

Parsing

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Notes for CS 594 – Fall 2004

What is parsing?

- ▶ Check for correctness: is this a legal program
- ▶ Uncover meaning: convert to internal representation

Levels of parsing

- ▶ Check for illegal characters
- ▶ Build tokens (identifiers, numbers, operators &c) from characters (lexical analysis)
- ▶ Statements tokens (syntactical analysis)
- ▶ Semantical restrictions: define/use &c

```
my_array[ii] = 3+sin(1.0);
```

- ▶ Lexical analysis: 'my_array', '[', 'ii' &c.
- ▶ Syntactical: this is an assignment; lhs is something you can assign to, rhs is arithmetic expression
- ▶ Semantics: my_array is array, ii is integer, sin is defined function

Mixing of levels

In Fortran:

```
X = SOMENAME( Y+3 )
```

- ▶ Lexical analysis simple
- ▶ Syntax unclear: rhs can be function call or array element
- ▶ Solution: give lexer access to symbol table

Correctness

- ▶ Lexical analysis finds identifiers: `5ab` is illegal
- ▶ Syntactical analysis finds expressions: `array[ii)` is illegal
- ▶ In $\text{T}_{\text{E}}\text{X}$?

Parsing by automaton

- ▶ Lexical analysis by Finite State Automaton
- ▶ Syntactical analysis by Pushdown Automaton
- ▶ In practice some mixing of levels

Terminology

- ▶ Language: a set of words

$$\{a^n | n \text{ is prime}\}$$

- ▶ Grammar: set of rules that produces a language
- ▶ Automaton: abstract device that can recognize a language
- ▶ Derivation: actual sequence of rules or transitions used to derive a string
- ▶ Parse tree: 2D way of writing derivation

to be precise

- ▶ Grammar:
 - ▶ Start symbol S
 - ▶ Terminal symbols a, b, c, \dots from the alphabet
 - ▶ Non-terminals A, B, C, \dots , ultimately to be replaced
 - ▶ Rules $\alpha \rightarrow \beta$ where α, β strings of terminals and non-terminals
- ▶ Automaton:
 - ▶ Starting state
 - ▶ Accepting state
 - ▶ Work storage
 - ▶ Transition diagram

Types of languages

- ▶ Languages differ in types of grammar rules $\alpha \rightarrow \beta$
- ▶ Automata differ in amount of workspace
- ▶ Four levels Chomsky hierarchy; many other types

Type 0

- ▶ Regular languages

Type 0

- ▶ Regular languages
- ▶ Turing machine: infinite tape
- ▶ No restriction on grammar rules

Type 1

- ▶ Context-sensitive languages

Type 1

- ▶ Context-sensitive languages
- ▶ Linear-bounded automata
- ▶ No rules $\alpha \rightarrow \epsilon$

Type 1

- ▶ Context-sensitive languages
- ▶ Linear-bounded automata
- ▶ No rules $\alpha \rightarrow \epsilon$
- ▶ Normal form: $AB \rightarrow BA, AB \rightarrow A\beta$

Type 2

- ▶ Context-free languages

Type 2

- ▶ Context-free languages
- ▶ Push Down Automata
- ▶ Only rules $A \rightarrow \alpha$

Type 2

- ▶ Context-free languages
- ▶ Push Down Automata
- ▶ Only rules $A \rightarrow \alpha$
- ▶ Normal form: $A \rightarrow b\alpha$ or $A \rightarrow b$

Type 3

- ▶ Regular languages

Type 3

- ▶ Regular languages
- ▶ Finite State Automata
- ▶ Only rules $A \rightarrow bC$, $A \rightarrow b$

Lexical analysis

Function of a lexer

- ▶ Recognize identifiers, numbers
- ▶ Also side effects: store names of functions

Definition

Inductively, through regular expressions

- ▶ ϵ is the empty language
- ▶ ' a ' denotes the language $\{a\}$ (a in alphabet)
- ▶ if α, β denote languages A, B , then
 - ▶ $\alpha\beta$ or $\alpha \cdot \beta$ denotes $\{xy | x \in A, y \in B\}$
 - ▶ $\alpha|\beta$ denotes the language $A \cup B$.
 - ▶ α^* denotes the language $\bigcup_{n \geq 0} A^n$.

Finite state automata

- ▶ Starting state S_0
- ▶ other states S_i ; subset: accepting states
- ▶ input alphabet I ; output alphabet O
- ▶ transition diagram $I \times S \rightarrow S$

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Finite state automata

- ▶ Starting state S_0
- ▶ other states S_i ; subset: accepting states
- ▶ input alphabet I ; output alphabet O
- ▶ transition diagram $I \times S \rightarrow S$
- ▶ non-deterministic: $I \cup \{\epsilon\} \times S \rightarrow S$
- ▶ String is accepted if (any) sequence of transitions it causes leads to an accepting state

The NFA of a regular language

Automaton that accepts ϵ :

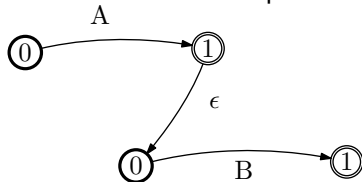


Automaton that accepts a :



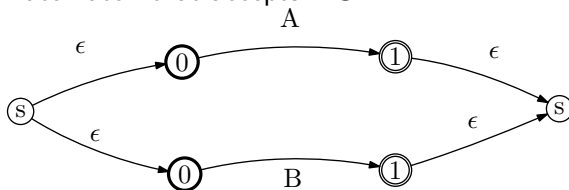
The NFA of a regular language

Automaton that accepts $A \cdot B$:



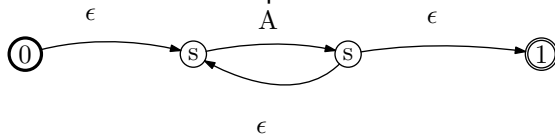
The NFA of a regular language

Automaton that accepts $A \cup B$:



The NFA of a regular language

Automaton that accepts A^* :

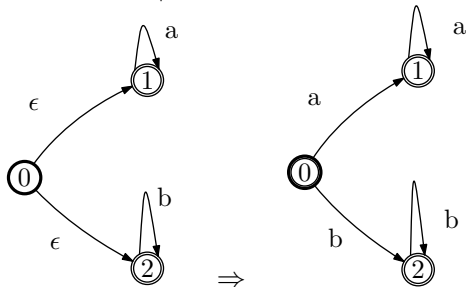


Characterization

- ▶ Any sufficiently long string $\alpha = uvw$
- ▶ then $uv^n w$ also in the language

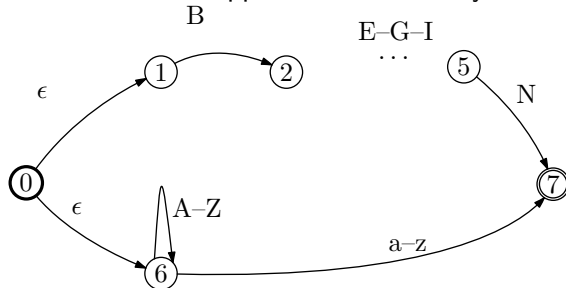
Example

Language $a^*|b^*$:

