

# Overlap-based Vocabulary Generation Improves Cross-lingual Transfer Among Related Languages



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# Multilingual Models: An overview

- Multilingual Models form the core of many NLP tasks

E.g. theorem proving, solving reading comprehension

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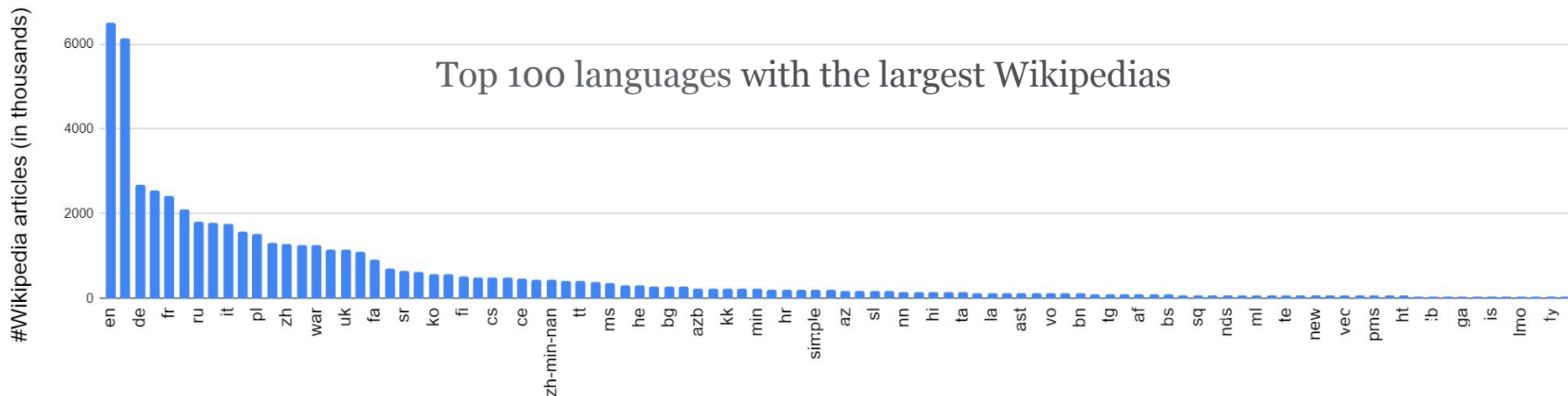
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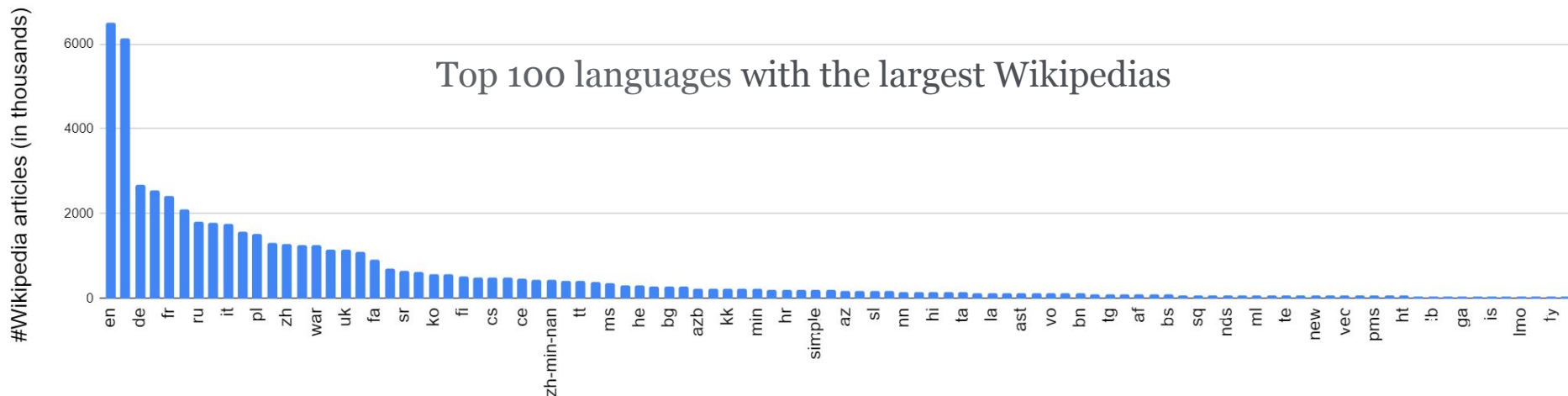


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- Multilingual models have been effective for cross-lingual transfer
  - when there is sufficient LRL unlabeled corpus (Wu and Dredze, 2020)

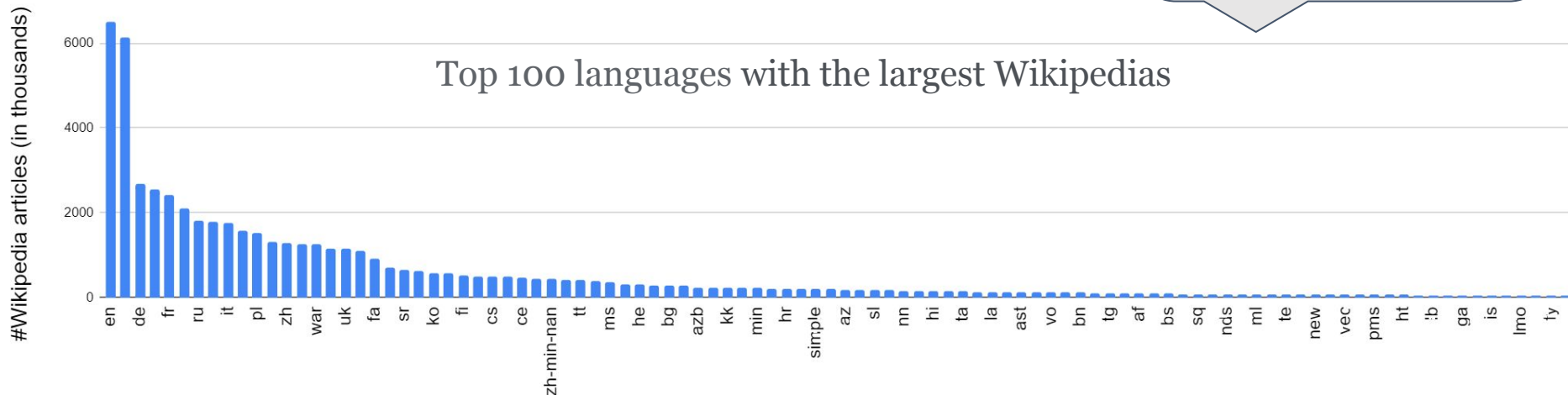
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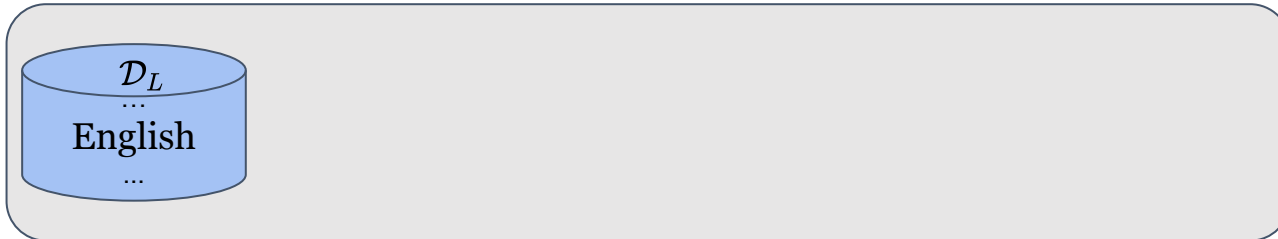
If languages belong to the same family, what more can be done to improve cross-lingual transfer?



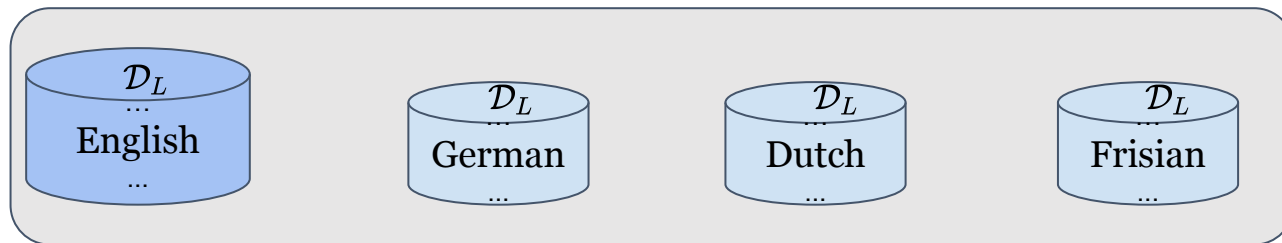
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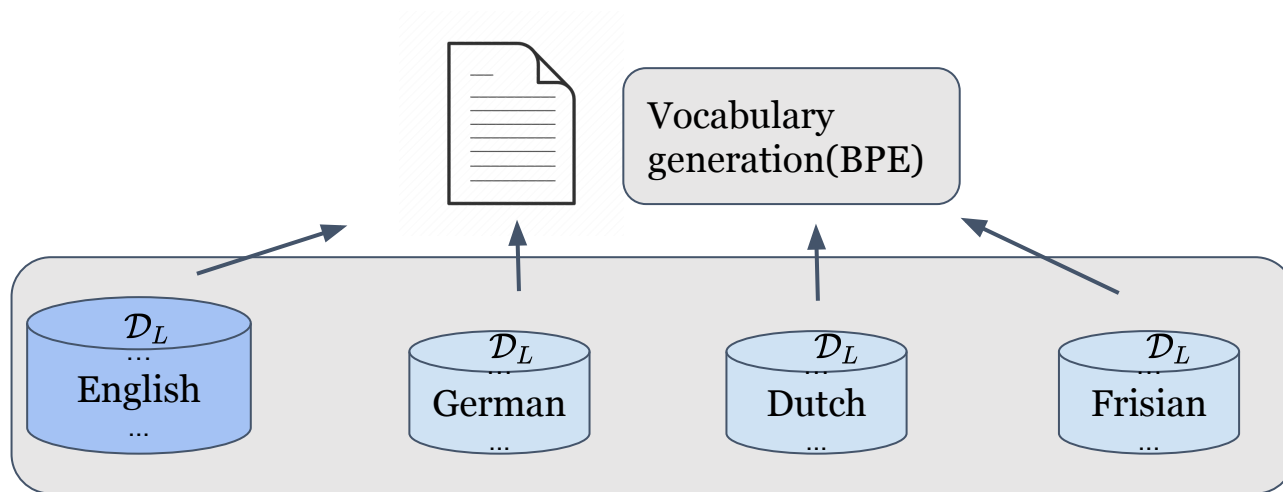


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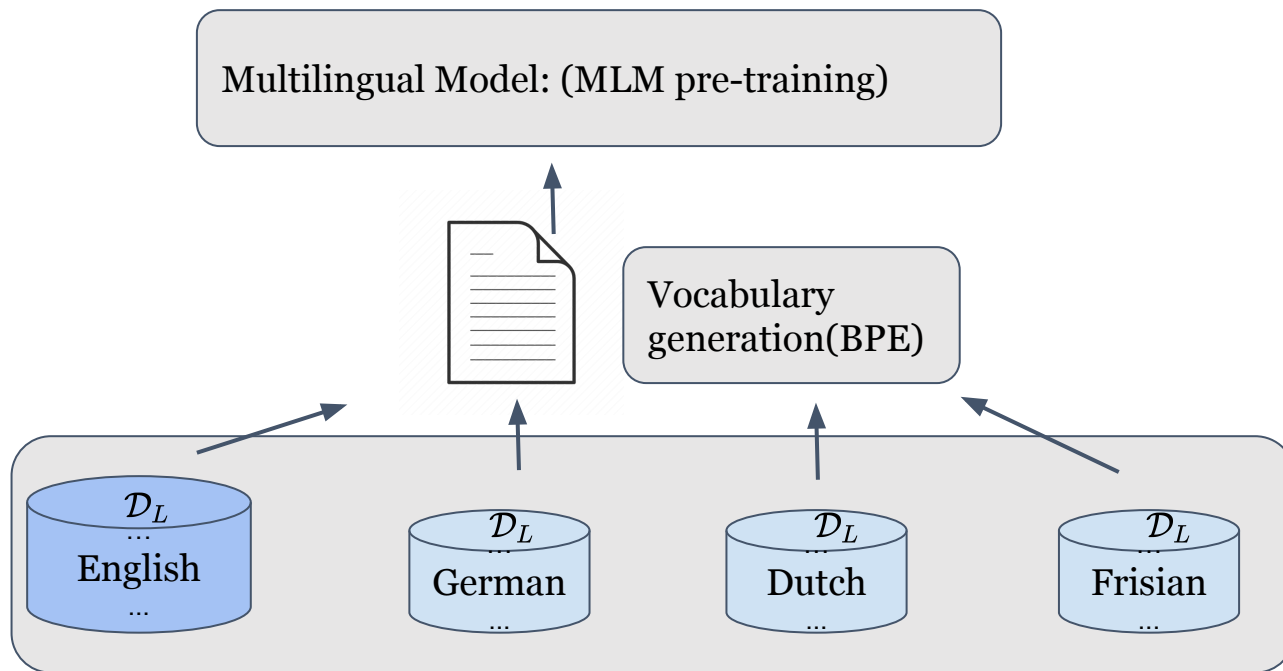




