

# File Organization

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# File Organization

Part-1



# Agenda

- Introduction
- Storage Devices
- Secondary Storage devices
- Hardware Mechanism of Disk drive
- Tertiary Storage devices



# Introduction



The collection of data that makes up a computerized database must be stored physically on some computer **storage medium**.



The DBMS software can then retrieve, update, and process this data as needed.



Computer storage media form a **Storage Hierarchy** that includes two main categories:

- Primary storage**
- Secondary storage**
- Tertiary storage**

# Storage Devices

## Primary Storage

- Direct access by CPU.
- Fast access to data
- Limited storage capacity.
- More expensive.
- Volatile
- Main memory & faster cache memory.

## Secondary Storage

- No direct access to CPU
- Slow access to data
- Large capacity
- Less expensive
- Non-Volatile
- Online devices
- Magnetic disk & Flash memory
- Most databases are stored permanently on magnetic disks

## Tertiary Storage

- No direct access to CPU
- Slower access to data
- Large capacity
- Less expensive than disks
- Non-Volatile
- Offline devices
- Magnetic tapes & Optical disks
- Used for backing up databases

# Storage Hierarchy

Capacity

Access latency

Cost per GB

100s B

ns

\$Millions

10s KB

a few ns

\$100s Ks

MBs

10s ns

\$10s Ks

100s MB

100s ns

\$1000s

10s GB

10s ms

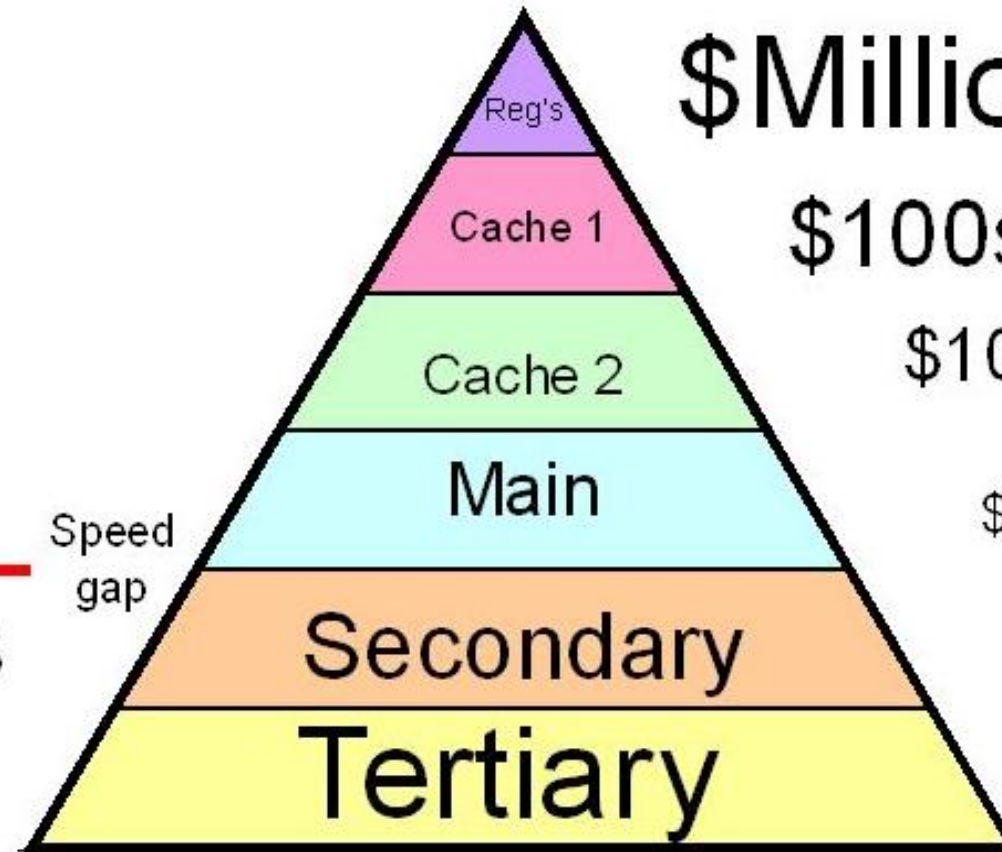
\$10s

TBs

min+

\$1s

Speed  
gap





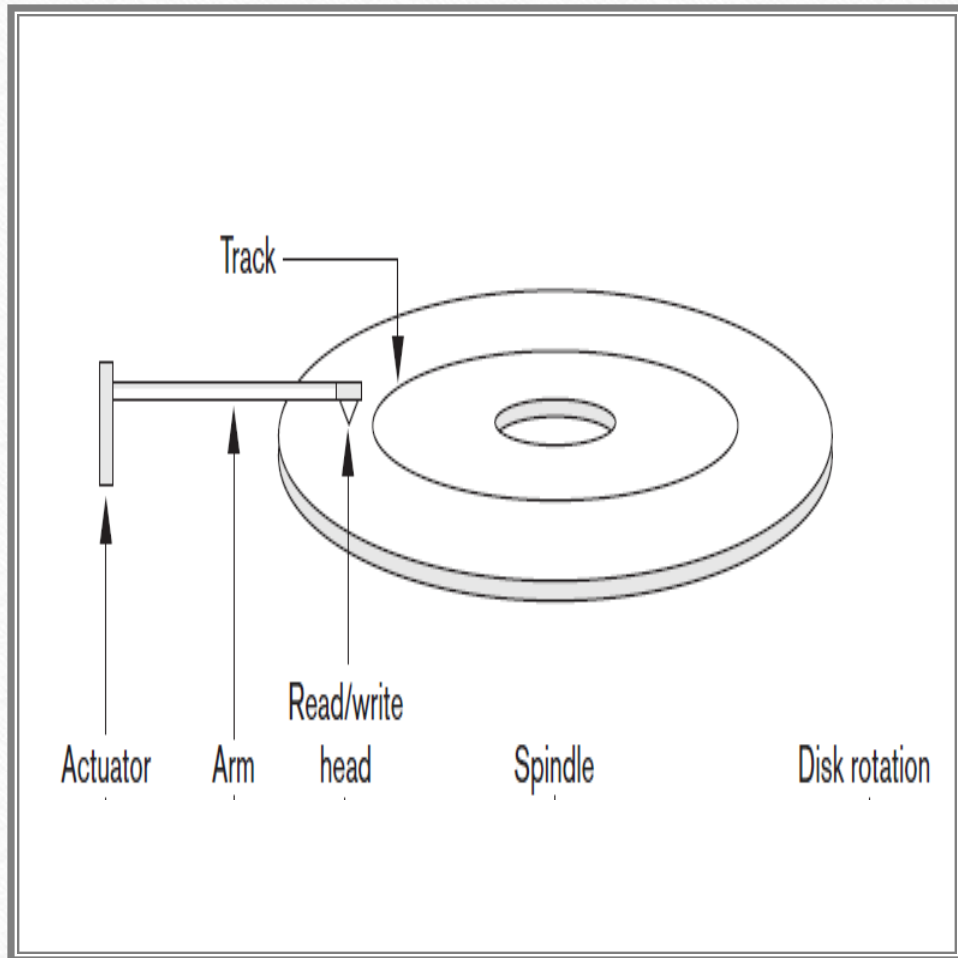
# Secondary Storage Devices

## → Magnetic Disk

- Stores large amounts of data.
- The device that holds the disks is referred to as a **hard disk drive**, or **HDD**.
- Basic unit of data is a **bit**.
- By magnetizing an area on a disk, it can represent a bit value of either 0 or 1.
- Bits are grouped into **bytes** (or **characters**) -- > 4 to 8 bits.
- **Capacity** of a disk = No. of bytes it can store
- Hard disks can hold from several hundred gigabytes up to a few terabytes.

