# COL 216 Assignment 3: L1 Cache Simulator for Quad-Core Processor

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## 1 Implementation

#### 1.1 Class Overview

The simulator comprises six key modules, each handling a specific aspect of the L1 cache simulation:

- TraceReader: Reads and parses memory access traces for each core.
- Core: Simulates a processor core's execution, issuing memory instructions and managing stalls.
- Cache: Models a private, E-way set-associative L1 data cache per core with  $2^s$  sets and  $2^b$ -byte blocks. Supports write-back, write-allocate, LRU replacement, and MESI coherence.
- Bus: A shared communication medium handling coherence transactions and arbitration.
- Simulator: Orchestrates core execution, bus activity, and simulation cycles.
- StatsPrinter: Aggregates and reports cache performance metrics.

### 1.2 Module Descriptions

#### 1.2.1 TraceReader

Reads trace files (one per core) line-by-line. Each line specifies a memory operation (R or W) and a hexadecimal address, converted into TraceEntry structs with a 32-bit address and operation type. Uses standard C++ I/O with input validation.

### 1.2.2 Core

Represents a CPU core, executing one memory operation per cycle unless stalled. Communicates with its private L1 cache and other caches via the bus. Features:

- Instruction stream from the trace.
- Counters for instructions, read/write operations, idle cycles, and total cycles.
- State tracking for stalls due to cache misses or coherence waits.
- tick() function to issue instructions or increment idle time.

#### 1.2.3 Cache

Each core has a local cache configured with:

- E lines per set,  $2^s$  sets, and block size of  $2^b$  bytes.
- Cache lines storing tags, MESI states, LRU timestamps, valid/dirty flags.
- Read/write methods updating LRU order on hits and initiating coherence on misses.
- allocateBlock() and handleMiss() for block allocation and evictions.
- Snooping support for BusRd, BusRdX, and MESI transitions (e.g., Shared  $\rightarrow$  Invalid).

#### 1.2.4 Bus

Manages inter-cache coherence communication:

- Round-robin arbitration for transactions.
- Queues for pending transactions, prioritizing BusRdX over BusRd or WriteBack.
- tick() processes transactions, handles snoop responses, and triggers cache state changes.
- Tracks bytes transferred and latency for memory vs. cache-to-cache transfers.

#### 1.2.5 Simulator

Coordinates cores and caches. In each cycle:

- Calls tick() on each core.
- Updates the bus and processes snoop responses.
- Monitors termination when all cores finish their traces.
- Finalizes per-core cycle counts and statistics.

#### 1.2.6 StatsPrinter

Reports:

- Cache parameters (size, associativity, block size).
- Per-core stats: accesses, execution/idle cycles, misses, evictions, writebacks, invalidations.
- Bus stats: total transactions and data transferred.

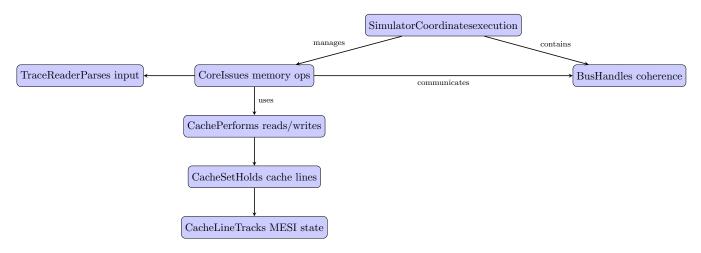


Figure 1: Class interaction diagram of the L1 Cache Simulator

### 2 Data Structures

Core data structures include:

- CacheLine: Stores tag, flags.valid, flags.state (MESI), lastUsedCycle for LRU.
- CacheSet: std::vector<CacheLine> for lines, std::unordered\_mapfortaglookups.MESI State(EnumCacheLine) MODIFIED, EXCLUSIVE, SHARED, INVALID.
- BusRequestType (Enum): BusRd, BusRdX, WriteBack.
- BusTransaction: requesterId, address, type, startCycle, completionCycle, servedByCache.
- TraceEntry: op (READ/WRITE), addr (32-bit).
- Statistics: Counters for hits, misses, evictions, writebacks, invalidations, idle cycles, instruction count, total cycles.

