

1 By definition,

1a) Show that $1^k + 2^k + 3^k + \dots + n^k$ is $O(n^{k+1})$, where k is a positive integer?

$g(n)$ is an asymptotic upper bound for $f(n)$ if there exist constants C and n_0 such that $0 \leq f(n) \leq cg(n)$ for $n \geq n_0$

Here $f(n) = 1^k + 2^k + 3^k + \dots + n^k$, $g(n) = n^{k+1}$

$$1^k + 2^k + 3^k + \dots + n^k \leq c \cdot n^{k+1}$$

As k, n are positive integers, replace $1^k, 2^k, 3^k$ with n^k

$$n^k + n^k + n^k + \dots + n^k \leq c \cdot n^{k+1}$$

There are n terms

$$n \cdot n^k \leq c \cdot n^{k+1}$$

$$n^{k+1} \leq c \cdot n^{k+1} \Rightarrow \text{This is true for all } c \geq 1 \text{ and } n_0 \geq 1$$

Therefore, $1^k + 2^k + 3^k + \dots + n^k$ is $O(n^{k+1})$

Solution: $1^k + 2^k + 3^k + \dots + n^k = O(n^{k+1})$

1b) Show that $(n^3 + 2n)/(2n + 1)$ is $O(n^2)$

$g(n)$ is an asymptotic upper bound for $f(n)$ if there exist constants C and n_0 such that $0 \leq f(n) \leq cg(n)$ for $n \geq n_0$

Here $f(n) = (n^3 + 2n)/(2n + 1)$, $g(n) = n^2$

$$(n^3 + 2n)/(2n + 1) \leq c \cdot n^2$$

$$\frac{n^2}{2} - \frac{n}{4} + \frac{9}{8} - \frac{9}{8(2n+1)} \leq c \cdot n^2$$

By Ignoring the lower order terms we get

$$\Rightarrow n^2/2 \leq c \cdot n^2 \Rightarrow \text{This is true for all } c \geq 1 \text{ and } n_0 \geq 1$$

$$\Rightarrow O(n^2)$$

Solution: $(n^3 + 2n)/(2n + 1) = O(n^2)$

1c) Prove that $(n+3)^3 = \Theta(n^3)$

$g(n)$ is an asymptotic tight bound for $f(n)$ if there exist constants c_1, c_2 and n_0 such that $0 \leq c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$ for $n \geq n_0$

Here, $f(n) = (n+3)^3$, $g(n) = n^3$

$$(n+3)^3 \leq c \cdot n^3$$

$$c_1 \cdot n^3 \leq n^3 + 9n^2 + 27n + 27 \leq c_2 \cdot n^3$$

$$c_1 \cdot n^3 \leq n^3 + 9n^3 + 27n^3 + 27n^3 \leq c_2 \cdot n^3$$

$$c_1 \cdot n^3 \leq 64n^3 \leq c_2 \cdot n^3 \Rightarrow \text{This is true for } c_1 \leq 63, c_2 \geq 65, n_0 \geq 1$$

$$\Rightarrow \Theta(n^3)$$

$$\Rightarrow \text{Therefore, } (n+3)^3 = \Theta(n^3)$$

Solution: $(n+3)^3 = \Theta(n^3)$

2.

2a) Is $2^{n+1} = O(2^n)$? Why?

$g(n)$ is an asymptotic upper bound for $f(n)$ if there exist constants C and n_0 such that $0 \leq f(n) \leq c g(n)$ for $n \geq n_0$

Here $f(n) = 2^{n+1}$, $g(n) = 2^n$

$$2^{n+1} \leq c \cdot 2^n$$

$$2^n \cdot 2 \leq c \cdot 2^n \Rightarrow \text{This is true for all } c \geq 2, n_0 \geq 0$$

Solution: $O(2^n)$

2b) Is $2^{2n} = O(2^n)$

$g(n)$ is an asymptotic upper bound for $f(n)$ if there exist constants C and n_0 such that $0 \leq f(n) \leq c g(n)$ for $n \geq n_0$,

