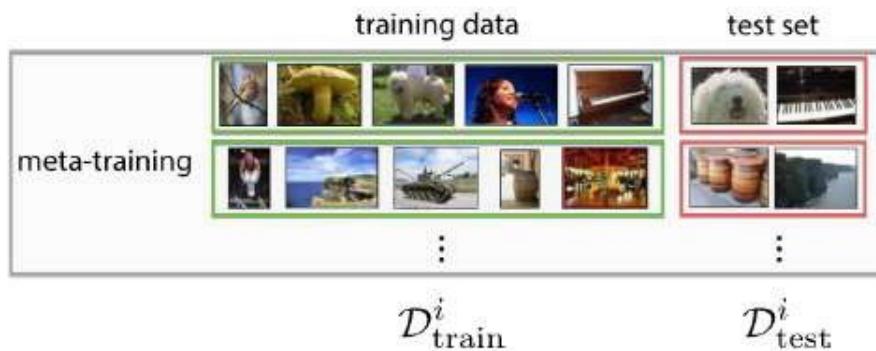
- Can optionally pre-train features on held-out base classes (not typical)
- Testing stage is now the same, but with new classes

# (More Sophisticated) Meta Learning Approaches

- Learn gradient descent – “learning to optimize”
  - Parameter initialization and update rules
  - Output:
    - Parameter initialization
    - Meta-learner that decides *how* to update parameters
- Learn an initialization and use normal gradient descent (MAML)
  - Output:
    - Just parameter initialization!
    - We are using SGD

# Model-Agnostic Meta-Learning (MAML)

a general recipe:



$$\theta \leftarrow \theta - \beta \sum_i \underbrace{\nabla_{\theta} \mathcal{L}(\theta - \alpha \nabla_{\theta} \mathcal{L}(\theta, \mathcal{D}_{\text{train}}^i), \mathcal{D}_{\text{test}}^i)}_{\text{"meta-loss" for task } i}$$

\* in general, can take more than one gradient step here  
\*\* we often use 4 – 10 steps

Finn et al., "Model-Agnostic Meta-Learning"



# Active Learning

From Education . . .

C. Bonwell and J. Eison [1]: In active learning, students participate in the process and students participate when they are doing something besides passively listening. It is a model of instruction or an education action that gives the responsibility of learning to learners themselves.

. . . to Machine Learning:

Settles [2, p.5]: Active learning systems attempt to overcome the labeling bottleneck by asking queries in the form of unlabeled instances to be labeled by an oracle. In this way, the active learner aims to achieve high accuracy using as few labeled instances as possible, thereby minimizing the cost of obtaining labeled data.

[1] Charles C. Bonwell and James A. Eison. Active learning: Creating excitement in the classroom. ASHE-ERIC Higher Education Report, 1, 1991.

[2] Burr Settles. Active learning literature survey. Computer Sciences Technical Report 1648, University of Wisconsin-Madison, Madison, Wisconsin, USA, 2009.

# Active Learning

## Setting

- Some information is costly (some not)
- Active learner controls selection process

## Objective

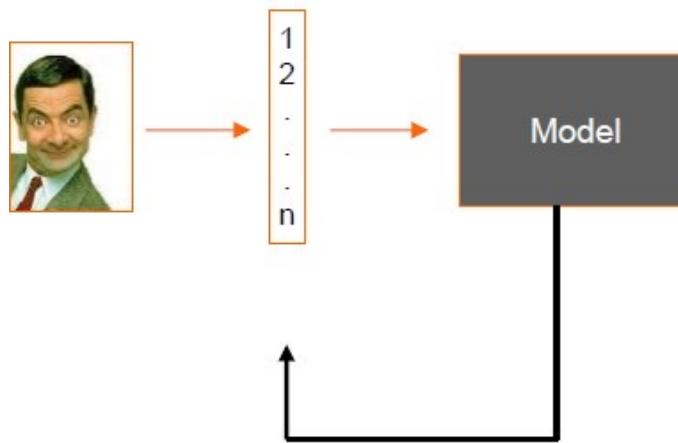
- Select the most valuable information
- Baseline: Random selection

## Historical Remarks

- Optimal experimental design
  - Valerii V. Fedorov. “Theory of Optimal Experiments Design”, Academic Press, 1972.
- Learning with queries/query synthesis
  - Dana Angluin. “Queries and concept learning”, Machine Learning, 2:319{342,1988.
- Selective sampling
  - David Cohn, L. Atlas, R. Ladner, M. El-Sharkawi, R. II Marks, M. Aggoune, and D. Park. “Training connectionist networks with queries and selective sampling”, In Advances in Neural Information Processing Systems (NIPS). Morgan Kaufmann, 1990.

# Selective Data Acquisition Tasks

A short diverticula



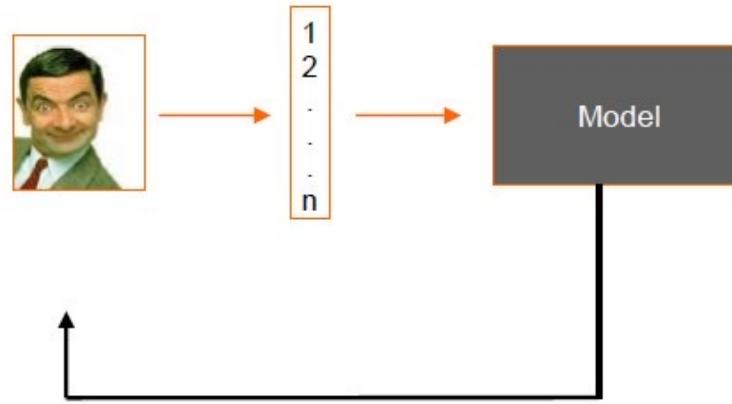
Active Sampling  
(inductive learning)

main assumption : obtaining an unlabeled instance is not free



Link with "Active class selection"?

from pool of unlabelled data

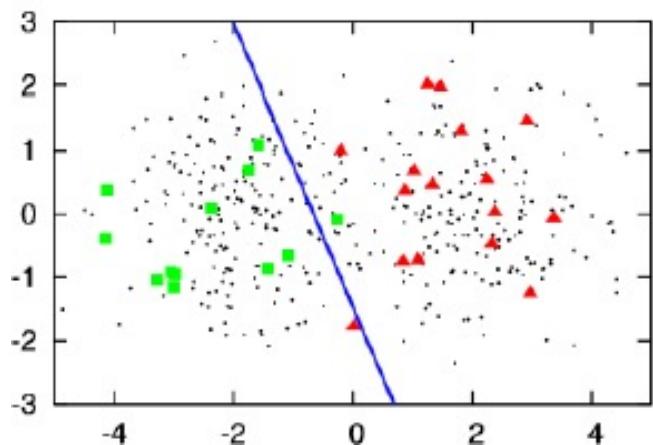
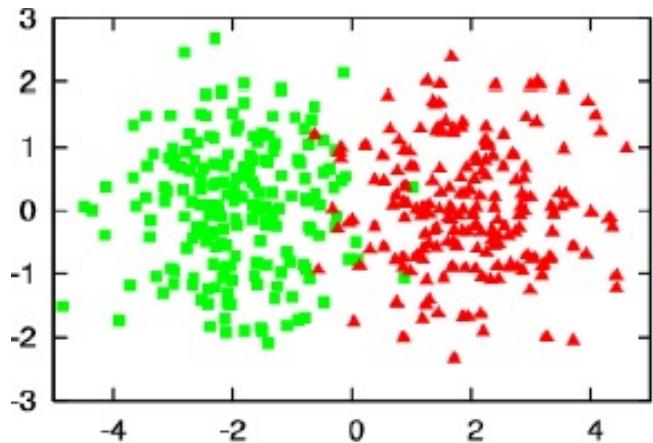


Selective Sampling  
(transductive learning)

main assumption : obtaining an unlabeled instance is free

this talk

# Uncertainty sampling



## Idea

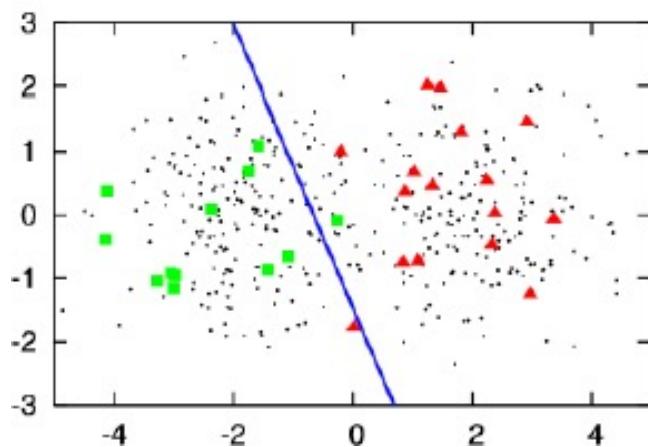
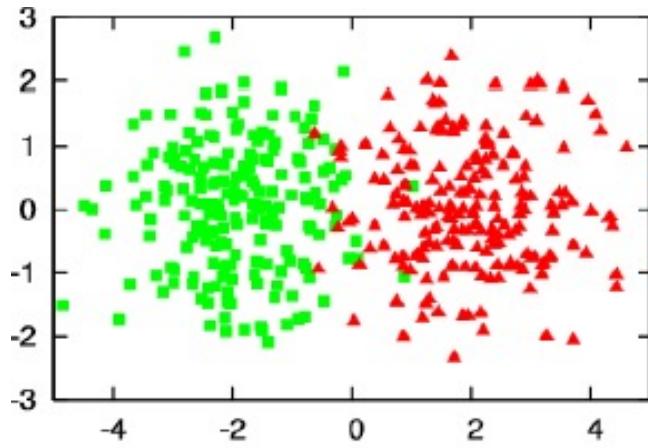
- Select those instances where we are least certain about the label

## Approach

- 3 labels preselected
- Linear classifier
- Use distance to the decision boundary as uncertainty measure

“Training connectionist networks with queries and selective sampling”.  
David Cohn, L. Atlas, R. Ladner, M. El-Sharkawi, R. II Marks, M. Aggoune, and D. Park.  
In Advances in Neural Information Processing Systems (NIPS). Morgan Kaufmann, 1990.

