

Updation of Certain KYC Attributes

Dear Sir / Madam,

Date: _____

I/we the undersigned hereby request Spark Family Office and Investment Advisors (India) Private Limited to update following details in my/our aforesaid trading account:

[illegible]

PLEASE FILL ALL THE DETAILS IN BLOCK LETTERS IN ENGLISH. Please mark (✓) on the appropriate column.

Account Holder's Details

| | | |
|------------------------|---|---|
| 1. Annual Income Range | As on Date: _____ Income should not be older than 1 year) | As on Date: _____ (Net worth should not be older than 1 year) |
| | For Individual <input type="checkbox"/> 1. Below Rs.1 lakh <input type="checkbox"/> 2. Between Rs.1 lakh to Rs.5 lakhs <input type="checkbox"/> 3. Between Rs.5 lakhs to Rs.10 lakhs <input type="checkbox"/> 4. Between Rs.10 lakhs to Rs.25 lakhs <input type="checkbox"/> 5. More than Rs.25 lakhs (Amount in Rs.) _____ (If more than 1 crore then please mention approx. Amount) | For Non-Individual <input type="checkbox"/> 1. Below Rs.1 lakh <input type="checkbox"/> 2. Between Rs.1 lakh to Rs.5 lakhs <input type="checkbox"/> 3. Between Rs.5 lakhs to Rs.10 lakhs <input type="checkbox"/> 4. Between Rs.10 lakhs to Rs.25 lakhs <input type="checkbox"/> 5. Between Rs.25 lakhs to Rs.1 crore <input type="checkbox"/> 6. More than Rs.1 crore Net worth (Amount in Rs.) _____ (If more than 1 crore then please mention approx./amount) |

| | 1 st Holder | 2 nd Holder | 3 rd Holder |
|----------------------------------|--|--|--|
| 2. PAN No. | | | |
| Is your PAN Aadhar Seeded | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 3. Mobile No. | <div> <div><input type="text"/></div> <div>-</div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> </div> <p>The mobile belongs to me <input type="checkbox"/> or <input type="checkbox"/> my family*</p> <p>Name of family member _____</p> | <div> <div><input type="text"/></div> <div>-</div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> </div> <p>The mobile belongs to me <input type="checkbox"/> or <input type="checkbox"/> my family*</p> <p>Name of family member _____</p> | <div> <div><input type="text"/></div> <div>-</div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> </div> <p>The mobile belongs to me <input type="checkbox"/> or <input type="checkbox"/> my family*</p> <p>Name of family member _____</p> |
| 4. Email ID | <p>Email ID: _____</p> <p>The email belongs to me <input type="checkbox"/> or <input type="checkbox"/> my family*</p> <p>Name of family member _____</p> | <p>Email ID: _____</p> <p>The email belongs to me <input type="checkbox"/> or <input type="checkbox"/> my family*</p> <p>Name of family member _____</p> | <p>Email ID: _____</p> <p>The email belongs to me <input type="checkbox"/> or <input type="checkbox"/> my family*</p> <p>Name of family member _____</p> |
| 5. Address Details | <p>Address: _____</p> <p>_____</p> <p>_____</p> <p>City: _____ State: _____</p> <p>Country: _____ Pin Code: _____</p> | <p>Address: _____</p> <p>_____</p> <p>_____</p> <p>City: _____ State: _____</p> <p>Country: _____ Pin Code: _____</p> | <p>Address: _____</p> <p>_____</p> <p>_____</p> <p>City: _____ State: _____</p> <p>Country: _____ Pin Code: _____</p> |

*Family means spouse, dependent children, and dependent parents.

Declaration: I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief. In case any of the above-mentioned information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

| | | | |
|--------------------|----------------------------|-----------------------------|----------------------------|
| Client Name | <i>First / Sole Holder</i> | <i>Second / Sole Holder</i> | <i>Third / Sole Holder</i> |
| Signature | Signature | Signature | Signature |

Any one Proof Required from the following list (Self attested by client and all joint holders, if any):

Address details: Copy of Aadhar card, Passport, Voter ID card, Driving license, Bank passbook, Electricity bill / Land line, Telephone bill (not more than 3 months old).

Net worth/Income details: i. Copy of latest ITR Acknowledgement ii. Copy of latest Annual Accounts iii. Net worth certificate by a CA/CS/ICWA* iv. Copy of Form 16 in case of salary income or Latest three-month Salary Slip v. Demat Holding statement vi. Any other relevant documents substantiating ownership of assets.

As clarified by the SEBI, customers can have same mobile number or email id within the family only. SEBI has defined family to include only 1) Self 2) Spouse 3) Dependent Parents 4) Dependent Children.

In case of non-individual clients, the mobile number or email of Director/ Major Shareholder/ Partner/ Trustee can only be given. The common mobile number/ email id should not belong to Authorized Signatory, CA, Sub broker, Dealer, etc. Further, within a group of companies/ non individual clients shall not be allowed to use the same mobile number/ email id.



No. 1, 3rd Floor, First Crescent Park Road
Gandhi Nagar, Adyar, Chennai – 600 020 India | Ph: 044 4344 0080/90

| For Office use only: | |
|----------------------------------|-------------------------------------|
| Document Received by | Client Signature Verified By |
| Name: | Employee Name: |
| Date: Time: | Employee Code: |

| Company Seal & Signature |
|--------------------------|
| |

----- (Please Tear Here) -----

ACKNOWLEDGMENT RECEIPT

We hereby acknowledge the receipt of the your instruction for modification of the following Account subject to verification:

| | | | | | | | | | | | | | | | | | | |
|---------------------------------------|--|----------------------------------|--|---|---|---|---|---|---|---|--|--|--|--|--|--|--|--|
| Client Name: | Trading Code: | DP ID | 1 | 2 | 0 | 9 | 0 | 1 | 0 | 0 | | | | | | | | |
| Updating request for (Specify reason) | <input type="checkbox"/> Annual Income Range / Net worth | <input type="checkbox"/> Address | <input type="checkbox"/> Contact Details (Mobile No. and/or E-mail ID) | | | | | | | | | | | | | | | |

Your request will be processed within a tentative period of 7 days from the date of receipt or complete documents. In case of queries regarding the status of the request, We request you to call on **Phone No.: 044 4344 0080/90**. Demat related complaints write at dp.operations@sparkcapital.in

For any other queries or complaints write at investorgrievance.pwm@sparkcapital.in
No. 1, 3rd Floor, First Crescent Park Road, Gandhi Nagar, Adyar, Chennai – 600 020 India | Phone No.: 044 4344 0080/90