

**Fall 2021**

# ADVANCED TOPICS IN COMPUTER VISION

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# Why Transformer for Vision?

- Towards a **general, conceptual simple**, and **sufficiently versatile** architecture yet still achieving competitive performance for vision?
- The **inductive bias** of CNNs, e.g., spatially invariant and locality-based, also may not be sufficient ...



# Basics: Transformer in NLP

- Standard model in NLP tasks
- Only consists of self-attention modules, instead of RNN
- Encoder-decoder
- Requires large dataset and high computational cost
- Pre-training and fine-tuning approaches : BERT & GPT

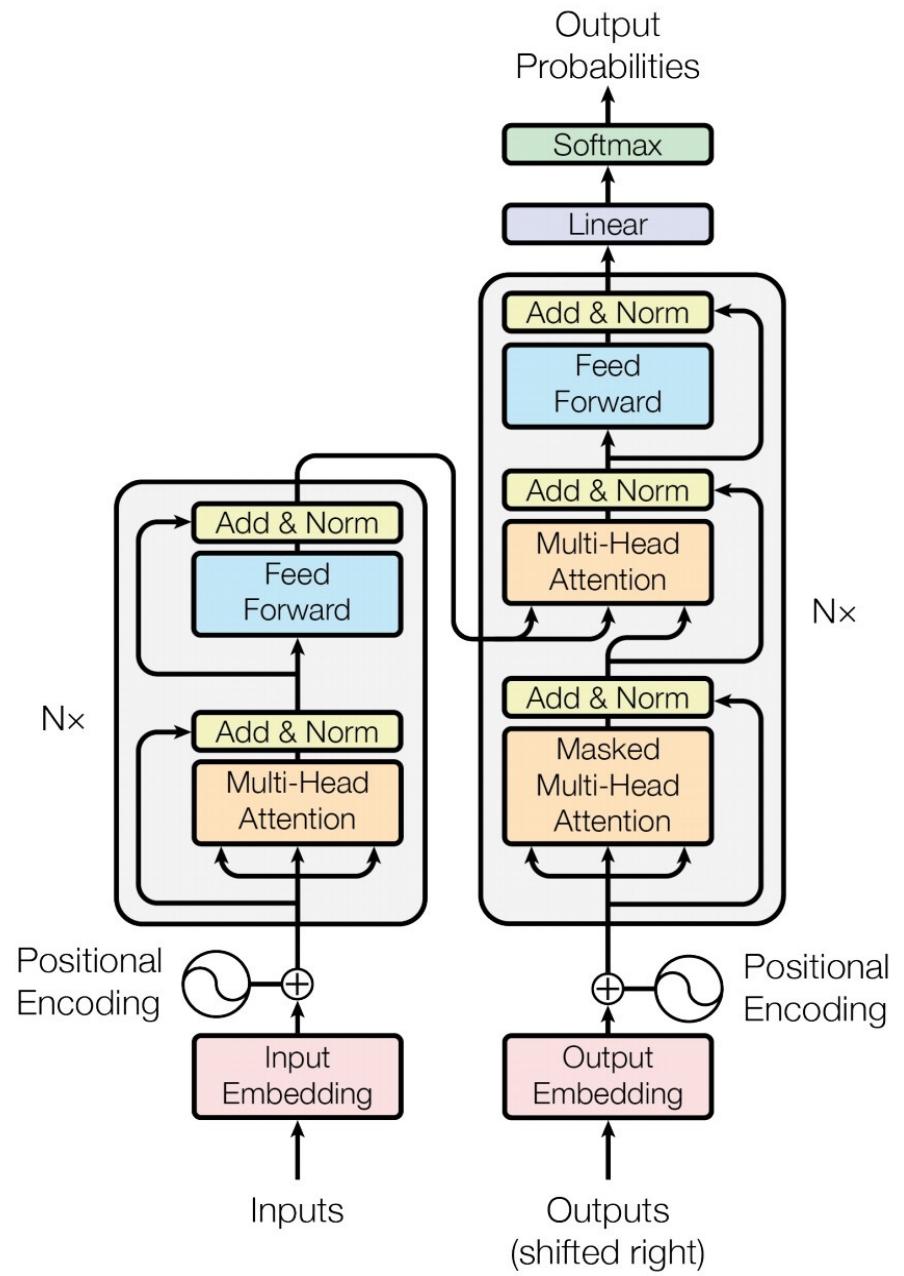
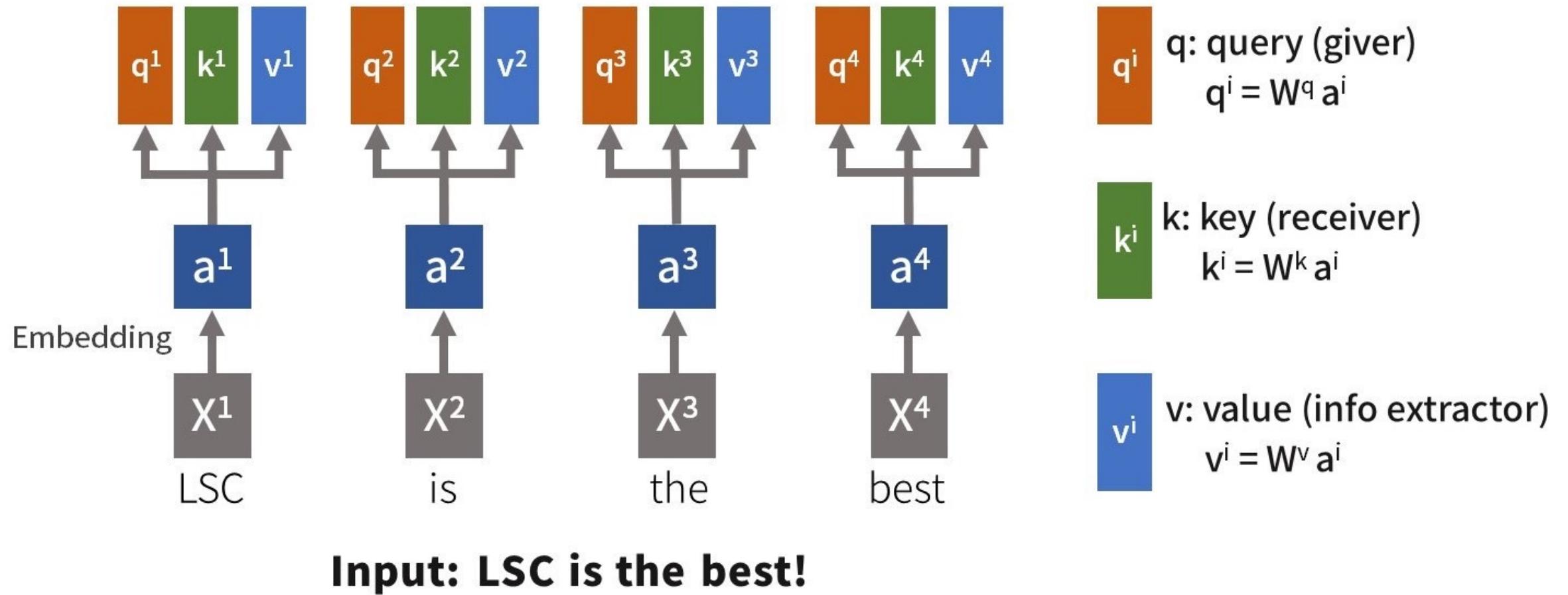
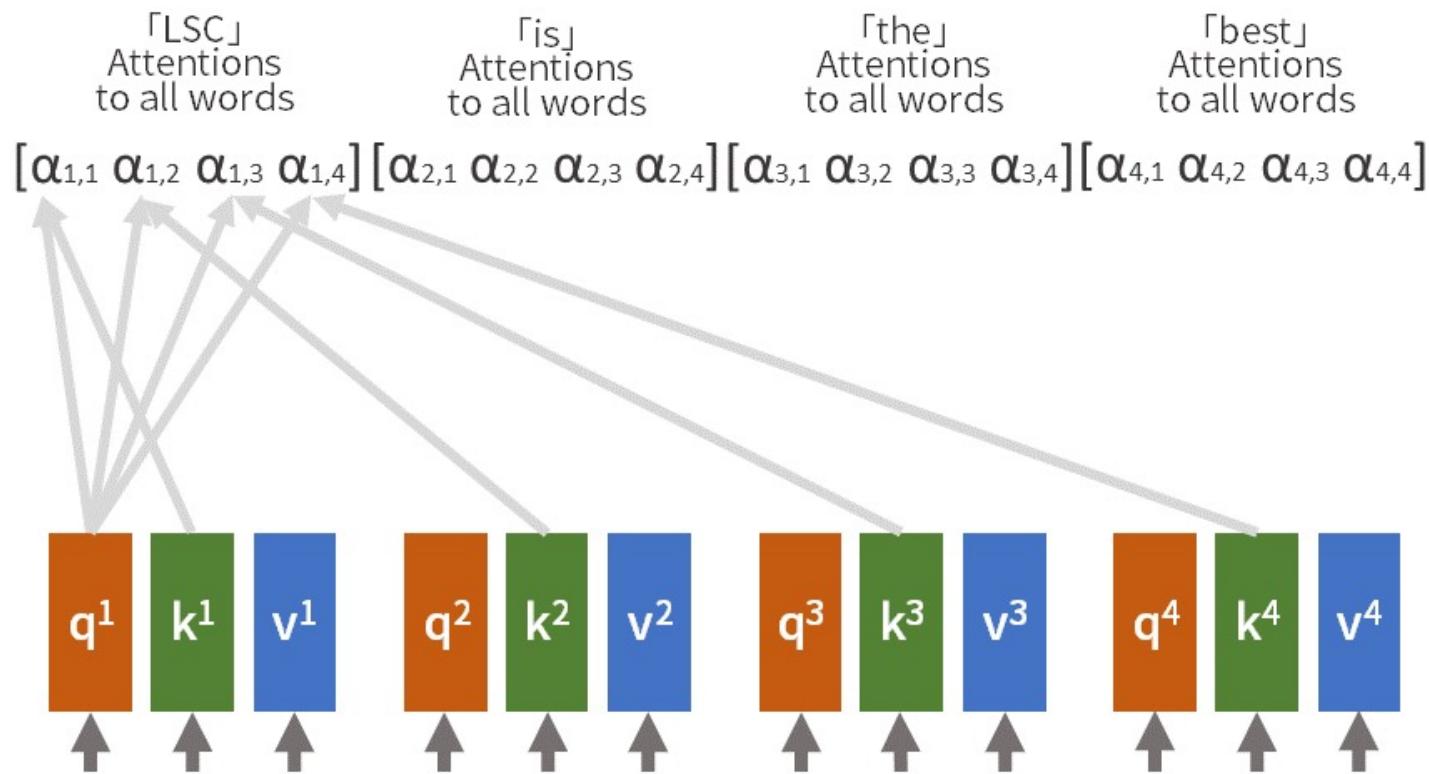


Figure 1: The Transformer - model architecture.

