

Assignment-4

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- 1) $B(x)$: x is a barber
 $S(x, y)$: x shaves y

(a) Every barber shaves all persons who don't shave themselves

$$F_1 = \forall x \forall y (\neg S(x, x) \rightarrow (B(y) \rightarrow S(y, x)))$$

$$F_1 = \forall x \forall y (S(x, x) \vee \neg B(y) \vee S(y, x))$$

(b) No barber shaves any person who shaves himself

$$F_2 = \forall x \forall y (S(x, x) \rightarrow (B(y) \rightarrow \neg S(y, x)))$$

$$F_2 = \forall x \forall y (\neg S(x, x) \vee \neg B(y) \vee \neg S(y, x))$$

Negation of (c)

(c) There exist a barber

$$F_3 = \exists x B(x)$$

If we show that \square can be derived from the resolution of F_1, F_2, F_3 then it implies that (c) is a consequence of (a), (b).

F_1, F_2 are already in skolem.

Converting F_3 into skolem, we get $F_3 = B(a)$

F_1, F_2, F_3 are defined over a signature with single constant symbol 'a'. So, ground term is 'a'

$$\frac{\{S(a, a), \neg B(a), S(a, a)\}, \{\neg S(a, a), \neg B(a), \neg S(a, a)\}}{\{ \neg B(a) \}, \{ B(a) \}}$$

$$\frac{\{ \neg B(a) \}, \{ B(a) \}}{\square}$$

\square

We derived \square from ground resolution of F_1, F_2, F_3

So, $a \wedge b \wedge \neg c$ is not satisfiable.

So, $a, b \models c$.

2) Properties of relation \sim :

- If $A \sim B$ then for every atomic formula F , $A \models F$ iff $B \models F$
- If $A \sim B$, then for each variable x ,
 - (i) for each $a \in U_A$, $\exists b \in U_B$ such that $A[x \rightarrow a] \sim B[x \rightarrow b]$
 - (ii) for each $b \in U_B$, $\exists a \in U_A$ such that $A[x \rightarrow a] \sim B[x \rightarrow b]$

Claim: If $A \sim B$, then $A \models F$ iff $B \models F$ for any formula F

Proof: By induction on structure of formula

Base Case: F is atomic formula. $A \models F$ iff $B \models F$ from the property of relation \sim .

Induction step:

Case 1: F is of the form $\neg G$

- $A \models F$
- iff $A \models \neg G$
- iff $A \not\models G$
- iff $B \not\models G$ (Induction Hypothesis)
- iff $B \models \neg G$ iff $B \models F$

So, $A \models F$ iff $B \models F$

Case 2: F is of the form $F_1 \wedge F_2$

- $A \models F$
- iff $A \models F_1 \wedge F_2$
- iff $A \models F_1$ and $A \models F_2$
- iff $B \models F_1$ and $B \models F_2$ (Induction Hypothesis)
- iff $B \models F_1 \wedge F_2$
- iff $B \models F$

So, $A \models F$ iff $B \models F$

Case 3: F is of the form $\exists x G$

Claim-1: $A[x \rightarrow a] \models G$ iff $B[x \rightarrow b] \models G$ for some $a \in U_A, b \in U_B$

Proof: From the properties of \sim

$A[x \rightarrow a] \sim B[x \rightarrow b]$ for some $a \in U_A, b \in U_B$

So, $A[x \rightarrow a] \models G$ iff $B[x \rightarrow b] \models G$ for some $a \in U_A, b \in U_B$

$$A \models F$$

$$\text{iff } A \models \exists x G$$

$$\text{iff } A \models G \text{ for some } a \in U_A$$

$$\text{iff } B[x \rightarrow a] \models G \text{ for some } b \in U_B \text{ (from Claim-1)}$$

$$\text{iff } B \models \exists x G$$

$$\text{iff } B \models F$$

$$\text{So, } A \models F \text{ iff } B \models F$$

From all 3 Cases, we can conclude that if $A \sim B$ then
 $A \models F \text{ iff } B \models F$

3)

