Display, Focusing and Full Polarization

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école---normale ---supérieure—— paris-saclay-

- 1 Basic notions
- 2 Syntax
- 3 Semantics
- 4 Discussion

Basic Lambek-Grishin algebra [Moo09]:

- Poset $\mathbb{G} = (G, \leq)$
- \blacksquare 6 operations \otimes , \oplus , \setminus , \otimes , /, \oslash s.t. (1)

 $B \le A \setminus C$ iff $A \otimes B \le C$ iff $A \le C \setminus B$ $C \oslash B \le A$ iff $C \le A \oplus B$ iff $A \oslash C \le B$ (1)

Multi-type Display Calculus

If a calculus K satisfies $C_1 - C_{10}$ [GJL⁺18, FGK⁺16]:

In particular: Display property

for every sequent $\Gamma \vdash \Delta$ and every substructure Σ of either Γ or Δ , the sequent $\Gamma \vdash \Delta$ can be equivalently transformed (via display postulates) into $\Sigma \vdash \Pi$ or $\Pi \vdash \Sigma$, for some structure Π .

e.g.
$$X \hat{\otimes} Y \vdash \Delta$$
 iff $Y \vdash X \check{\setminus} \Delta$

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Canonical cut-elimination theorem, subformula property

Polarization

Classification of connectives / operations

- Left-adjoints (\otimes , \oslash , \bigcirc): **positive formulas** P, Q, ...
- Right-adjoints $(\oplus, \setminus, /)$: **negative formulas** N, M, ...

Polarization

Classification of connectives / operations

- Left-adjoints $(\otimes, \oslash, \bigcirc)$: **positive formulas** P, Q, ...
- Right-adjoints (\oplus , \ , /): **negative formulas** N, M, ...

Shifts change the polarity:

- $\downarrow N$ is positive and $\uparrow P$ is negative
- ↑ → ↓

Focalization (1/2)

Two kinds of operational (aka. logical) rules:

- Tonicity rules (e.g. ⊗_L, _R): specifying arity and tonicity per each connective (Andreoli: synchronous)
- **Translation rules** (e.g. \otimes_R , \setminus_L): unary and invertible using Cut (*Andreoli: asynchronous*)

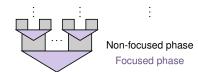
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Idea of strong focalization: alternation between

- Focused phase: Proof-sections built by tonicity rules, where each sequent has exactly 1 formula
 in focus (in purple)
- Non-focused phase: Proof-sections built by translation rules applied greedily or structural rules (in gray)



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State of the art: f.LG by Moortgat and Moot 2011 [MM12]

- Every proof is strongly focalized
- Focus by an extra-linguistic marker A
- Restrictions on the applicability of rules: e.g.

if A is positive

Focalization (2/2)

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Not a Display Calculus according to the usual definition

(2)

Goal

General recipe associating to every displayable logic:

- 1. a fully-polarized Algebraic Semantics,
- a strongly focalized and complete Display Calculus.

Our contribution: we provided such algebraic and proof-theoretic analysis for the Lambek-Grishin logic.

- 1 Basic notions
- 2 Syntax
 - Key idea
 - Presentation of fD.LG
 - Implementing phases
 - Example derivation
 - Strong focalization
 - Display property
 - Translations between fD.LG and f.LG
- 3 Semantic
- 4 Discussion

Full polarization

Full polarization:

- Shifted formulas vs. Pure formulas
- Avoids double shift
 - + **Sorts** (aka. types) "capture / internalize" restrictions (uniform substitution within each type and no side conditions)

Full polarization

Basic notions

Key idea

Full polarization:

- Shifted formulas vs. Pure formulas
- Avoids double shift
 - + Sorts (aka. types) "capture / internalize" restrictions (uniform substitution within each type and no side conditions)

General positive (resp. negative) formulas to be more compact: $\mathring{P} \in \{P, \dot{P}\}$ (resp. $\mathring{N} \in \{N, \dot{N}\}$)

