

ADVANCED DATA STRUCTURES & ALGORITHMS

Splay Trees

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Introduction to splay trees

- **Definition:** Splay trees are **self-adjusting binary search trees that move recently accessed elements to the root.**
- **Key Properties:**
 - **Self-Adjusting:** restructure themselves based on access patterns.
 - **Amortized Efficiency:** Despite potentially expensive individual operations, the average time per operation is logarithmic over a sequence of operations.
- **Applications:** Useful in scenarios where certain elements are accessed more frequently (e.g., caches, search engines).

What is a Bottom-Up Splay Tree?

- **Definition:**
 - A variant of splay trees that **performs splaying in a bottom-up manner**, as opposed to top-down.
- **Characteristics:**
 - Operates on a subtree formed after the operations (insert/delete/search).
 - All rotations occur within the tree to propagate the recent element accessed to the root.

Basic Operations Overview

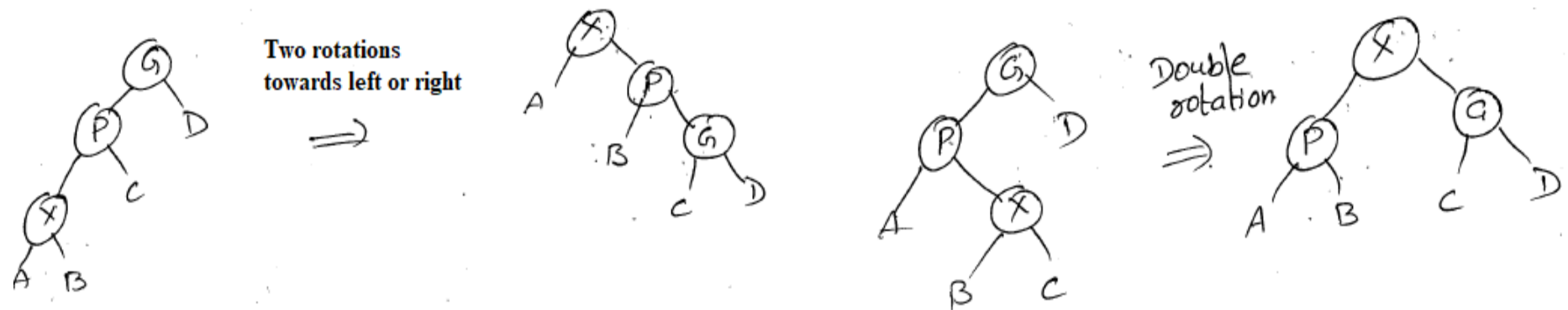
- **Splay Operation:**
 - Brief introduction to how nodes are moved to the root after an access.
- **Search:**
 - Finding a node and moving it to the root through splaying.
- **Insert:**
 - Adding a new node while maintaining binary search tree properties.
- **Delete:**
 - Removing a node and adjusting the tree structure.

Splay Operation

- **Process:** When accessing a node, perform a series of rotations to bring it to the root.
 - **Zig:** Let X be node on the access path we are rotating. If the parent (P) of X is the root, we rotate X and root.
 - **If X has both parent (P) and grand parent (G).** There are two cases

Zig-Zig: Double rotation if the node and its parent are both left or right children.

Zig-Zag: Double rotation if the node is a right child and its parent is a left child or vice versa.

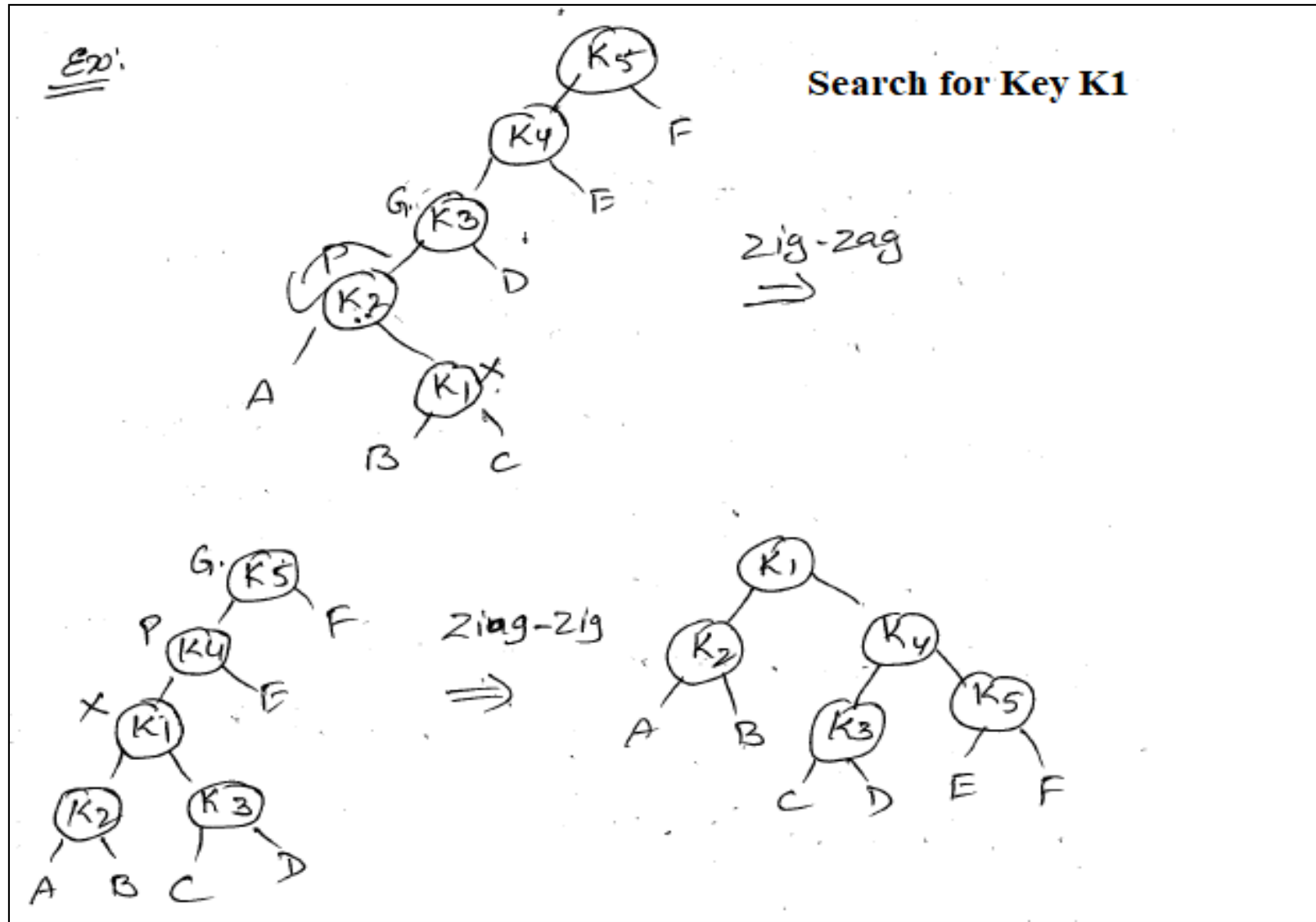


Searching in Bottom-Up Splay Trees

- **Process:**
 - Search for the target element X.
 - **If found** → Splay the X to the root.
 - **If not-found** → splay the last element accessed in the search process to the root.

