



# Technical laboratory standards for interpretation and reporting of acquired copy-number abnormalities and copy-neutral loss of heterozygosity in neoplastic disorders: a joint consensus recommendation from the American College of Medical Genetics and Genomics (ACMG) and the Cancer Genomics Consortium (CGC)

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**Disclaimer:** This laboratory standard is designed primarily as an educational resource for clinical laboratory geneticists to help them provide quality clinical laboratory genetic services. Adherence to this standard is voluntary and does not necessarily assure a successful medical outcome. This standard should not be considered inclusive of all proper procedures and tests or exclusive of other procedures and tests that are reasonably directed to obtaining the same results. In determining the propriety of any specific procedure or test, the clinical laboratory geneticist should apply his or her own professional judgment to the specific circumstances presented by the individual patient or specimen.

Clinical laboratory geneticists are encouraged to document in the patient's record the rationale for the use of a particular procedure or test, whether or not it is in conformance with this standard. They also are advised to take notice of the date any particular standard was adopted, and to consider other relevant medical and scientific information that becomes available after that date. It also would be prudent to consider whether intellectual property interests may restrict the performance of certain tests and other procedures.

The detection of acquired copy-number abnormalities (CNAs) and copy-neutral loss of heterozygosity (CN-LOH) in neoplastic disorders by chromosomal microarray analysis (CMA) has significantly increased over the past few years with respect to both the number of laboratories utilizing this technology and the broader number of tumor types being assayed. This highlights the importance of standardizing the interpretation and reporting of acquired variants among laboratories. To address this need, a clinical laboratory-focused workgroup was established to draft recommendations for the interpretation and reporting of acquired CNAs and CN-LOH in neoplastic disorders. This project is a collaboration between the American College of Medical Genetics and Genomics (ACMG) and the Cancer Genomics Consortium (CGC). The recommendations put forth by the workgroup are based on literature review, empirical data, and expert consensus of the workgroup members. A four-tier evidence-based categorization system for acquired CNAs and CN-

LOH was developed, which is based on the level of available evidence regarding their diagnostic, prognostic, and therapeutic relevance: tier 1, variants with strong clinical significance; tier 2, variants with some clinical significance; tier 3, clonal variants with no documented neoplastic disease association; and tier 4, benign or likely benign variants. These recommendations also provide a list of standardized definitions of terms used in the reporting of CMA findings, as well as a framework for the clinical reporting of acquired CNAs and CN-LOH, and recommendations for how to deal with suspected clinically significant germline variants.

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## INTRODUCTION

Genomic testing of hematologic malignancies and solid tumors at the time of disease presentation provides information that is crucial for diagnosis and management. This evaluation may include G-banded chromosome analysis, fluorescence in situ hybridization (FISH) analysis, chromosomal microarray analysis (CMA), gene expression and fusion studies, targeted gene sequencing, as well as gene sequencing panels.

The somatic genomic variants detected in the tumor tissue play a critical role in the patient's clinical management by aiding in the diagnosis, providing prognostic information, and helping in the choice of appropriate therapy. The types of somatic variants observed include numerical and structural chromosomal abnormalities, single-nucleotide variants (SNVs), nucleotide-level deletions, duplications and insertions (i.e., indels), and gene-level deletions and duplications. One type of somatic structural chromosomal rearrangements

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common in neoplastic disorders are copy-number abnormalities (CNAs), which are changes that represent acquired gains and losses of chromosomal material. High-resolution genome-wide CMA is being widely used in clinical laboratories to detect acquired CNAs and copy-neutral loss of heterozygosity (CN-LOH) in neoplastic disorders, and is providing important insights into the unique genomic copy-number profile of different tumor tissues. In recent years, the clinical utility of CMA has been well established in the diagnosis of several neoplastic disorders.<sup>1–7</sup>

The American College of Medical Genetics and Genomics (ACMG) technical standards and guidelines for CMA in neoplastic disorders includes a section on CMA results evaluation and interpretation.<sup>8</sup> This document provided the initial framework for interpreting CMA results in neoplastic disorders; however, it describes broad principles. The use of CMA in neoplastic disorders has significantly increased over the past few years with respect to both the number of laboratories utilizing this technology and the broader number of tumor types being assayed. In practice, the interpretation of CMA results remains relatively subjective and lacks standardization, resulting in inconsistent practices between clinical laboratories. The CNAs and CN-LOH detected by CMA in neoplastic disorders are in many cases critical for optimal patient care. This necessitates standardized interpretation and reporting of acquired variants using an evidence-based system to accurately establish their clinical significance.

To address this need, a clinical laboratory-focused workgroup was established to draft recommendations for the interpretations and reporting of acquired CNAs and CN-LOH in neoplastic disorders. This project is a collaboration between the ACMG Laboratory Quality Assurance (QA) Committee and the Cancer Genomics Consortium (CGC). The workgroup developed recommendations for categorizing CNAs and CN-LOH detected by CMA in neoplastic disorders into specific standard categories (i.e., tiers) of clinical significance based on objective criteria using an evidence-based weighting system. The term “acquired variants” is used in this document to refer to both acquired CNAs and CN-LOH.

These newly developed recommendations, which are described in detail below, include:

1. Standardized definitions of terms used to describe single variants or patterns of variants detected by CMA
2. A four-tier evidence-based categorization system for acquired CNAs and CN-LOH, which is based on the level of available evidence regarding their diagnostic, prognostic, and therapeutic relevance
3. CNA and CN-LOH examples in tiers 1 and 2 in various hematologic malignancies and solid tumors
4. Considerations regarding the interpretation and reporting of unanticipated clinically significant germline variants
5. A framework to standardize the clinical reporting of acquired CNAs and CN-LOH

Even though these proposed technical laboratory standards are intended for interpretation and reporting of acquired

variants detected by CMA in neoplastic disorders, the newly developed recommendations should be applicable to acquired structural variants (including CNAs) detected by sequencing-based approaches, as the clinical testing practices move increasingly toward these technologies.

## METHODS

These technical laboratory standards were informed by a review of the literature and current guidelines. Resources consulted included PubMed; current World Health Organization (WHO) and National Comprehensive Cancer Network (NCCN) guidelines; and relevant ACMG, Association for Molecular Pathology (AMP), and College of American Pathologists (CAP) guidelines. The workgroup members also used their expert opinion and empirical data to inform their recommendations. The proposed four-tier evidence-based categorization system for CNAs and CN-LOH was refined and extensively tested among the workgroup members using clinical cases from the members' diagnostic laboratories. In addition, input from the greater cancer genomics community was solicited during the annual CGC meeting when this tier system was first presented. Any conflicts of interests for workgroup members are listed at the end of the paper. The ACMG Laboratory QA Committee reviewed the document providing further input on the content, and a final draft was presented to the ACMG Board of Directors for review and approval to post on the ACMG website for member comment. Upon posting to the ACMG website, an email and link were sent to all ACMG members inviting participation in the 30-day open comment process. All members' comments and additional evidence received were assessed by the authors, and these recommendations were incorporated into the document as deemed appropriate. Member comments and author responses were reviewed by representatives of the ACMG Laboratory QA Committee and the ACMG Board of Directors. The final document was approved for publication by the ACMG and the CGC Board of Directors.