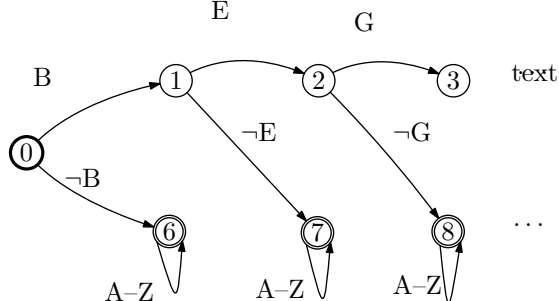


Example: keywords

A bit like what happens in lexical analysis:



Deterministic version



Converting NFA to DFA

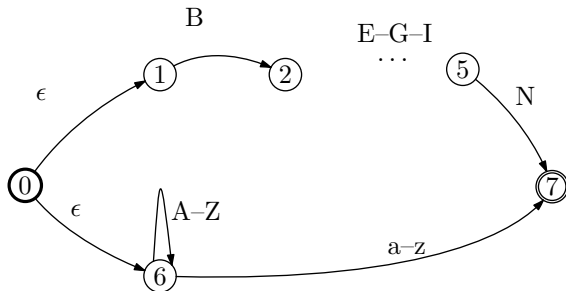
- ▶ Introduce new states

Converting NFA to DFA

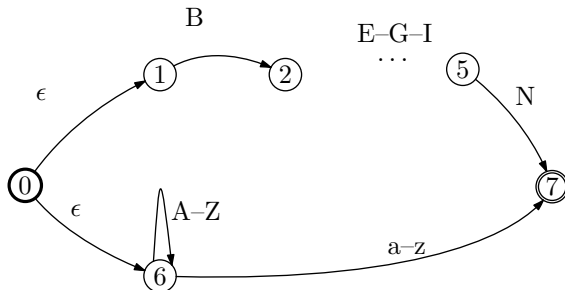
- ▶ Introduce new states
- ▶ new state is set of old states

Converting NFA to DFA

- ▶ Introduce new states
- ▶ new state is set of old states
- ▶ new states closed under ϵ -transitions

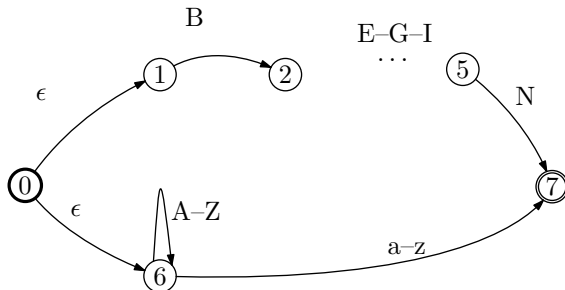


New $S_0 = \{0, 1, 6\}$



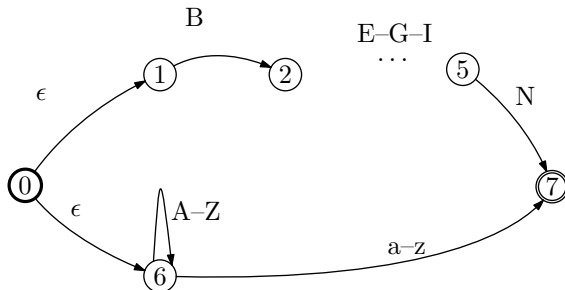
New $S_0 = \{0, 1, 6\}$

► $S_0 + B \Rightarrow S_1 = \{2, 6, 7\}$,



New $S_0 = \{0, 1, 6\}$

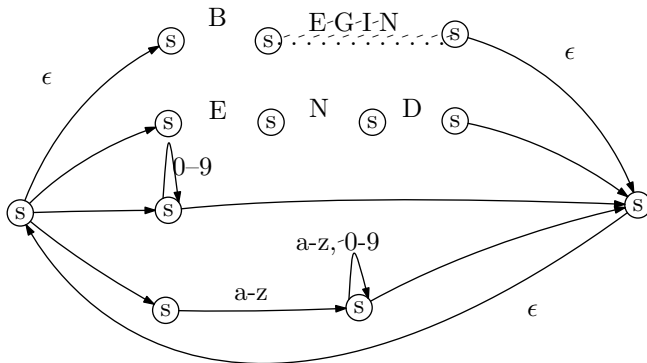
- ▶ $S_0 + B \Rightarrow S_1 = \{2, 6, 7\}$,
- ▶ $S_0 + \neg B \Rightarrow S_6 = \{6, 7\}$



New $S_0 = \{0, 1, 6\}$

- ▶ $S_0 + B \Rightarrow S_1 = \{2, 6, 7\}$,
- ▶ $S_0 + \neg B \Rightarrow S_6 = \{6, 7\}$
- ▶ $S_1 + E \Rightarrow S_2 = \{3, 6, 7\}$, et cetera

NFA for lexical analysis



small problems

- Careful with the ϵ -transition back:

```
printf("And then he said \"\"Boo!\"\"");
```

final state reached three times: only transition when
maximum string recognized

- Not always:

```
X = 4.E3
```

```
IF (4.EQ.VAR) THEN
```

\Rightarrow look-ahead needed

lex

A tool for lexical analysis

- ▶ You write regular expressions, and *lex* reports if it finds any
- ▶ Three sections: definitions, rules, code

Example

```
%{  
    int charcount=0,linecount=0;  
}%  
  
%%  
  
. charcount++;  
\n {linecount++; charcount++;}  
  
%%  
int main()  
{  
    yylex();  
    printf("There were %d characters in %d lines\n",  
           charcount,linecount);  
    return 0;  
}
```

Running *lex*

lex code gets translated to C:

```
lex -t count.l > count.c  
cc -c -o count.o count.c  
cc -o counter count.o -ll
```

Executable uses stdin/out, can be changed

Definitions section

- ▶ C code: between `%{ ... %}` copied to top of C file
- ▶ Definitions: `'letter [a-zA-Z]'` (like `#define`)
- ▶ State definitions (later)

Example 2

```
%{  
    int charcount=0,linecount=0,wordcount=0;  
}%  
letter [^ \t\n]  
  
%%  
  
{letter}+ {wordcount++; charcount+=yyleng;}  
.          charcount++;  
\n          {linecount++; charcount++;}
```

Rules section

- ▶ Input is matched by character
- ▶ Actions of longest match are taken, earliest if equal length
- ▶ Matched text is `char *yytext`, length `int yyleng`

Example 2'

```
{letter}+ {wordcount++; charcount+=yy leng;}  
[ \t]      spacecount++;  
.          charcount++;  
\n         linecount++;
```

Example 3

```
[0-9]+           process_integer();  
[0-9]+\.[0-9]*  |  
\.[0-9]+       process_real();
```

Regular expressions

- . Match any character except newlines.
- \n A newline character.
- \t A tab character.
- ^ The beginning of the line.
- \$ The end of the line.
- <expr>* Zero or more occurrences of the expression.
- <expr>+ One or more occurrences of the expression.
- <expr>? Zero or one occurrences of the expression.
- (<expr1>|<expr2>) One expression of another.
- [<set>] A set of characters or ranges, such as [, . : ;] or [a-zA-Z].
- [^<set>] The complement of the set, for instance [^ \t].

