

# **Governance Functions and Responsibilities Framework (GFRF)**

This document is a simplified version for technical portfolio demonstration purposes, focusing on strategic structure and alignment with COBIT, ISO, or ITIL frameworks.

**Company Name:** Apex Financial Solutions

**Document Type:** Governance Functions and  
Responsibilities Framework (EFRG)

**Reference Framework:** COBIT 2019 (EDM Domain -  
Evaluate, Direct, and Monitor)

## Objective

Define the leadership structure and committees necessary to ensure that IT is directed and monitored by senior management, ensuring accountability.



## IT Steering Committee

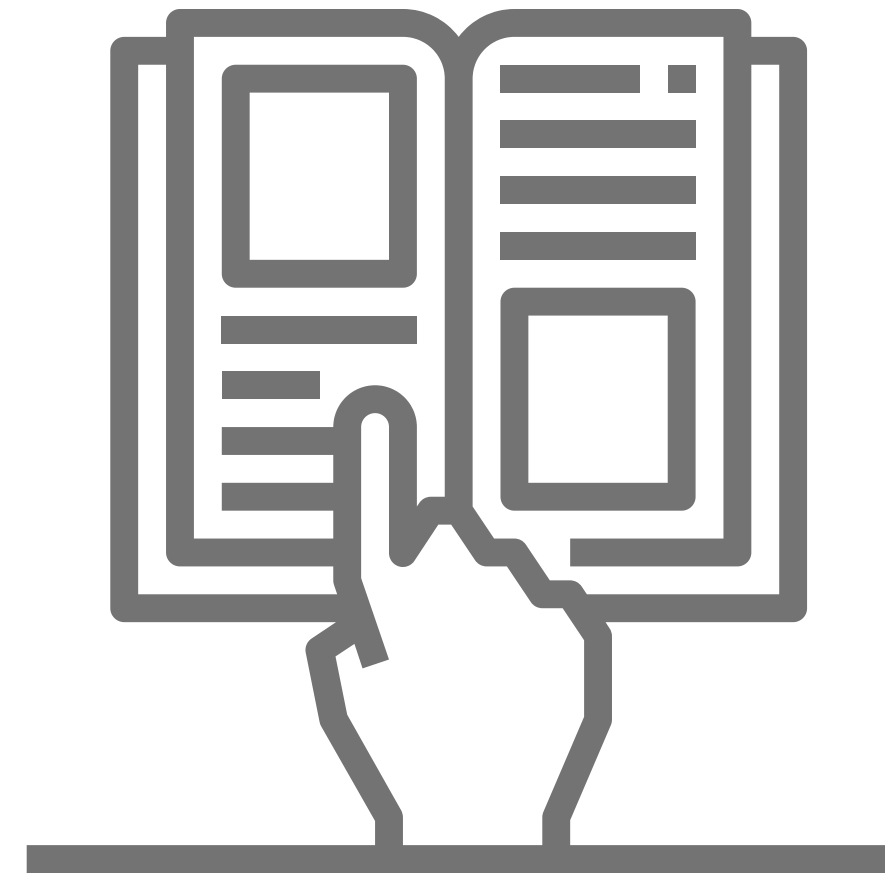
This is the highest decision-making body for technology in the organization.

**Composition:** CEO (President), CFO, CTO, and CISO.

**Frequency:** Quarterly meetings.

### **Responsibilities:**

- Approve the IT Strategic Plan (PETI).
- Prioritize investments in digital transformation projects.
- Assess whether IT is delivering the expected benefits to the business.