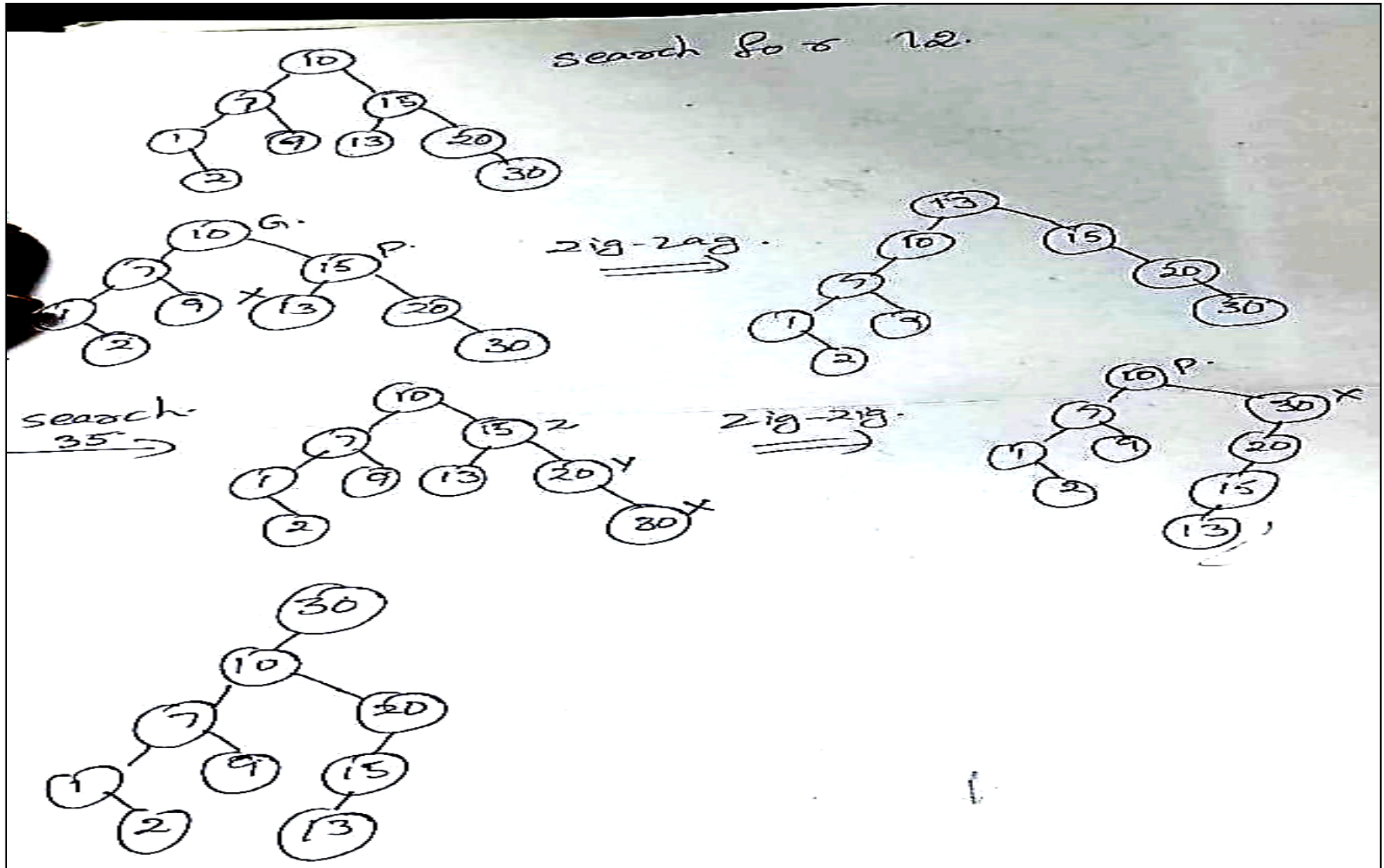
Example for Search in Bottom-Up Splay Trees