# Hardware description of Disk Devices

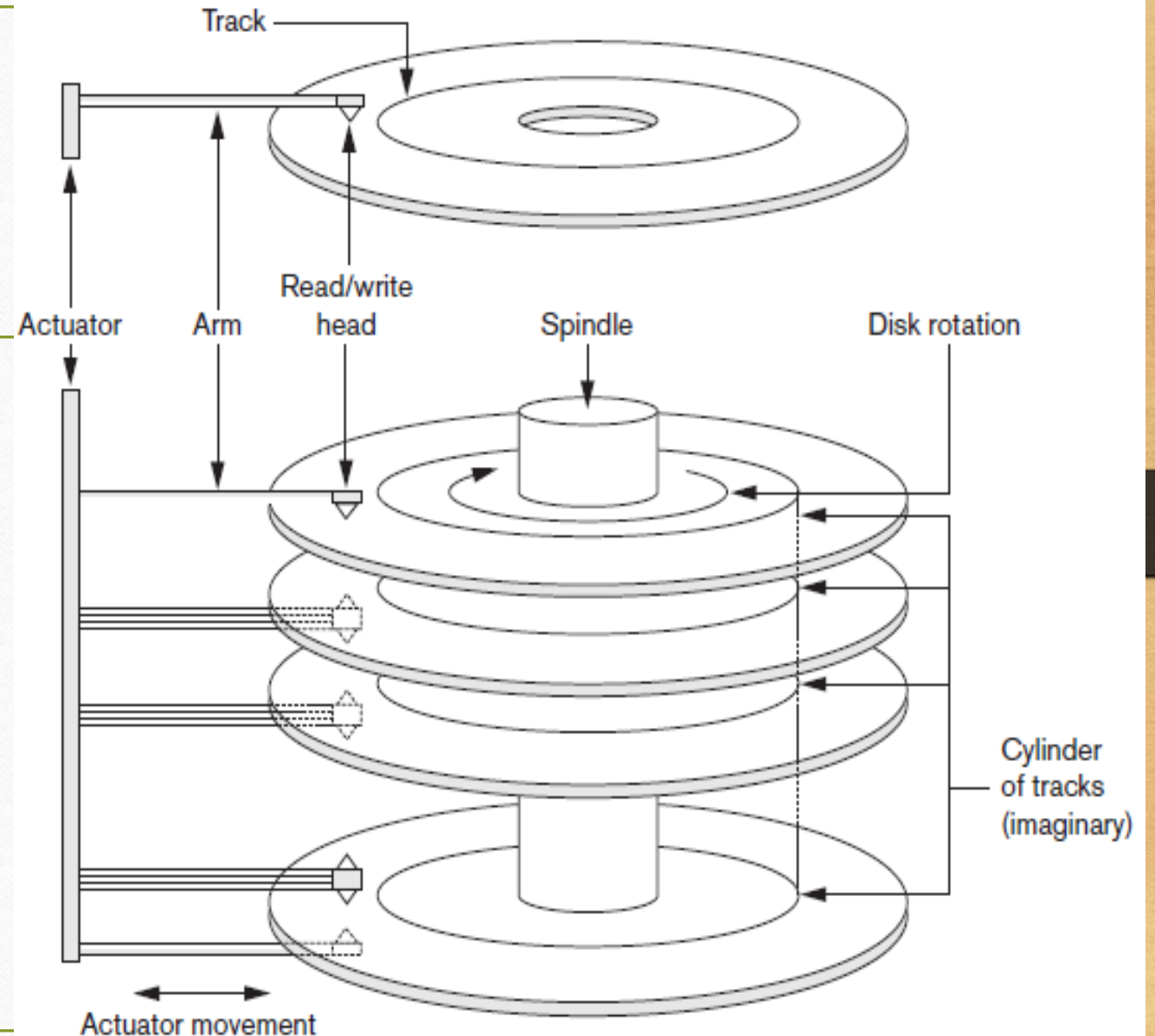
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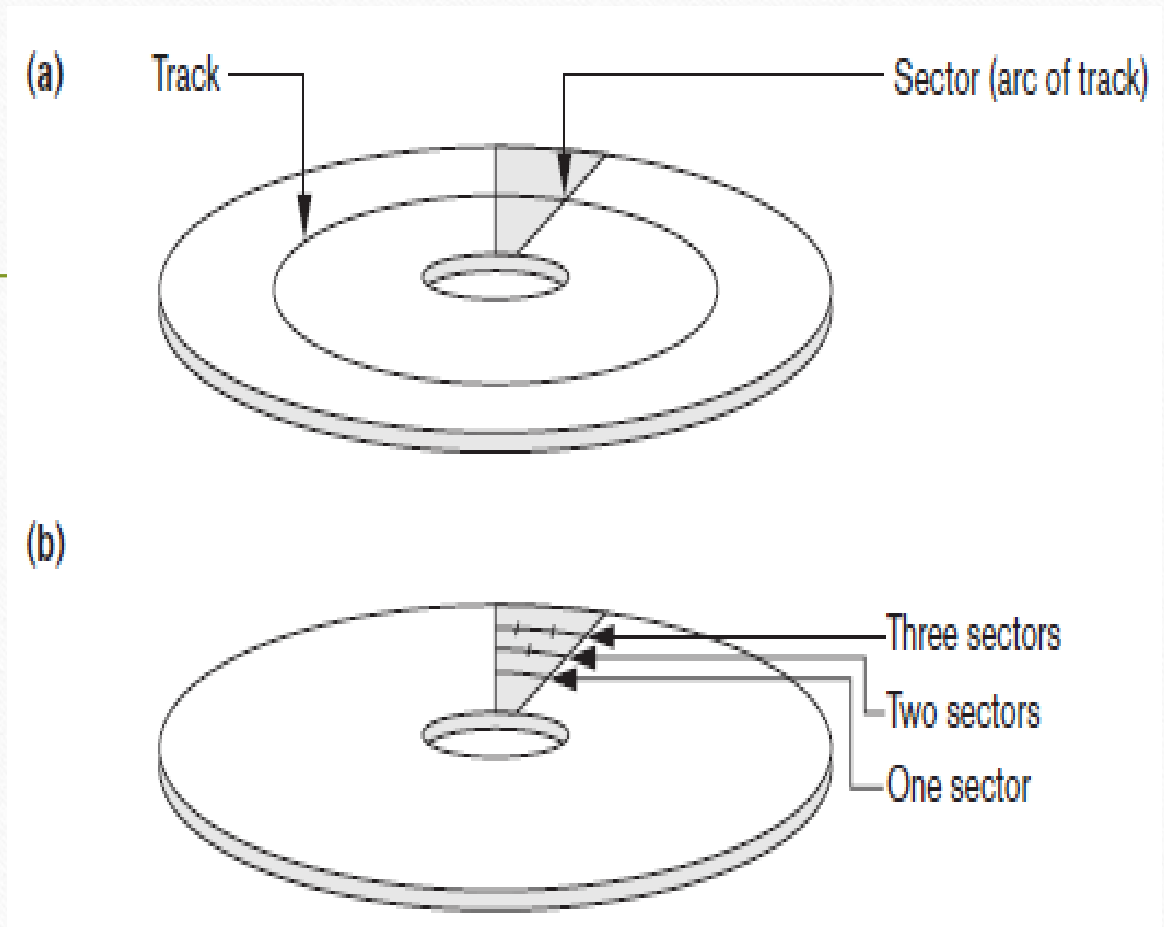
- Made of magnetic material
- Shaped as a thin circular disk
- Protected by a plastic or acrylic cover.
- Single-sided disk and Double-sided disk.
- 3.5" & 2.5" diameter.
- Disks are assembled into a **disk pack**, which may include many disks and therefore many surfaces.