Example: filtering comments

```
%%
"/*" . "*" "/" ;
.      |
\n      ECHO;
```

works on

```
This text /* has a */ comment
in it
```

Does not work on

This text /* has */ a /* comment */ in it

Context

- ▶ Match in context
- ▶ Left context implemented through states:

`<STATE>(some pattern) {...`

State switching:

`<STATE>(some pattern) {some action; BEGIN OTHERSTATE;}`

Initial state is INITIAL, other states defined

`%s MYSTATE`

`%x MYSTATE`

Use of states

```
%x COMM
```

```
%%
```

```
.          |
\n         ECHO;
"/*"      BEGIN COMM;
<COMM>"*/" BEGIN INITIAL;
<COMM>.    |
<COMM>\n   ;
```

```
%%
```

Context'

- ▶ Right context:
 `abc/de {some action}`
- ▶ context tokens not in `yytext/yytext`

Example: text cleanup

Input:

```
This    text (all of it )has occasional lapses , in  
punctuation(sometimes pretty bad) ,( sometimes not so).
```

```
(Ha! ) Is this : fun?Or what!
```

Solution with context more compact than without.

Define:

```
punct [,.;:!?]  
text [a-zA-Z]
```

need for context

- ▶ Consider ')', ' ' ') , ' ') a' ' ') a'
- ▶ Rules `") " " " + {printf(") ");}` depend on context

right context solution

```
)" " "+/{punct}      {printf(" ");}  
)" "/{text}          {printf(" ");}  
{text}+" "+/" "      {while (yytext[yylen-1]== ' ') yylen--; ECHO;}  
  
({punct}|{text}+)/"(" {ECHO; printf(" ");}  
"(" " "+/{text}      {while (yytext[yylen-1]== ' ') yylen--; ECHO;}  
  
{text}+" "+/{punct}  {while (yytext[yylen-1]== ' ') yylen--; ECHO;}  
  
^" "+                ;  
" "+                {printf(" ");}  
.                    {ECHO;}  
\n/\n\n              ;  
\n                {ECHO;}
```

left context solution

Use defined states:

```
punct [.,;:!?]
```

```
text [a-zA-Z]
```

```
%s OPEN
```

```
%s CLOSE
```

```
%s TEXT
```

```
%s PUNCT
```

left context solution, cont'd

```
" "+ ;
```

```
<INITIAL>"(" {ECHO; BEGIN OPEN;}  
<TEXT>"(" |  
<PUNCT>"(" {printf(" "); ECHO; BEGIN OPEN;}
```

```
")" {ECHO ; BEGIN CLOSE;}
```

```
<INITIAL>{text}+ |  
<OPEN>{text}+ {ECHO; BEGIN TEXT;}  
<CLOSE>{text}+ |  
<TEXT>{text}+ |  
<PUNCT>{text}+ {printf(" "); ECHO; BEGIN TEXT;}
```

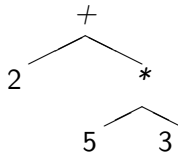
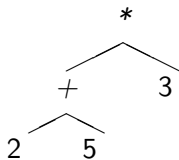
```
{punct}+ {ECHO; BEGIN PUNCT;}
```

```
\n {ECHO; BEGIN INITIAL;}
```

Syntactical analysis

Function of syntactical analysis

- ▶ Recognize statements: loops, assignments &c
- ▶ Convert to internal representation: parse trees



- ▶ Semantics: define/use sequence &c

Grammars

- ▶ Backus Naur, or other formalism

- ▶ In \LaTeX : `bnf.sty`

```
\begin{bnf}
```

```
Expr: number Tail.
```

```
Tail:  $\epsilon$  ; + number Tail; * number Tail
```

```
\end{bnf}
```

Output:

$$Expr \longrightarrow \text{number Tail}$$

$$Tail \longrightarrow \epsilon \mid + \text{number Tail}$$

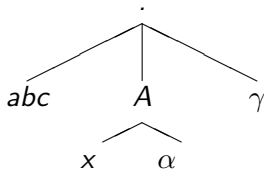
$$\mid * \text{number Tail}$$

(use my `bnf.env`)

- ▶ most language constructs are context-free

Concepts

- ▶ Grammar rules $A \rightarrow x\alpha$
- ▶ Derivations $abcA\gamma \Rightarrow abcx\alpha\gamma$
- ▶ Parse tree



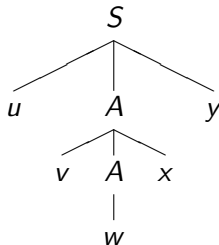
Context-free languages

Definition

- ▶ Grammatical: only rules $A \rightarrow \alpha$
- ▶ From automata: pushdown automata

Pumping lemma

- ▶ For every language there is an n such that
 - ▶ strings longer than n can be written $uvwx$
 - ▶ and for all k : uv^kwx^ky also in the language
- ▶ Proof:



- ▶ Non-{context-free} language: $\{a^n b^n c^n\}$

Deterministic and non-deterministic

- ▶ No equivalence
- ▶ Deterministic: $L_c = \{\alpha c \alpha^R \mid c \notin \alpha\}$
- ▶ Non-deterministic: $L = \{\alpha \alpha^R\}$

Algebra of languages

- ▶ Expressions \mathbf{x} and \mathbf{y} denote languages, then
 - ▶ union: $\mathbf{x} + \mathbf{y} = \mathbf{x} \cup \mathbf{y}$
 - ▶ concatenation: $\mathbf{xy} = \{w = xy \mid x \in \mathbf{x}, y \in \mathbf{y}\}$
 - ▶ repetition: $\mathbf{x}^* = \{w = x^n \mid x \in \mathbf{x}, n \geq 0\}$

Algebra: solving equations

- ▶ Equation: $\mathbf{x} = \mathbf{a} + \mathbf{x}\mathbf{b}$
- ▶ Interpretation: $\mathbf{x} = \mathbf{a} \cup \{w = \mathbf{x}\mathbf{b} \mid \mathbf{x} \in \mathbf{x}, \mathbf{b} \in \mathbf{b}\}$
- ▶ Solving:
 - ▶ first of all $\mathbf{x} \supset \mathbf{a}$
 - ▶ then also $\mathbf{x} \supset \mathbf{a} \cdot \mathbf{b}$
 - ▶ continuing: $\mathbf{x} \supset \mathbf{abb}, \dots$
- ▶ verify: $\mathbf{x} = \mathbf{ab}^*$