## DEFINITIONS OF SPECIFIC TERMS USED TO DESCRIBE SINGLE VARIANTS OR PATTERNS OF VARIANTS DETECTED BY CMA

In an attempt to standardize the terminology used to communicate results of clinical CMA, the workgroup reached consensus on the definitions of specific terms shown in Box 1, which describe genomic variants commonly detected by CMA in neoplastic disorders.<sup>9–11</sup>

## PROPOSED FOUR-TIER EVIDENCE-BASED CATEGORIZATION SYSTEM FOR ACQUIRED CNAS AND CN-LOH

The interpretation of clinical significance of acquired genomic variants is based on their impact on clinical care, including diagnostic, prognostic, and therapeutic significance. The weight of clinical impact of a genomic variant is gauged by the level of available evidence regarding its association with a specific diagnosis, disease outcome, and/or response to a

**Box 1:** Specific terms used to describe single variants or patterns of variants detected by CMA

- **Size/location of variant:**

**Focal:** Relatively small change (typically less than 5 Mb) that usually contains a known or suspected driver cancer gene

**Whole arm:** Change that involves the entire chromosome short (p) or long (q) arm

**Whole chromosome:** Change that involves the entire chromosome

**Interstitial:** Change mediated by at least two breaks within a chromosome p or q arm

**Terminal:** Change that includes the end of the p or q arm of the chromosome

**Intragenic:** Change that occurs within a single gene

**Proximal/distal:** Describes a position relative to the centromere and moving outward on the chromosome p or q arm

- **Type of variant:**

**Gain/loss:** Type of copy-number change observed. It is recommended that the term “gain” be used rather than “duplication.” Attempts should be made to determine the relative gain/loss in polyploid samples.

**Copy-number abnormalities (CNAs):** Neoplastic disease-associated changes that represent acquired gains or losses of chromosome material.

**Copy-neutral loss of heterozygosity (CN-LOH):** Allelic imbalance without an associated copy-number change. This is a somatic process occurring in tumors, and terms such as absence of heterozygosity (AOH), identity by descent (IBD), and uniparental disomy (UPD) should be used when the change is germline.

**Amplification:** High copy-number gain of sequences, typically containing oncogene(s) that are important for the cancer being studied. Note that the term should not be used to describe a single copy gain of chromosomal material or to describe gain due to polysomy. Standard thresholds used to represent amplification typically range from 3–5 fold increases over baseline ploidy (e.g., intrachromosomal amplification of chromosome 21 [iAMP21] in B-ALL) to >100 copies per genome (e.g., *MYCN* amplified neuroblastoma) and will vary depending on the type of tumor. The laboratory should establish specific copy-number threshold cutoffs that will be used to identify clonally amplified regions by correlating CMA results to established methodologies for different tumor types.

**Chromothripsis:** A copy-number profile that has alternating copy states in a single region—typically a single chromosome or chromosome arm—that contains at least ten distinct alternating copy-number segments.<sup>9–11</sup>

**Intrachromosomal complexity:** Summary of chromosomal regions that include more than two copy-number states, are largely confined to a single chromosome or chromosome arm, and contain at least five distinct copy-number segments. If clinically significant abnormalities (tiers 1 or 2) fall within a complex region, they may be reported individually.

**Genomic complexity:** Pattern of chromosome instability predominantly due to structural alterations resulting in widespread gains and losses of chromosomes or chromosomal regions in the majority of chromosomes.

particular treatment. The evidence used for variant categorization is weighted differently based on its likely impact on clinical decision-making. Additionally, the type and size of study providing this evidence is considered in the interpretation of the variants, with professional practice guidelines, large collaborative studies, and replicated studies carrying more weight than individual case reports. Based on literature review and workgroup consensus, the workgroup proposes categorizing genomic variants detected by CMA in neoplastic disorders into four tiers according to the level of evidence for their clinical significance as described below (Fig. 1). The workgroup adapted the levels of evidence published by the Oxford Centre for Evidence-Based Medicine (CEBM).<sup>12</sup> An attempt was made to keep these newly developed recommendations aligned, to the extent possible, with the recently published standards and guidelines for the interpretation and reporting of sequence variants in cancer.<sup>13</sup> The sequence variant guidelines introduce the concept that the

interpretation of somatic variants should focus on their impact on clinical care. In addition to their oncogenic role, they may be associated with a favorable or adverse prognosis, with sensitivity, resistance, or toxicity to a specific therapy, with eligibility for clinical trials, and/or with better diagnostic accuracy. The principles put forward for interpretation of somatic sequence variants are applicable for interpretation of somatic CNAs and CN-LOH, which can also serve as biomarkers of prognosis, sensitivity, or resistance to targeted therapies, and/or can support a diagnosis of a particular tumor type. In addition, there is an increasing trend in genomic oncology testing to use consolidated sequencing-based assays to detect somatic SNVs, indels, CNAs, and abnormal gene fusions in selected cancer-related genes. Reporting results of such integrated assays would not be practical if disparate sets of rules had to be applied for interpretation of SNVs and indels versus CNAs and CN-LOH. With the prediction that unbiased genome-wide evaluation

Tier 1: Variants with strong clinical significance (Diagnostic, prognostic, and/or therapeutic)	<b>Tier 1A</b> <ul style="list-style-type: none"><li>Acquired variants that define a specific entity in the WHO classification, are included in professional guidelines (e.g., NCCN, COG, IPSS), and/or can be treated with an FDA-approved drug</li><li>Germline pathogenic variants associated with cancer predisposition</li></ul>
	<b>Tier 1B</b> Acquired variants associated with a specific neoplasm, prognosis, or treatment response, as shown by <u>high or good quality evidence</u> (Levels 1, 2, and 3 CEBM evidence) with expert consensus and/or confirmed and reproduced by independent groups
Tier 2: Variants with some clinical significance (Diagnostic, prognostic, and/or therapeutic)	<ul style="list-style-type: none"><li>Recurrent acquired variants observed in different neoplasms but <u>not</u> specific to a particular tumor type</li><li>-----OR-----</li><li>Acquired variants associated with a specific neoplasm, prognosis, or treatment response, as shown by <u>average quality evidence</u> (Levels 4 and 5 CEBM evidence)</li></ul>
Tier 3: Clonal variants with no documented neoplastic disorder association	<ul style="list-style-type: none"><li>Acquired variants with no documented neoplastic disorder association</li><li>All variants that <u>do not</u> meet the criteria for Tiers 1 and 2, and cannot be classified as constitutional benign or likely benign</li></ul>
Tier 4: Benign or likely benign Variants	<ul style="list-style-type: none"><li>Constitutional benign or likely benign variants that are listed in the ClinGen curated benign variants and/or in the Database of Genomic Variants (DGV) with ≥1% population frequency</li><li>They usually do not encompass COSMIC cancer genes</li></ul>

**Fig. 1 Four-tier evidence-based categorization system for acquired copy-number abnormalities (CNAs) and copy-neutral loss of heterozygosity (CN-LOH) detected by chromosomal microarray analysis (CMA).** CEBM Oxford Centre for Evidence-Based Medicine, COG Children’s Oncology Group, COSMIC Catalogue of Somatic Mutations in Cancer, IPSS International Prognostic Scoring System for myelodysplastic syndromes, NCCN National Comprehensive Cancer Network, WHO World Health Organization.

for different types of genetic and genomic variants (including both sequence variants and numerical and structural chromosome rearrangements) may become feasible for cancer samples in the near future, a unified approach for the clinical interpretation, classification, and reporting of all somatic variants will become a necessity.