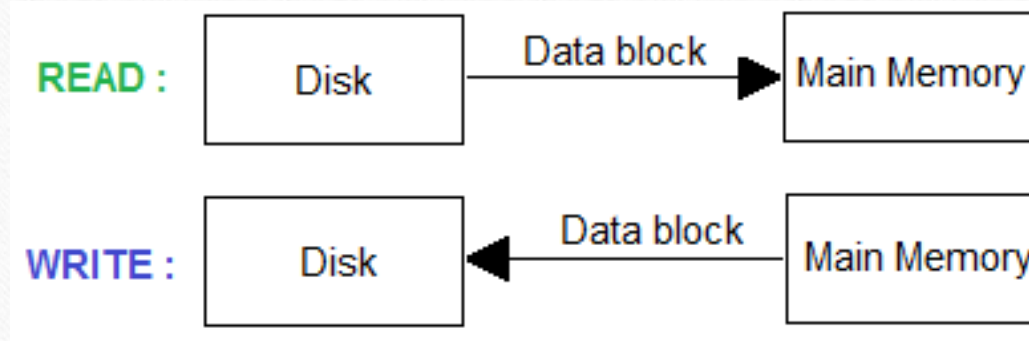


- **Disk Pack:** Which include multiple disks and thus many surfaces.
- **Tracks:** Information is stored on a disk surface in concentric circles of small width, where each circle is called a **track**.
- **Cylinder:** Tracks with the same diameter on the various surfaces are called a **cylinder**.



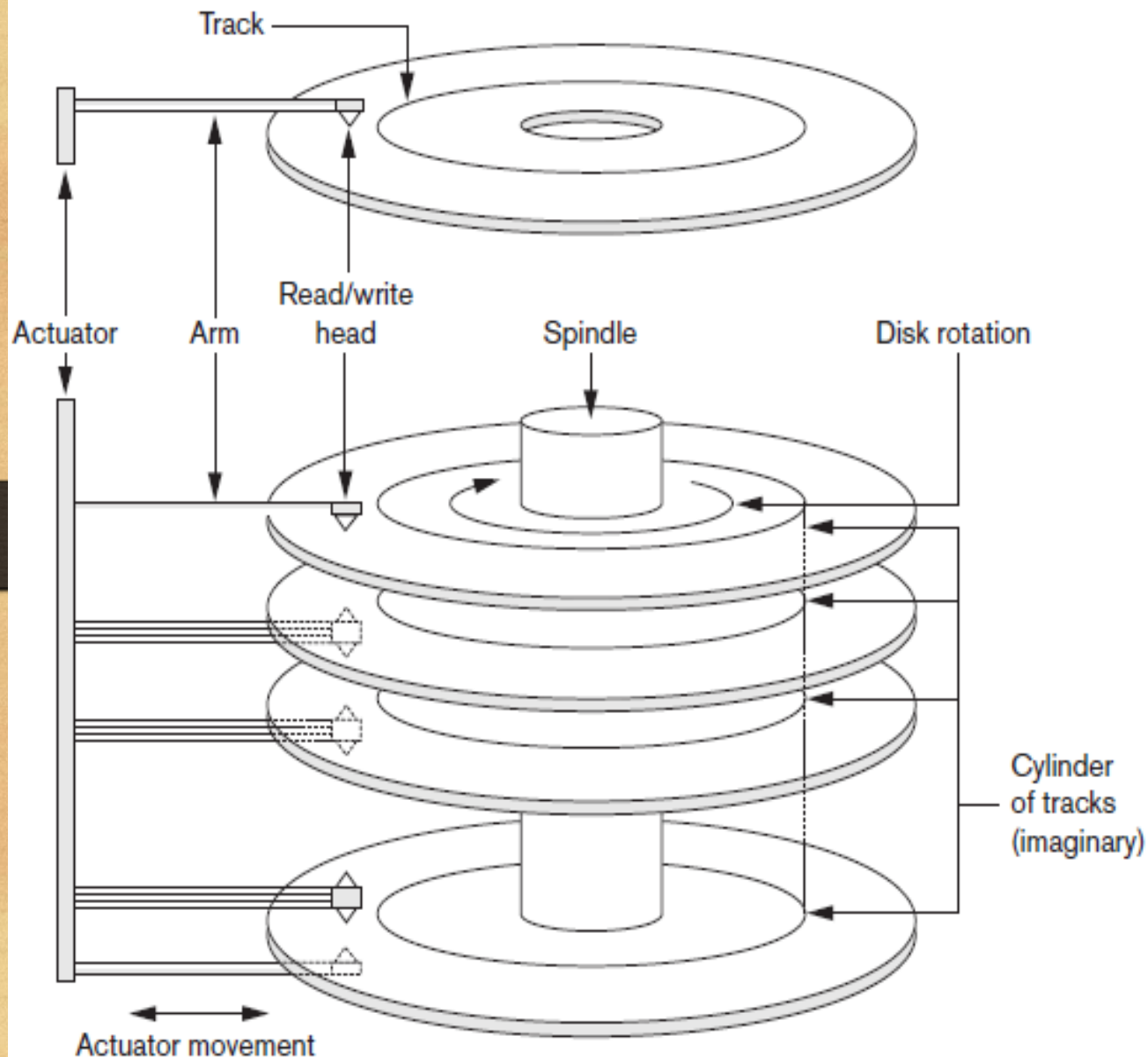
- **Sectors:** Track usually contains a large amount of information, so it is divided into smaller blocks called sectors.
- **Disk Block:** The division of a track into equal-sized **disk blocks** (or **pages**) is set by the operating system during disk formatting (or initialization).
- Sectors subdivided or combined into blocks during initialization.





