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ON THE REPRESENTATION OF CONTINUOUS FUNCTIONS OF SEVERAL VARIABLES BY SUPERPOSITIONS OF CONTINUOUS FUNCTIONS OF A SMALLER NUMBER OF VARIABLES

A. N. KOLMOGOROV

From Theorem 3, stated below, there follows a rather unexpected consequence: every continuous function of an arbitrarily large number of variables can be represented in the form of a finite superposition of continuous functions of not more than three variables. For an arbitrary function of four variables such a representation has the form

$$f(x_1, x_2, x_3, x_4) = \sum_{r=1}^{4} h^r[x_4, g_1^r(x_1, x_2, x_3), g_2^r(x_1, x_2, x_3)].$$

The question of the possibility of representing an arbitrary continuous function of three variables in the form of a superposition of continuous functions of two variables remains open. The proof of the possibility of such a representation would yield a complete solution of Hilbert's 13th problem [1] in the sense of establishing the hypothesis stated by Hilbert. Theorem 2 shows only that representation of an arbitrary continuous function of three variables in the form of a superposition of continuous functions of two variables is in fact possible if as auxiliary variables one admits variables running through a one-dimensional configuration somewhat more complicated than an interval of the real line, and in fact, the universal tree (a tree is a locally connected continuum not containing a homeomorphic image of a circle; as Menger [2] showed, there is a universal tree containing homeomorphic images of all trees).

In the sequel, k, m, n, r will denote natural numbers; a, b, c, C, d, M, R, x, y, u, v, f, F, g, h, ϵ , δ , ρ will denote real numbers; ξ , ϕ , ψ will denote elements of trees; E^n will denote the n-dimensional unit cube, $0 \le x_i \le 1$; $i = 1, \dots, n$.

Theorem 1.

a) For arbitrary $n \ge 2$, there are continuous functions

$$\phi^1, \cdots, \phi^{n+1}$$

defined on E^n with values in the universal tree Ξ such that every continuous real-valued function f defined on E^n has the form

$$f(x_1, \dots, x_n) = \sum_{r=1}^{n+1} h_f^r [\phi^r(x_1, \dots, x_n)],$$

where the real functions $h_f^r(\xi)$ are defined and continuous on Ξ .

b) Here the functions h_f^r can be chosen so that they will depend continuously on f in the sense of the topology of uniform convergence in the spaces of continuous functions on E^n and Ξ .

Theorem 2 follows almost immediately from Theorem 1.

Theorem 2. For arbitrary $n \geq 3$, there exist functions

$$\phi^1, \cdots, \phi^n$$

defined and continuous on E^n with values in Ξ , such that every continuous function f defined on E^n is representable in the form

$$f(x_1, \ldots, x_n) = \sum_{r=1}^{n} h^r [x_n, \phi^r(x_1, \ldots, x_{n-1})],$$

where the real functions $h^r(x, \xi)$ are defined and continuous on the product $E^1 \times \Xi$

The universal tree Ξ (see [2]) can be realized in the form of a continuum lying in the unit square E^2 . Writing g_1^r and g_2^r for the coordinates of the point ϕ^r , we obtain this assertion as an immediate consequence of Theorem 2:

Theorem 3. For arbitrary $n \geq 3$, there exist continuous real functions

$$g_1^1, \dots, g_1^n; g_2^1, \dots, g_2^n,$$

defined on E^n such that every continuous function f given on E^n is representable in the form

$$f(x_1, \dots, x_n) = \sum_{r=1}^{n} h^r[x_n, g_1^r(x_1, \dots, x_{n-1}), g_2^r(x_1, \dots, x_{n-1})],$$

where the functions h^r are defined and continuous on E^3 .

For n = 3, Theorem 3 is trivial: it has real interest only for n > 4.

It remains to sketch the method of proof of Theorem 1. The following lemma is the starting point for this.

Basic Lemma. For arbitrary $n \ge 2$, one can define on E^n a system of functions

$$u_{km}^r(x_1, \ldots, x_n)$$

with indices r, k, m running through values in the intervals

$$1 \leq r \leq n+1, \ 1 \leq k < \infty, \ 1 \leq m \leq m_k,$$

that possesses the following properties:

- 1) $u_{km}^r \geq 0$;
- 2) $u_{km}^r \neq 0$ only on a set G_{km}^r of diameter d_k , where $d_k \to 0$ as $k \to \infty$;
- 3) two sets G_{km}^r and $G_{km'}^r$, with common indices r and k and $m' \neq m$ do not intersect;
 - 4) for arbitrary k and at every point $P \in E^n$,

$$c \leq \sum_{r=1}^{r=n+1} \sum_{m=1}^{m=m} u_{km}^r \leq C,$$

where c and C do not depend upon k;

5) the function u_{km}^r is constant on each $G_{k'm'}^r$ with the same index r for

k' > k and arbitrary m'.

