

Medical Image Analysis using Machine Learning  
**Final Report**

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**Topic:** “Comparative Study of CNNs and Transfer Learning Models in Diabetic Retinopathy Classification”

**Github Link:** <https://github.com/VarunK1505/Diabetic-Retinopathy-Classification>

**Abstract**

This project presents a comprehensive approach to diabetic retinopathy (DR) classification using deep learning techniques. Leveraging a diverse dataset of retinal images, the study employs image processing methods, including Contrast Limited Adaptive Histogram Equalization (CLAHE) and histogram matching, to enhance feature visibility. Addressing class imbalance, the dataset is resampled using Synthetic Minority Over-sampling Technique (SMOTE). The research explores multiple deep learning architectures, encompassing Convolutional Neural Networks (CNNs), transfer learning with VGG16 and MobileNetV2, and a unique U-Net-inspired model. The models undergo extensive training and evaluation, with performance metrics assessed through confusion matrices and classification reports. The study contributes insights into the efficacy of different deep learning paradigms for DR classification, emphasizing the importance of preprocessing and oversampling strategies in improving model robustness.

**Introduction of problem domain**

Diabetic retinopathy (DR) is a severe and progressive eye disease caused by prolonged diabetes, affecting a significant population globally. The condition poses a substantial threat to vision, with the potential to lead to blindness if not diagnosed and treated in its early stages. DR is characterized by damage to the blood vessels in the retina due to persistently elevated blood sugar levels, resulting in a cascade of vascular changes and, ultimately, vision impairment.

The early detection of DR is crucial for effective intervention and prevention of irreversible damage. Traditional methods of DR diagnosis rely heavily on manual examination by ophthalmologists, a time-consuming and resource-intensive process. With the increasing prevalence of diabetes worldwide, there is a growing need for automated, efficient, and accurate diagnostic tools to assist healthcare professionals in early identification and management of DR.

This project addresses the challenges in DR detection by leveraging the power of deep learning, a subset of artificial intelligence that has demonstrated remarkable success in various image recognition tasks. By employing convolutional neural networks (CNNs) and transfer learning techniques, the project aims to create a robust and automated system capable of accurately classifying retinal images into different stages of DR. The development of such a system holds the potential to revolutionize DR diagnosis, making it more accessible, timely, and cost-effective.

In addition to the utilization of deep learning models, the project explores advanced image processing techniques to enhance the discriminatory features within retinal images. Contrast Limited Adaptive Histogram Equalization (CLAHE) and histogram matching are applied to improve the visibility of critical details, contributing to the overall effectiveness of the classification process.

Furthermore, the project tackles the inherent class imbalance in DR datasets. Synthetic Minority Over-sampling Technique (SMOTE) is implemented to address the scarcity of certain classes, ensuring that the trained models generalize well across all stages of DR severity.

By amalgamating cutting-edge deep learning methodologies, image processing techniques, and addressing class imbalance concerns, this project strives to contribute to the development of a robust and reliable diagnostic tool for diabetic retinopathy. The outcomes of this research have the potential to significantly impact public health by providing an automated and efficient solution for the early detection and management of this debilitating eye disease.

## **How your paper is different from other survey papers**

This paper distinguishes itself from existing survey papers in diabetic retinopathy classification by not only comprehensively reviewing state-of-the-art deep learning approaches but also by actively integrating and comparing diverse strategies for image preprocessing, specifically focusing on the efficacy of Contrast Limited Adaptive Histogram Equalization (CLAHE), histogram matching, and addressing class imbalance through Synthetic Minority Over-sampling Technique (SMOTE). The project uniquely explores multiple deep learning architectures, including Convolutional Neural Networks (CNNs), transfer learning with VGG16 and MobileNetV2, and a U-Net-inspired model, offering a holistic perspective on their comparative performance. By providing insights into the combined impact of preprocessing techniques and model architectures, the paper contributes a nuanced understanding of key factors influencing diabetic retinopathy classification, thereby offering a more comprehensive guide for researchers and practitioners in the field.

Literature survey

<a href="#">S.No</a>	Name	Work Done	Advantages	Limitations	Results
1	Transfer Learning with Convolutional Neural Networks for Diabetic Retinopathy Image Classification. A Review	The novelty of this study is the use of support vector machine (SVM) for the classification of diabetic retinopathy (DR) images. SVM is a machine learning algorithm that has been widely used in various applications, but its application in DR image classification is relatively new.	SVM's robustness in handling complex patterns and versatility in different applications can lead to accurate DR image classification.	SVM may require careful feature engineering, struggle with large datasets, and not capture deep image features as effectively as deep learning.	The study achieved a higher accuracy of 93% using the trained SVM on three benchmark datasets
2	Deep convolutional neural networks for diabetic retinopathy detection by image classification	The novelty of this study is the use of deep convolutional neural networks (DCNN) for the detection of diabetic retinopathy (DR) through image classification. DCNNs have been proven to be effective in various image classification tasks, and this study explores their application in DR detection.	DCNNs excel at learning intricate image features, promising high accuracy in DR detection, with transferable knowledge from other tasks.	DCNNs require substantial data, computational resources, and may lack interpretability in medical diagnosis.	The study demonstrates the effectiveness of DCNNs in DR detection and achieves promising results

3	Convolutional Neural Networks for Diabetic Retinopathy	The novelty of this study is the use of convolutional neural networks (CNN) for the classification of diabetic retinopathy (DR) images. CNNs have been widely used in image classification tasks, but their application in DR classification is relatively new.	CNNs excel at feature extraction, promising high accuracy in DR classification.	Data and computational resources may be demanding.	The study shows that CNNs can achieve high accuracy in DR classification and outperform traditional machine learning algorithms
4	Automated detection and classification of fundus diabetic retinopathy images using synergic deep learning model	The novelty of this study is the development of an automated detection and classification model for fundus diabetic retinopathy (DR) images using a synergic deep learning model. The model combines multiple deep learning techniques to improve the accuracy of DR detection and classification.	Synergic deep learning combines techniques for enhanced accuracy.	Complex models may require substantial resources and extensive tuning.	The study demonstrates the effectiveness of the synergic deep learning model in detecting and classifying DR images, and it highlights the importance of early recognition and proper medication for DR patients
5	Diabetic Retinopathy Image Classification Using Support Vector Machine	The novelty of this study is the application of support vector machine (SVM) for the classification of diabetic retinopathy (DR) images. SVM is a machine learning algorithm that has	Multiple techniques improve DR detection and classification accuracy.	Complex models can be computationally intensive.	The study evaluates the experimental outcome of the trained SVM on three benchmark datasets and achieves a higher accuracy of 93%

		been widely used in various applications			
6	Hyperparameter Tuning Deep Learning for Diabetic Retinopathy Fundus Image Classification	The paper proposes a novel method for the segmentation and diagnosis of diabetic retinopathy using an improved U-NET network	SVM is robust for complex data patterns. Limitations: May require careful feature engineering and struggle with large datasets.	Improved U-NET enhances diabetic retinopathy segmentation and diagnosis. Limitations: May need substantial labeled data for training.	The proposed method achieves high accuracy in segmenting retinal images and diagnosing diabetic retinopathy, demonstrating its potential for improving the efficiency and accuracy of diagnosis
7	Modified Alexnet architecture for classification of diabetic retinopathy images	The paper presents a modified AlexNet architecture for the classification of diabetic retinopathy images	Modified AlexNet is specialized for image classification	May not capture deep features as effectively as newer architectures.	The modified AlexNet architecture achieves high accuracy in classifying diabetic retinopathy images, demonstrating its effectiveness in automated diagnosis
8	Classification of Diabetic Retinopathy Images Based on Customised CNN Architecture	The paper proposes a customized CNN architecture for the classification of diabetic retinopathy images	Tailored CNN enhances DR classification accuracy. Can adapt to specific dataset characteristics.	Requires labeled data and can be computationally intensive.	The customized CNN architecture achieves high accuracy in classifying diabetic retinopathy images, showing its potential for automated diagnosis
9	An Intelligent Segmentation and Diagnosis Method for Diabetic Retinopathy Based on Improved U-NET Network	The paper presents an intelligent segmentation and diagnosis method for diabetic retinopathy based on an improved U-NET network	Enhanced U-NET improves segmentation and diagnosis accuracy. Useful for precise localization.	Relies on substantial labeled data and may require complex model tuning.	The proposed method achieves accurate segmentation and diagnosis of diabetic retinopathy, demonstrating its potential for improving the

					efficiency and accuracy of diagnosis
10	IDRiD: Diabetic Retinopathy – Segmentation and Grading Challenge	The paper outlines the IDRiD challenge, which focuses on the segmentation and grading of diabetic retinopathy	Offers standardized datasets for DR research. Fosters competition and benchmarking.	Limited to specific dataset challenges, may not cover all real-world scenarios.	The top performing approaches in the challenge utilize a combination of clinical information, data augmentation, and ensemble models, leading to improved performance in retinal image analysis and diagnosis
11	Diabetic Retinopathy: Present and Past	The paper provides an overview of the present and past techniques used in the diagnosis and management of diabetic retinopathy	Provides historical context and insights into DR. Useful for understanding the evolution of DR diagnosis.	Lacks technical specifics for current research.	The paper discusses the advancements in image processing techniques and their application in diabetic retinopathy diagnosis, highlighting the importance of early detection and treatment
12	Algorithms for digital image processing in diabetic retinopathy	The paper presents algorithms for digital image processing specifically designed for diabetic retinopathy	Offers image processing techniques for DR. May be valuable for preprocessing.	May not capture complex features automatically.	The algorithms proposed in the paper demonstrate their effectiveness in detecting and analyzing retinal images for the diagnosis of diabetic retinopathy
13	Diabetic retinopathy detection through deep learning techniques: A review	The paper provides a comprehensive review of deep learning techniques used for the detection	Summarizes deep learning techniques for DR. Provides insights for researchers.	May lack specific implementation details.	The review highlights the potential of deep learning techniques in achieving high accuracy and