Algebra: solving equations

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 - ▶ then also $\mathbf{x} \supset \mathbf{a} \cdot \mathbf{b}$
 - ▶ continuing: $\mathbf{x} \supset \mathbf{abb}, \dots$
- ▶ verify: $\mathbf{x} = \mathbf{ab}^*$
- ▶ Numerically: $x = a/(1 - b)$

Derive normal form

- ▶ Normal form: $A \rightarrow a\alpha$
- ▶ Write grammar of context-free language as $\mathbf{x}^t = \mathbf{x}^t \mathbf{A} + \mathbf{f}^t$,
where \mathbf{x} non-terminals, \mathbf{f} rhs that are of normal form,
 $\mathbf{x}^t \mathbf{A}$ describes normal form rhs

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- ▶ Example:

$$S \rightarrow aSb|XY|c$$

$$X \rightarrow YXc|b$$

$$Y \rightarrow XS$$

$$[S, X, Y] = [S, X, Y] \begin{bmatrix} \phi & \phi & \phi \\ Y & \phi & S \\ \phi & Xc & \phi \end{bmatrix} + [aSb + c, b, \phi]$$

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- ▶ Solution:

$$\mathbf{x}^t = \mathbf{f}^t \mathbf{A}^*$$

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- ▶ Solution:

$$\mathbf{x}^t = \mathbf{f}^t \mathbf{A}^*$$

- ▶ Needed: more explicit expression for \mathbf{A}^* .

- ▶ Note $\mathbf{A}^* = \lambda + \mathbf{A}\mathbf{A}^*$
- ▶ then normal form:

$$\mathbf{x}^t = \mathbf{f}^t + \mathbf{f}^t \mathbf{A} \mathbf{A}^* = \mathbf{f}^t + \mathbf{f}^t \mathbf{B}$$

where $\mathbf{B} = \mathbf{A} \mathbf{A}^*$.

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where $\mathbf{B} = \mathbf{A}\mathbf{A}^*$.

- ▶ \mathbf{B} :

$$\mathbf{B} = \mathbf{A}\mathbf{A}^* = \mathbf{A} + \mathbf{A}\mathbf{A}\mathbf{A}^* = \mathbf{A} + \mathbf{A}\mathbf{B}$$

not necessarily normal form

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$$\mathbf{x}^t = \mathbf{f}^t + \mathbf{f}^t \mathbf{A} \mathbf{A}^* = \mathbf{f}^t + \mathbf{f}^t \mathbf{B}$$

where $\mathbf{B} = \mathbf{A}\mathbf{A}^*$.

- ▶ \mathbf{B} :

$$\mathbf{B} = \mathbf{A}\mathbf{A}^* = \mathbf{A} + \mathbf{A}\mathbf{A}\mathbf{A}^* = \mathbf{A} + \mathbf{A}\mathbf{B}$$

not necessarily normal form

- ▶ Elements of \mathbf{A} that start with a nonterminal can only start with nonterminals in \mathbf{x} . Hence substitute a rule from equation above.

Parsing strategies

Top-down parsing

- ▶ Start with S on the stack, replace by appropriate rule, guided by input
- ▶ Example: expression $2*5+3$, which is produced by the grammar

$$Expr \longrightarrow number\ Tail$$
$$Tail \longrightarrow \epsilon \mid +\ number\ Tail \mid *\ number\ Tail$$

initial queue:	2 * 5 + 3	
start symbol on stack:		Expr
replace		number Tail
match	* 5 + 3	Tail
replace		* number Tail
match	5 + 3	number Tail
match	+ 3	Tail
replace		+ number Tail
match	3	number Tail
match	€	Tail
match		

$$E \Rightarrow n T \Rightarrow n * n T \Rightarrow n * n + n T \Rightarrow n * n + n$$

LL(1)

Equivalent grammar:

$$Expr \longrightarrow number \mid number + Expr \mid number * Expr$$

assuming one more token look-ahead:

initial queue:	2 * 5 + 3	
start symbol on stack:		Expr
replace		number * Expr
match	5 + 3	Tail
replace		number + Expr
match	3	Expr
replace	3	number
match	ε	

LL(2)

LL is recursive descent

Finding of proper rule:

```
define FindIn(Sym,NonTerm)
  for all expansions of NonTerm:
    if leftmost symbol == Sym
      then found
    else if leftmost symbol is nonterminal
      then FindIn(Sym,that leftmost symbol)
FindIn(symbol,S);
```

Problems with $LL(k)$

- ▶ Some grammars are not $LL(k)$ for any k :
if $A < B$ and $A < B >$ both legal
- ▶ Infinite loop:

$$Expr \longrightarrow number \mid Expr + number \mid Expr * number$$

Bottom-up: Shift-reduce

- ▶ Recognize productions from terminals
- ▶ Example: expression $2 * 5 + 3$ produced by

$$E \longrightarrow \textit{number} \mid E + E \mid E * E$$

	stack	queue
initial state:		$2 * 5 + 3$
shift	2	$*5+3$
reduce	E	$*5+3$
shift	E^*	$5+3$
shift	$E*5$	$+3$
reduce	E^*E	$+3$
reduce	E	$+3$
shift, shift, reduce	$E+E$	
reduce	E	

$$E \Rightarrow E + E \Rightarrow E + 3 \Rightarrow E * E + 3 \Rightarrow E * 5 + 3 \Rightarrow 2 * 5 + 3$$

$LR(0)$

Where to start reducing?

- ▶ 'Greedy' reducing is not always best
- ▶ Grammar:

$$S \longrightarrow aAcBe$$

$$A \longrightarrow bA \mid b$$

$$B \longrightarrow d$$

and string `abbcde`.

- ▶ Derivation 1:

$$abbcde \Leftarrow abAcde \Leftarrow aAcde \Leftarrow aAcBe \Leftarrow S.$$

- ▶ Derivation 2:

$$abbcde \Leftarrow aAbcde \Leftarrow aAAcde \Leftarrow ?$$

Handle

If $S \Rightarrow^ \alpha Aw \Rightarrow \alpha \beta w$ is a right-most derivation, then $A \rightarrow \beta$ at the position after α is a handle of αAw .*

Question: how to find handles

Operator-precedence grammars

- ▶ Operator grammar: 'expr-op-expr'
- ▶ Formally: never two consecutive non-terminals, and no rules $A \rightarrow \epsilon$.
- ▶ Declare precedences (and associativity)

	number	+	×
number		>	>
+	<	>	<
×	<	>	>

- ▶ Annotate expression: $5 + 2 * 3$ becomes $\langle 5 \rangle + \langle 2 \rangle * \langle 3 \rangle$
- ▶ Reducing: $E + E * E$
- ▶ Insert precedences: $\langle + \rangle \langle * \rangle$
- ▶ Scan forward to closing, back to open: $\langle E * E \rangle$ is handle
- ▶ Reduce: $E + E$
- ▶ \Rightarrow precedences correctly observed
- ▶ (note: no global scanning; still shift-reduce like)

Definition of LR parser

An LR parser has the following components

- ▶ Stack and input queue; stack will also contain states
- ▶ Actions 'shift', 'reduce', 'accept', 'error'
- ▶ Functions Action and Goto
 - ▶ With input symbol a and state on top of the stack s :
 - ▶ If $\text{Action}(a, s)$ is 'shift', then a and a new state $s' = \text{Goto}(a, s)$ are pushed on the stack.
 - ▶ If $\text{Action}(a, s)$ is 'reduce $A \rightarrow \beta$ ' where $|\beta| = r$, then $2r$ symbols are popped from the stack, a new state $s' = \text{Goto}(a, s'')$ is computed based on the newly exposed state on the top of the stack, and A and s' are pushed. The input symbol a stays in the queue.