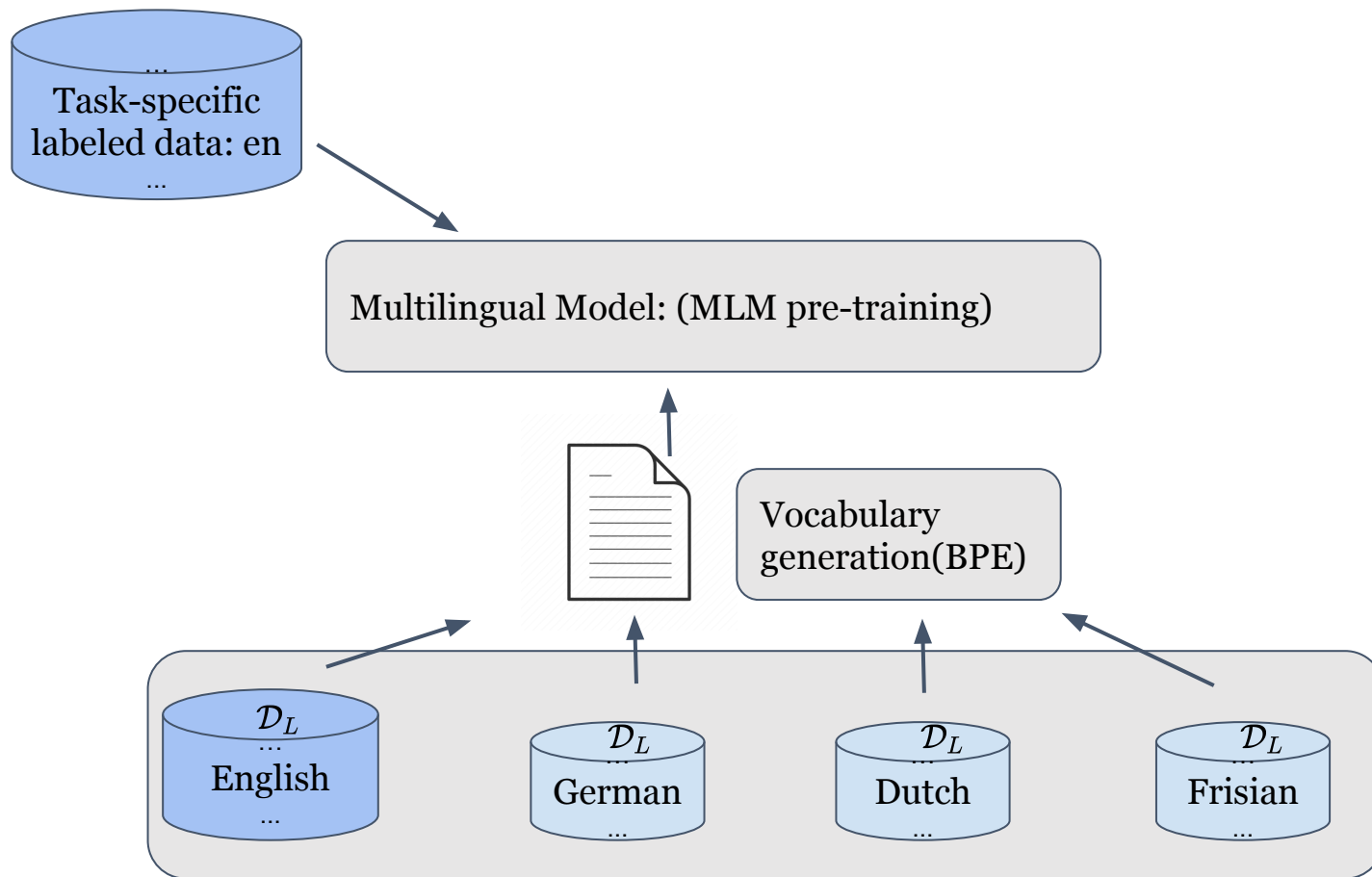
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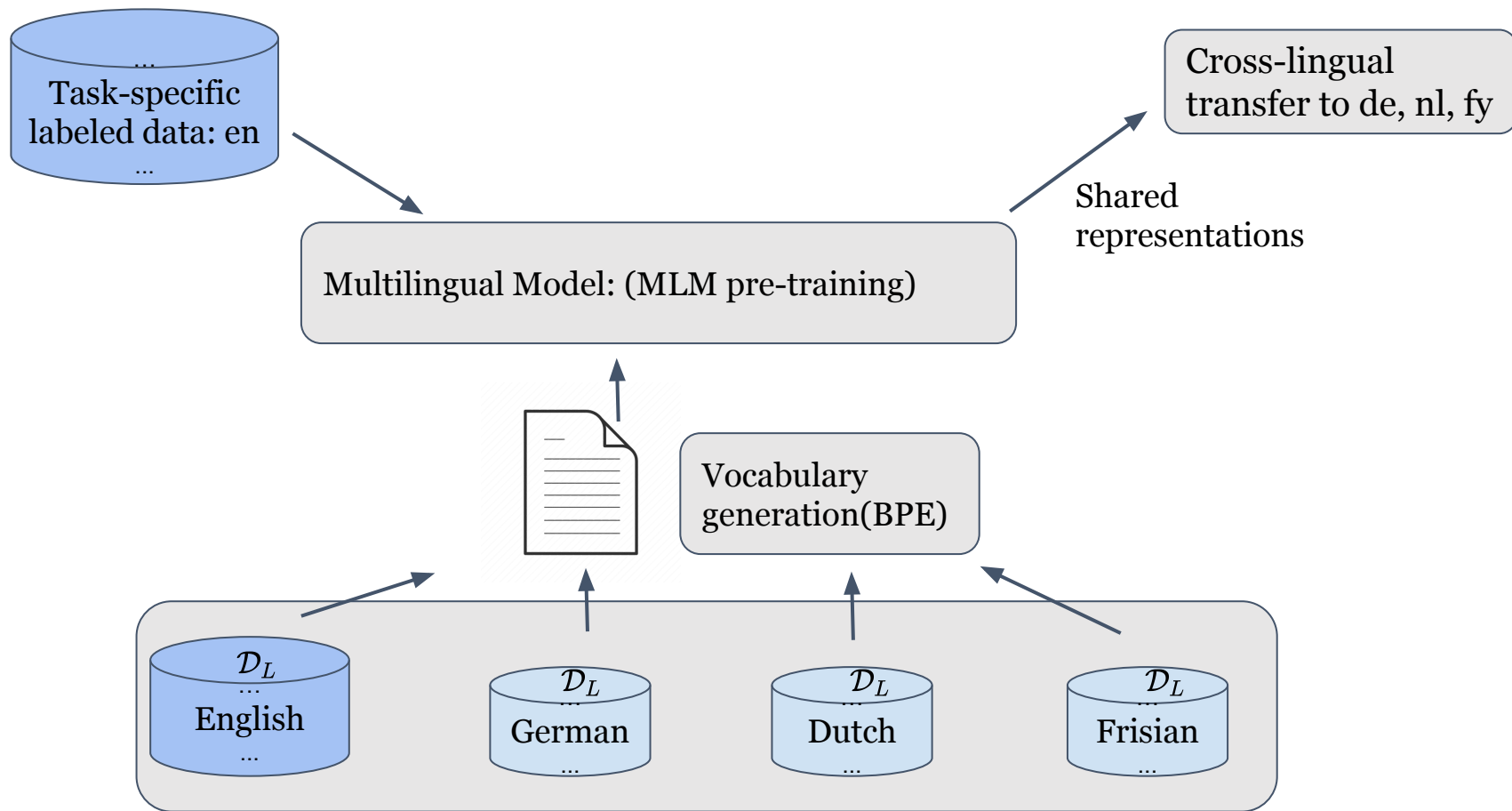
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If languages belong to the same family, what more can be done while **generating vocabulary** for supervision transfer from HRL to LRL?



# Lexical Overlap

Indo-Aryan	Hindi: <b>Vaapari</b> yo, Marathi: <b>Vaapartat</b> , Punjabi: <b>Vaaparan</b> , Gujarati: <b>Vaapar</b> vana
West-Germanic	English: <b>Cate</b> gory, German: <b>Kate</b> gorie, Dutch: <b>Cate</b> gorie, Western Frisian: <b>Kate</b> gory
Romance	French: <b>Associa</b> tion, Spanish: <b>Associa</b> cion, Portuguese: <b>Associa</b> cao, Italian: <b>Associa</b> zione

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How can relatedness help improve cross-lingual transfer?

# Main takeaways

- Oversampling is less effective than exploiting token-overlap zero-shot transfer in related languages setting

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- Token overlap matters (unlike K et al., 2020) under two settings:
  - Languages are sufficiently related
  - LRL is resource-poor even in the amount of unlabeled data

# Relatedness for vocabulary generation

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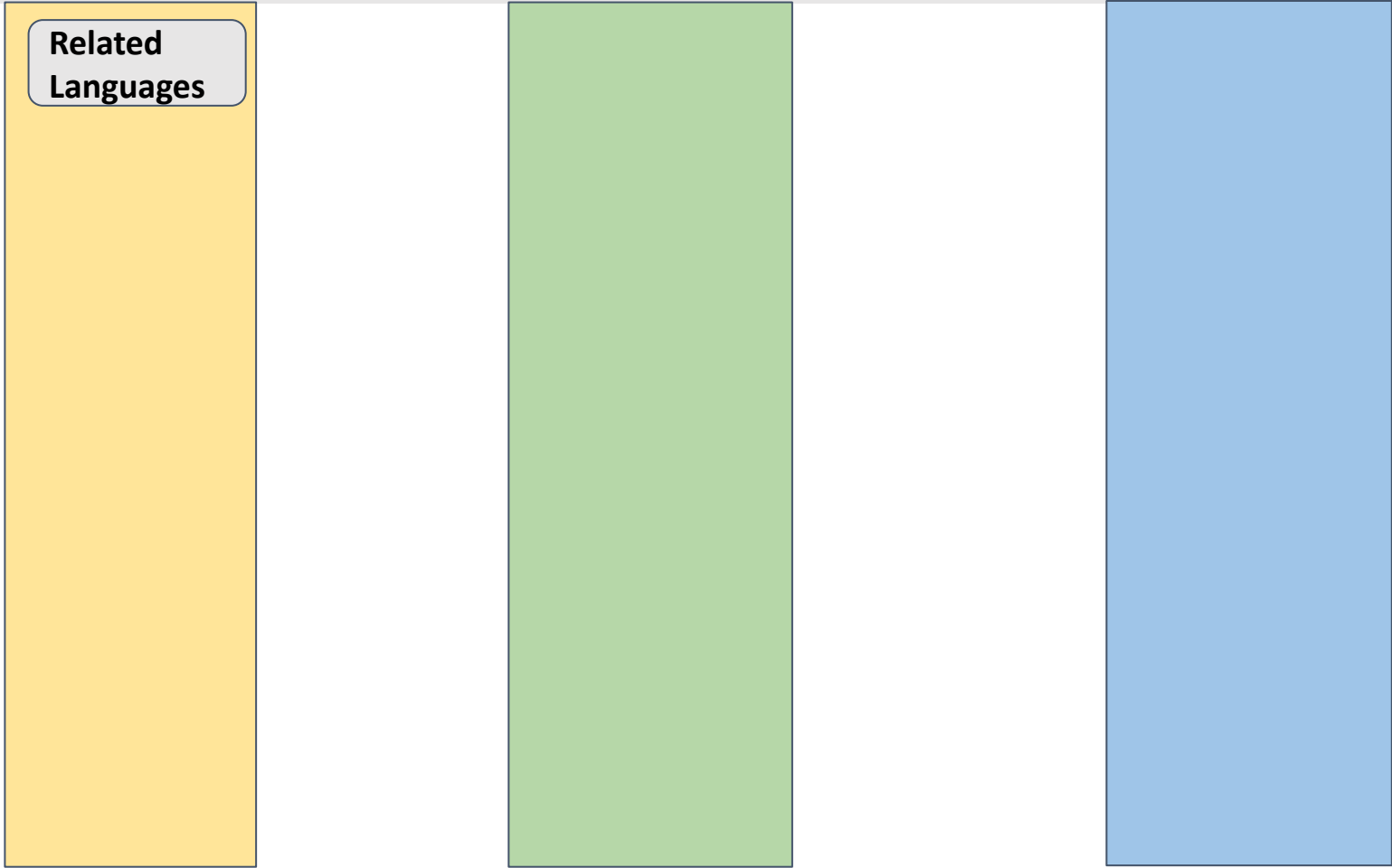
PROBLEM: **Resource Scarcity**

Can we take advantage of this *relatedness* to overcome the barriers of resource scarcity?