# Basics: Self-Attention



# Basics: Self-Attention



$$\alpha_{i,j} = \frac{q^i \cdot k^j}{\sqrt{d}}$$

d: dimension of q, k

A = 
$$\begin{bmatrix} \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} & \alpha_{1,4} \\ \alpha_{2,1} & \alpha_{2,2} & \alpha_{2,3} & \alpha_{2,4} \\ \alpha_{3,1} & \alpha_{3,2} & \alpha_{3,3} & \alpha_{3,4} \\ \alpha_{4,1} & \alpha_{4,2} & \alpha_{4,3} & \alpha_{4,4} \end{bmatrix}$$

Attention Matrix

# Basics: Self-Attention

$$\begin{bmatrix} \mathbf{q}^1 \\ \mathbf{q}^2 \\ \mathbf{q}^3 \end{bmatrix} = \mathbf{W}^q \begin{bmatrix} \mathbf{a}^1 \\ \mathbf{a}^2 \\ \mathbf{a}^3 \end{bmatrix}$$

$$\begin{bmatrix} \mathbf{k}^1 \\ \mathbf{k}^2 \\ \mathbf{k}^3 \end{bmatrix} = \mathbf{W}^k \begin{bmatrix} \mathbf{a}^1 \\ \mathbf{a}^2 \\ \mathbf{a}^3 \end{bmatrix}$$

$$\begin{bmatrix} \mathbf{v}^1 \\ \mathbf{v}^2 \\ \mathbf{v}^3 \end{bmatrix} = \mathbf{W}^v \begin{bmatrix} \mathbf{a}^1 \\ \mathbf{a}^2 \\ \mathbf{a}^3 \end{bmatrix}$$

Attention A:

$$\begin{bmatrix} \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} \\ \alpha_{2,1} & \alpha_{2,2} & \alpha_{2,3} \\ \alpha_{3,1} & \alpha_{3,2} & \alpha_{3,3} \end{bmatrix} = \begin{bmatrix} \mathbf{k}^1 \\ \mathbf{k}^2 \\ \mathbf{k}^3 \end{bmatrix} \begin{bmatrix} \mathbf{q}^1 \\ \mathbf{q}^2 \\ \mathbf{q}^3 \end{bmatrix}$$

Output:

$$\begin{bmatrix} \mathbf{b}^1 \\ \mathbf{b}^2 \\ \mathbf{b}^3 \end{bmatrix} = \begin{bmatrix} \mathbf{v}^1 \\ \mathbf{v}^2 \\ \mathbf{v}^3 \end{bmatrix} \begin{bmatrix} \bar{\alpha}_{1,1} & \bar{\alpha}_{1,2} & \bar{\alpha}_{1,3} \\ \bar{\alpha}_{2,1} & \bar{\alpha}_{2,2} & \bar{\alpha}_{2,3} \\ \bar{\alpha}_{3,1} & \bar{\alpha}_{3,2} & \bar{\alpha}_{3,3} \end{bmatrix}$$

# Bringing Transformers into Computer Vision

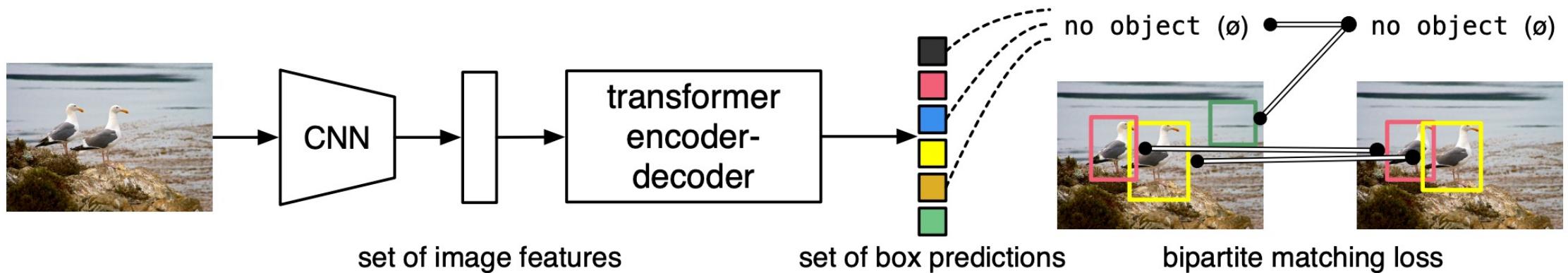
- Only in local neighborhoods (1)
  - Image Transformer, ICML 2018
  - Stand-alone self-attention in vision models, NeurIPS 2019
  - On the relationship between self-attention and convolutional layers, ICLR 2020
  - Exploring self-attention for image recognition, CVPR 2020
- Scalable approximations to global self-attention (2)
  - Generating long sequences with sparse transformers, arXiv 2019
- Blocks of varying sizes (3)
  - Scaling autoregressive video models, ICLR 2019
- Only along individual axes (4)
  - Axial attention in multidimensional transformers, arXiv 2019
  - Axial-deeplab: Stand-alone axial-attention for panoptic segmentation, ECCV 2020

# Bringing Transformers into Computer Vision

- Combining CNN with self-attention (5)
  - Attention augmented convolutional networks, ICCV 2019, image classification
  - End-to-end object detection with transformers, ECCV 2020, object detection
  - Videobert: A joint model for video and language representation learning, ICCV 2019, video processing
  - Visual transformers, arxiv 2020, image classification
- Unified text-vision tasks (6)
  - VQA
  - Image Retrieval
  - OCR (Document Layout Analysis)
- Most Related Works (7)
  - Generative pretraining from pixels (iGPT), ICML 2020
  - Big Transfer (BiT): General Visual Representation Learning, ECCV 2020

# DETR: End-to-End Object Detection with Transformers (ECCV'20)

- DETR directly predicts (in parallel) the final set of detections by combining a common CNN with a transformer architecture. It does **NOT** rely on the many hand-designed components like in FasterRCNN.



- **The takeaway from DETR is bi-folds:**

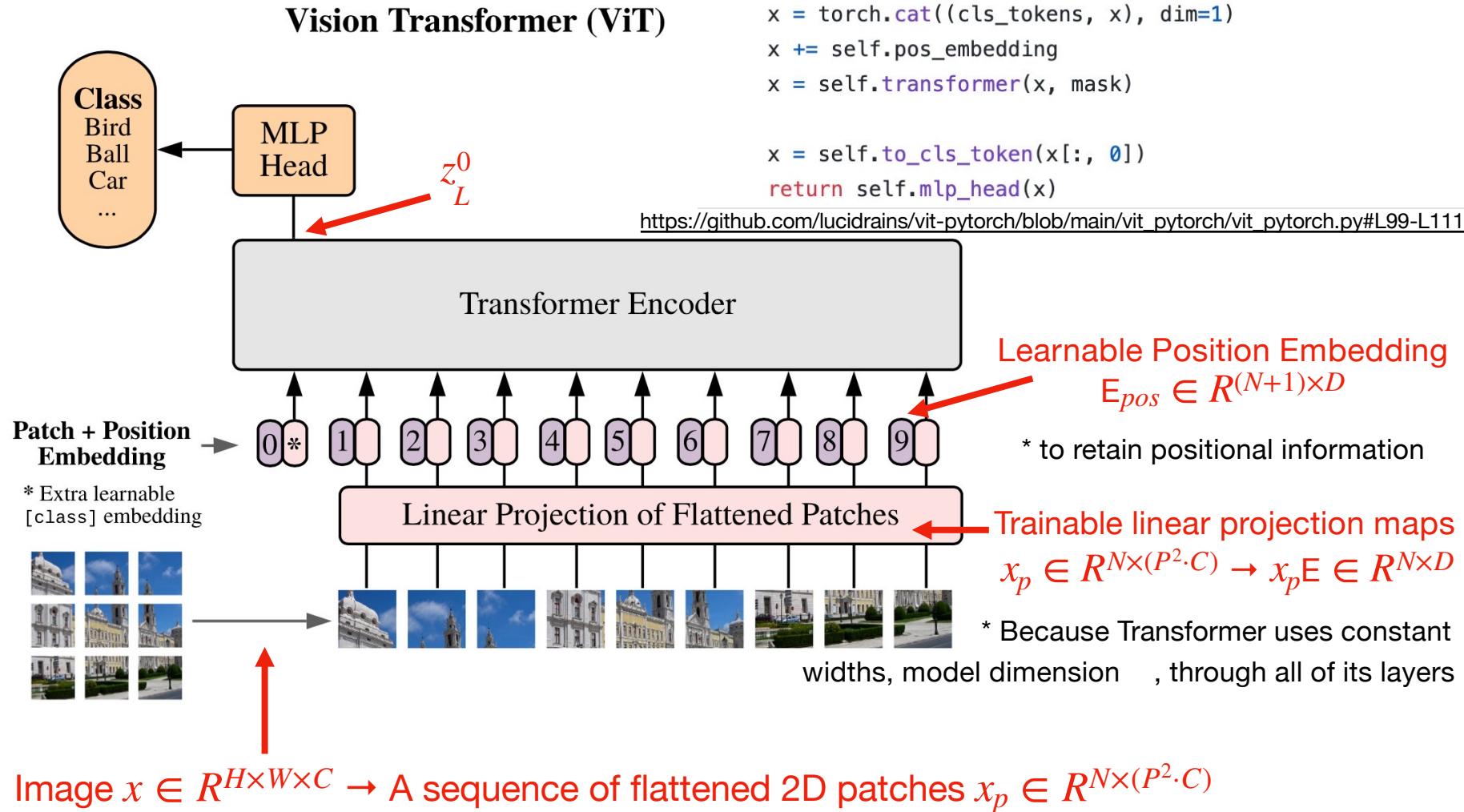
- DETR achieved comparable performance to Faster R-CNN, but not on par with more recent detectors (especially on small objects), also requiring extra-long training schedule and auxiliary decoding losses
- DETR showed significant promise of generalizability, e.g., the same model easily applied to panoptic segmentation in a unified manner

# “Pure Transformer”: Visual Transformer (ViT, ICLR’21)



GIF from <https://github.com/lucidrains/vit-pytorch>

# Implementation



# Implementation

```
def forward(self, img, mask = None):
    p = self.patch_size

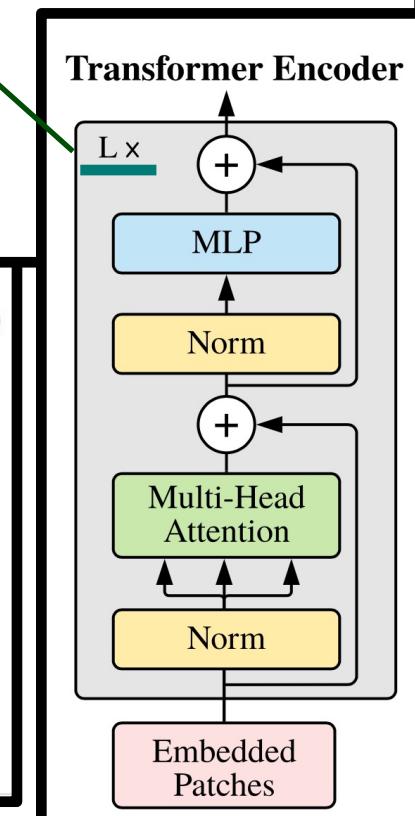
    x = rearrange(img, 'b c (h p1) (w p2) -> b (h w) (p1 p2 c)', p1 = p, p2 = p)
    x = self.patch_to_embedding(x)

    cls_tokens = self.cls_token.expand(img.shape[0], -1, -1)
    x = torch.cat((cls_tokens, x), dim=1)
    x += self.pos_embedding
    x = self.transformer(x, mask)

    x = self.to_cls_token(x[:, 0])
    return self.mlp_head(x)
```

```
class Transformer(nn.Module):
    def __init__(self, dim, depth, heads, mlp_dim):
        super().__init__()
        self.layers = nn.ModuleList([])
        for _ in range(depth):
            self.layers.append(nn.ModuleList([
                Residual(PreNorm(dim, Attention(dim, heads = heads))),
                Residual(PreNorm(dim, FeedForward(dim, mlp_dim)))
            ]))
    def forward(self, x, mask = None):
        for attn, ff in self.layers:
            x = attn(x, mask = mask)
            x = ff(x)
        return x
```

[https://github.com/lucidrains/vit-pytorch/blob/main/vit\\_pytorch/vit\\_pytorch.py](https://github.com/lucidrains/vit-pytorch/blob/main/vit_pytorch/vit_pytorch.py)