More powerful than simple shift/reduce; states much more complicated

motivating example

- ▶ Grammar

$$E \longrightarrow E + E \mid E * E$$

input string $1 + 2 * 3 + 4$.

- ▶ Define precedences: $\mathbf{op}(+) = 1, \mathbf{op}(\times) = 2$
- ▶ Define states as; initially state 0
- ▶ Transitions: push operator precedence, do not change state for numbers
- ▶ Shift/reduce strategy: reduce if precedence of input lower than of stack top

1 S_0	$1 + 2 * 3 + 4$	push symbol; highest precedence is 0
1 $S_0 + S_1$	$+ 2 * 3 + 4$	highest precedence now becomes 1
1 $S_0 + S_1$ 2 S_1	$2 * 3 + 4$	
1 $S_0 + S_1$ 2 S_1	$* 3 + 4$	highest precedence becoming 2
1 $S_0 + S_1$ 2 $S_1 * S_2$	$3 + 4$	
1 $S_0 + S_1$ 2 $S_1 * S_2$ 3 S_2	$+ 4$	reduce because op (+) < 2
1 $S_0 + S_1$ 6 S_1	$+ 4$	the highest exposed precedence is 1
1 $S_0 + S_1$ 6 $S_1 + S_1$	4	
1 $S_0 + S_1$ 6 $S_1 + S_1$ 4 S_1		at the end of the queue we reduce
1 $S_0 + S_1$ 10 S_1		
11		

Parser states

item Grammar rule with location indicated.

From $A \rightarrow B C$ items: $A \rightarrow \bullet B C$, $A \rightarrow B \bullet C$,
 $A \rightarrow B C \bullet$
 (stack is left of dot, queue right)

closure of an item The smallest set that

- ▶ Contains that item;
- ▶ If I in closure and $I = A \rightarrow \alpha \bullet B \beta$ with B nonterminal, then I contains all items $B \rightarrow \bullet \gamma$.

state Set of items.

follow of A : set of all terminals that can follow
 A 's expansions

Motivation: valid items

- ▶ Recognized so far: $\alpha\beta_1$
- ▶ Consider item $A \rightarrow \beta_1 \bullet \beta_2$
- ▶ Item is called *valid*, if rightmost derivation

$$S \Rightarrow^* \alpha A w \Rightarrow \alpha \beta_1 \beta_2 w$$

- ▶ Case: $\beta_2 = \epsilon$, then $A \rightarrow \beta_1$ handle: reduce
- ▶ Case: $\beta_2 \neq \epsilon$, so shift β_2 .

example of valid items

- ▶ String $E+T^*$ in grammar:

$$E \longrightarrow E+T \mid T$$

$$T \longrightarrow T^*F \mid F$$

$$F \longrightarrow (E) \mid id$$

- ▶ Derivations

$$E \Rightarrow E+T \Rightarrow E+T^*F$$

$$E \Rightarrow E+T \Rightarrow E+T^*F \Rightarrow E+T^*(E)$$

$$E \Rightarrow E+T \Rightarrow E+T^*F \Rightarrow E+T^*id$$

give items $T \rightarrow T^*\bullet F$ $F \rightarrow \bullet(E)$ $F \rightarrow \bullet id$

States and transitions

- ▶ New start symbol S' , added production $S' \rightarrow S$.
- ▶ Starting state is closure of $S' \rightarrow \bullet S$.
- ▶ Transition $d(s, X)$: the closure of

$$\{A \rightarrow \alpha X \bullet \beta \mid A \rightarrow \alpha \bullet X \beta \text{ is in } s\}$$

- ▶ The initial state is the closure of $S' \rightarrow \bullet S$.

Grammar: $S \rightarrow (S)S \mid \epsilon$

States (after adding $S' \rightarrow .S$):

with transitions ($\{A \rightarrow \alpha \bullet X \beta \in s \Rightarrow (cl)(A \rightarrow \alpha X \bullet \beta)\}$):

Grammar: $S \rightarrow (S)S \mid \epsilon$

States (after adding $S' \rightarrow .S$):

0. $\{S' \rightarrow .S, S \rightarrow .(S)S, S \rightarrow .\}$

with transitions ($\{A \rightarrow \alpha \bullet X \beta \in s \Rightarrow (cl)(A \rightarrow \alpha X \bullet \beta)\}$):

Grammar: $S \rightarrow (S)S \mid \epsilon$

States (after adding $S' \rightarrow .S$):

0. $\{S' \rightarrow .S, S \rightarrow .(S)S, S \rightarrow .\}$
1. $\{S' \rightarrow S.\}$

with transitions ($\{A \rightarrow \alpha \bullet X \beta \in s \Rightarrow (cl)(A \rightarrow \alpha X \bullet \beta)\}$):

Grammar: $S \rightarrow (S)S \mid \epsilon$

States (after adding $S' \rightarrow .S$):

0. $\{S' \rightarrow .S, S \rightarrow .(S)S, S \rightarrow .\}$
1. $\{S' \rightarrow S.\}$
2. $\{S \rightarrow (.S)S, S \rightarrow .(S)S, S \rightarrow .\}$

with transitions ($\{A \rightarrow \alpha \bullet X \beta \in s \Rightarrow (cl)(A \rightarrow \alpha X \bullet \beta)\}$):

Grammar: $S \rightarrow (S)S \mid \epsilon$

States (after adding $S' \rightarrow .S$):

0. $\{S' \rightarrow .S, S \rightarrow .(S)S, S \rightarrow .\}$
1. $\{S' \rightarrow S.\}$
2. $\{S \rightarrow (.S)S, S \rightarrow .(S)S, S \rightarrow .\}$
3. $\{S \rightarrow (S.)S\}$

with transitions ($\{A \rightarrow \alpha \bullet X \beta \in s \Rightarrow (cl)(A \rightarrow \alpha X \bullet \beta)\}$):