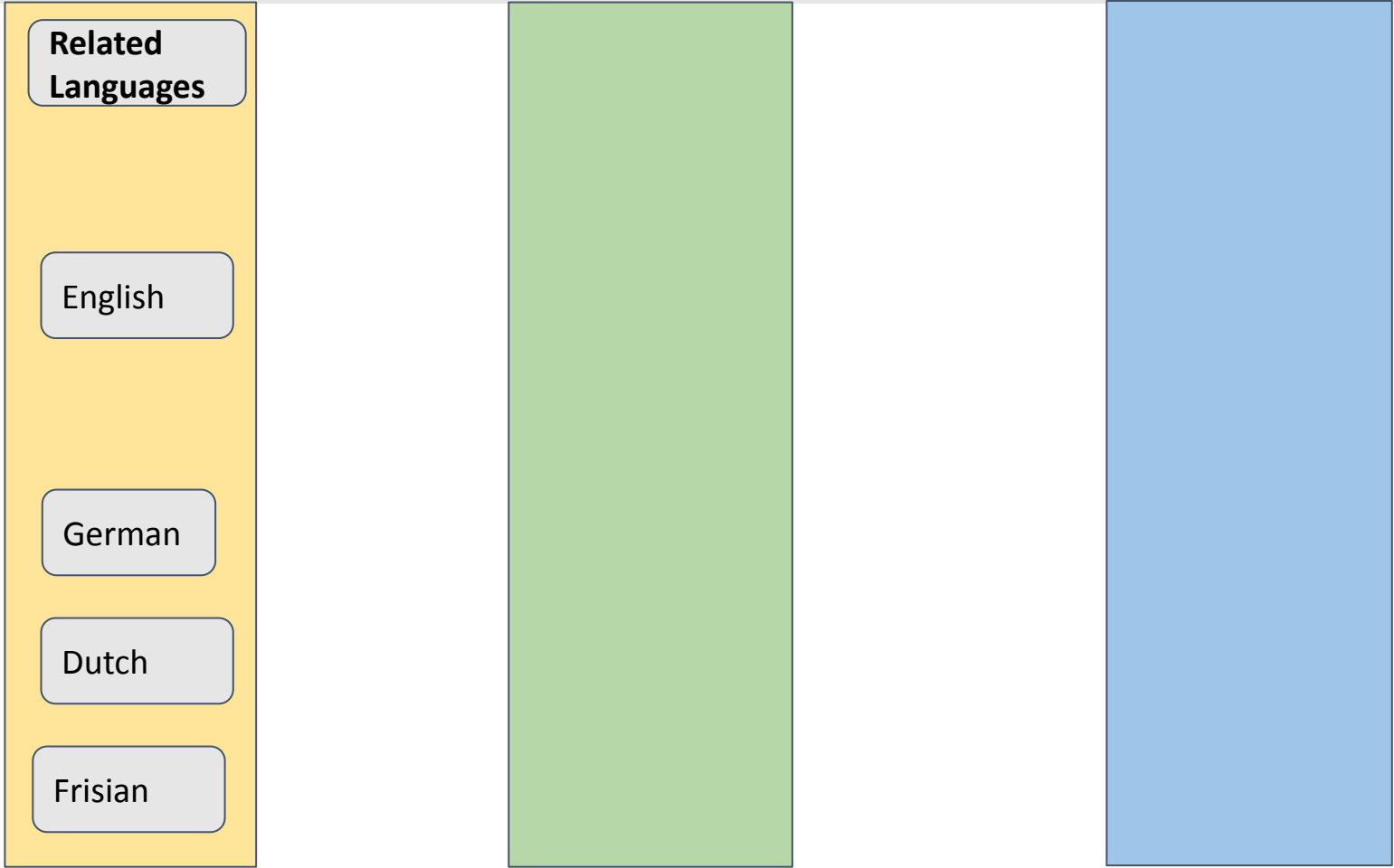
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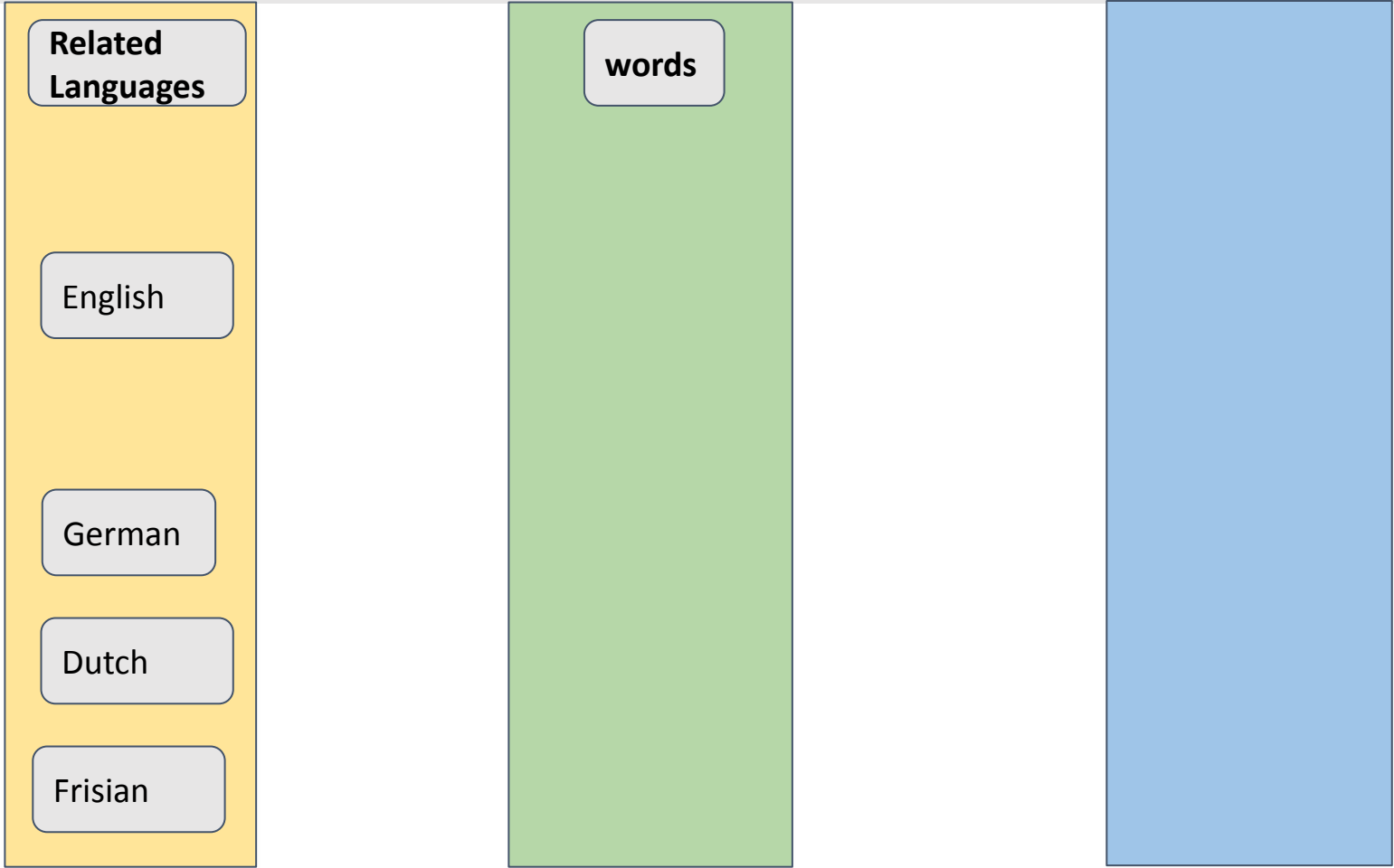
# Example of BPE in action



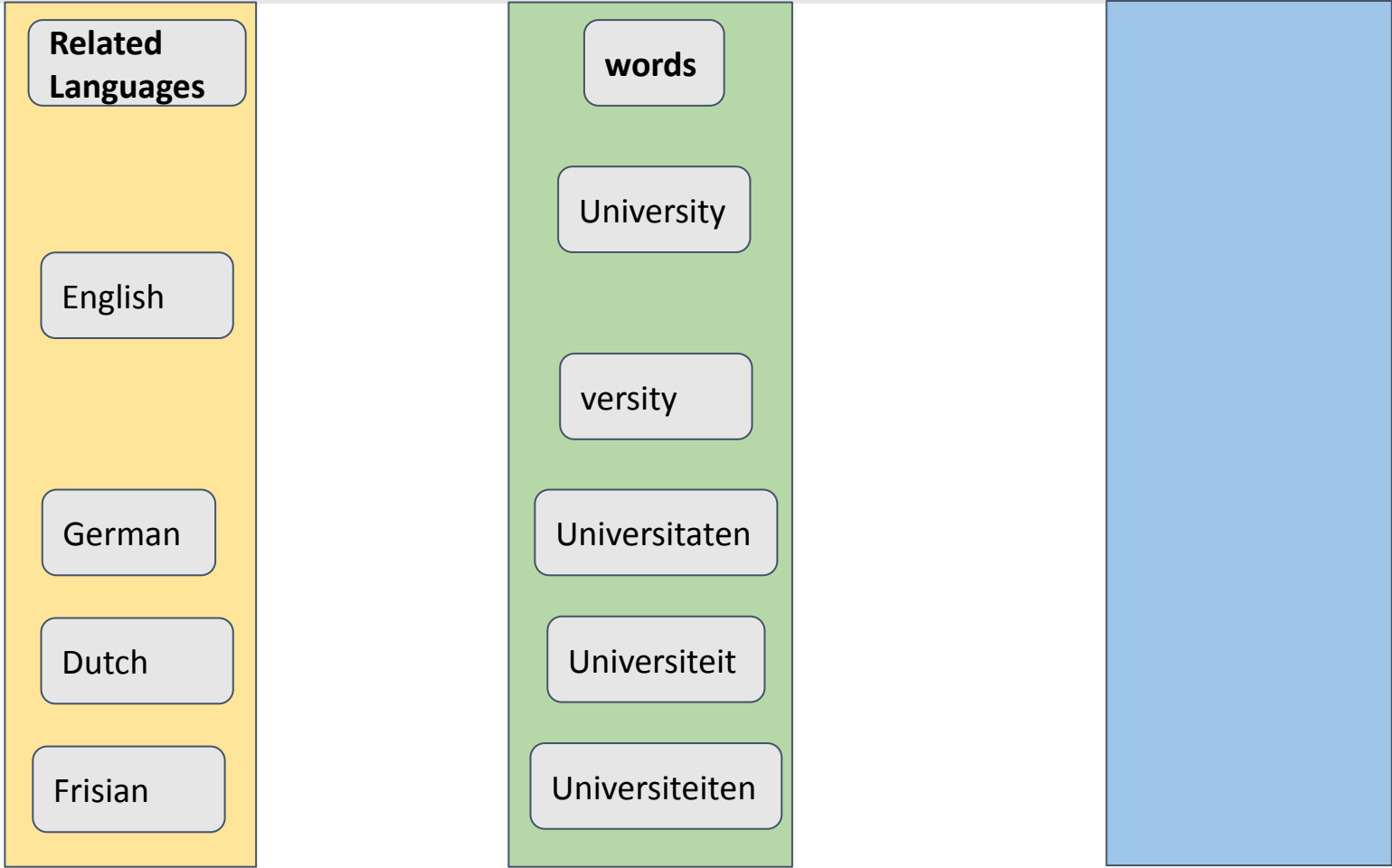
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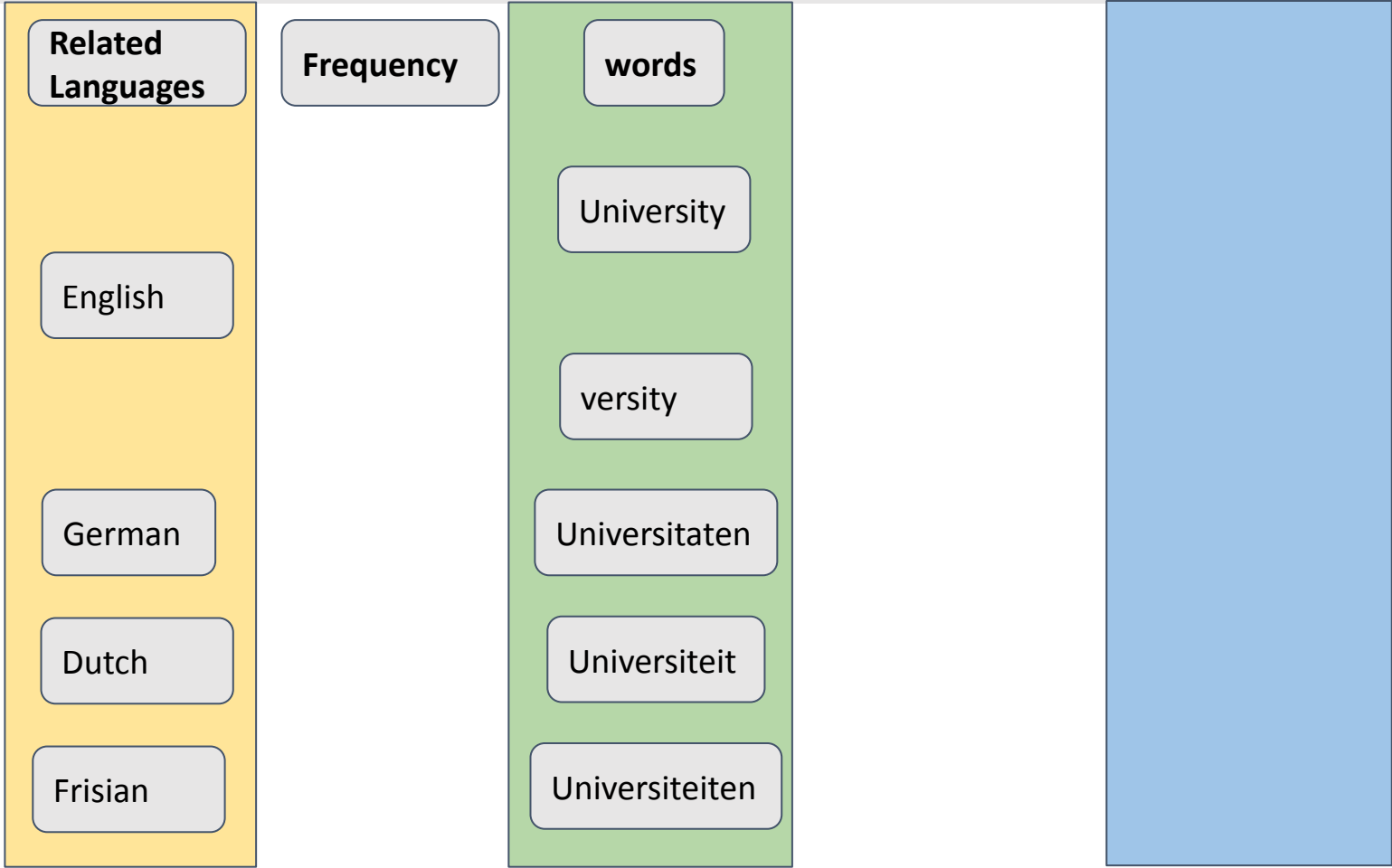
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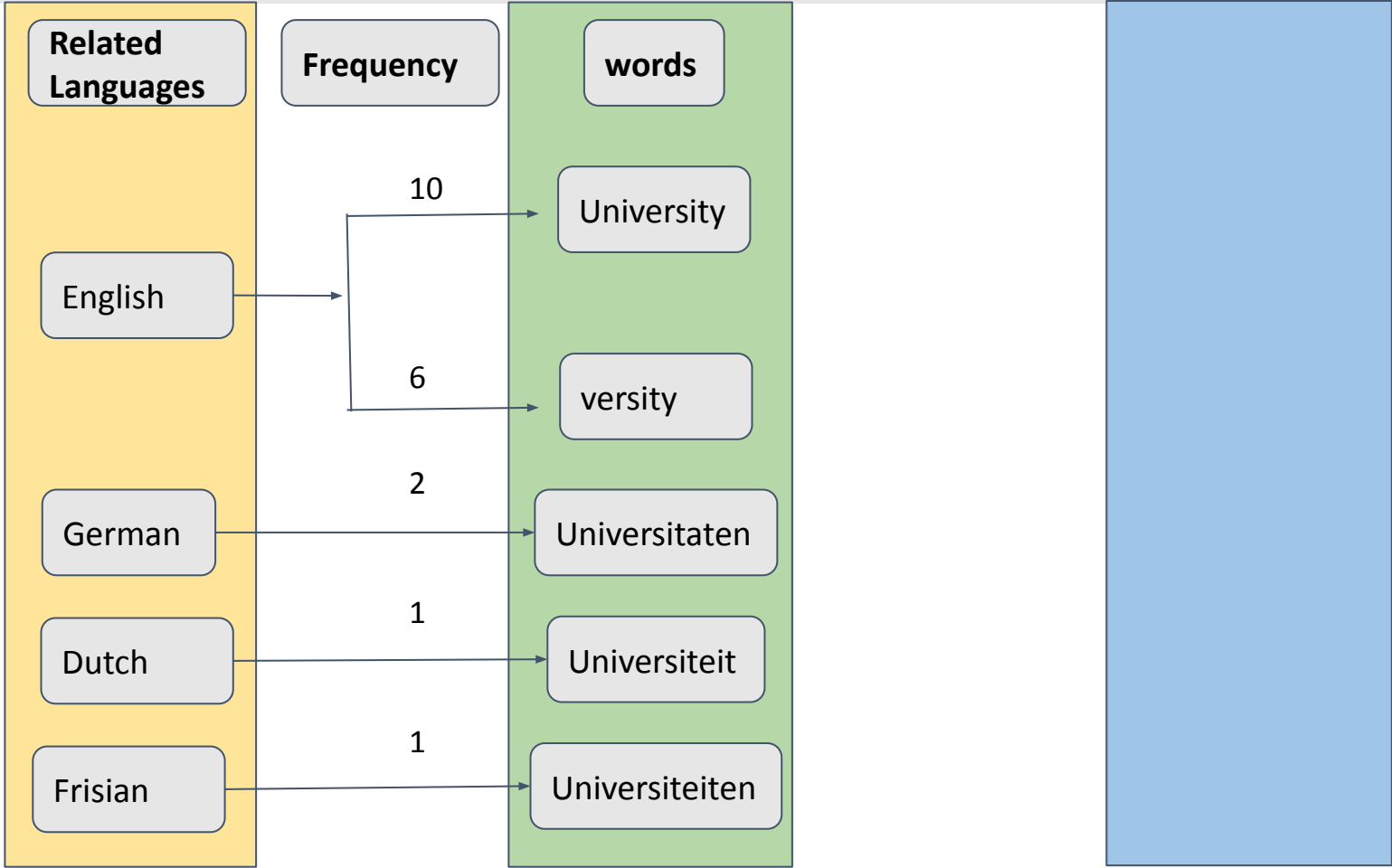
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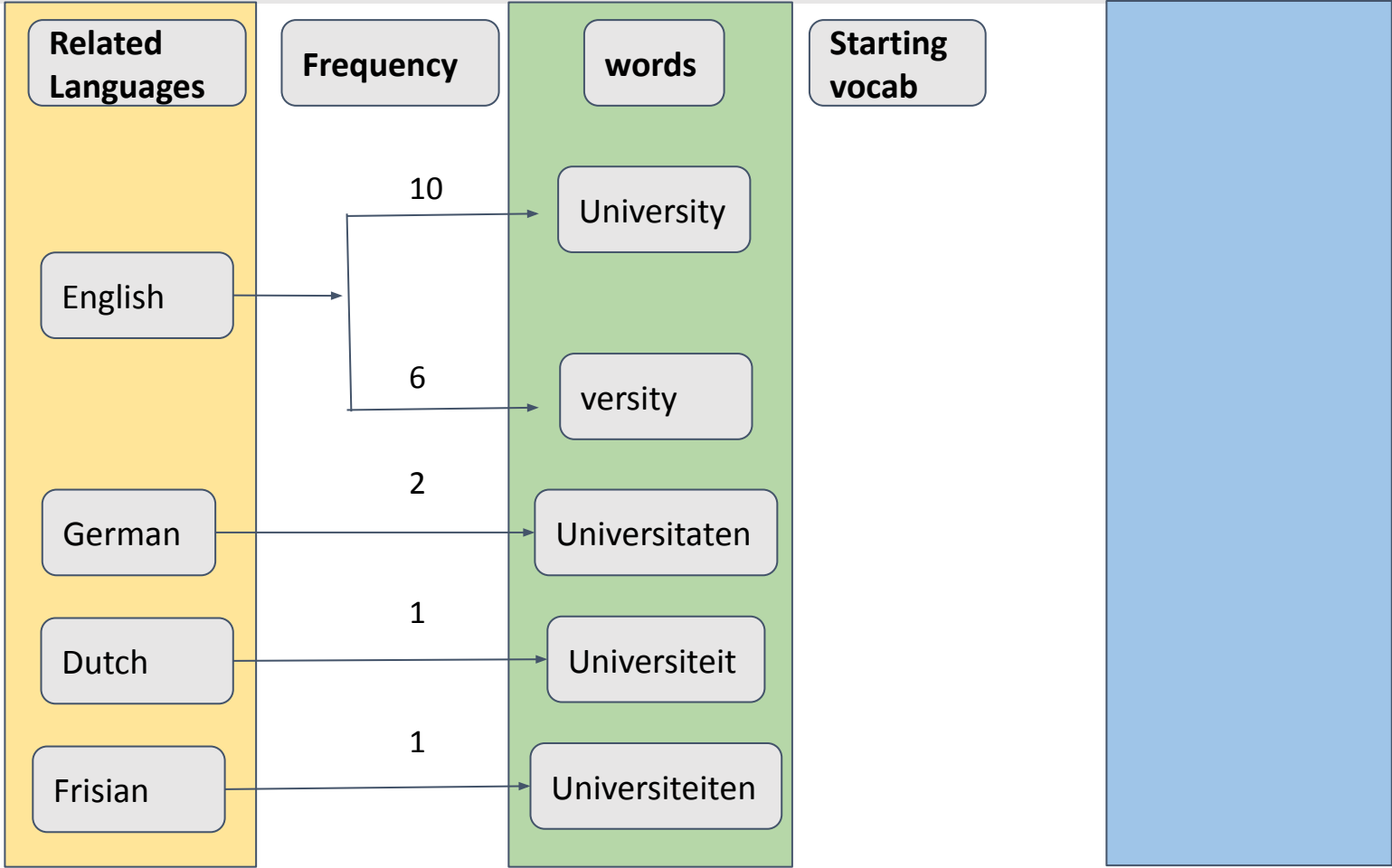


