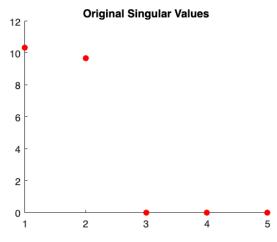
# Signal Model

```
clc
clear
M = 5; % number of antennas
N = 20; % number of samples for each user
d = 2; % number of users
Delta = 0.5;
SNR = inf;
f = [0.1, 0.3]';
theta = [-20, 30]';
```

```
% generate data
[X, ~, ~] = gendata(M, N, Delta, theta, f, SNR);
% calculate SVD and plot the singular values
matrix_singular = svd(X)

matrix_singular = 5×1
    10.3289
    9.6599
    0.0000
    0.0000
    0.0000
    scatter(1:length(matrix_singular), matrix_singular, 'filled', 'red')
title('Original Singular Values')
```



```
% samples number doubles
[X_2N, ~, ~] = gendata(M, 2*N, Delta, theta, f, SNR);
matrix_singular = svd(X_2N)

matrix_singular = 5×1
    14.6073
    13.6612
    0.0000
    0.0000
```

```
scatter(1:length(matrix_singular), matrix_singular, 'filled', 'red')
title('Singular Values of X(Samples Number Doubled)')
```

```
Singular Values of X(Samples Number Doubled)

10

5

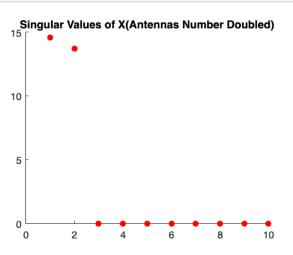
0

1 2 3 4 5
```

```
% antennas number doubles [X_2M, \sim, \sim] = gendata(2*M, N, Delta, theta, f, SNR); matrix_singular = svd(X_2M)
```

```
matrix_singular = 10×1
14.5825
13.6876
0.0000
0.0000
0.0000
0.0000
0.0000
0.0000
0.0000
0.0000
```

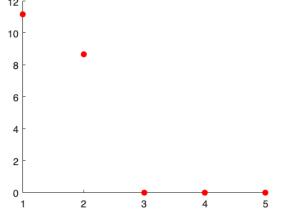
```
scatter(1:length(matrix_singular), matrix_singular, 'filled', 'red')
title('Singular Values of X(Antennas Number Doubled)')
```



```
f_small = [0.1, 0.2]'
f_small = 2 \times 1
   0.1000
   0.2000
% generate data
[X, \sim, \sim] = gendata(M, N, Delta, theta, f_small, SNR);
% calculate SVD and plot the singular values
matrix_singular = svd(X)
matrix\_singular = 5 \times 1
  10.3289
   9.6599
   0.0000
   0.0000
   0.0000
scatter(1:length(matrix_singular), matrix_singular, 'filled', 'red')
title('Singular Values of X(Smaller Difference between Frequencies)')
Singular Values of X(Smaller Difference between Frequencie
   10
    8
    6
    4
    2
theta_small = [-21, -109]
theta\_small = 1 \times 2
   -21 -109
% generate data
[X, \sim, \sim] = gendata(M, N, Delta, theta_small, f, SNR);
% calculate SVD and plot the singular values
matrix\_singular = svd(X)
matrix\_singular = 5 \times 1
  11.1789
   8.6622
   0.0000
   0.0000
   0.0000
```

```
scatter(1:length(matrix_singular), matrix_singular, 'filled', 'red')
title('Singular Values of X(Smaller Difference between Angles)')
```

### Singular Values of X(Smaller Difference between Angles)



```
Estimation of Directions and Frequencies
 M = 5;
 N = 20;
 Delta = 0.5;
 d = 2;
 SNR = inf;
 f = [0.3, 0.1]'
 f = 2 \times 1
     0.3000
     0.1000
 theta = [-20, 30]'
 theta = 2 \times 1
    -20
     30
 [X, \sim, \sim] = gendata(M, N, Delta, theta, f, SNR);
 f_hat = espritfreq(X, d)
 f_hat = 2 \times 1
     0.1000
     0.3000
 theta_hat = esprit(X, d)
 theta_hat = 2 \times 1
   -20.0000
```