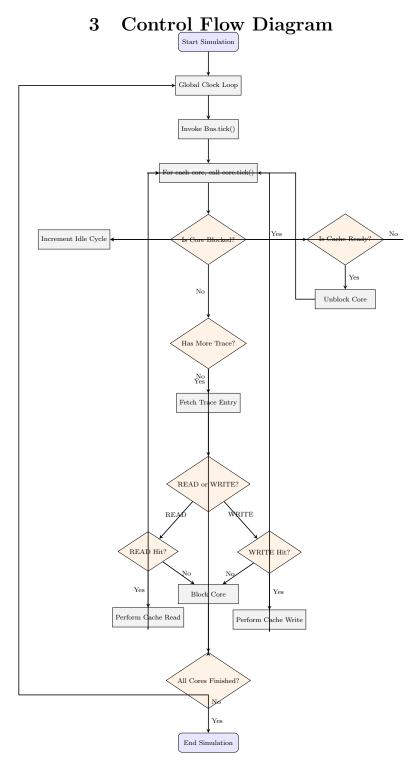


Figure 2: Global simulation control flow for L1 cache simulator

# 4 Design Decisions

The L1 cache simulator incorporates key design choices for realistic behavior, deterministic execution, and manageable complexity:

- 1. **Idle Cycle Definition**: Idle cycles occur when a core waits for memory operations, including bus coherence or memory fetches. Total cycles = execution cycles + idle cycles.
- 2. MESI Protocol: Implements full MESI with transitions:
  - Shared  $\rightarrow$  Modified: Issues BusRdX, triggers invalidations.
  - Modified → Shared/Invalid: Via snooping on BusRd/BusRdX.
  - Modified writebacks: 100-cycle latency.
- 3. Writebacks:
  - Eviction: Synchronous writeback of dirty blocks.
  - Snoop-triggered: Writeback before Modified  $\rightarrow$  Shared/Invalid.
- 4. Bus Arbitration: One transaction at a time, prioritized:
  - (a) BusUpgr (1 cycle).
  - (b) BusRdX, WriteBack.
  - (c) BusRd (lowest priority).

Tie-breaking by ascending core ID.

- 5. **Deterministic Core Order**: Cores 0–3 tick in fixed order for reproducibility.
- 6. **Blocking Cache**: Core stalls on misses until transaction completes; caches respond to snoops in parallel.
- 7. Memory Latencies:
  - L1 hit: 1 cycle.
  - Memory fetch: 100 cycles.
  - Cache-to-cache: 2 cycles per 4-byte word.
  - Writeback: 100 cycles.
- 8. Cache Initialization: All lines invalid at start (cold cache).
- 9. Word/Block Size: 4-byte words,  $2^b$ -byte blocks.
- 10. Simplified Data: Only tags, states, and timing modeled.
- 11. No Bus Pipelining: One transaction at a time.
- 12. **Microbenchmark Verification**: Validated MESI and writebacks with 2–5 instruction traces.

# 5 Performance Analysis

To evaluate cache parameter impacts, we conducted experiments varying one parameter at a time while keeping others fixed at:

• Number of sets:  $2^s = 64$ 

• Associativity: E=2

• Block size: B = 32 bytes

For each parameter, we doubled its value across runs and measured the **maximum execution** cycles across all cores, reflecting total workload completion time, including computation and stalls due to memory or coherence delays. Experiments used provided application traces, with results recorded for comparison.

## 6 Implementation Challenges

Key challenges encountered include:

- 1. Precise Cycle Synchronization: Managing a global simulation loop while allowing independent core instruction issuance required careful delay handling for memory fetches, writebacks, and coherence events.
- 2. **MESI State Coordination**: Ensuring correct MESI transitions across cores demanded rigorous tracking of local and remote operations, especially for Modified → Shared or Exclusive → Invalid transitions.
- 3. **Bus Arbitration and Fairness**: Modeling realistic contention with deterministic behavior involved priority-based selection and round-robin tie-breaking.
- 4. **Blocking Behavior and Stall Management**: Simulating blocking caches—halting cores on misses while responding to coherence requests—required separating execution from snoop handling and tracking core resumption.
- 5. **Edge Case Handling**: Malformed trace files, invalid inputs, and misaligned addresses necessitated robust validation.
- 6. **Comprehensive Metric Tracking**: Accurate tracking of idle cycles, evictions, writebacks, and data traffic required consistent counters across modules without double-counting.

## 7 Conclusion

Our L1 cache simulator accurately models a quad-core processor with MESI coherence, write-back/write-allocate policy, and LRU eviction. It captures private cache and shared memory interactions, providing cycle-accurate statistics.

Key trends from parameter variation experiments:

1. Cache Size: Larger caches reduce capacity and compulsory misses, but benefits plateau when the working set is fully accommodated.

- 2. **Associativity**: Higher associativity (2-way or 4-way) reduces conflict misses, improving hit rates but potentially increasing access latency.
- 3. **Block Size**: Balancing transfer time and spatial locality, block sizes of 32–64 bytes performed best for our traces.

These results highlight cache architecture trade-offs and the importance of workload-specific configurations. The simulator enables functional validation and performance exploration in multicore systems.

# 8 Repository

Full source code and report: https://github.com/VanshRamani/CacheSim