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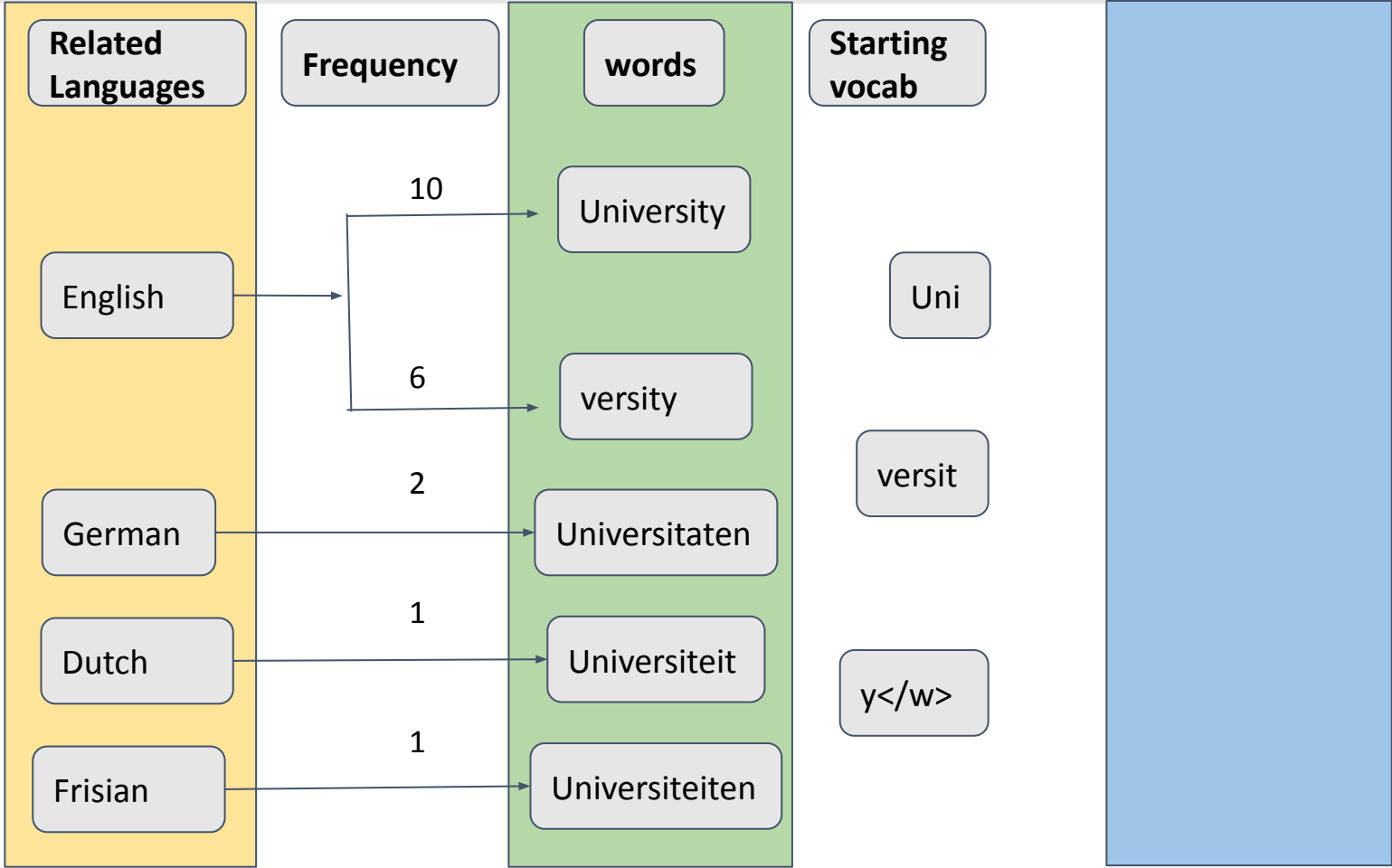