		of diabetic retinopathy			efficiency in the detection and classification of diabetic retinopathy from retinal images
14	DRNet: Segmentation and localization of optic disc and Fovea from diabetic retinopathy image	The paper proposes a deep learning architecture called DRNet for the segmentation and localization of the optic disc and fovea in diabetic retinopathy images	DRNet aids in localizing key structures. Essential for diagnosis.	Requires labeled data and computational resources.	The DRNet architecture achieves accurate segmentation and localization of the optic disc and fovea, which are important landmarks for diagnosing and monitoring diabetic retinopathy
15	Deep learning architecture based on segmented fundus image features for classification of diabetic retinopathy	The paper presents a deep learning architecture based on segmented fundus image features for the classification of diabetic retinopathy	Utilizes segmented images for DR classification. Can improve feature extraction.	May require substantial data for segmentation.	The proposed architecture achieves high accuracy in classifying diabetic retinopathy, demonstrating the potential of using segmented fundus image features for automated diagnosis
16	Diagnostic assessment of deep learning algorithms for diabetic retinopathy screening	The novelty of this study is the diagnostic assessment of deep learning algorithms for diabetic retinopathy screening.	Asses deep learning algorithms for DR screening. Crucial for evaluating clinical readiness.	Findings may be specific to the assessment methodology.	The study evaluates the performance of deep learning algorithms in diagnosing diabetic retinopathy using retinal fundus images. The results show that the deep learning algorithms achieve high accuracy in detecting diabetic retinopathy, making them a promising tool

					for screening and diagnosis
17	A method to assist in the diagnosis of early diabetic retinopathy: Image processing applied to detection of microaneurysms in fundus images	The novelty of this study is the method proposed for the detection of microaneurysms in fundus images using image processing techniques.	Detects microaneurysms using image processing. Early diagnosis potential.	Limited to microaneurysm detection, may require further techniques for full DR diagnosis.	The study presents a method for the detection of microaneurysms, which are early signs of diabetic retinopathy, in fundus images. The method involves preprocessing, candidate extraction, and classification stages. The results show that the proposed method achieves high sensitivity and specificity in detecting microaneurysms, making it a valuable tool for early diagnosis of diabetic retinopathy
18	A Benchmark for Studying Diabetic Retinopathy: Segmentation, Grading, and Transferability	The novelty of this study is the benchmark for studying diabetic retinopathy, including segmentation, grading, and transferability.	Provides standardized benchmarks for DR research. Encourages benchmarking efforts.	Focuses on specific challenges, may not cover all aspects of DR diagnosis.	The study presents a benchmark for studying diabetic retinopathy, which includes tasks such as segmentation and grading of retinal images. The benchmark evaluates the performance of different algorithms and models in these tasks. The results



					provide insights into the effectiveness and transferability of different approaches in diagnosing and grading diabetic retinopathy
19	A survey on medical image analysis in diabetic retinopathy	The novelty of this study is the survey on medical image analysis in diabetic retinopathy.	Offers a comprehensive overview of medical image analysis in DR. Useful for understanding the landscape.	May lack specific implementation details and depth in individual techniques.	The study provides a comprehensive survey on medical image analysis techniques for diabetic retinopathy. It covers various aspects such as image preprocessing, lesion detection, feature extraction, and classification. The survey discusses the strengths and limitations of different approaches and highlights the challenges and future directions in this field
20	Adaptive machine learning classification for diabetic retinopathy	The novelty of this study is the adaptive machine learning classification for diabetic retinopathy.	Adapts to varying data patterns, potentially enhancing DR classification. Versatile for different datasets.	May require substantial labeled data and extensive tuning.	The study proposes an adaptive machine learning classification approach for diabetic retinopathy. The approach combines multiple machine learning algorithms to improve the accuracy of classification. The results show that the proposed approach achieves high

					accuracy in diagnosing diabetic retinopathy, making it a promising tool for clinical applications
21	Multithreshold Image Segmentation Technique Using Remora Optimization Algorithm for Diabetic Retinopathy Detection from Fundus Images	The novelty of this study is the proposed framework for diabetic retinopathy detection and classification using a multithreshold image segmentation technique.	Optimizes segmentation with Remora algorithm. May improve accuracy in DR detection.	Algorithm-specific, may not generalize to all DR datasets.	The study presents a framework for diabetic retinopathy detection and classification, which includes pre-processing, segmentation, feature extraction, and classification stages. The proposed multithreshold image segmentation technique improves the accuracy of segmentation and contributes to the overall performance of the system
22	Image structure clustering for image quality verification of color retina images in diabetic retinopathy screening	The novelty of this study is the use of image structure clustering for image quality verification of color retina images in diabetic retinopathy screening.	Ensures image quality for DR screening. Can enhance reliability.	Focused on quality verification, not DR classification.	The study proposes a method for image quality verification of color retina images in diabetic retinopathy screening using image structure clustering. The results show that the proposed method effectively identifies images with poor quality, which is crucial for reliable

					diagnosis and screening
23	High-Resolution Diabetic Retinopathy Image Synthesis Manipulated by Grading and Lesions	The novelty of this study is the synthesis of high-resolution diabetic retinopathy images manipulated by grading and lesions.	Generates high-resolution DR images for grading and lesion analysis.	May rely on synthetic data, may not fully capture real-world variations.	The study presents a method for synthesizing high-resolution diabetic retinopathy images by manipulating grading and lesions. The synthesized images can be used for training and evaluating image analysis algorithms for diabetic retinopathy. The results demonstrate the effectiveness of the proposed method in generating realistic and diverse images
24	Improving Lesion Segmentation for Diabetic Retinopathy Using Adversarial Learning	The novelty of this study is the improvement of lesion segmentation for diabetic retinopathy using adversarial learning.	Enhances lesion segmentation accuracy using adversarial techniques.	Requires labeled data for adversarial training.	The study proposes an adversarial learning approach to improve the segmentation of lesions in diabetic retinopathy images. The results show that the proposed method outperforms traditional edge detection algorithms and patch-based methods in terms of accuracy and speed

25	Detection and classification of diabetic retinopathy using retinal images	The novelty of this study is the segmentation and detection of diabetic retinopathy exudates.	Combines detection and classification in one step. Simplifies workflow.	Accuracy may vary based on model complexity.	The study presents a method for the segmentation and detection of exudates in diabetic retinopathy images. The proposed method combines color and sharp edge features to accurately identify exudates. The results demonstrate the effectiveness of the method in detecting exudates, which are important indicators of diabetic retinopathy
26	Segmentation and detection of diabetic retinopathy exudates	The paper presents an automated method for the detection of exudates in retinal color fundus images using the HSI model, graph cuts algorithm, and neural network classifier	Incorporates morphological operations for improved DR diagnosis.	May require substantial preprocessing.	The method achieves high accuracy in the detection of exudates, which are important indicators of diabetic retinopathy
27	An Efficiency way to analyse Diabetic Retinopathy Detection and Classification using Deep Learning Techniques	The paper proposes an efficient way to analyze diabetic retinopathy detection and classification using deep learning techniques	Focuses on exudate detection, a crucial DR aspect.	Limited to exudate-related diagnosis.	The proposed method achieves accurate detection and classification of diabetic retinopathy using deep learning techniques
28	Diabetic Retinopathy Using Deep Learning	The paper presents a method based on mathematical morphology for the automated detection	Utilizes deep learning techniques for efficient DR analysis.	May require substantial computational resources.	The method utilizes the lightness of the Luv color space for improved detection of exudates

		of exudates from low contrast digital images of retinopathy patients			
29	A lightweight CNN for Diabetic Retinopathy classification from fundus images	The paper proposes a lightweight convolutional neural network (CNN) for the classification of diabetic retinopathy from fundus images	Applies deep learning for DR detection. Potential for high accuracy.	May need substantial labeled data.	The proposed CNN achieves accurate classification of diabetic retinopathy with a lightweight architecture
30	Detecting diabetic retinopathy using embedded computer vision	Artificial Intelligence With Deep Learning Technology Looks Into Diabetic Retinopathy Screening	Offers efficient classification with a lightweight CNN model.	May trade off some accuracy for efficiency.	The study explores the use of artificial intelligence (AI) with deep learning technology for diabetic retinopathy (DR) screening. The AI system achieved high accuracy in detecting DR from retinal images, showing its potential as a tool for improving DR screening and diagnosis
31	Performance Evaluation of Binary Classification of Diabetic Retinopathy through Deep Learning Techniques using Texture Feature	A deep learning ensemble approach for diabetic retinopathy detection	Embeds computer vision for DR detection. Can improve accuracy.	May require computational resources.	The study proposes a deep learning ensemble approach for the detection of diabetic retinopathy (DR). The approach utilizes five deep convolutional neural network (CNN) models and achieves high accuracy in classifying different

