

# Sequence to Sequence Models

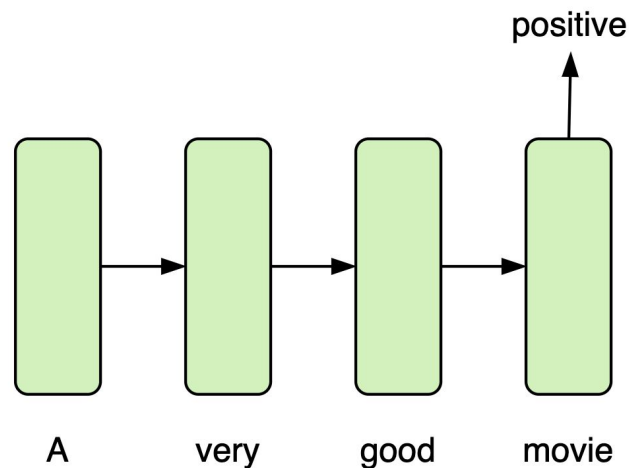
Gustavo Aguilar

# Outline

- Quick overview
- Encoder-decoder framework
- Attention mechanisms
- Applications
- Other attention methods
- Questions

# What we know so far...

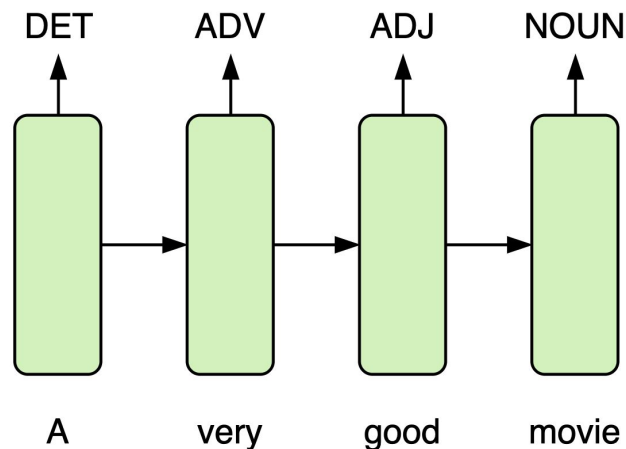
- **Document classification**
- Sequence labeling
- Language modeling



$$P_{\theta}(y \mid x_1, x_2, \dots, x_n)$$

# What we know so far...

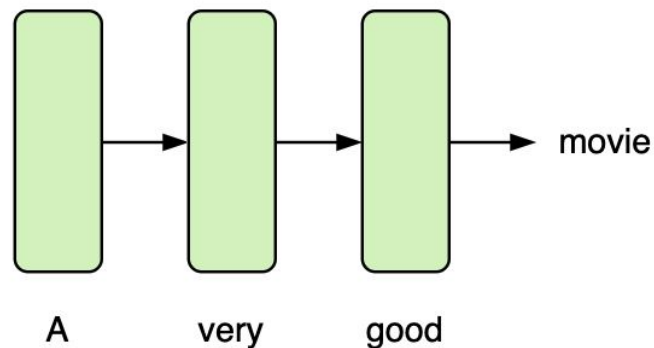
- Document classification
- **Sequence labeling**
- Language modeling



$$P_{\theta}(y_1, y_2, \dots, y_n \mid x_1, x_2, \dots, x_n)$$

# What we know so far...

- Document classification
- Sequence labeling
- **Language modeling**



$$P_{\theta}(x_i \mid x_1, x_2, \dots, x_{i-1})$$

# What we know so far...

But what about these cases?