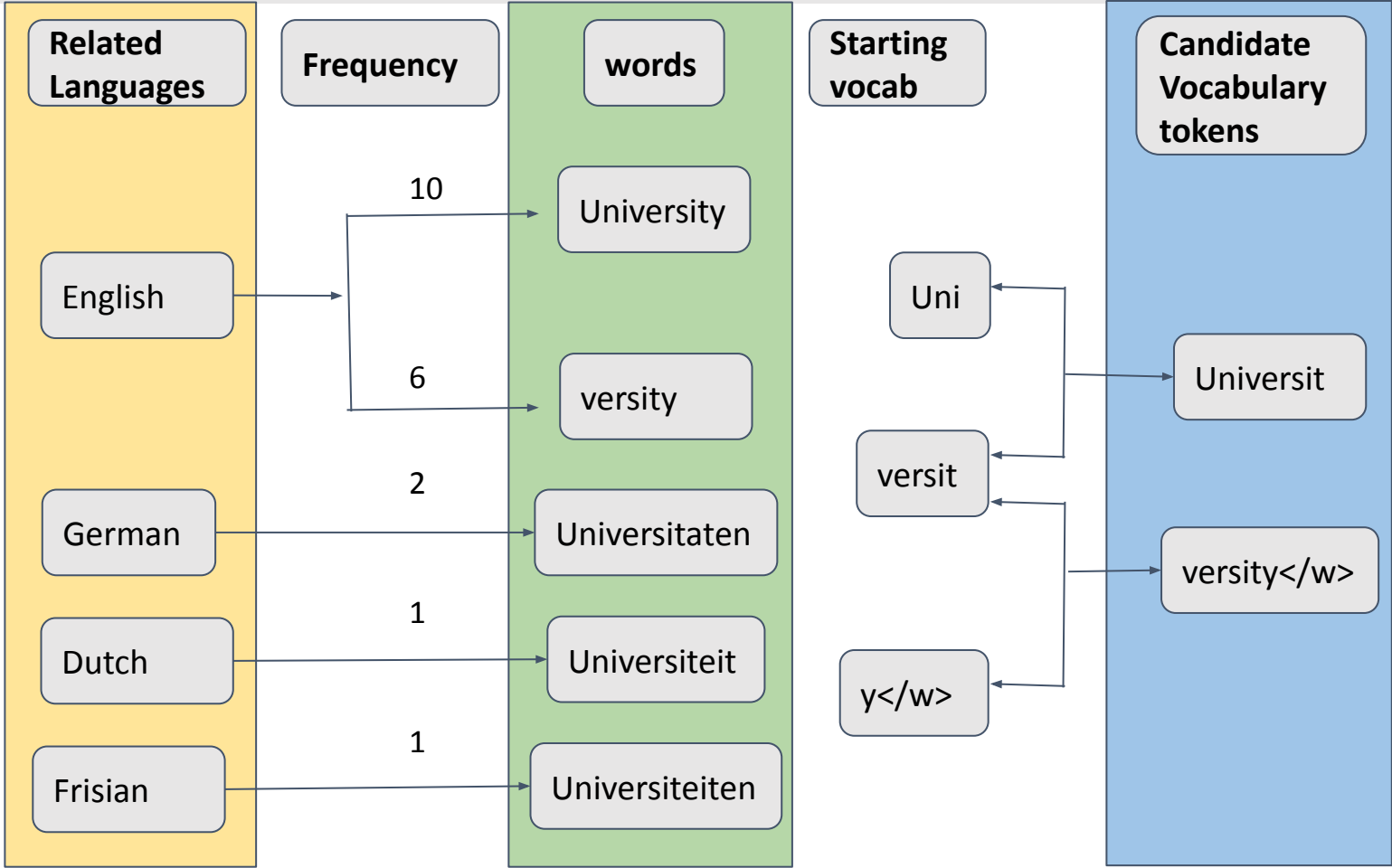
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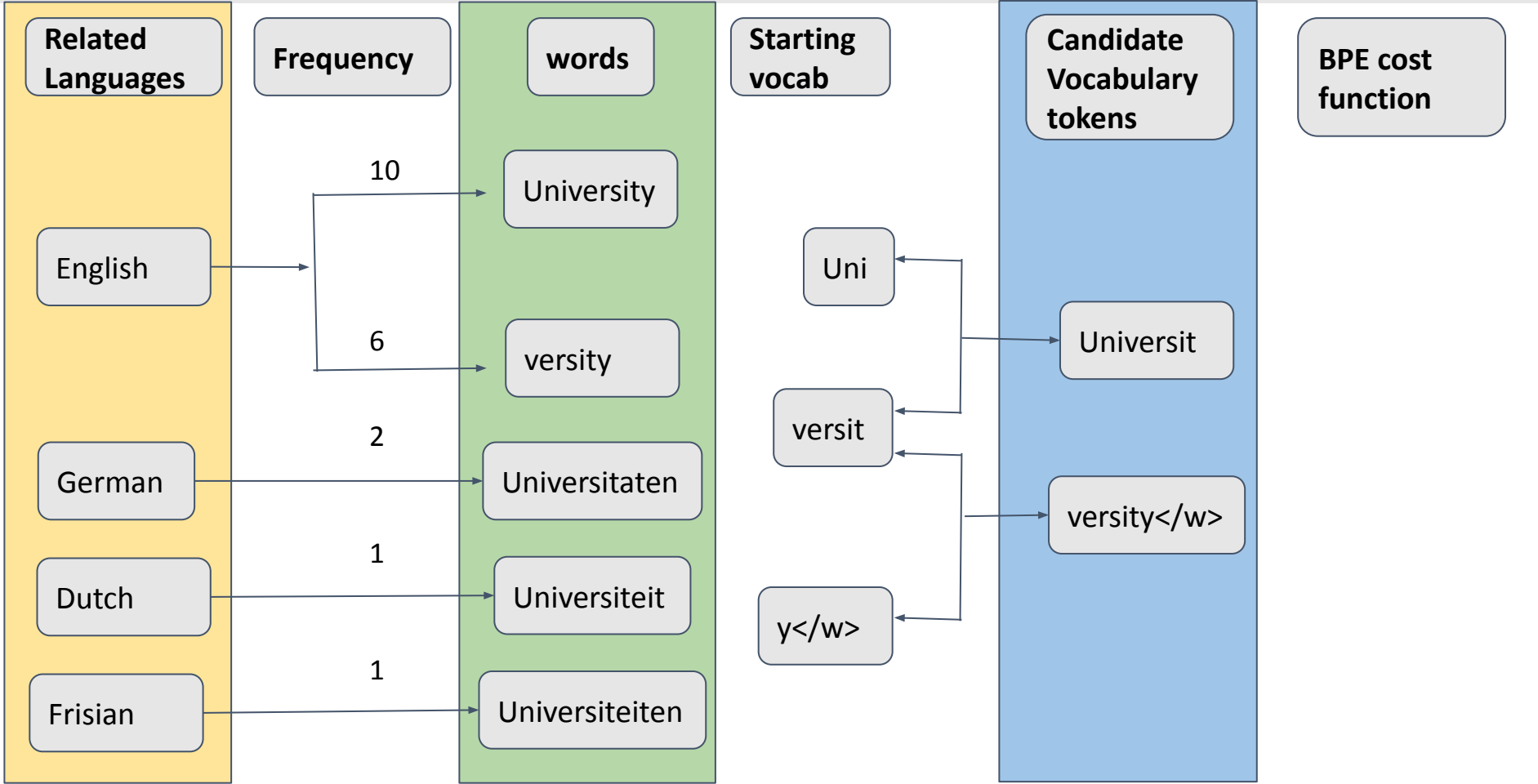
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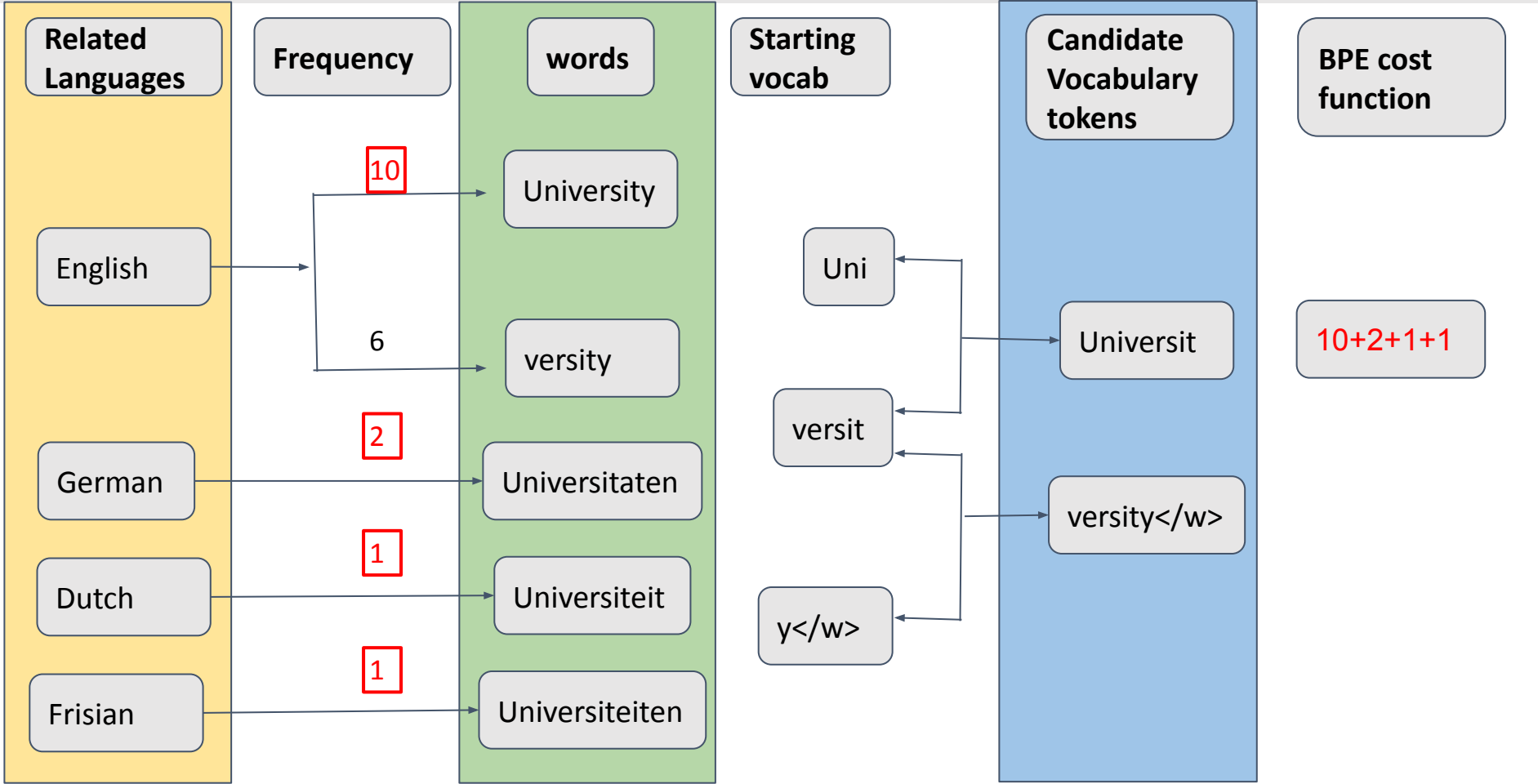
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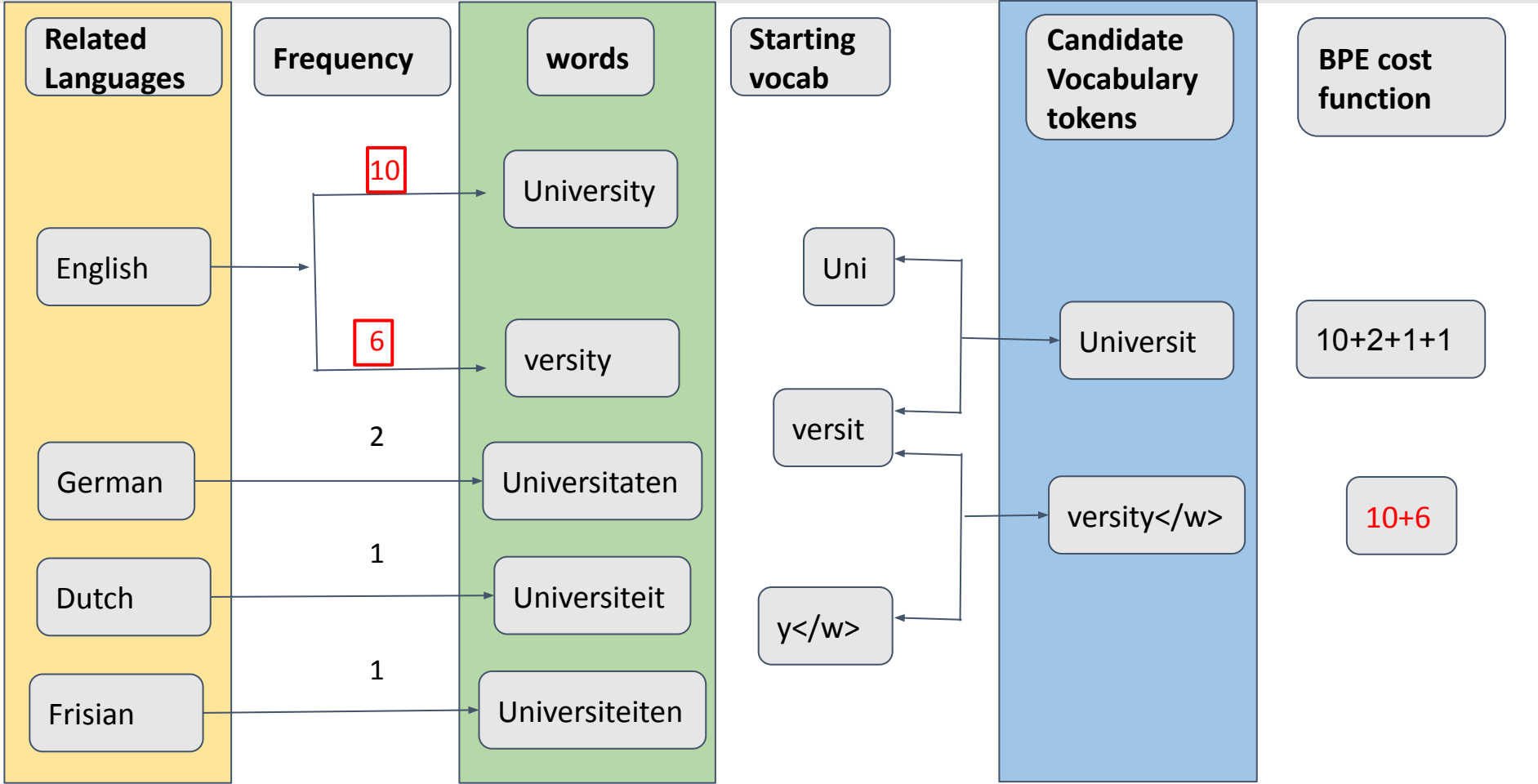
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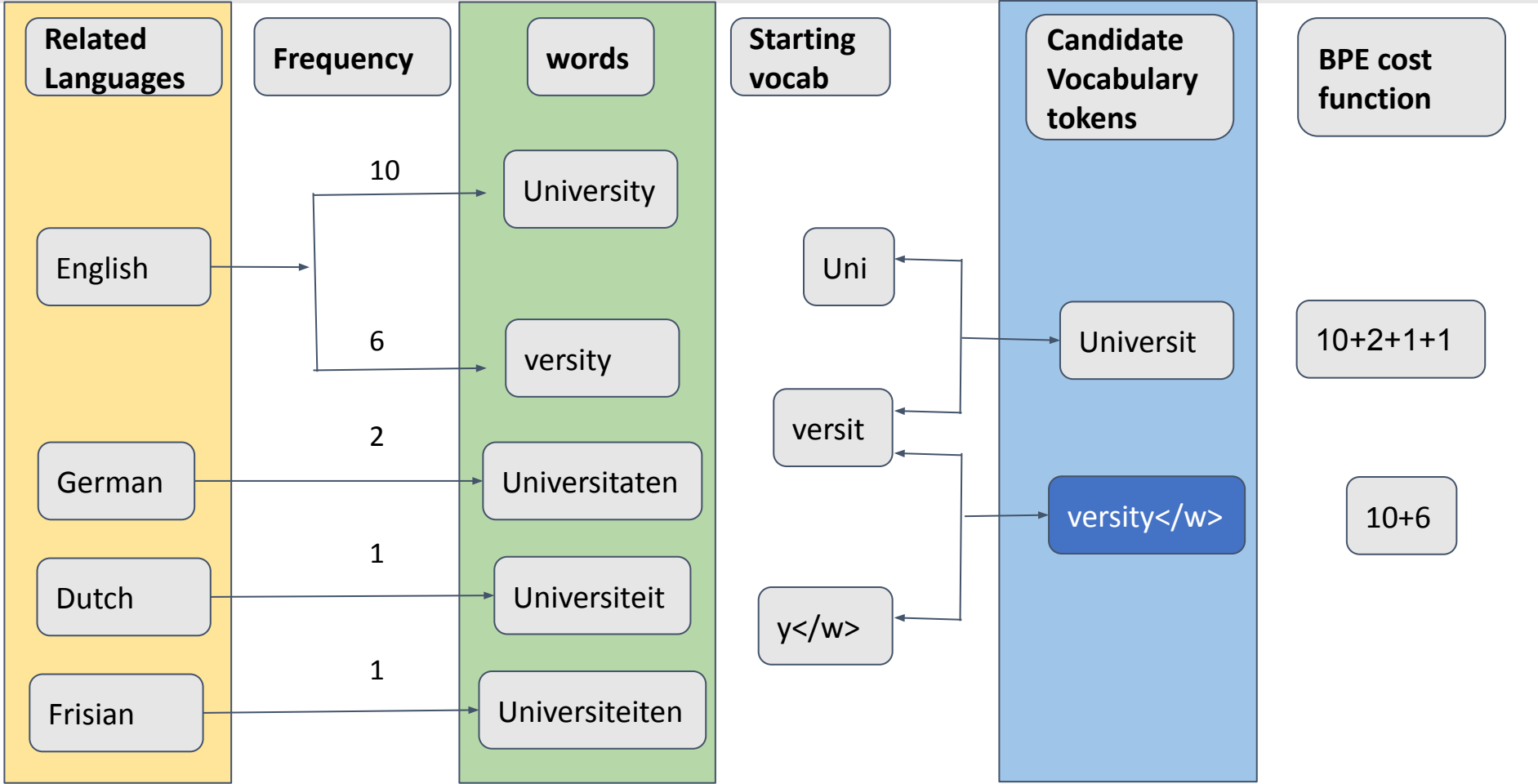
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  - The first term compactly represents the total corpus, as in BPE
  - The second term additionally biases towards vocabulary with greater overlap of each LRL to one HRL

- OBPE quantifies overlap between two languages'

encoding as a generalized mean function

$$\text{overlap}(L_i, L_h, S) = \sum_{k \in S} \left( \frac{f_{ki}^p + f_{kh}^p}{2} \right)^{\frac{1}{p}}, \quad p \leq 1$$