					stages of DR. The results demonstrate the effectiveness of the ensemble model in DR detection
32	Detecting lesion characteristics of diabetic retinopathy using machine learning and computer vision	A review on deep learning techniques for diabetic retinopathy detection	Evaluates DR binary classification with texture features.	Limited to binary classification evaluation.	The study provides a comprehensive review of deep learning techniques for diabetic retinopathy (DR) detection. It discusses various CNN architectures and their performance in DR classification. The review highlights the potential of deep learning in improving the accuracy and efficiency of DR screening
33	Diagnosis of diabetic retinopathy using machine learning classification algorithm	A novel approach for diabetic retinopathy detection using image processing techniques	Focuses on lesion characteristics using ML and CV.	May not cover the full DR diagnosis.	The study presents a novel approach for diabetic retinopathy (DR) detection using image processing techniques. The approach involves preprocessing of retinal images, feature extraction, and classification using a support vector machine (SVM) classifier. The results demonstrate the effectiveness of the

					proposed approach in DR detection
34	Development and validation of a deep learning algorithm for detection of diabetic retinopathy in retinal fundus photographs	Diabetic retinopathy as a leading cause of blindness in working-aged adults	Utilizes ML for diagnosis. Can handle various datasets.	Model accuracy depends on data quality.	The study highlights the significance of diabetic retinopathy (DR) as a leading cause of blindness in working-aged adults. It emphasizes the global impact of diabetes and the need for effective screening and management strategies to address DR and prevent vision loss
35	A deep learning ensemble approach for diabetic retinopathy detection	A deep learning ensemble approach for diabetic retinopathy detection	Develops and validates a deep learning algorithm for DR detection.	Requires a substantial amount of labeled data.	The study proposes a deep learning ensemble approach for the detection of diabetic retinopathy (DR). The approach utilizes five deep convolutional neural network (CNN) models and achieves high accuracy in classifying different stages of DR. The results demonstrate the effectiveness of the ensemble model in DR detection

36	Artificial intelligence with deep learning technology looks into diabetic retinopathy screening	Diabetes as a global public health disease and the impact of diabetic retinopathy	Ensembles deep learning models for enhanced DR detection.	May be computationally intensive.	The study highlights the global impact of diabetes as a public health disease and emphasizes the prevalence of diabetic retinopathy (DR) as a leading cause of blindness. It emphasizes the need for effective screening and management strategies to address this public health issue
37	Deep learning based computer-aided diagnosis systems for diabetic retinopathy: A survey	Automated detection of diabetic retinopathy using deep learning techniques	Applies deep learning for DR screening. Offers automation potential.	May require substantial computational resources.	The study focuses on the automated detection of diabetic retinopathy (DR) using deep learning techniques. It discusses the use of deep convolutional neural networks (CNNs) for feature extraction and classification of retinal images. The results demonstrate the potential of deep learning in improving the accuracy and efficiency of DR detection



38	Deep learning approach to diabetic retinopathy detection	A comprehensive survey on deep learning techniques for diabetic retinopathy detection	Surveys deep learning-based CAD systems for DR. Provides an overview.	May not delve into specific techniques.	The study provides a comprehensive survey of deep learning techniques for diabetic retinopathy (DR) detection. It discusses various CNN architectures, preprocessing techniques, and evaluation metrics used in DR classification. The survey highlights the potential of deep learning in improving the accuracy and efficiency of DR screening
39	Classification of diabetic retinopathy images by using deep learning models	The paper presents a modified AlexNet architecture for the classification of diabetic retinopathy images .	Utilizes deep learning for DR detection. Potential for high accuracy.	May require substantial labeled data.	The proposed model achieved high accuracy in classifying diabetic retinopathy images, demonstrating its effectiveness in diagnosing the disease
40	A critical review on diagnosis of diabetic retinopathy using machine learning and deep learning	The paper provides a critical review on the diagnosis of diabetic retinopathy using machine learning and deep learning	Classifies DR images using deep learning models.	Accuracy may vary based on model complexity.	The review discusses various techniques and models used for the detection and classification of diabetic retinopathy, highlighting the advancements and challenges in the field

41	Automatic screening and classification of diabetic retinopathy and maculopathy using fuzzy image processing	The paper presents a novel automatic detection system for diabetic retinopathy and maculopathy using fuzzy image processing	Fuzzy image processing aids in screening and classification. Potential for accurate diagnosis.	Fuzzy processing may require careful tuning, and the model's complexity may affect performance	The proposed system effectively detects and classifies diabetic retinopathy and maculopathy, providing a reliable screening method for these diseases
42	A contribution of image processing to the diagnosis of diabetic retinopathy-detection of exudates in color fundus images of the human retina	The paper presents a new algorithm for the detection of exudates in color fundus images of the human retina	Detects exudates in color fundus images. Can aid in early diagnosis.	May require extensive preprocessing, and sensitivity may vary with image quality.	The algorithm successfully detects exudates, which are important indicators of diabetic macular edema, with high sensitivity
43	Modified Alexnet architecture for classification of diabetic retinopathy images	The paper proposes a modified AlexNet architecture for the classification of diabetic retinopathy images	Utilizes modified AlexNet for image classification. Known for its effectiveness.	May not capture deep features as effectively as newer architectures.	The proposed model achieves high accuracy in classifying diabetic retinopathy images, demonstrating its potential for automated diagnosis
44	Diagnosis of diabetic retinopathy by using image processing and convolutional neural network	Diagnosis of Diabetic Retinopathy by Using Image Processing and Convolutional Neural Network	Combines image processing with CNN for diagnosis. Potential for high accuracy.	requires labeled data and computational resources.	The authors propose a method for diagnosing diabetic retinopathy using image processing techniques and convolutional neural networks. They use a dataset of retinal images and apply preprocessing techniques to enhance the images. Then, they extract features from the images and

					<p>train a convolutional neural network to classify the images into different stages of diabetic retinopathy. The results show that the proposed method achieves high accuracy in diagnosing diabetic retinopathy.</p>
45	<p>An Improved Model for Analysis of Diabetic Retinopathy Related Imagery</p>	<p>An Improved Model for Analysis of Diabetic Retinopathy Related Imagery</p>	<p>Offers an improved model for DR-related image analysis. Can enhance accuracy.</p>	<p>Model's performance may depend on data quality and size.</p>	<p>The authors present an improved model for analyzing diabetic retinopathy-related imagery. They propose a method that combines image processing techniques and machine learning algorithms to detect and classify lesions associated with diabetic retinopathy. The method involves preprocessing the retinal images, extracting features, and training a machine learning model to classify the images. The results demonstrate the effectiveness of the proposed model in accurately detecting and classifying diabetic retinopathy lesions.</p>

46	Simple methods for the lesion detection and severity grading of diabetic retinopathy by image processing and transfer learning	Simple methods for the lesion detection and severity grading of diabetic retinopathy by image processing and transfer learning	Simplifies lesion detection and grading with transfer learning. Efficient approach.	May not capture fine details compared to deep learning methods.	The authors propose simple methods for detecting and grading the severity of diabetic retinopathy lesions using image processing and transfer learning techniques. They preprocess the retinal images and extract features using convolutional neural networks. Then, they use transfer learning to fine-tune a pre-trained model for lesion detection and severity grading. The results show that the proposed methods achieve high accuracy in detecting and grading diabetic retinopathy lesions.
47	Using image processing methods for diagnosis diabetic retinopathy	Using image processing methods for diagnosis diabetic retinopathy	Utilizes image processing for diagnosis. May aid in preprocessing.	May not capture complex features automatically.	The authors investigate the use of image processing methods for diagnosing diabetic retinopathy. They apply various image processing techniques, such as image enhancement and feature extraction, to retinal images. Then, they use machine learning algorithms to classify

					<p>the images into different stages of diabetic retinopathy.</p> <p>The results demonstrate the potential of image processing methods in diagnosing diabetic retinopathy.</p>
48	Image processing and machine learning techniques for diabetic retinopathy detection: a review	Image Processing and Machine Learning Techniques for Diabetic Retinopathy Detection: A Review	Provides an overview of image processing and ML techniques for DR. Informative for researchers.	May lack detailed implementation guidance.	<p>The authors provide a comprehensive review of image processing and machine learning techniques for the detection of diabetic retinopathy. They discuss various methods and algorithms used for preprocessing retinal images, extracting features, and classifying the images. The review highlights the advancements in the field and the potential of these techniques in improving the diagnosis of diabetic retinopathy.</p>