# Uncertainty sampling

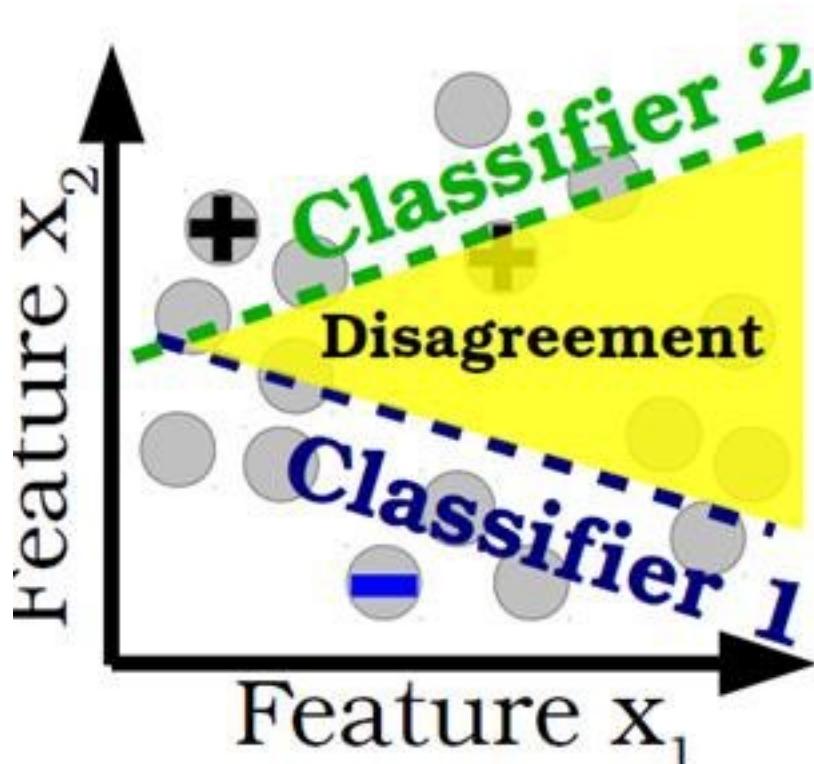


- + easy to implement
- + fast

- no exploration (often combined with random sampling)
- impact not considered (density weighted extensions exist)
- problem with complex structures (performance can be even worse than random)

Pure exploitation, does not explore  
Can get stuck in regions with high Bayesian error

# Ensemble-based Sampling



## Idea

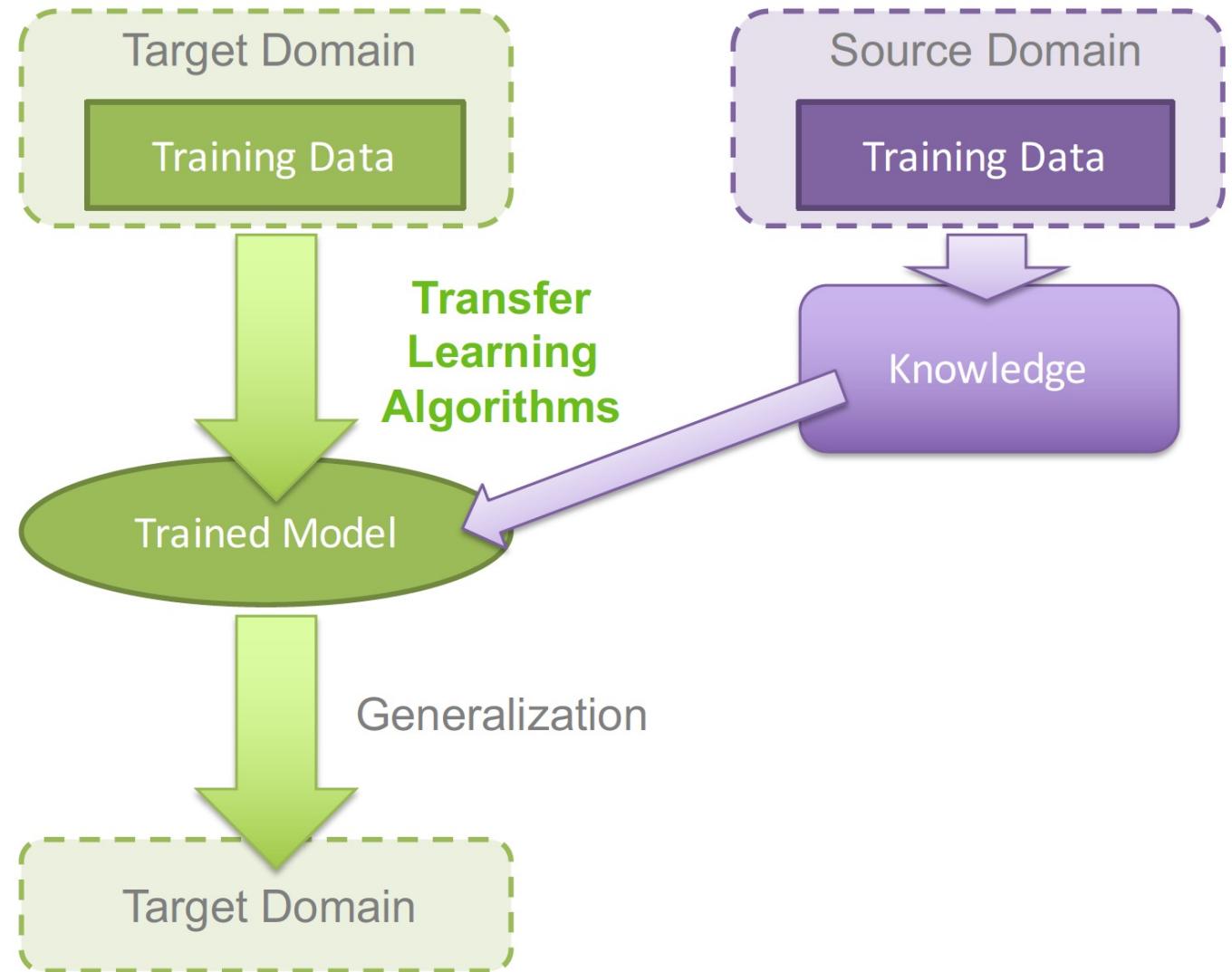
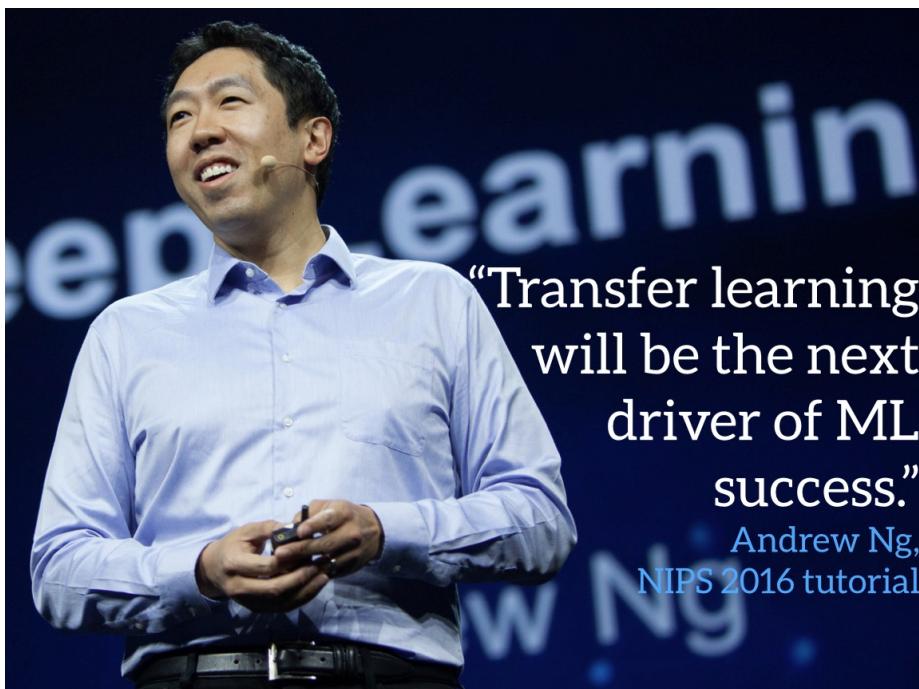
Use disagreement between base classifiers

## Approach

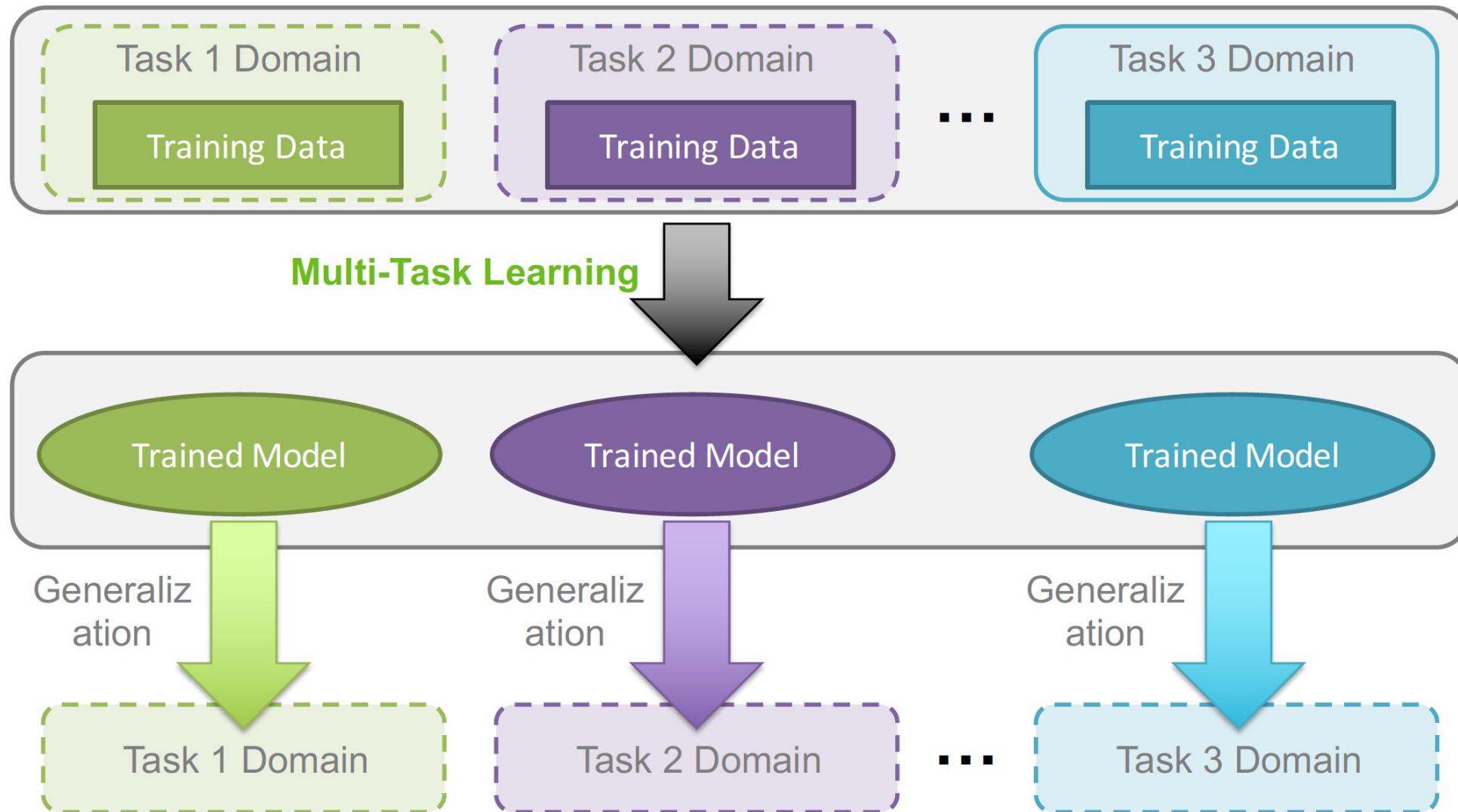
1. Get an initial set of labels
2. Split that set into (overlapping) subsets
3. On each subset, train a different base-classifier
4. Repeat until stop
5. On each unlabeled instance do
  6. Apply all base-classifiers
  7. Request label, if base-classifiers disagree
  8. Update all base-classifiers
  9. Go to step 4

