

Introduction to Modern Cryptography

Summary

WS20/21

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January 28, 2021

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1 Symmetric encryption

Kerkhoffs Principle: The security of a system should only depend on whether the actual key is secret, not on the system itself. The whole system is assumed to be public. No “Security by obscurity”.

1.1 Scenario 1

One message with constant length

1.1.1 Cryptosystems

A cryptosystem is a tuple $\mathcal{S} = (X, K, Y, e, d)$ with

- X : set of plaintexts
- K : finite set of keys
- Y : set of ciphertexts
- e : encryption function
- d : decryption function

Perfect correctness: $d(e(x, k), k) = x \quad \forall x \in X, k \in K$

No unnecessary ciphertexts: $Y = \{e(x, k) | x \in X, k \in K\}$

1.1.2 Vernam system

The Vernam cryptosystem of length l is defined as $(\{0, 1\}^l, \{0, 1\}^l, \{0, 1\}^l, e, d)$ where

$e(x, k) = x \oplus k$ and $d(y, k) = y \oplus k$.

A vernam system of length $l > 0$ provides perfect secrecy for every uniform P_K . It is the perfect system for Scenario 1.

1.1.3 Perfect Secrecy

A cryptosystem with key distribution $\mathcal{V} = \mathcal{S}[P_k]$ provides perfect secrecy if for all plaintext distributions P_X , the probability of every plaintext remains the same after the ciphertext is seen, i.e.:

$$P(x) = P(x|y) \quad \forall x \in X, y \in Y, P(y) > 0$$

Example Proof:

We need to show the criteria above for all plaintext distributions P_X . Therefore we use variable probabilities for the plaintexts $P_X(a) = p, P_X(b) = 1 - p$ (for 2 plaintexts, else p_1, \dots, p_n).

		X		
		a	b	
$\frac{1}{2}$	k_0	A	B	$P(a A) = \frac{P(a, A)}{P(A)} = \frac{\frac{1}{2} * p}{\frac{1}{2} * p + \frac{1}{2} * (1 - p)} = p = P(a)$ $P(a B) = \frac{P(a, B)}{P(B)} = \frac{\frac{1}{2} * p}{\frac{1}{2} * p + \frac{1}{2} * (1 - p)} = p = P(a)$
	k_1	B	A	$P(b A) = \frac{P(b, A)}{P(A)} = \frac{\frac{1}{2} * (1 - p)}{\frac{1}{2} * (1 - p) + \frac{1}{2} * p} = 1 - p = P(b)$ $P(b B) = \frac{P(b, B)}{P(B)} = \frac{\frac{1}{2} * (1 - p)}{\frac{1}{2} * (1 - p) + \frac{1}{2} * p} = 1 - p = P(b)$

Theorem:

Let $\mathcal{S} = (X, K, Y, e, d)$ be a cryptosystem providing perfect secrecy, then it holds $|K| \geq |Y| \geq |X|$.

Shannons Theorem:

Let $\mathcal{V} = \mathcal{S}[P_k]$ be a cryptosystem with key distribution P_K and $|K| = |Y| = |X|$. The system provides perfect secrecy if and only if

1. P_K is a uniform distribution
2. $\forall x \in X, y \in Y \exists k \in K$ with $e(x, k) = y$ (There must be a key for every plaintext/ciphertext pair)

1.2 Scenario 2

Multiple messages with constant length, no repetition

1.2.1 Vernam in Scenario 2

Vernam is not a secure cryptosystem anymore, since from 2 ciphertexts, Eve can learn non-trivial information about the plaintexts:

$$y_0 \oplus y_1 = x_0 \oplus k \oplus x_1 \oplus k = x_0 \oplus x_1$$

Also with 1 plaintext-ciphertext pair (CPA), the key can be calculated as $k = x \oplus y$.

1.2.2 Substitution Cryptosystem

Let X be a non-empty finite set. A substitution cryptosystem over X is a tuple (X, P_X, X, e, d) where P_X is the set of all permutations of X .

$$e(x, \pi) = \pi(x) \quad d(y, \pi) = \pi^{-1}(y) \quad \forall x, y \in X, \pi \in P_X$$

Substitution cryptosystems provide “perfect security” in scenario 2, but they are impractical because the substitution table (π) has a size of $2^l * l$.