Found Case



Example for Search in Bottom-Up Splay Trees

Not-Found Case

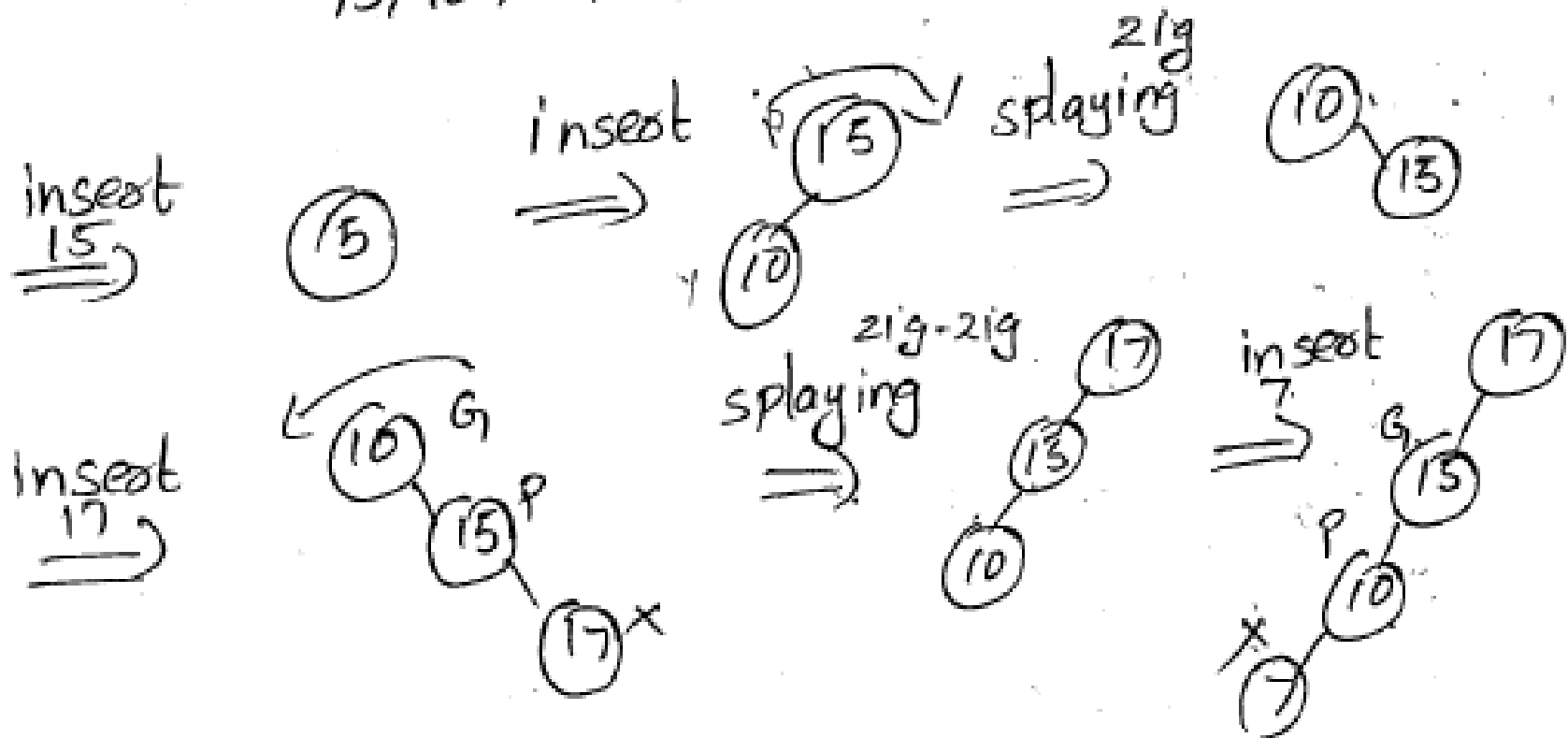


Insertion in Bottom-Up Splay Trees

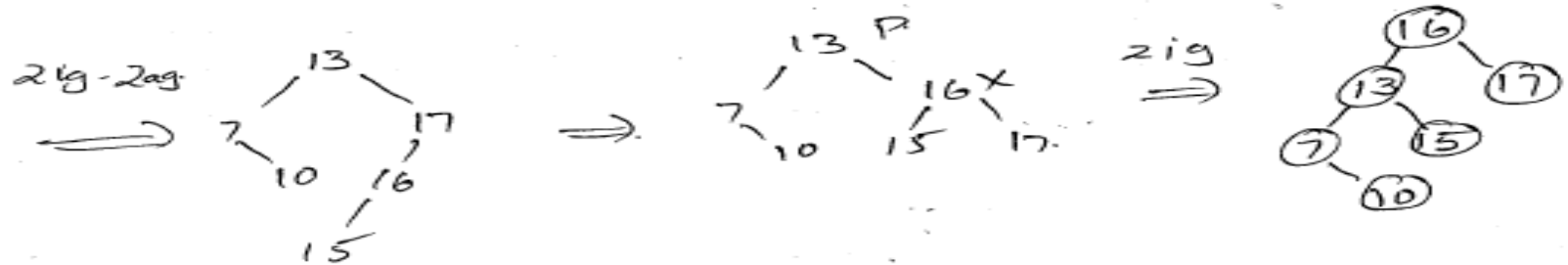
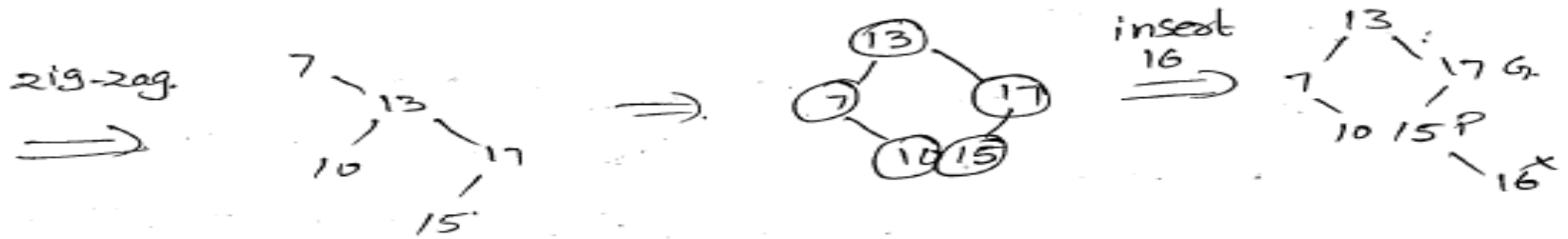
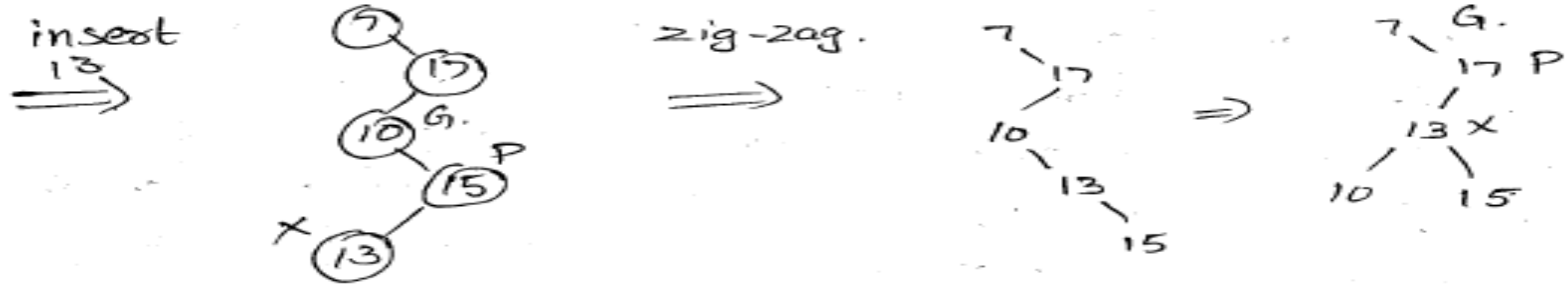
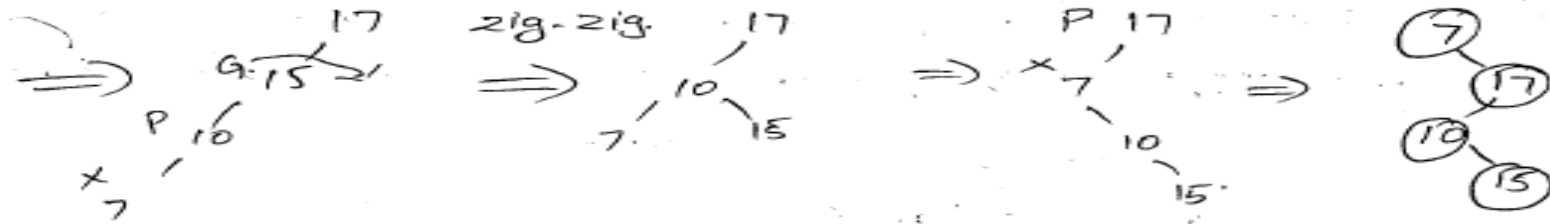
- **Process:**
 - Insert the node like in a regular binary search tree.
 - Splay the newly inserted node to the root.

Example to Create a Bottom-Up Splay Tree

Ex: create a splay tree for the
15, 10, 17, 7, 13, 16



Example to Create a Bottom-Up Splay Tree

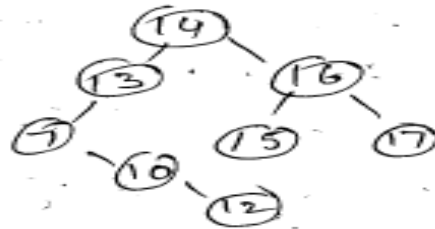


Example for Deletion in Bottom-Up Splay Trees

- **Process:**
 - Search for the node to be deleted
 - Delete the node
 - Apply splaying with respect to the parent of the deleted node.

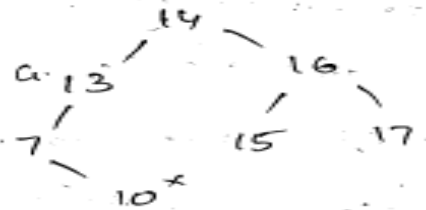
Deletion in Bottom-Up Splay Trees

Ex: Delete 12, 14, 16, 20^{and 17} from the following tree.