Grammar: $S \rightarrow (S)S \mid \epsilon$

States (after adding $S' \rightarrow .S$):

0. $\{S' \rightarrow .S, S \rightarrow .(S)S, S \rightarrow .\}$
1. $\{S' \rightarrow S.\}$
2. $\{S \rightarrow (.S)S, S \rightarrow .(S)S, S \rightarrow .\}$
3. $\{S \rightarrow (S.)S\}$
4. $\{S \rightarrow (S).S, S \rightarrow .(S)S, S \rightarrow .\}$

with transitions ($\{A \rightarrow \alpha \bullet X \beta \in s \Rightarrow (cl)(A \rightarrow \alpha X \bullet \beta)\}$):

Grammar: $S \rightarrow (S)S \mid \epsilon$

States (after adding $S' \rightarrow .S$):

0. $\{S' \rightarrow .S, S \rightarrow .(S)S, S \rightarrow .\}$
1. $\{S' \rightarrow S.\}$
2. $\{S \rightarrow (.S)S, S \rightarrow .(S)S, S \rightarrow .\}$
3. $\{S \rightarrow (S.)S\}$
4. $\{S \rightarrow (S).S, S \rightarrow .(S)S, S \rightarrow .\}$
5. $\{S \rightarrow (S)S.\}$

with transitions $(\{A \rightarrow \alpha \bullet X \beta \in s \Rightarrow (cl)(A \rightarrow \alpha X \bullet \beta)\})$:

Grammar: $S \rightarrow (S)S \mid \epsilon$

States (after adding $S' \rightarrow .S$):

0. $\{S' \rightarrow .S, S \rightarrow .(S)S, S \rightarrow .\}$
1. $\{S' \rightarrow S.\}$
2. $\{S \rightarrow (.S)S, S \rightarrow .(S)S, S \rightarrow .\}$
3. $\{S \rightarrow (S.)S\}$
4. $\{S \rightarrow (S).S, S \rightarrow .(S)S, S \rightarrow .\}$
5. $\{S \rightarrow (S)S.\}$

with transitions ($\{A \rightarrow \alpha \bullet X \beta \in s \Rightarrow (cl)(A \rightarrow \alpha X \bullet \beta)\}$):

- $d(0, S) = 1$

Grammar: $S \rightarrow (S)S \mid \epsilon$

States (after adding $S' \rightarrow .S$):

0. $\{S' \rightarrow .S, S \rightarrow .(S)S, S \rightarrow .\}$
1. $\{S' \rightarrow S.\}$
2. $\{S \rightarrow (.S)S, S \rightarrow .(S)S, S \rightarrow .\}$
3. $\{S \rightarrow (S.)S\}$
4. $\{S \rightarrow (S).S, S \rightarrow .(S)S, S \rightarrow .\}$
5. $\{S \rightarrow (S)S.\}$

with transitions ($\{A \rightarrow \alpha \bullet X \beta \in s \Rightarrow (cl)(A \rightarrow \alpha X \bullet \beta)\}$):

- ▶ $d(0, S) = 1$
- ▶ $d(0, ' (') = 2$

Grammar: $S \rightarrow (S)S \mid \epsilon$

States (after adding $S' \rightarrow .S$):

0. $\{S' \rightarrow .S, S \rightarrow .(S)S, S \rightarrow .\}$
1. $\{S' \rightarrow S.\}$
2. $\{S \rightarrow (.S)S, S \rightarrow .(S)S, S \rightarrow .\}$
3. $\{S \rightarrow (S.)S\}$
4. $\{S \rightarrow (S).S, S \rightarrow .(S)S, S \rightarrow .\}$
5. $\{S \rightarrow (S)S.\}$

with transitions ($\{A \rightarrow \alpha \bullet X \beta \in s \Rightarrow (cl)(A \rightarrow \alpha X \bullet \beta)\}$):

- ▶ $d(0, S) = 1$
- ▶ $d(0, ' (') = 2$
- ▶ $d(2, S) = 3$

Grammar: $S \rightarrow (S)S \mid \epsilon$

States (after adding $S' \rightarrow .S$):

0. $\{S' \rightarrow .S, S \rightarrow .(S)S, S \rightarrow .\}$
1. $\{S' \rightarrow S.\}$
2. $\{S \rightarrow (.S)S, S \rightarrow .(S)S, S \rightarrow .\}$
3. $\{S \rightarrow (S.)S\}$
4. $\{S \rightarrow (S).S, S \rightarrow .(S)S, S \rightarrow .\}$
5. $\{S \rightarrow (S)S.\}$

with transitions ($\{A \rightarrow \alpha \bullet X \beta \in s \Rightarrow (cl)(A \rightarrow \alpha X \bullet \beta)\}$):

- ▶ $d(0, S) = 1$
- ▶ $d(0, ' (') = 2$
- ▶ $d(2, S) = 3$
- ▶ $d(2, ' (') = 2$

Grammar: $S \rightarrow (S)S \mid \epsilon$

States (after adding $S' \rightarrow .S$):

0. $\{S' \rightarrow .S, S \rightarrow .(S)S, S \rightarrow .\}$
1. $\{S' \rightarrow S.\}$
2. $\{S \rightarrow (.S)S, S \rightarrow .(S)S, S \rightarrow .\}$
3. $\{S \rightarrow (S.)S\}$
4. $\{S \rightarrow (S).S, S \rightarrow .(S)S, S \rightarrow .\}$
5. $\{S \rightarrow (S)S.\}$

with transitions ($\{A \rightarrow \alpha \bullet X \beta \in s \Rightarrow (cl)(A \rightarrow \alpha X \bullet \beta)\}$):

- ▶ $d(0, S) = 1$
- ▶ $d(0, ' (') = 2$
- ▶ $d(2, S) = 3$
- ▶ $d(2, ' (') = 2$
- ▶ $d(3, ') ') = 4$

Grammar: $S \rightarrow (S)S \mid \epsilon$

States (after adding $S' \rightarrow .S$):

0. $\{S' \rightarrow .S, S \rightarrow .(S)S, S \rightarrow .\}$
1. $\{S' \rightarrow S.\}$
2. $\{S \rightarrow (.S)S, S \rightarrow .(S)S, S \rightarrow .\}$
3. $\{S \rightarrow (S.)S\}$
4. $\{S \rightarrow (S).S, S \rightarrow .(S)S, S \rightarrow .\}$
5. $\{S \rightarrow (S)S.\}$

with transitions ($\{A \rightarrow \alpha \bullet X \beta \in s \Rightarrow (cl)(A \rightarrow \alpha X \bullet \beta)\}$):

- ▶ $d(0, S) = 1$
- ▶ $d(0, ' (') = 2$
- ▶ $d(2, S) = 3$
- ▶ $d(2, ' (') = 2$
- ▶ $d(3, ' ') = 4$
- ▶ $d(4, S) = 5$