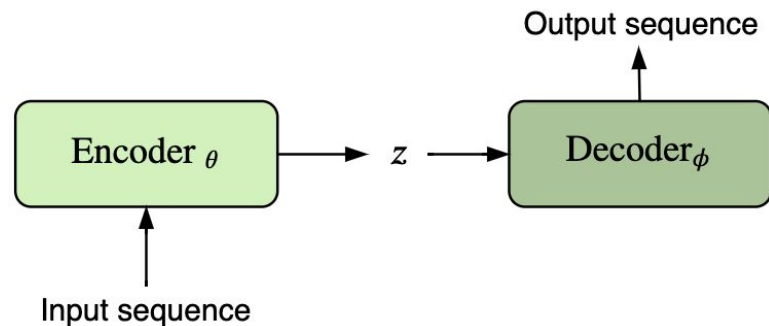
- Input length  $\neq$  output length
- Input and output not aligned
- Unknown output length

For example:

- Translating languages
- Answering questions
- Summarizing passages
- Chatting with a bot

# Sequence to sequence (seq2seq) models

- The encoder
  - process the **input sequence**
  - returns a single **latent vector  $z$**
- The decoder
  - takes the **latent vector  $z$**
  - generates the **output sequence**



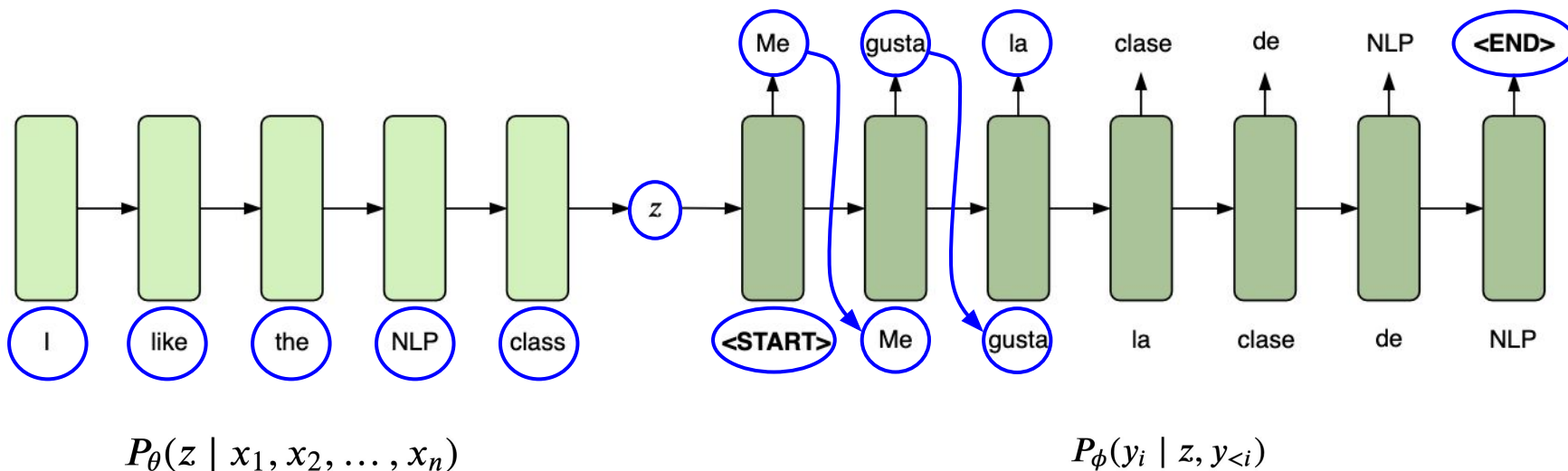
$$P_{\theta}(z \mid x_1, x_2, \dots, x_n)$$

$$P_{\phi}(y_1, y_2, \dots, y_m \mid z)$$

"Sequence to Sequence Learning with Neural Networks" (2014)  
Ilya Sutskever, Oriol Vinyals, Quoc V. Le

# A closer look to seq2seq models

- **English:** I like the NLP class
- **Spanish:** Me gusta la clase de NLP





# Any potential problem with this model?

- Compressing very long sequences into  $z$
- The decoder struggles finding the relevant parts from the input only using  $z$
- Hard to recover when the initial decoded tokens are wrong

Any idea to handle those issues?