# Implementation

```
class Attention(nn.Module):
    def __init__(self, dim, heads = 8):
        super().__init__()
        self.heads = heads
        self.scale = dim ** -0.5

        self.to_qkv = nn.Linear(dim, dim * 3, bias = False)
        self.to_out = nn.Linear(dim, dim)

    def forward(self, x, mask = None):
        b, n, _, h = *x.shape, self.heads
        qkv = self.to_qkv(x)
        q, k, v = rearrange(qkv, 'b n (qkv h d) -> qkv b h n d', qkv = 3, h = h)

        dots = torch.einsum('bhid,bhjd->bhij', q, k) * self.scale

        if mask is not None:
            mask = F.pad(mask.flatten(1), (1, 0), value = True)
            assert mask.shape[-1] == dots.shape[-1], 'mask has incorrect dimensions'
            mask = mask[:, None, :] * mask[:, :, None]
            dots.masked_fill_(~mask, float('-inf'))
            del mask

        attn = dots.softmax(dim=-1)

        out = torch.einsum('bhij,bhjd->bhid', attn, v)
        out = rearrange(out, 'b h n d -> b n (h d)')
        out = self.to_out(out)
        return out
```

$z \in \mathbb{R}^{N \times D}$  : input sequence

$$[\mathbf{q}, \mathbf{k}, \mathbf{v}] = \mathbf{z} \mathbf{U}_{qkv}$$

$$\mathbf{U}_{qkv} \in \mathbb{R}^{D \times 3D_h},$$

$$A = \text{softmax}\left(\mathbf{q}\mathbf{k}^\top / \sqrt{D_h}\right)$$

$$A \in \mathbb{R}^{N \times N},$$

$$\text{SA}(\mathbf{z}) = A\mathbf{v}.$$

Attention weight  $A_{ij}$  : similarity btw  $q^i, k^j$

$$\text{MSA}(\mathbf{z}) = [\text{SA}_1(z); \text{SA}_2(z); \dots; \text{SA}_k(z)] \mathbf{U}_{msa}$$

$$\mathbf{U}_{msa} \in \mathbb{R}^{k \cdot D_h \times D}$$

# Experiments

	Ours (ViT-H/14)	Ours (ViT-L/16)	BiT-L (ResNet152x4)	Noisy Student (EfficientNet-L2)
ImageNet	88.36	$87.61 \pm 0.03$	$87.54 \pm 0.02$	$88.4/88.5^*$
ImageNet ReAL	<b>90.77</b>	$90.24 \pm 0.03$	90.54	90.55
CIFAR-10	<b>99.50</b> $\pm 0.06$	$99.42 \pm 0.03$	$99.37 \pm 0.06$	—
CIFAR-100	<b>94.55</b> $\pm 0.04$	$93.90 \pm 0.05$	$93.51 \pm 0.08$	—
Oxford-IIIT Pets	<b>97.56</b> $\pm 0.03$	$97.32 \pm 0.11$	$96.62 \pm 0.23$	—
Oxford Flowers-102	$99.68 \pm 0.02$	<b>99.74</b> $\pm 0.00$	$99.63 \pm 0.03$	—
<b>VTAB (19 tasks)</b>	<b>77.16</b> $\pm 0.29$	$75.91 \pm 0.18$	$76.29 \pm 1.70$	—
TPUv3-days	2.5k	0.68k	9.9k	12.3k

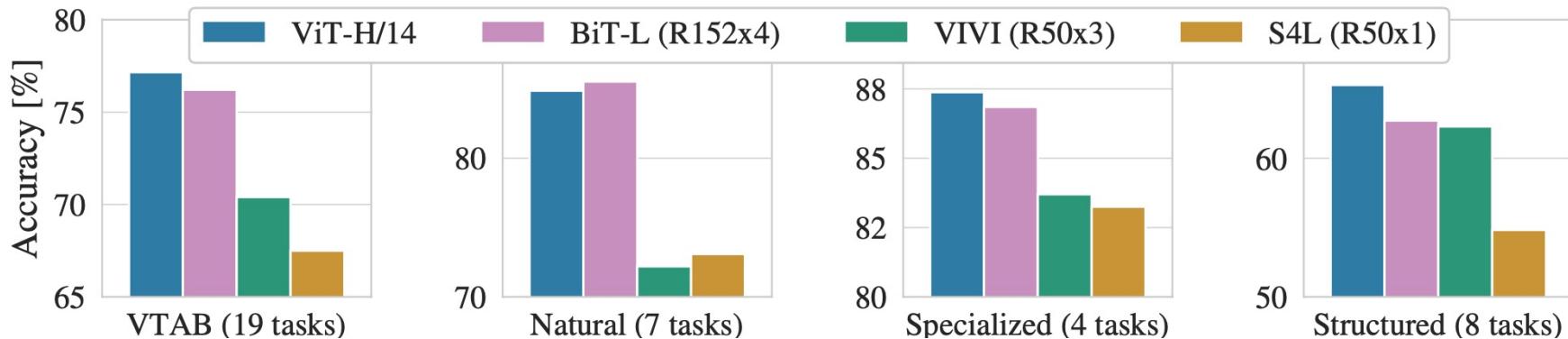


Figure 2: Breakdown of VTAB performance in *Natural*, *Specialized*, and *Structured* task groups.

# Experiments

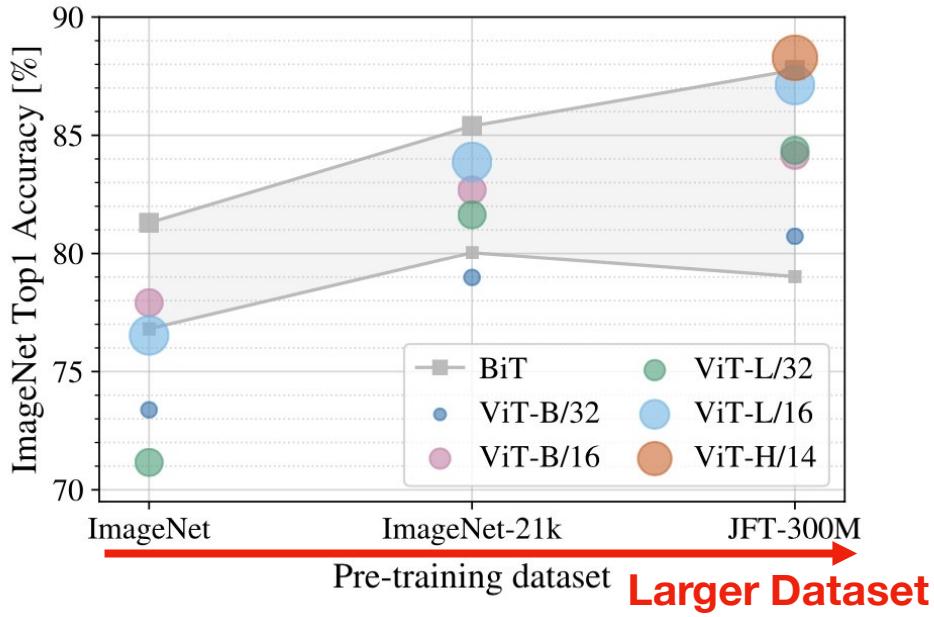


Figure 3: Transfer to ImageNet. While large ViT models perform worse than BiT ResNets (shaded area) when pre-trained on small datasets, they shine when pre-trained on larger datasets. Similarly, larger ViT variants overtake smaller ones as the dataset grows.

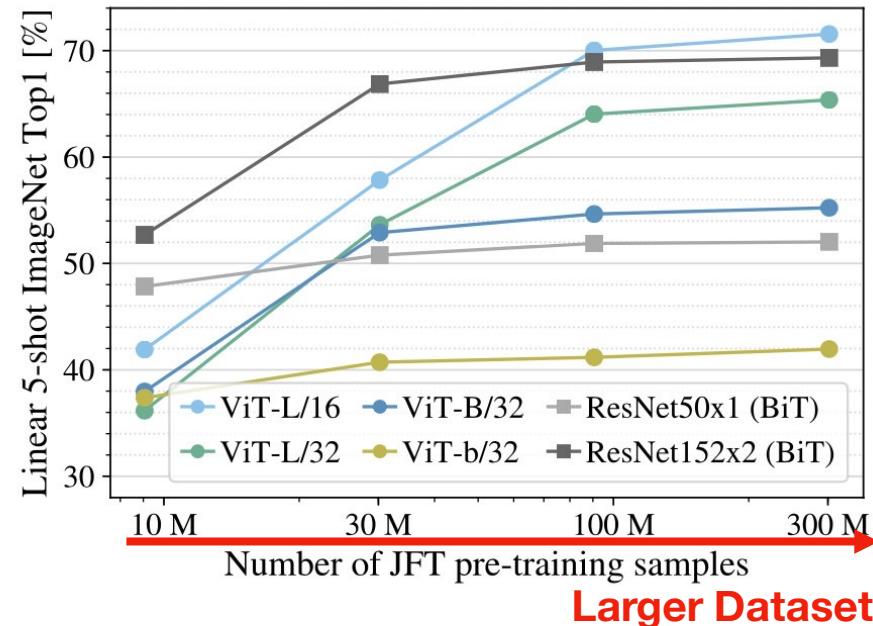
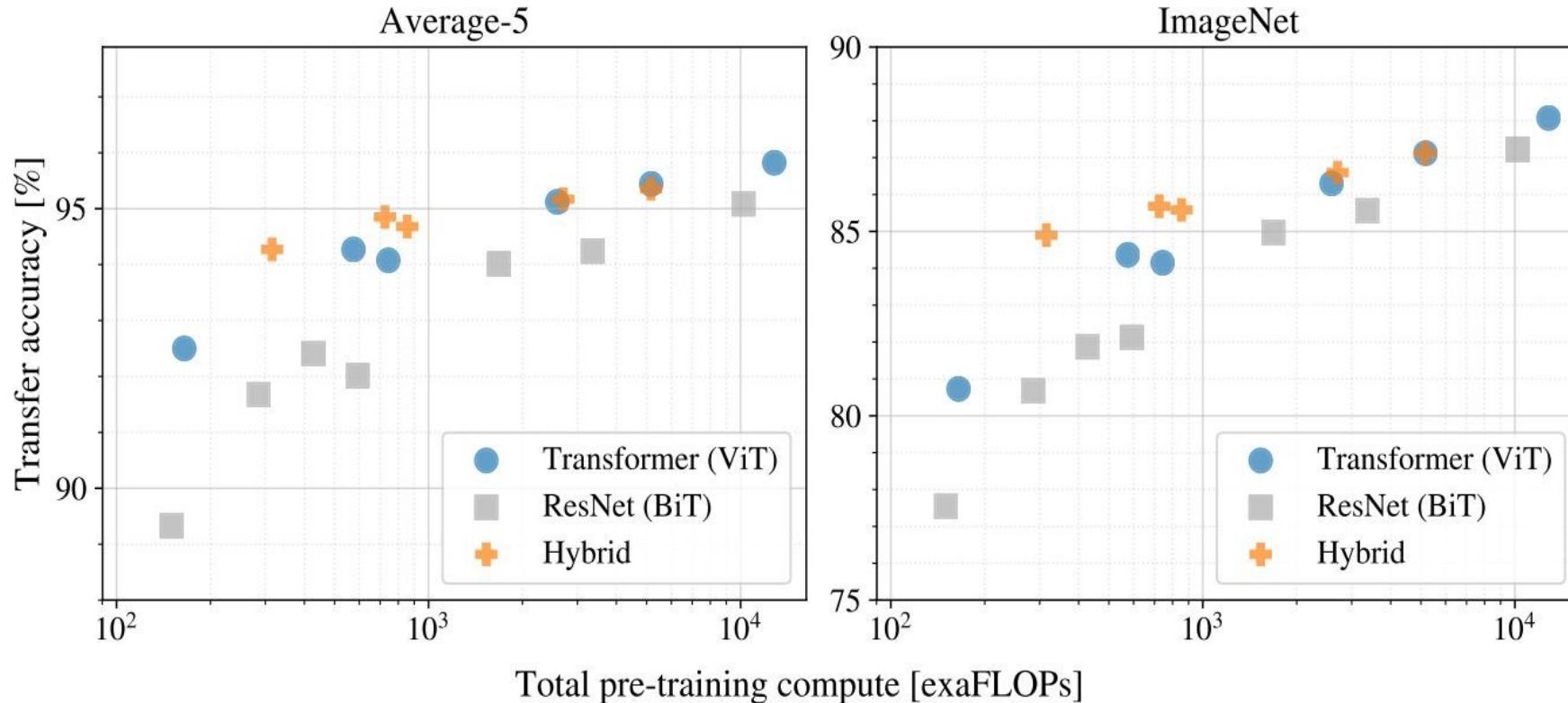


Figure 4: Linear few-shot evaluation on ImageNet versus pre-training size. ResNets perform better with smaller pre-training datasets but plateau sooner than ViT which performs better with larger pre-training. ViT-b is ViT-B with all hidden dimensions halved.