Tables 1 and 2 provide examples of CNAs and CN-LOH in tiers 1 and 2 in various hematologic malignancies and solid tumors.

- I. **Tier 1 (variants with strong clinical significance):** Variants with strong diagnostic, prognostic, and/or therapeutic clinical significance. They have been demonstrated to play a critical role in the oncogenic process under investigation. Based on the level of evidence available, tier 1 variants are further subdivided into:
- a. **Tier 1A:** Acquired variants or a specific pattern of acquired variants that fulfill one or more of the following criteria:
- Define a specific entity in the WHO classification.
  - Are included in professional clinical practice guidelines as clinically significant variants (e.g., NCCN, Children’s Oncology Group (COG), Myelodysplastic Syndromes (MDS) International

Prognostic Scoring System, International Myeloma Working Group Criteria).

- Can be treated by a targeted FDA approved drug. Tier 1A also includes germline pathogenic variants associated with cancer predisposition.

- b. **Tier 1B:** Acquired variants or a specific pattern of acquired variants with either:
- High quality evidence (levels 1 and 2 CEBM evidence) in the literature that shows association with a specific neoplasm, prognosis, or treatment response. This includes well-powered studies in the form of randomized controlled clinical trials, systematic review and meta-analysis of these studies, and cohort studies with consensus from experts in the field.
  - Good quality evidence (level 3 CEBM evidence) in the literature that shows association with a specific neoplasm, prognosis, or treatment response. This includes multiple (at least two) smaller clinical studies in the form of cohort or case-control studies that have been confirmed and reproduced by different independent groups.
- II. **Tier 2 (variants with some clinical significance):** Acquired variants or a specific pattern of acquired

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This table lists examples of tiers 1 and 2 genomic variants and is not intended to provide a comprehensive list of variants in each disease. It reflects the evidence available at the time the current technical standards were written.

Table 2 Tier examples in solid tumors

Disease	Tier 1A	Reference (PMID)	Tier 1B	Reference (PMID)	Tier 2	Reference (PMID)
<b>Central nervous system (CNS)</b>						
<b>Pilocytic astrocytoma</b>	7q34 dup/del resulting in <i>KIAA1549-BRAF</i> fusion <sup>D</sup> 17q11.2 del ( <i>NF1</i> ) <sup>GL</sup>	WHO 2016				
<b>Supratentorial ependymoma</b>	11q13.1 del resulting in <i>C11orf95-RELA</i> fusion <sup>D</sup>	WHO 2016	Chromothripsis 11q <sup>D</sup>	24553141		
<b>Ependymoma</b>	–22/22q12.2 del ( <i>NF2</i> ) <sup>D</sup>	WHO 2016	1q gain <sup>P</sup> 9p21.3 del ( <i>CDKN2A</i> ) <sup>P</sup>	25965575 28371821 22338015 20516456		
<b>ETMR, C19MC-altered</b>	19q13.42 gain/amp <sup>D</sup> + 2 with 19q13.42 gain/amp <sup>D</sup>	WHO 2016				
<b>MB WNT pathway</b>	Monosomy 6 <sup>D</sup>	WHO 2016				
<b>MB SHH pathway</b>	9q22.32 del/LOH ( <i>PTCH1</i> ) <sup>D</sup> 10q23.31 del/LOH ( <i>PTEN</i> ) <sup>D</sup> <i>GLI2</i> amp <sup>D</sup> <i>MYCN</i> amp <sup>D</sup> 10q24.32 del ( <i>SUFU</i> ) <sup>GL</sup> 17p13.1 del/LOH ( <i>TP53</i> ) <sup>D,P,GL</sup>	WHO 2016 25403219	Chromothripsis 17p <sup>D,P</sup>	22265402 24651015 29753700		
<b>MB non-WNT/non-SHH</b>	17p del and/or 17q gain, idic(17p11.2) <sup>D</sup> <i>MYC</i> amp <sup>D,P</sup> <i>MYCN</i> amp <sup>D</sup> +7, –10 ( <i>PTEN</i> ) <sup>D,P</sup> 9p21.3 del/LOH ( <i>CDKN2A</i> ) <sup>D,P</sup> –13/13q14.2 del ( <i>RB1</i> ) <sup>D,P</sup> <i>PDGFRA</i> amp <sup>D</sup> <i>EGFR</i> amp <sup>D</sup>	WHO 2016				
<b>Glioblastoma IDH wild type - adult</b>		WHO 2016				
<b>Glioblastoma — pediatric</b>	+7, 17p13.1 del/LOH ( <i>TP53</i> ) <sup>D,P</sup> <i>PDGFRA</i> amp <sup>D,P</sup>	WHO 2016 27582545	<i>MET</i> amp <sup>D,T</sup>	28966033 27748748		
<b>Oligodendroglioma</b>	1p and 19q co-del <sup>D,T</sup>	WHO 2016				
<b>Meningioma, acoustic neuroma</b>	22q12.2 del ( <i>NF2</i> ) <sup>GL</sup> –22/22q del <sup>D</sup>	WHO 2016	9p del ( <i>CDKN2A</i> ) <sup>P</sup>	11485924 11958372		
<b>Atypical teratoid/rhabdoid tumor</b>	–22/22q del <sup>D</sup> 22q11.23 del/LOH ( <i>SMARCB1</i> ) <sup>D,GL</sup> 19p13.2 del/LOH ( <i>SMARCA4</i> ) <sup>D,GL</sup>	WHO 2016				
<b>Choroid plexus carcinoma</b>	17p13.1 del ( <i>TP53</i> ) <sup>GL</sup>	WHO 2016				
<b>Chordoma</b>	22q11.23 del ( <i>SMARCB1</i> ) <sup>D</sup>	29119645	10q23.31 del ( <i>PTEN</i> ) <sup>D</sup> 9p21.3 del ( <i>CDKN2A</i> ) <sup>D</sup>	24983247 21602918		
<b>Hemangioblastoma</b>	3p25.3 del ( <i>VHL</i> ) <sup>GL</sup>	20301636 (Gene Reviews)				
<b>Pineoblastoma</b>	14q32.13 del ( <i>DICER1</i> ) <sup>D,GL</sup> 13q14.2 del ( <i>RB1</i> ) <sup>GL</sup>	WHO 2016				
<b>Pediatric embryonal tumors</b>						
<b>Neuroblastoma</b>	<i>MYCN</i> amp <sup>D,P</sup> 1p del <sup>P</sup> 11q del and 17q gain <sup>D,P</sup> <i>ALK</i> amp <sup>T</sup> Near-triploid <sup>P</sup>	26389190 (NCI guidelines)			3p del <sup>P</sup> 14q del <sup>R</sup>	15800319 12538451 11729208
<b>Wilms tumor</b>	11p del/LOH <sup>D,P,GL</sup> 17p13.1 del ( <i>TP53</i> ) <sup>P</sup> 1q gain, 16q del <sup>D,P</sup>	26389282 (NCI guidelines) 20301471 (Gene Reviews)				
<b>Alveolar rhabdomyosarcoma</b>	<i>PAX-FOXO1</i> gene fusion amp <sup>P</sup>	22447499				
<b>Renal cell carcinoma (RCC)</b>						
<b>Clear cell RCC</b>	3p25.3 del/LOH ( <i>VHL</i> ) <sup>D</sup> <i>VHL</i> , <i>FLCN</i> del <sup>GL</sup>	WHO 2016 26448938 24550497 23797736	14q loss <sup>P</sup> 9p loss <sup>P</sup>	26448938 21725288 26790128 25315157		
<b>Papillary RCC-type I</b>	Gain 7 and 17 <sup>D</sup>	WHO 2016 26448938 25790038 28780132			Gain 12, 16, 20, –Y <sup>R</sup>	26448938
<b>Chromophobe RCC</b>	Hypodiploidy with loss 1, 2, 6, 10, 13, 17, 21 <sup>D,R</sup> 17p11.2 del ( <i>FLCN</i> ) <sup>GL</sup>	WHO 2016 19562744 26448938				
<b>Breast</b>	<i>ERBB2</i> amp <sup>P</sup>	29523670 (NCCN guidelines)	6q25.1 tandem dup resulting in <i>ESR1-CCDC170</i> fusion <sup>P</sup>	25099679	<i>CCND1</i> amp <sup>T</sup>	26059247
<b>Lung</b>	<i>EGFR</i> amp <sup>T</sup>	23552377	6q22.1 del resulting in <i>GOPC-ROS1</i> fusion <sup>T</sup> <i>FGFR1</i> amp <sup>T</sup> <i>MET</i> amp <sup>T,P</sup>	25870798 25535693 21160078 27664533		
<b>Soft tissue</b>						
<b>Liposarcoma, atypical lipomatous tumors</b>	<i>MDM2</i> , <i>CDK4</i> amp <sup>D</sup>	WHO 2013				
<b>Desmoid-type fibromatosis</b>	5q22.2 del ( <i>APC</i> ) <sup>GL</sup>	24554300				
<b>Infantile fibrosarcoma</b>			+8, +11, +17, +20 <sup>D,R</sup>	11801301		
<b>Lipoblastoma</b>					Gain 8 <sup>R</sup>	11549588
<b>Bone</b>						
<b>Osteochondroma</b>	8q24.11 del ( <i>EXT1</i> ) <sup>GL</sup> 11p11.2 del ( <i>EXT2</i> ) <sup>GL</sup>	20301413 (Gene Reviews)				
<b>Osteosarcoma</b>	17p13.1 del ( <i>TP53</i> ) <sup>D</sup>	WHO 2013	<i>MDM2</i> , <i>CDK4</i> amp <sup>D</sup>	20196171 21336260		