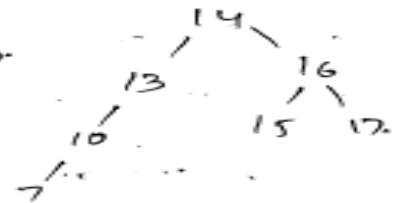
delete 12

⇒

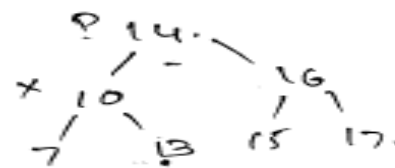


splay at 10

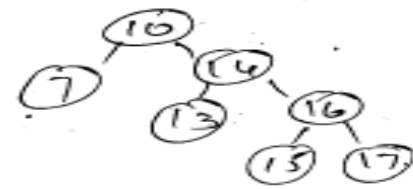
⇒



⇒



⇒



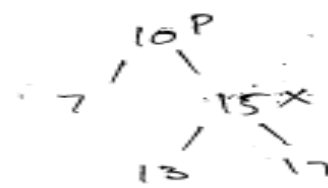
delete 14

⇒



delete 16

⇒



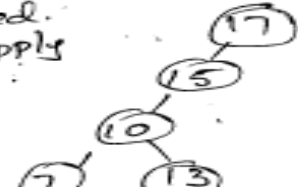
splay(15)

⇒

20 not existed.
so just apply
splay(17)

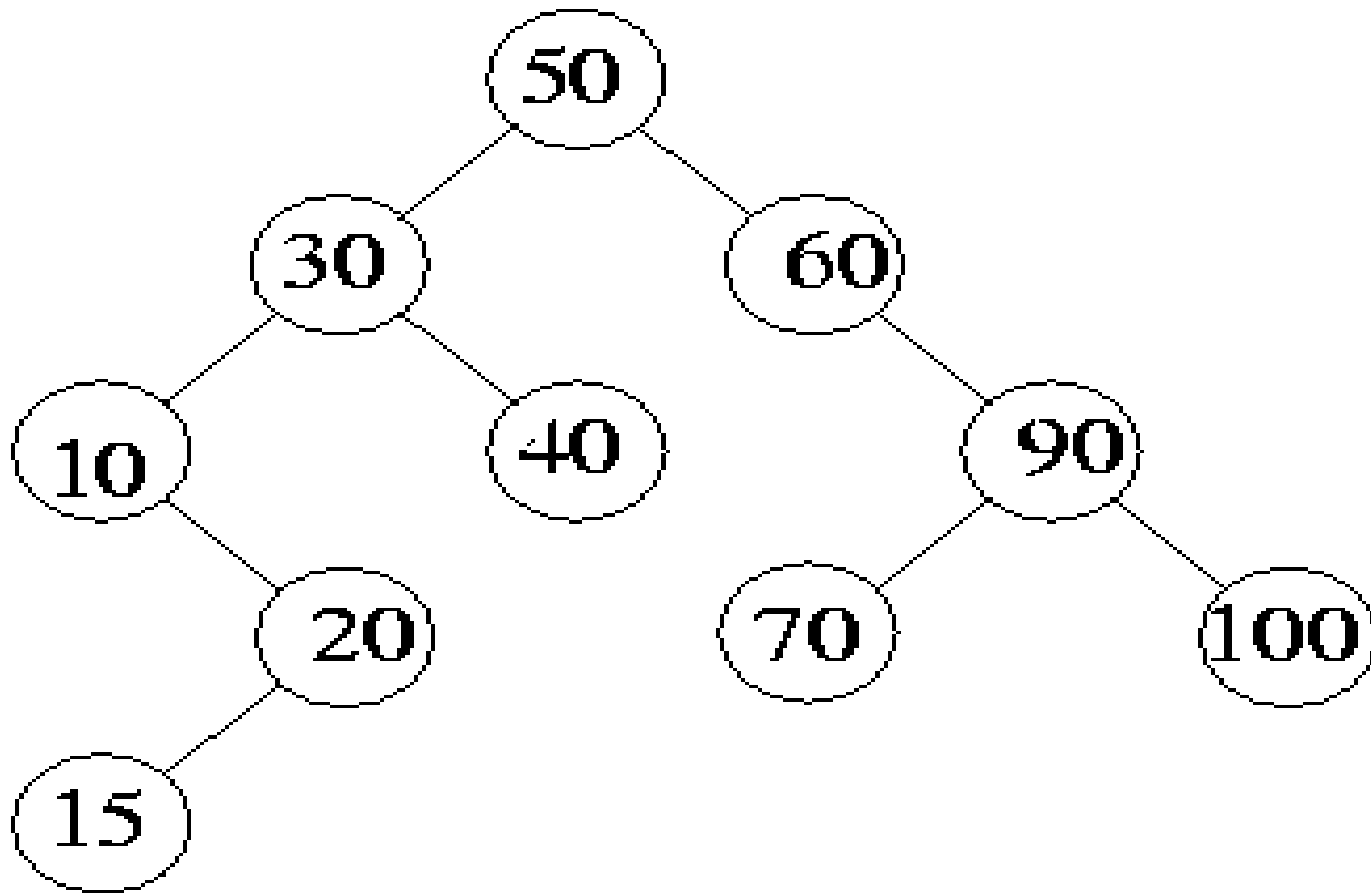
delete 20

⇒



Deletion in Bottom-Up Splay Trees

Delete 15, 40, 70, 10, 30 and 90



Drawback in Bottom-Up Splay Trees

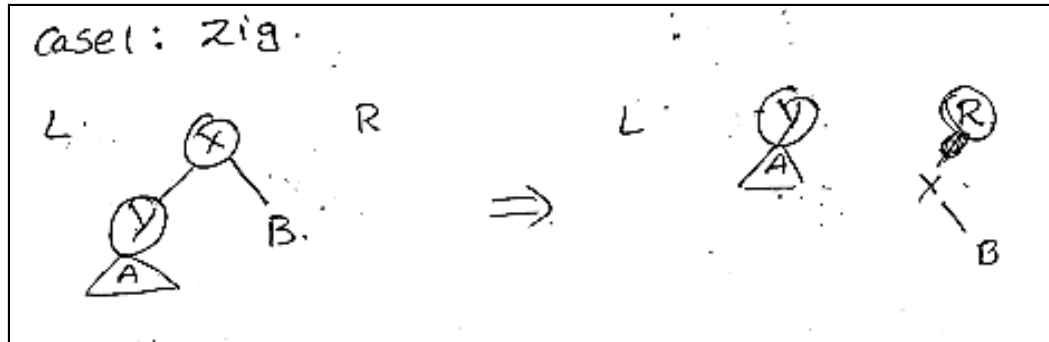
- Bottom-up splaying strategy requires a traverse from the root down the tree and then a bottom-up traversal to implement the splaying step.
- This can be done either by maintain the parent links or by storing the access path on a stack unfortunately both methods require a substantial amount of overhead.
- A solution for this is Top-down splaying, which performs rotations on the initial access paths in top-down.

Top-Down Splay Trees

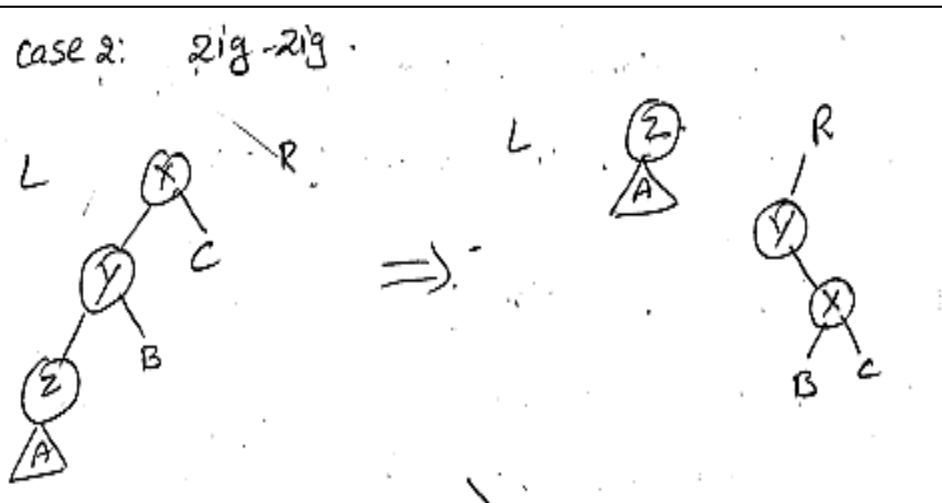
- In top-down splay trees, the **splay operation is performed while descending the tree from the root to the accessed node**, which differs from the more traditional bottom-up approach where restructuring occurs on the way back up.
- **Splay Operation during Descent:**
 - The **tree is divided into three parts during the splay operation: a left tree, a right tree, and a middle tree** that contains the current node.
 - The left and right trees are constructed dynamically as the tree is traversed, based on comparisons made at each step.