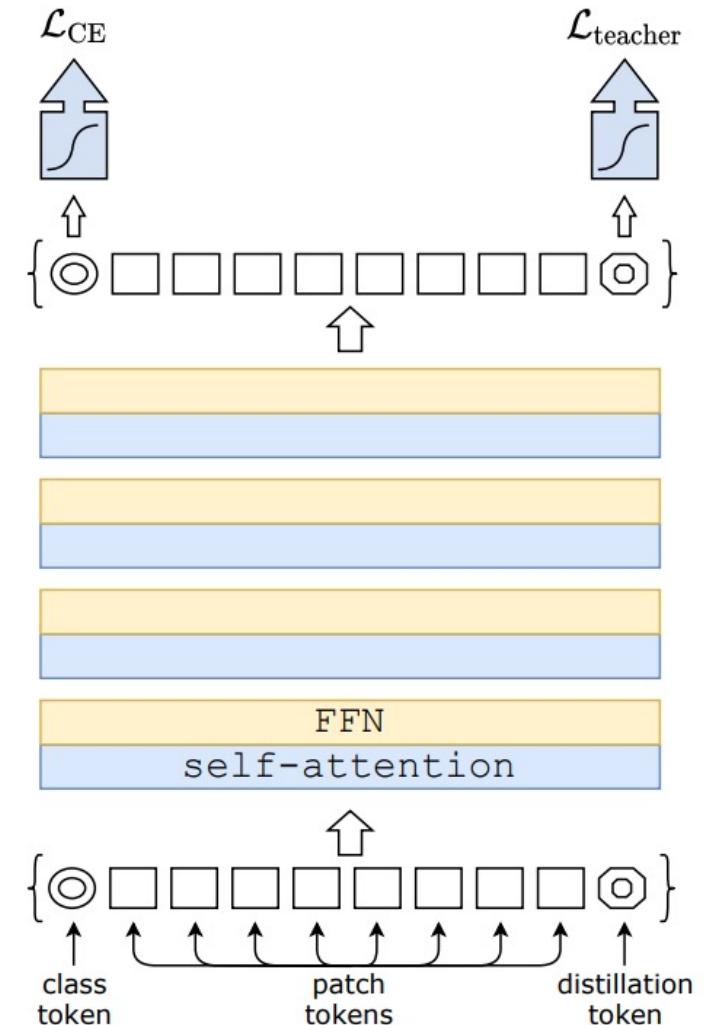
# Experiments



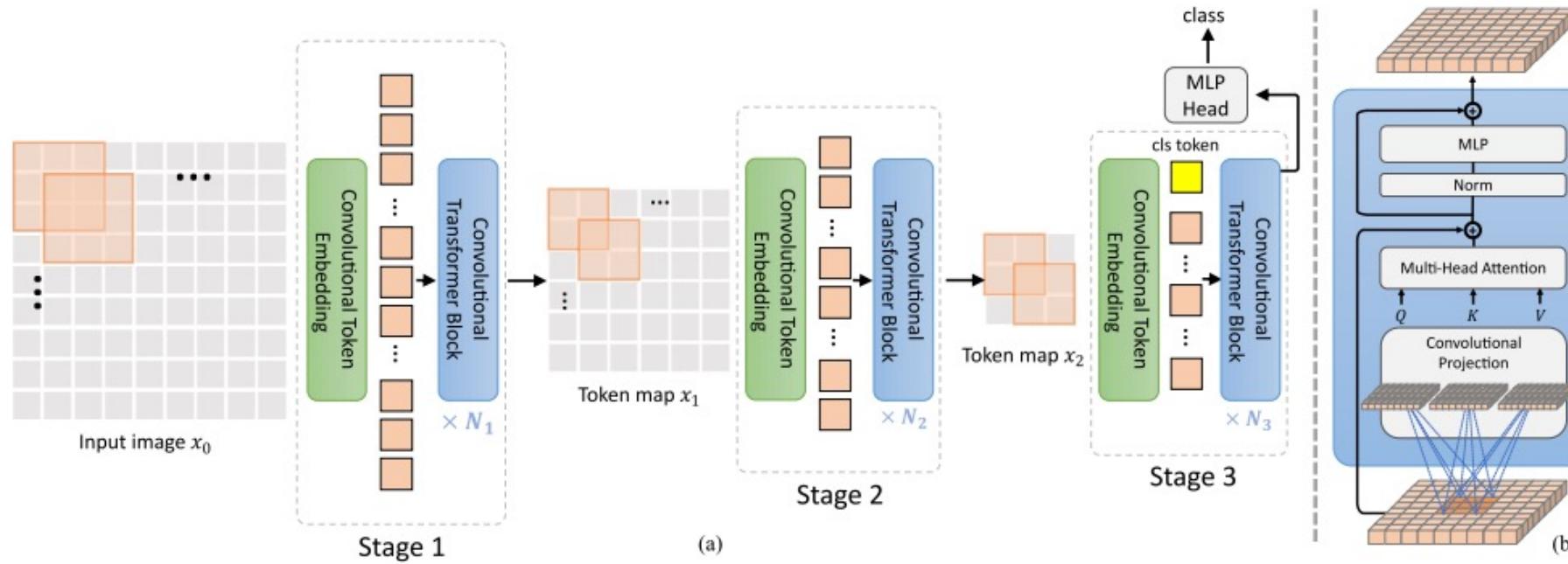
Performance versus cost for different architectures: Vision Transformers, ResNets, and hybrids. Vision Transformers generally outperform ResNets with the same computational budget. Hybrids improve upon pure Transformers for smaller model sizes, but the gap vanishes for larger models.

# Delt: Data-efficient Image Transformers

- The first competitive convolution-free transformer by training on Imagenet only
- Trained using a teacher-student strategy specific to transformers
  - It relies on a distillation token ensuring that the student learns from the teacher through attention.
- When using CNN as teacher, the distilled model outperforms its teacher in terms of the trade-off between accuracy and throughput

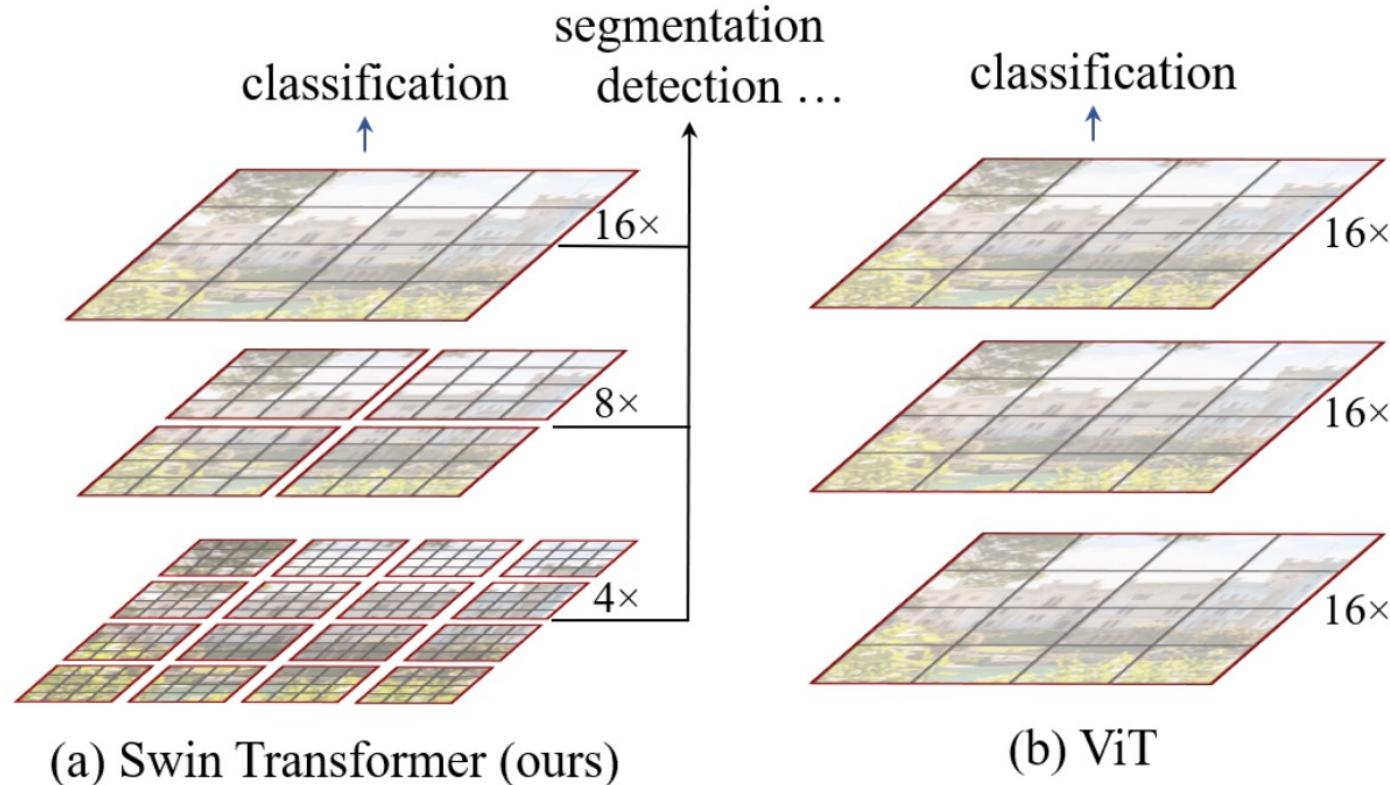


# CvT: Convolutions into Vision Transformers



- Each stage starts with a convolutional token embedding that performs an overlapping convolution operation on a 2D-reshaped token map
- The linear projection prior to every self-attention block is replaced with a depth-wise separable convolution as the projection

# Swin Transformer (ICCV'21 best paper)



- Swin: hierarchical feature maps by merging image patches
  - linear computation complexity to input image size due to computation of self-attention only within each local window (using Shifted windows)

