

Governance Functions and Responsibilities Framework (GFRF)

This document is a simplified version for technical portfolio demonstration purposes, focusing on strategic structure and alignment with COBIT, ISO, or ITIL frameworks.



Company Name: Apex Financial Solutions

Document Type: Governance Functions and
Responsibilities Framework (EFRG)

Reference Framework: COBIT 2019 (EDM Domain -
Evaluate, Direct, and Monitor)

■ Objective

Define the leadership structure and committees necessary to ensure that IT is directed and monitored by senior management, ensuring accountability.



■ IT Steering Committee

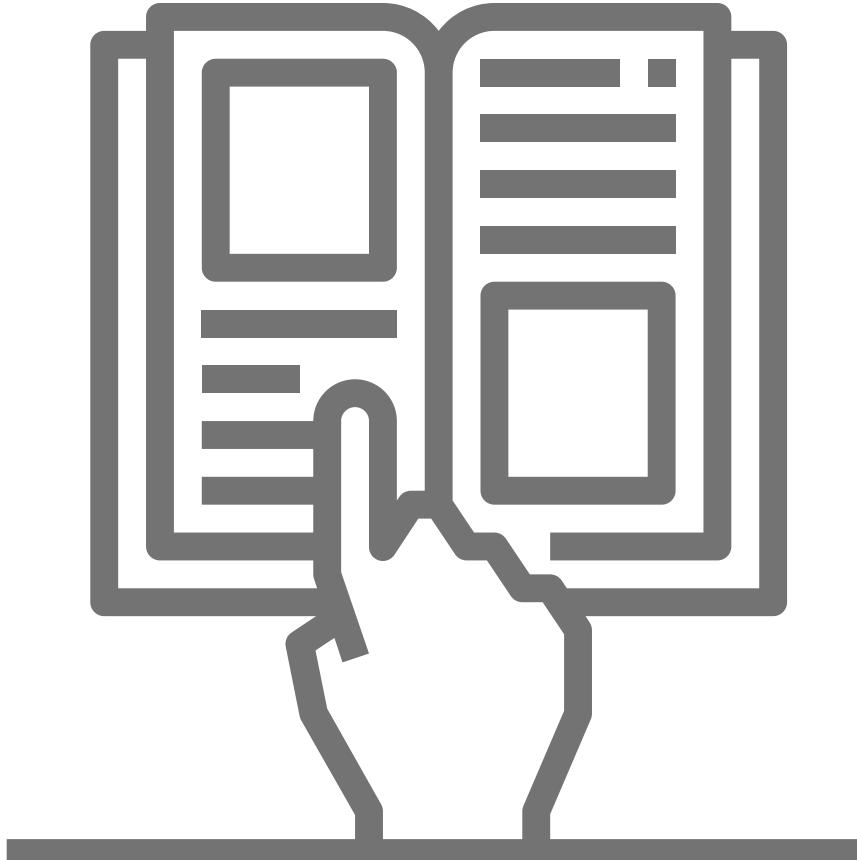
This is the highest decision-making body for technology in the organization.

Composition: CEO (President), CFO, CTO, and CISO.

Frequency: Quarterly meetings.

Responsibilities:

- Approve the IT Strategic Plan (PETI).
- Prioritize investments in digital transformation projects.
- Assess whether IT is delivering the expected benefits to the business.