Grammar: $S \rightarrow (S)S \mid \epsilon$

States (after adding $S' \rightarrow .S$):

0. $\{S' \rightarrow .S, S \rightarrow .(S)S, S \rightarrow .\}$
1. $\{S' \rightarrow S.\}$
2. $\{S \rightarrow (.S)S, S \rightarrow .(S)S, S \rightarrow .\}$
3. $\{S \rightarrow (S.)S\}$
4. $\{S \rightarrow (S).S, S \rightarrow .(S)S, S \rightarrow .\}$
5. $\{S \rightarrow (S)S.\}$

with transitions ($\{A \rightarrow \alpha \bullet X \beta \in s \Rightarrow (cl)(A \rightarrow \alpha X \bullet \beta)\}$):

- ▶ $d(0, S) = 1$
- ▶ $d(0, ' (') = 2$
- ▶ $d(2, S) = 3$
- ▶ $d(2, ' (') = 2$
- ▶ $d(3, ' ') = 4$
- ▶ $d(4, S) = 5$
- ▶ $d(4, ' (') = 2$

Stack handling

Loop:

- (1) **if** the current state contains $S' \rightarrow S \bullet$
accept the string
- (2) **else if** the current state contains any other final item $A \rightarrow \alpha \bullet$
pop all the tokens in α from the stack,
along with the corresponding states;
let s be the state left on top of the stack:
push A , push $d(s, A)$
- (3) **else if** the current state contains any item $A \rightarrow \alpha \bullet x \beta$,
where x is the next input token
let s be the state on top of the stack: push x , push $d(s, x)$
- else** report failure

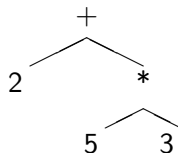
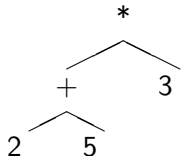
Ambiguity and conflicts

Shift/reduce conflict

- ▶ Grammar for $2 + 5 * 3$:

$$\langle \text{expr} \rangle \longrightarrow \langle \text{number} \rangle \mid \langle \text{expr} \rangle + \langle \text{expr} \rangle \mid \langle \text{expr} \rangle \times \langle \text{expr} \rangle$$

- ▶ interpretations:



- ▶ Parse: reduce $2 + 5$ to $\langle \text{expr} \rangle + \langle \text{expr} \rangle$, then reduce to $\langle \text{expr} \rangle$, or shift the minus?

solutions

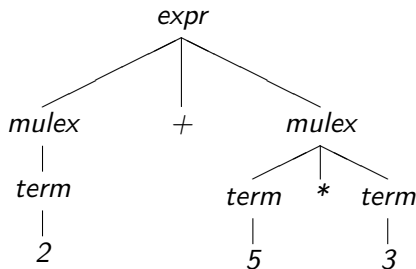
- ▶ Reformulate the grammar as

$$\langle \text{expr} \rangle \longrightarrow \langle \text{mulex} \rangle \mid \langle \text{mulex} \rangle + \langle \text{mulex} \rangle$$

$$\langle \text{mulex} \rangle \longrightarrow \langle \text{term} \rangle \mid \langle \text{term} \rangle \times \langle \text{term} \rangle$$

$$\langle \text{term} \rangle \longrightarrow \text{number}$$

- ▶ new parse:



- ▶ Introduce precedence of operators.
Possibly more efficient if large number of operators.

'Dangling else'

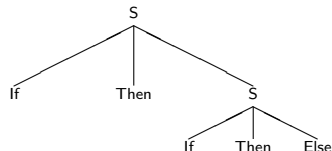
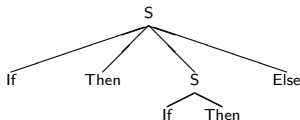
- Consider the grammar

$$\langle \text{statement} \rangle \longrightarrow \text{if } \langle \text{clause} \rangle \text{ then } \langle \text{statement} \rangle \mid \\ \text{if } \langle \text{clause} \rangle \text{ then } \langle \text{statement} \rangle \text{ else } \langle \text{statement} \rangle$$

- string

if c_1 then if c_2 then s_1 else s_2

- Interpretations:



Reduce/reduce conflict

- ▶ Grammar for $x \ y \ c$

$$A \longrightarrow B \ c \ d \mid E \ c \ f$$

$$B \longrightarrow x \ y$$

$$E \longrightarrow x \ y$$

- ▶ $LR(1)$ parser: shift $x \ y$,
then reduce to B or E ?
- ▶ $LR(2)$ parser: sees d or f
- ▶ An LL parser: ambiguity in the first 3 tokens
 $LL(4)$ parser can see d or f .

- ▶ Grammar for $x y c^n \{d|f\}$:

$$A \longrightarrow B C d \mid E C f$$

$$B \longrightarrow x y$$

$$E \longrightarrow x y$$

$$C \longrightarrow c \mid C c$$

- ▶ confusing for any $LR(n)$ or $LL(n)$ parser with a fixed amount of look-ahead
- ▶ rewrite:

$$A \longrightarrow BorE c d \mid BorE c f$$

$$BorE \longrightarrow x y$$

or (for an $LL(n)$ parser):

$$A \longrightarrow BorE c tail$$

$$tail \longrightarrow d \mid f$$

$$BorE \longrightarrow x y$$

yacc

yacc and *lex*

- ▶ *lex* produces tokens
- ▶ *yacc* analyzes sequences of tokens
- ▶ lexer returns on recognizing a token
- ▶ main program in *yacc* code

File structure

```
...definitions...  
%%  
...rules...  
%%  
...code...
```

- Default main calls `yyparse`

Example: yacc code header

File name words.y

```
%{
```

```
#include <stdlib.h>
```

```
#include <string.h>
```

```
    int ylex(void);
```

```
#include "words.h"
```

```
    int nwords=0;
```

```
#define MAXWORDS 100
```

```
    char *words[MAXWORDS];
```

```
%}
```

```
%token WORD
```

```
%%
```

include file

Generated by running *yacc*:

```
%% cat words.h  
#define WORD 257
```

Example: *lex* code

```
%{  
  
#include "words.h"  
int find_word(char*);  
extern int yylval;  
%}  
  
%%  
  
[a-zA-Z]+ {yylval = find_word(yytext);  
          return WORD;}  
.  
\n  
%%
```

```
text : ;
      | text WORD ; {
          if ($2<0) printf("new word\n");
          else printf("matched word %d\n",$2);
      }

%%

int find_word(char *w)
{ int i;
  for (i=0; i<nwords; i++)
    if (strcmp(w,words[i])==0) return i;
  words[nwords++] = strdup(w); return -1;
}

int main(void)
{
  yyparse();
  printf("there were %d unique words\n",nwords);
}
```