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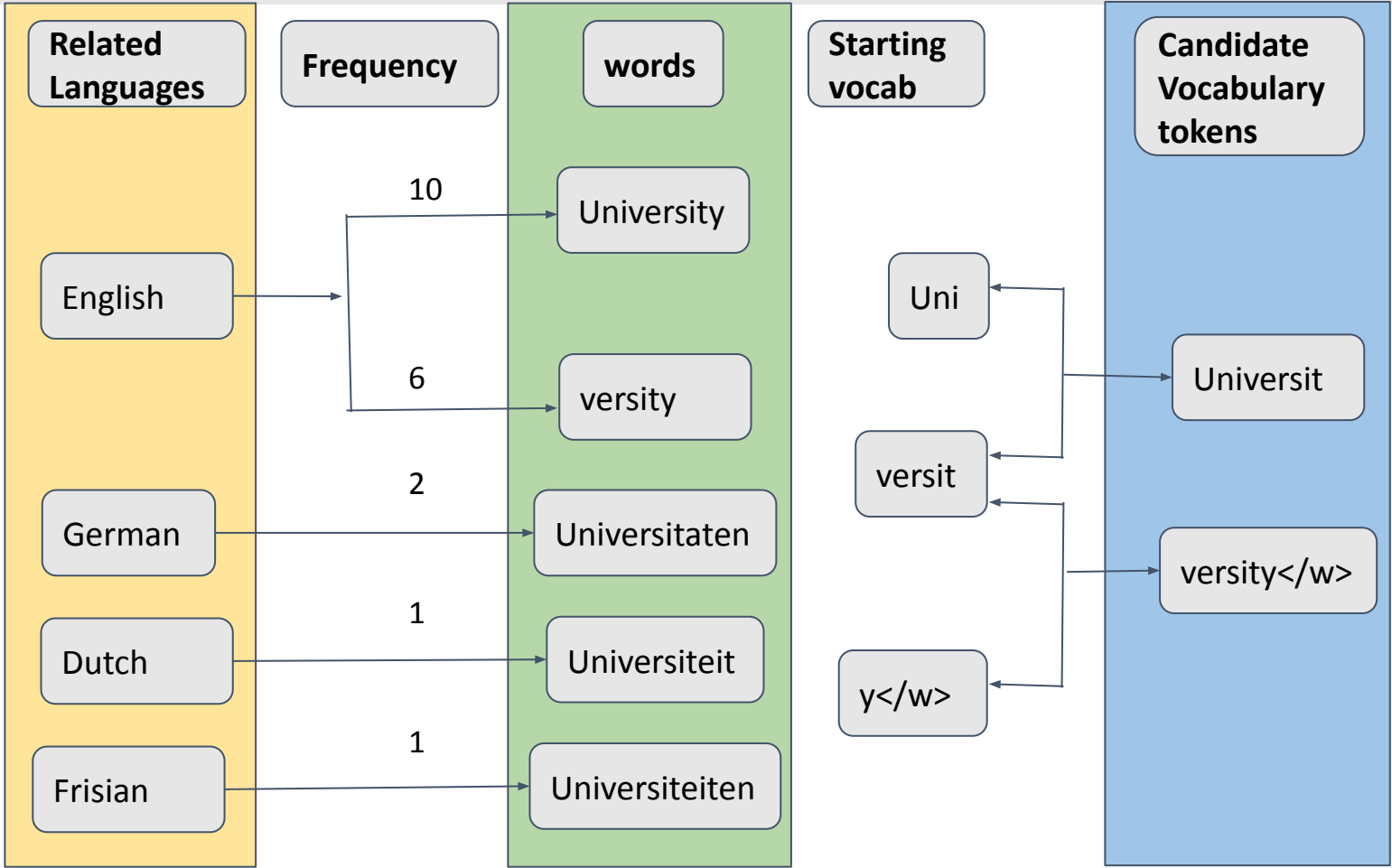
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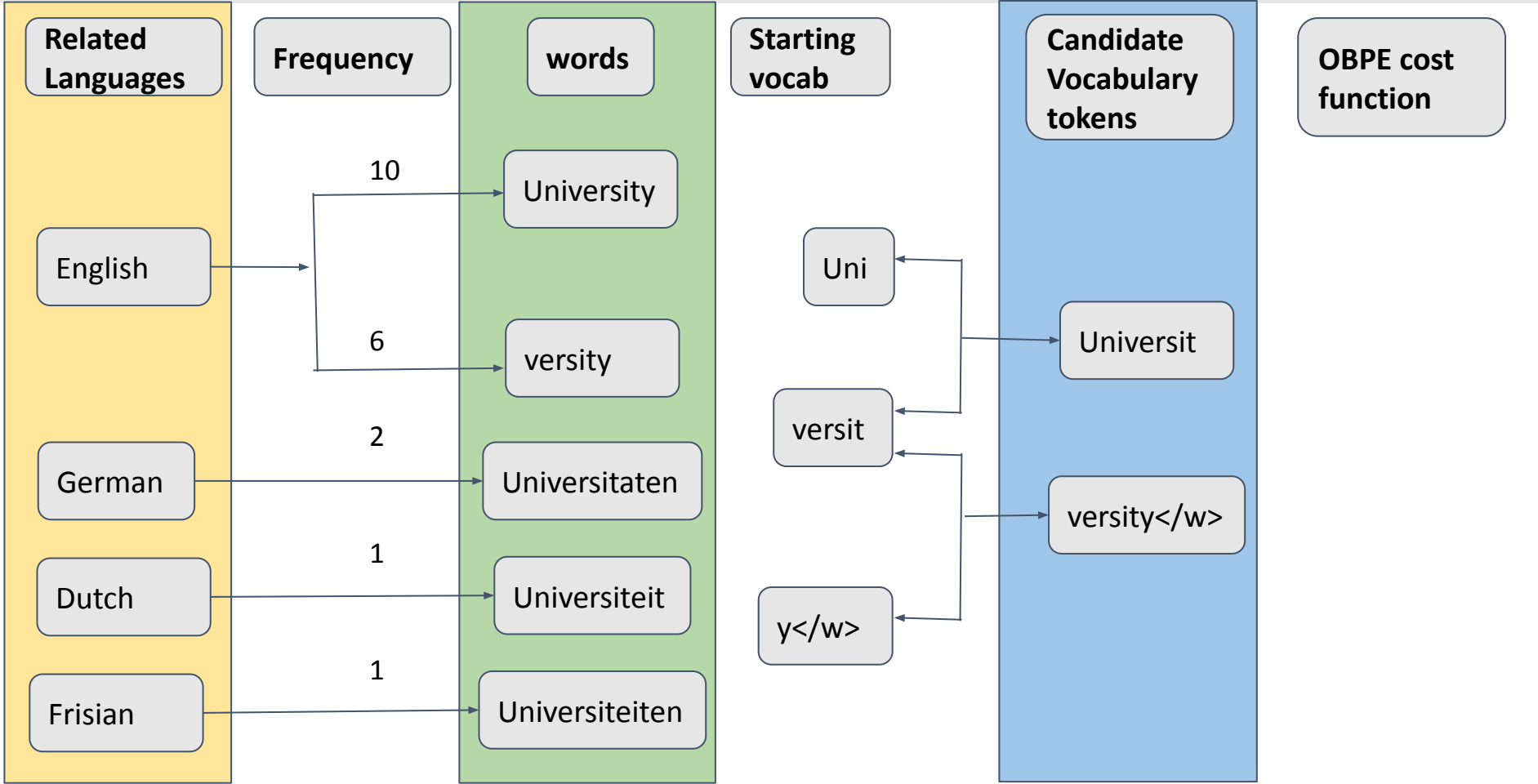
- The greedy version of the objective that controls the candidate vocabulary item to be inducted in each iteration of OBPE

$$\mathcal{V} = \mathcal{V} \cup \underset{k=[u,v]:u,v \in \mathcal{V}}{\operatorname{argmax}} (1 - \alpha) \sum_j f_{kj} + \alpha \sum_{i \in \mathcal{L}_{\text{LRL}}} \max_{h \in \mathcal{L}_{\text{HRL}}} \left( \frac{f_{ki}^p + f_{kh}^p}{2} \right)^{\frac{1}{p}}$$

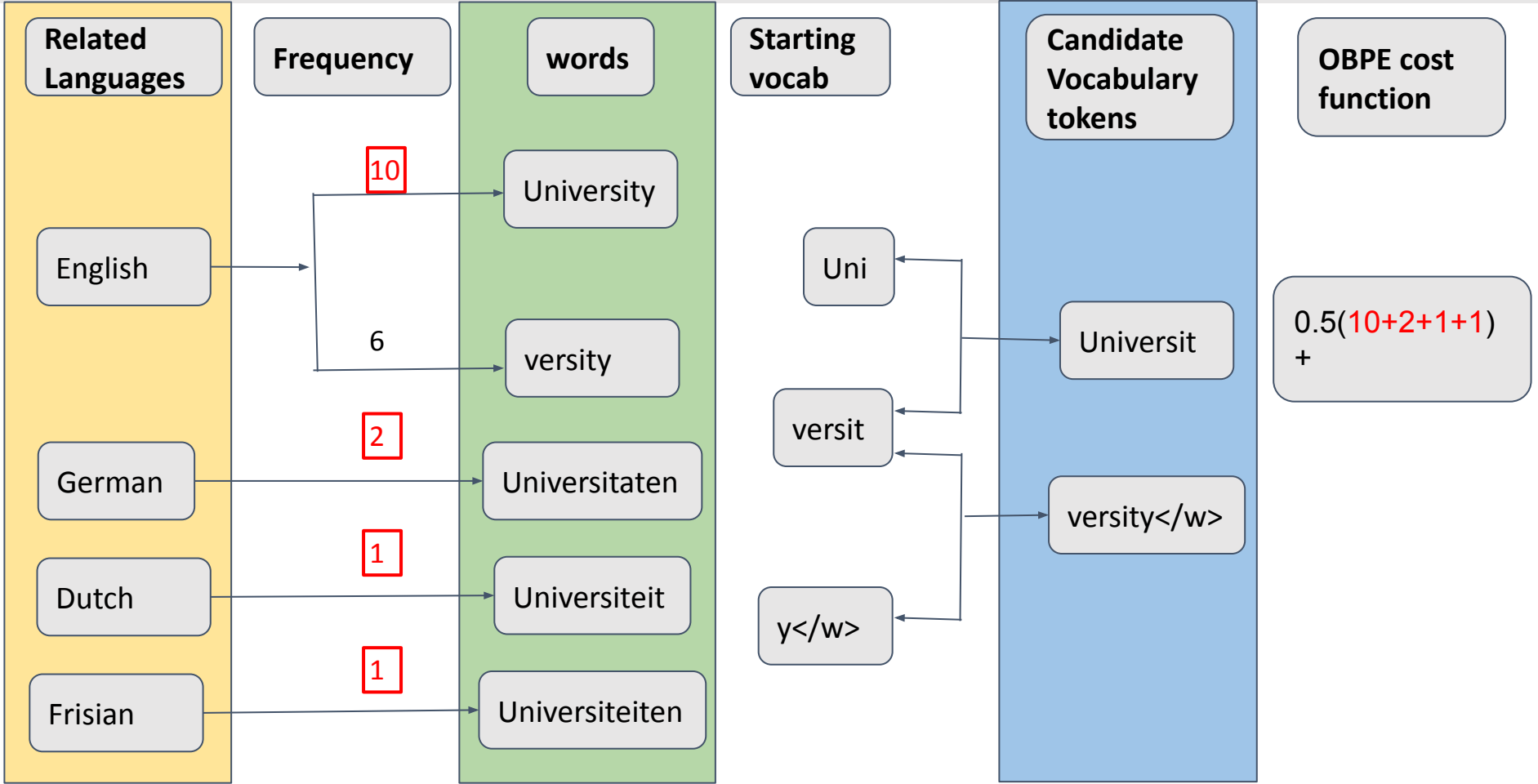
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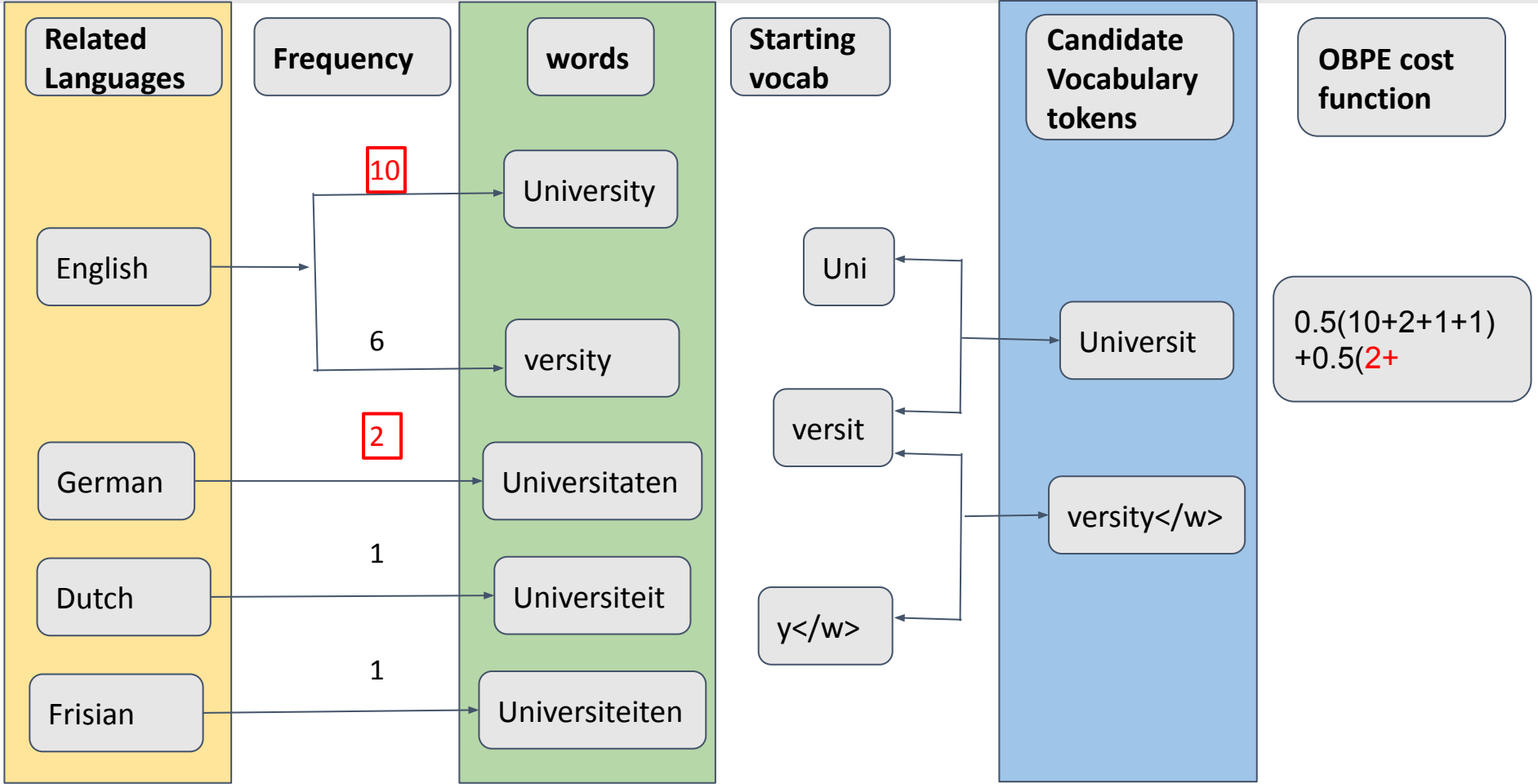