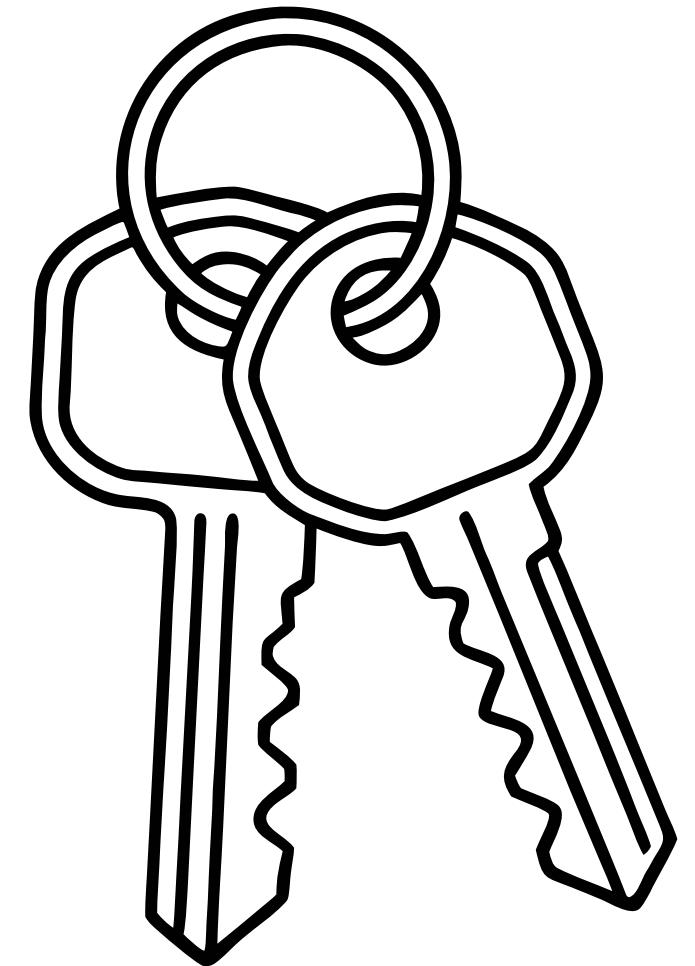
Key Governance Roles

Executive Board: Responsible for defining risk appetite and ensuring strategy financing.

CTO (Chief Technology Officer): Ensures service delivery and technological architecture.

CISO (Chief Information Security Officer): Ensures that data security and governance are integrated into all projects.

Change Manager: Ensures that changes to systems do not have a negative impact on operations.



■ Decision Flow (Scheduling)

Operational Level: Daily decisions and management of simple incidents.

Tactical Level: Decisions about infrastructure and medium-term projects (IT Leadership).

Strategic Level: Platform changes, large budgets, and critical risks (Steering Committee).



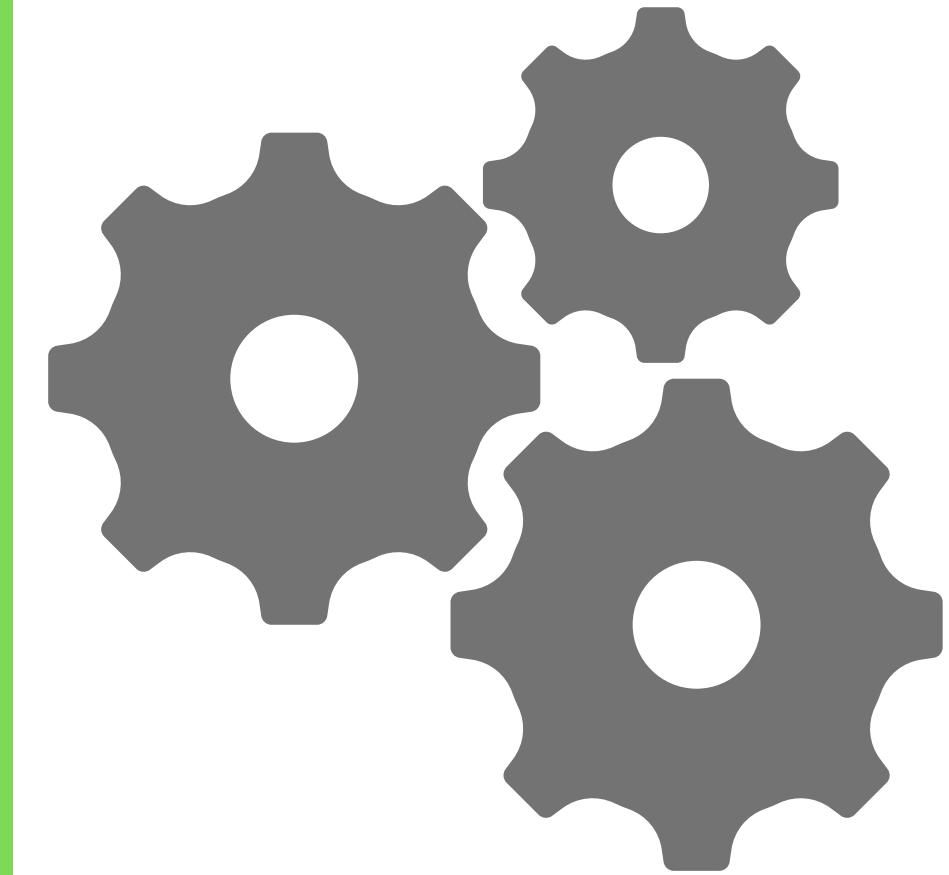
■ Accountability Mechanisms

Apex adopts the **“Three Lines of Defense”** model:

Operational Management: IT managers who execute controls.

Risk and Compliance Functions (CISO/GRC): Monitor the effectiveness of controls.

Internal Audit: Independent assessment of the entire governance system.



 Disclaimer

This document represents a fictional case developed for professional and educational purposes and does not reflect any real organization.