# Swin Transformer: Pipeline Overview

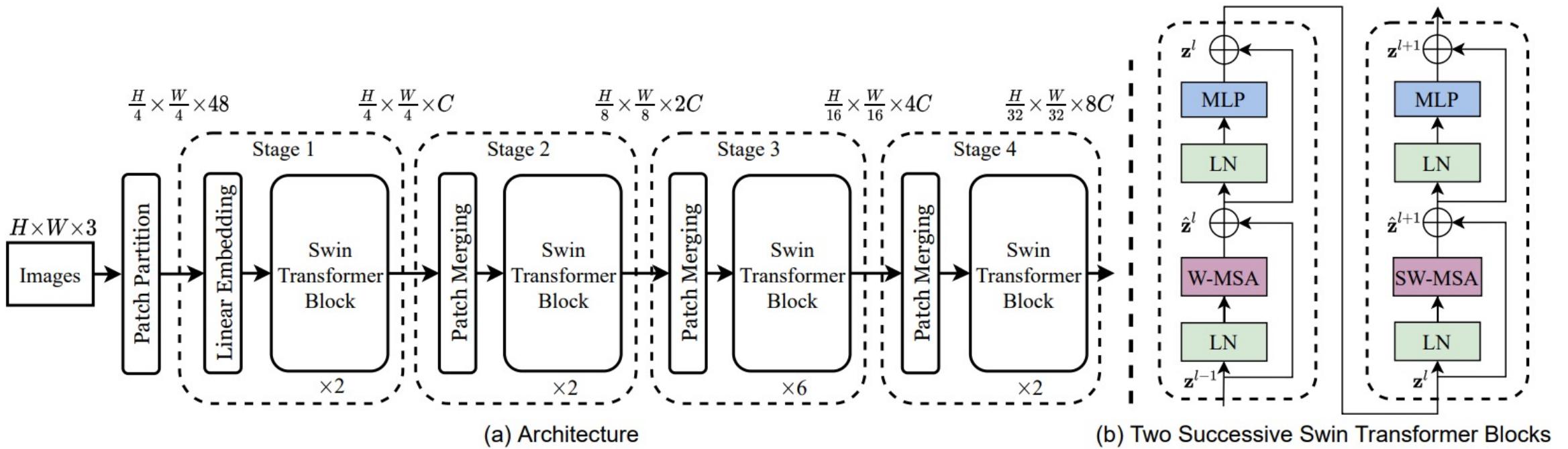
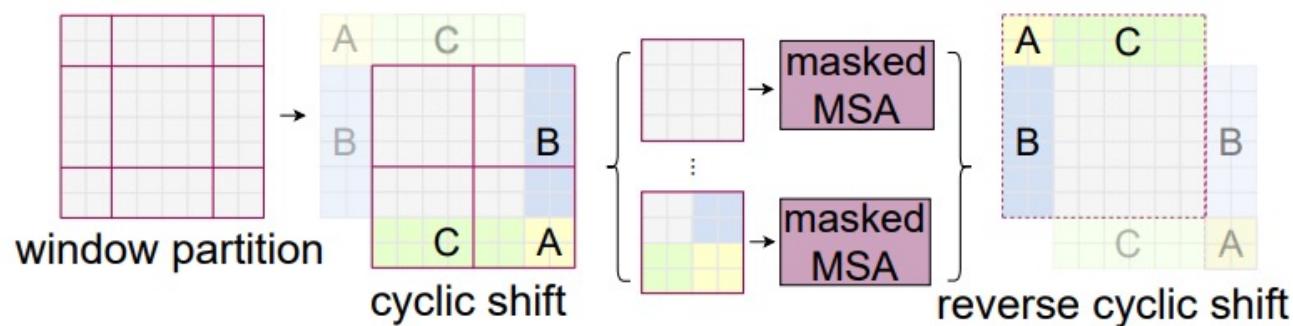
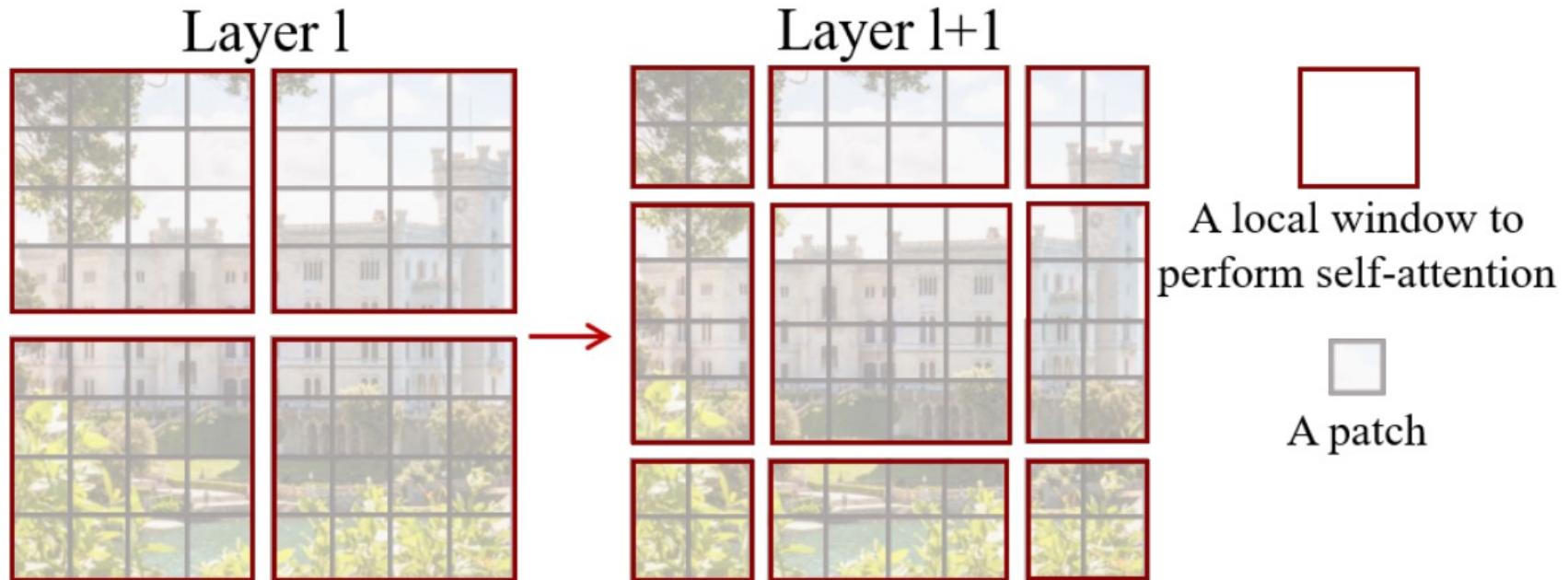
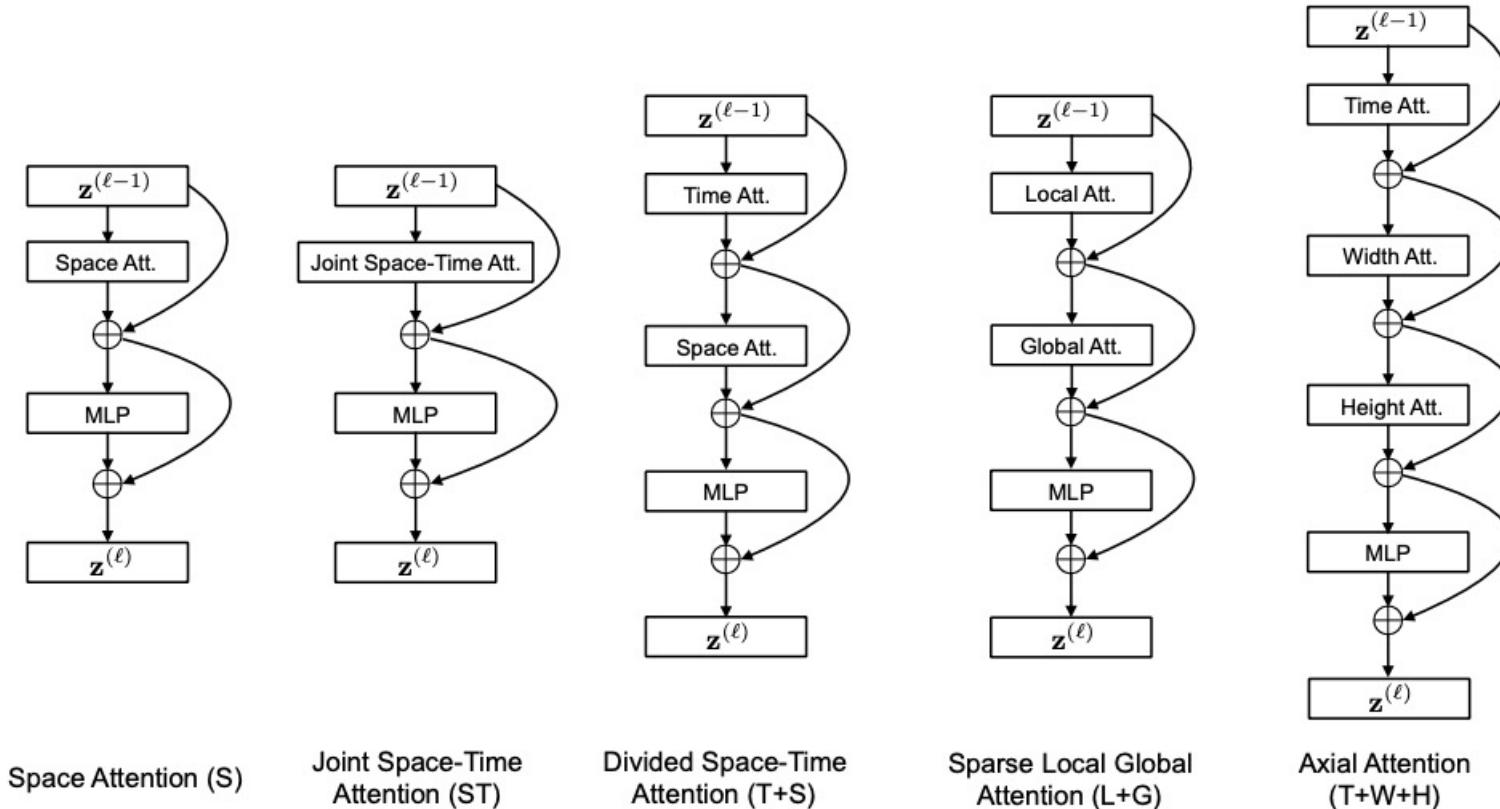


Figure 3. (a) The architecture of a Swin Transformer (Swin-T); (b) two successive Swin Transformer Blocks (notation presented with Eq. (3)). W-MSA and SW-MSA are multi-head self attention modules with regular and shifted windowing configurations, respectively.

# Swin Transformer: Shifted Window

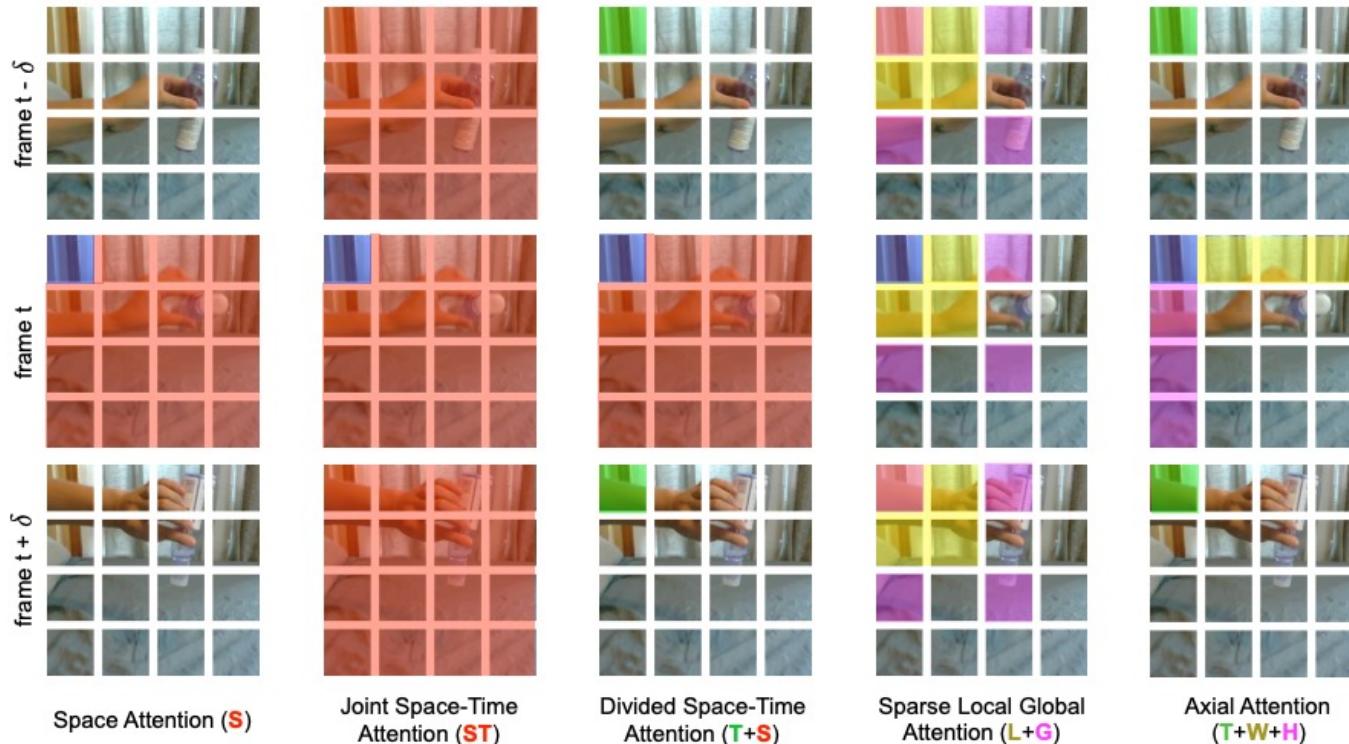


# TimeSformer: ViT for Video



*Figure 1.* The video self-attention blocks that we investigate in this work. Each attention layer implements self-attention (Vaswani et al., 2017b) on a specified spatiotemporal neighborhood of frame-level patches (see Figure 2 for a visualization of the neighborhoods). We use residual connections to aggregate information from different attention layers within each block. A 1-hidden-layer MLP is applied at the end of each block. The final model is constructed by repeatedly stacking these blocks on top of each other.