- **Data Transfer:** Data transfer b/w main memory and disk takes place in units of disk blocks.
- **Hardware Block Address** = (cylinder number, track number, block number)
- **Logical block address(LBA)** = number between 0 and n (assuming the total capacity of the disk is  $n + 1$  blocks).
- **Buffer:** a contiguous reserved area in main memory that holds one disk block.
- **Cluster**(several contiguous blocks) may be transferred as a unit. In this case, the buffer size is adjusted to match the number of bytes in the cluster.





# Hardware Mechanism of Disk drive

Disk Drive

Read/Write head

Mechanical Arm

Actuator

Disk Types

Fixed-head disks

Movable-head disks

# Interfacing Disk Drives to Computer Systems

**Disk Controller** : Controls the disk drive and interfaces it to the computer system.

## Seek time

- Time taken by the disk controller to position the read/write head on the correct track.

## Rotational delay or latency

- Rotational time taken to position the beginning of the desired block under the read/write head.

## Block transfer time

- Time taken to transfer the data

## Bulk Transfer

- Transfer several consecutive blocks on the same track or cylinder.

# Total Block Transfer Time Calculation

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*Total time = Seek time + Rotational delay + Block transfer time*

*Bulk transfer rate = Seek time + Rotational delay + (n \* Block transfer time)*



# Tertiary Storage Devices

## → Magnetic Tapes

- Sequential access devices .
- high-capacity.
- Data is stored on reels. Similar to audiotapes or videotapes.
- A tape drive is required
- A read/write head is used to read or write data blocks on tape.
- Blocks may be larger than those for disks
- Slow access devices
- Used for Backing up the database



Storage Devices

Magnetic Disks

Hardware Mechanism of Disk  
drive

Magnetic Tapes

# Summary of Part-1



# File Organization

## Part-2



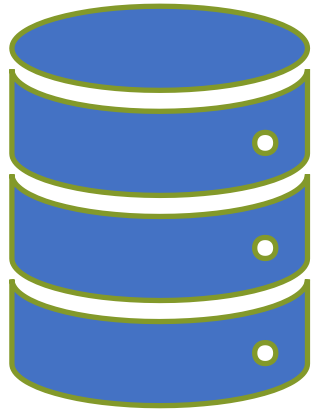
# File Organization

Part-2



# Agenda

- Buffer Management
- Various ways of formatting and storing file records on disk.
- Various types of operations that are typically applied to file records.
- Three primary methods for organizing file records on disk:
  - unordered records
  - ordered records
  - hashed records.




# Buffer Management

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# Buffering of Blocks

**Multiple Buffers:** When several blocks need to be transferred from disk to main memory, several buffers can be reserved in main memory to speed up the transfer.

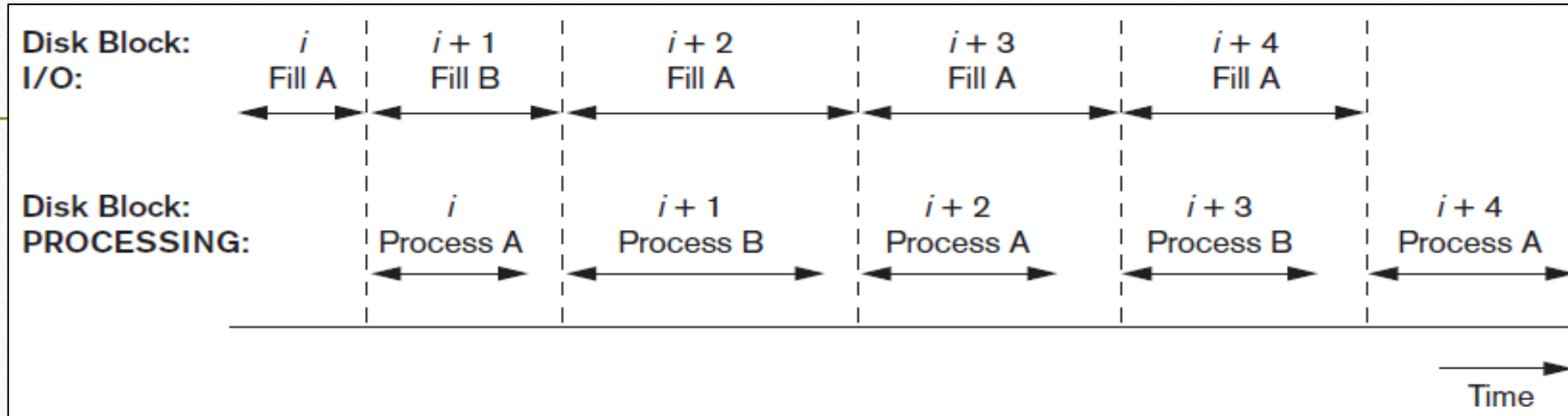


**Disk I/O Processor:** Controller that takes care of I/O operations.



**Parallel processing of Buffers:** While one buffer is being read or written, CPU can process data in the other buffer. CPU processing and Disk I/O processing can be done parallelly.

# Double Buffering



- Reading and processing can proceed in parallel.
- The CPU can start processing a block once its transfer to main memory is completed; at the same time, the disk I/O processor can be reading and transferring the next block into a different buffer.