Table 2 continued

Disease	Tier 1A	Reference (PMID)	Tier 1B	Reference (PMID)	Tier 2	Reference (PMID)
Ewing Sarcoma					1q gain, 16q loss <sup>D</sup> Gain 8 <sup>R</sup>	11672775
Gastrointestinal stromal tumor (GIST)			–1p, –14, –22 <sup>D</sup>	10919666 16982739 23942094		
Mesothelioma	3p21.1 del ( <i>BAP1</i> ) <sup>GL</sup>	28713672			3p del ( <i>BAP1</i> ) <sup>R</sup> 9p del ( <i>CDKN2A</i> ) <sup>R</sup> –22 ( <i>NF2</i> ) <sup>R</sup>	21642991 26928227 28713672

This table lists examples of tiers 1 and 2 genomic variants and is not intended to provide a comprehensive list of variants in each disease. It reflects the evidence available at the time the current technical standards were written.

*amp* amplification, *D* diagnostic, *del* deletion, *dup* duplication, *ETMR* embryonal tumor with multilayered rosettes, *GL* germline, *LOH* loss of heterozygosity, *MB* medulloblastoma, *NCCN* National Comprehensive Cancer Network, *NCI* National Cancer Institute, *P* prognostic, *R* recurrent, *T* therapeutic, *WHO* World Health Organization.

variants with some diagnostic, prognostic, and/or therapeutic clinical significance. They include:

- Recurrent variants observed in different neoplasms but *not* specific to a particular tumor type, and usually encompassing Catalogue of Somatic Mutations in Cancer (COSMIC) census cancer genes(s).
- Acquired variants or a specific pattern of acquired variants with average quality evidence (levels 4 and 5 CEBM evidence) in the literature that shows association with a specific neoplasm, prognosis, or treatment response. This includes a small case series or multiple (at least two) case reports that describe the association.

III. **Tier 3 (clonal variants with no documented neoplastic disorder association):** Acquired clonal variants with no documented neoplastic disorder association. All variants that *do not* meet the criteria for tiers 1 and 2 and cannot be classified as constitutional benign or likely benign, can be classified as tier 3 variants. Tier 3 variants are defined as “acquired clonal variants with no documented neoplastic disorder association” rather than “acquired clonal variants with uncertain clinical significance.” This is because an “acquired clonal variant” is by default significant for this particular patient because it can be used as a marker for the neoplastic clone to monitor residual disease and/or relapse.

IV. **Tier 4 (benign or likely benign variants):** Constitutional benign or likely benign variants that are listed in the ClinGen curated benign variants and/or in the Database of Genomic Variants (DGV) with  $\geq 1\%$  population frequency, and usually do not encompass COSMIC cancer gene(s). It is not recommended to report tier 4 variants.

## GENERAL AND SPECIAL CONSIDERATIONS

1. The interpretation of clinical significance of CNAs and CN-LOH using this tier system should be performed in the context of the clinical/pathologic diagnosis, as well as other laboratory tests including G-banded karyotype, FISH, and other relevant tests. This is crucial because

some acquired variants will have different clinical significance in different neoplastic disorders. For example, 1q gain is associated with adverse prognosis in multiple myeloma (MM) (tier 1A),<sup>14,15</sup> while it does not have major prognostic significance in MDS (tier 2).<sup>16</sup> CNAs may also have different clinical significance depending on other cytogenetic or molecular diagnostic abnormalities present in the tumor. For example, loss of chromosome 7 or 7q deletion are typically associated with an inferior outcome in myeloid malignancies (tier 1A), but in acute myeloid leukemia (AML) with a *CBFB* gene rearrangement, they do not appear to significantly change the prognosis (tier 2).<sup>17</sup>