# TimeSformer: ViT for Video



*Figure 2.* Visualization of the five space-time self-attention schemes studied in this work. Each video clip is viewed as a sequence of frame-level patches with a size of  $16 \times 16$  pixels. For illustration, we denote in blue the query patch and show in non-blue colors its self-attention space-time neighborhood under each scheme. Patches without color are not used for the self-attention computation of the blue patch. Multiple colors within a scheme denote attentions separately applied along different dimensions (e.g., space and time for ( $T+S$ )) or over different neighborhoods (e.g., for ( $L+G$ )). Note that self-attention is computed for every single patch in the video clip, i.e., every patch serves as a query. We also note that although the attention pattern is shown for only two adjacent frames, it extends in the same fashion to all frames of the clip.

# TimeSformer: ViT for Video

Attention	Params	K400	SSv2
Space	85.9M	76.9	36.6
Joint Space-Time	85.9M	77.4	58.5
Divided Space-Time	121.4M	<b>78.0</b>	<b>59.5</b>
Sparse Local Global	121.4M	75.9	56.3
Axial	156.8M	73.5	56.2

**Table 1.** Video-level accuracy for different space-time attention schemes in TimeSformer. We evaluate the models on the validation sets of Kinetics-400 (K400), and Something-Something-V2 (SSv2). We observe that divided space-time attention achieves the best results on both datasets.

Model	Pretrain	K400 Training Time (hours)	K400 Acc.	Inference TFLOPs	Params
I3D 8x8 R50	ImageNet-1K	444	71.0	1.11	28.0M
I3D 8x8 R50	ImageNet-1K	1440	73.4	1.11	28.0M
SlowFast R50	ImageNet-1K	448	70.0	1.97	34.6M
SlowFast R50	ImageNet-1K	3840	75.6	1.97	34.6M
SlowFast R50	N/A	6336	76.4	1.97	34.6M
TimeSformer	ImageNet-1K	<b>416</b>	75.8	<b>0.59</b>	121.4M
TimeSformer	ImageNet-21K	<b>416</b>	<b>78.0</b>	<b>0.59</b>	121.4M

**Table 2.** Comparing TimeSformer to SlowFast and I3D. We observe that TimeSformer has lower inference cost despite having a larger number of parameters. Furthermore, the cost of training TimeSformer on video data is much lower compared to SlowFast and I3D, even when all models are pretrained on ImageNet-1K.

Method	Top-1	Top-5	TFLOPs
R(2+1)D (Tran et al., 2018)	72.0	90.0	17.5
bLVNet (Fan et al., 2019)	73.5	91.2	0.84
TSM (Lin et al., 2019)	74.7	N/A	N/A
S3D-G (Xie et al., 2018)	74.7	93.4	N/A
Oct-I3D+NL (Chen et al., 2019)	75.7	N/A	0.84
D3D (Stroud et al., 2020)	75.9	N/A	N/A
I3D+NL (Wang et al., 2018b)	77.7	93.3	10.8
ip-CSN-152 (Tran et al., 2019)	77.8	92.8	3.2
CorrNet (Wang et al., 2020a)	79.2	N/A	6.7
LGD-3D-101 (Qiu et al., 2019)	79.4	94.4	N/A
SlowFast (Feichtenhofer et al., 2019b)	79.8	93.9	7.0
X3D-XXL (Feichtenhofer, 2020)	80.4	94.6	5.8
TimeSformer	78.0	93.7	<b>0.59</b>
TimeSformer-HR	79.7	94.4	5.11
TimeSformer-L	<b>80.7</b>	<b>94.7</b>	7.14

**Table 5.** Video-level accuracy on Kinetics-400.

# DINO: Self-Supervised Learning with ViTs

Source: <https://ai.facebook.com/blog/dino-paws-computer-vision-with-self-supervised-transformers-and-10x-more-efficient-training/>

# DINO: Self-Supervised Learning with ViTs



# DINO: Self-Supervised Learning with ViTs

*Supervised*



*DINO*



# A Debate: ViTs Should Go More Complicated or Less?

- Adding “convolution-like” inductive bias and structures
  - Injecting convolution layers, pyramid structure, dense connections, sliding windows, multi-sized views or attention windows ...
- ... Or just, keep it simple and “universal”?
  - Always my personal preference
  - **Someone pushed it even further...**



# MLP-Mixer: Is there any “indispensable”?

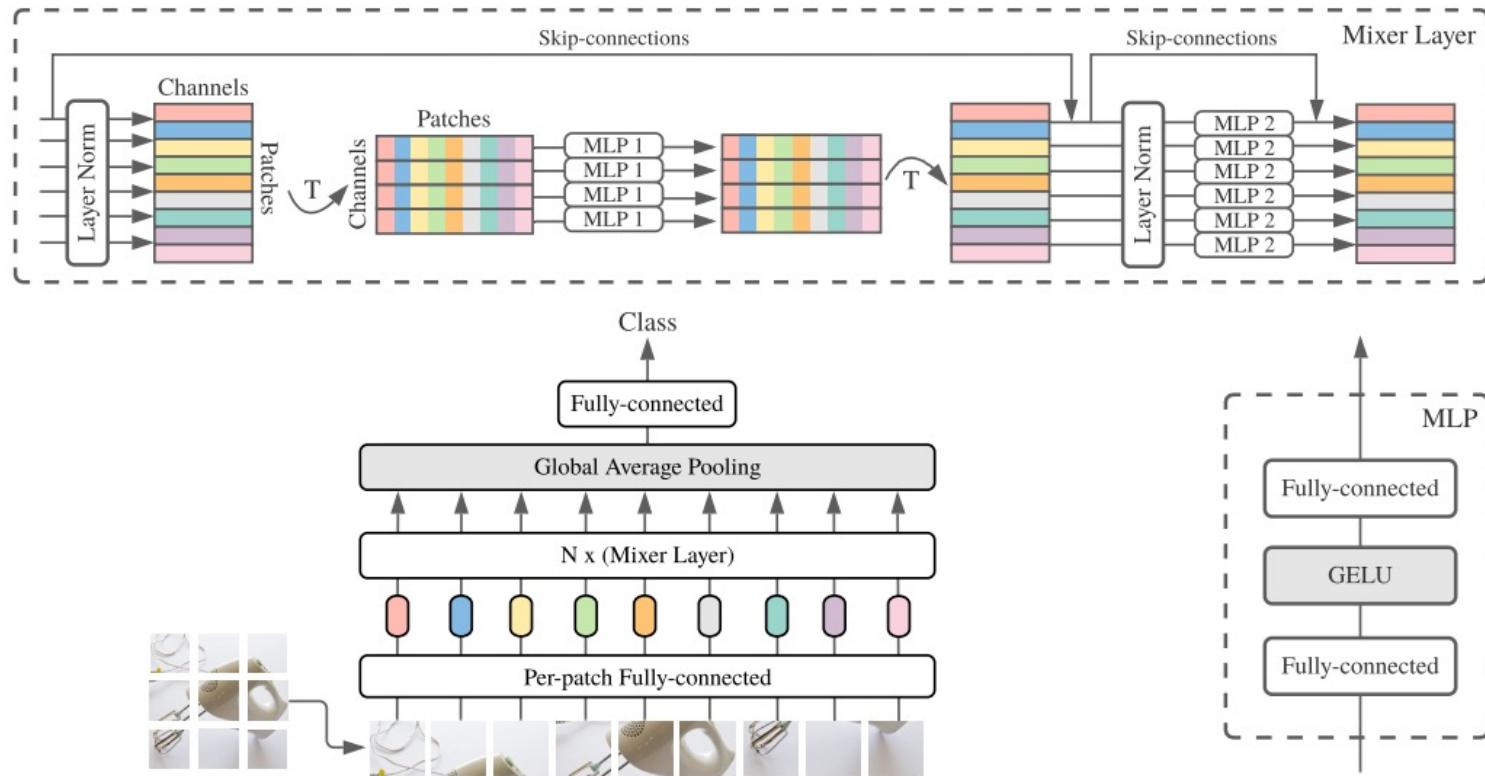
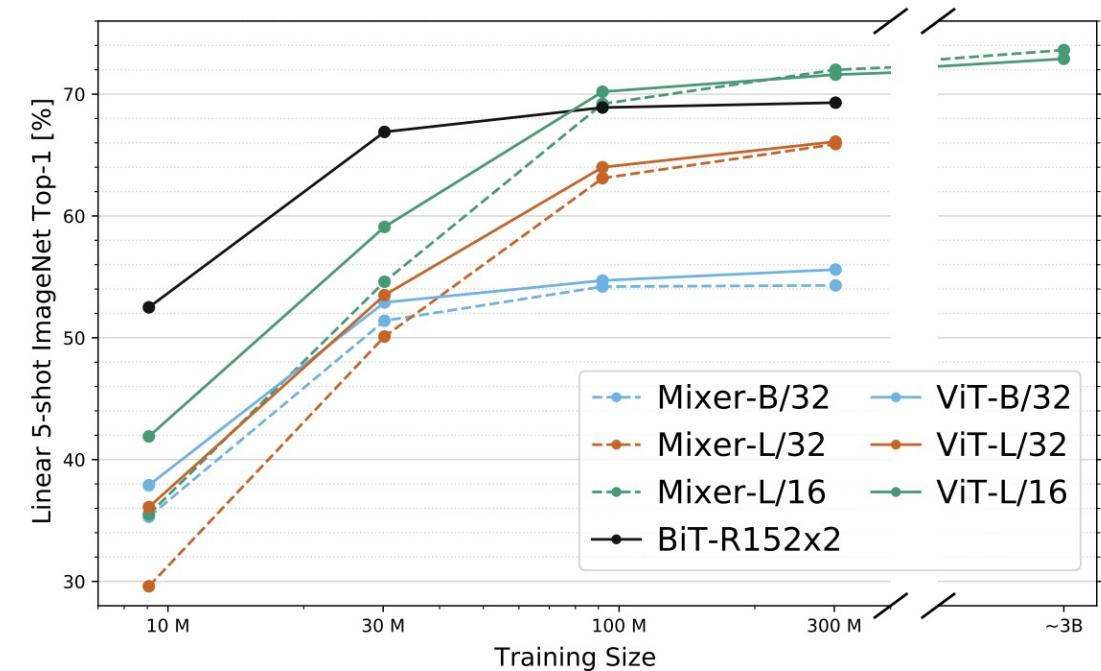


Figure 1: MLP-Mixer consists of per-patch linear embeddings, Mixer layers, and a classifier head. Mixer layers contain one token-mixing MLP and one channel-mixing MLP, each consisting of two fully-connected layers and a GELU nonlinearity. Other components include: skip-connections, dropout, and layer norm on the channels.