1.2.3 l -Block Cipher

Let $l : \mathbb{N} \rightarrow \mathbb{N}$ be a polynomial. An l -block cipher B is a cryptosystem of the form

$$\left(\{0, 1\}_{\eta \in \mathbb{N}}^{l(\eta)}, \text{Gen}(1^\eta), \{0, 1\}_{\eta \in \mathbb{N}}^{l(\eta)}, E, D \right) \text{ or simplified: } \left(\{0, 1\}^l, \text{Gen}(1^\eta), \{0, 1\}^l, E, D \right)$$

1.2.4 Substitution-Permutation Cryptosystem (SPCS)

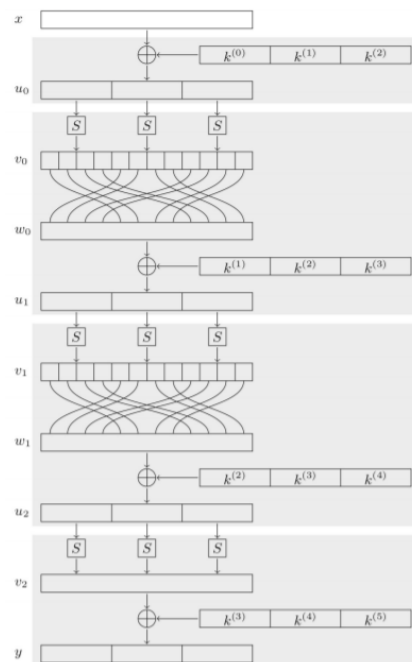
Notation:

- plaintexts are split into m words with length n with $l = m * n$, $x^{(i)}$ denotes the i 'th word
- $[r] = \{0, 1, \dots, r - 1\}$
- $\beta \in \mathcal{P}_{[l]}$, then $x^\beta(i) = x(\beta(i))$

General Principle: Over r rounds, (round) key additions, word substitutions and bit permutations are applied, including an initial step that just applies key addition and shortened last round without bit permutation.

$$E(x : \{0, 1\}^{mn}, k : \{0, 1\}^s) : \{0, 1\}^{mn}$$

1. *initial white step (round key addition)*
 $u = x \oplus K(k, 0)$
2. $r - 1$ *regular rounds*
 for $i = 1$ to $r - 1$ do
 - a. *word substitutions*
 for $j = 0$ to $m - 1$ do
 $v^{(j)} = S(u^{(j)})$
 - b. *bit permutation*
 $w = v^\beta$
 - c. *round key addition*
 $u = w \oplus K(k, i)$
3. *shortened last round (without bit permutation)*
 for $j = 0$ to $m - 1$ do
 $v^{(j)} = S(u^{(j)})$
 $y = v \oplus K(k, r)$; return y



Known Attacks:

- Brute Force Attack
- Linear Cryptanalysis
- Differential Cryptanalysis

Linear Cryptanalysis:

- Relies on a set T of plaintext-ciphertext pairs
- Instead of brute forcing the whole key, get small parts of the key at a time
- Exploit linear dependencies

AES (Advanced encryption standard): basically SPCS with modifications

1.2.5 Algorithmic Security of Block Ciphers

We consider a block cipher secure if it is almost as good as a substitution cryptosystem w.r.t. resource-bound adversaries. Therefore no adversary U should be able to distinguish BCS and SCS. Formally, we use the BCS for $b = 1$ (real world) and the SCS for $b = 0$ (random world) in the security game.

The winning probability is $\Pr[\mathbb{E}(1^n) = 1]$. Since a random guesser already has a probability of 0.5, the advantage is normalized.