2. This tier system can be used to classify a specific pattern of CNAs and/or CN-LOH that is diagnostic of a specific neoplastic disease entity. This includes a characteristic pattern of whole chromosome gains/losses (e.g., hyperdiploid and hypodiploid B-ALL) and whole chromosome CN-LOH (e.g., doubled hypodiploid/near-haploid B-ALL).<sup>18</sup> It also includes a characteristic signature of gains and losses along one chromosome (e.g., intrachromosomal amplification of chromosome 21 [*iAMP21*] in B-ALL).<sup>19</sup> The pattern of acquired gains/losses can be classified collectively using the tier system.
3. Diagnostic balanced chromosomal abnormalities (e.g., translocations, inversions, and insertions) detected by G-banded karyotype and/or FISH testing but not by CMA should be discussed in the CMA report but should not be included in the classification using the tier system or listed in the results table/nomenclature string. When present in the unbalanced form and detected by CMA with breakpoints mapping within genes known to be associated with a specific gene fusion, these abnormalities can be classified using the tier system and listed in the results table/nomenclature string (e.g., the presence of an extra copy of the Philadelphia chromosome *der*(22)t(9;22)(q34;q11.2) in CML or ALL,<sup>18</sup> or an extra copy of the *der*(21)t(12;21)(p13;q22) in B-ALL, and the unbalanced *der*(19)t(1;19)(q23;p13) in B-ALL).<sup>20</sup>
4. An interstitial loss or gain involving one chromosome arm with recurring breakpoints in genes known to be involved in a specific gene fusion can be classified using

this tier system (e.g., 4q12 deletion that results in *FIP1L1-PDGFR* fusion, PAR1 deletion at Xp22.33/Yp11.32 that results in *P2RY8-CRLF2* fusion, and 9q34.1 gain that results in *NUP214-ABL1* fusion).<sup>18,21</sup>

5. Interstitial or terminal losses or gains involving two chromosome arms with breakpoints within genes known to be associated with a specific gene fusion as a result of an interchromosomal rearrangement (e.g., translocation or insertion) or intrachromosomal rearrangement (e.g., inversion) should be interpreted according to the level of supporting evidence. They can be classified using this tier system with later confirmation of the gene fusion by other molecular techniques if there is enough supporting evidence, including the clinical/pathologic diagnosis, visible recurrent rearrangement by G-banded karyotype, and/or other acquired variant known to be associated with the gene fusion in question. In the absence of such supporting evidence, the report should describe the possibility of a gene fusion but without classifying the variants using the tier system until the fusion is confirmed by other molecular techniques.
6. Correlation of the CMA results with the G-banded karyotype and FISH results is strongly recommended because some professional clinical practice guidelines used to classify tier 1A variants are technique specific. For example, some chromosomal abnormalities can only be considered diagnostic/prognostic if detected by G-banded karyotype (e.g., MDS and MM prognostic criteria).
7. CMA has the potential to identify acquired variants associated with comorbid neoplastic disorders. For example, comorbid MDS-related variants may be identified in patients treated for chronic lymphocytic leukemia (CLL) or MM either because of prior therapy or age-related disease. These variants should be interpreted in the context of the clinical/pathologic diagnosis and correlated with G-banded karyotypes from both stimulated and unstimulated CLL or MM cultures. CMA performed in MM on CD138+ enriched cells is helpful in identifying MM-specific acquired variants.<sup>7</sup>
8. The term “CN-LOH” is used in this document to refer to a region with acquired allelic imbalance (i.e., homozygosity) without an associated copy-number change (i.e., copy-neutral), which is a common finding in cancer. The term “copy-neutral” is used to allow distinction from loss of heterozygous single-nucleotide polymorphism (SNP) calls due to a one copy-number loss (i.e., heterozygous deletion). However, in some cases LOH can also be observed with a copy-number gain. Examples include high-level amplification involving only one allele, and the copresence of a clone with trisomy of a particular chromosome and a subclone that lost one copy of that chromosome resulting in whole chromosome LOH.
9. Regions of CN-LOH may have a higher level of clinical significance if they span a gain-of-function variant in an oncogene and/or loss-of-function variant in a tumor suppressor gene documented in this patient. This is

especially relevant in laboratories that do integrated reporting of CNAs, regions of CN-LOH, and sequence variants results.

## DATABASES AND RESOURCES FOR INTERPRETATION OF CNAS AND CN-LOH IN NEOPLASTIC DISORDERS

A wealth of genomic information has been generated for different tumor types through chromosome analysis and large-scale genome sequencing projects, and the data have been consolidated into many public databases. However, the majority of such databases house information at a gene and variant level, and resources focused on incidence and significance of acquired CNAs and CN-LOH in neoplastic disorders are limited. In the absence of CNA-specific information, gene and variant-centered databases can be used to support interpretation of CNAs involving specific genes.

To allow utilization of gene and variant-focused data for informing interpretation of CNAs and CN-LOH in oncology samples, it is important to annotate the mechanism of action for genes and variants related to cancer. Such mechanisms typically include loss of function of tumor suppressors, gain of function of oncogenes, abnormal gene fusions, and translocations involving regulatory regions. If variants affecting a gene are proven to be loss-of-function variants, it can be extrapolated that a deletion of the same gene or a larger region containing that gene would also confer a loss of function.

A brief overview of resources that are useful in interpretation of CMA results in oncology is provided in Table 3. Such resources include:

1. Databases and data portals focusing directly on acquired CNAs and CN-LOH
2. Databases and data portals focusing on acquired sequence variants, which allow the evaluation of whether specific genes within the region affected by a CNA have been associated with the tumor type of interest
3. Knowledge bases that contain curated information on the significance of individual genes and acquired sequence variants in different tumor types
4. Chromosome-level databases and knowledge bases that compile data from conventional cytogenetic analysis and curations regarding the significance of chromosome aberrations detected by karyotyping
5. Databases of benign and pathogenic germline variants that allow exclusion of benign germline variants and interpretation of germline “secondary findings”

To facilitate review and interpretation of acquired CNAs data, laboratories are advised to curate and maintain lists of genes and regions of clinical relevance in a variety of tumor types. These lists support comprehensive and efficient recognition of disease-relevant loci, and allow consistency in interpretation. A laboratory can also opt to develop lists of predefined pertinent positives and negatives per tumor