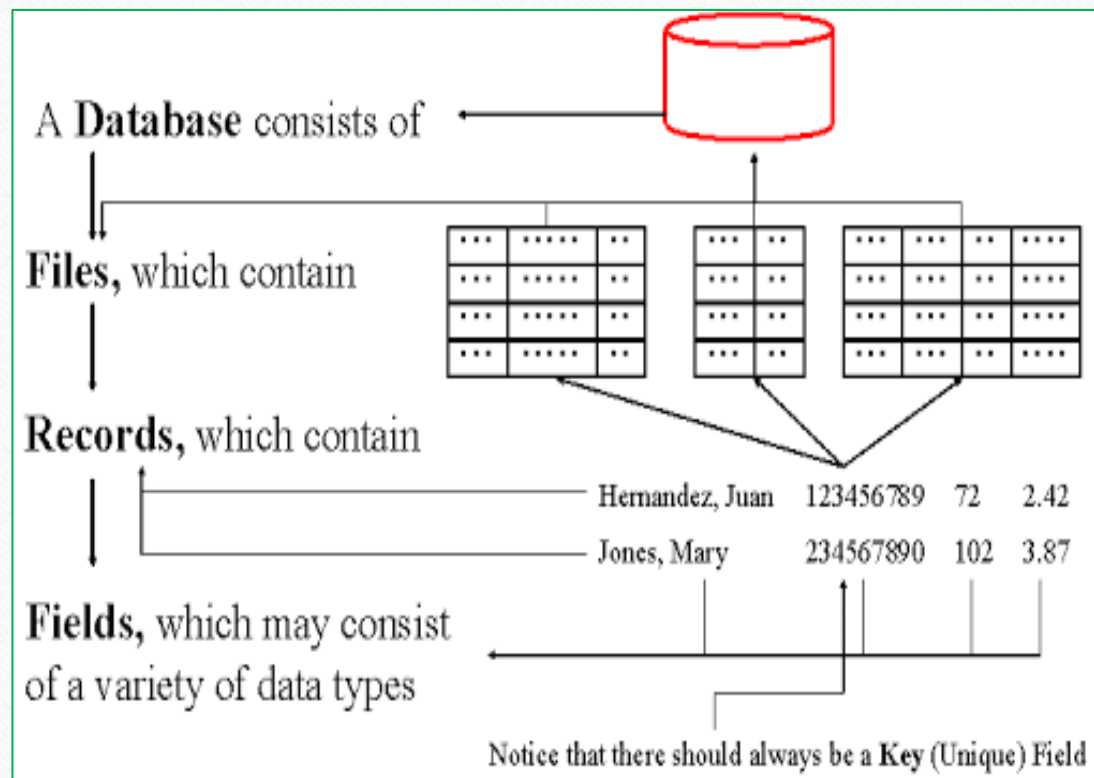
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# Placing File Records on Disk





# Files, Fixed-Length Records, and Variable-Length Records

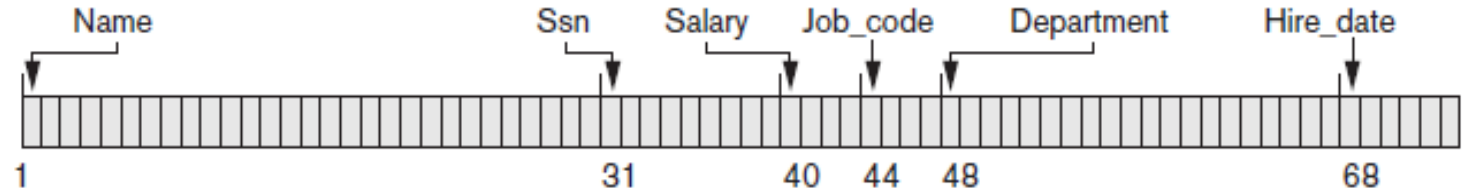


- **File:** a sequence of records. All records in a file are of the same record type.
- **Fixed-length records:** Every record in the file has exactly the same size (in bytes).
- **Variable-length records:** Different records in the file have different sizes.

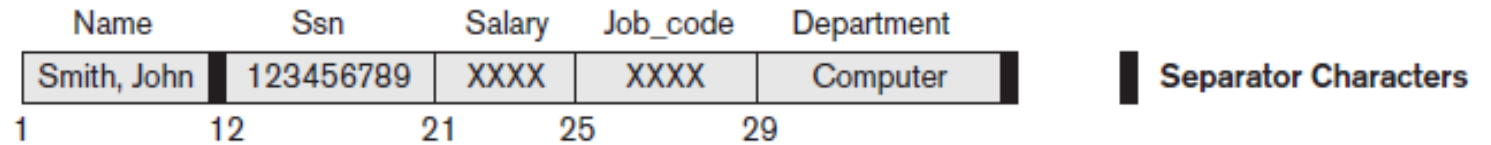
# Three Storage Formats

- Fixed Length Record
- Variable Length Record with Separator
- Variable Length Record with multiple Separators