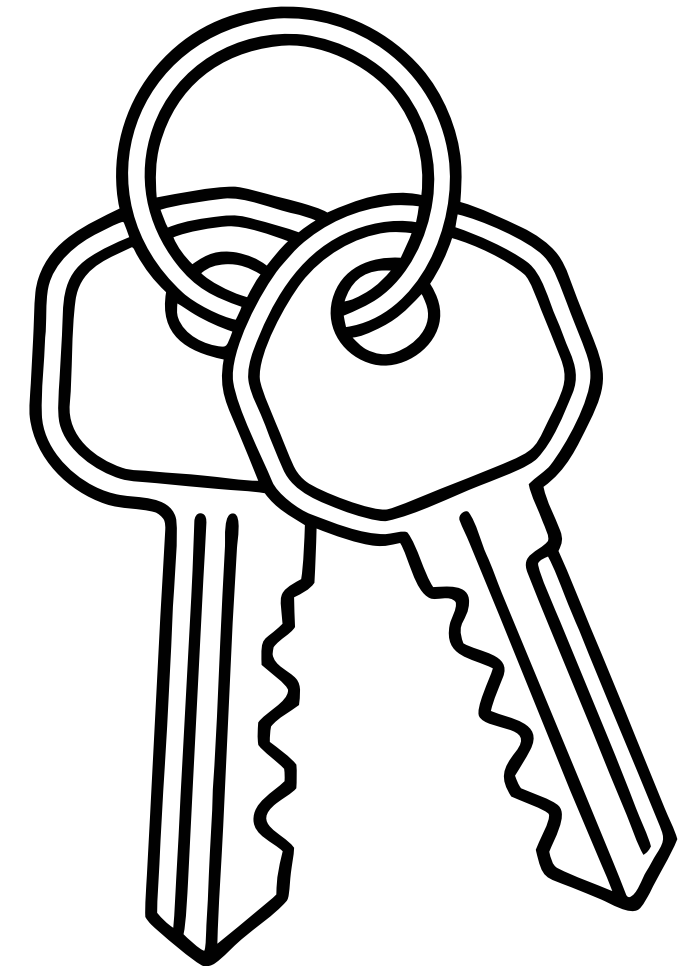
## Key Governance Roles

**Executive Board:** Responsible for defining risk appetite and ensuring strategy financing.

**CTO (Chief Technology Officer):** Ensures service delivery and technological architecture.

**CISO (Chief Information Security Officer):** Ensures that data security and governance are integrated into all projects.