Here, $f(n) = 2^{2n}$, $g(n) = 2^n$

$$2^{2n} \leq c \cdot 2^n$$

$$(2^n)^2 \leq c \cdot 2^n$$

$$2^n \cdot 2^n \leq c \cdot 2^n$$

$$2^n \leq c \Rightarrow n \text{ is not bounded by } c.$$

Therefore $f(n)$ is not $O(2^n)$

Solution: $2^{2n} \neq O(2^n)$

3. Order the following functions into a list such that if $f(n)$ comes before $g(n)$ in the list then $f(n) = O(g(n))$. If any two (or more) of the same asymptotic order, indicate which.

3a) Start with these basic functions

$n, 2^n, n \lg n, n^3, \lg n, n - n^3 + 7n^5, n^2 + \lg n$

$\lg n$ – logarithmic

n – linear

$n \lg n$ – linear multiplied by log

$n^2 + \log n$ – By ignoring lower order terms we get n^2 (Polynomial)

n^3 – polynomial with higher degree than n^2

$n - n^3 + 7n^5$ - By ignoring lower order terms we get $7n^5$ (Polynomial with higher degree than n^2, n^3)

solution: $\lg n \leq n \leq n \lg n \leq n^2 + \lg n \leq n^3 \leq n - n^3 + 7n^5$

3b) Combine the following functions into your answer for part (a). Assume that $0 < \epsilon < 1$.

$e^n, \sqrt{n}, 2^{n-1}, \lg \lg n, (\sqrt{2})^{\lg n}, \ln n, (\lg n)^2, n!, n^{1+\epsilon}, 1$

- $(\sqrt{2})^{\lg n} \Rightarrow (2^{1/2})^{\lg n} \Rightarrow (2)^{\log_2 \sqrt{n}} \Rightarrow \sqrt{n}$
 - $\sqrt{n}, (\sqrt{2})^{\lg n}$ has same asymptotic order.
- $2^{n-1} \Rightarrow \frac{1}{2} 2^n$
 - $2^{n-1}, 2^n$ has same asymptotic order
- $\lg n^2 \Rightarrow 2 \lg n$; $\ln n \Rightarrow \log_2 e (\log_2 n)$
 - $\lg n^2, \ln n, \lg n$ has same asymptotic order
- $\lg n, \ln n, \lg n^2 \rightarrow$ Same asymptotic order
- $\sqrt{n}, (\sqrt{2})^{\lg n} \rightarrow$ Same asymptotic order
- $2^{n-1}, 2^n$ – Same asymptotic order

Solution:

$1 \leq \lg \lg n \leq \lg n \leq \ln n \leq (\lg n)^2 \leq \{(\sqrt{2})^{\lg n}, \sqrt{n}\} \leq n \leq n \lg n \leq n^{1+\epsilon} \leq n^2 + \lg n \leq n^3 \leq n - n^3 + 7n^5 \leq 2^{n-1} \leq 2^n \leq e^n \leq n!$

4. Find the solution for each of the following recurrences, and then give tight bounds (i.e., in $\Theta(\cdot)$) for $T(n)$.