Running *lex* and *yacc*

```
/* create and compile yacc C file */  
yacc -d -t -o YACCFILE.c YACCFILE.y  
cc -c -o YACCFILE.o YACCFILE.c
```

```
/* create and compile lex C file */  
lex -t LEXFILE.l > LEXFILE.c  
cc -c -o LEXFILE.o LEXFILE.c
```

```
/* link together */  
cc YACCFILE.o LEXFILE.o -o YACCPROGRAM -ly -ll
```

Make with suffix rules

```
# disable normal rules
.SUFFIXES:
.SUFFIXES: .l .y .o
# lex rules
.l.o :
    lex -t $*.l > $*.c
    cc -c $*.c -o $*.o
# yacc rules
.y.o :
    if [ ! -f $*.h ] ; then touch $*.h ; fi
    yacc -d -t -o $*.c $*.y
    cc -c -o $*.o $*.c ;
    rm $*.c
# link lines
lexprogram : $(LEXFILE).o
    cc $(LEXFILE).o -o $(LEXFILE) -ll
yaccprogram : $(YACCFILE).o $(LEXFILE).o
    cc $(YACCFILE).o $(LEXFILE).o -o $(YACCFILE) -ly -ll
```

yacc definitions section

- ▶ C code in between `%{ ... %}`
- ▶ Token definitions: the *lex* return tokens
- ▶ Associativity rules (later)

Tokens

- ▶ Definition: `%token F00`
- ▶ In `.h` file: `#define F00 257` (or so)
- ▶ `/ex` code: `return F00`

Returning values over the stack

- ▶ *lex* assigns to `yylval`
- ▶ value is put on top of stack
- ▶ if a *yacc* rule is matched: `$1`, `$2`, `$3` are assigned (as many as elements in rhs)
- ▶ replace stack top: assign to `$$`

Calculator example: *lex* code

```
%{  
#include "calc1.h"  
void yyerror(char*);  
extern int yylval;  
%}  
  
%%  
[ \t]+ ;  
[0-9]+      {yylval = atoi(yytext);  
             return INTEGER;}  
[-+*/]      {return *yytext;}  
"("         {return *yytext;}  
")"         {return *yytext;}  
"\n"        {return *yytext;}  
.  
            {char msg[25];  
             sprintf(msg,"%s <%s>", "invalid character", yytext);  
             yyerror(msg);}
```

Calculator example: *yacc* code

```
%{  
int yylex(void);  
#include "calc1.h"  
%}  
  
%token INTEGER  
  
%%  
  
program:  
    line program  
    | line  
  
line:  
    expr '\n'          { printf("%d\n", $1); }  
    | 'n'
```

Calculator example: yacc code, cont'd

```
expr:
    expr '+' mulex    { $$ = $1 + $3; }
    | expr '-' mulex   { $$ = $1 - $3; }
    | mulex            { $$ = $1; }

mulex:
    mulex '*' term     { $$ = $1 * $3; }
    | mulex '/' term    { $$ = $1 / $3; }
    | term              { $$ = $1; }

term:
    '(' expr ')'        { $$ = $2; }
    | INTEGER           { $$ = $1; }
```


Calculator with variables

- ▶ Simple case: single letter variables
- ▶ more complicated: names
- ▶ Extra rule: assignments
- ▶ *lex* returns
 - ▶ double values
 - ▶ int index of variable

Multiple return types

- Declare possible return types:

```
%union {int ival; double dval;}
```

- Connect types to return tokens:

```
%token <ival> NAME
```

```
%token <dval> NUMBER
```

- The types of non-terminals need to be given:

```
%type <dval> expr
```

```
%type <dval> mulex
```

```
%type <dval> term
```

- In .h file will now have

```
#define name 258
```

```
#define NUMBER 259
```

```
typedef union {int ival; double dval;} YYSTYPE;
```

```
extern YYSTYPE yylval;
```

Multiple return types: *lex* code

```
[ \t]+ ;  
((([0-9]+(\.[0-9]*)?)|([0-9]*\.[0-9]+)) {  
    yylval.dval = atof(yytext);  
    return DOUBLE;}  
[-+*/=]    {return *yytext;}  
"("        {return *yytext;}  
")"        {return *yytext;}  
[a-z]      {yylval.ivar = *yytext - 'a';  
            return NAME;} /* more later */  
\n        {return *yytext;}  
.  
    sprintf(msg,"%s <%s>", "invalid character", yytext);  
    yerror(msg);}
```

Example: calculator with variables

Tokens are double numbers, or variables (int index in table)

```
%{  
#define NVAR 100  
char *vars[NVAR]; double vals[NVAR]; int nvars=0;  
%}  
%union { double dval; int ivar; }  
%token <dval> DOUBLE  
%token <ivar> NAME  
%type <dval> expr  
%type <dval> mulex  
%type <dval> term
```

Symbol table handling

- ▶ *lex* parses variable names:

```
[a-z][a-z0-9]* {  
    yylval.ivar = varindex(yytext);  
    return NAME;}  
}
```

- ▶ names are dynamically stored:

```
int varindex(char *var)  
{  
    int i;  
    for (i=0; i<nvars; i++)  
        if (strcmp(var,vars[i])==0) return i;  
    vars[nvars] = strdup(var);  
    return nvars++;  
}
```

Arithmetic

Largely as before:

expr:

expr '+' mulex	{ \$\$ = \$1 + \$3; }
expr '-' mulex	{ \$\$ = \$1 - \$3; }
mulex	{ \$\$ = \$1; }

mulex:

mulex '*' term	{ \$\$ = \$1 * \$3; }
mulex '/' term	{ \$\$ = \$1 / \$3; }
term	{ \$\$ = \$1; }

term:

'(' expr ')'	{ \$\$ = \$2; }
NAME	{ \$\$ = vals[\$1]; }
DOUBLE	{ \$\$ = \$1; }

Assignments

line:

```
expr '\n'          { printf("%g\n",$1); }  
| NAME '=' expr '\n' { vals[$1] = $3; }
```

Operator precedence and associativity

Increasing precedence order:

```
%left '+' '-'
```

```
%left '*' '/'
```

```
%right '^'
```

```
%%
```

```
expr:
```

```
    expr '+' expr ;
```

```
    expr '-' expr ;
```

```
    expr '*' expr ;
```

```
    expr '/' expr ;
```

```
    expr '^' expr ;
```

```
    number ;
```


Unary operators

Declare non-associative;
indicate presence in rule

```
%left '-' '+'  
%nonassoc UMINUS  
%  
expression : expression '+' expression  
           | expression '-' expression  
           | '-' expression %prec UMINUS
```

Error handling

- Default: `yyerror` prints syntax error

- Better:

lex code:

```
\n    lineno++;
```

yacc code:

```
void yyerror(char *s)
{
    printf("Parsing failed in line %d because of %s\n",lineno,s);
    return;
}
```

- Your own error messages:

```
expr : name '[' name ']'
      {if (!is_array($1) yyerror("array name expected");
```

Error recovery

- Use of error token:

```
foo : bar baz ;  
    | error baz printf("Hope for the best\n");
```