Basic structures:

$$\begin{array}{lll} X & ::= & P \mid \mathring{X} \hat{\otimes} \mathring{X} \mid \mathring{X} \hat{\oslash} \mathring{\Delta} \mid \mathring{\Delta} \hat{\otimes} \mathring{X} \\ \Delta & ::= & N \mid \mathring{\Delta} \check{\oplus} \mathring{\Delta} \mid \mathring{X} \mathring{\setminus} \mathring{\Delta} \mid \mathring{\Delta} \mathring{/} \mathring{X} \\ \dot{X} & ::= & \dot{P} \mid \overset{\checkmark}{\downarrow} \Delta \\ \dot{\Delta} & ::= & \dot{N} \mid \mathring{\uparrow} X \end{array}$$

Pure positive structures Pure negative structures Shifted positive structures Shifted negative structures

General positive (resp. negative) structures: $\mathring{X} \in \{X, \dot{X}\}$ (resp. $\mathring{\Delta} \in \{\Delta, \dot{\Delta}\}$)

Basic structures + added adjoints:

Pure positive structures Pure negative structures Shifted positive structures

Shifted negative structures

General positive (resp. negative) structures: $\mathring{X} \in \{X, \dot{X}\}$ (resp. $\mathring{\Delta} \in \{\Delta, \dot{\Delta}\}$)

Additional structures for the display property:

- 1 and L cancelling the "shiftedness"
 - ℓ , r-variants: $\hat{\otimes}_{\ell}$, $\hat{\otimes}_{r}$, $\hat{\vee}_{\ell}$, $\hat{\vee}_{r}$, ... (more explanation later)



Sequents

Well-formed sequents:

Positive sequents	<i>X</i> ⊢ <i>Y</i>	Χ̈⊩Υ	ΧĖΫ	Χ₩Ÿ
Negative sequents	Δ⊦Γ	Αં⊩Γ	Δι⊢Γ	Δ҅⊪҅Γ҅
Neutral sequents	$X \vdash \Delta$	ΧŀΔ	XĖΔ	×πΔ

grey cells are underivable (see proposition 14)

(3)

Semantics

Syntax

Sequents

Well-formed sequents:

Positive sequents	<i>X</i> ⊢ <i>Y</i>	Χ̈⊩Υ	Χ⊬̈́Ϋ́	Χ₩Ý
Negative sequents	Δ⊦Γ	Δં⊩ૃΓ	Δι⊢ Γ΄	Δ҅⊪ Γ҅
Neutral sequents	$X \vdash \Delta$	×μΔ	XĖΔ	×πΔ

(3)

grey cells are underivable (see proposition 14)

Notation 🔓 , 🔓 , 🖡

If
$$\mathring{X} = X$$
 and $\mathring{Y} = \dot{Y}$, then $\mathring{X} \stackrel{\iota}{\vdash} \mathring{Y}$ is $X \stackrel{\iota}{\vdash} \dot{Y}$

Phases

Definition

A sequent S is in positive (resp. negative) **focused phase** if S is a positive (resp. negative) sequent with a **formula in succedent** (resp. precedent) and containing **no structural shift** (namely $\hat{\uparrow}, \hat{\uparrow}, \downarrow, \downarrow$). Otherwise, S is called non-focused.

e.g.

- $p \otimes q \vdash p \otimes q$ positive focused (on $p \otimes q$)
- $\uparrow (p \otimes q) \Vdash \uparrow (p \otimes q)$ negative non-focused
- $p \otimes q \vdash \uparrow (p \otimes q)$ neutral (so, non-focused)

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Rule sample:

$$\frac{\mathring{P} \hat{\otimes} \mathring{Q} \stackrel{:}{\vdash} \mathring{\Delta}}{\mathring{P} \hat{\otimes} \mathring{Q} \stackrel{:}{\vdash} \mathring{\Delta}} \otimes_{L} \qquad \frac{\mathring{X} \stackrel{:}{\vdash} \mathring{P}}{\mathring{X} \hat{\otimes} \mathring{Y} \stackrel{\vdash}{\vdash} \mathring{P} \hat{\otimes} \mathring{Q}} \otimes_{R} \qquad \frac{\mathring{Y} \stackrel{:}{\vdash} \mathring{X} \mathring{\setminus} \mathring{\Delta}}{\mathring{X} \hat{\otimes} \mathring{Y} \stackrel{:}{\vdash} \mathring{\Delta}} \otimes_{+} (4)$$