# The attention mechanism

- When decoding, pay attention to important parts of the input (not only  $z$ )
  - E.g., to translate to the word "clase", focus on the word "class"
  - Use probabilities to weight the words

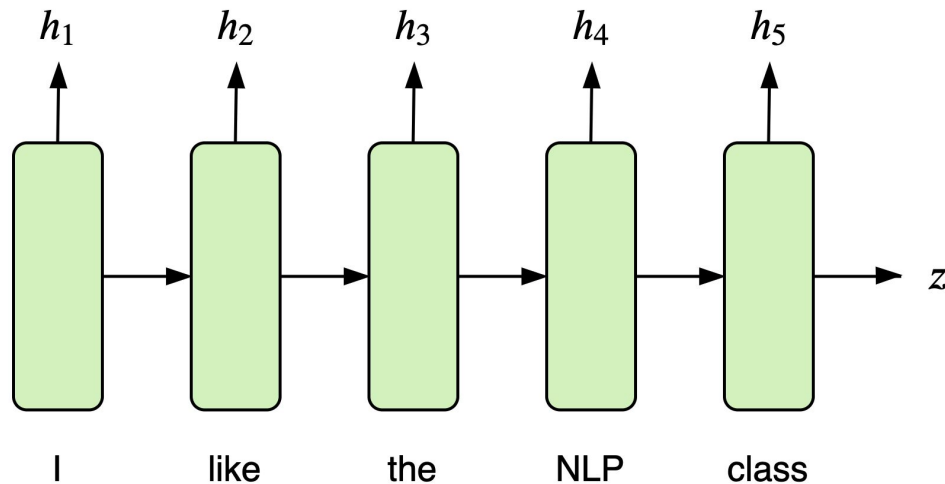
Attention steps:

1. Get the **encoder outputs** and the **decoder hidden vector**
2. Define a **scoring function** that uses both variables
3. Convert the scores into **probabilities**
4. Weight the **encoder outputs** with the resulting **probabilities**
5. **Sum** across the **weighted outputs**
6. Combine the **weighted sum** with the **decoder hidden vector**