**Table 3** Selected databases relevant for interpretation of acquired CNAs

Resource type and utility	Resource name and description	Location (web address)
<b>General/summary</b>	<b>Video tutorial:</b> 'Introduction to Publicly Available Knowledge Bases to Aid Interpretations of Genomic Findings in Oncology' Provides overview of types and utility of online resources	Cancer Genomics Consortium YouTube channel: ( <a href="https://www.youtube.com/watch?v=4dBh1Qkp8os">https://www.youtube.com/watch?v=4dBh1Qkp8os</a> ) <a href="http://portals.broadinstitute.org/tcga/gistic/browseGisticAnalyses">http://portals.broadinstitute.org/tcga/gistic/browseGisticAnalyses</a>
<b>Databases and knowledge bases of acquired CNAs in neoplastic disorders</b> (Can be used to search for recurrent CNAs in the tumor of interest)	<b>The Cancer Genome Atlas (TCGA) Copy Number Portal:</b> Allows one to search and review high-resolution copy-number data from cancer samples in The Cancer Genome Atlas project <b>The Compendium of Cancer Genome Aberrations (CCGA):</b> A knowledge base developed by the Cancer Genomics Consortium that compiles information about clinical significance of CNAs, CN-LOH, and balanced structural abnormalities in different tumors	<a href="http://portals.broadinstitute.org/tcga/gistic/browseGisticAnalyses">http://portals.broadinstitute.org/tcga/gistic/browseGisticAnalyses</a> <a href="http://www.ccg.io">http://www.ccg.io</a>
<b>Pan-cancer gene list</b>	<b>Catalog of Somatic Mutations in Cancer (COSMIC) database Cancer Gene Census</b>	<a href="https://cancer.sanger.ac.uk/census">https://cancer.sanger.ac.uk/census</a>
<b>Cancer gene and variant databases and data portals</b> (Can be used to evaluate the role of a particular gene (or genes) within a CNA or CN-LOH region in pathogenesis of the tumor type being tested; these resources may have overlapping data sets (from the same large-scale studies) but offer different solutions for data visualization and searches)	<b>Catalog of Somatic Mutations in Cancer (COSMIC):</b> A large source of manually curated somatic variant information hosted by the Sanger Institute; contains data from >35,000 cancer genomes from large-scale genome screening studies including TCGA and the International Cancer Genomics Consortium (ICGC) <b>ICGC Data Portal:</b> An international consortium established to launch and coordinate worldwide large-scale genome sequencing projects for various tumor types; data from specific projects is available through the ICGC portal <b>cBioPortal:</b> A source for visualization, analysis, and download of large-scale cancer genomics data sets, initially developed at Memorial Sloan Kettering Cancer Center and now maintained by a multi-institution team <b>National Cancer Institute (NCI) Genomic Data Commons (GDC):</b> An information system that contains genomic and clinical data from NCI-funded projects as the Cancer Genome Atlas (TCGA) and the Therapeutically Applicable Research to Generate Effective Treatments (TARGET) program, as well as other cancer studies <b>PeCan Data Portal (Pediatric Cancer focused):</b> A data portal developed and hosted by St. Jude Children's Research Hospital, which provides interactive visualizations of pediatric cancer variant data from large-scale childhood cancer genomic studies	<a href="http://cancer.sanger.ac.uk/cosmic">http://cancer.sanger.ac.uk/cosmic</a> <a href="https://dcc.icgc.org/">https://dcc.icgc.org/</a> <a href="http://www.cbioportal.org/">http://www.cbioportal.org/</a> <a href="https://portal.gdc.cancer.gov/">https://portal.gdc.cancer.gov/</a> <a href="https://pecan.stjude.org/home">https://pecan.stjude.org/home</a>
<b>Chromosome-level data sources</b> (Contain data and knowledge about conventional cytogenetic studies in cancer)	<b>Mitelman Database:</b> A database that contains karyotype information for >69,000 tumor cases and allows searches based on abnormality, tumor type, and other criteria <b>Atlas of Genetics and Cytogenetics in Oncology and Haematology:</b> An expert curated knowledge base devoted to cytogenetics findings in cancer	<a href="https://cgap.nci.nih.gov/Chromosomes/Mitelman">https://cgap.nci.nih.gov/Chromosomes/Mitelman</a> <a href="http://atlasgeneticsoncology.org/">http://atlasgeneticsoncology.org/</a>
<b>Knowledge bases with cancer gene and variant curations</b> (Contain expert curated information and summaries about the clinical significance of genes and variants in cancer)	<b>Information about commonly used knowledge-bases compiled by the Variant Interpretation for Cancer Consortium (VICC),</b> a driver project of the Global Alliance for Genomics and Health (GA4GH) <b>Clinical Interpretation of Variants in Cancer (CIViC):</b> An open access, open source, community-driven knowledge base developed by researchers at the Genome Institute at Washington University School of Medicine <b>My Cancer Genome:</b> A knowledge base developed and hosted by the Vanderbilt University Cancer Center <b>OncoKB:</b> A knowledge base developed and hosted by the Memorial Sloan Kettering Cancer Center <b>Precision Medicine Knowledgebase (PMKB):</b> A knowledge base developed and hosted by the Institute of Precision Medicine at Weill Cornell Medicine	<a href="http://cancervariants.org/resources/">http://cancervariants.org/resources/</a> <a href="http://www.civicdb.org">http://www.civicdb.org</a> <a href="https://www.mycancergenome.org/">https://www.mycancergenome.org/</a> <a href="http://oncokb.org/#/">http://oncokb.org/#/</a> <a href="https://pmkb.weill.cornell.edu/">https://pmkb.weill.cornell.edu/</a>
<b>Population database of benign CNVs</b> (Allows to exclude CNVs that are common in the general population)	<b>Database of Genomic Variants (DGV):</b> A comprehensive catalog of normal structural variation in the human genome; the database contains copy-number variants and other structural variations identified in healthy control samples	<a href="http://dgv.tcag.ca/dgv/app/home">http://dgv.tcag.ca/dgv/app/home</a>
<b>Databases and data portals of genes, variants, and CNVs associated with constitutional genetic disorders</b> (May assist in interpretation of findings that are suspected to be germline)	<b>dbVar Human Structural Variant Data Hub:</b> Catalogs CNVs identified through the course of routine clinical cytogenomic testing in postnatal populations, with clinical assertions as classified by the original submitter <b>DECIPHER (Database of genomic variation and Phenotype in Humans using Ensembl Resources):</b> A database of sequence variants or copy-number variants and main clinical findings from patients with genetic disorders <b>Online Mendelian Inheritance in Man:</b> A catalog of genes implicated in single-gene (Mendelian) disorders <b>ClinVar:</b> A National Center for Biotechnology Information (NCBI) maintained catalog of variants found in patient samples, with assertions made regarding their clinical significance, information about the submitter, and other supporting data; focused mostly on constitutional variants, may have utility in the interpretation of suspected germline findings <b>ClinGen:</b> A National Institutes of Health (NIH)-funded central resource that defines the clinical relevance of genes and variants for use in precision medicine and research	<a href="https://www.ncbi.nlm.nih.gov/dbvar/content/human_hub/">https://www.ncbi.nlm.nih.gov/dbvar/content/human_hub/</a> <a href="https://decipher.sanger.ac.uk/">https://decipher.sanger.ac.uk/</a> <a href="http://www.ncbi.nlm.nih.gov/omim/">http://www.ncbi.nlm.nih.gov/omim/</a> <a href="http://www.ncbi.nlm.nih.gov/clinvar/">http://www.ncbi.nlm.nih.gov/clinvar/</a> <a href="https://www.clinicalgenome.org/">https://www.clinicalgenome.org/</a>
<b>Sequence repositories</b> (collect, store, and disseminate the nucleotide and amino acid sequence data) and <b>genome browsers</b> (provide context and visualization for genome features, such as genes or disease loci)	<b>NCBI Genome:</b> An NIH-sponsored sequence repository <b>Ensembl:</b> A genome browser developed and maintained by the European Molecular Biology Laboratory (EMBL) <b>UCSC Genome Browser:</b> A genome browser developed and maintained by the University of California–Santa Cruz	<a href="https://www.ncbi.nlm.nih.gov/genome">https://www.ncbi.nlm.nih.gov/genome</a> <a href="https://www.ensembl.org/index.html?redirect=no">https://www.ensembl.org/index.html?redirect=no</a> <a href="https://genome.ucsc.edu/">https://genome.ucsc.edu/</a>

type, and perform systematic careful evaluation for their presence.

The curated clinical-grade disease-specific gene lists can be maintained in both a spreadsheet and .bed file format. It is useful for such lists to be converted into a format compatible with the CMA software, so they can be uploaded and used as custom annotation tracks during case review. This allows quickly recognizing acquired CNAs that contain genes implicated in the tumor of interest. A comprehensive list of genes shown to contain variants causally implicated in cancer (so-called Cancer Gene Census) is maintained in the COSMIC database, and can be downloaded from its website (<https://cancer.sanger.ac.uk/census>).