Controlling phase transitions

Operational shifts control phase transition:

$$\uparrow_{L} \frac{\hat{\uparrow} P \mathring{\vdash} \mathring{\Delta}}{\uparrow P \mathring{\vdash} \mathring{\Delta}} \qquad \frac{X \vdash P}{\hat{\uparrow} X \Vdash \uparrow P} \uparrow_{R}
Focusing Defocusing$$
(5)

 \Rightarrow \uparrow and \downarrow are "witnesses" of the structure of the proof in the end-sequent

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Structural shifts commute with structural connectives:

Display postulates in neutral phase

$$\frac{\mathring{X} \stackrel{\circ}{\vdash} \Delta}{\mathring{X} \stackrel{\circ}{\vdash} \mathring{\bot} \Delta} \stackrel{\downarrow}{\downarrow} \qquad \hat{\uparrow} \frac{X \stackrel{\circ}{\vdash} \mathring{\Delta}}{\widehat{\uparrow} X \stackrel{\circ}{\vdash} \mathring{\Delta}}$$

(6)

Example derivation

Basic notions

Derivation of Everyone sleeps.

$$\downarrow_{L} \frac{np \vdash np \qquad s \vdash s}{np \setminus s \vdash np \setminus s}$$

$$\downarrow_{L} \frac{sleeps \vdash pp \setminus s}{\downarrow sleeps \vdash pp \setminus s}$$

$$\uparrow_{D} \rightarrow \downarrow \frac{\downarrow sleeps \vdash np \setminus s}{np \otimes \downarrow sleeps \vdash s}$$

$$\uparrow_{D} \rightarrow \downarrow \frac{\uparrow_{D} \rightarrow \uparrow_{D} \rightarrow \uparrow_{$$

Derivation of Everyone sleeps.

$$\frac{n \vdash n}{\text{one} \vdash n}$$

$$\uparrow np \mid n \vdash (s) \downarrow \text{sleeps}) \land \text{one}$$

$$every \vdash (s) \downarrow \text{sleeps}) \land \text{one}$$

$$\downarrow \text{every} \vdash ((s) \downarrow \text{sleeps}) \land \text{one}$$

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$$\downarrow \text{every} \land \text{one} \vdash s \land \text{sleeps}$$

$$\downarrow \text{everyone} \land \text{sleeps} \vdash s$$

$$\downarrow \text{everyone} \land \text{sleeps} \vdash \text{s}$$

Strong focalization

Every formula can be decomposed into subtrees of

- Skeleton nodes (boxed in Fig. 1)
- PIA nodes (not boxed)

depending on the position

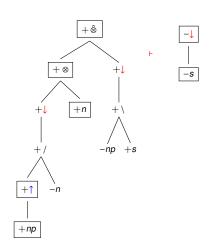


Figure: Signed generation tree of the end-sequent on slide 15

Signed generation tree

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Operational connectives are introduced:

- by a translation rule if skeleton node
- by a tonicity rule if PIA node

Shifts are roots of these subtrees → transition nodes

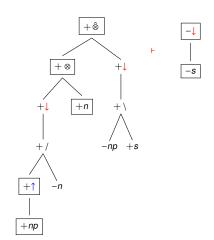


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Strong focalization

Andreoli's construction of the formulas inside a focalized proof [And01]:

"[...] in a normal proof, each formula is viewed as a succession of layers of asynchronous connectives and of synchronous connectives; each synchronous layer is decomposed in a critical section (i.e. which cannot be interrupted), called a "critical focusing section""

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Formalisation, adapted and extended from [Lau17]:

Definition (Strong focalization)

A sequent proof π is strongly focalized if cut-free and, for every formula A occurring in π , every PIA subtree of A is constructed by a proof-section of π containing only tonicity rules.

Strong focalization

rnase diagran

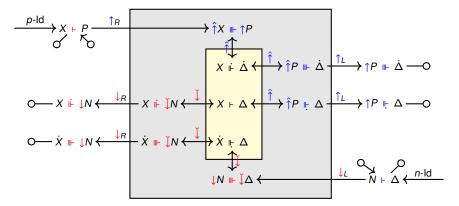


Figure: The topology of fD.LG-rules and phase transitions.