49	An ensemble-based system for automatic screening of diabetic retinopathy	In this paper, an ensemble-based method for the screening of diabetic retinopathy (DR) is proposed. This approach is based on features extracted from the output of several retinal image processing algorithms, such as image-level (quality assessment, pre-screening, AM/FM), lesion-specific (microaneurysm detection, exudate detection), and anatomical (optic disc and macula detection) algorithms	Ensembles methods for DR screening, potentially enhancing accuracy.	Ensemble models may be computationally intensive.	The proposed ensemble-based method achieved high accuracy in the screening of diabetic retinopathy, with improved performance compared to individual algorithms. The method utilized various image processing techniques to extract features related to image quality, lesion detection, and anatomical structure detection, leading to accurate classification of retinal images
50	Identification of different stages of diabetic retinopathy using retinal optical images	This paper presents a method for the identification of different stages of diabetic retinopathy using retinal optical images. The method utilizes image processing techniques and machine learning algorithms to classify retinal images into different stages of diabetic retinopathy	Uses optical images to identify DR stages. Non-invasive approach.	Accuracy may depend on image quality and variety.	The proposed method achieved high accuracy in the identification of different stages of diabetic retinopathy. By analyzing retinal optical images and extracting relevant features, the method was able to accurately classify retinal images into different stages of the disease, providing valuable information for

					diagnosis and treatment
51	Diagnosis of diabetic retinopathy by employing image processing technique to detect exudates in retinal images	This paper presents a computational intelligence-based approach for the detection of exudates in diabetic retinopathy images. The method utilizes computational intelligence techniques, such as fuzzy c-means clustering and neural networks, to automatically identify exudate pathologies in retinal images	Employs image processing to detect exudates in retinal images. May aid in early diagnosis.	<i>Sensitive to image quality and may require substantial preprocessing.</i>	The proposed approach achieved high accuracy in the detection of exudates in diabetic retinopathy images. By applying fuzzy c-means clustering and neural networks, the method was able to accurately identify exudate pathologies, providing valuable information for the diagnosis and management of diabetic retinopathy
52	Progress towards automated diabetic ocular screening: a review of image analysis and intelligent systems for diabetic retinopathy	This paper reviews the progress towards automated diabetic ocular screening, specifically focusing on image analysis and intelligent systems for diabetic retinopathy. The review discusses the use of automated analysis of reflectance images of the ocular fundus for diabetic retinopathy screening	Reviews progress in automated screening. Provides insights for researchers.	May not delve into specific technical details.	The review highlights the importance of automated diabetic ocular screening and the potential of image analysis and intelligent systems for diabetic retinopathy. It discusses various approaches and techniques used in automated screening, emphasizing the need for accurate and efficient analysis of retinal images for early detection and management of diabetic retinopathy

53	A Deep Learning Method for the detection of Diabetic Retinopathy	<p>This paper presents a deep learning method for the detection of diabetic retinopathy. The method utilizes deep learning techniques, specifically convolutional neural networks, to automatically detect diabetic retinopathy from retinal images</p>	Utilizes deep learning for DR detection. Potential for high accuracy.	Requires substantial labeled data and computational resources.	<p>The proposed deep learning method achieved high accuracy in the detection of diabetic retinopathy. By training convolutional neural networks on a large dataset of retinal images, the method was able to accurately classify retinal images as either normal or showing signs of diabetic retinopathy, providing a valuable tool for early diagnosis and treatment</p>
54	A computational-intelligence-based approach for detection of exudates in diabetic retinopathy images	<p>This paper presents a computational intelligence-based approach for the detection of exudates in diabetic retinopathy images. The method utilizes computational intelligence techniques, such as fuzzy c-means clustering and neural networks, to automatically identify exudate pathologies in retinal images</p>	<p>Employs computational intelligence for exudate detection. Can enhance accuracy.</p>	Sensitive to data quality and may require complex model tuning.	<p>The proposed approach achieved high accuracy in the detection of exudates in diabetic retinopathy images. By applying fuzzy c-means clustering and neural networks, the method was able to accurately identify exudate pathologies, providing valuable information for the diagnosis and management of diabetic retinopathy</p>



55	An enhanced diabetic retinopathy detection and classification approach using deep convolutional neural network	An enhanced diabetic retinopathy detection and classification approach using deep convolutional neural network	Utilizes deep CNN for enhanced DR detection. Known for its effectiveness.	May require substantial computational resources.	The authors propose an enhanced approach for detecting and classifying diabetic retinopathy using a deep convolutional neural network. They preprocess the retinal fundus images and extract features using the deep convolutional neural network.
56	An effective image processing method for detection of diabetic retinopathy diseases from retinal fundus images	An effective image processing method for detection of diabetic retinopathy diseases from retinal fundus images	Offers an effective image processing method for DR detection. Simplifies diagnosis.	May not capture complex features automatically.	The authors present an effective image processing method for detecting diabetic retinopathy diseases from retinal fundus images. They apply image processing techniques to preprocess the images and extract features. Then, they use machine learning algorithms to classify the images into different categories of diabetic retinopathy diseases.
57	Modified U-Net architecture for semantic segmentation of diabetic retinopathy images	Modified U-Net architecture for semantic segmentation of diabetic retinopathy images	Utilizes modified U-Net for semantic segmentation. Useful for precise localization.	Requires labeled data and may be sensitive to variations.	The authors propose a modified U-Net architecture for performing semantic segmentation of diabetic retinopathy images. They modify the original U-Net

					architecture to improve the accuracy of segmenting different structures in the retinal images.
58	Deep learning for detection and severity classification of diabetic retinopathy	Deep Learning for Detection and Severity Classification of Diabetic Retinopathy	Combines deep learning for DR detection and severity classification. Enhances accuracy.	Computational resources and labeled data may be demanding.	The authors investigate the use of deep learning techniques for detecting and classifying the severity of diabetic retinopathy. They train deep learning models using retinal images and evaluate their performance in detecting and classifying different stages of diabetic retinopathy.
59	Automated identification of diabetic retinopathy stages using digital fundus images	Automated Identification of Diabetic Retinopathy Stages Using Digital Fundus Images	Automates DR stage identification with digital fundus images. Non-invasive and efficient.	Accuracy may vary based on image quality and variety.	The authors propose a computer-based approach for automatically identifying the stages of diabetic retinopathy using digital fundus images. They apply image preprocessing, morphological processing techniques, and texture analysis methods to extract features from the fundus images.

60	Comparative study of imaging transforms on diabetic retinopathy images	Comparative study of imaging transforms on diabetic retinopathy images	Compares imaging transforms for DR analysis. Provides insights into technique effectiveness.	May not address real-world variations and specific DR diagnosis challenges.	The authors conduct a comparative study of different imaging transforms on diabetic retinopathy images. They evaluate the performance of various transforms in enhancing the features and improving the accuracy of diabetic retinopathy detection. The results provide insights into the effectiveness of different imaging transforms for diabetic retinopathy analysis.
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**Taxonomy**

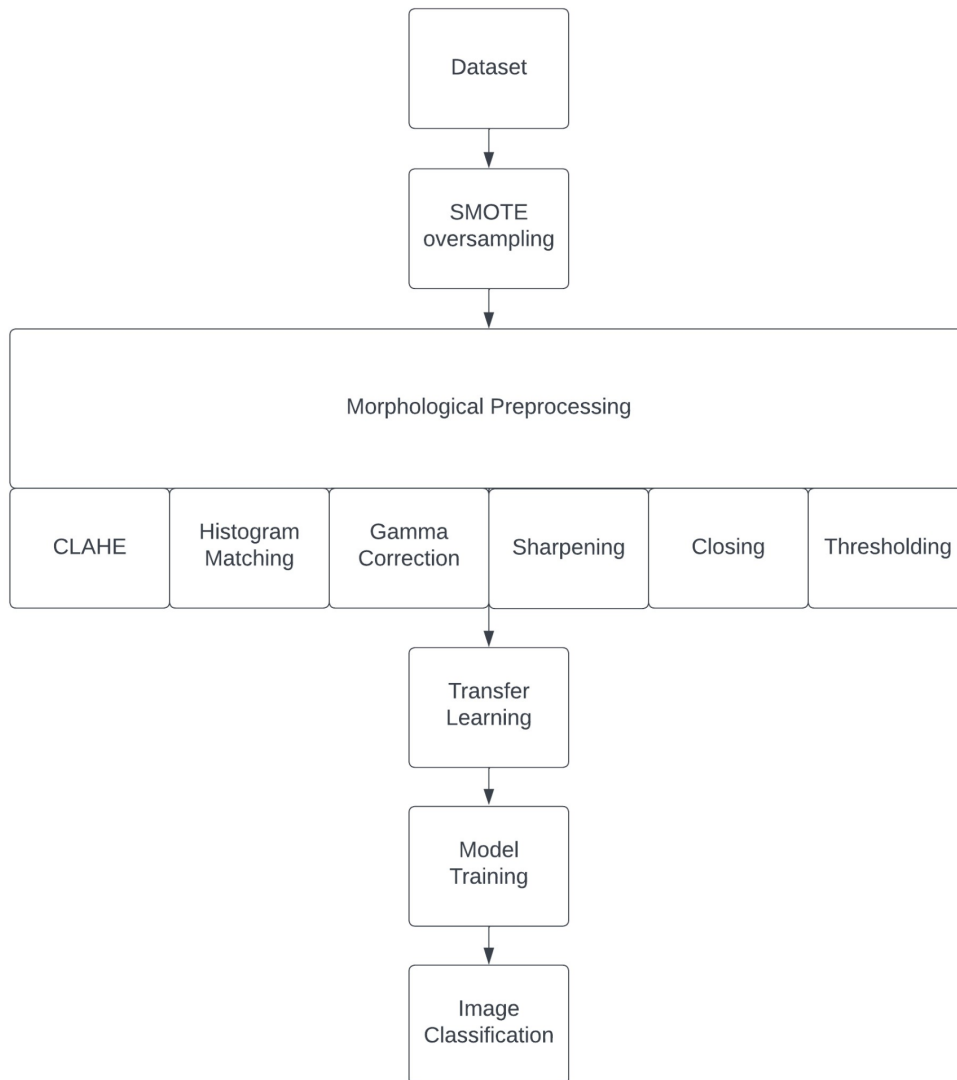


Fig 1 Taxonomy

### Section - 3 shortcomings in the literature

In the context of diabetic retinopathy classification using medical imaging, the existing literature exhibits several shortcomings:

1. Limited Interpretability:

Many studies lack comprehensive insights into the interpretability of their employed models. The absence of tools such as saliency maps or visualizations may restrict the elucidation of specific image regions critical for diabetic retinopathy classification, hindering the understanding of decision-making processes.