**Change Manager:** Ensures that changes to systems do not have a negative impact on operations.

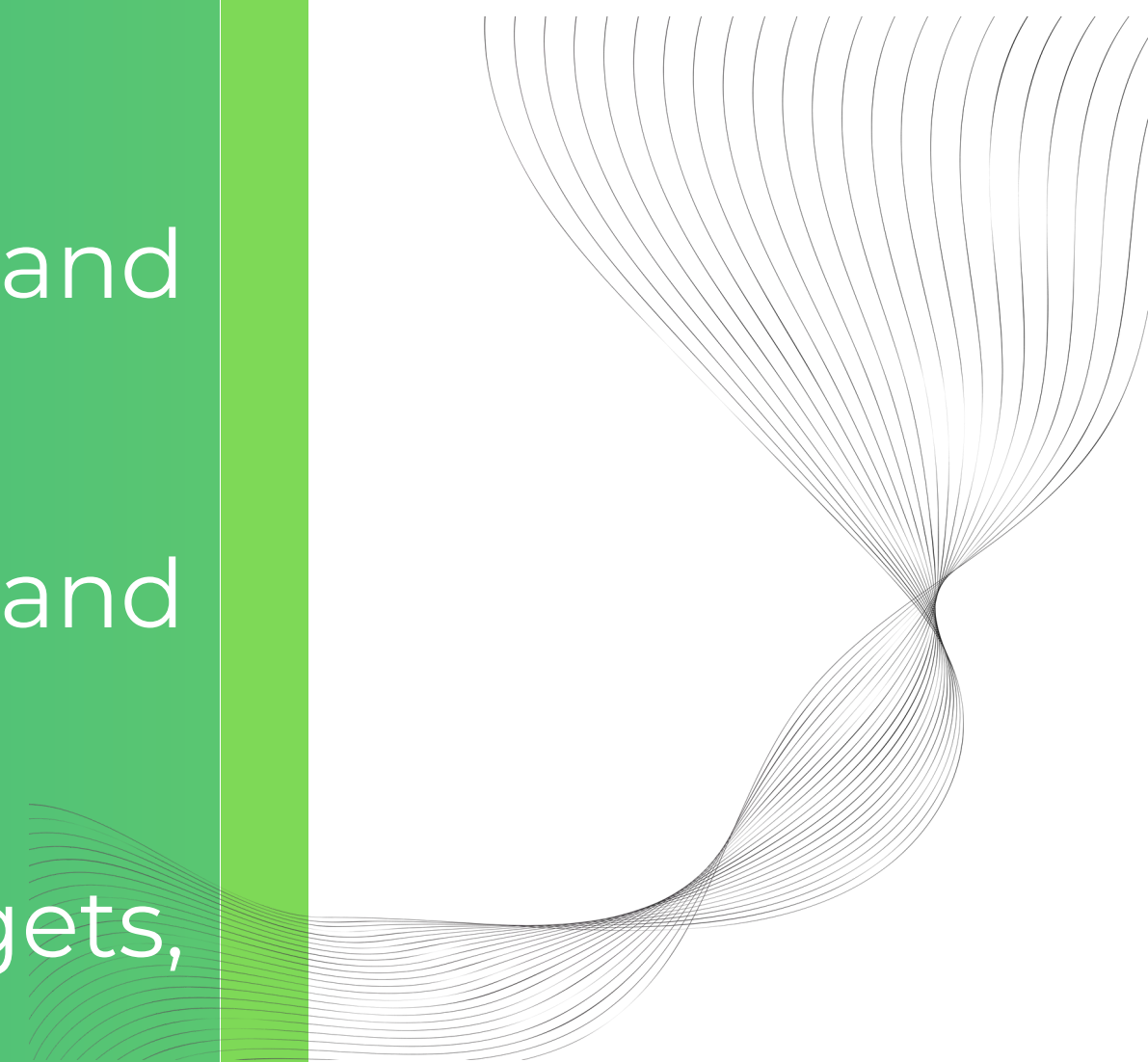


## Decision Flow (Scheduling)

**Operational Level:** Daily decisions and management of simple incidents.

**Tactical Level:** Decisions about infrastructure and medium-term projects (IT Leadership).

**Strategic Level:** Platform changes, large budgets, and critical risks (Steering Committee).



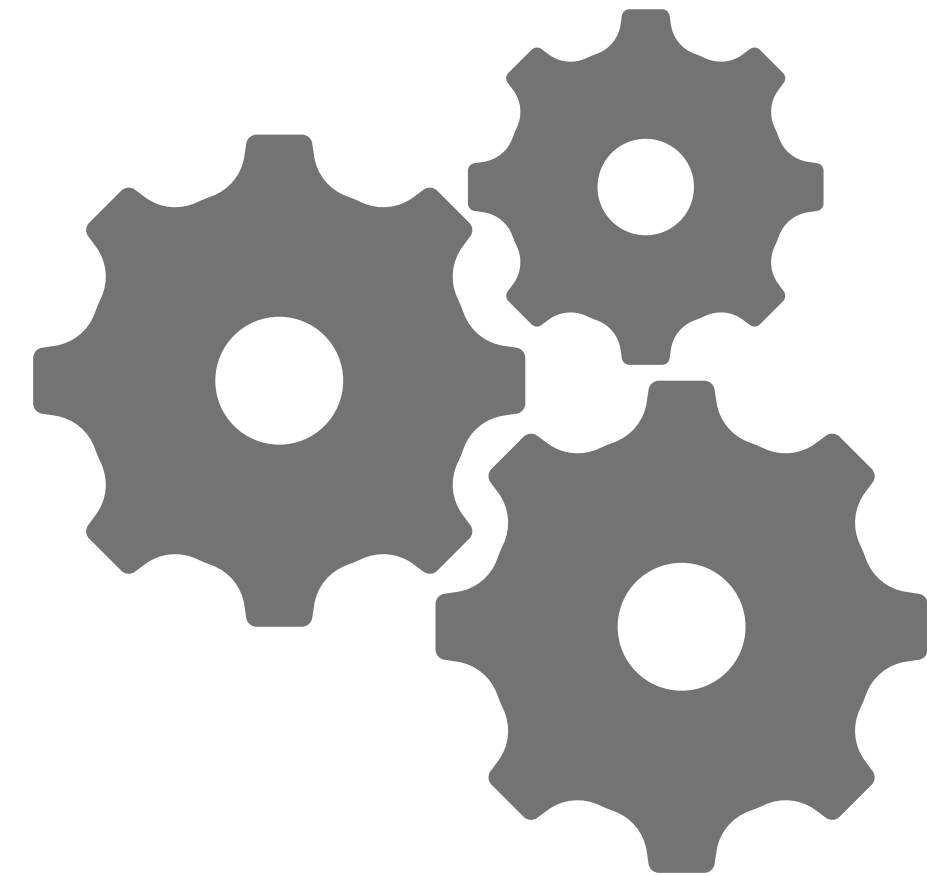
## Accountability Mechanisms

Apex adopts the “**Three Lines of Defense**” model:

**Operational Management:** IT managers who execute controls.

**Risk and Compliance Functions (CISO/GRC):** Monitor the effectiveness of controls.

**Internal Audit:** Independent assessment of the entire governance system.



## Disclaimer

This document represents a fictional case developed for professional and educational purposes and does not reflect any real organization.