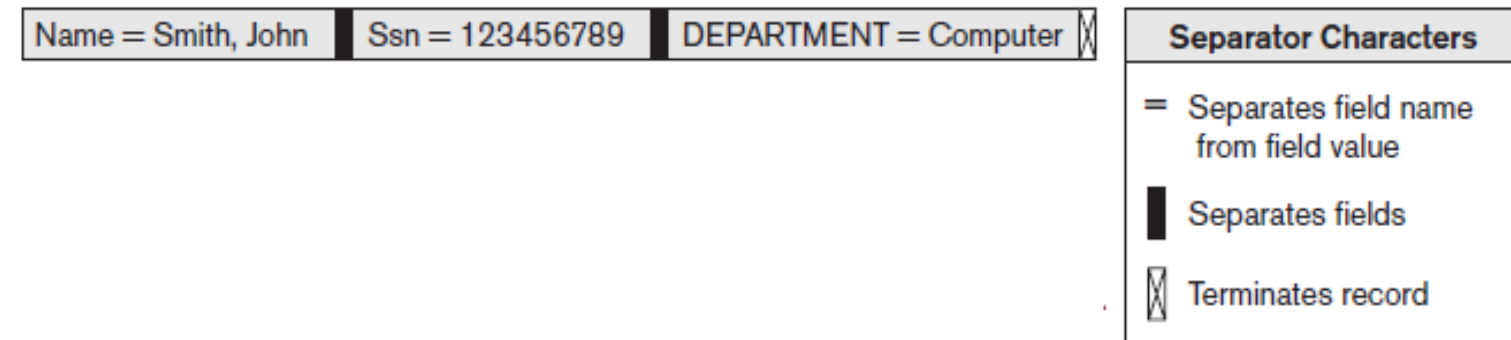
(a) A fixed-length record with six fields and size of 71 bytes.



(b) A record with two variable-length fields and three fixed-length fields



(c) A variable-field record with three types of separator characters



# Record Blocking

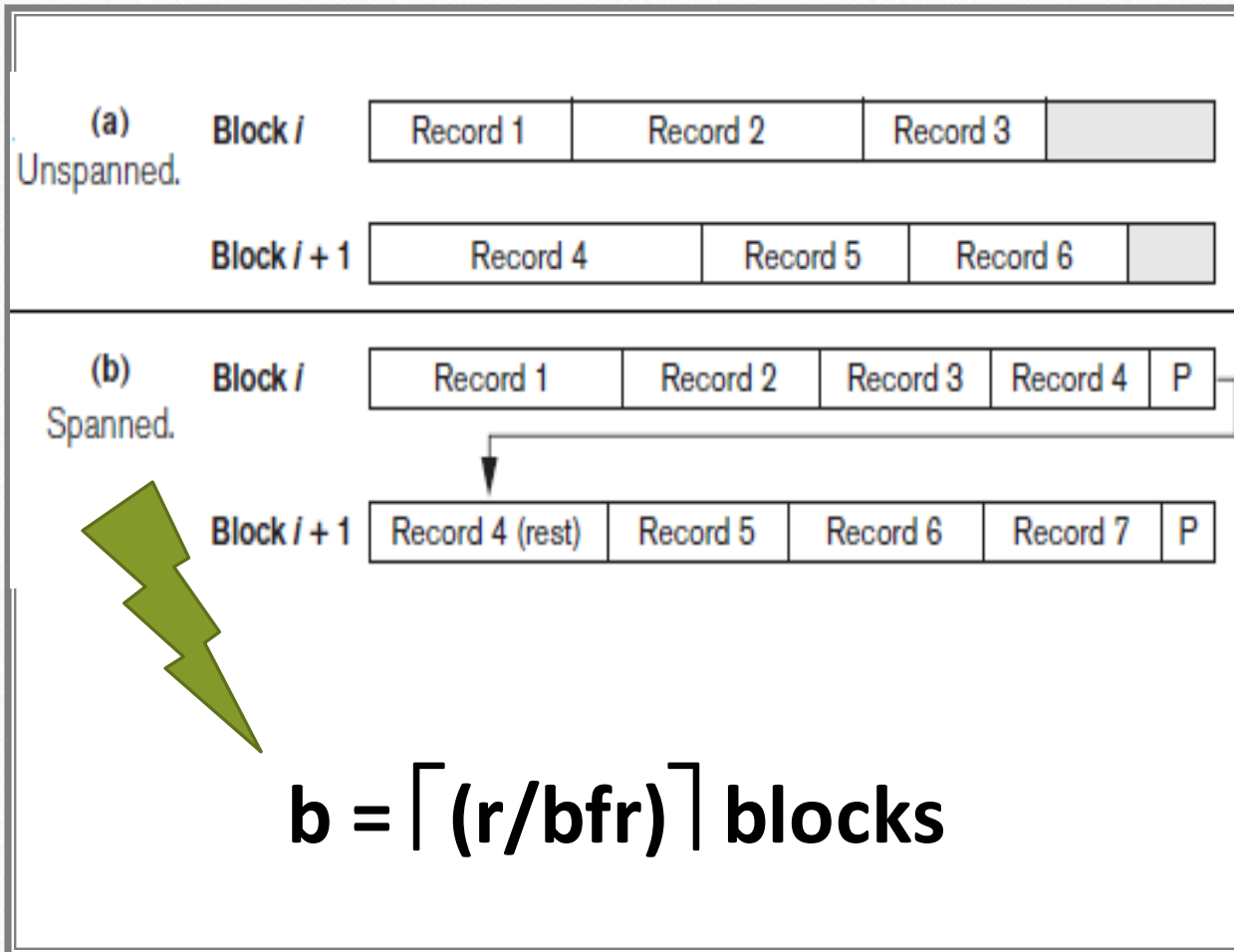
- When the **block size** > **record size**, each block will contain numerous records
- When the **record size** > **block size**, each record occupies multiple blocks.
- For a file of fixed-length records of size  $R$  bytes, with  $B \geq R$ , we can fit

**bfr (blocking factor) =  $\lfloor B/R \rfloor$  records per block**

**Unused space in each block =  $B - (\text{bfr} * R)$  bytes**



## Spanned & Unspanned Records



- **Unspanned Records:**

- A record is found in one and only one block.
- Records do not span across block boundaries.
- Used with fixed-length records having  $B > R$

- **Spanned Records:**

- Records are allowed to span across block boundaries.
- Used with variable-length records having  $R > B$

# Allocating File blocks on Disk

## Contiguous Allocation

- The file blocks are allocated to consecutive disk blocks.
- Reading a file is very fast.
- But expanding a file is difficult.

