## CECS 551 Statistical Learning Theory Exercises

## **Exercises**

- 1. A **decision stump** is a decision tree that has a single query node. Let  $\mathcal{F}$  denote the family of all decision stumps that classify points in  $\mathcal{R}^2$ , where a query is limited to either  $x \geq a$ ? or  $y \geq a$ ?, for arbitrary real number a. Determine  $VC(\mathcal{F})$ .
- 2. A binary tree T is said to be **full** iff every internal node of T has two children. Prove that the number of leaves of a full tree is one more than the number of internal nodes.
- 3. Consider the family  $\mathcal{F}_n$  of binary decision trees having n query nodes, and that classify one-dimensional data points. Each query node of a tree has the form  $x \geq a$ ?, where a is a real number. Determine the  $VC(\mathcal{F}_n)$ . Defend your answer. How does this result carry over to higher dimensions?
- 4. A two-dimensional binary ellipse classifier e consists of a two-dimensional ellipse E. Moreover e classifies a two-dimensional vector  $\overline{x}$  as +1 iff either  $\overline{x}$  lies on the ellipse boundary or inside the ellipse. Otherwise it is classified as -1. If  $\mathcal{F}$  denotes the family of two-dimensional binary ellipse classifiers, then determine  $VC(\mathcal{F})$ . Defend your answer.
- 5. Prove that the set of hyperplane classifiers in  $\mathbb{R}^n$  have a VC-dimension of at least n+1. Hint: shatter the basis vectors of  $\mathbb{R}^n$ , plus one additional point.
- 6. Let  $\mathcal{F}_n$  denote the family of all neural networks with n total weight/bias parameters, and that classify points in  $\mathcal{R}^2$ . Provide a good lower-bound for  $VC(\mathcal{F}_n)$ . Assume each neuron has a discrete activation function.
- 7. Assume model  $\hat{f}$  has a training accuracy of 0.12 on a data set having size 10000. Moreover,  $\hat{f}$  was selected from a family having a VC dimension that is at most 15. Provide an upper bound for the expected risk of  $\hat{f}$  that is accurate with 90% certainty.