# The attention mechanism

- Get the context vectors

$$h = [h_1, h_2, \dots, h_n]$$

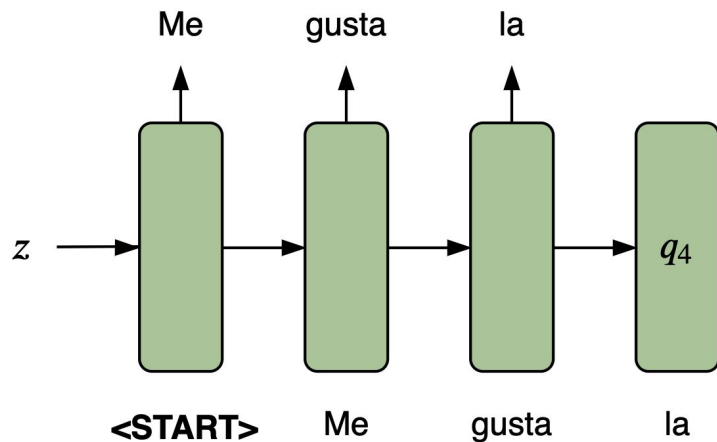


# The attention mechanism

- Get the context vectors
- **Get the query vector**

$$h = [h_1, h_2, \dots, h_n]$$

$$q_4 = \text{Decoder}_\phi(\text{input}_4, \text{state}_3)$$



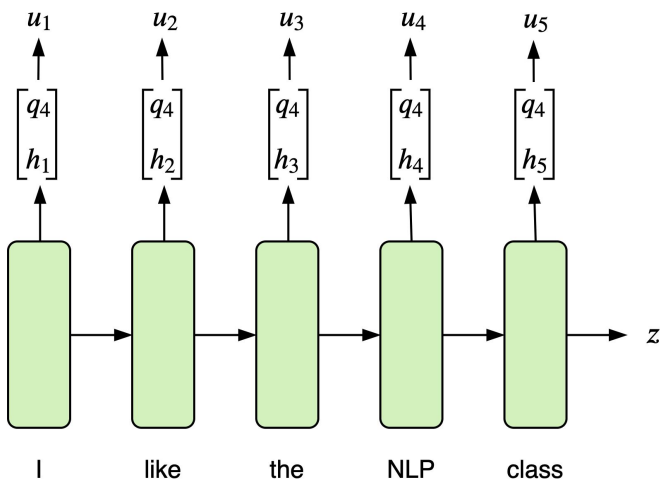
# The attention mechanism

- Get the context vectors
- Get the query vector
- **Define a score function**

$$h = [h_1, h_2, \dots, h_n]$$

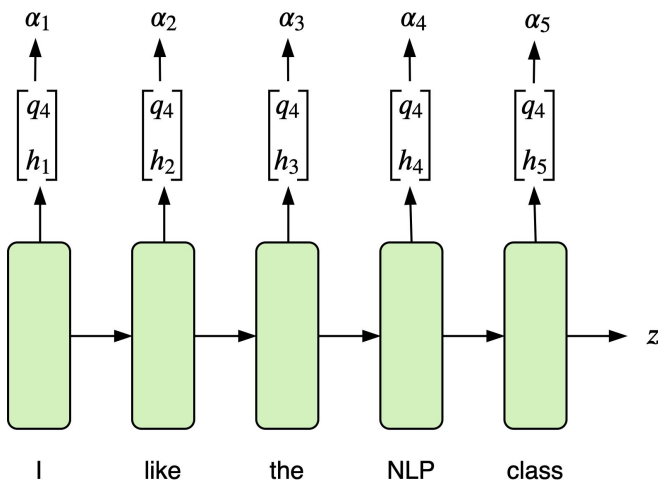
$$q_4 = \text{Decoder}_\phi(\text{input}_4, \text{state}_3)$$

$$u_i = v^\top \tanh(W[h_i + q_j])$$



# The attention mechanism

- Get the context vectors
- Get the query vector
- Define a score function
- **Convert scores into probabilities**



$$h = [h_1, h_2, \dots, h_n]$$

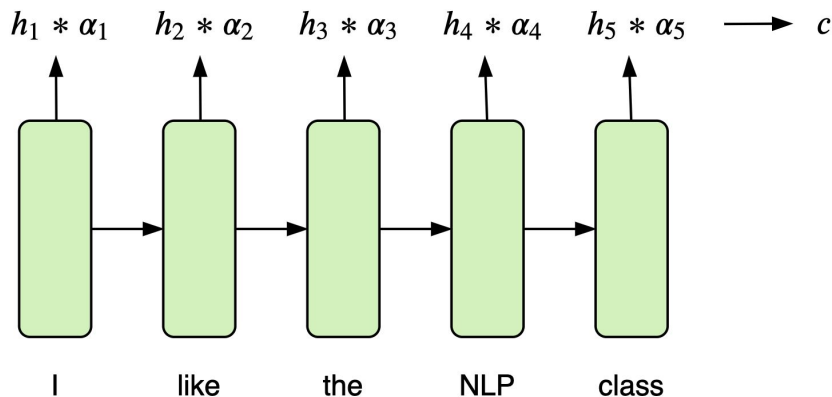
$$q_4 = \text{Decoder}_\phi(\text{input}_4, \text{state}_3)$$

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$$\alpha_i = \frac{\exp(u_i)}{\sum_k^N \exp(u_k)}$$