4a) $T(n)=T(n-1)+1/n$ with $T(0)=0$

$$T(n-1)=T(n-2)+1/(n-1)$$

$$T(n-2)=T(n-3)+1/(n-2)$$

$$T(n-3)=T(n-4)+1/(n-3)$$

$$T(n)=T(n-1)+1/n$$

$$T(n)=T(n-2)+[1/(n-1)]+[1/n]$$

$$T(n)=T(n-3)+[1/(n-2)]+[1/(n-1)]+[1/n]$$

$$T(n)=T(n-k)+[1/(n-(k-1))]+[1/(n-(k-2))]+.....+[1/(n-2)]+[1/(n-1)]+1/n$$

Put $n-k=0$

$$T(n)=T(0)+1+(1/2)+(1/3)+.....(1/n)$$

$$T(n)=T(0)+\sum_{k=1}^n \frac{1}{k}$$

$$T(n)=0+\log(n)$$

$$T(n)=\Theta(\log(n))$$

4b) $T(n)=T(n-1)+c^n$ with $T(0)=1$, where $c>1$

$$T(n-1)=T(n-2)+c^{n-1}$$

$$T(n-2)=T(n-3)+c^{n-2}$$

$$T(n-3)=T(n-4)+c^{n-3}$$

$$T(n)=T(n-1)+c^n$$

$$\Rightarrow T(n-2)+c^{n-1}+c^n$$

$$\Rightarrow T(n-3)+c^{n-2}+c^{n-1}+c^n$$

$$T(n)=T(n-k)+c^{n-(k-1)}+c^{n-(k-2)}+.....+c^{n-2}+c^{n-1}+c^n$$

Put $n-k=0$

$$T(n)=T(0)+c^1+c^2+.....c^n$$

$$T(n)=1+c^1+c^2+.....c^n$$

$$T(n) = c^0 + c^1 + c^2 + \dots + c^n$$

$$T(n) = \frac{c^{n+1} - 1}{c - 1}$$

$g(n)$ is an asymptotic tight bound for $f(n)$ if there exist constants k_1, k_2 and n_0 such that $0 \leq k_1 \cdot g(n) \leq f(n) \leq k_2 \cdot g(n)$ for $n \geq n_0$

$$\text{let } g(n) = c^n$$

$$0 \leq k_1 \cdot c^n \leq \frac{c^{n+1} - 1}{c - 1} \leq k_2 \cdot c^n$$

$$\Rightarrow 0 \leq (c-1) \cdot k_1 \cdot c^n \leq c^{n+1} - 1 \leq (c-1) \cdot k_2 \cdot c^n$$

$$\Rightarrow 0 \leq ((c-1) \cdot k_1 \cdot c^n) + 1 \leq c^{n+1} \leq ((c-1) \cdot k_2 \cdot c^n) + 1 \text{ this is true for } k_1 = 1, k_2 = 2, n_0 = 1$$

Solution: $T(n) = \Theta(c^n)$

$$4c) T(n) = 2T(n-1) + 1 \text{ with } T(0) = 1$$

$$T(n-1) = 2T(n-2) + 1$$

$$T(n-2) = 2T(n-3) + 1$$

$$T(n-3) = 2T(n-4) + 1$$

$$T(n) = 2T(n-1) + 1$$

$$\Rightarrow 2[2T(n-2) + 1] + 1$$

$$\Rightarrow 4T(n-2) + 2 + 1$$

$$\Rightarrow 4[2T(n-3) + 1] + 2 + 1$$

$$\Rightarrow 8T(n-3) + 4 + 2 + 1$$

$$\Rightarrow 2^k \cdot T(n-k) + 2^{k-1} + 2^{k-2} + 2^{k-3} + \dots + 4 + 2 + 1$$

Put $n-k=0$

$$\Rightarrow 2^k T(0) + \sum_{k=0}^{n-1} 2^k$$

$$n=k$$

$$\Rightarrow 2^n + (2^n - 1)$$

$$\Rightarrow 2^{n+1} - 1$$

$g(n)$ is an asymptotic tight bound for $f(n)$ if there exist constants c_1, c_2 and n_0 such that $0 \leq c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$ for $n \geq n_0$

$$\text{let } g(n) = 2^n$$

$$0 \leq c_1 \cdot 2^n \leq 2^{n+1} - 1 \leq c_2 \cdot 2^n$$

$$\Rightarrow 0 \leq c_1 \cdot 2^{n+1} \leq 2^{n+1} \leq c_2 \cdot 2^{n+1}$$

$$\Rightarrow 0 \leq \frac{c_1 \cdot 2^{n+1}}{2} \leq 2^n \leq \frac{c_2 \cdot 2^{n+1}}{2} \text{ this is true for } c_1=1, c_2=4, n_0=1$$

$$T(n) = \Theta(2^n)$$

5. Find the solutions of the following recurrence relations

5a) $f_n = f_{n-1} + f_{n-2}$ with $f_0 = 0$ and $f_1 = 1$.