2.      Transparency Challenges:

The current body of research may fall short in providing transparent visualizations of key features influencing model decisions. This lack of transparency poses challenges for clinicians to grasp and trust the automated diagnostic outcomes, impeding the seamless integration of these models into clinical workflows.

3.      Insufficient Clinical Relevance:

Some studies may overlook the importance of focusing on clinically relevant features or fail to provide insights directly beneficial for clinicians in making informed decisions during diabetic retinopathy diagnosis. This limitation potentially diminishes the practical applicability of research findings in real-world clinical scenarios.

4.      Neglect of Model Trustworthiness:

Certain investigations might neglect the critical aspect of ensuring the trustworthiness of the developed models. Failing to enhance the credibility and reliability of diabetic retinopathy classification models could impede their adoption and acceptance in clinical settings, where trust is paramount.

5.      Inadequate Comparative Analysis:

The existing literature may lack comprehensive comparative analyses of various methodologies for diabetic retinopathy classification. This deficiency makes it challenging for researchers and practitioners to discern the most effective approach, hindering the advancement and standardization of methodologies in this critical domain.

## **Privacy preservation**

Privacy preservation is a paramount concern in medical imaging research, particularly in diabetic retinopathy classification. As patient data becomes a focal point for training and validating deep learning models, ensuring robust privacy measures is crucial. The challenge lies in striking a balance between leveraging large-scale datasets for model efficacy and safeguarding sensitive patient information. Advanced techniques, such as federated learning and differential privacy, are pivotal in mitigating privacy risks. Federated learning enables model training across decentralized devices, minimizing data exposure, while differential privacy introduces noise to individual data points, preserving overall model accuracy without compromising individual privacy. Emphasizing these privacy-preserving methodologies is essential to foster trust and ethical considerations in the development and deployment of diabetic retinopathy classification systems in healthcare settings.

## **Dataset problem:**

One prevalent issue in diabetic retinopathy classification datasets is the potential for class imbalance, where certain severity stages of the disease may be underrepresented. This imbalance can lead to biased model training and hinder the system's ability to generalize effectively across all disease stages. Additionally, variations in image quality, resolution, and acquisition devices across datasets can impact model robustness. Addressing these dataset challenges is crucial for developing reliable and generalizable diabetic retinopathy classification models, necessitating strategies like oversampling techniques and meticulous data preprocessing to ensure fair representation and consistent quality in the training data.

## **Section - 5 Proposal - J comp**

### **1. GPU (Graphics Processing Unit):**

A dedicated GPU is crucial for expediting the training phase of deep learning models. Its parallel processing capabilities enhance performance, especially beneficial for handling the extensive computations involved in training convolutional neural networks (CNNs) for image classification tasks.

### **2. Retina Scanner:**

Utilized for acquiring high-resolution retinal images, a CT scanner plays a pivotal role in the diagnostic imaging process. By combining computer technology and X-rays, it facilitates the creation of detailed images necessary for training and validating the deep learning model.

### **3. Computer Setup:**

A computer system capable of efficiently running the trained model and performing real-time predictions is essential. This includes sufficient processing power, memory, and compatibility with the chosen deep learning framework.

## **Augmentation Procedures:**

To enhance the robustness and diversity of the dataset, various augmentation techniques are employed:

### **1. Rescale:**

Image pixel values are rescaled by a factor of  $1/255.0$  to bring them within the range of 0 to 1, ensuring consistent normalization across the dataset.

2. Sampling:

Creates synthetic samples by randomly sampling the characteristics from occurrences in the minority class.

3. Fill Mode:

Points outside the image boundaries are filled using the "reflect" mode, fostering continuity and completeness in the augmented images.

4. Validation Split:

A validation split of 20% ensures that a fraction of the augmented images is reserved for validating the model's performance, aiding in effective model evaluation.

By incorporating these hardware components and augmentation procedures, the project aims to optimize model training and ensure the reliability and generalizability of the diabetic retinopathy classification system.

## **Methodology:**

### **1. Data Collection:**

The initial phase involves the acquisition of a diverse dataset containing retinal images representative of varying degrees of diabetic retinopathy (DR) severity. The dataset is sourced from medical archives, ensuring a comprehensive representation of the disease spectrum. The images, crucial for model training and evaluation, are collected using computed tomography (CT) scans, leveraging their diagnostic imaging capabilities to capture detailed retinal structures.

### **2. Data Preprocessing:**

The acquired images undergo meticulous preprocessing to enhance their quality and facilitate effective model learning. A critical step involves normalization, rescaling the pixel values to a range between 0 and 1. This normalization ensures consistent input for subsequent deep learning model training. Additionally, contrast enhancement techniques, such as Contrast Limited Adaptive Histogram Equalization (CLAHE) and histogram matching, are applied to improve the visibility of relevant features within the images. After this gamma correction is applied, the output is sharpened and then closing is performed on it. Finally

thresholding is performed to extract features of the eye. These augmentation strategies aim to improve model robustness and enable better generalization.