# Transfer Learning

Improve Learning New Task  
by Learned Task



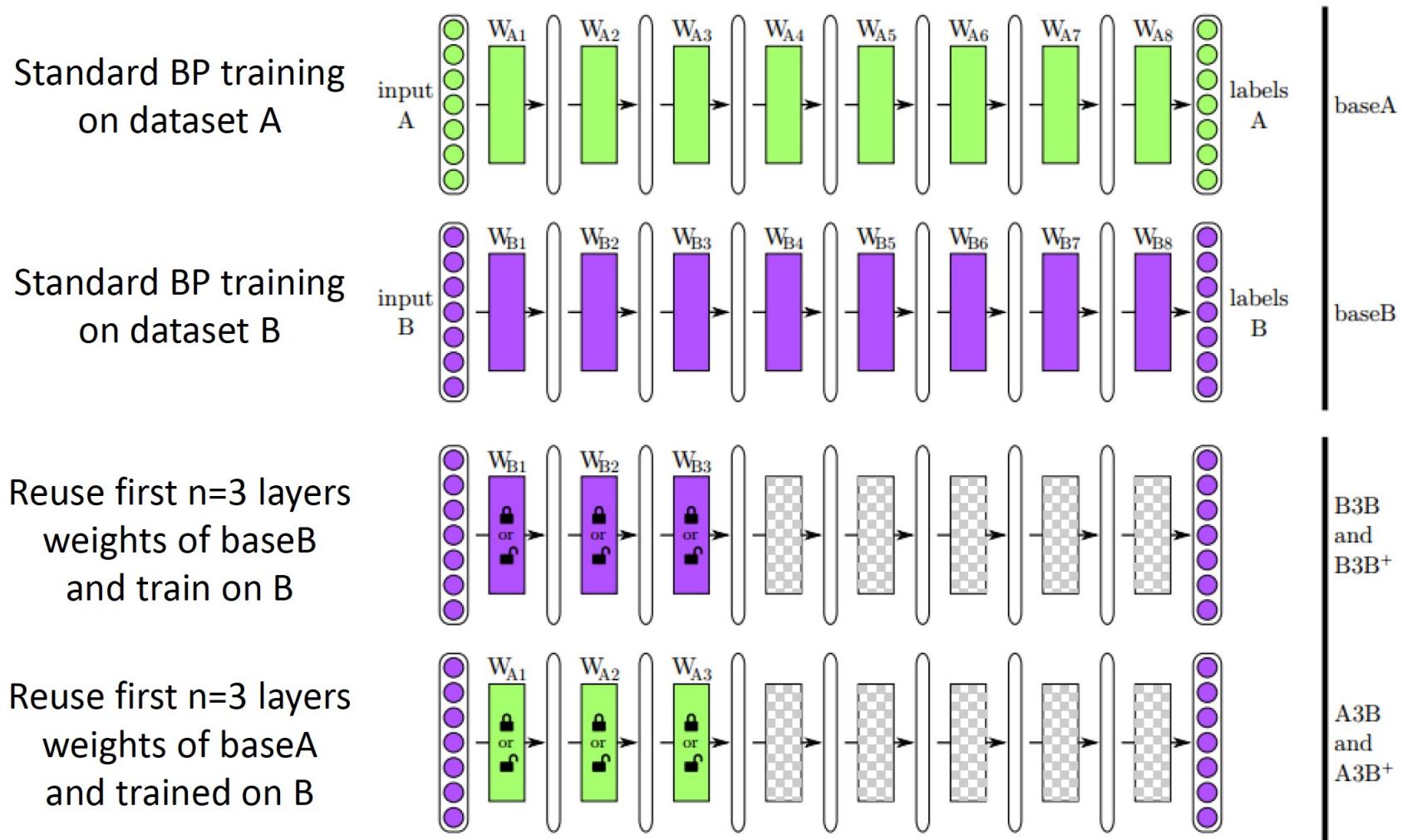
# Multi-Task Learning



# Transfer Learning: Main Solutions

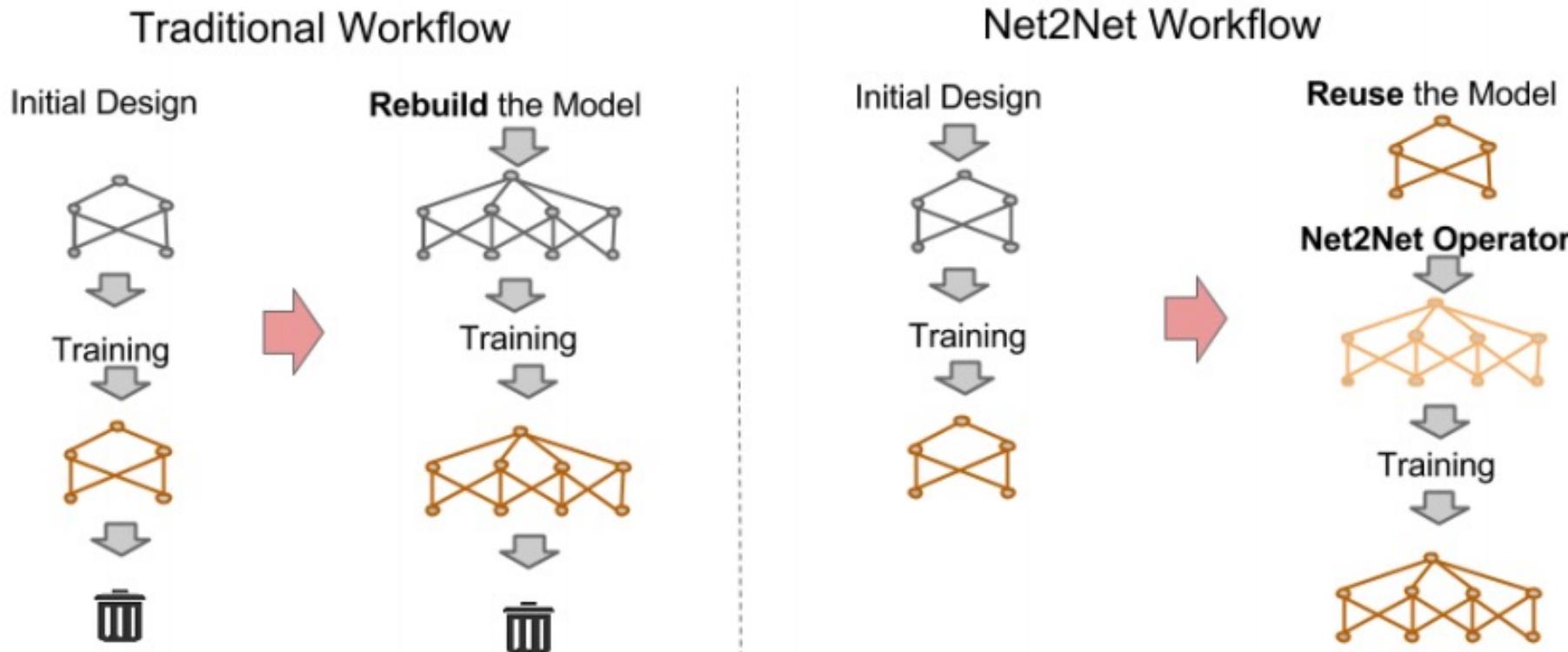
- **Instance (Data) Transfer**
  - Reweighting instances of target data according to source
  - Example: importance sampling; some “style-transfer” for data adaptation
- **Feature Transfer**
  - Mapping features of source and target data in a common space
  - Example: TCA; common pre-training + tuning methods in DL
- **Parameter Transfer**
  - Learn target model parameters according to source model
  - Example: Multi-task learning; Net2Net

# How transferable are deep learning features?



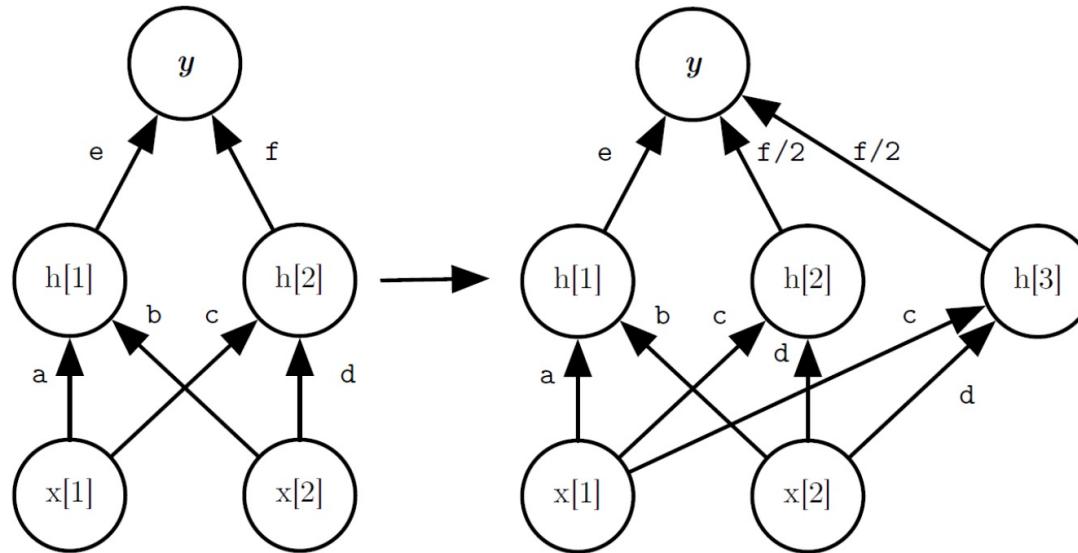
# Net2Net Transfer

- Net2Net reuses information of an already trained deep model to speedup training of a new model (potentially different topology)