# **Joint Estimation**

30.0000

```
M = 5;
N = 20;
d = 2;
m = 3
m = 3
SNR = inf;
theta = [-20, 80]
theta = 1 \times 2
   -20
          80
f = [0.25, 0.6]
f = 1 \times 2
    0.2500
              0.6000
[X, \sim, \sim] = gendata(M, N, Delta, theta, f, SNR);
[theta_hat,f_hat] = joint(X, d, m)
theta_hat = 2 \times 1
  -19.9995
   79.9971
f hat = 2 \times 1
    0.2500
    0.6000
```

## Comparison

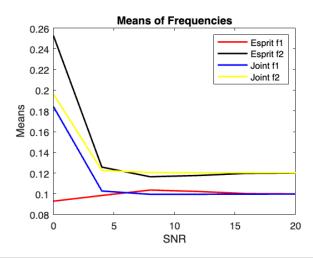
```
% Three algorithms
SNR list = 0:4:20;
M = 3;
N = 20;
d = 2;
theta = [-20, 30];
f = [0.1, 0.12];
m = 5;
max_iter = 1100;
f_esps = zeros(2*length(SNR_list), max_iter);
theta_esps = zeros(2*length(SNR_list), max_iter);
f_joints = zeros(2*length(SNR_list), max_iter);
theta_joints = zeros(2*length(SNR_list), max_iter);
for i = 1:length(SNR_list)
    for j = 1:max_iter
        [X, \sim, \sim] = gendata(M, N, Delta, theta, f, SNR_list(i));
        f_{esps}((i-1)*2+1:i*2, j) = espritfreq(X, d);
        theta_esps((i-1)*2+1:i*2, j) = esprit(X, d);
```

```
[theta_joints((i-1)*2+1:i*2 ,j), f_joints((i-1)*2+1:i*2, j)] =
joint(X, d, m);
end
end
```

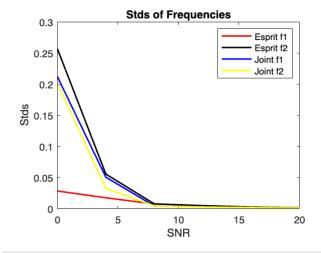
```
% Calculate means and stds
f_esps_means = mean(f_esps, 2);
f_esps_means1 = f_esps_means(1:2:end);
f_esps_means2 = f_esps_means(2:2:end);
f_esps_stds = std(f_esps, 0, 2);
f_esps_stds1 = f_esps_stds(1:2:end);
f_esps_stds2 = f_esps_stds(2:2:end);
theta_esps_means = mean(theta_esps, 2);
theta_esps_means1 = theta_esps_means(1:2:end);
theta_esps_means2 = theta_esps_means(2:2:end);
theta_esps_stds = std(theta_esps, 0, 2);
theta_esps_stds1 = theta_esps_stds(1:2:end);
theta_esps_stds2 = theta_esps_stds(2:2:end);
f_joints_means = mean(f_joints, 2);
f joints means1 = f joints means(1:2:end);
f_joints_means2 = f_joints_means(2:2:end);
f joints stds = std(f joints, 0, 2);
f_joints_stds1 = f_joints_stds(1:2:end);
f_joints_stds2 = f_joints_stds(2:2:end);
theta_joints_means = mean(theta_joints, 2);
theta_joints_means1 = theta_joints_means(1:2:end);
theta_joints_means2 = theta_joints_means(2:2:end);
theta_joints_stds = std(theta_joints, 0, 2);
theta_joints_stds1 = theta_joints_stds(1:2:end);
theta_joints_stds2 = theta_joints_stds(2:2:end);
```

```
% Plot the means and stds
x = SNR_list;

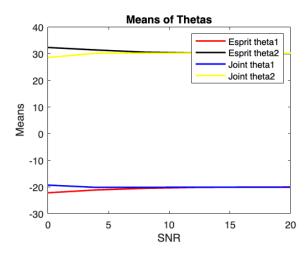
plot(x, f_esps_means1, 'r', x, f_esps_means2, 'black', x, f_joints_means1,
'b', x, f_joints_means2, 'y', 'LineWidth', 1.5);
xlabel('SNR');
ylabel('Means');
title('Means of Frequencies');
legend('Esprit f1', 'Esprit f2', 'Joint f1', 'Joint f2');
```