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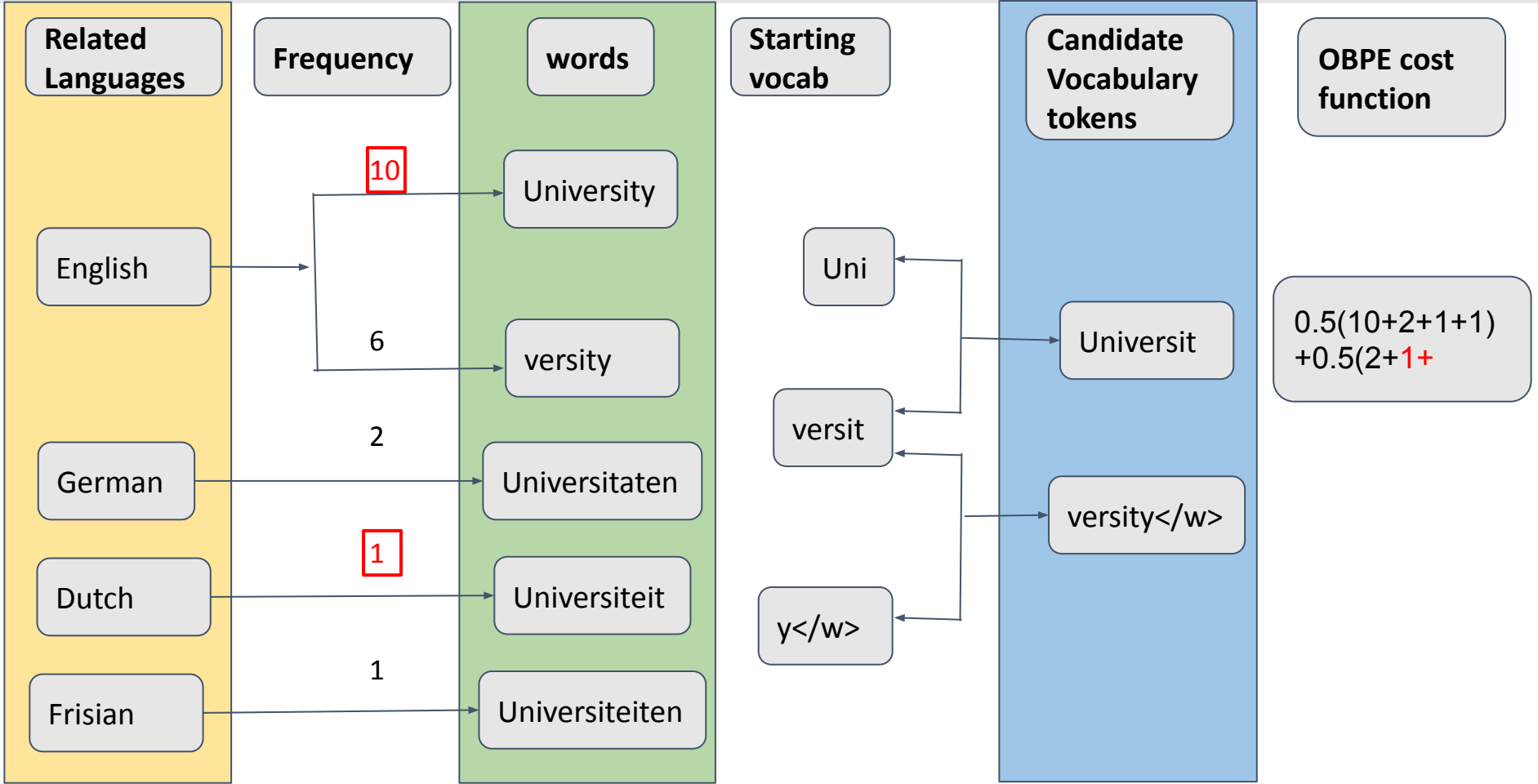




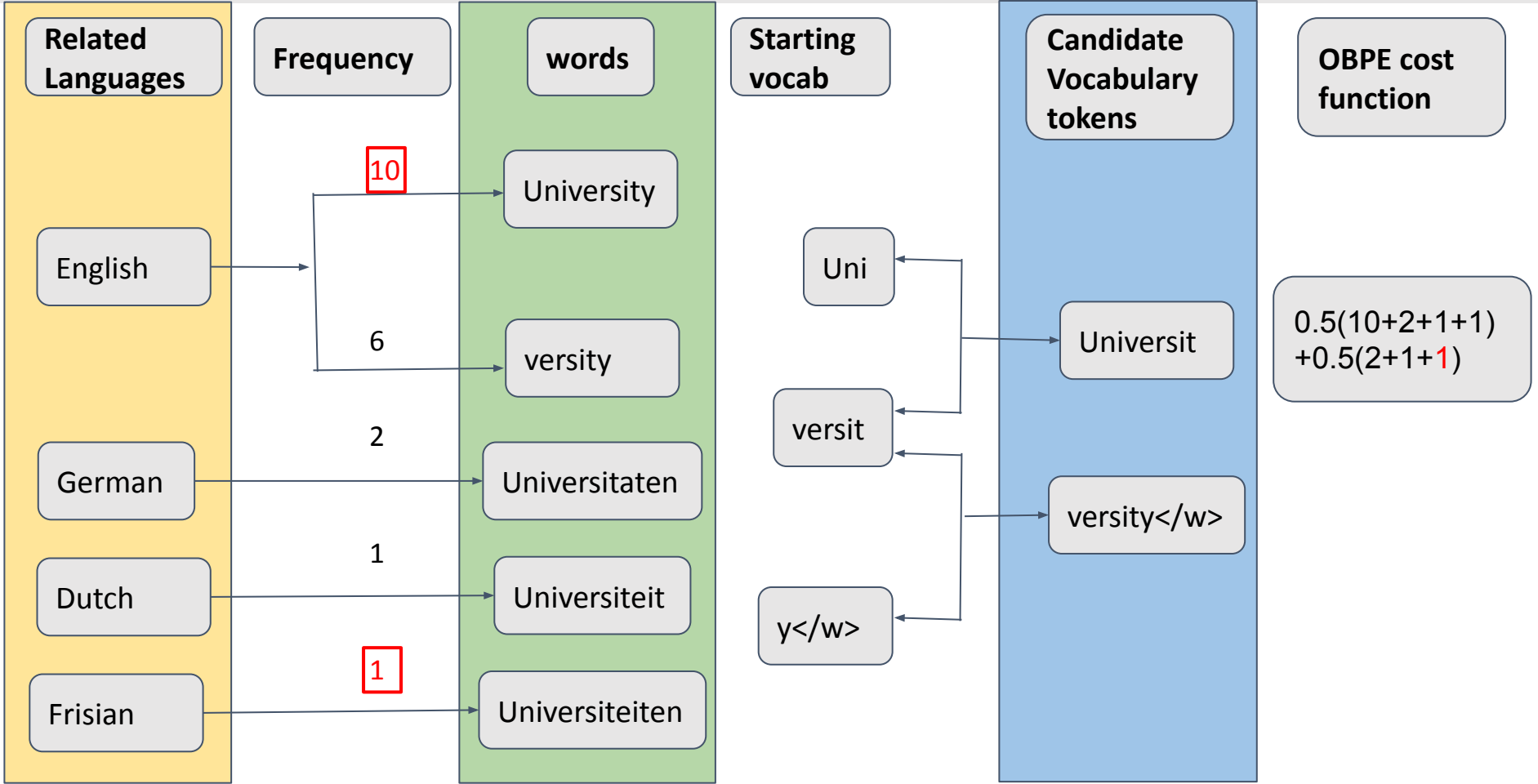
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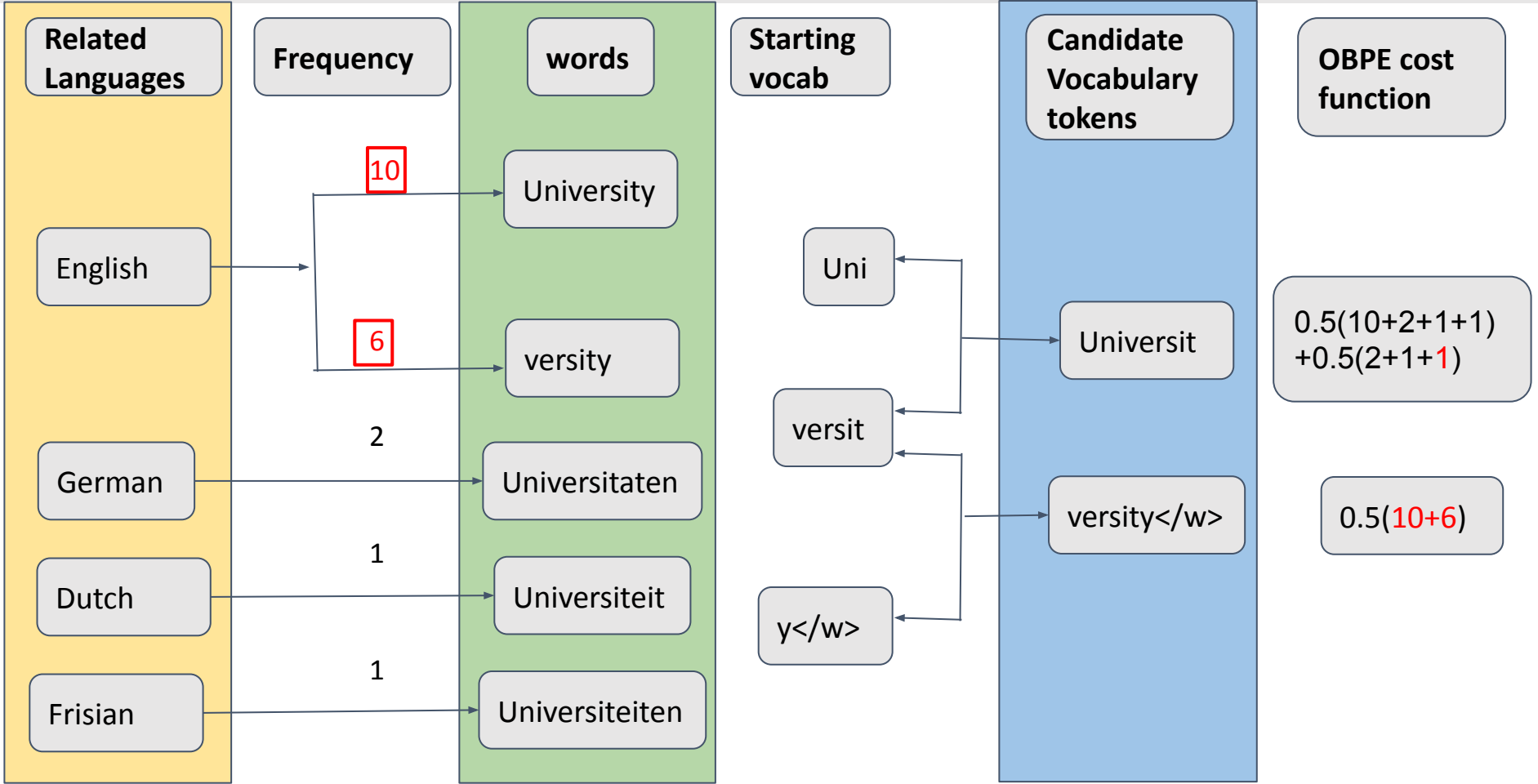
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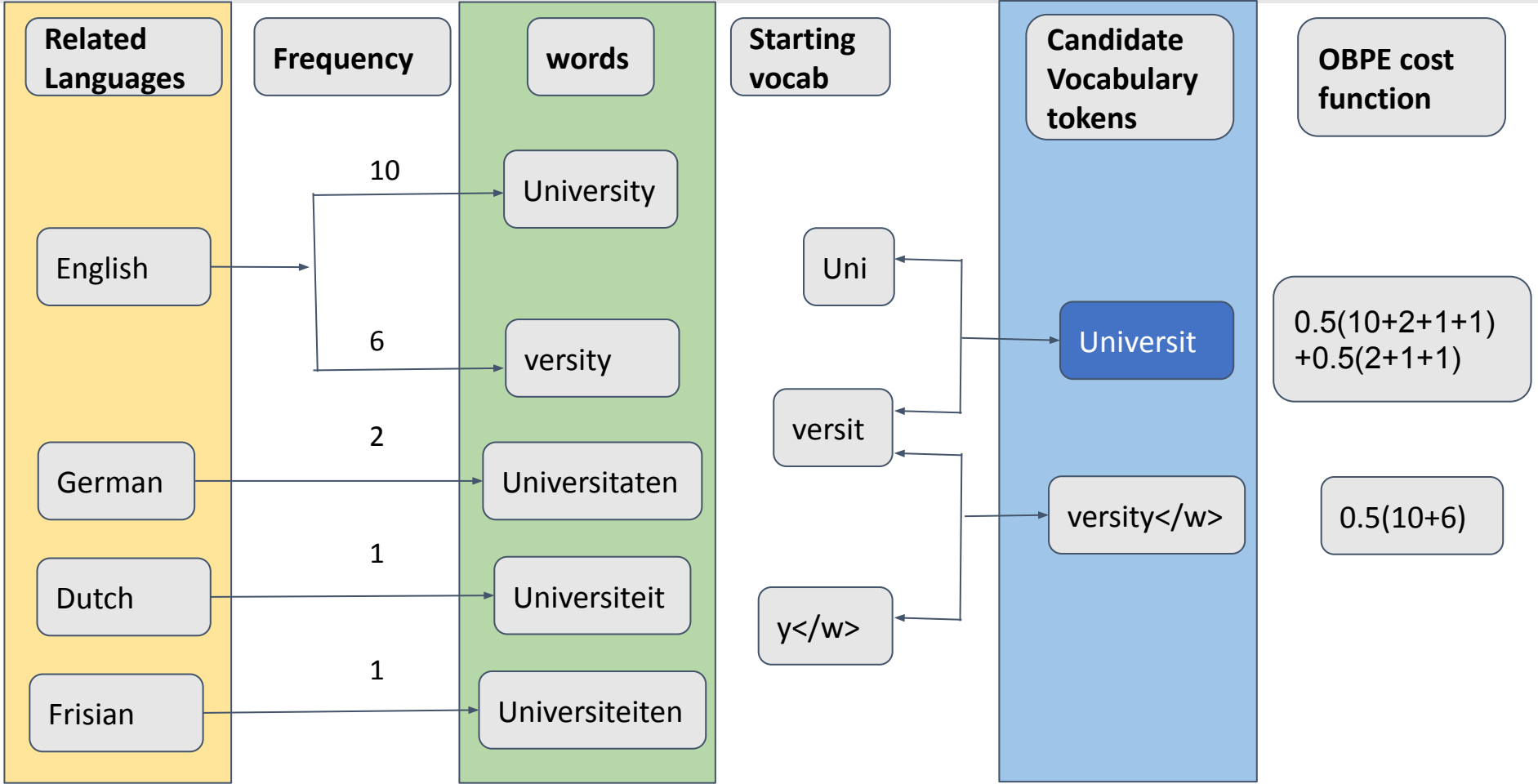
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# Experimental Setup