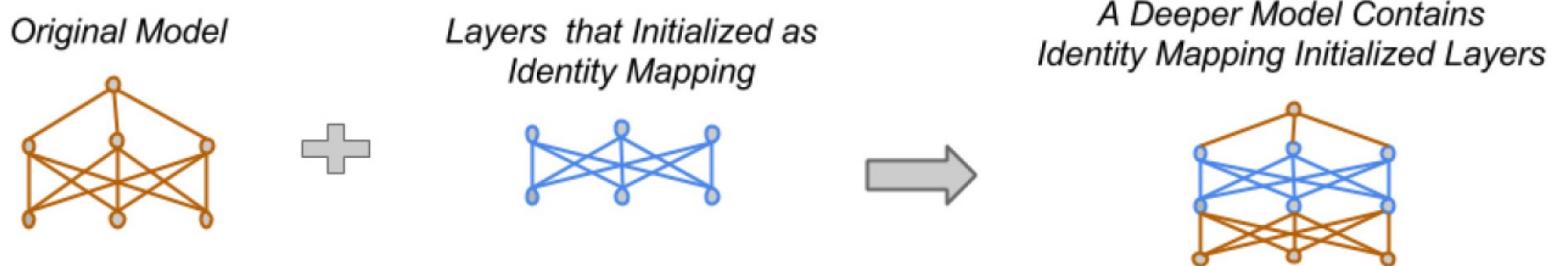


# Net2Net Transfer

- Wider



- Deeper



# The Multi-Blah Family

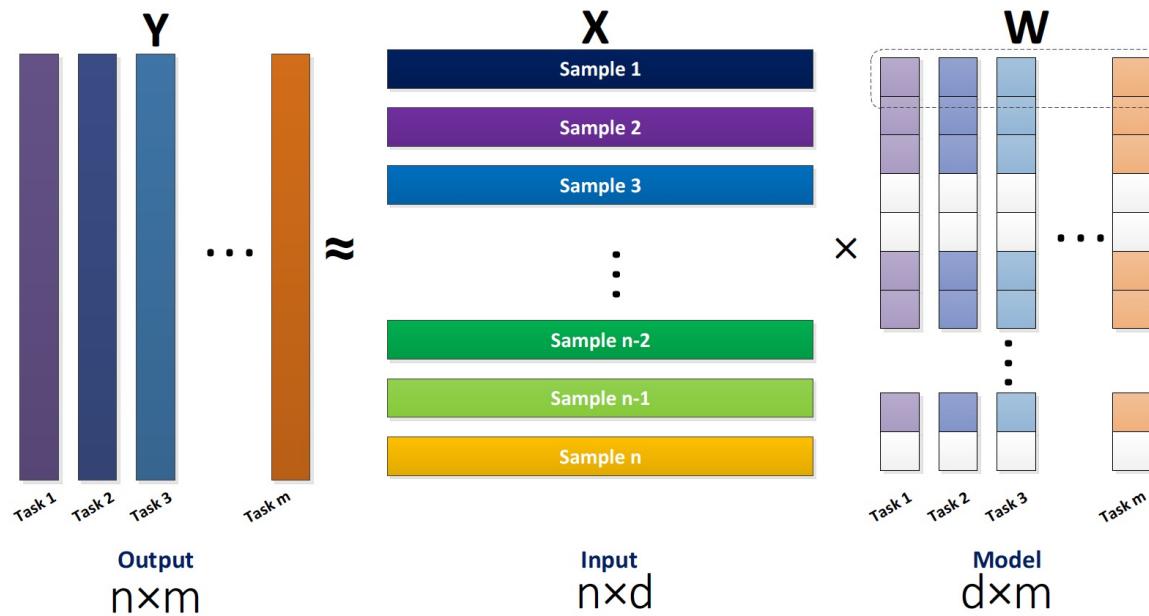
- **Multi-Task Learning**
  - A set of related machine learning tasks
  - Different samples, (usually) same features for each task
- **Multi-View Learning**
  - A learning task involving a set of different views of the same set of objects (e.g., text and image descriptions)
  - Same samples, different features for each view
- **Multi-Label Learning**
  - A learning task where the prediction for each sample includes multiple labels (e.g., news categories)
  - Can be considered as multi-task with the same data matrices
- **Multi-Class Learning**
  - A classification task where the label can be multiple values (e.g., weather prediction)
  - Can be considered as multi-label with mutual exclusive labels.

# Multi-Task Learning: Main Solutions

- **Direct Parameter Sharing (straightforward)**
  - Examples: shared weights or activations in neural networks; shared parameters in Gaussian process
- **Structural Regularization (today's focus)**
  - Can be designed to incorporate various assumptions and domain knowledge
  - Can be trained using large-scale optimization algorithms on big data
  - The key is to design the regularization term that couples the tasks.

# Multi-Task Learning with Joint Sparsity

- Using group sparsity:  $\ell_1/\ell_q$ -norm regularization
- When  $q > 1$  we have group sparsity.



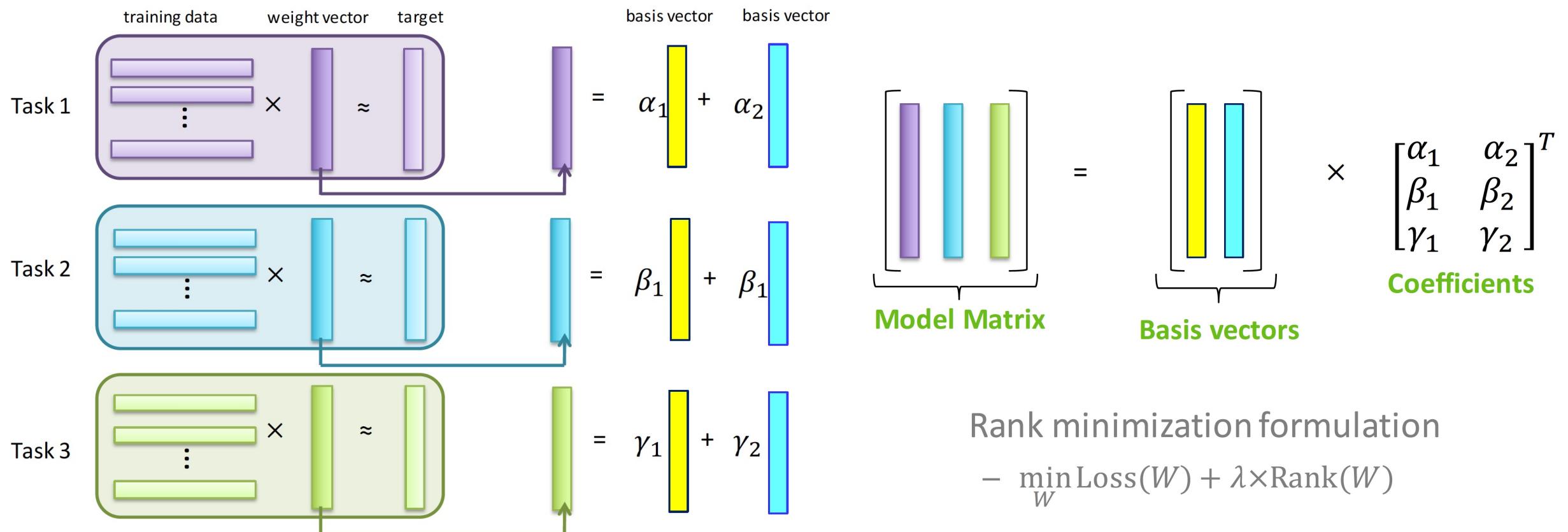
$$\min_W \frac{1}{2} \|XW - Y\|_F^2 + \lambda \|W\|_{1,q} \quad \|W\|_{1,q} = \sum_{i=1}^d \|\mathbf{w}_i\|_q$$

**Regularization**

Encourages group sparsity

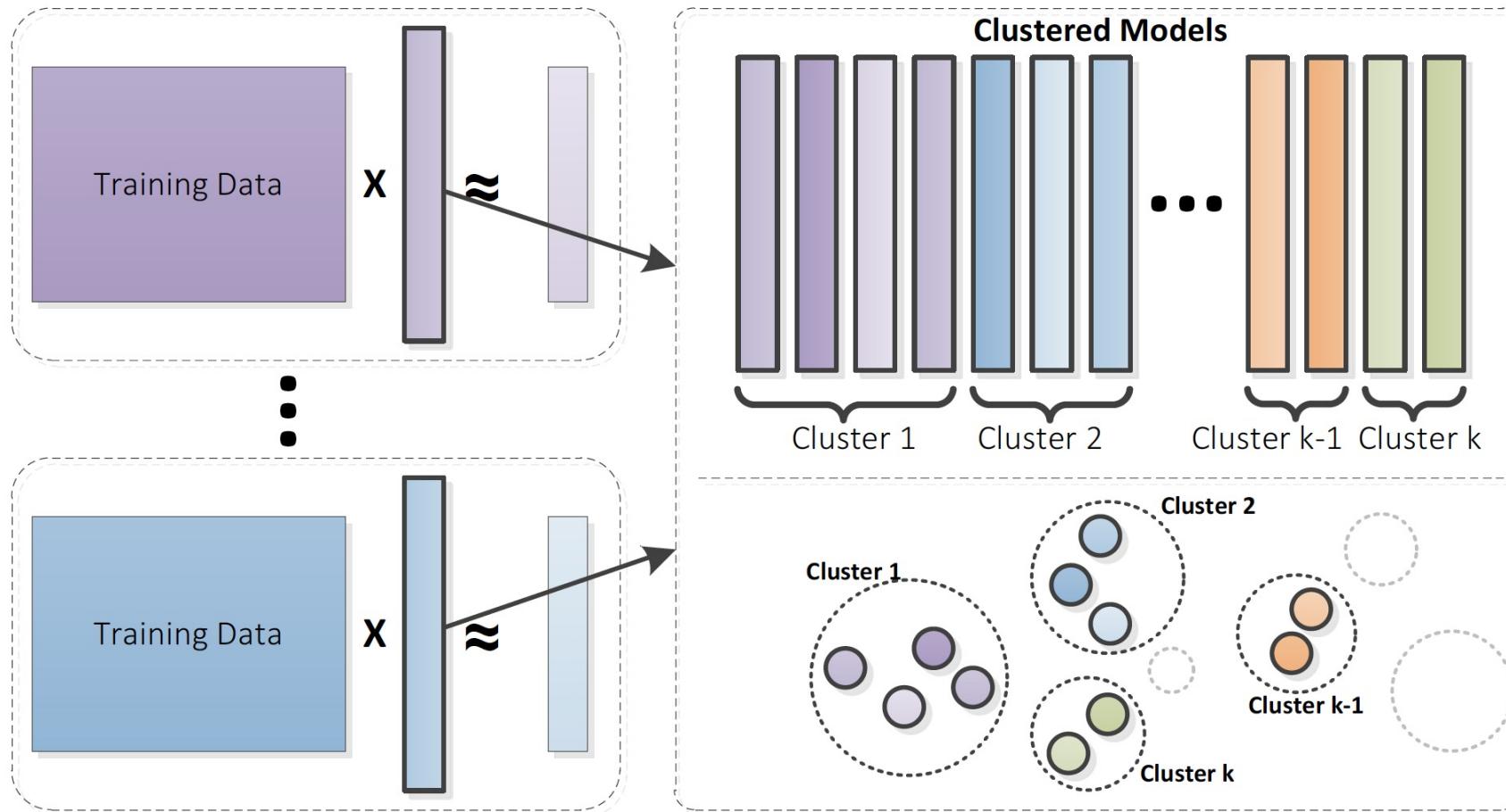
# Multi-Task Learning with Low-Rank Parameters

- Capture task relatedness via a shared low-rank structure

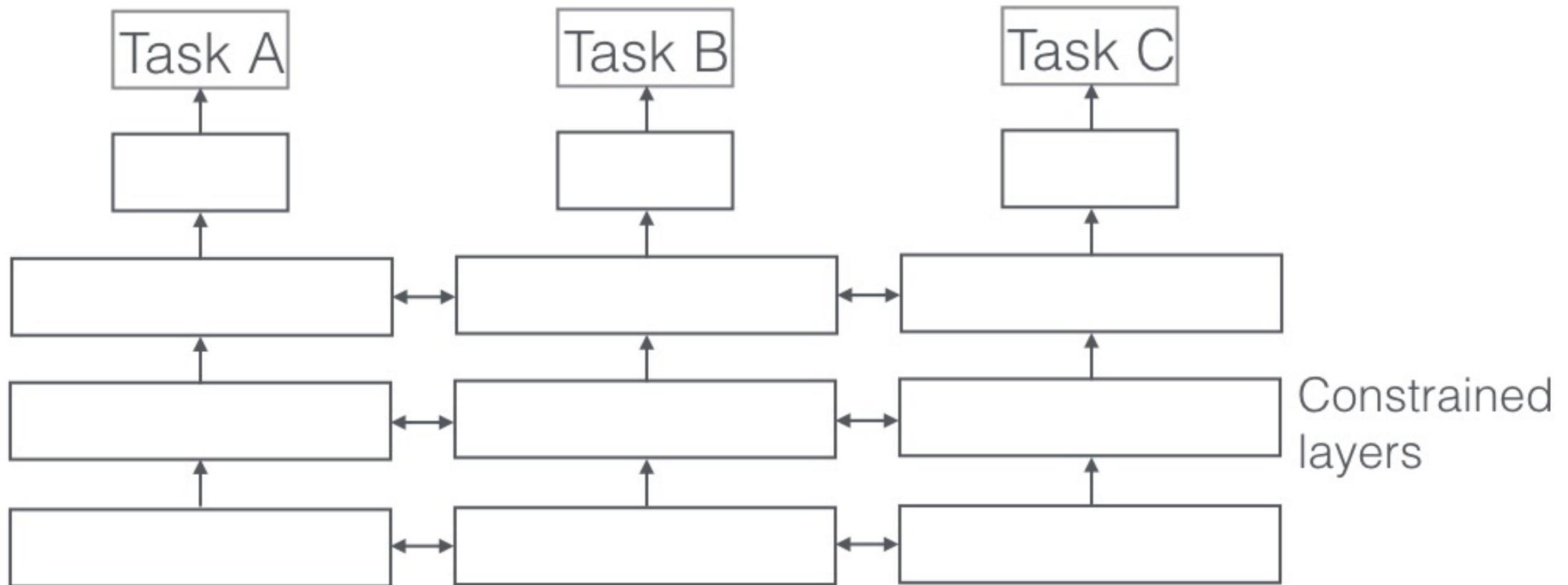


# Clustered Multi-Task Learning

- Use regularization to capture clustered structures.