Splay Operation

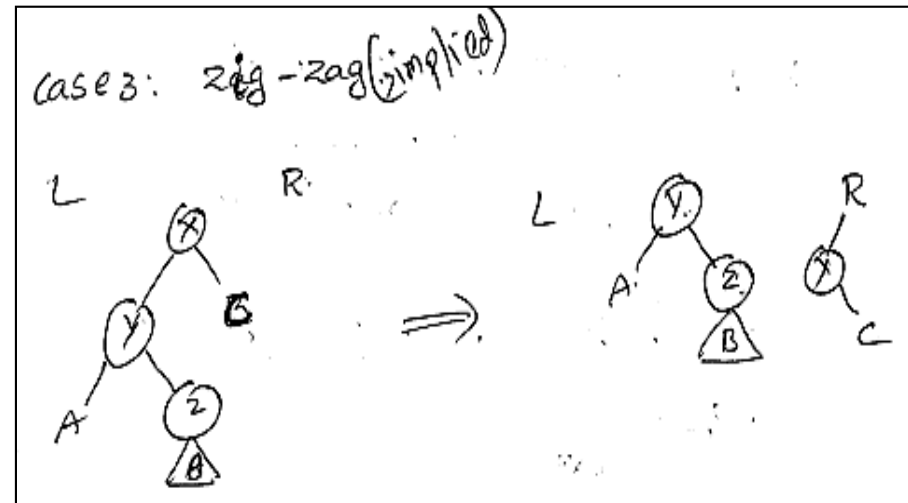
- In top-down splaying, three cases of rotations are applied
- **Zig:**



Zig-Zig:



Zig-Zag:



Splay Operation

- once we performed the final splaying step also (till the required element is the root), a final arrangement should be done to give the resultant tree.
- **Merge:**



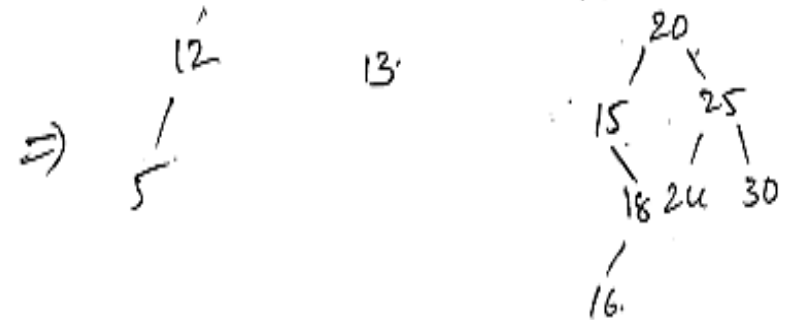
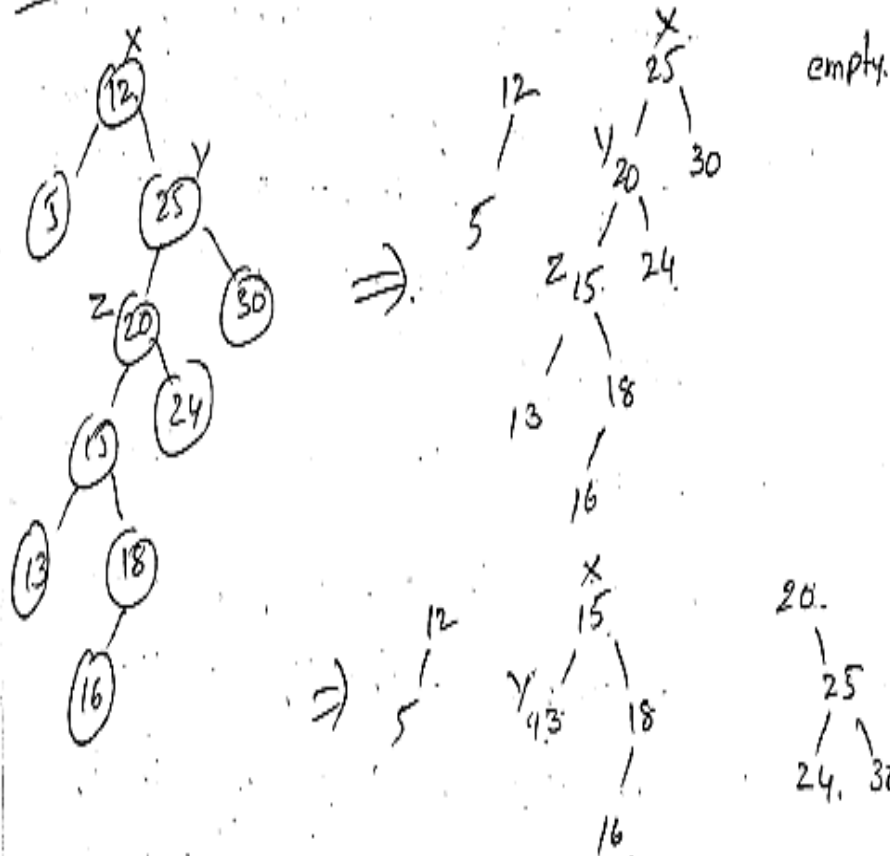
Searching in Top-Down Splay Trees

- **For successful Search**, the search element becomes the root of the tree.
- **For unsuccessful search**, the node where the search ends will become the root of the tree.

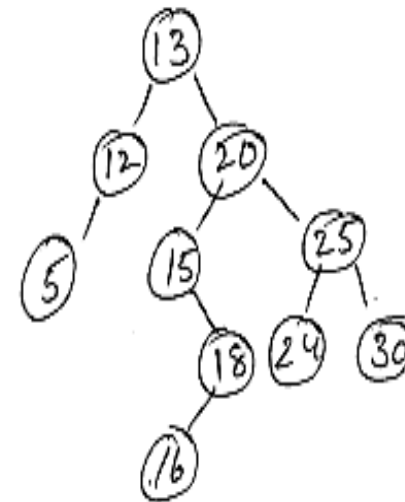
Example for Search in Top-Down Splay Trees

Found Case

Ex search for 13 in the above tree.



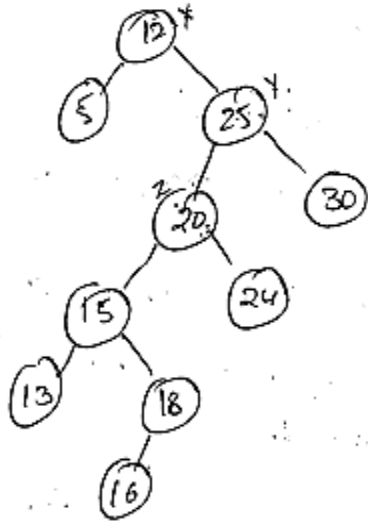
13 found. After splaying the tree is.