# The attention mechanism

- Get the context vectors
- Get the query vector
- Define a score function
- Convert scores into probabilities
- **Do a weighted sum over context**



$$h = [h_1, h_2, \dots, h_n]$$

$$q_4 = \text{Decoder}_\phi(\text{input}_4, \text{state}_3)$$

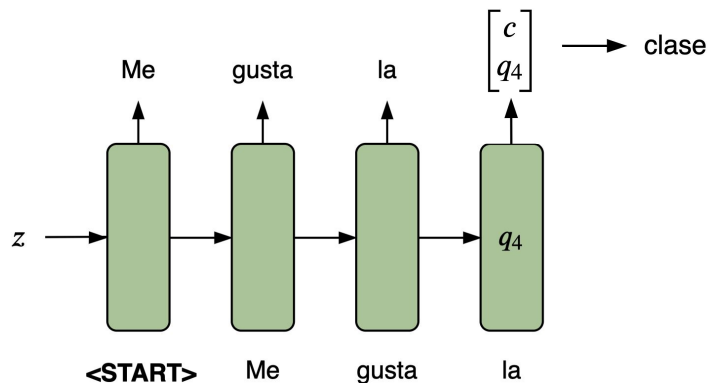
$$u_i = v^\top \tanh(W[h_i + q_j])$$

$$\alpha_i = \frac{\exp(u_i)}{\sum_k^N \exp(u_k)}$$

$$c = \sum_i^N \alpha_i h_i$$

# The attention mechanism

- Get the context vectors
- Get the query vector
- Define a score function
- Convert scores into probabilities
- Do a weighted sum over context
- **Combine it with the decoder output**



$$h = [h_1, h_2, \dots, h_n]$$

$$q_4 = \text{Decoder}_\phi(\text{input}_4, \text{state}_3)$$

$$u_i = v^\top \tanh(W[h_i + q_j])$$

$$\alpha_i = \frac{\exp(u_i)}{\sum_k^N \exp(u_k)}$$

$$c = \sum_i^N \alpha_i h_i$$



# Scoring functions

Bahdanau's (additive) attention:

$$e_{ij} = v_a^\top \tanh(W_a s_{i-1} + U_a h_j)$$

$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{k=1}^{T_x} \exp(e_{ik})}$$

$$c_i = \sum_{j=1}^{T_x} \alpha_{ij} h_j$$

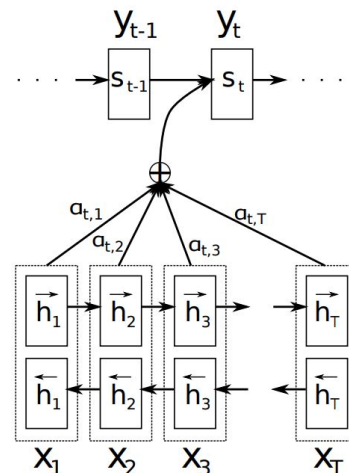
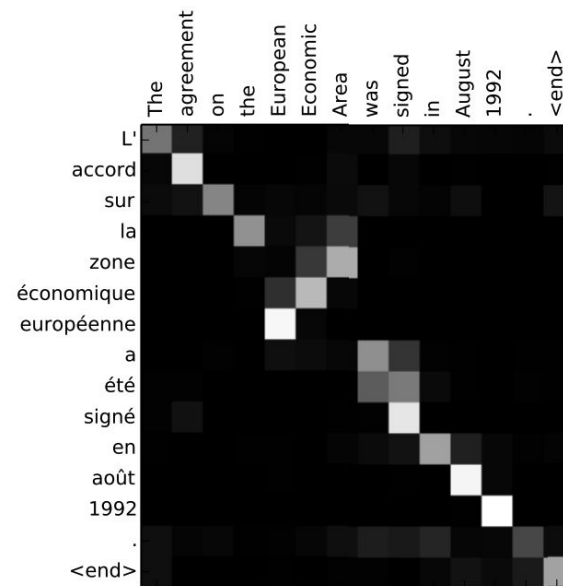
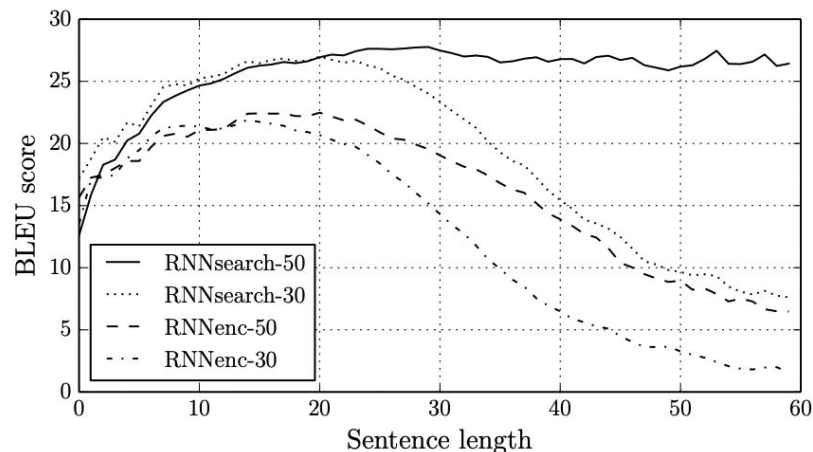