# Multi-Task Learning in DNNs



# Now let's get ambitious: learning with NO Labels!!

- ▶ “Pure” Reinforcement Learning (**cherry**)

- ▶ The machine predicts a scalar reward given once in a while.

- ▶ **A few bits for some samples**

- ▶ Supervised Learning (**icing**)

- ▶ The machine predicts a category or a few numbers for each input

- ▶ Predicting human-supplied data

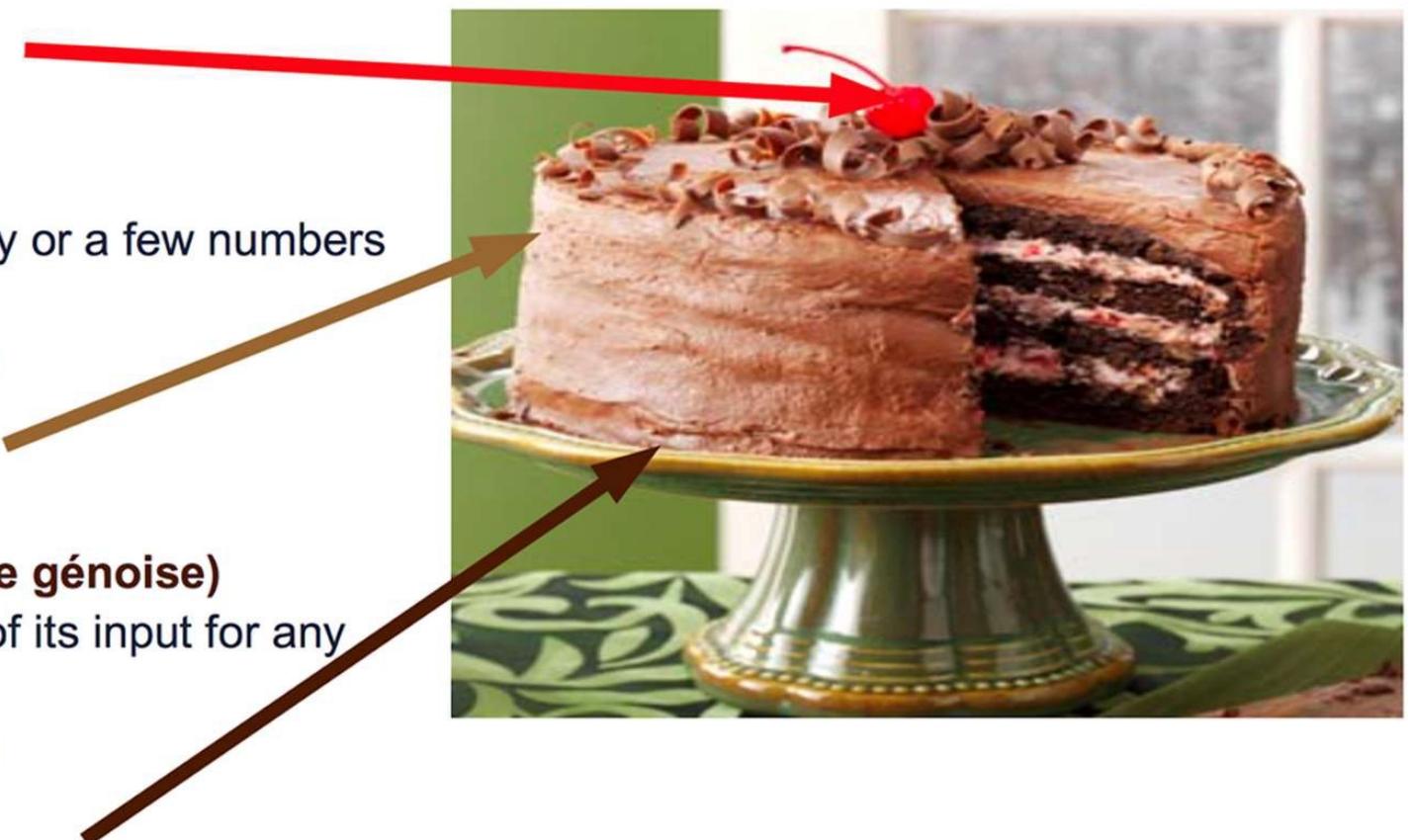
- ▶ **10→10,000 bits per sample**

- ▶ Self-Supervised Learning (**cake génoise**)

- ▶ The machine predicts any part of its input for any observed part.

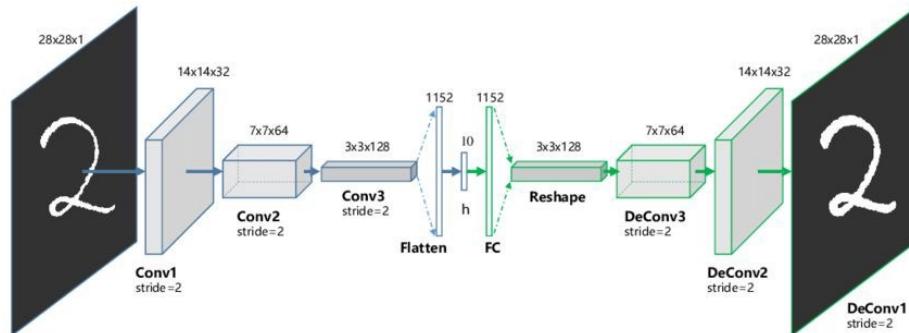
- ▶ Predicts future frames in videos

- ▶ **Millions of bits per sample**

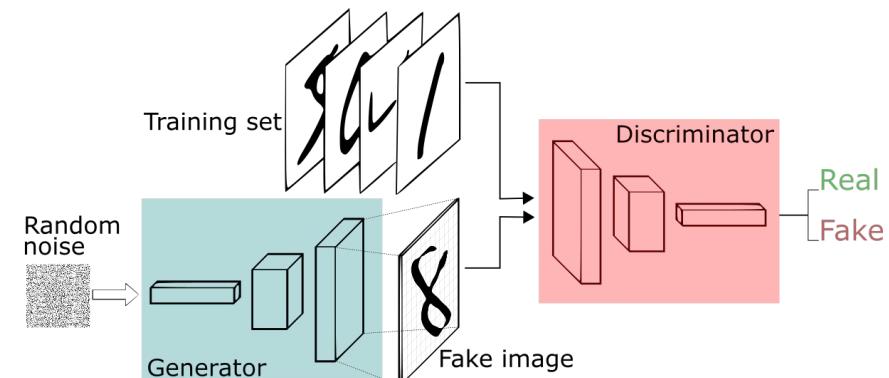


# First category of unsupervised learning

- Generative modeling
  - Generate or otherwise model pixels in the input space
  - Pixel-level generation is computationally expensive
  - Generating images of high-fidelity may not be necessary for representation learning



Autoencoder



Generative Adversarial Nets

# Second category of unsupervised learning

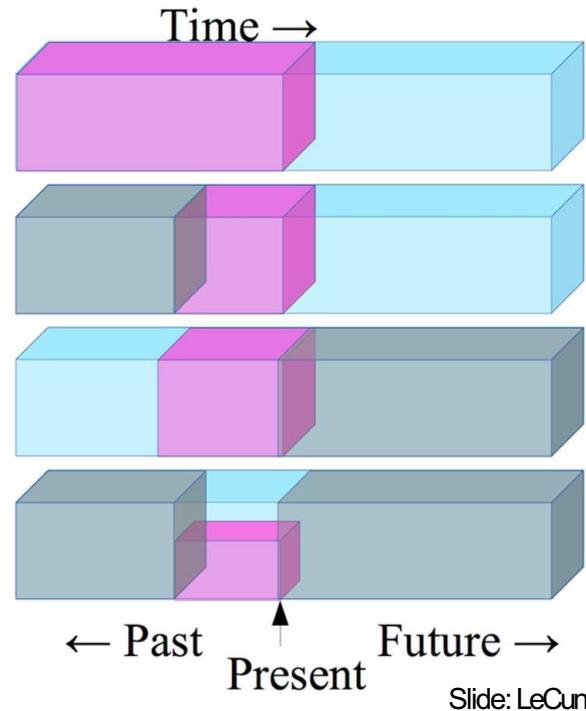
- Discriminative modeling
  - Train networks to perform *pretext tasks* where both the inputs and labels are derived from an unlabeled dataset.
  - Heuristic-based pretext tasks: rotation prediction, relative patch location prediction, colorization, solving jigsaw puzzle.
  - Many heuristics seem ad-hoc and may be limiting.



Images: [Gidaris et al 2018, Doersch et al 2015]

# Motivation and Methodology

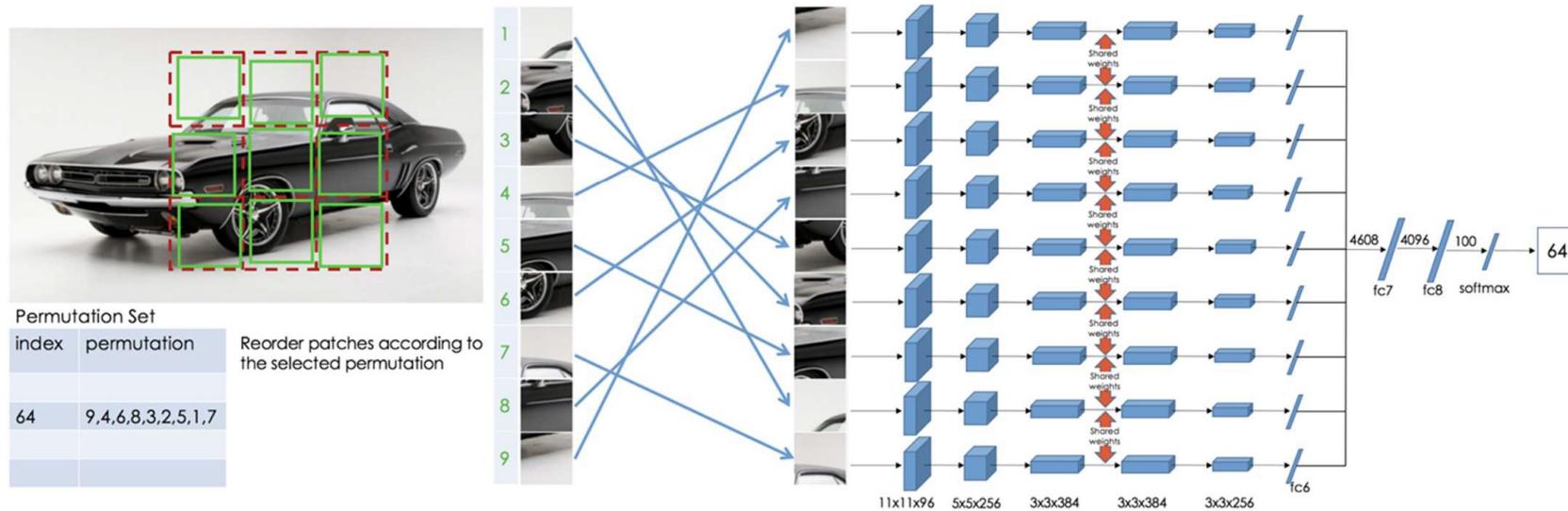
- ▶ Predict any part of the input from any other part.
- ▶ Predict the **future** from the **past**.
- ▶ Predict the **future** from the **recent past**.
- ▶ Predict the **past** from the **present**.
- ▶ Predict the **top** from the **bottom**.
- ▶ Predict the **occluded** from the **visible**
- ▶ **Pretend there is a part of the input you don't know and predict that.**