Because the databases and data portals for search and visualization of acquired CNAs in neoplastic disorders are rare, clinical interpretation typically requires a review of primary literature. Such interpretation remains a complex and time-consuming task that requires appropriate professional training and certification in clinical cytogenetics and/or molecular diagnostics. It also necessitates familiarity with the CMA assay and an understanding of the specific tumor biology.

### CONSIDERATIONS REGARDING INTERPRETATION AND REPORTING OF UNANTICIPATED CLINICALLY SIGNIFICANT GERMLINE VARIANTS

In addition to acquired clonal abnormalities, genome-wide analysis of tumor samples also detects constitutional germline copy-number variants (CNVs) and regions of absence of heterozygosity (AOH). These may include benign population variants, germline CNVs directly related to the neoplasm under investigation (e.g., germline deletions of tumor suppressor genes), and pathogenic CNVs that are diagnostic or predictive of a presymptomatic or unrecognized genetic condition unrelated to the patient's tumor. With the exception of CNVs that are associated with an increased risk of neoplasia, other germline variants are unanticipated and unrelated to the reason for CMA. Referring clinicians must have a clear understanding of the potential for these discoveries; the best practice would also include informing the patients and their families about the possibility of secondary findings before the test is ordered, and implementing a formal informed consent process. Before offering clinical CMA testing for oncology samples, laboratories should develop a process for appropriate follow-up if an unanticipated, likely germline abnormality is observed.

#### Indications that a detected CNV or AOH may be germline

Distinguishing between acquired CNAs/CN-LOH and constitutional CNVs/AOH in CMA may be challenging. The possibility that a variant may be germline should be considered in the following scenarios:

1. Involvement of 100% of the cells in a sample. Often, acquired variants involve only a subset of cells corresponding to the tumor clone. Review of the log<sub>2</sub> ratio and SNP data allows determining if a variant is present in all
- or only a subset of cells in a sample. However, it is important to be aware of the following caveats:
  - a. Some specimens may consist of pure tumor tissue and have acquired variants that involve close to 100% of the cells (e.g., a bone marrow specimen packed with leukemic blasts or a dissected tumor section).
  - b. Copy-number losses encompassing cancer predisposition genes are particularly difficult to interpret by CMA alone. For small abnormalities with insufficient SNP data, CMA may not reliably differentiate a heterozygous loss in 100% of the cells from a homozygous loss in 50% of the cells. If CMA shows copy-number losses encompassing cancer predisposition genes, it might not be possible to distinguish between a germline heterozygous deletion of the gene in question in 100% of the cells versus acquired biallelic loss of the gene in 50% of the cells. Frequently encountered examples include the Fanconi anemia/DNA repair pathway genes (including *BRCA1* and *BRCA2*), *NF1*, *RB1*, and *PAX5*. Follow-up interphase FISH analysis using gene-specific probes can be helpful in distinguishing between these two possibilities.
2. Higher proportion of cells involved by a variant than expected by pathologic findings. For hematologic malignancies, a finding may be germline if it appears to involve a significantly greater proportion of cells than that expected based on the blast cell count or degree of involvement determined by morphology or flow cytometry. Correlation with hematopathology and flow cytometry/immunophenotyping data is valuable, and efforts to obtain this information are recommended. For solid tumors, a finding may be germline if the estimate of involvement by CMA is significantly greater than the estimation of tumor cell fraction provided by the submitting pathologist. However, estimating tumor fraction in solid tumors is often challenging and involves subjective judgment; this estimate may not always be perfectly correlated with CMA results.
3. Supporting clinical information may suggest that a CMA variant is germline:
  - a. Some tumor types are frequently associated with the presence of predisposing germline variants. Examples include Wilms tumor, tuberous sclerosis complex (TSC1/TSC2) tumors, neurofibromas, adrenocortical carcinoma, and rhabdoid tumor (Supplementary Table 1). Laboratories should have an increased level of suspicion for germline variants when performing CMA for these tumor types.
  - b. CNVs/AOH including known cancer predisposition genes (Supplementary Table 1) may be suspected as germline in patients with features of hereditary cancer syndromes, including diagnosis at unusually

young age, development of bilateral or multifocal tumors, or family/personal history of cancer.

- c. CNVs involving genes and regions associated with known pathogenic microdeletion/microduplication syndromes may be suspected as germline in patients who have reported features consistent with the disorder in question. If the provided clinical information is limited, the laboratory may request additional details to allow accurate interpretation of the findings.

### Interpretation and reporting of suspected germline variants

When reporting variants that are suspected to be germline, CNVs predisposing to cancer should be distinguished from variants unrelated to the patient's cancer diagnosis.

Germline CNVs directly related to the neoplasm under investigation (e.g., germline deletion of a tumor suppressor gene) should be reported as being of strong clinical significance (tier 1A) and discussed in the interpretation section of the report. This includes germline CNVs involving cancer predisposition genes listed in the 2016 ACMG secondary findings document.<sup>22</sup>

For likely germline CNVs not related to the neoplasm under investigation:

- a. Laboratories should have an established policy for reporting CNVs that are likely germline and have been curated as pathogenic by ClinGen (including pathogenic CNVs associated with disorders that show incomplete penetrance) and/or span known haploinsufficient or triplosensitive genes.<sup>23</sup> These findings can influence clinical care for the patient and the family; as such, they should be included in the report and discussed as potentially constitutional clinically significant variants (see below).
- b. Possibly constitutional CNVs unrelated to the patient's cancer diagnosis should not be classified into the tier system. For unambiguous reporting, the laboratory may have a separate section of the report for describing these variants.

### Follow-up recommendations for suspected germline variants

If CMA of a tumor sample detects suspected germline CNVs/AOH, the report should contain recommendations for appropriate follow-up, including the following:

- a. Referral to a genetic specialist for evaluation and counseling.
- b. Confirmation of germline status by testing noninvolved tissue. For patients with solid tumors, a peripheral blood sample may be tested. For patients with hematologic malignancies, the optimal samples for germline testing are cultured skin fibroblasts, although a buccal swab or a

peripheral blood sample at the time of complete remission may be acceptable.

Suggested language for reporting suspected constitutional findings:

#### *Suspected germline variant*

*Based on (percent of cells involved, supporting clinical information, etc.), this finding may represent a germline variant. Genetic testing of a tissue that is not involved in the neoplastic process is recommended when the patient is in clinical remission to determine whether this is a germline or an acquired variant and to aid in determination of its clinical significance. If the variant is germline, genetic counseling is recommended for additional information about this variant and its clinical significance.*

### REPORTING RECOMMENDATIONS FOR ACQUIRED CNAS AND CN-LOH

The laboratory must ensure that the clinical report accurately describes the findings and clearly communicates their clinical significance. The report should include the preanalytic, analytic, and postanalytic factors that are relevant to the clinical interpretation of the findings, as well as elements that represent regulatory requirements (which are outlined in the ACMG Laboratory Standards and Guidelines; Section E8). Despite the large amount of information that must be included, the report should be as simple and concise as possible, formatted in a way that allows the results to be easily seen and understood, and the clinically critical information should appear at the beginning. Displaying the results in tables may be helpful to increase the overall clarity of the report, provided that the tables can be integrated into the medical record.