Figure 1: The graphical illustration of the proposed model trying to generate the  $t$ -th target word  $y_t$  given a source sentence  $(x_1, x_2, \dots, x_T)$ .

"Neural Machine Translation by Jointly Learning to Align and Translate" (2015)  
Dzmitry Bahdanau, Kyunghyun Cho, Yoshua Bengio

# Scoring functions

Bahdanau's (additive) attention:

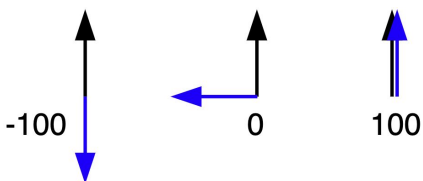


"Neural Machine Translation by Jointly Learning to Align and Translate" (2015)  
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# Scoring functions

Luong's (multiplicative) attention:

$$\text{score}(\mathbf{h}_t, \bar{\mathbf{h}}_s) = \begin{cases} \mathbf{h}_t^\top \bar{\mathbf{h}}_s & \text{dot} \\ \mathbf{h}_t^\top \mathbf{W}_a \bar{\mathbf{h}}_s & \text{general} \\ \mathbf{v}_a^\top \tanh(\mathbf{W}_a [\mathbf{h}_t; \bar{\mathbf{h}}_s]) & \text{concat} \end{cases}$$

$$\mathbf{h}_t^\top \cdot \mathbf{h}_s = |\mathbf{h}_t^\top| |\mathbf{h}_s| \cos\theta$$


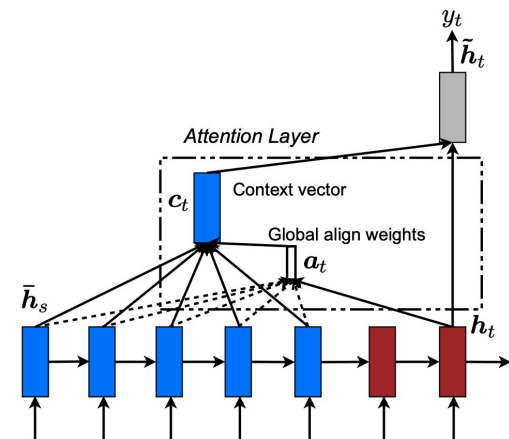


Figure 2: **Global attentional model** – at each time step  $t$ , the model infers a *variable-length* alignment weight vector  $\mathbf{a}_t$  based on the current target state  $\mathbf{h}_t$  and all source states  $\bar{\mathbf{h}}_s$ . A global context vector  $\mathbf{c}_t$  is then computed as the weighted average, according to  $\mathbf{a}_t$ , over all the source states.