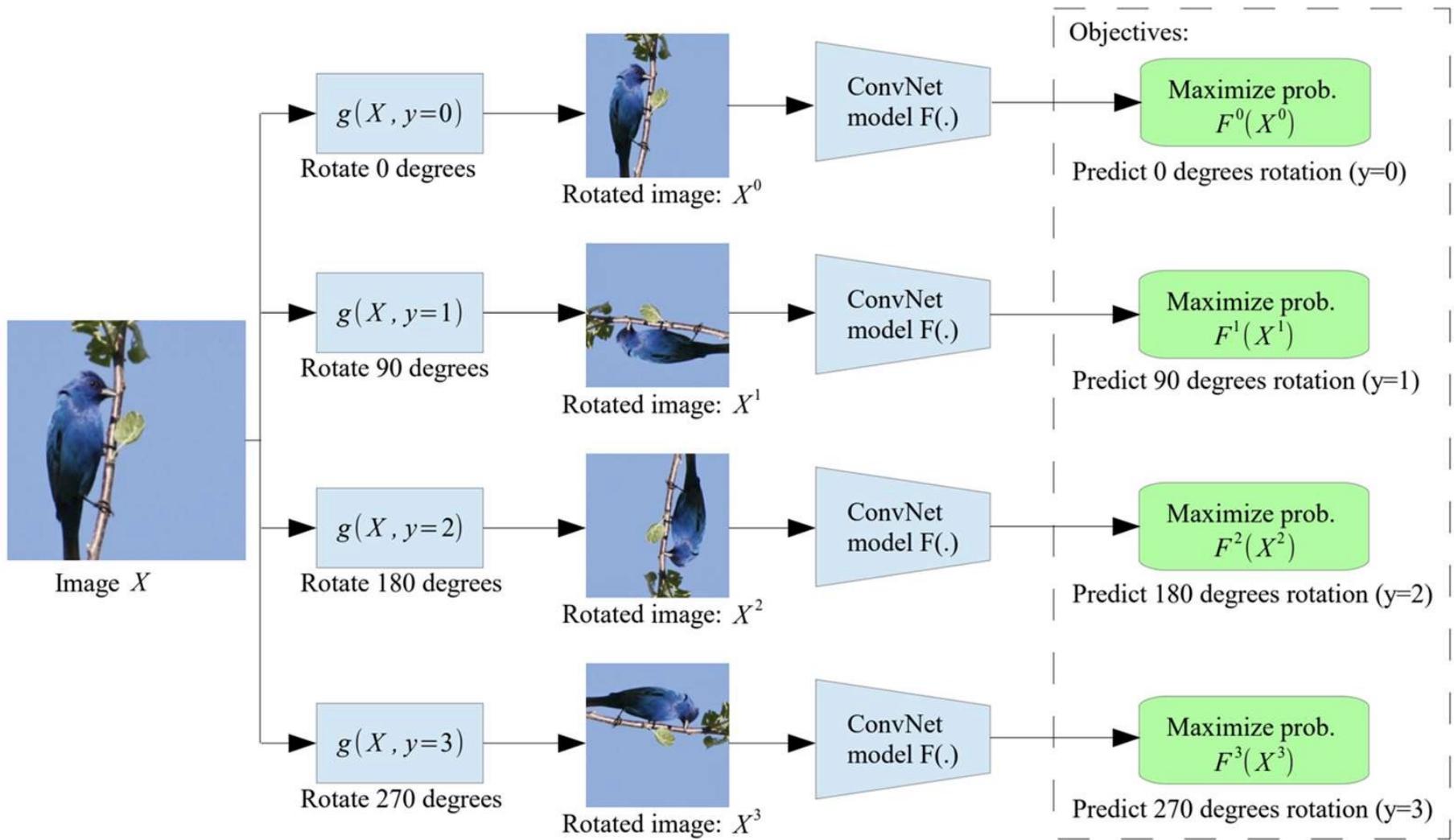
## Main Tasks in Use:

- Reconstruct from a corrupted (or partial) version
  - Denoising Autoencoder
  - In-painting
  - Colorization
- Visual common-sense tasks
  - Relative patch prediction
  - Jigsaw puzzles
  - Rotation
- Contrastive Learning
  - word2vec
  - Contrastive Predictive Coding (CPC)
  - MoCO, simCLR ...

# Example 1: Solving Jigsaw Puzzles



## Example 2: Rotation



# Simple Contrastive Learning (simCLR)

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## A Simple Framework for Contrastive Learning of Visual Representations

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Ting Chen<sup>1</sup> Simon Kornblith<sup>1</sup> Mohammad Norouzi<sup>1</sup> Geoffrey Hinton<sup>1</sup>

- **Simple idea:** maximizing the agreement of representations under data transformation, using a contrastive loss in the latent/feature space
- **Super effective:** 10% relative improvement over previous SOTA (cpc v2), outperforms AlexNet with 100X fewer labels

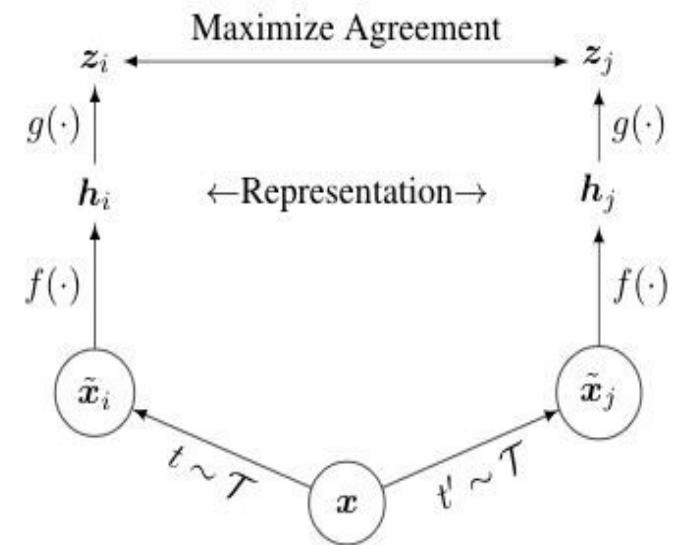
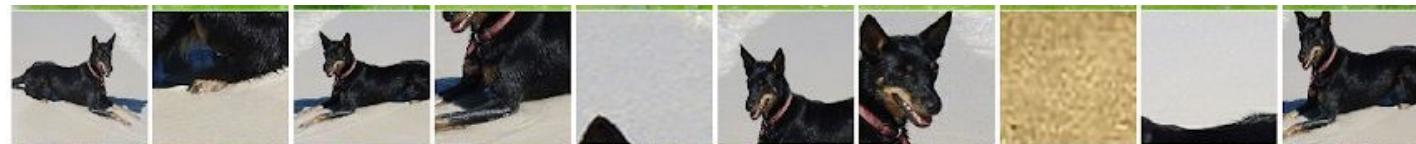
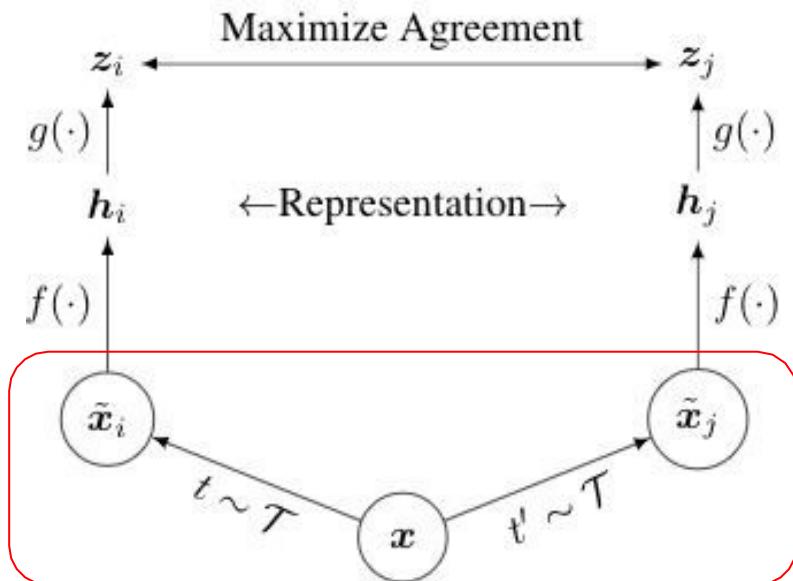


Figure 2. A framework for contrastive representation learning. Two separate stochastic data augmentations  $t, t' \sim \mathcal{T}$  are applied to each example to obtain two correlated views. A base encoder network  $f(\cdot)$  with a projection head  $g(\cdot)$  is trained to maximize agreement in *latent representations* via a contrastive loss.

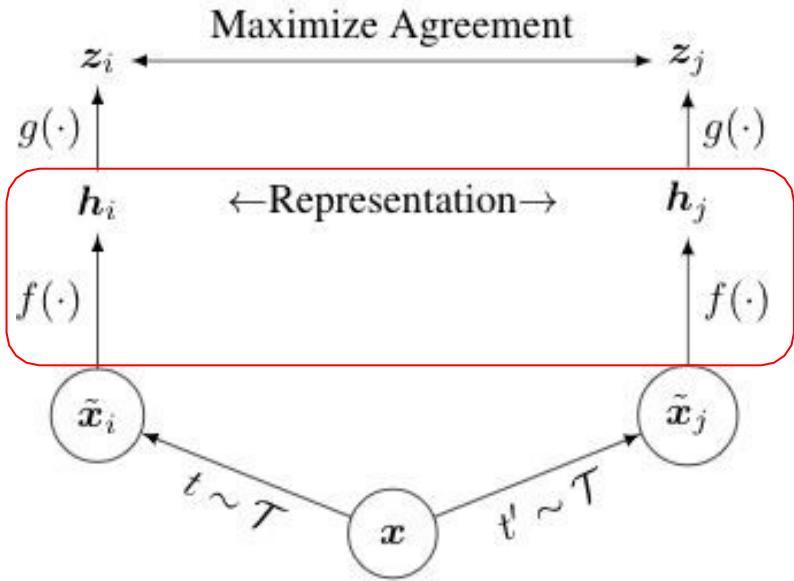
# Simple Contrastive Learning Contrast (simCLR)

simCLR uses random crop and color distortion for augmentation.