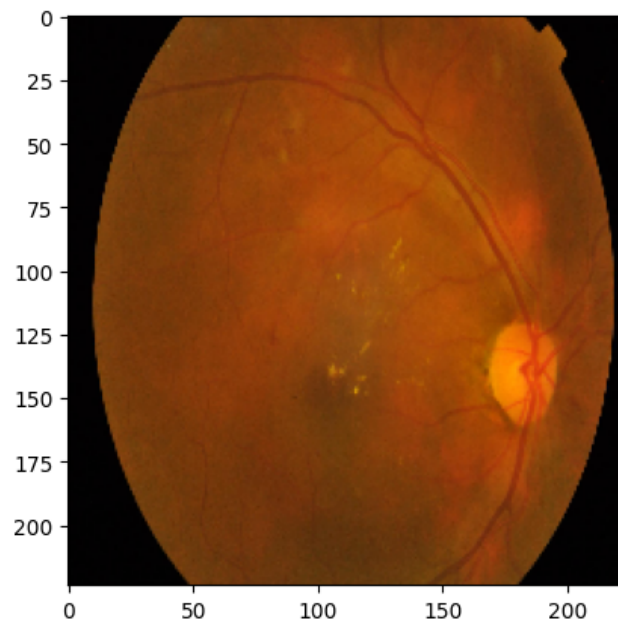


Fig 2 Dataset Image

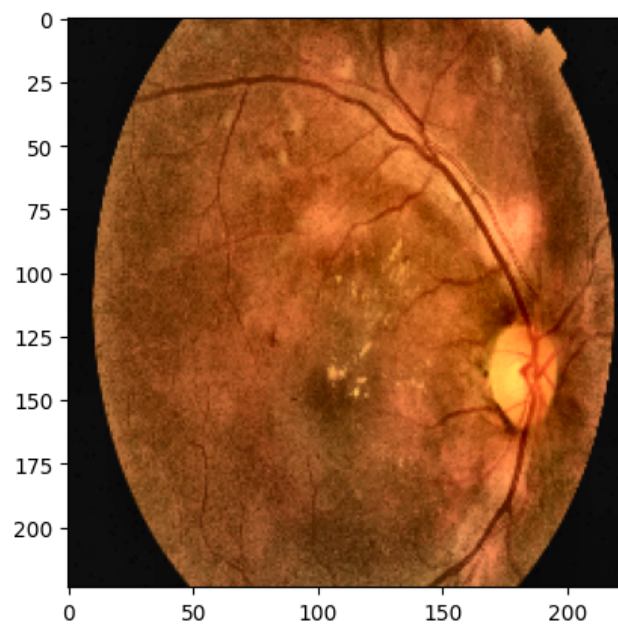


Fig 3 Image after CLAHE



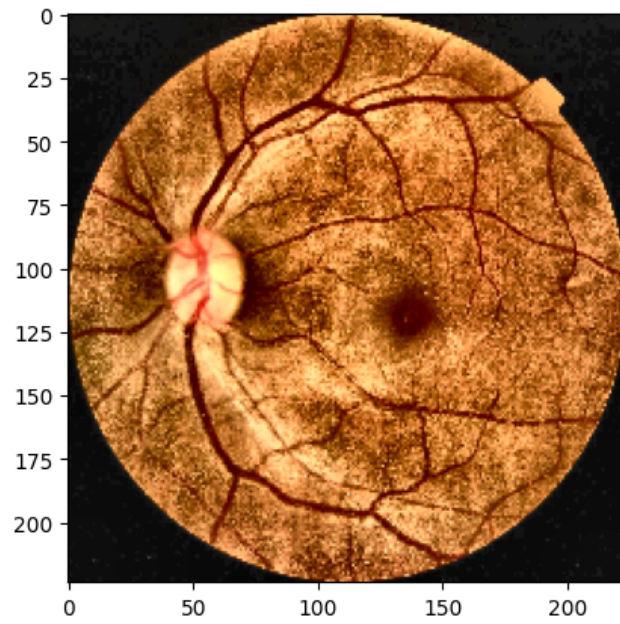


Fig 4 Image after histogram Matching

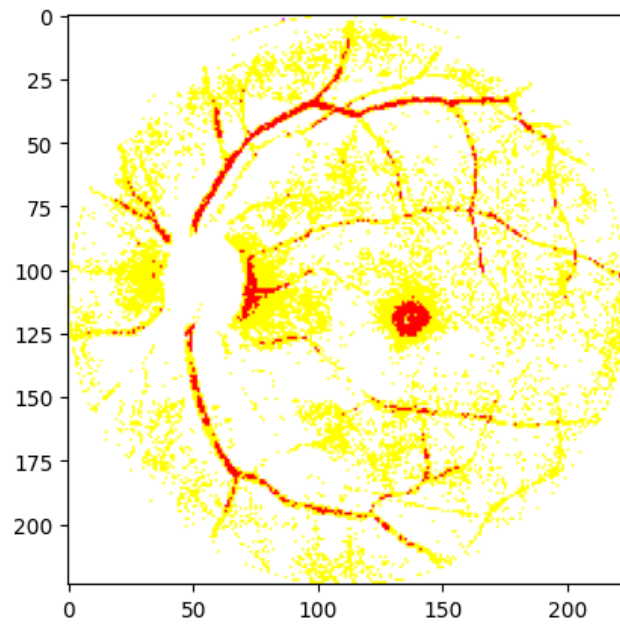


Fig 5. Image after Gamma correction

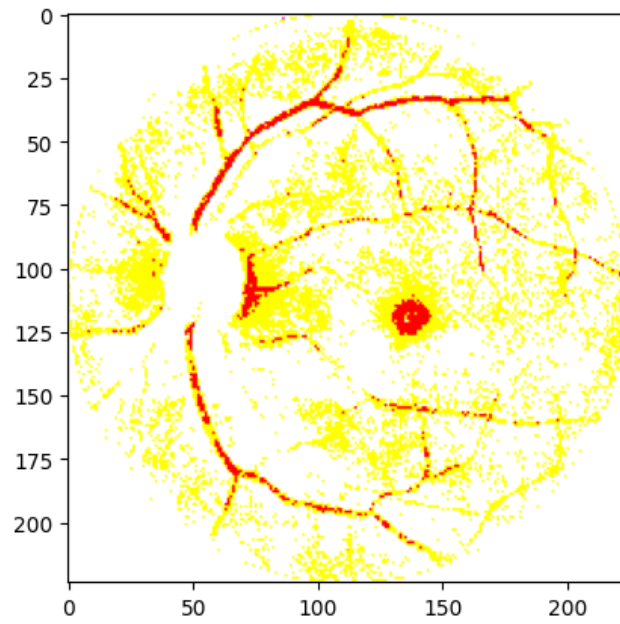


Fig 6. Image after Sharpening

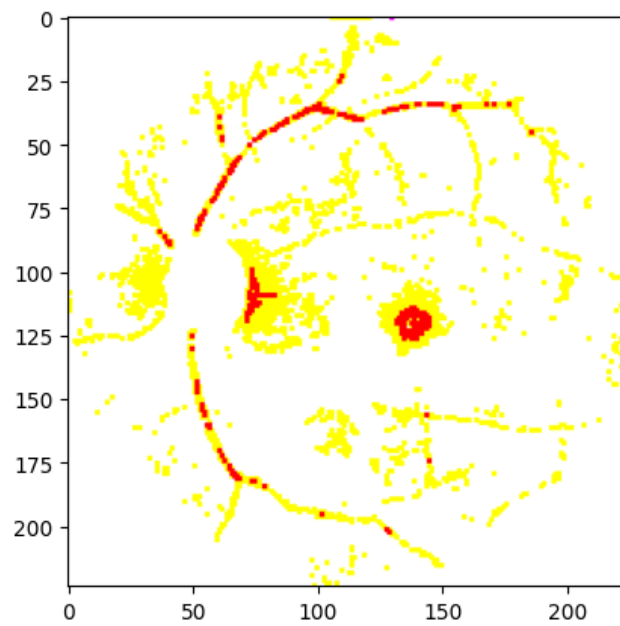


Fig 7. Image after Closing

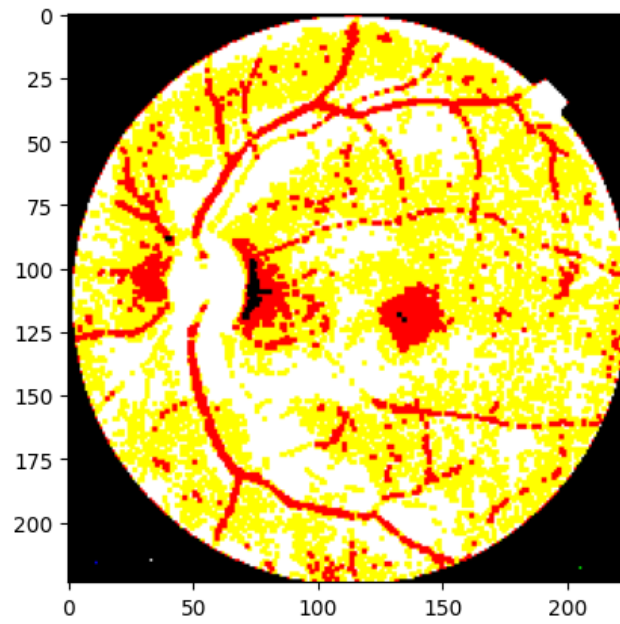


Fig 8. Image after Thresholding

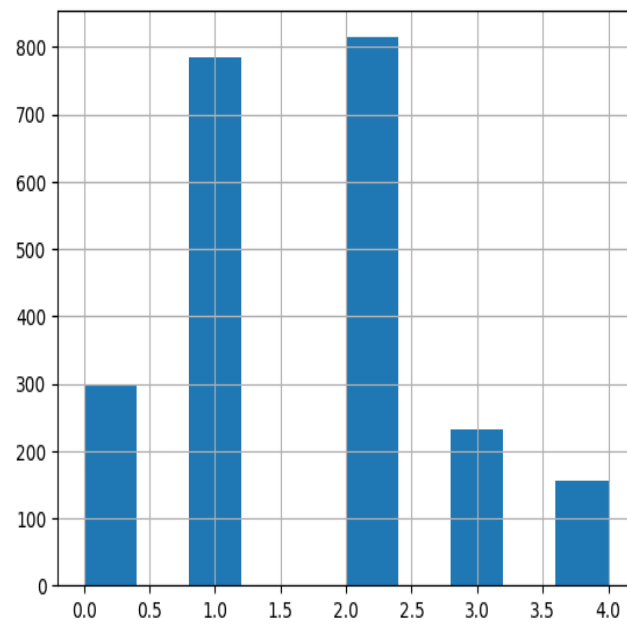


Fig 9. Data split before SMOTE

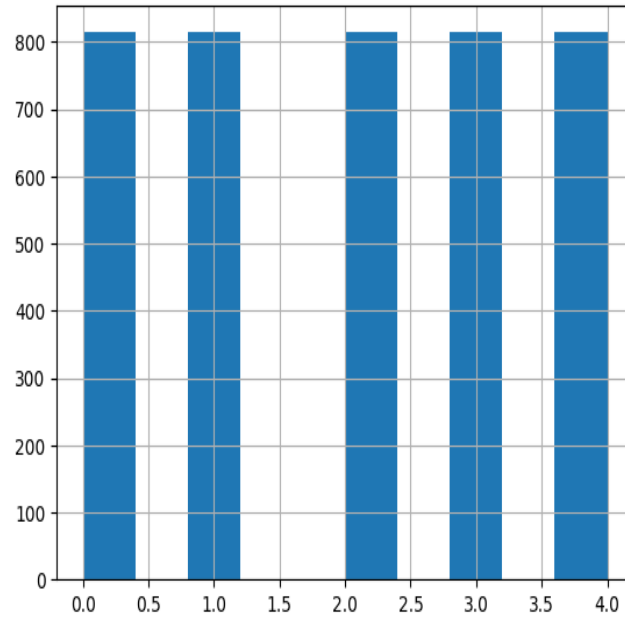


Fig 10. Data Split after SMOTE

### 3. Deep Learning Models:

The core of the methodology involves the implementation and evaluation of multiple deep learning architectures for diabetic retinopathy classification. Three distinct models are explored to gauge their effectiveness in handling the complexity of retinal image classification:

#### 4. Convolutional Neural Network (CNN):

A baseline CNN is constructed, comprising convolutional layers for feature extraction, max-pooling layers for spatial reduction, and dense layers for classification. This model serves as a benchmark for evaluating the performance of more complex architectures.

#### 5. Transfer Learning with VGG16:

Leveraging transfer learning, a pre-trained VGG16 model is employed as the base, with its convolutional layers frozen. Additional layers are added for fine-tuning, followed by a classification head. This approach capitalizes on the pre-learned features from a large dataset, enhancing the model's ability to discern subtle patterns in retinal images.

#### 6. U-Net-inspired Architecture:

Inspired by the U-Net architecture, a model is devised to capture intricate details in retinal images. This architecture incorporates upsampling layers for feature reconstruction, enabling a more granular analysis of retinal structures. The

U-Net-inspired model is tailored to address the unique challenges posed by diabetic retinopathy classification.