## Linked Allocation

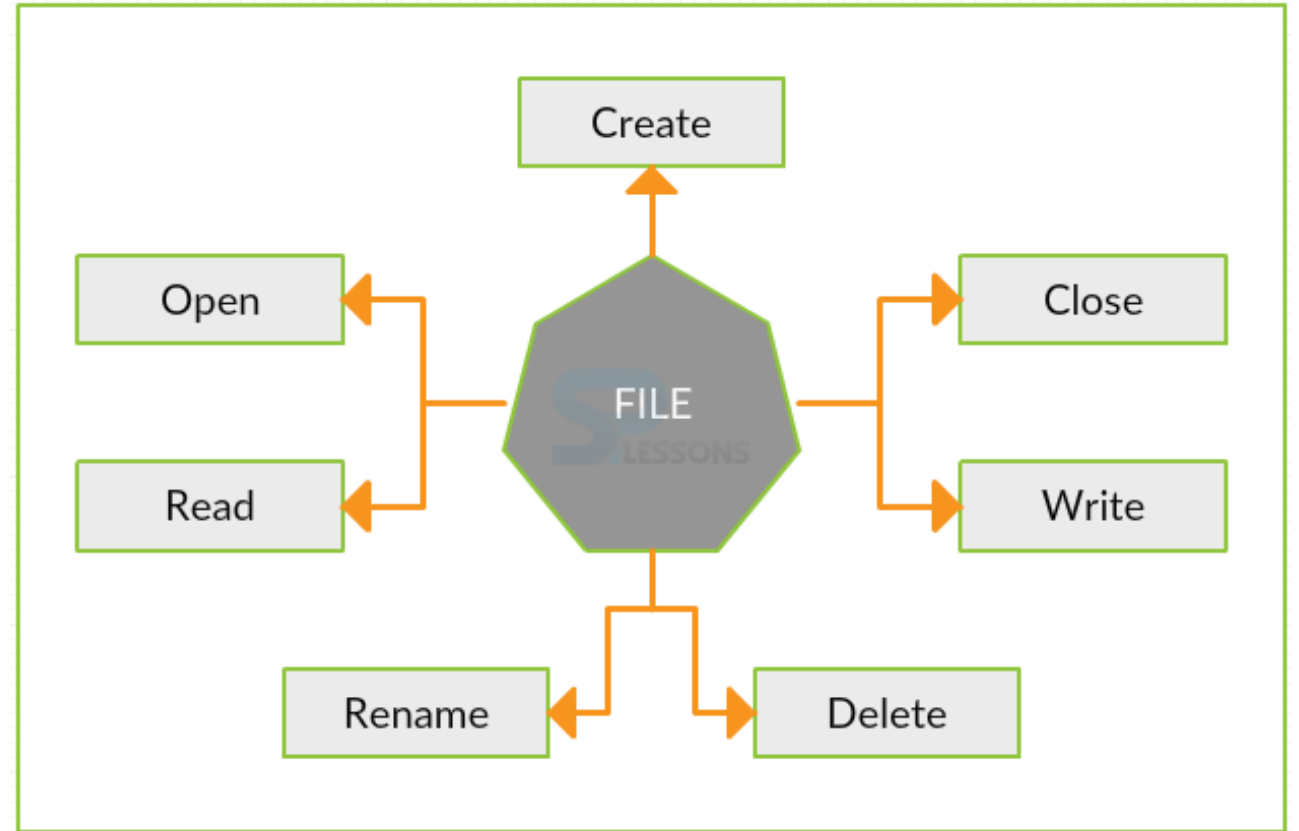
- Each file block contains a pointer to the next file block.
- Easy to expand a file
- But reading a file is slow

## Indexed Allocation

- One or more **index blocks** contain pointers to the actual file blocks.

*It is also common to use combinations of these techniques.*

# Operations on Files





# File Operations

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- Open
- Reset
- Find (or Locate)
- Read (or Get)
- FindNext
- Delete
- Modify
- Insert
- Close
- Scan
- FindAll
- Find (or Locate) n
- FindOrdered
- Reorganize

# **Files of Unordered Records**

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## **and**

## **Ordered Records**

# Files of Unordered Records and Ordered Records

## Files of Unordered Records

- Also called as **Heap Files** or **Pile Files**.
- Records are stored in the same order in which they are created.
- **Insert operation:** *Fast*
- **Search (or Update) operation:** *Slow*
- **Delete operation:** *Slow*
- Deleting a record creates a hole in the page.

## Files of Ordered Records

- Also called as **Sorted Files**.
- **Ordering Field:** Records are sorted on the values of one or more fields.
- **Insert operation:** *Poor*
- **Search (or Update) operation:** *Fast*
- **Delete operation:** *Fast*



# Summary of Part-2

Buffer Management

Placing File Records on Disk

Operations on Files

Files of Unordered and Ordered Records