Examples of augmentation applied to the left most images:

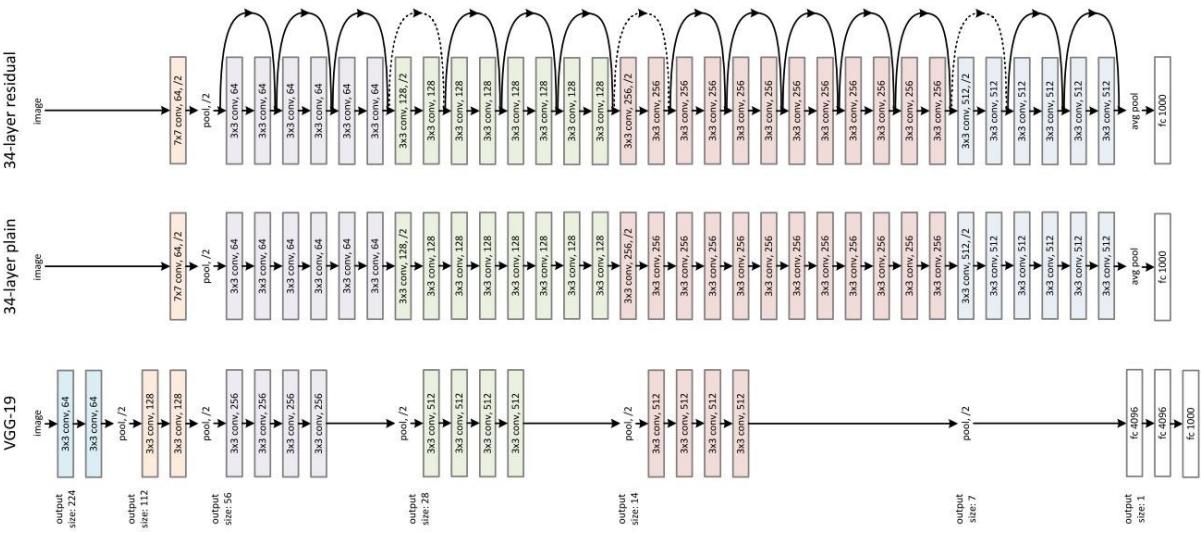


# Simple Contrastive Learning Contrast (simCLR)

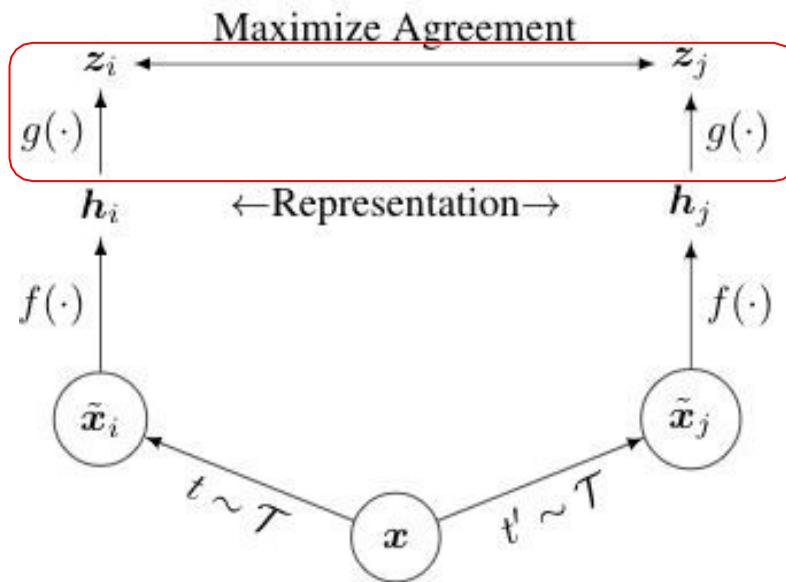


$f(x)$  is the base network that computes internal representation.

Default simCLR use (unconstrained) ResNet in this work.  
However, it can be other networks.

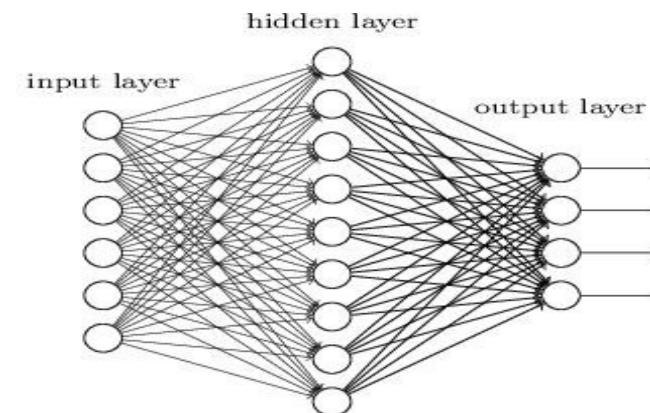


# Simple Contrastive Learning Contrast (simCLR)

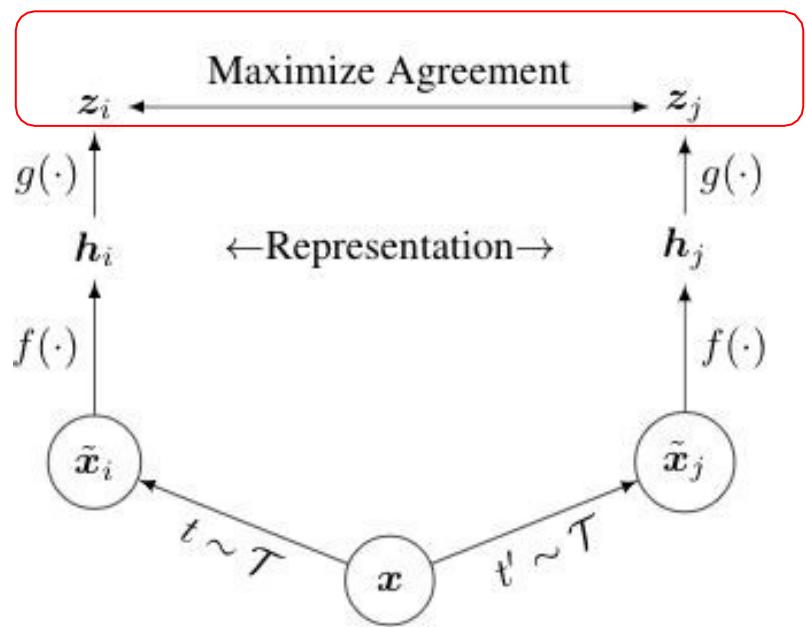


$g(h)$  is a projection network that project representation to a latent space.

simCLR use a 2-layer non-linear MLP

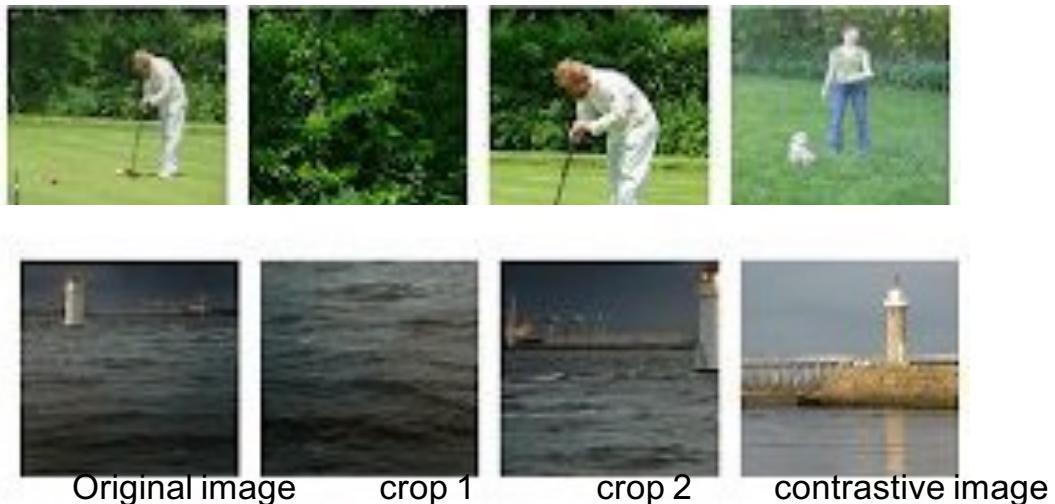


# Simple Contrastive Learning Contrast (simCLR)



Maximize agreement using a contrastive task:

Given  $\{x_k\}$  where two different examples  $x_i$  and  $x_j$  are a positive pair, identify  $x_j$  in  $\{x_k\}_{\{k \neq i\}}$  for  $x_i$ .



Loss function:

$$\text{Let } \text{sim}(\mathbf{u}, \mathbf{v}) = \mathbf{u}^\top \mathbf{v} / \|\mathbf{u}\| \|\mathbf{v}\|$$

$$\ell_{i,j} = -\log \frac{\exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_j)/\tau)}{\sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_k)/\tau)}$$

# simCLR algorithm in pseudo code

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**Algorithm 1** SimCLR's main learning algorithm.

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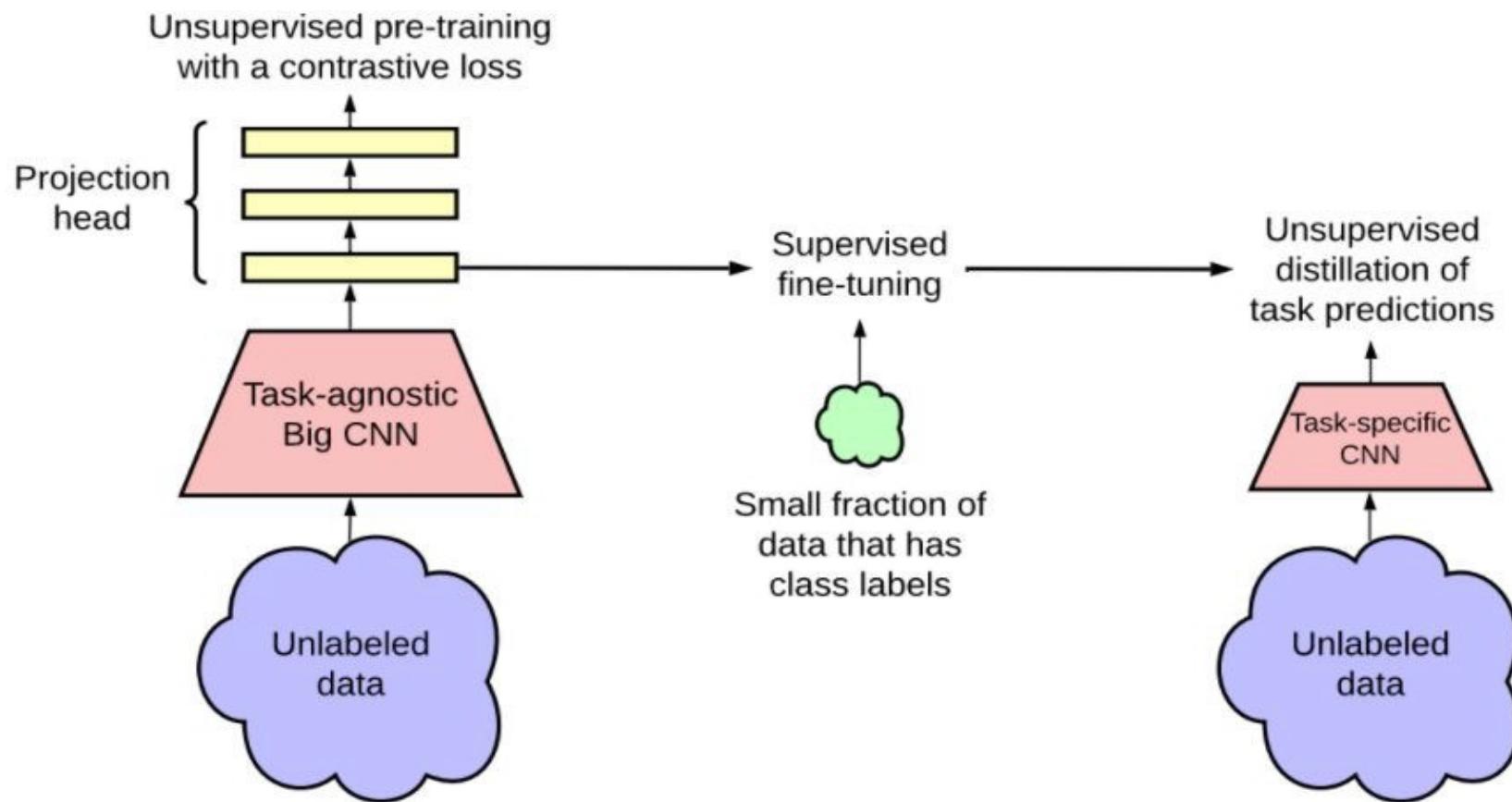
```
input: batch size  $N$ , temperature  $\tau$ , form of  $f, g, \mathcal{T}$ .  
for sampled mini-batch  $\{\mathbf{x}_k\}_{k=1}^N$  do  
    for all  $k \in \{1, \dots, N\}$  do  
        draw two augmentation functions  $t \sim \mathcal{T}, t' \sim \mathcal{T}$   
        # the first augmentation  
         $\tilde{\mathbf{x}}_{2k-1} = t(\mathbf{x}_k)$   
         $\mathbf{h}_{2k-1} = f(\tilde{\mathbf{x}}_{2k-1})$                                 # representation  
         $\mathbf{z}_{2k-1} = g(\mathbf{h}_{2k-1})$                             # projection  
        # the second augmentation  
         $\tilde{\mathbf{x}}_{2k} = t'(\mathbf{x}_k)$   
         $\mathbf{h}_{2k} = f(\tilde{\mathbf{x}}_{2k})$                                 # representation  
         $\mathbf{z}_{2k} = g(\mathbf{h}_{2k})$                             # projection  
    end for  
    for all  $i \in \{1, \dots, 2N\}$  and  $j \in \{1, \dots, 2N\}$  do  
         $s_{i,j} = \mathbf{z}_i^\top \mathbf{z}_j / (\tau \|\mathbf{z}_i\| \|\mathbf{z}_j\|)$     # pairwise similarity  
    end for  
    define  $\ell(i, j)$  as  $-s_{i,j} + \log \sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(s_{i,k})$   
     $\mathcal{L} = \frac{1}{2N} \sum_{k=1}^N [\ell(2k-1, 2k) + \ell(2k, 2k-1)]$   
    update networks  $f$  and  $g$  to minimize  $\mathcal{L}$   
end for  
return encoder network  $f$ 
```