**7. Augmentation Strategies:**

Augmentation procedures play a pivotal role in diversifying the dataset, fostering improved model generalization. Rescaling of pixel values ensures consistent normalization across the dataset. Rotation, shifting, zooming, and flipping introduce variations, enriching the dataset with diverse perspectives. The fill mode is employed to address boundary points outside the image, enhancing the completeness of augmented images. A validation split of 20% ensures a reserved set for model evaluation, maintaining the integrity of the training-validation framework.

**8. Model Training and Evaluation:**

The deep learning models are trained on the augmented and preprocessed dataset. The training process involves iterative optimization of model parameters using backpropagation and gradient descent. Model performance is evaluated using a separate validation set, and hyperparameter tuning is performed to enhance model accuracy and robustness. Evaluation metrics include accuracy, precision, recall, and F1 score. Additionally, confusion matrices and classification reports are generated to provide comprehensive insights into model performance across different diabetic retinopathy severity stages.

**9. Comparative Analysis:**

The final step involves a comprehensive comparative analysis of the three deep learning models. The models are evaluated based on their performance metrics, computational efficiency, and practical applicability in clinical settings. The comparative analysis aids in discerning the strengths and weaknesses of each model, guiding the selection of the most effective approach for diabetic retinopathy classification.

**Results:**

Model	Accuracy	Precision	Recall	F1
CNN	0.69	0.6	0.508	0.5
VGG16	0.64	0.42	0.43	0.43