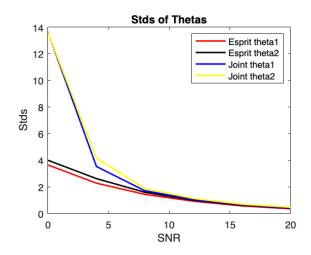
```
plot(x, f_esps_stds1, 'r', x, f_esps_stds2, 'black', x, f_joints_stds1,
'b', x, f_joints_stds2, 'y', 'LineWidth', 1.5);
xlabel('SNR');
ylabel('Stds');
title('Stds of Frequencies');
legend('Esprit f1', 'Esprit f2', 'Joint f1', 'Joint f2');
```



```
plot(x, theta_esps_means1, 'r', x, theta_esps_means2, 'black', x,
theta_joints_means1, 'b', x, theta_joints_means2, 'y', 'LineWidth', 1.5);
xlabel('SNR');
ylabel('Means');
title('Means of Thetas');
legend('Esprit theta1', 'Esprit theta2', 'Joint theta1', 'Joint theta2');
```



```
plot(x, theta_esps_stds1, 'r', x, theta_esps_stds2, 'black', x,
theta_joints_stds1, 'b', x, theta_joints_stds2, 'y', 'LineWidth', 1.5);
xlabel('SNR');
ylabel('Stds');
title('Stds of Thetas');
legend('Esprit theta1', 'Esprit theta2', 'Joint theta1', 'Joint theta2');
```



# **Zero-forcing Beamformers**

```
M = 3;
N = 20;
d = 2;
Delta = 0.5;
SNR = 10;
theta = [-20, 30]
```

```
theta = 1 \times 2
-20 30
```

```
f = [0.1, 0.12]
```

```
f = 1 \times 2
   0.1000
             0.1200
[X, A, S] = gendata(M, N, Delta, theta, f, SNR);
f_hat = espritfreq(X, d)
f_hat = 2 \times 1
   0.1009
   0.1141
theta_hat = esprit(X, d)
theta_hat = 2 \times 1
 -19.0631
  28.8000
% Angle estimation zero-forcing beamformer
A_zf = zeros(M, d);
m = 0:M-1;
for i = 1:d
    A_zf(:, i) = exp(2j*pi*m*Delta*sind(theta_hat(i)));
end
W_angle = pinverse(A_zf, d)';
S_hat_angle = W_angle'*X;
W_angle'*A
ans = 2 \times 2 complex
  0.9940 - 0.0482i
                    0.0188 - 0.0114i
  0.0159 + 0.0098i 0.9925 + 0.0570i
% Frequency estimation zero-forcing beamformer
S_zf = zeros(d, N);
n = 0:N-1;
for i = 1:d
    S_zf(i, :) = exp(2j*pi*n*f_hat(i));
A_zf_hat = X*S_zf'*inv(S_zf*S_zf');
W freq = pinverse(A zf hat, d)';
S_hat_freq = W_freq'*X;
W_freq'*A
ans = 2 \times 2 complex
  0.9939 - 0.0216i
                    0.0573 + 0.0416i
   0.1866 + 0.2619i
                    0.6937 - 0.2573i
% Spatial Response
```

angles = -89:1:90;

```
a_theta = zeros(M, length(angles));

for i = 1:M
    a_theta(i, :) = exp(2j*pi*Delta*(i-1)*sind(angles));
end

Response_angle1 = abs(W_angle(:, 1)'*a_theta);
Response_freq1 = abs(W_freq(:, 1)'*a_theta);

Response_angle2 = abs(W_angle(:, 2)'*a_theta);
Response_freq2 = abs(W_freq(:, 2)'*a_theta);

% Plot spatial responses
plot(angles, Response_angle1, 'r', angles, Response_freq1, 'b');
xlabel('Angle/Degree');
ylabel('Spatial Responses of Two Zero-forcing Beamformers');
legend('Angle Based', 'Frequency Based');
```

# Spatial Responses of Two Zero-forcing Beamformers 1.2 Angle Based Frequency Based 0.8 0.4 0.2 Angle/Degree

```
plot(angles, Response_angle2, 'r', angles, Response_freq2, 'b');
xlabel('Angle/Degree');
ylabel('Spatial Response');
title('Spatial Responses of Two Zero-forcing Beamformers');
legend('Angle Based', 'Frequency Based');
```