# MLP-Mixer: Is there any “indispensable”?

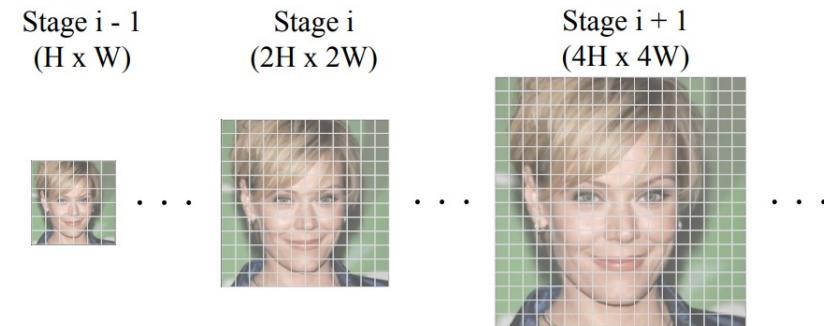
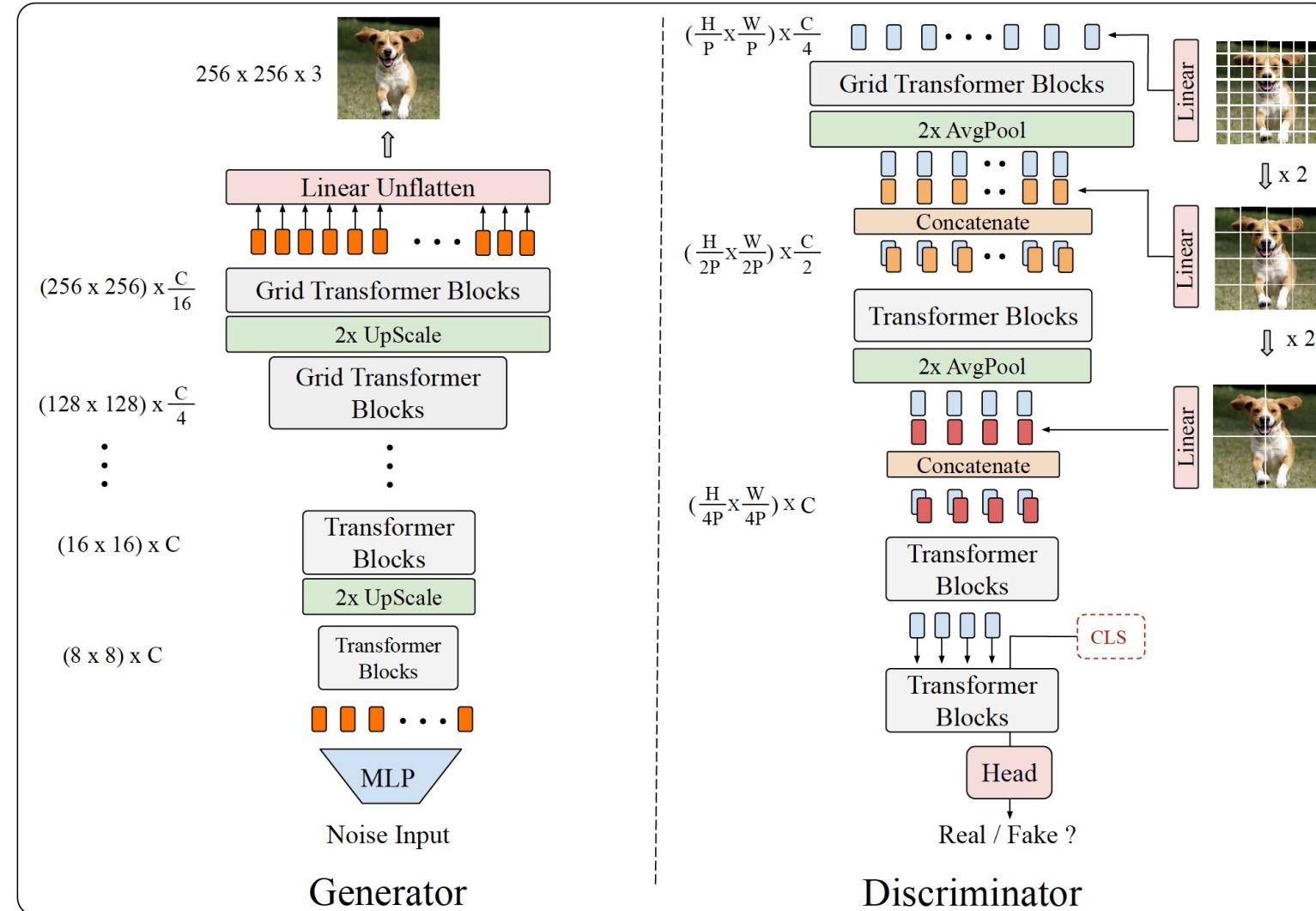
	ImNet top-1	ReaL top-1	Avg 5 top-1	VTAB-1k 19 tasks	Throughput img/sec/core	TPUv3 core-days
Pre-trained on ImageNet-21k (public)						
• HaloNet [51]	85.8	—	—	—	120	0.10k
• Mixer-L/16	84.15	87.86	93.91	74.95	105	0.41k
• ViT-L/16 [14]	85.30	88.62	94.39	72.72	32	0.18k
• BiT-R152x4 [22]	85.39	—	94.04	70.64	26	0.94k
Pre-trained on JFT-300M (proprietary)						
• NFNet-F4+ [7]	89.2	—	—	—	46	1.86k
• Mixer-H/14	87.94	90.18	95.71	75.33	40	1.01k
• BiT-R152x4 [22]	87.54	90.54	95.33	76.29	26	9.90k
• ViT-H/14 [14]	88.55	90.72	95.97	77.63	15	2.30k
Pre-trained on unlabelled or weakly labelled data (proprietary)						
• MPL [34]	90.0	91.12	—	—	—	20.48k
• ALIGN [21]	88.64	—	—	79.99	15	14.82k



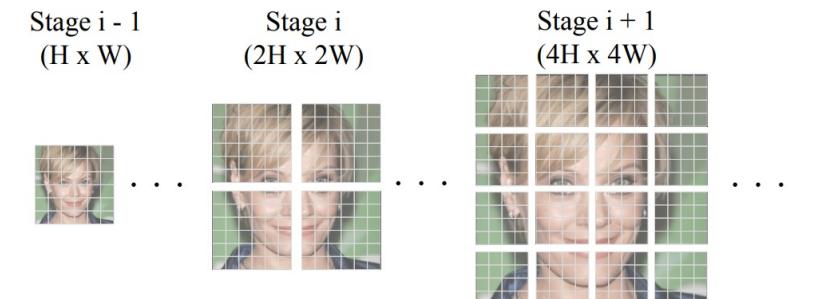
# MLP-Mixer: Is there any “indispensable”?

- **Surprise:** while convolutions and attention are both sufficient for good performance, neither of them are necessary!
- This architecture can be seen as a unique CNN, which uses  $(1 \times 1)$  convolutions for channel mixing, and single-channel depth-wise convolutions for token mixing
  - However, the converse is not true as CNNs are not special cases of Mixer
- It has inspired crazy thoughts: *maybe “sufficient information mixing” is just all you need, regardless how you mix it (using whatever architecture...)*

# TransGAN: Two Transformers Make One Strong GAN



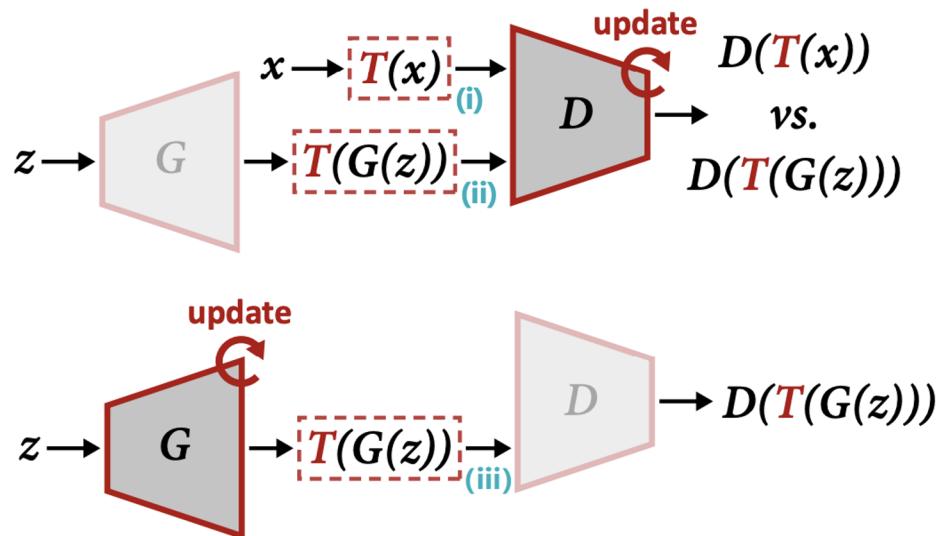
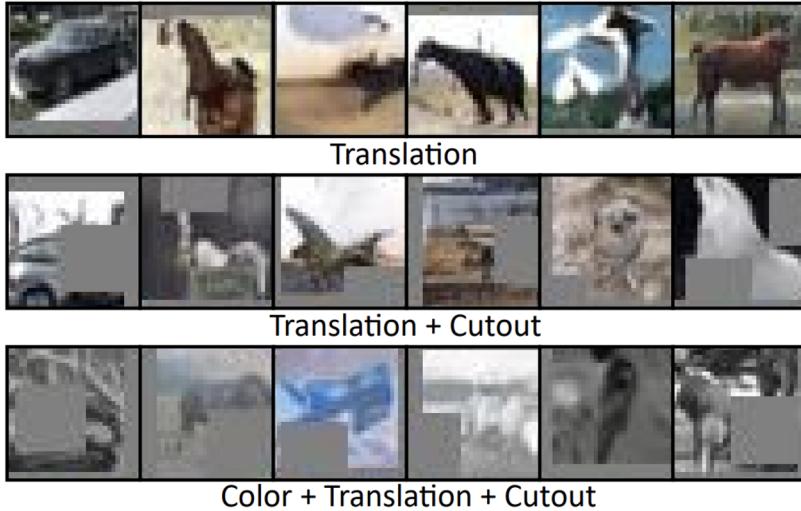
(a) Standard Self-Attention



(b) Grid Self-Attention



# Data Augmentation Matters A LOT



METHODS	DA	IS $\uparrow$	FID $\downarrow$
WGAN-GP (GULRAJANI ET AL., 2017)	$\times$	<b>6.49 <math>\pm</math> 0.09</b>	39.68
AUTOGAN (GONG ET AL., 2019)	$\checkmark$	6.29 $\pm$ 0.10	<b>37.14</b>
STYLEGAN v2 (ZHAO ET AL., 2020B)	$\times$	8.55 $\pm$ 0.12	<b>12.42</b>
	$\checkmark$	<b>8.60 <math>\pm</math> 0.10</b>	12.72
TRANSGAN	$\times$	9.18	11.07
	$\checkmark$	<b>9.40</b>	<b>9.89</b>
TRANSGAN	$\times$	6.95 $\pm$ 0.13	41.41
	$\checkmark$	<b>8.15 <math>\pm</math> 0.14</b>	<b>19.85</b>

## Other Useful Techniques:

- Relative position encoding
- A Modified Form of Normalization
- ...



# Comparing with SOTA ConvNet-based GANs

Table 1: Unconditional image generation results on CIFAR-10, STL-10, and CelebA ( $128 \times 128$ ) dataset. We train the models with their official code if the results are unavailable, denoted as “\*”, others are all reported from references.