$\mathbb{S}(1^n) : \{0, 1\}$

1. *Choose real world or random world.*
 $b \xleftarrow{\$} \{0, 1\}$
 if $b = 1$ then
 $k \xleftarrow{\$} \text{Gen}(1^n)$ and $F = E(\cdot, k)$
 else
 $F \xleftarrow{\$} \mathcal{P}_{\{0,1\}^{l(\eta)}}$
2. *Guess phase.*
 $b' \xleftarrow{\$} U(1^n, F)$
3. *Output.*
 return b' .

$$\begin{aligned} Adv_{U,B}(\eta) &= 2 * \left(\Pr[\mathbb{E}_U^B(1^n) = 1] - \frac{1}{2} \right) \in [-1, 1] & suc_{U,B}(\eta) &= \Pr[\mathbb{S}_U^B \langle b = 1 \rangle(1^n) = 1] \\ Adv_{U,B}(\eta) &= suc_{U,B}(\eta) - fail_{U,B}(\eta) & fail_{U,B}(\eta) &= \Pr[\mathbb{S}_U^B \langle b = 0 \rangle(1^n) = 1] \end{aligned}$$

1.2.6 PRP/PRF Switching Lemma

Since substitution cryptosystems cannot be distinguished from (secure) l -Block cryptosystems, we can see l -Block cryptosystems as pseudo-random permutations (PRP). Anyway, for proving purposes, it can be easier to see them as pseudo-random functions. The PRP/PRF Switching Lemma says, that we can use them interchangeably, since the difference of advantages is negligible:

Let B be an l -block cipher and U be an l -distinguisher with runtime bound $q(\eta)$ where q is a positive polynomial and $\eta \in \mathbb{N}$. Then the following holds true:

$$|Adv_{U,B}^{PRP}(\eta) - Adv_{U,B}^{PRF}(\eta)| \leq \frac{q(\eta)^2}{2^{l(\eta)+1}}$$

1.3 Scenario 3

Arbitrary messages with any length (possibly with repetition)

1.3.1 Symmetric Encryption Scheme

A symmetric encryption scheme is a tuple $S = (\text{Gen}(\eta), E, D)$ with

- security parameter η
- ppt key generation algorithm $\text{Gen}(1^n)$
- ppt encryption algorithm $E(x : \{0, 1\}^*, k : K) : \{0, 1\}^*$
- dpt decryption algorithm $D(y : \{0, 1\}^*, k : K) : \{0, 1\}^*$
- and $D(E(x, k), k) = x$

E cannot be deterministic, because else we wouldn't be able to send the same message multiple times, i.e. the same plaintext encrypted under the same key should result in a different ciphertext

(with a high probability).

1.3.2 Encryption Schemes from Stream Ciphers

Idea: Vernam is safe if we use every key just once. So using the key as seed of a random number generator, that generates a stream of random numbers, enables the usage of the vernam system for arbitrarily long messages.

1.3.2.1 Number generator

A number generator (NG) is a dpt algorithm of the Form $G : (s : \{0, 1\}^\eta) : \{0, 1\}^{p(\eta)}$ where p is the expansion factor.

1.3.2.2 PRNG-Distinguisher

TODO

1.3.3 Encryption Schemes from Block Ciphers

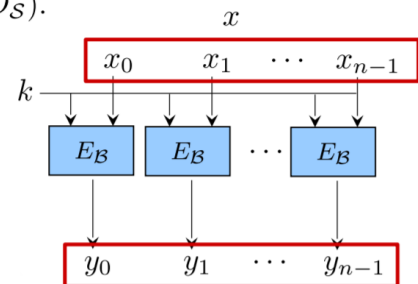
1.3.3.1 ECB Mode

Idea: Split the message in blocks of constant length and encrypt each block under the given key using the underlying block cipher.

$$\mathcal{S} = \text{ECB-}\mathcal{B} = (\text{Gen}_{\mathcal{B}}(1^\eta), E_{\mathcal{S}}, D_{\mathcal{S}}).$$

$E_{\mathcal{S}}(x : \{0, 1\}^{l(\eta)+}, k : K_{\mathcal{B}}) : \{0, 1\}^*$:

1. Split x into several blocks of length $l(\eta)$:
 $x =: x_0 || \dots || x_{n-1}, n \in \mathbb{N}, x_i \in \{0, 1\}^{l(\eta)}$
2. $y_i = E_{\mathcal{B}}(x_i, k) \quad \forall i \in \{0, \dots, n-1\}$
3. **return** $y := y_0 || \dots || y_{n-1}$