"Effective Approaches to Attention-based Neural Machine Translation" (2015)  
Minh-Thang Luong, Hieu Pham, Christopher D. Manning

# Scoring functions

Luong's (multiplicative) attention:

$$\text{score}(\mathbf{h}_t, \bar{\mathbf{h}}_s) = \begin{cases} \mathbf{h}_t^\top \bar{\mathbf{h}}_s & \text{dot} \\ \mathbf{h}_t^\top \mathbf{W}_a \bar{\mathbf{h}}_s & \text{general} \\ \mathbf{v}_a^\top \tanh(\mathbf{W}_a [\mathbf{h}_t; \bar{\mathbf{h}}_s]) & \text{concat} \end{cases}$$

Allows us to have different embedding spaces

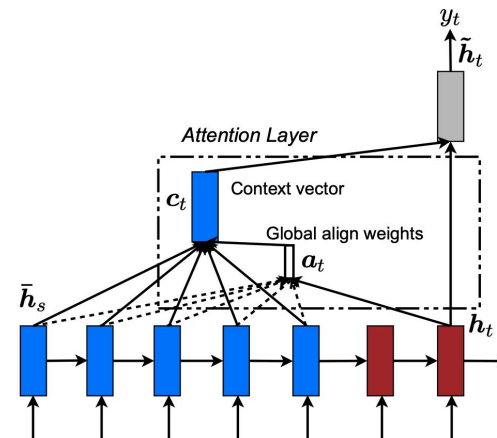


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Is it the same as in Bahdanau's?

$$e_{ij} = \mathbf{v}_a^\top \tanh(\mathbf{W}_a \mathbf{s}_{i-1} + \mathbf{U}_a \mathbf{h}_j)$$

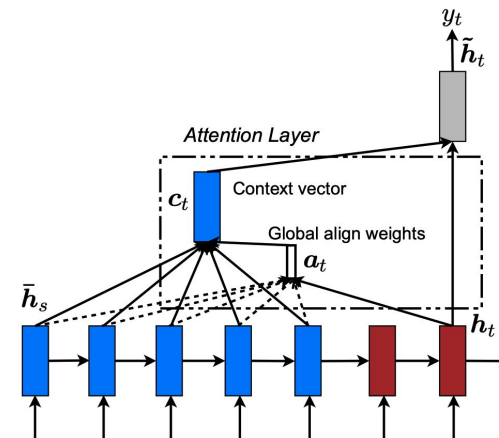


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# Scoring functions

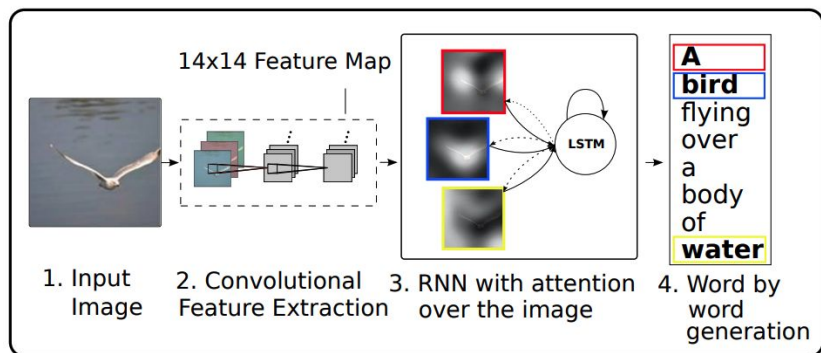
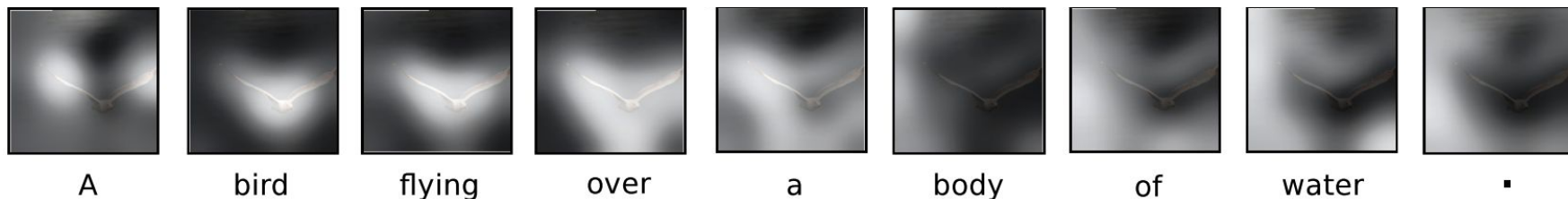
Luong's (multiplicative) attention:

## English-German translations

src	Orlando Bloom and Miranda Kerr still love each other
ref	Orlando Bloom und <i>Miranda Kerr</i> lieben sich noch immer
<i>best</i>	Orlando Bloom und <i>Miranda Kerr</i> lieben einander noch immer .
base	Orlando Bloom und <b>Lucas Miranda</b> lieben einander noch immer .

"Effective Approaches to Attention-based Neural Machine Translation" (2015)  
Minh-Thang Luong, Hieu Pham, Christopher D. Manning

# Successful applications of seq2seq



*"Show, Attend and Tell: Neural Image Caption Generation with Visual Attention"* (2016)  
K. Xu, J. Ba, R. Kiros, K. Cho, A. Courville, R. Salakhutdinov, R. Zemel, Y. Bengio

# Other attention methods

## Self-attention from the Transformer architecture

- Parallelization
- Faster and more effective training
- **Self-attention**
  - a cartesian product
  - for every word, we "attend" the entire sentence

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### Attention Is All You Need

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Ashish Vaswani\*  
Google Brain  
avaswani@google.com

Noam Shazeer\*  
Google Brain  
noam@google.com

Niki Parmar\*  
Google Research  
nikip@google.com

Jakob Uszkoreit\*  
Google Research  
usz@google.com

Llion Jones\*  
Google Research  
llion@google.com

Aidan N. Gomez\* †  
University of Toronto  
aidan@cs.toronto.edu

Łukasz Kaiser\*  
Google Brain  
lukaszkaizer@google.com

Illia Polosukhin\* †  
illia.polosukhin@gmail.com



# Self-attention

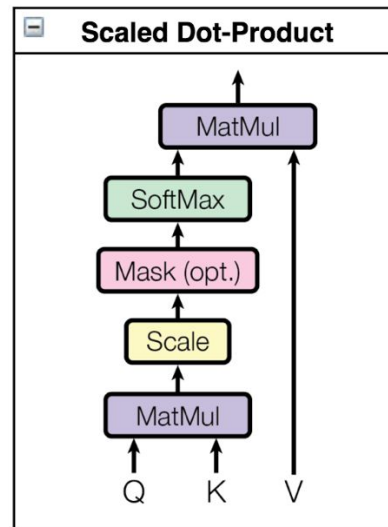
Scaled dot-product attention:

$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

Where:  $Q = W_Q q_{\leq t}$

$K = W_K \bar{h}_s$

$V = W_V \bar{h}_s$



*"Attention Is All You Need"* (2017)

A. Vaswani, N. Shazeer, N. Parmar, J. Uszkoreit, L. Jones, A.N. Gomez, L. Kaiser, I. Polosukhin

# References

## Papers:

- ["Sequence to Sequence Learning with Neural Networks" \(2014\)](#)
- ["Neural Machine Translation by Jointly Learning to Align and Translate" \(2015\)](#)
- ["Effective Approaches to Attention-based Neural Machine Translation" \(2015\)](#)
- ["Attention Is All You Need" \(2017\)](#)
- ["Show, Attend and Tell: Neural Image Caption Generation with Visual Attention" \(2016\)](#)

## Books:

- [Chapter 10. Encoder-Decoder Models, Attention, and Contextual Embeddings](#)

# Thank you!

Any question?

# Practical Session

Implementation of seq2seq models (including attention):

- [Sequence to Sequence Models \(COSC 6336\).ipynb](#)