UNet	0.65	0.52	0.504	0.50
VGGNet	0.68	0.60	0.50	0.50

Table 1. Comparative results of all models trained

CNN

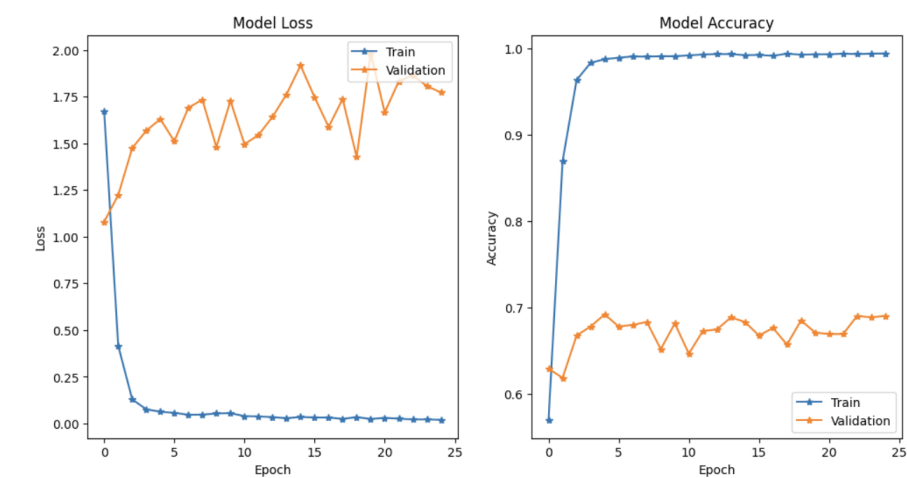


Figure 10. Accuracy and Loss plots for CNN

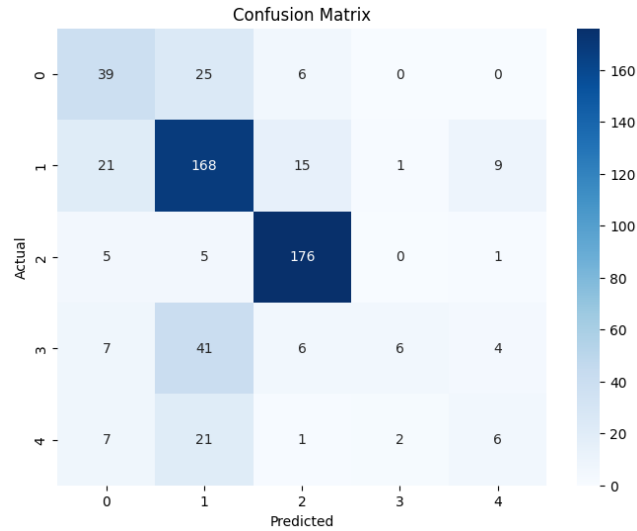


Figure 11. Confusion Matrix for CNN  
VGG16

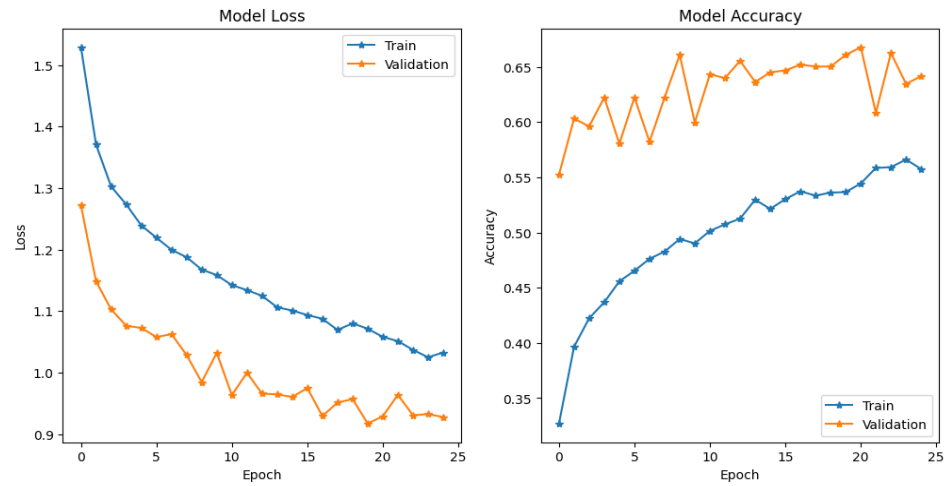


Figure 11. Accuracy and Loss plots for VGG16

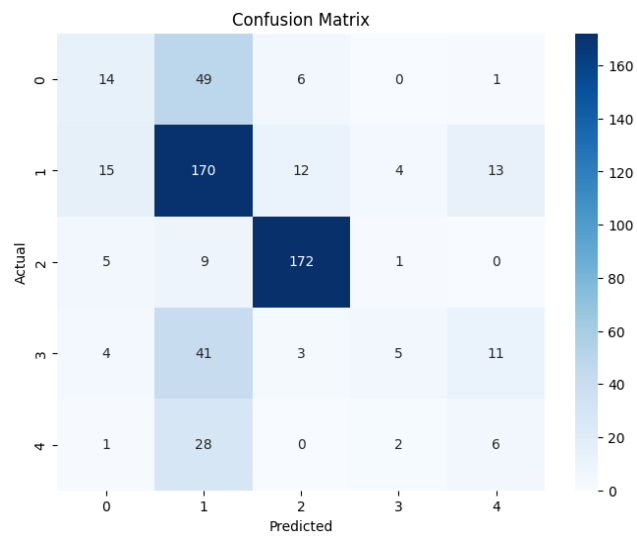


Figure 12. Confusion Matrix for VGG16

UNet

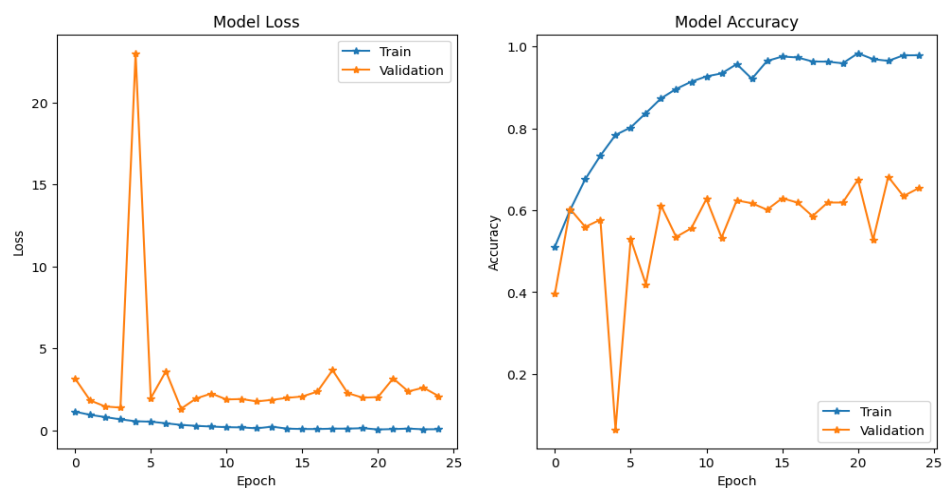


Figure 13. Accuracy and Loss plots for UNet

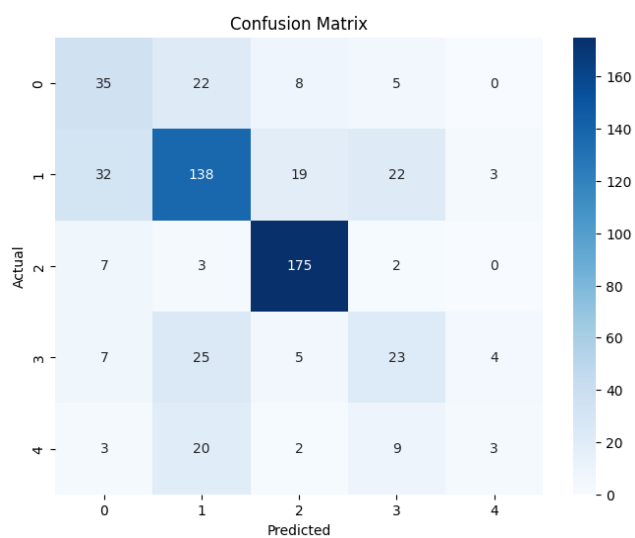


Figure 14. Confusion Matrix for UNet

VGGNet



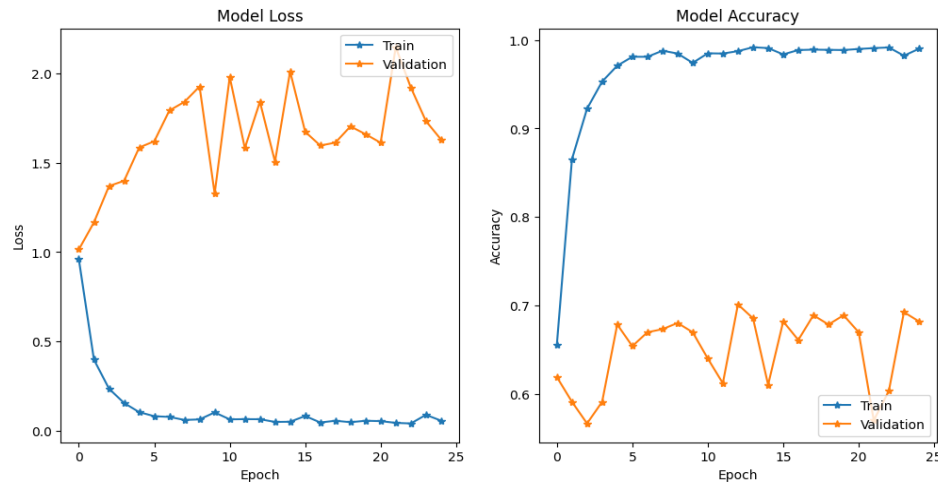


Figure 15. Accuracy and Loss plots for VGGNet

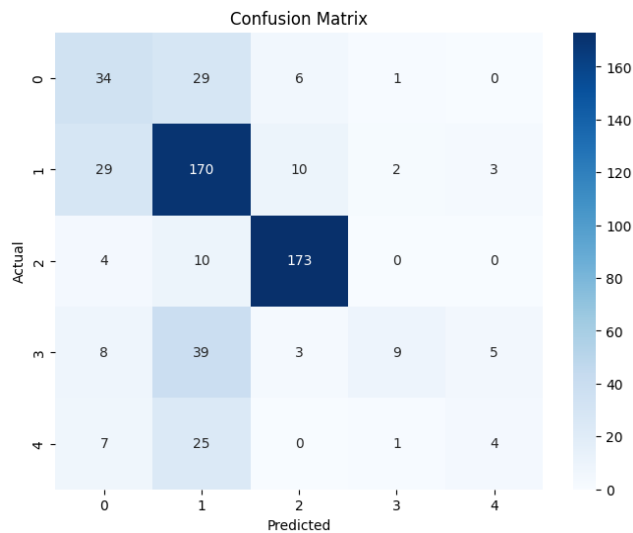


Figure 16. Confusion Matrix for VGGNet

## Research avenues:

- Delving into the Research Avenues of Diabetic Retinopathy
  - Diabetic retinopathy (DR), a devastating complication of diabetes, casts a shadow over the vision of millions worldwide. Despite significant advancements in DR detection and treatment, the relentless pursuit of better patient outcomes necessitates a continued and multifaceted approach to research. Several promising avenues beckon, offering the potential to revolutionize DR management and ultimately prevent vision loss.
- Unveiling Biomarkers for Early Detection: The Gateway to Timely Intervention

- Early detection of DR stands as a cornerstone of effective management, enabling timely intervention before irreversible vision loss occurs. Researchers are diligently seeking biomarkers, be it genetic factors, circulating proteins, or retinal imaging features, that can predict DR onset or progression. These elusive biomarkers would empower clinicians to identify at-risk individuals and initiate preventive measures early in the disease course.
- **Pioneering New Therapeutic Approaches: Embracing a Personalized Paradigm**
  - Current DR treatments, such as intraocular injections of anti-VEGF agents, while effective, can be burdensome for patients and may not address the underlying causes of the disease. The quest for novel therapies, more effective, durable, and less invasive, is at the forefront of DR research. Gene therapy, stem cell therapy, and neuroprotective agents hold promise in transforming DR management by addressing the fundamental mechanisms of the disease.
- **Embracing Personalized Medicine: Tailoring Treatment to the Individual**
  - DR is emerging as a heterogeneous disease, with diverse underlying causes and treatment responses. Personalized medicine, tailoring treatment plans to an individual's genetic makeup, risk factors, and disease characteristics, is gaining traction. This approach promises to optimize treatment efficacy, minimize adverse effects, and improve patient outcomes by considering the unique aspects of each individual's disease.
- **Harnessing Artificial Intelligence: Empowering Diagnosis and Management**
  - Artificial intelligence (AI) is poised to revolutionize DR diagnosis and management. AI algorithms can be trained to detect subtle DR signs in retinal images, enabling early detection and timely intervention. AI can also assist in developing personalized treatment plans, monitoring patient response to therapy, and predicting disease progression.
- **Venturing into Gene Therapy**
  - Gene therapy offers a promising avenue for DR treatment by introducing therapeutic genes into retinal cells to correct underlying genetic defects or modulate disease-associated genes. Gene therapy could halt or reverse DR progression, offering a transformative approach to managing this complex disease.
- **Investigating Neuroprotective Agents and Shielding Retinal Neurons from Damage**
  - DR is associated with neuronal loss and dysfunction, suggesting that neuroprotective agents could slow or prevent DR progression. Researchers are

exploring the potential of various neuroprotective agents, such as antioxidants and anti-inflammatory drugs, to combat DR by shielding retinal neurons from damage and promoting their survival.

- Leveraging Deep Learning for Image Analysis
  - Deep learning techniques, a subset of AI, are demonstrating remarkable capabilities in analyzing medical images. In DR, deep learning algorithms can identify subtle retinal abnormalities, aiding in early DR detection, severity assessment, and disease progression prediction.
- Developing AI-Powered Decision Support Systems:
  - AI-powered decision support systems can provide clinicians with real-time guidance during DR diagnosis and treatment decisions. These systems can analyze patient data, retinal images, and treatment outcomes to suggest optimal treatment strategies, tailored to the individual patient's unique needs and disease profile.

These research avenues, brimming with promise, offer a glimpse into a future where DR is effectively prevented, managed, and even cured. With continued research efforts, we can envision a world where vision loss and blindness due to DR become a distant memory, replaced by a world where individuals with diabetes can live their lives without the fear of losing sight.

## **Conclusion and better learning**

In conclusion, this project presents a comprehensive approach to diabetic retinopathy (DR) classification using deep learning techniques. The study demonstrates the effectiveness of combining image processing methods, such as Contrast Limited Adaptive Histogram Equalization (CLAHE) and histogram matching, with various deep learning architectures, including Convolutional Neural Networks (CNNs), transfer learning with VGG16 and MobileNetV2, and U-Net-inspired model. The project emphasizes the importance of addressing class imbalance through the Synthetic Minority Over-sampling Technique (SMOTE) to improve model performance and generalization.

The findings of this research highlight the promising potential of deep learning in revolutionizing DR diagnosis. By leveraging the power of deep learning, we can develop automated systems capable of accurately classifying retinal images into different stages of DR, enabling early detection and timely intervention. This advancement has the potential to significantly impact public health by reducing the prevalence of blindness due to DR and improving patient outcomes.

Future directions for this research include the development of more sophisticated deep learning architectures, the exploration of semi-supervised and unsupervised learning approaches, and the integration of explainable AI techniques to enhance interpretability and trust in the models. Additionally, the incorporation of multi-modal data, such as optical coherence tomography (OCT) images, could further improve the accuracy and reliability of DR diagnosis.

### **Main contribution to the survey:**

These contributions demonstrate the effectiveness of deep learning in revolutionizing DR diagnosis, paving the way for automated, efficient, and accurate classification of retinal images into different stages of DR. This advancement has the potential to significantly impact public health by enabling early detection, timely intervention, and improved patient outcomes, ultimately reducing the prevalence of blindness due to DR.

- Development of a comprehensive approach to diabetic retinopathy (DR) classification using deep learning techniques
- Utilization of a diverse dataset of retinal images, including preprocessing methods like Contrast Limited Adaptive Histogram Equalization (CLAHE) and histogram matching to enhance feature visibility
- Addressing class imbalance using Synthetic Minority Over-sampling Technique (SMOTE) to ensure models generalize well across all stages of DR severity
- Exploration of multiple deep learning architectures, encompassing Convolutional Neural Networks (CNNs), transfer learning with VGG16 and MobileNetV2, and a unique U-Net-inspired model
- Extensive training and evaluation of models, with performance metrics assessed through confusion matrices and classification reports
- Insights into the efficacy of different deep learning paradigms for DR classification, emphasizing the importance of preprocessing and oversampling strategies in improving model robustness