Methods	CIFAR-10		STL-10		CelebA
	IS↑	FID↓	IS↑	FID↓	FID↓
WGAN-GP [1]	$6.49 \pm 0.09$	39.68	-	-	-
SN-GAN [46]	$8.22 \pm 0.05$	-	$9.16 \pm 0.12$	40.1	-
AutoGAN [18]	$8.55 \pm 0.10$	12.42	$9.16 \pm 0.12$	31.01	-
AdversarialNAS-GAN [18]	$8.74 \pm 0.07$	10.87	$9.63 \pm 0.19$	26.98	-
Progressive-GAN [16]	$8.80 \pm 0.05$	15.52	-	-	7.30
COCO-GAN [66]	-	-	-	-	5.74
StyleGAN-V2 [68]	9.18	11.07	$10.21^* \pm 0.14$	20.84*	5.59*
StyleGAN-V2 + DiffAug. [68]	<b>9.40</b>	9.89	$10.31^* \pm 0.12$	19.15*	5.40*
TransGAN	$9.02 \pm 0.12$	<b>9.26</b>	<b>10.43</b> $\pm 0.16$	<b>18.28</b>	<b>5.28</b>

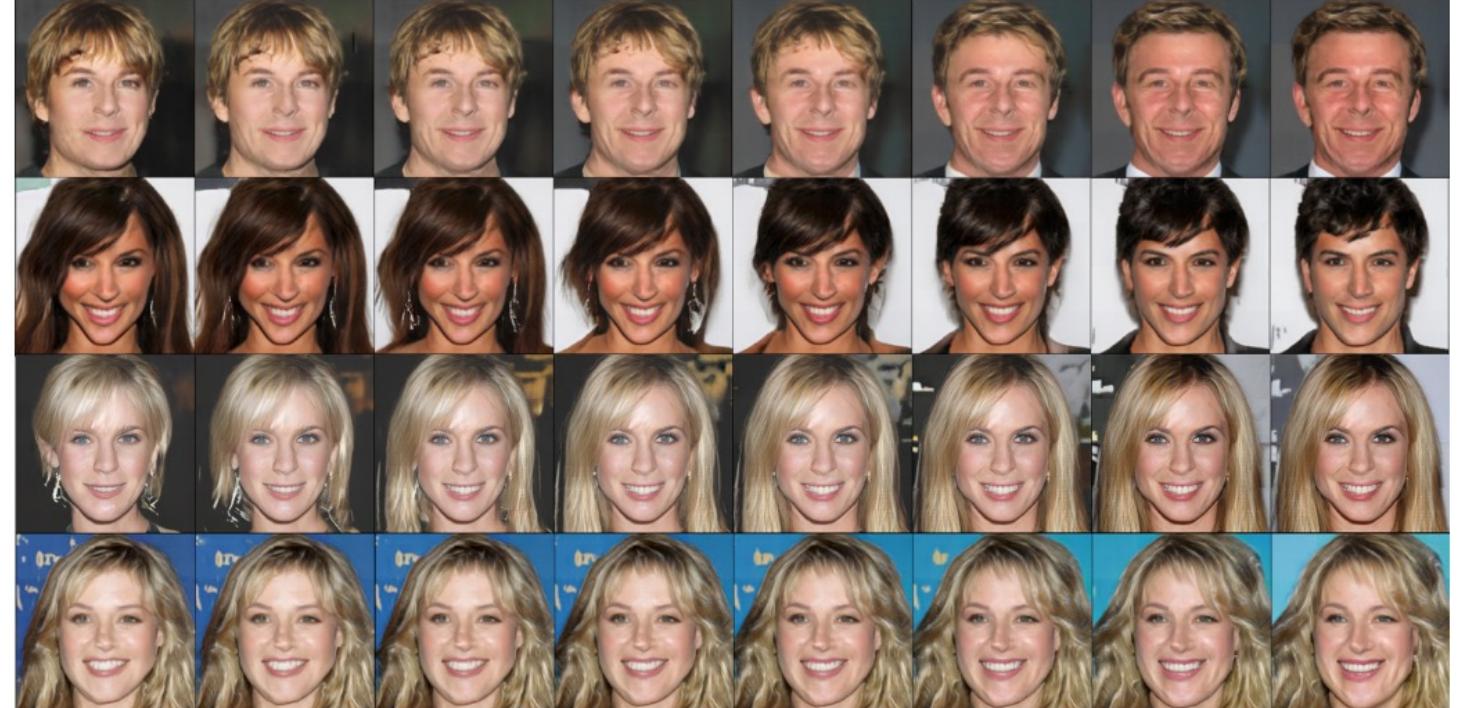
*Transformer Eats Data !*



# Visual Examples of TransGAN



(a) Synthesized Image



(b) Interpolation on Latent Space

Figure 1: Representative visual examples synthesized by TransGAN, **without using a single convolution**. (a) The synthesized visual examples on CelebA-HQ ( $256 \times 256$ ) dataset. (b) The linear interpolation results between two latent vectors, on CelebA-HQ ( $256 \times 256$ ) dataset.

# Multi-Modality: Video-Audio-Text Transformer (VATT)

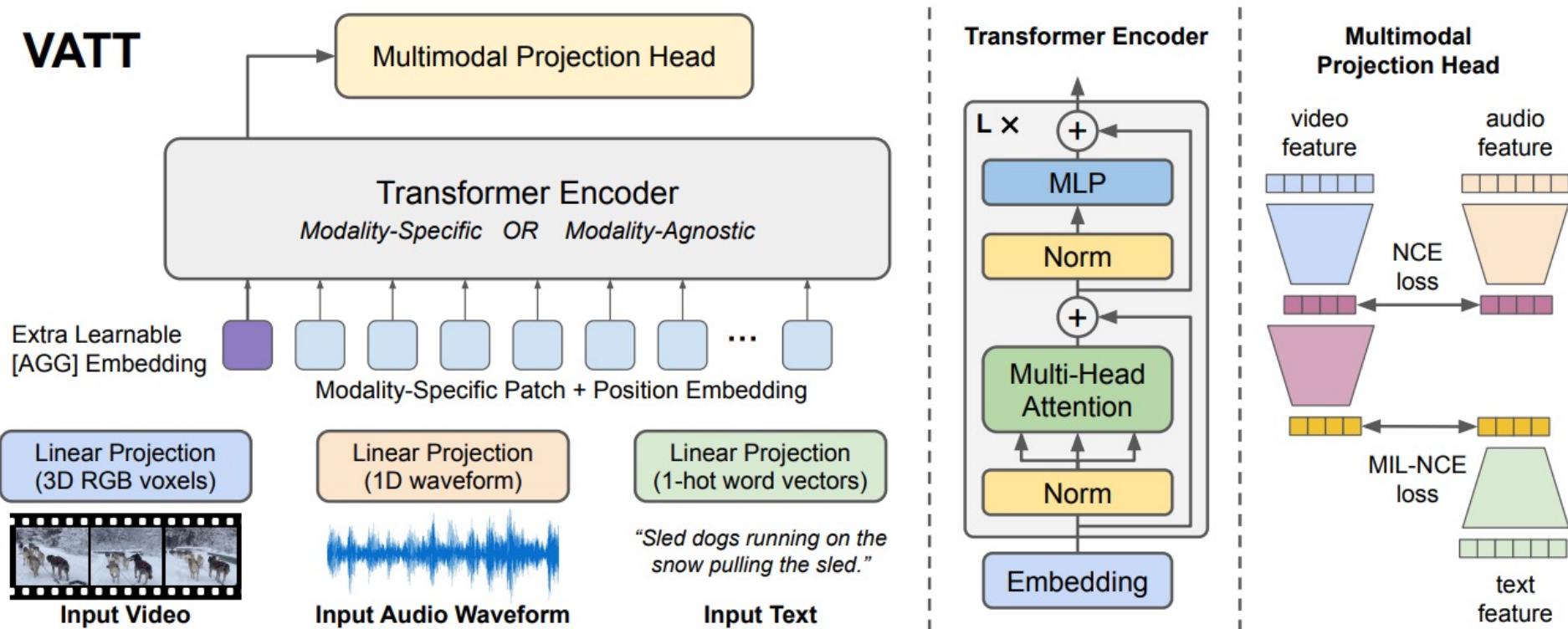


Figure 1: **Overview of the VATT architecture and the self-supervised, multimodal learning strategy.** VATT linearly projects each modality into a feature vector and feeds it into a Transformer encoder. We define a semantically hierarchical common space to account for the granularity of different modalities and employ the Noise Contrastive Estimation (NCE) to train the model.

# Other Ongoing ViT Studies ...

Model  
Compression

Efficient Training &  
Transfer

Robustness  
(adversarial attacks,  
domain shifts...)

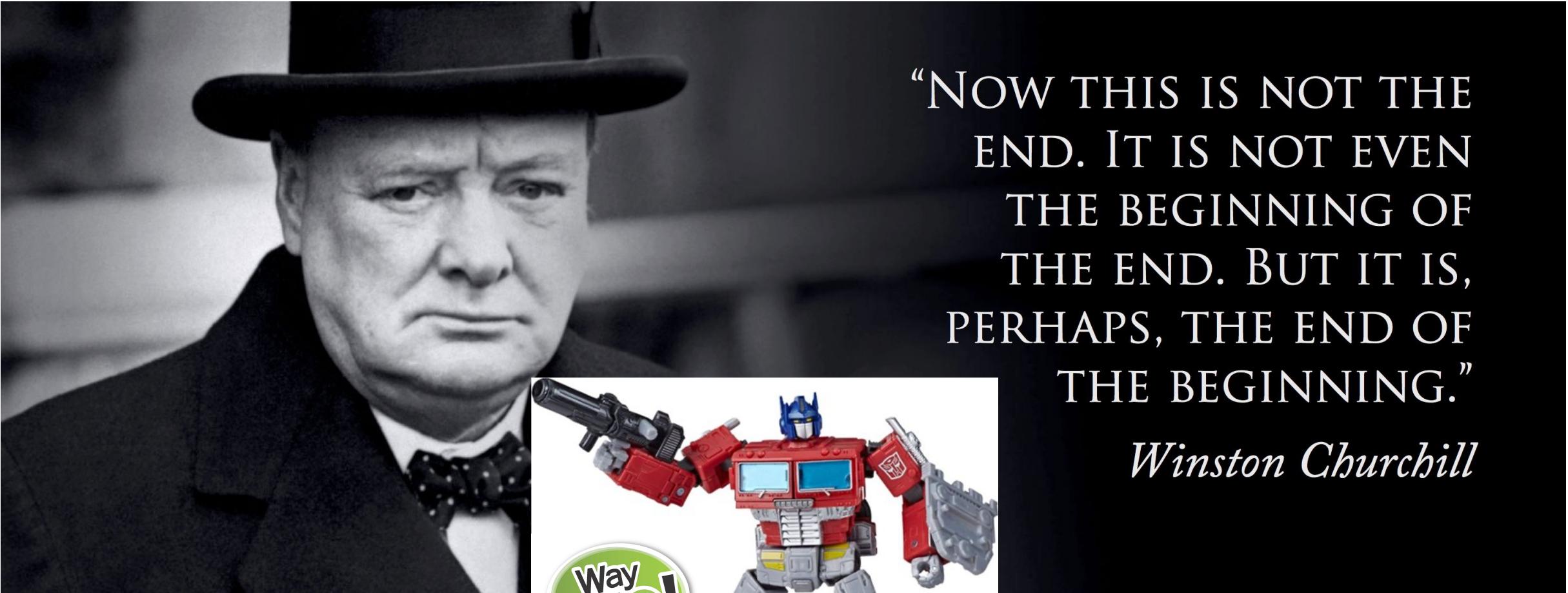
Better Optimization  
Algorithms  
(overcoming over  
smoothening, etc.)

Better Backbone:  
Neural Architecture  
Search...

Scaling Up:  
Larger Data, Multi-  
Modality ...

Interpretability

.....



“NOW THIS IS NOT THE  
END. IT IS NOT EVEN  
THE BEGINNING OF  
THE END. BUT IT IS,  
PERHAPS, THE END OF  
THE BEGINNING.”

*Winston Churchill*



The University of Texas at Austin  
**Electrical and Computer  
Engineering**  
*Cockrell School of Engineering*