# COL351 Assignment - 4

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## 1 Flows and Min-Cuts

We are given a directed graph G = (V, E) with source s and set of terminals  $T = \{t_1, t_2, ...., t_k\}$  $\subseteq V$ . For any  $X \subseteq E$ , r(X) denote the number of vertices  $v \in T$  that remains reachable from s in G- X. Let's create an auxiliary graph H, which is initialized to original graph G. In H, we create a new node t. Then, we add a directed edge  $(t_i, t)$  in  $H \forall t_i \in T$ . Now, we assign capacity of 1 to all edges in H, i.e.,  $c(e) = 1 \forall e \in E(H)$ .

Let f be the max-flow of H (computed using Ford-Fulkerson Algorithm as discussed in the class).

### **Claim - 1:** r(X) + |X| > f

**Proof:** We know that if there is a flow of f in a graph with all edge capacities 1, then there exists f edge-disjoint paths from s to t (Claim proved in tutorial). Using this claim, there exists f edge-disjoint paths from s to t in H. From the graph construction, the only incoming edges to t are from the set of terminals T. This implies that, there exists f vertices  $T' = \{t'_1, \dots, t'_f\} \subseteq T$  such that the flow value of directed edge between the vertex and t is 1, and for all other vertices in T, the flow value of edge between the vertex and t is 0. As there exists f paths from s to t in H which are edge-disjoint, there exists paths from s to each vertex in t in H which are edge-disjoint. Let t is t paths, where t is path from t to t in H which are edge-disjoint. All the edges in t is path from t to t in E(G) are those connecting terminals and t. So, these disjoint paths exist in t

To minimize r(X) + |X|, we need to disconnect more vertices of T, using less number of edges. Let's say we disconnect k' vertices of T' (k' can be 0). So, number of vertices in T connected to s are at least f - k' (because remaining f - k' vertices of T' will be connected to s, as the paths are disjoint). And, there should be at least k' edges in X (i.e., there should be at least one edge in each path from s to k' vertices because all paths are disjoint). Formally,  $r(x) \ge f - k'$  and  $|X| \ge k'$ . So,  $r(X) + |X| \ge f$ .

## Algorithm:

- 1) Create graph H from G as described above.
- 2) Find the max-flow f of the graph H, using Ford-Fulkerson Algorithm.
- 3) Let A be the set of vertices reachable from s in residual graph of H.
- 4) Let E' be the set of edges in H connecting vertices in A and  $\bar{A}$ .
- 5) From E', remove edges that are connected from  $t_i$  (any terminal node  $\in T$ ) to t.
- 6) X is E' i.e., edge set E' minimizes r(X) + |X|.
- 7) return E'.

### **Proof of Correctness:**

Claim - 2:  $E' \subseteq E(G)$ 

**Proof:** From the algorithm, initially E' is a set of edges in H, that connects A and  $\bar{A}$ . Now, we are removing edges that are connected from  $t_i$  (any terminal node  $\in T$ ) to t. Now, all the edges in E' belongs to E(G), because the only edges in E(H) that are not present in E(G) are those connecting terminals and t. As we are removing those edges from E', all the remaining edges are present in E(G).

### Claim - 3: E' minimizes r(X) + |X|

**Proof:** From the algorithm, we first compute max-flow of the graph H, using Ford-Fulkerson Algorithm. Let f be the max-flow. Now, we compute A containing vertices reachable from s in residual graph of H. Number of edges in H connecting vertices in A to A' will be f, because A is the min-cut and all the edges have flow 1 (all the edges in min-cut are saturated). So, initially |E'| = f. Let p vertices of A belong to T. Now, there will be p edges in E' that connect from terminals to t. Now, we remove these edges. So, |E'| = f - p. And, number of terminals reachable from s in G will be p (because A and  $\bar{A}$  will be disconnected in G, if we remove E' from G, so the terminals reachable from s should be in s. Formally, s in s i

### Time Complexity:

- 1) Step 1, takes O(m + n + k) time. (m is number of edges in G, n is number of vertices in G)
- 2) Step 2, takes  $O((m + n + k)^*f)$ , where f is the max flow of H.
- 3) Step 3 takes O(m + n + k) time. We can use BFS on residual graph of H.
- 4) Step 4, 5 takes O(m + k) time.

So, overall time complexity is  $O((m + n + k)^*f)$ . Max flow of H is less than |T|, because the incoming flows to t are through vertices of T and the max inflow to t is |T|, which is the maximum bound on max flow of H.

So, overall time complexity is  $O((m + n + k)^*|T|)$ . Also, n = O(m) and k = O(m). So, overall time complexity is  $O(m^*|T|) = O(|E|^*|T|)$ .

## 2 Hitting Set

## 2.a NP Class

## Proof that Hitting Set belongs to NP Class:

Problems which have polynomial time verifier belongs to NP-Class.

We need to prove that Hitting set problem(HSP) has a polynomial time verifier. We are given a set  $S, |S| \le k$ . We can find a intersection of  $S, A_i$  in O(nk) time =  $O(n^2)$  time. So, For  $A_1, A_2 ... A_m$  we find intersection in  $O(mn^2)$  time. If all intersections are non empty then S is a Hitting Set.If not, S is not a hitting set.