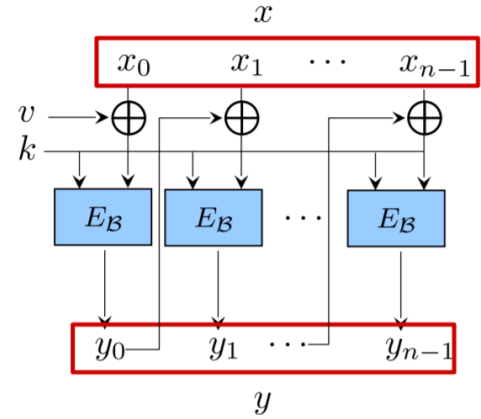


Security: It's not secure, since the ciphertext carries non-trivial information about the plaintext: for $y = y_0 || y_1$, then $y_0 = y_1$ if $x_0 = x_1$.

1.3.3.2 CBC Mode

Idea: Add an initialization vector v that is **xor**'ed with the plaintext before encrypting. That v is part of the key.

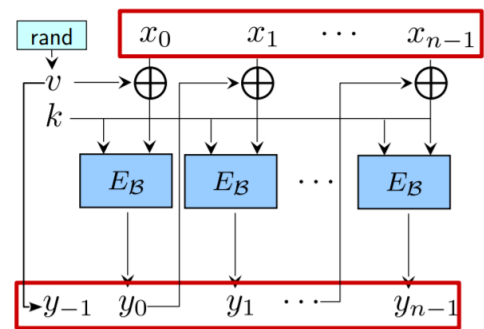
Problem: Still deterministic, so every plaintext can be sent just once.



1.3.3.3 R-CBC Mode

Idea: To solve the issues of CBC-Mode, R-CBC moves the initialization vector v out of the key and generates a random one while decryption. The vector is appended as first block of the ciphertext to enable decryption.

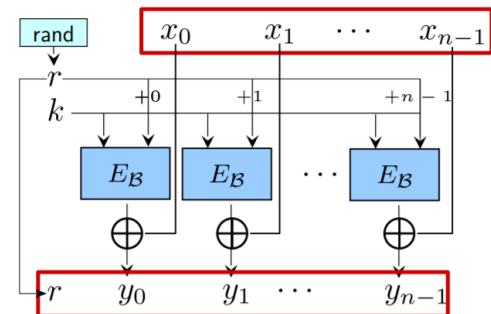
Security: Its secure if the underlying block cipher is secure.



1.3.3.4 R-CTR Mode

Idea: Alternative to R-CBC. Generate a random number r (comparable to v of R-CBC), encrypt this random number under the key and xor it with the plaintext. The counter is increased by 1 for each block. The counter r is appended as first block of y to enable decryption.

Security: Its secure if the underlying block cipher is secure.



1.3.4 CPA-Security

CPA: Chosen-Plaintext-Attack

Game: Adversary A consists of finder AF and guesser AG . The finder chooses 2 plaintexts z_0, z_1 . One of them is encrypted. The guesser has to determine which of them is the corresponding plaintext.

Advantage, success and failure are defined as for block ciphers.

$\mathbb{E}(1^\eta) : \{0, 1\}$

1. *Choose cipher.*

$k \xleftarrow{\$} \text{Gen}(1^\eta); H = E(\cdot, k)$

2. *Find phase.*

$(z_0, z_1) \xleftarrow{\$} AF(1^\eta, H)$

3. *Selection.*

$b \xleftarrow{\$} \{0, 1\}; y \xleftarrow{\$} H(z_b)$

4. *Guess phase.*

$b' \xleftarrow{\$} AG(1^\eta, H, y)$

5. *Evaluation.*

if $b' = b$, return 1, otherwise 0.

1.3.5 CCA-Security

CCA: Chosen-Ciphertext-Attack

Game: In addition to the encryption oracle H from the CPA-game, the adversary also gets a decryption oracle H^{-1} .

Advantage, success and failure are defined as for block ciphers.