Theorem (thm. 23)

Every cut-free and ℓ -r-variant-free proof in **fD.LG** is strongly focalized.

Idea of the proof:

■ From a focused sequent $\mathring{X} \stackrel{\triangleright}{\vdash} \mathring{P}$ or $\mathring{N} \stackrel{\triangleright}{\vdash} \mathring{\Delta}$ we can only



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Derivation translated back to f.LG: still strongly focalized

■ Thanks to full polarization: PIA are not empty of LG-connectives

Display property

Displsay postulates for shifts:

$$\frac{\hat{\uparrow} X \Vdash \dot{\Delta}}{X \vdash \dot{\downarrow} \dot{\Delta}} \hat{\uparrow} \dashv \dot{\downarrow} \qquad \frac{\hat{\uparrow} X \vdash \Delta}{X \vdash \dot{\downarrow} \Delta} \hat{\uparrow} \dashv \dot{\downarrow} \qquad \hat{\uparrow} \dashv \dot{\downarrow} \qquad \hat{\uparrow} \dot{\chi} \vdash \dot{\Delta}$$

$$(7)$$

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Display postulate on focused sequents with ℓ , r-variants, e.g.

$$\frac{\overset{\mathring{\mathbf{Y}} \overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}}{\overset{\mathring{\mathbf{L}}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}}}{\overset{\mathring{\mathbf{L}}}}}{\overset{\mathring{\mathbf{L}}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}}{\overset{\mathring{\mathbf{L}}}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}}}}{\overset{\mathring{\mathbf{L}}}{\overset{\mathring{\mathbf{L}}}}}}{\overset{\mathring{\mathbf{L}}}}}{\overset{\mathring{\mathbf{L}}}}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}}{\overset{\mathring{\mathbf{L}}}}{\overset{\mathring{\mathbf{L}}}}}}}}}}}}}}}}}}$$

Basic notions

Translations (not in the paper)

From f.LG to fD.LG:

By adding shifts (simple recursive translation)

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From fD.LG to f.LG:

- If the end-sequent without ℓ , r-variant or $\hat{1}$, \vec{l} , they can be "removed" from the proof
- By removing shifts on structures
- Slight normalisation
- Identifying proof-sections to translate back as (de)focusing rules

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Fully polarized LG-algebra FP.LG:

- Each sort interpreted as a poset
- Homogeneous sequents interpreted as their orders
- Heterogeneous sequents interpreted as weakening relations $\leq : \mathcal{A} \rightarrow \mathcal{B}$

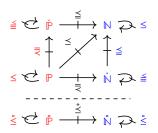


Figure: Weakening relations in FP.LG-algebras.

■ ₹ ≤ = ≤ = ₹ ≤

Semantics (2/2)

Heterogeneous operations and their residuation:

$$\mathring{Q} \stackrel{\circ}{\leq} \mathring{P} \setminus \mathring{N} \quad \text{iff} \quad \mathring{P} \otimes \mathring{Q} \stackrel{\circ}{\leq} \mathring{N} \quad \text{iff} \quad \mathring{P} \stackrel{\circ}{\leq} \mathring{N} / \mathring{Q} \\
\mathring{P} \oslash \mathring{N} \stackrel{\circ}{<} \mathring{M} \quad \text{iff} \quad \mathring{P} \stackrel{\circ}{<} \mathring{M} \oplus \mathring{N} \quad \text{iff} \quad \mathring{M} \oslash \mathring{P} \stackrel{\circ}{<} \mathring{N}$$
(9)

Semantics (2/2)

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Shift adjunctions



(10)

(9)

(11)

Soundness and completeness

Soundness:

Interpretation of turnstiles:

t	F	Ė	⊪	F	Ŀ	⊪	F	ŀ	Ė	Å	Å	Å
t△	≤	₹	≦	≤	≦	≦	≤	≦	₹	<u> </u>	Š	≟

- Most rules clearly sound by construction
- Soundness of structural shift rules by:
 - 1 \dashv \downarrow represents \leq (i.e. $1\dot{P} \leq N$ iff $\dot{P} \leq N$ iff $\dot{P} \leq N$)
 - ↑ → | represent ₹
 - heterogeneous adjunction $\uparrow \dashv \frac{3}{2} \downarrow$ represents \leq , i.e. (12) (see section 2.1)

$$\uparrow P \le N \quad \text{iff} \quad P \le N \quad \text{iff} \quad P \ \ \, \downarrow N \tag{12}$$

Soundness and completeness

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t	F	Ė	⊪	F	Ŀ	⊪	F	Ŀ	Ė	Å	Ê	Å
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 - heterogeneous adjunction $\uparrow + \frac{7}{2} \downarrow$ represents \leq , i.e. (12) (see section 2.1)

$$\uparrow P \leq N \quad \text{iff} \quad P \leq N \quad \text{iff} \quad P \stackrel{?}{=} \downarrow N \tag{12}$$

Completeness:

- Routine Lindenbaum-Tarski construction for each subalgebra
- But restriction to standard sequents to preserve the shape of focused sequents
 - e.g. $[p \otimes q \vdash p \otimes q] = [p \otimes q \vdash p \otimes q]$, but only $p \otimes q \vdash p \otimes q$ is derivable due to focalization

Semantics

Shifts are not necessary isomorphisms

Subtlety: The **behaviour** of an operation **in a subalgebra** should **not be the same** that its behaviour **in the global algebra**, to preserve strong focalization and completeness

- e.g. in **fD.LG** + Comm_⊗, $p \otimes q \vdash p \otimes q$, $p \otimes q \vdash \uparrow (p \otimes q)$ and $q \otimes p \vdash \uparrow (p \otimes q)$ are derivable
 - but not $q \hat{\otimes} p \vdash p \otimes q$!
 - so $\hat{\otimes}^{\mathbb{A}}$ is commutative in all models from the point of view of $\bar{\leq}$, but not from the point of view of \leq

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Semantics 00000

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- but not $q \otimes p \vdash p \otimes q$!
- so ⊗ A is commutative in all models from the point of view of ₹, but not from the point of view of ≤
- If shifts are isomorphisms in all models, this asymmetry falls down: loss of "semantics focalization" (not in the paper)

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Discussion 00

Extension to other kinds of semantics

concept lattices, hyperdoctrines for first order,...



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Operational rules

$$\bigotimes_{L} \frac{\mathring{P} \otimes \mathring{Q} \stackrel{\circ}{\vdash} \mathring{\Delta}}{\mathring{P} \otimes \mathring{Q} \stackrel{\circ}{\vdash} \mathring{\Delta}} \frac{\mathring{X} \stackrel{\circ}{\vdash} \mathring{P}}{\mathring{X} \otimes \mathring{Y} \vdash \mathring{P} \otimes \mathring{Q}} \otimes_{R} \qquad \bigoplus_{L} \frac{\mathring{N} \stackrel{\circ}{\vdash} \mathring{\Gamma}}{\mathring{N} \oplus \mathring{M} \vdash \mathring{\Delta}} \frac{\mathring{M} \stackrel{\circ}{\vdash} \mathring{\Delta}}{\mathring{X} \stackrel{\circ}{\vdash} \mathring{N} \oplus \mathring{M}} \bigoplus_{\mathring{N} \mathring{M}} \bigoplus_{\mathring{N} \oplus \mathring{M}} \bigoplus_{\mathring{M} \mathring{M} \oplus \mathring{M}} \bigoplus_{\mathring{M} \mathring{M} \oplus \mathring{M}} \bigoplus_{\mathring{M} \oplus \mathring{M}} \bigoplus_{\mathring{M} \mathring{M} \oplus \mathring{M}} \bigoplus_{\mathring{M} \mathring{M} \mathring{M}} \bigoplus_{\mathring{M} \mathring{M} \mathring{M}} \bigoplus_{\mathring{M} \mathring{M} \mathring{M}} \bigoplus_{\mathring{M} \mathring{M}} \bigoplus_{\mathring{M} \mathring{M} \mathring{M}} \bigoplus_{\mathring{M} \mathring{M}} \bigoplus_{\mathring{M}$$

Structural rules