The construction of the system of functions u_{km}^r cannot be set down within the limits of this note. In the sequel, we shall suppose that this system of functions is given.

Lemma 1.

a) An arbitrary continuous function f defined on E^n can be represented in the form

 $f(P) = \sum_{k=1}^{\infty} \sum_{r=1}^{n+1} \sum_{m=1}^{m_k} a_{km}^r(f) u_{km}^r(P), \tag{1}$

where the coefficients $a_{km}^{r}(f)$ do not depend upon P.

b) The coefficients $a_{km}^r(f)$ can be chosen in the form of continuous functions of f and indeed so that

 $|a_{km}^{r}(f)| \leq a(\mathfrak{F}), \sum_{k=1}^{\infty} a_{k}(\mathfrak{F}) < \infty$

on every family \Re of uniformly bounded and equicontinuous functions f.

The proof of Lemma 1 is based on properties 1), 2), and 4) of the system u_{km}^r and begins with estimates of the remainder term R in the representation

$$f(P) = \sum_{r=1}^{n+1} \sum_{m=1}^{m_k} b_m^r u_{mk}^r(P) + R,$$

where

$$b_m^r = \frac{1}{C} f(P_{km}^r),$$

and P_{km}^r are arbitrary points belonging respectively to the sets G_{km}^r . It is easy to show that for an appropriate choice of the coefficients b_m^r ,

$$|R| \leq (|1 - \frac{c}{C}| + \delta_k) M,$$

where

$$M = \sup_{P \in E^n} |f(P)|, \ \delta_k = \sup_{\rho(P,P') \le d_k} |f(P) - f(P')|.$$

A complete proof of Lemma 1 cannot be given here.

We now write the decomposition (1) in the form

$$f(P) = \sum_{r=1}^{n+1} f^r(P),$$
 (2)

$$f^{r}(P) = \sum_{k=1}^{\infty} \sum_{m=1}^{m_{k}} a_{km}^{r}(f) u_{km}^{r}(P).$$

The following property of the functions f^r can be easily inferred from properties 2), 3), and 5) of the system u^r_{km} .

Lemma 2. The function f'(P) is constant on every component of an arbitrary level set of the function

$F^{r}(P) = \sum_{k=1}^{\infty} \frac{1}{k^{2}} \sum_{m=1}^{m_{k}} u_{km}^{r}(P).$

We now remark, as was shown by A. S. Kronrod [3], that the components of level sets of an arbitrary continuous function form a tree in a certain natural topology. The tree of components of the level sets of the function F^r will be denoted by Ξ^r , and these trees Ξ^1, \dots, Ξ^{n+1} are then mapped by homeomorphisms

$$\psi_r(\Xi^r) = \Xi_0^r \subseteq \Xi$$

onto pairwise disjoint subsets of the universal tree \(\mathcal{\Xi} \). We set

$$\phi^r(P) = \psi_{\underline{\hspace{0.1cm}}}(\xi^r), \text{ if } P \in \xi^r \in \Xi^{r_0},$$

and define continuous functions $h^r(\xi)$ on Ξ such that for $\xi \in \Xi_0^r$,

$$h^r(\xi) = \gamma$$
, if $f^r(P) = \gamma$ for $P \in \psi_r^{-1}(\xi)$.

It is easy to verify that

$$f^{r}(P) = h^{r}[\phi^{r}(P)]. \tag{3}$$

Formulas (2) and (3) lead us to a proof of assertion a) of Theorem 1. Assertion b) of Theorem 1 is proved on the basis of assertion b) of Lemma 1.

In conclusion we list without proof the following assertion.

Theorem 4. For arbitrary $n \ge 2$ and $\epsilon > 0$, for every function f defined and continuous on E^n , there exist polynomials

$$b(u_1, \dots, u_{n-1}), a_r(x), c_r(x); r = 1, \dots, n+1,$$

such that at all points $P \in E^n$

$$|f(P)-\widetilde{f}(P)|<\epsilon$$

where

$$\widetilde{f}(x_1, \dots, x_n) = \sum_{r=1,2} a_r(x_n) b[c_r(x_n) + x_1, \dots, c_r(x_n) + x_{n-1}].$$
 (4)

In the case n = 3, upon setting

$$d(u, v) = u + v, g_r(x, y) = a_r(x)y, h_r(x, x') = c_r(x) + x',$$

we obtain from (4)

$$\widetilde{f}(x_1, x_2, x_3) =$$

$$d(g_1\{x_3, b[h_1(x_3, x_1), h_1(x_3, x_2)]\}, g_2\{x_3, b[h_2(x_3, x_1), h_2(x_3, x_2)]\}).$$
 (5)

In view of Theorem 4, every continuous function of three variables can be approximated arbitrarily by an expression of the form (5), where d, g_r , b, and h_r are polynomials of two variables. This remark also illuminates from a rather new side the circle of problems relating to Hilbert's 13th problem.

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