(a) Any person is happy if all their children are rich.
 Contrapositive: If a person is not happy, then some of their children is not rich

$$F_1 = \forall x \exists y (\neg H(x) \rightarrow (c(x,y) \wedge \neg R(y)))$$

$$F_1 = \forall x \exists y (H(x) \vee (c(x,y) \wedge \neg R(y)))$$

$$F_1 = \forall x \exists y ((H(x) \vee c(x,y)) \wedge (H(x) \vee \neg R(y)))$$

$$F_1 = \forall x ((H(x) \vee c(x, f(x))) \wedge (H(x) \vee \neg R(f(x))))$$

(b) All graduates are rich

$$F_2 = \forall x (G(x) \rightarrow R(x))$$

$$F_2 = \forall x (\neg G(x) \vee R(x))$$

(c) Someone is graduate if they are a child of graduate

$$F_3 = \forall x \forall y ((c(x,y) \wedge G(x)) \rightarrow G(y))$$

$$F_3 = \forall x \forall y (\neg c(x,y) \vee \neg G(x) \vee G(y))$$

Negation of (d)

(d) Some graduate is not happy

$$F_4 = \exists x G(x) \wedge \neg H(x)$$

$$F_4 = G(a) \wedge \neg H(a)$$

F_1, F_2, F_3, F_4 are in skolem form with a constant symbol 'a' and a function 'f'. So, ground terms are $\{a, f(a), f(f(a)), \dots\}$

First Order Resolution: (Each variable is subscripted with line no. because there should not be common variables b/w clauses we are resolving)

1. $H(x_1) \vee c(x_1, f(x_1))$ Premise
2. $H(x_2) \vee \neg R(f(x_2))$ Premise
3. $\neg G(x_3) \vee R(x_3)$ Premise
4. $\neg c(x_4, y_4) \vee \neg G(x_4) \vee G(y_4)$ Premise
5. $G(a)$ Premise
6. $\neg H(a)$ Premise

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|-----|--|-----------|--|
| 7. | $H(x_7) \vee \neg G(x_7) \vee G(f(x_7))$ | Res. 1,4 | $[x_1 x_4] [f(x_1) y_2] [x_7 x_7]$ |
| 8. | $H(a)$ $H(a) \vee G(f(a))$ | Res. 5,7 | $[a x_7]$ |
| 9. | $G(f(a))$ | Res. 6,8 | |
| 10. | $H(x_{10}) \vee \neg G(f(x_{10}))$ | Res. 2,3 | $[f(x_2) x_3] [x_{10} x_2]$ |
| 11. | $\neg G(f(a))$ | Res. 6,10 | $[a x_{10}]$ |
| 12. | \square | Res. 9,11 | |

So, statements a, b, c entail d.

4) $A(x_1, x_2, \dots, x_n)$ be a formula with no quantifiers and no function symbol

Let $F = \forall x_1 \forall x_2 \dots \forall x_n A(x_1, \dots, x_n)$

(\Rightarrow) F is satisfiable

Let M be a model which satisfies F , with universe U .

Let P_1, P_2, \dots, P_m be the interpretations of predicates in M .

Now, we define a model M' with universe $U' = \{a\}$ for some $a \in U$. Let P'_1, P'_2, \dots, P'_m be interpretations of predicates in M' . We define them as:

$(a, a, \dots, a) \in P'_i$ iff $(a, a, \dots, a) \in P_i$ $\forall x_1 \dots \forall x_n A(x_1, \dots, x_n)$

Claim: M' is a model for F i.e. $M \models F \Rightarrow M' \models \forall x_1 \dots \forall x_n A(x_1, \dots, x_n)$

As the universe contains only one element a , we have to verify if $A(a, a, \dots, a)$ is true or not. to prove $M' \models \forall x_1 \dots \forall x_n A(x_1, \dots, x_n)$

We know that $M \models \forall x_1 \dots \forall x_n A(x_1, \dots, x_n)$ and $a \in U$

Proof by Induction: (on structure of A)

Base Case: A is atomic formula. This is true by construction $\bullet A = P_i$. As $M \models F$, $(a, a, \dots, a) \in P_i$. So, $A(a, a, \dots, a)$ is true.