$$f_n = f_{n-1} + f_{n-2}$$

$$f_n - f_{n-1} - f_{n-2} = 0$$

The characteristic equation is

$$x^2 - x - 1 = 0$$

Roots are:

$$\Rightarrow x_1 = \frac{1+\sqrt{5}}{2}; x_2 = \frac{1-\sqrt{5}}{2}$$

x_1, x_2 are distinct real roots,

$$f_n = c_1(x_1)^n + c_2(x_2)^n$$

Substitute $n=0$

$$\Rightarrow f_0 = c_1 + c_2$$

$$\Rightarrow c_1 = -c_2$$

Substitute $n=1$

$$f_1 = c_1 \left[\frac{1+\sqrt{5}}{2} \right] + c_2 \left[\frac{1-\sqrt{5}}{2} \right]$$

$$\Rightarrow c_1 \left[\frac{1+\sqrt{5}}{2} \right] - c_1 \left[\frac{1-\sqrt{5}}{2} \right]$$

$$\Rightarrow c_1 \left[\frac{1+\sqrt{5}}{2} \right] + c_1 \left[\frac{\sqrt{5}-1}{2} \right]$$

$$\Rightarrow c_1 \left[\frac{2\sqrt{5}}{2} \right]$$

$$\Rightarrow c_1 \cdot \sqrt{5}$$

$$f_1 = c_1 \cdot \sqrt{5}$$

$$1 = c_1 \cdot \sqrt{5} \quad (\text{Since } f_1 = 1)$$

$$c_1 = 1/\sqrt{5}$$

$$c_2 = -1/\sqrt{5}$$

$$f_n = \frac{1}{\sqrt{5}} (x_1)^n - \frac{1}{\sqrt{5}} (x_2)^n$$

$$f_n = \frac{1}{\sqrt{5}} \left[\frac{1+\sqrt{5}}{2} \right]^n - \frac{1}{\sqrt{5}} \left[\frac{1-\sqrt{5}}{2} \right]^n$$

5b) $a_n = 6a_{n-1} - 9a_{n-2}$ with $a_0 = 1$ and $a_1 = 6$

$$a_n - 6a_{n-1} + 9a_{n-2} = 0$$

The

$$x^2 - 6x + 9 = 0$$

Roots are :

$$\Rightarrow x_1 = 3, x_2 = 3$$

$$a_n = c_1(x_1)^n + c_2(x_2)^n$$

$$a_n = c_1(3)^n + c_2(3)^n$$

Substitute $n=0$ in $a_n = c_1(3)^n + c_2(3)^n$

$$a_0 = c_1(3)^0 + c_2(3)^0$$

$$a_0 = c_1 + 0$$

$$a_0 = c_1$$

$$\Rightarrow c_1 = 1$$

substitute $n=1$ in $a_n = c_1(3)^n + c_2(3)^n$

$$a_1 = c_1(3)^1 + c_2(3)^1$$

$$6 = 3c_1 + 3c_2$$

$$6 = 3(1) + 3c_2$$

$$6 = 3(1 + c_2)$$

$$\Rightarrow c_2 = 1$$

$$a_n = c_1(3)^n + c_2(3)^n$$

$$\Rightarrow c_1(3)^n + c_2(3)^n$$

$$\Rightarrow (3)^n + (3)^n$$

$$\Rightarrow 3^n(1+n)$$

$$a_n = 3^n(1+n)$$

6.