In contrast to reporting results of CMA testing for constitutional variants, reports for oncology specimens should not be limited to positive findings. In some cases, what the test does not detect may be of the same or even greater significance than the positive findings. It is strongly recommended that pertinent negatives relevant for clinical management are included in a disease-specific manner. This will typically include tier 1A variants that are used for clinical decision-making (as key prognostic markers or predictors of response or resistance to targeted treatments).

Detected CNAs and CN-LOH should be classified into the four-tier system described above. In complex cases, laboratories may opt not to specify tier classification for every variant individually, but should accurately point out and discuss in the interpretation section all the variants with strong or some clinical significance (tiers 1 and 2). It is not recommended that tier 4 variants (benign/likely benign) be included in the report.

If there is doubt about a variant being "acquired/clonal" versus "germline/constitutional," this should be discussed in the report, and such variants should not be tiered using the

classification system for acquired variants until this uncertainty is clarified.

For clear communication of the relevant and required information, it is recommended that the CMA clinical report be organized into the following sections: results, interpretation, recommendations (if applicable), references, and method description and disclaimers.

### Results section

CMA results should be reported according to the current version of the International System for Human Cytogenomic Nomenclature (ISCN).<sup>24</sup> According to ISCN 2016, results can be reported using a table, as a nomenclature string, or both at the discretion of the laboratory director. If the results are displayed in a table, the following information should be included:

- Required
  - Chromosomes and corresponding bands involved in the variant
  - Type of variant (loss, gain, amplification, CN-LOH)
  - Genomic coordinates with designated genome build
- Recommended
  - Copy-number state and percentage of cells involved, estimated based on the log2 ratio and SNP data
  - Tier classification
- Optional
  - Variant size in kb or Mb
  - COSMIC cancer census genes within the affected region

Variants that constitute a diagnostic pattern may be classified collectively in the table using the tier system. The results table can be included either at the beginning or at the end of the report. In complex cases, it may be helpful to clinicians to emphasize clinically significant findings at the beginning of the report, and to place the complete results table at the end. In such cases, laboratories should consider including an additional abridged summary table with clinically significant variants at the beginning of the report.

While the clone structure cannot be ascertained with certainty by CMA, it is recommended to report the approximate percentage of cells (levels of mosaicism) for acquired variants to give an estimate of possible clones and subclones.

### Full interpretation of clinically significant variants and a text summary integrating results

The full interpretation should include comments on the following variants:

- Clinically significant CNAs and/or CN-LOH (tier 1 and 2 variants).

- Clinically significant pattern of CNAs and/or CN-LOH (tier 1 and 2 variants).
- CNAs and/or CN-LOH of potential clinical significance (cannot be tiered at the time of reporting). This category addresses point 5 in “General and special considerations” when there is uncertainty about an acquired variant being indicative of a specific gene fusion in the absence of supporting evidence at the time of reporting.
- Optional: other clonal variants (tier 3 variants).

The comments may contain information about the prevalence and functional, prognostic, or predictive significance of the detected CNAs or CN-LOH in a particular tumor type. The laboratory may want to specifically point out the presence of abnormalities that are associated with response to a targeted treatment, in particular if they predict sensitivity to an FDA approved drug. However, specific treatment recommendations are not encouraged. A text summary should integrate CMA results and correlate them with the results of G-banded karyotype and FISH studies. This summary can be included at the beginning or at the end of the interpretation section. Key abnormalities detected by karyotyping and FISH should not be classified into tiers, but should be discussed in the summary with correlation to the CMA findings.

### Recommendations

A recommendation section may be included when necessary based on the findings. For example, appropriate follow-up should be recommended in cases in which CMA findings may be germline (see “Follow-up recommendations for suspected germline variants”). Recommendations should also include molecular confirmation of clinically significant abnormalities that are predicted but cannot be established based solely on CMA results (this includes breakpoints suggestive of a particular abnormal gene fusion, CN-LOH suggestive of a variant in a particular oncogene or a tumor suppressor gene, etc.). Treatment recommendations (for the use of specific targeted therapies or enrollment into specific clinical trials) typically should not be included, considering that a treatment choice depends on many factors (other than the diagnosis provided on a test requisition and the CMA findings) that are unknown to the laboratory.

### References

Key publications that were used as evidence to classify detected variants into tiers should be listed in the final report.

### Methodology and disclaimers

Methodologic details should be presented at the bottom of the report and should include a brief description of the array platform and assay performance characteristics; this may include size resolution and limitations of the assay (e.g., lack of sensitivity for detecting abnormalities present in a low proportion of cells in the sample, inaccuracy in ploidy determination, inability to detect balanced rearrangements, etc.). Criteria for inclusion of findings in the



report and criteria for tier classification should be briefly stated.

The order of different report sections is at the discretion of the laboratory director. Laboratories should have the freedom to choose their own reporting format as long as the report includes the required information outlined above and clearly communicates clinically relevant findings. Laboratory report formats may be limited by a specific reporting system used by the associated hospital, medical center, or commercial entity. Several report examples for different tumor types, including cases with both simple and complex findings, are provided in the supplementary materials of this document.

## SUMMARY

The technical standards for interpretation and reporting of acquired CNAs and CN-LOH in neoplastic disorders described were developed in response to an urgent need to standardize the interpretation and reporting of these acquired variants using an evidence-based system with objective criteria. These recommendations represent an expert consensus of the workgroup members based on literature review, empirical data, and their professional judgment. These recommendations describe a four-tier evidence-based categorization system for acquired CNAs and CN-LOH. They outline the variant classification criteria for each tier based on the level of evidence available, and provide examples in tiers 1 and 2 in various hematologic malignancies and solid tumors. This document also provides a list of standardized definitions of terms used in the reporting of these variants, and recommendations for handling suspected clinically significant germline variants. Finally, this document outlines a framework for the clinical reporting of acquired CNAs and CN-LOH. The workgroup believes that the technical standards presented here will help clinical laboratories in achieving better standardized interpretation of CMA results. The workgroup will be constantly reviewing and revising these recommendations based on feedback from the cancer genomic community through a follow-up evaluation mechanism established in collaboration with the ACMG and CGC.

## SUPPLEMENTARY MATERIALS

- Supplementary Table 1 illustrating selected tumor suppressor genes associated with germline predisposition to cancer.
- CMA report examples in hematologic malignancies and solid tumors.
- Supplementary figures illustrating examples of amplification, chromothripsis, intrachromosomal complexity, and genomic complexity. The same pattern of acquired CNAs suggestive of a specific disease entity is demonstrated using different CMA platforms. To illustrate the clinical utility of this tier classification system in the interpretation of acquired CNAs derived from whole genome sequencing

(WGS), examples of such abnormalities derived from WGS data are also included.

## SUPPLEMENTARY INFORMATION

The online version of this article (<https://doi.org/10.1038/s41436-019-0545-7>) contains supplementary material, which is available to authorized users.

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## DISCLOSURE

All members of this workgroup are directors of clinical laboratories that use chromosomal microarray technologies.

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