Induction Step: 1) A is of the form $F_1 \vee F_2$

$M \models \forall x_1 \dots \forall x_n (F_1 \vee F_2)$

$\Rightarrow M \models \forall x_1 \dots \forall x_n F_1$ or $M \models \forall x_1 \dots \forall x_n F_2$

$\Rightarrow F_1(a, a, \dots, a)$ is T or $F_2(a, a, \dots, a)$ is T (Induction Hypothesis)

$\Rightarrow A(a, a, \dots, a)$ is T

2) A is of the form $F_1 \wedge F_2$

$M \models \forall x_1 \dots \forall x_n (F_1 \wedge F_2)$

$\Rightarrow M \models \forall x_1 \dots \forall x_n F_1$ and $M \models \forall x_1 \dots \forall x_n F_2$

$\Rightarrow F_1(a, a, \dots, a)$ is T and $F_2(a, a, \dots, a)$ is T (Induction Hypothesis)

$\Rightarrow A(a, a, \dots, a)$ is T

3) A is of the form $\neg F$

$M \models \forall x_1 \dots \forall x_n \neg F$

$\Rightarrow M \not\models \forall x_1 \dots \forall x_n F$

$\Rightarrow F(a, a, \dots, a)$ is F

$\Rightarrow A(a, a, \dots, a)$ is T

So, $M' \models \forall x_1 \dots \forall x_n A$

(\Leftarrow) F is satisfiable in an interpretation with one element
Clearly, F has a model
So, F is satisfiable

5)

a) Consider the formula $F_n = \exists x_1 \dots \exists x_n \bigwedge_{\substack{i,j \\ i < j}} (R(x_i, x_j) \wedge \neg R(x_j, x_i))$

For $n=2$, $F_2 = \exists x_1, \exists x_2 R(x_1, x_2) \wedge \neg R(x_2, x_1)$

Similarly for $n=3$, $F_3 = \exists x_1, \exists x_2, \exists x_3 R(x_1, x_2) \wedge \neg R(x_2, x_1) \wedge R(x_1, x_3) \wedge \neg R(x_3, x_1) \wedge R(x_2, x_3) \wedge \neg R(x_3, x_2)$

Claim 1: F_n is satisfiable

We define a model M with universe $U = \{1, 2, \dots, n\}$

$R = \{(i, j) \mid i < j\}, i, j \in U\}$

Clearly, M satisfies F_n because let $x_i = i$

for each i, j $i < j$ $R(x_i, x_j) = R(i, j) = T$

$\neg R(x_j, x_i) = \neg R(j, i) = \neg F = T$

So, $R(x_i, x_j) \wedge \neg R(x_j, x_i)$ is T for all i, j $i < j$

So, $\bigwedge_{\substack{i,j \\ i < j}} (R(x_i, x_j) \wedge \neg R(x_j, x_i))$ is T

So, $M \models \exists x_1 \dots \exists x_n \bigwedge_{\substack{i,j \\ i < j}} (R(x_i, x_j) \wedge \neg R(x_j, x_i))$

Claim 2: Every model A of F_n has n elements

Proof by contradiction

let A has ~~a~~ ^{less than} n elements

For any (x_1, x_2, \dots, x_n) we have ~~a~~ ^(i < j) some i, j such that

$x_i = x_j$ (By pigeon hole principle)

So, for this i, j $R(x_i, x_j) \wedge \neg R(x_j, x_i)$ is False (F)

So, F_n is 'F' for every (x_1, \dots, x_n)

So, $A \not\models F_n$. This is a contradiction.

So, every model A of F_n has n elements.

(b) Signature σ contains unary predicate symbols P_1, \dots, P_k

Let F be any ~~satisfiable~~ σ -formula.

So, there exists a ^{assignment} ~~model~~ M which satisfies the given formula.

If M has ^{at most} ~~less than~~ 2^k elements then we are done.

Let's assume that there are more than 2^k elements in the universe ' U '.

For every element $e \in U$, let $S_e = \{P_1(e), P_2(e), \dots, P_k(e)\}$

Each predicate can be T/F. So, there will be ~~at~~ at most 2^k possibilities of $\{P_1(x), \dots, P_k(x)\}$

But we have more than 2^k elements.

So, there exists two elements $e, e' \in U$ such that

$$\{P_1(e), P_2(e), \dots, P_k(e)\} = \{P_1(e'), P_2(e'), \dots, P_k(e')\}$$

So, e and e' have same valuation for each predicate. ^{By pigeon} (hole principle)

So, we remove ~~one of~~ e' from universe and from interpretations of predicates. Clearly this new assignment also satisfies the formula because ~~both~~ e' is ~~not~~

providing same interpretation as e .

We repeat the process till there are less than 2^k elements in the universe.

So, we have a model where universe has at most 2^k elements.

So, any σ -satisfiable formula has a model where the universe has at most 2^k elements.