$$T(n) = 3.T(n/2) + cn$$

$$T(n/2) = 3.T(n/4) + cn/2$$

$$T(n/4) = 3.T(n/8) + cn/4$$

$$T(n) = 3.T(n/2) + cn$$

$$T(n) = 3[3.T(n/4) + cn/2] + cn$$

$$\Rightarrow 3^2.T(n/4) + 3cn/2 + cn$$

$$\Rightarrow 3^2[3.T(n/8) + cn/4] + 3cn/2 + cn$$

$$\Rightarrow 3^3.T(n/8) + 3^2cn/4 + 3cn/2 + cn$$

$$\Rightarrow 3^k T(n/2^k) + \sum_{k=1}^n \frac{3^{k-1}.c.n}{2^{k-1}}$$

$$\Rightarrow 3^k T(n/2^k) + \sum_{k=1}^n \frac{3^{k-1}.c.n}{2^{k-1}}$$

$$\Rightarrow 3^k T(n/2^k) + c.n. \sum_{k=1}^n \frac{3^{k-1}}{2^{k-1}}$$

$$\Rightarrow 3^k T(n/2^k) + c.n. \sum_{k=1}^n (3/2)^{k-1}$$

$$\Rightarrow 3^k T(n/2^k) + c.n. \frac{1-(3/2)^{k-1}}{1-3/2}$$

$$\Rightarrow 3^k T(n/2^k) + c.n. \frac{(3/2)^{k-1}-1}{(\frac{3}{2})-1}$$

$$\Rightarrow 3^k T(n/2^k) + c.n. \frac{(3/2)^{k-1}-1}{(\frac{3}{2})-1}$$

$$\Rightarrow 3^k T(n/2^k) + c.n. \frac{(3/2)^{k-1}-1}{1/2}$$

$$\Rightarrow 3^k T(n/2^k) + 2.c.n. ((3/2)^{k-1} - 1)$$

Plugging in $k=\log n$ we get,

$$\Rightarrow 3^{\log n} T(n/2^{\log n}) + 2.c.n. ((3/2)^{\log n-1} - 1)$$

$$\Rightarrow 3^{\log n} T(1) + 2.c.n. ((3/2)^{\log n-1} - 1)$$

$$\Rightarrow n^{\log 3} + 4c.n. \left(\frac{n^{\frac{3}{2}} - 3}{3} \right)$$

$$\Rightarrow n^{\log 3} + \frac{4}{3}.c(n^{\log 3} - (3n/2))$$

$$\Rightarrow \Theta(n^{\log 3})$$

Solution:

a) General k^{th} term = $3^k T(n/2^k) + 2.c.n. ((3/2)^{k-1} - 1)$

b) $k = \log n$ (k should be $\log n$)

7. Use the master theorem to give tight asymptotic bounds for the following recurrences.

a) $T(n) = 2T(n/2) + \sqrt{n}$

It is in the form of $T(n) = aT(n/b) + f(n)$ where $a \geq 1, b > 1, f(n) > 0$

Here $a=2, b=2, \log_b a = \log_2 2 = 1, f(n) = \sqrt{n}$

Compare $n^{\log_2 2}$ with $f(n) = \sqrt{n}$

Case 1:

$$f(n) = O(n^{(\log_2 2 - \epsilon)})$$

$$f(n) = O(n^{(1 - \epsilon)}) \text{ for some } \epsilon > 0$$

Solution: $T(n) = \Theta(n)$

b) $T(n) = 3T(n/2) + cn$

It is in the form of $T(n) = aT(n/b) + f(n)$ where $a \geq 1, b > 1, f(n) > 0$

Here $a=3, b=2, f(n) = n, \log_2 3 = 1.58$

Compare $n^{\log_2 3}$ with $f(n) = n$

Case 1:

$$f(n) = O(n^{\log_b a - \epsilon})$$

$$f(n) = O(n^{1.58 - \epsilon})$$

Solution: $T(n) = \Theta(n^{\log_2 3})$

c) $T(n) = 27T(n/3) + cn^3$

It is in the form of $T(n) = aT(n/b) + f(n)$ where $a \geq 1, b > 1, f(n) > 0$

Here $a=27, b=3, f(n) = n^3, \log_3 27 = 3$

Compare $n^{\log_3 27}$ with $f(n) = n^3$

Case 2:

$$f(n) = \Theta(n^{\log_b a} \cdot \log n)$$

$$f(n) = \Theta(n^{\log_3 27} \cdot \log n)$$

$$T(n) = \Theta(n^3 \log n)$$

$$\text{d) } T(n) = 5T(n/4) + cn^2$$

It is in the form of $T(n) = aT(n/b) + f(n)$ where $a \geq 1$, $b > 1$, $f(n) > 0$