---

## Take-home key points:

- No memory bank, but benefit from large batch sizes (at least, 1k-2k per minibatch)
- To avoid shortcut, use global BN (Compute BN statistics over all cores)
- Composition of augmentations are crucial. And contrastive learning needs stronger data/color augmentation than supervised learning
- A nonlinear projection head improves the representation quality of the layer before it
- “Temperature hyperparameter” in the contrastive loss is very critical
- Unsupervised contrastive learning benefits (more) from bigger models (simCLR v2)
- simCLR can immediately be used to few-shot, semi-supervised, and transfer learning

# simCLR as a strong semi-supervised learner



## “Pre-train, Fine-tune, and Distill”

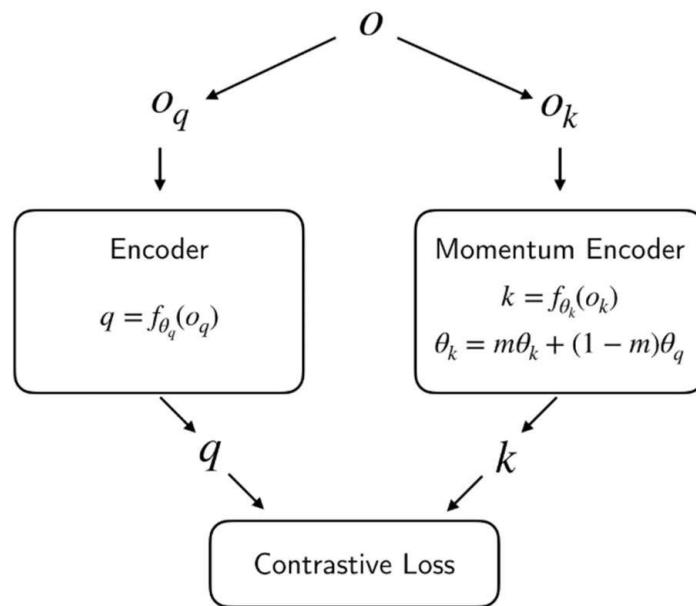
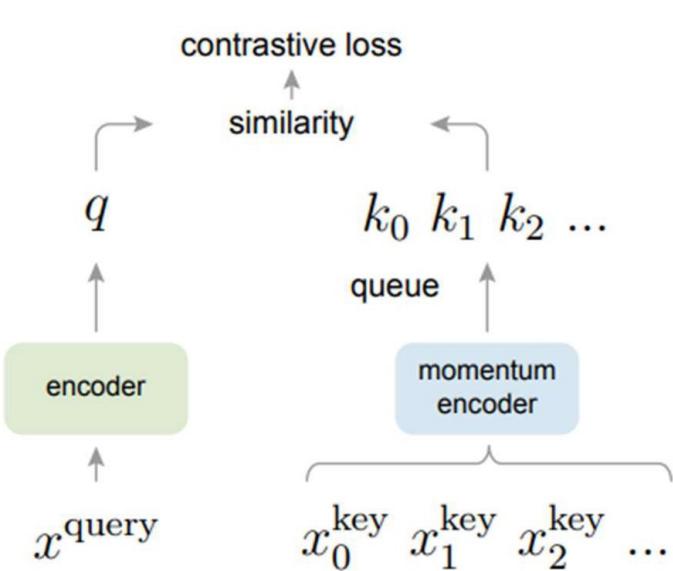
- **Surprise:** Bigger models are more label-efficient!
- Using pre-training + fine-tuning, “the fewer the labels, the bigger the model”

# Momentum Contrast (MoCo)

## Momentum Contrast for Unsupervised Visual Representation Learning

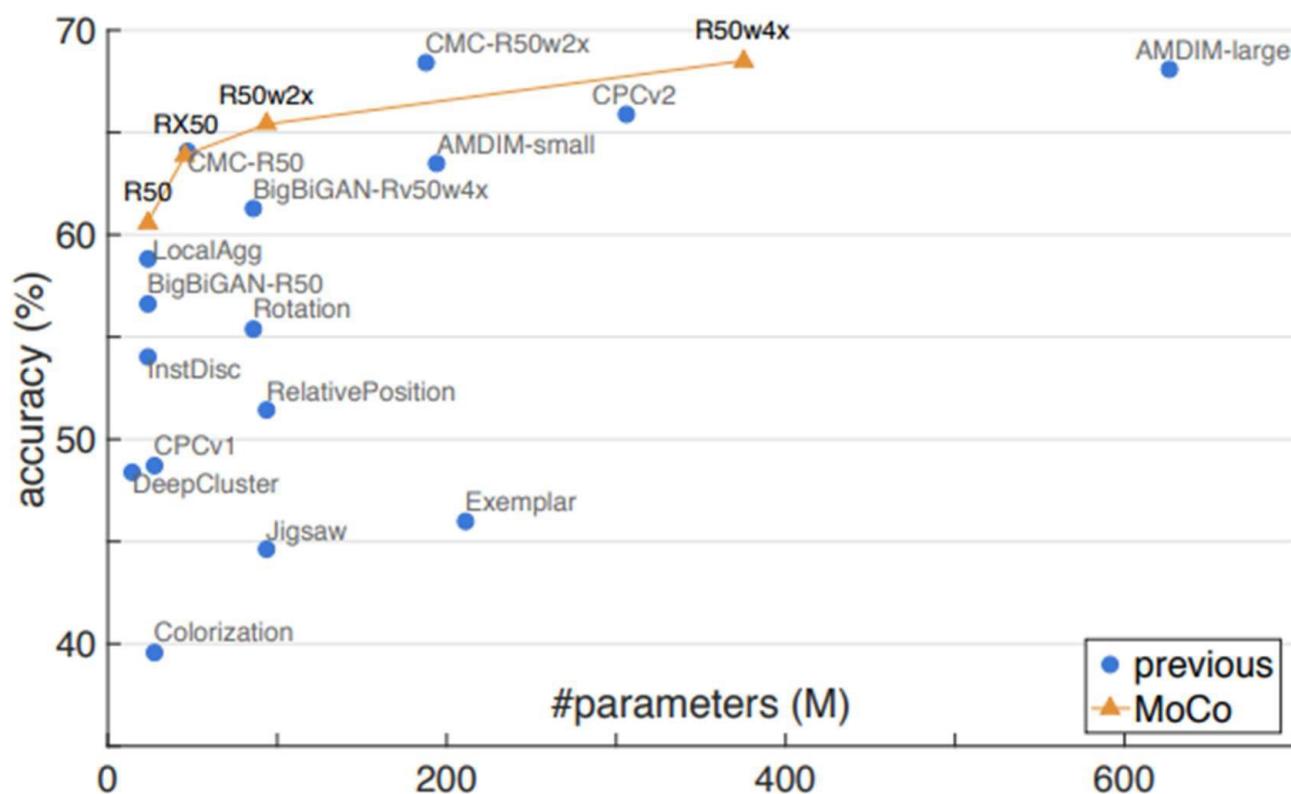
Kaiming He Haoqi Fan Yuxin Wu Saining Xie Ross Girshick

Facebook AI Research (FAIR)



$$\mathcal{L}_q = -\log \frac{\exp(q \cdot k_+ / \tau)}{\sum_{i=0}^K \exp(q \cdot k_i / \tau)}$$

# Momentum Contrast (MoCo)



method	architecture	#params (M)	accuracy (%)
Exemplar [17]	R50w3 $\times$	211	46.0 [38]
RelativePosition [13]	R50w2 $\times$	94	51.4 [38]
Jigsaw [45]	R50w2 $\times$	94	44.6 [38]
Rotation [19]	Rv50w4 $\times$	86	55.4 [38]
Colorization [64]	R101*	28	39.6 [14]
DeepCluster [3]	VGG [53]	15	48.4 [4]
BigBiGAN [16]	R50	24	56.6
	Rv50w4 $\times$	86	61.3
<i>methods based on contrastive learning follow:</i>			
InstDisc [61]	R50	24	54.0
LocalAgg [66]	R50	24	58.8
CPC v1 [46]	R101*	28	48.7
CPC v2 [35]	R170* <sub>wider</sub>	303	65.9
CMC [56]	R50 <sub>L+ab</sub>	47	64.1 <sup>†</sup>
	R50w2 $\times$ L <sub>+ab</sub>	188	68.4 <sup>†</sup>
AMDIM [2]	AMDIM <sub>small</sub>	194	63.5 <sup>†</sup>
	AMDIM <sub>large</sub>	626	68.1 <sup>†</sup>
<b>MoCo</b>			
	R50	24	60.6
	RX50	46	63.9
	R50w2 $\times$	94	65.4
	R50w4 $\times$	375	68.6

Table 1. Comparison under the linear classification protocol on ImageNet. The figure visualizes the table. All are reported as unsupervised pre-training on the ImageNet-1M training set, followed by supervised linear classification trained on frozen fea-



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