Family	HRL	LRLs	Number of HRL Docs	
			Balanced	Skewed
West Germanic	English (en)	German (de), Dutch (nl), Western Frisian (fy)	0.16M	1.00M
Romance	French (fr)	Spanish (es), Portuguese (pt), Italian (it)	0.16M	0.50M
Indo-Aryan	Hindi (hi)	Marathi (mr), Punjabi (pa), Gujarati (gu)	0.16M	0.16M

Twelve Languages simulated as HRLs and LRLs across with two different corpus distribution: Balanced and Skewed  
Number of documents in languages simulated as LRLs is 20K

# Is OBPE more effective than BPE for zero-shot transfer?

Balanced setting

Method	LRL Performance(↑)				HRL Performance(↑)			
	NER	TC	XNLI	POS	NER	TC	XNLI	POS
BPE	64.48	65.52	52.07	84.64	83.26	<b>82.07</b>	62.71	<b>95.20</b>
BPE-dp	63.92	64.15	52.66	84.75	81.73	81.07	63.74	94.61
CV	59.58	61.91	49.30	81.68	81.15	80.93	64.51	94.47
TokComp	63.79	65.77	53.94	<b>85.49</b>	82.43	80.93	66.10	94.86
OBPE	<b>65.72</b>	<b>68.02</b>	<b>54.03</b>	85.26	<b>83.98</b>	81.91	<b>66.27</b>	95.09

Zero-shot LRL accuracy improves compared to the baselines across all four tasks

# Is OBPE more effective than BPE for zeroshot transfer?

Skewed setting

Method	LRL Performance(↑)				HRL Performance(↑)			
	NER	TC	XNLI	POS	NER	TC	XNLI	POS
BPE	52.91	51.68	48.57	74.79	81.78	80.04	64.96	95.03
CV	52.73	54.40	44.28	<b>76.70</b>	79.84	77.74	57.18	94.60
OBPE	<b>55.09</b>	<b>55.37</b>	<b>50.01</b>	75.05	<b>82.94</b>	<b>80.31</b>	<b>65.57</b>	<b>95.09</b>

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Method	LRL Performance(↑)				HRL Performance(↑)			
	NER	TC	XNLI	POS	NER	TC	XNLI	POS
BPE	64.5	65.5	52.1	84.6	83.3	82.1	62.7	95.2
+overSample	64.4	67.6	52.1	84.6	82.4	82.0	62.0	95.2
OBPE	65.7	68.0	54.0	85.3	84.0	81.9	66.3	95.1
+overSample	64.6	67.9	53.5	85.1	82.7	81.7	65.7	94.8

Even though BPE\_overSamp improves LRL performance somewhat, it causes HRL performance to drop

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OBPE with default sampling is best for both LRLs and HRLs

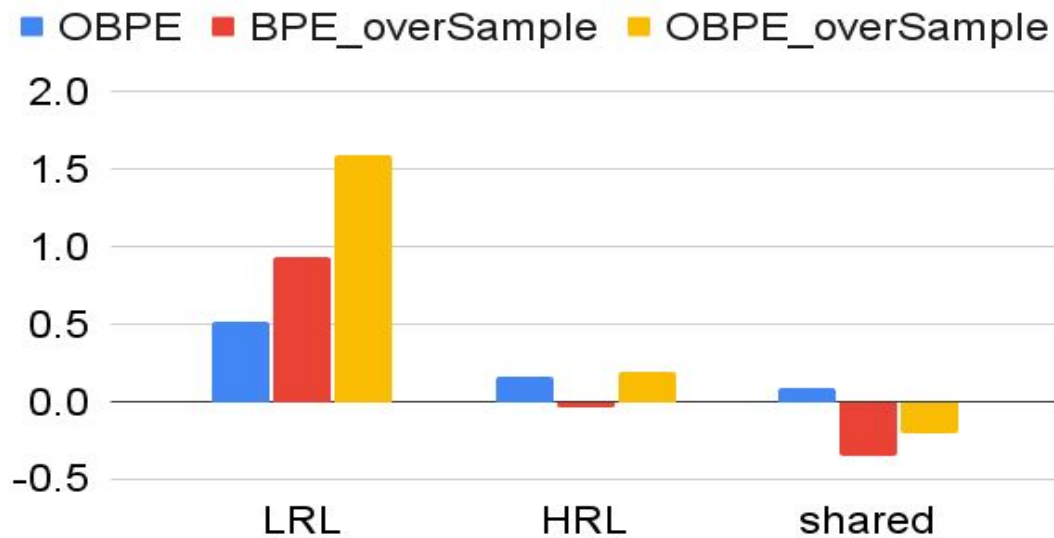
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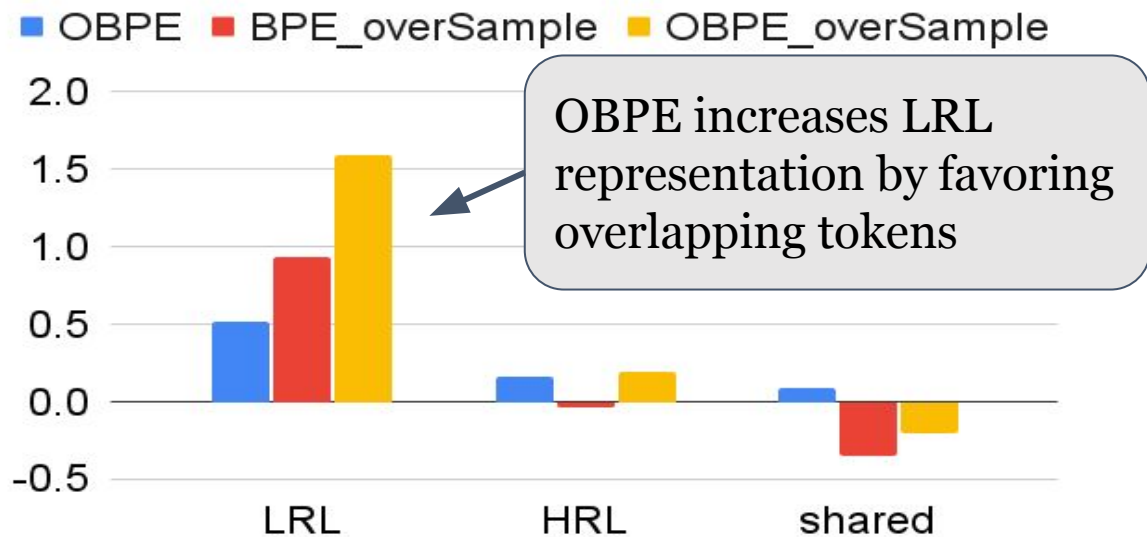
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OBPE\_overSampled is better than BPE\_overSampled

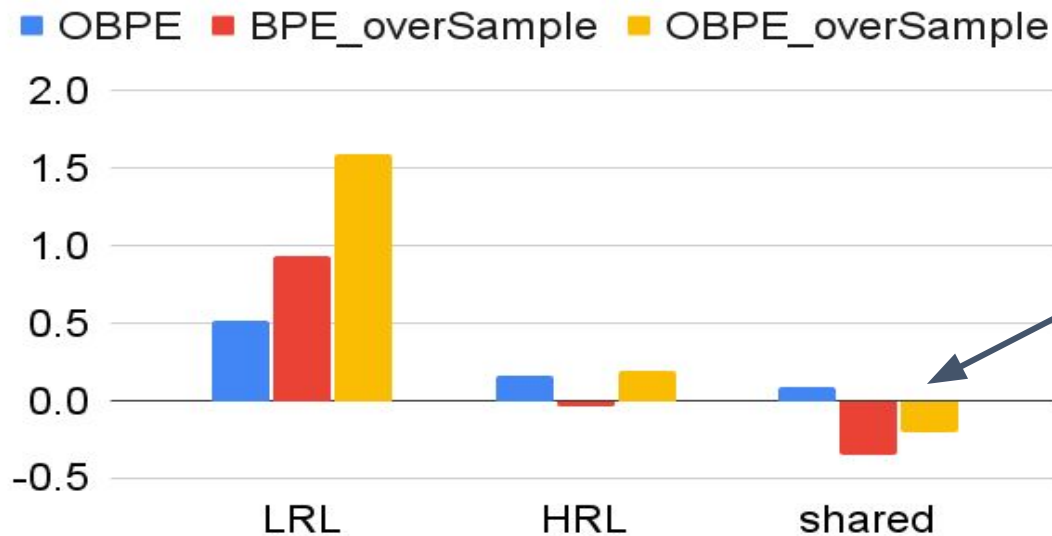
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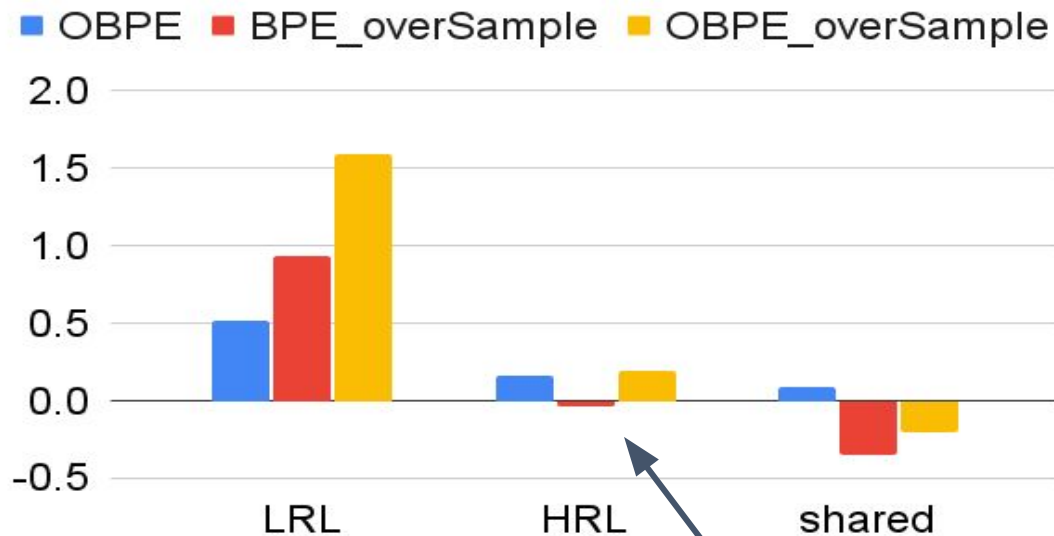


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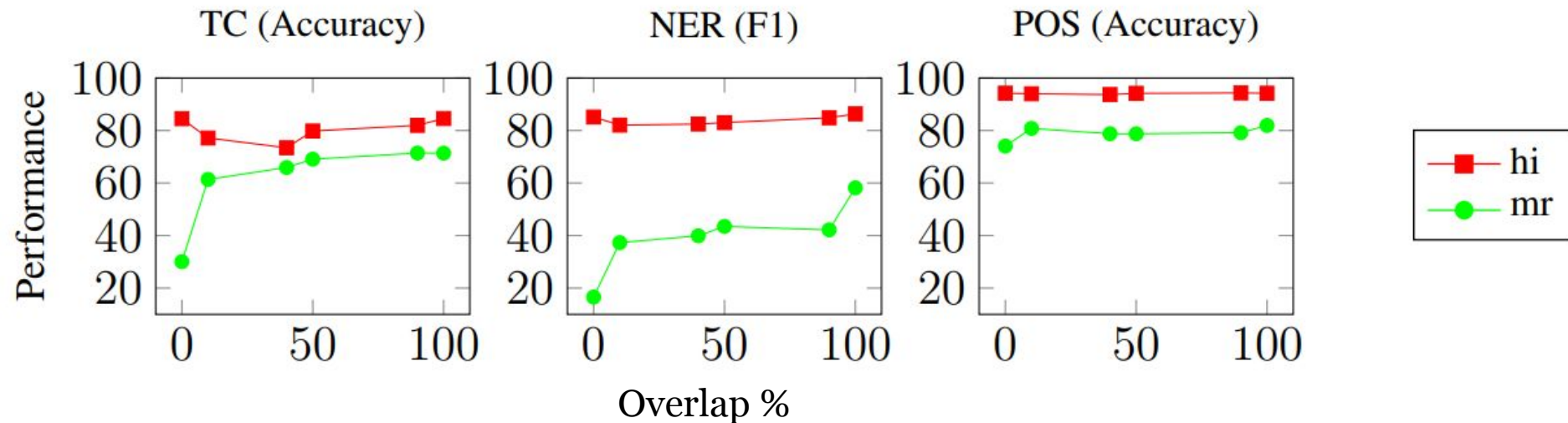
OBPE increases fraction of tokens shared across related languages, Oversampling decreases fraction of shared tokens

# How does increased LRL representation in the vocabulary impact accuracy?



OBPE increases HRL representation. Oversampling decreases HRL representation

# What is the effect of token overlap on overall accuracy?



Increased gains in LRL accuracy as we go from no overlap to full overlap on all three tasks



# What is the effect of token overlap on overall accuracy?

More related



Less related



Task	High (hi: 110K)	Low (mr: 20K)	Task	High (en: 1GB)	Low (es: 20K)
NER	-12.2	-41.6	NER	-1.4	-11.7
TC	-2.7	-41.3	XNLI	-1.3	-1.3
POS	-6.6	-7.8			

Drop in Accuracy of Zero-shot transfer when we synthetically reduce token overlap to zero

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Token overlap is important for related languages and its benefit is higher in the low resource setting

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- Exploiting language relatedness results in an overall more effective vocabulary compared to oversampling
- Token overlap is important in a low resource, related-language setting



# Thank You

**Paper** : <https://arxiv.org/abs/2203.01976>

**Github** : <https://github.com/Vaidehi99/OBPE>

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[sunita@iitb.ac.in](mailto:sunita@iitb.ac.in)