Here, $a=5$, $b=4$, $\log_b a = \log_4 5 = 1.16$, $f(n) = n^2$

Compare $f(n)$ with $n^{\log_b a}$

Case 3: $f(n) = \Omega(n^{\log_b a + \epsilon})$ for some $\epsilon > 0$

$$f(n) = \Omega(n^{\log_4 5 + \epsilon})$$

Regularity condition:

a. $f(n/b) \leq k \cdot f(n)$ for some $k < 1$,

$$5f(n/4) \leq k \cdot n^2$$

$$5 \cdot n^2/16 \leq k \cdot n^2$$

$$5/16 \leq k$$

$\Rightarrow k=0.31$ is a solution ($k < 1$)

Therefore, $T(n) = \Theta(n^2)$

Solution: $T(n) = \Theta(n^2)$

8. Use the master theorem to give tight asymptotic bounds for the following recurrences

$$\text{8a) } T(n) = 2T(n/4) + 1$$

It is in the form of $T(n) = aT(n/b) + f(n)$

Where $a=2$, $b=4$, $\log_b a = \log_4 2 = 1/2$

Compare $n^{\log_4 2}$ with $f(n) = 1$

Case 1: $f(n) = O(n^{(\log_b a - \epsilon)})$

$$f(n) = O(n^{(1/2 - \epsilon)})$$

$$f(n) = O(n^{\log_b a})$$

$$\Rightarrow O(n^{\log_4 2})$$

$$\Rightarrow O(\sqrt{n})$$

$$\text{Solution: } T(n) = \Theta(\sqrt{n})$$

$$8b) T(n) = 2T(n/4) + \sqrt{n}$$

It is in the form of $T(n) = aT(n/b) + f(n)$ where $a \geq 1$, $b > 1$ and $f(n) > 0$

Where $a=2$, $b=4$, $\log_b a = \log_4 2 = \frac{1}{2}$

Compare $n^{\log_4 2}$ with $f(n) = \sqrt{n}$

Case 2 :

$$f(n) = \Theta(n^{\log_b a} \cdot \log n)$$

$$\Rightarrow \Theta((n^{\log_4 2}) \cdot \log n)$$

$$\Rightarrow \Theta(\sqrt{n} \cdot \log n)$$

$$\text{Solution: } T(n) = \Theta(\sqrt{n} \log n)$$

$$8c) T(n) = 2T(n/4) + n$$

It is in the form of $T(n) = aT(n/b) + f(n)$ where $a \geq 1$, $b > 1$ and $f(n) > 0$

Here, $a=2$, $b=4$, $f(n) = n$, $\log_b a = \log_4 2 = \frac{1}{2}$

Compare $n^{\log_4 2}$ with $f(n)=n$

Case 3: $f(n) = \Omega(n^{1+\epsilon})$

Verify regularity condition:

$$a.f(n/b) \leq c.f(n) \text{ for some } c < 1$$

$$2.f(n/4) \leq c.n$$

$$\Rightarrow n/2 \leq c.n \Rightarrow c \geq 1$$

$$\text{Solution: } T(n) = \Theta(n)$$

$$8d) T(n) = 2T(n/4) + n^2$$

It is in the form of $T(n) = aT(n/b) + f(n)$

Here, $a=2$, $b=4$, $f(n) = n^2$, $\log_b a = \log_4 2 \Rightarrow \frac{1}{2}$

Compare $n^{\log_4 2}$ with $f(n) = n^2$

Case 3: $f(n) = \Omega(n^{1+\epsilon})$

Verify regularity condition:

$a.f(n/b) \leq c.f(n)$ for some $c < 1$

$2.f(n/4) \leq c.n^2$

$\Rightarrow n^2/8 \leq c.n^2$ for $c \geq 1$

Solution: $T(n) = \Theta(n^2)$