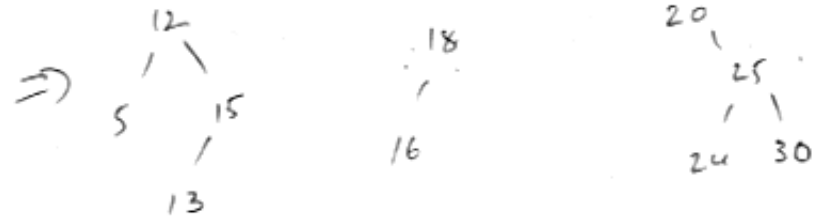
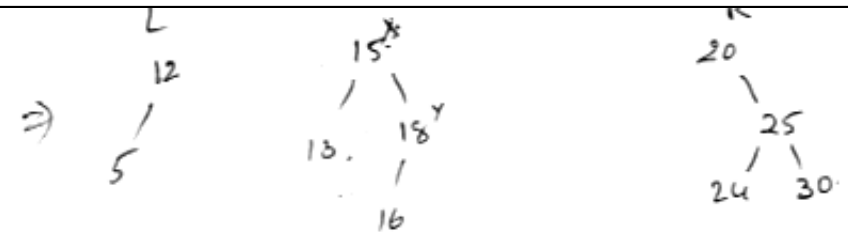
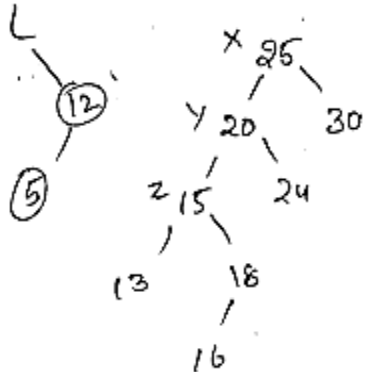
Example for Search in Top-Down Splay Trees

Not- Found Case

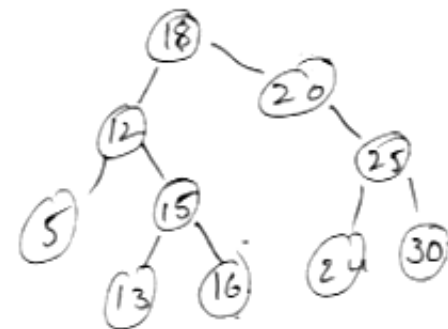
Exo: search for 19 in the following splay tree.



solⁿ:



finally



because of root is 18, element 19 not found

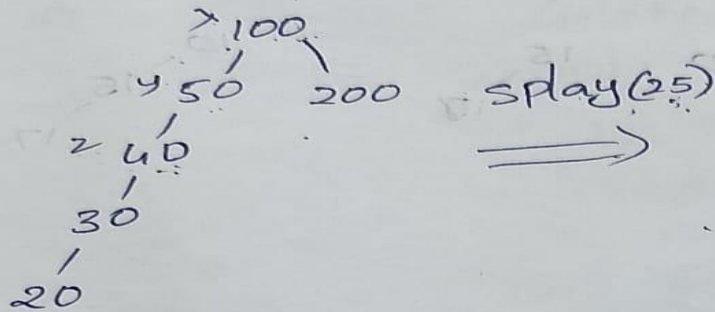
Insertion in Top-Down Splay Trees

Process: Let K be the key to be inserted

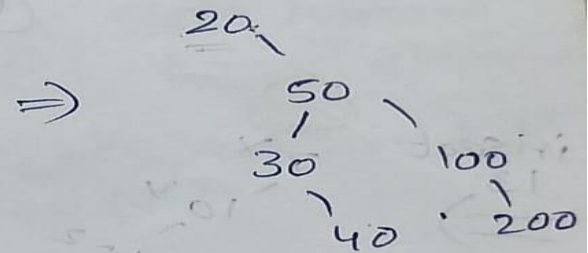
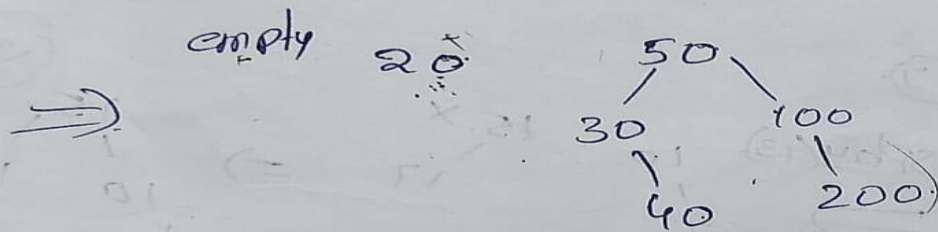
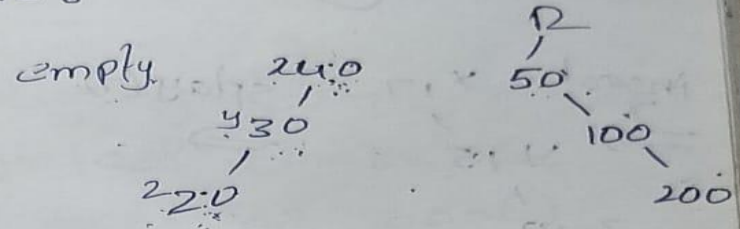
- **Case-1: If Root is null:** Create a new node and insert K.
- **Case-2: if root is not null:**
 - **Splay the tree with the given key access path.**
 - If K is already present in the tree, then k becomes the root of the tree after all splaying. If K is not present then the last accessed leaf node becomes the new root after splaying.
 - **If new root is same as K, the exit.**
 - **Otherwise create a new node with K and compare the root of the tree(after splaying) with K.**
 - **If K is smaller than root:** make root as the right child of K, and make the left child of root as the left child of K.
 - **If K is greater than root:** make root as the left child of K, and make the right child of root as the right child of K.
- **Return Node with K as the new root of the tree (after insertion).**

Example for insert in Top-Down Splay Tree

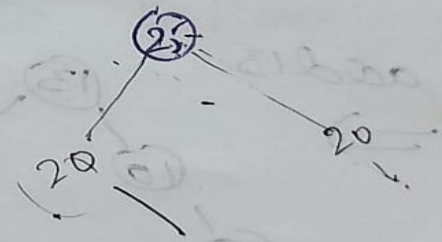
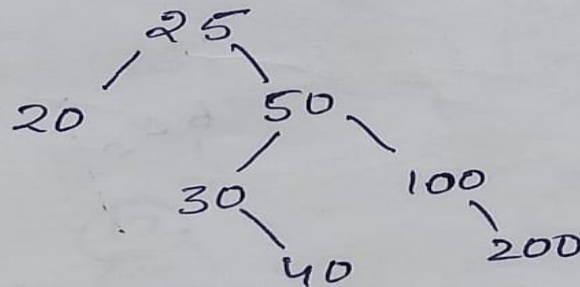
Ex. insert 25 into the following ^{splay} Tree in Top-down manner.



splay(25)