$\mathbb{E}(1^\eta) : \{0, 1\}$

1. *Choose cipher.*
 $k \xleftarrow{\$} \text{Gen}(1^\eta); H = E(\cdot, k)$
2. *Find phase.*
 $(z_0, z_1) \xleftarrow{\$} \text{AF}(1^\eta, H)$
3. *Selection.*
 $b \xleftarrow{\$} \{0, 1\}; y \xleftarrow{\$} H(z_b)$
4. *Guess phase.*
 $b' \xleftarrow{\$} \text{AG}(1^\eta, H, y)$
5. *Evaluation.*
 if $b' = b$, return 1, otherwise 0.

1.3.6 Vaudenay's Padding Attack

- TODO

2 Number Theory

2.1 Fundamental Theorem of Arithmetic

Every natural number $n \in \mathbb{N}, n \geq 2$ has exactly one combination of prime factors.

$$n = p_1 * \dots * p_k \quad \text{with } k \leq \log(n)$$

2.2 Modulo

Let $n \in \mathbb{N} \setminus \{0\}, a \in \mathbb{Z}$. Then $\exists! q \in \mathbb{Z}, r \in \{0, \dots, n-1\}$ such that $a = n * q + r$.

$$a \text{ div } n := q \quad \text{and} \quad a \text{ mod } n := r$$

2.3 \mathbb{Z}_n

Let $n \geq 1$. We define the set $\mathbb{Z}_n := \{0, \dots, n-1\}$ of remainders of divisions by n . Let $a, b \in \mathbb{Z}_n$, then

$$a +_n b := (a + b) \text{ mod } n \quad \text{and} \quad a *_n b := (a * b) \text{ mod } n$$

2.4 Group

A tuple (\mathcal{G}, \cdot) is called group if \mathcal{G} is a non-empty set and $\cdot : \mathcal{G} \times \mathcal{G} \rightarrow \mathcal{G}$ is a function such that:

- $(x \cdot y) \cdot z = x \cdot (y \cdot z) \quad \forall x, y, z \in \mathcal{G}$ (associativity)
- $\exists e \in \mathcal{G} : e \cdot x = x \cdot e = x \quad \forall x \in \mathcal{G}$ (neutral element)
- $\forall x \in \mathcal{G} \exists x^{-1} \in \mathcal{G} : x \cdot x^{-1} = e$ (inverse element)

The *order* of a group is the number of elements in \mathcal{G} .

The exponentiation is defined as usual. For a finite group (\mathcal{G}, \cdot) with order n and neutral element e , the following holds true:

$$g^n = e \quad \text{and} \quad g^a = g^{a \bmod n}$$

2.5 Ring

A Ring is the tuple $(\mathcal{R}, +, \cdot)$ if $(\mathcal{R}, +)$ is an abelian (commutative) group and the function $\cdot : \mathcal{R} \times \mathcal{R} \rightarrow \mathcal{R}$ is associative, distributive and has a neutral element.

The set of invertible elements in \mathcal{R} is denoted by \mathcal{R}^* . The tuple (\mathcal{R}^*, \cdot) is an abelian group called group of units.

2.6 Greatest common divisor

We say a divides b or $a|b$ if $\exists c \in \mathbb{Z} : b = c \cdot a$. The greatest common divisor is defined as

$$\gcd(a, b) = \max\{c : c|a \text{ and } c|b\} \text{ where } \gcd(0, 0) := 0$$

The set of invertible elements of \mathbb{Z}_n can be determined by the gcd.

$$\mathbb{Z}_n^* = \{a \in \mathbb{Z}_n | \gcd(a, n) = 1\}$$

2.7 Euler's Totient Function

Let $n \geq 2$. The Euler's totient function is defined by

$$\Phi(n) = |\mathbb{Z}_n^*| = (p_0 - 1) + p_0^{\alpha_0 - 1} \dots (p_{r-1} - 1) + p_{r-1}^{\alpha_{r-1} - 1}$$

where p_1, \dots, p_{r-1} are primes and $n = p_0^{\alpha_0 - 1} \dots p_{r-1}^{\alpha_{r-1} - 1}$. Let p be a prime, then $\Phi(p) = p - 1$.