So HSP has a verifier of O(mn<sup>2</sup>) which is polynomial time of input size. So HSP belongs to NP class

## 2.b NP Complete

### Vertex Cover to Hitting Set

## Step 1: Mapping instance of vertex cover to hitting set

Let G = (V,E) be a graph with n vertices, m edges. Let (G, k) be an instance of vertex cover. Consider U be the set of vertices of G i.e., U = V. For each edge e = (x,y), construct a set  $A_e = \{x,y\}$ . So a total of m sets  $A_1,A_2,\ldots,A_m$  will be formed and  $A_1,A_2,\ldots,A_m$  are subsets of U. So, we constructed HSP =  $(U,A_1,A_2,\ldots,A_m)$ . And (HSP, k) is an instance of HSP. This mapping takes O(n+m) time, which is polynomial time.

# Step 2: G = (V,E) has a vertex cover of size atmost k iff HSP has a hitting set of size atmost k

### Part 1:

If HSP has a hitting set of size atmost k then G = (V,E) has a vertex cover of size atmost k

let S be a hitting set of HSP,  $|S| \le k$ . So,  $S \cap A_1 \ne \phi$  for all  $A_i$ . Consider S to be the vertex cover of G. From the above mapping,  $A_i$  corresponds to edges. So for each edge e = (x,y),  $S \cap e \ne \phi$ . So, S is a vertex cover of G. Size of S is at most k. We found a vertex cover of G = (V,E) of size at most K. Solution of HSP can be converted to solution of vertex cover in O(1) time.

## Part 2:

If G = (V,E) has a vertex cover of size at most k then HSP has a hitting set of size at most k **Proof:** 

let W be vertex cover of G,  $|W| \le k$ . So, for all edges e = (x,y),  $e \cap W \ne \phi$  From the mapping edges correspond to  $A_i$ '. So, for all  $A_i$ ,  $A_i \cap W \ne \phi$ . And size of W is atmost k. So, W is hitting set of HSP. We found a solution of HSP of size atmost k. Solution of Vertex cover can be converted to solution of HSP in O(1) time.

So, from claims in step 1 and 2 we can say that Hitting set is NP-complete.

## 3 Feedback Set

G = (V,E) has n vertices, m edges

### 3.a NP Class

### Proof that Undirected Feedback set problem belongs to NP Class:

We need to prove that undirected Feedback set problem (UFS) has a polynomial time verifier. We are given a set X of size k. We can construct G - X in O(m + n) time (we need to remove vertices of X in G). We can check for cycle in G - X using DFS algorithm. This takes O(m + n) time. If G - X has no cycles, then X is a feedback set. If not X is not a feedback set. So, UFS has a verifier of O(m + n) time which is polynomial time of input size. So UFS belong to NP class.

## 3.b NP Complete

Vertex cover to UFS

## Step 1: Mapping instance of vertex cover to UFS

let G = (V, E) be a graph with n vertices and m edges and (G, k) is an instance of vertex cover. We construct a graph H = (V',E') from G. Initially define H to be G i.e., H = G. For each edge E equals E in E, we add a vertex E to E and connect it to endpoints E and E is an instance of UFS. This mapping takes E of E in E time which is polynomial time of input size.

### Step 2: G = (V,E) has a vertex cover of size K iff UFS has a feedback set of size k

### Part 1:

If UFS has a feedback set of size k, then G = (V,E) has a vertexcover of size K.

#### Proof:

Let S be a feedback set of UFS and |S| = k. From above mapping x, y,  $v_e$  form a cycle in H. So, S must contain a vertex from x, y,  $v_e$ 

let S contain  $v_e$ ,  $e = (x, y) \in G$ . So, any cycle in H containing  $v_e$  also contains (x, y). Because  $v_e$  is only connected to (x, y). So,we can replace  $v_e$  by x or y in S

From above claims, for all edges  $e = (x, y) \in G$ , S must contain x or y (or both).

So, S is a vertex cover of G of size k. Solution of UFS can be converted to solution of vertex cover in O(1) time.

## Part 2:

If G = (V, E) has a vertex cover of size k, then UFS has a feedback set of size k.

### Proofs

let W be a vertex cover of G of size k. Now, we remove vertices of W from H (i.e., we remove the vertices in W and edges connected to them). From the mapping, for any edge  $(x, y) \in H$ , if  $(x, y) \in G$  then  $(x, y) \notin H$  - W (because either of x, y must be in vertex cover W).

So the only possible edges in H - W are (x, y) such that only one of  $x, y \in G$  and other is newly added vertex. So any cycle in H - W must contain edges of this type only. Without loss of generality, let x be newly added vertex. So if x is in a cycle then there should be at least 2 edges incident to x in H - W. From construction, x has only 2 incident edges from u, v and edge(u, v)  $\in G$ . But at least one of u, v belong to w. So, at least one edge incident of v is removed. So, v has at most 1 edge, incident to it. So, v can't be a part of any cycle. Since every edge in v H - v has one newly added vertex no edge can't form a cycle. So, v has a feedback set of size v. Solution of vertex cover can be converted to solution of UFS in v in v.

Hence, from claims in steps 1 and 2, we can say that UFS is NP-Complete