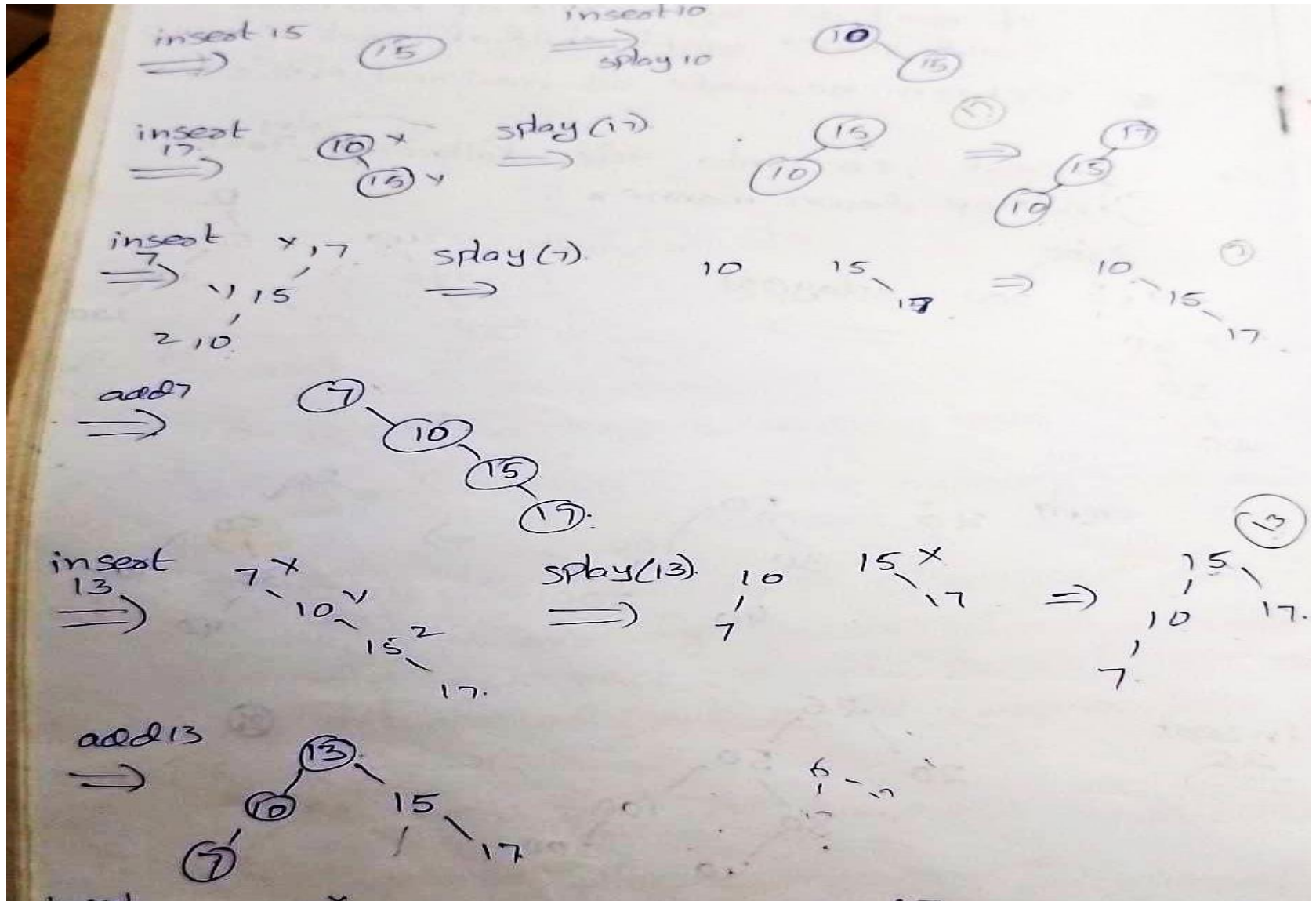


insert
25



Example to Create a Top-Down Splay Tree

Create a top down splay tree with keys 15,10,17,13,14,16,2,12



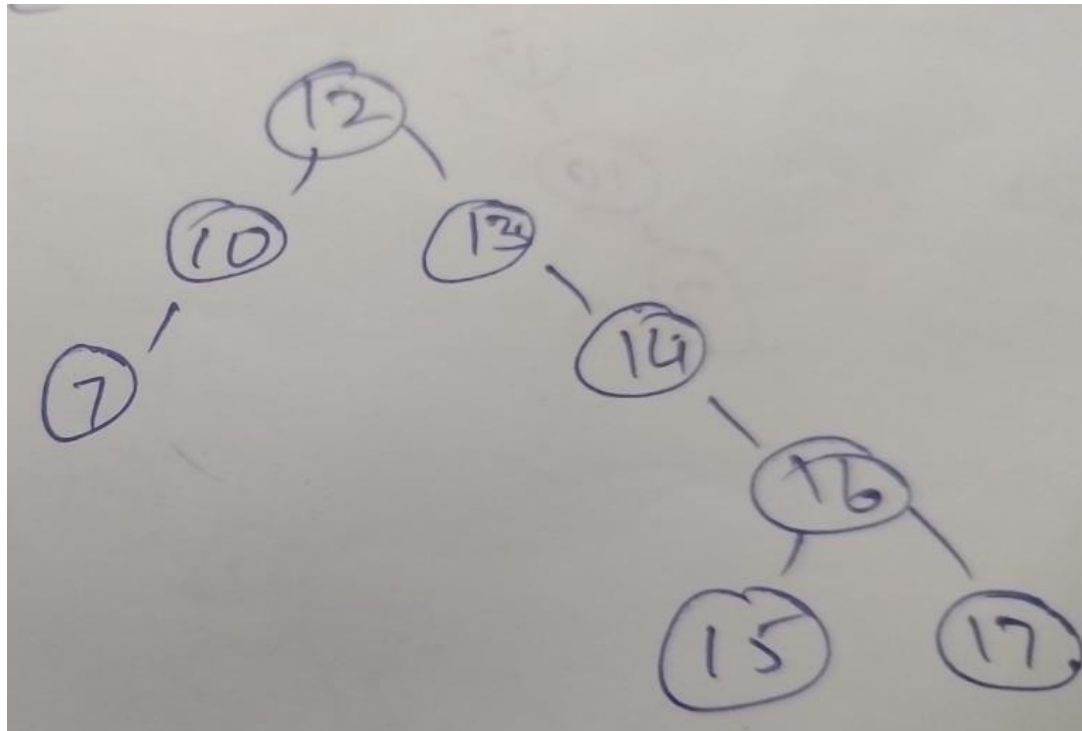
Example for Deletion in Top-Down Splay Trees

Process:

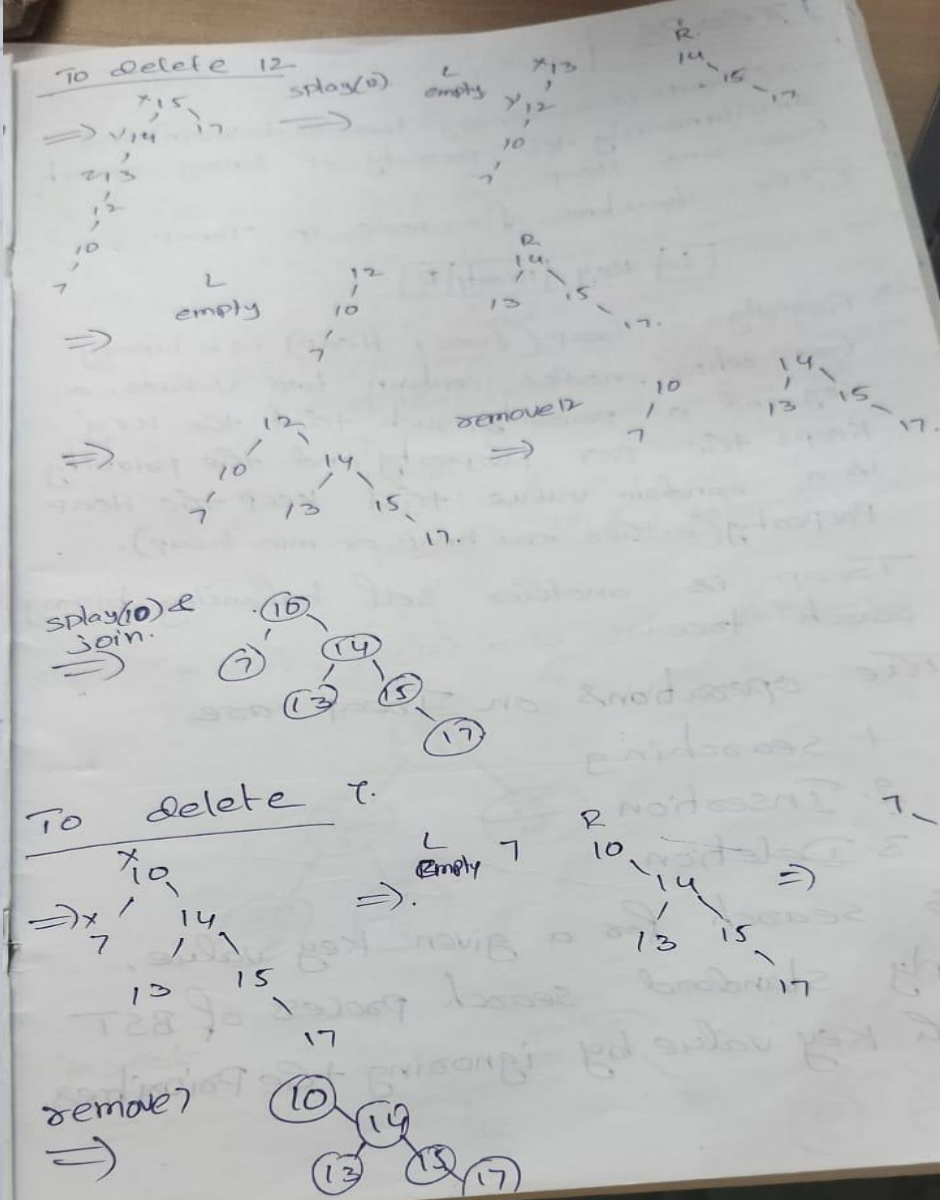
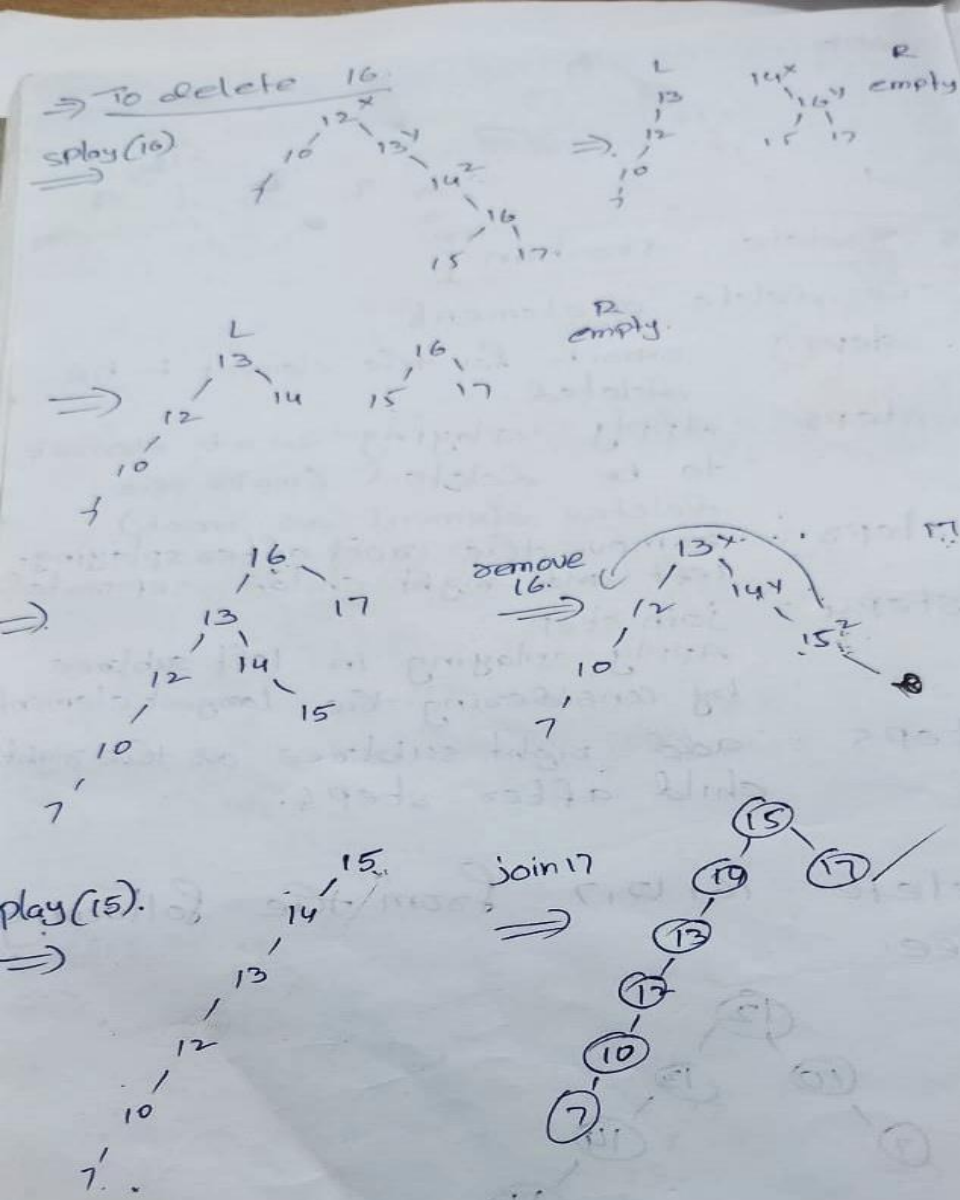
- 1. Apply the splaying with the key to be deleted.
 - If element is there , it becomes root of the tree.
 - Otherwise element not found.
- Remove the root after splaying. (left and right subtrees gets separated)
- Join step: Apply splaying in the left subtree by considering the largest element. Attach the right subtree as the right child to the root after splaying.

Deletion in Top-Down Splay Trees

Delete 16, 12, and 7 from the following tree



Deletion in Top-Down Splay Trees



Summary

- **Definition of Splay Tree**
- **Bottom-up Vs Top-Down Splay Trees**
- **Bottom-Up Splay Trees**
 - **Splaying Rotations (Zig, Zig-Zig, Zig-Zag)**
 - **Operations**
 - Search
 - Insertion
 - Deletion
- **Top-Down Splay Trees**
 - **Splaying Rotations (Zig, Zig-Zig, simplified Zig-Zag)**
 - **Operations**
 - Search
 - Insertion
 - Deletion

“ నీ విజయానికి అడ్డుకునేది...
నీలోని ప్రతికూల ఆలోచనలే..
క్రింద పడ్డొమని ప్రయత్నం ఆపితే
చేసే పనిలో ఎన్నటికీ
విజయం సాధించలేము..”



అబ్దుల్ కలాం