2.8 Euclids Algorithm

Algorithm to calculate the gcd. Can be extended to calculate the inverse of an element in \mathbb{Z}_n^* .

1. Initialize loop:
 $a' = a, b' = b$
2. Loop: compute gcd by using that $\gcd(a, b) = \gcd(b, a \bmod b)$ for $b > 0$.
Invariant: $\gcd(a, b) = \gcd(a', b')$ and $a' \geq b' \geq 0$.
while $b' \neq 0$:
 #Do one reduction step.
 $q = a' \text{ div } b', r = a' \bmod b'$
 $a' = b', b' = r$

2.9 Fast Exponentiation

Algorithm to efficiently compute the exponentiation of a group element. Let \mathcal{G} be a group and $g \in \mathcal{G}, m \in \mathbb{N}$. It uses the fact, that $g^{2k} = (g^k)^2$. Instead of doing $2k$ multiplications, we can do $k + 1$. This is applied recursively to minimize the number of exponentiations that need to be computed. To make the algorithm work with any k (not just powers of 2), we use the binary representation of the exponent, e.g.

$$13 = 2^0 + 2^2 + 2^3 = (1101)_2 \Rightarrow g^{13} = g^{2^0} \cdot g^{2^2} \cdot g^{2^3}$$

To compute g^m , the algorithm iterates over the bits of m . If the bit is one, multiply the result with the current factor. In any case, square the current factor.

The algorithm has a complexity of $\mathcal{O}(\log(m))$.

```

1. Initialization:
    $i = l; h = 1; k = g$ 
2. Iterated squaring:
   while  $i \geq 0$ :
     if  $b(i) = 1$ 
        $h = kh$ 
      $k = k^2$ 
      $i = i - 1$ 
3. Output:
   return  $h$ 
Postcondition:  $g^m = h$ 

```

2.10 Cyclic Groups

A group \mathcal{G} is called cyclic, iff $\exists g \in \mathcal{G}$ such that $\langle g \rangle = \mathcal{G}$.

If $p = |\mathcal{G}|$ is prime, then \mathcal{G} is a cyclic group.

\mathbb{Z}_p^* is a cyclic group if p is prime.

2.10.1 Subgroups

Let (\mathcal{G}, \cdot) be a finite group and $U \subseteq \mathcal{G}$.

Definition: The tuple (U, \cdot) is a subgroup of \mathcal{G} iff U is a group.

Lemma: The tuple (U, \cdot) is a subgroup of \mathcal{G} iff $1 \in U$ and $a \cdot b \in U \quad \forall a, b \in U$

Lagranges Theorem: If U is a subgroup of \mathcal{G} , then it holds true that $|U| \mid |\mathcal{G}|$.

2.10.2 Generated Groups and Generators

Let \mathcal{G} be a group and $g \in \mathcal{G}$. By $\langle g \rangle$ we denote the smallest subgroup of \mathcal{G} that contains g .

$$\langle g \rangle = \{1, g, g^{-1}, g^2, g^{-2}, \dots\} \quad \text{and if } \mathcal{G} \text{ is finite: } \langle g \rangle = \{1, g, g^2, \dots, g^{|\langle g \rangle| - 1}\}$$

We call g a generator of \mathcal{G} if $\langle g \rangle = \mathcal{G}$.

2.10.3 Finding Generators

We find generators for a group by guessing a group element and checking whether or not it is a generator. This can be evaluated by the equation

$$g^{n/p} \neq 1 \quad \forall p(\text{prime factors of } n) \text{ and } n = |\mathcal{G}|$$

GeneratorTest(\mathcal{G}, g, n, P)

Precondition: \mathcal{G} a finite group, $g \in \mathcal{G}$, $n = |\mathcal{G}|$, P = set of prime factors of $|\mathcal{G}|$.

For $p \in P$ **do**

$h = \text{FastExponentiation}(\mathcal{G}, g, n/p)$

If $h = 1$

break and **return** " g is not a generator of \mathcal{G} ."

return: " g is generator of \mathcal{G} ".