

9 Jan, 2023

Tuesday

Lec-1

DB System
Concepts - 6th

Silberschatz
Korth
Sudarshan

* Relational Database Management Systems :- (most commonly used)

↳ always store info in form of table

Relational Model

Tabular Data (of ATM) (Attributes)

Customer id	Customer name	Customer city
192-83		
019-28		

→ records / Tuples

* Database design :-

① logical / conceptual design :-

- like which product to offer
- Table mein kitne rows / columns hain
- which attributes should we record in database? → Business decision
- Computer Science decision ?

Entity Relationship (E-R model)

Model :-

↳ is an enterprise as a collection of entities & relationship.

↳ always store info in form of diagram.

WJMk $\frac{2}{3}$
2/20.

- ① pehle ER modelling hoti hai, fir hi
pta chlega toya - 2 attributes se hne
hai i.e. RDBMS.

Entity :- thing or object that is
distinguishable from other object
↳ like customer, car etc.

- ② designs are pictures called Entity-relationship
diagram.

ER model \rightarrow proposed by Peter Chen (1976)

Entity \rightarrow e.g. book, student

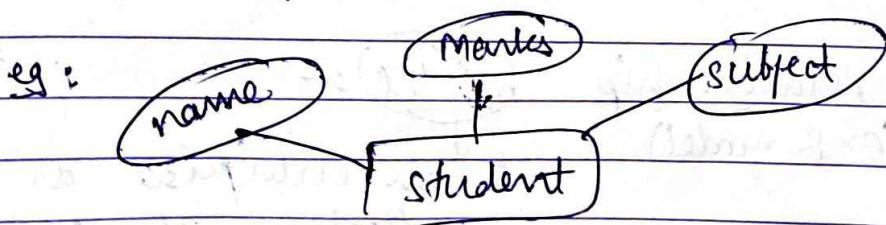
Entity set = collection of similar entities
↳ e.g. whole class like students in details,

attribute = property of entity set

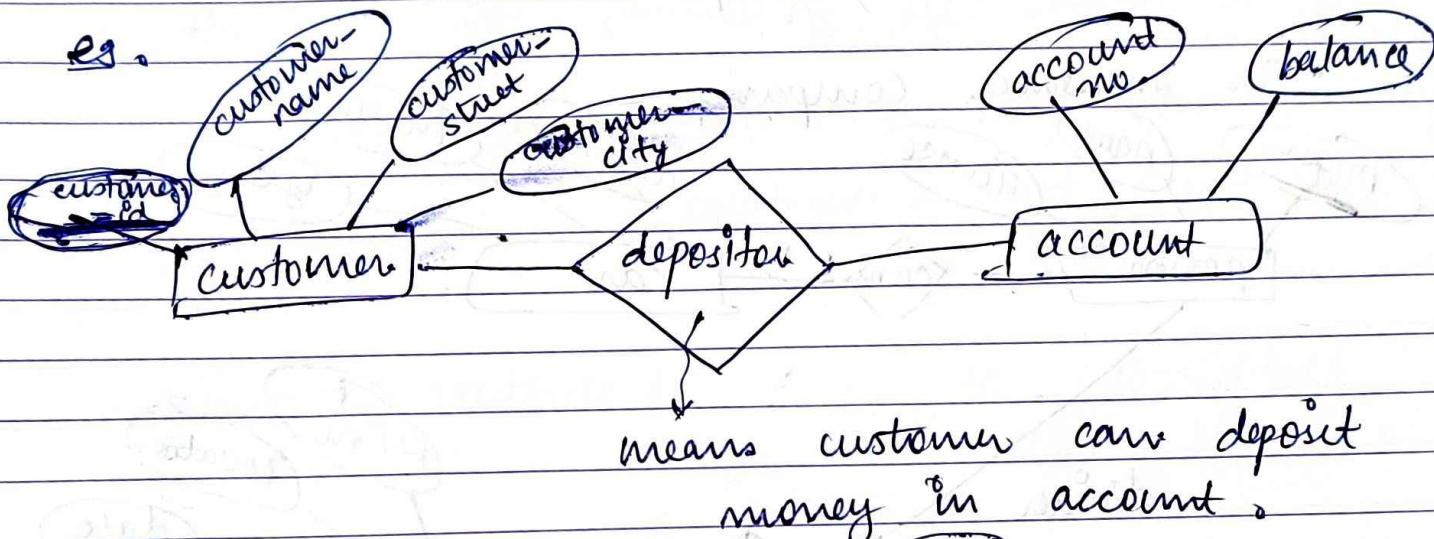
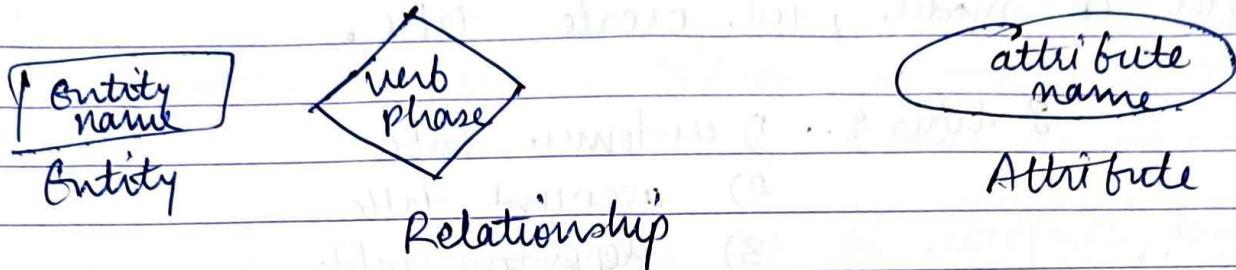
↳ e.g. attendance, roll no, courses u
studied.

Entity set is represented by a rectangle

Each attribute of an entity set is represented
by oval, with line to rectangle, representing
its entity set.



$$W3Mk = \frac{e}{50} = 20\%$$

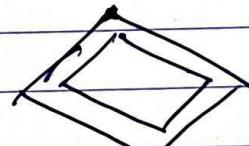


weak entity



multivalued attribute

as attributes can have many values



weak relationship

main attribute :- attribute which helps in identifying an entity unique like roll no. of student.

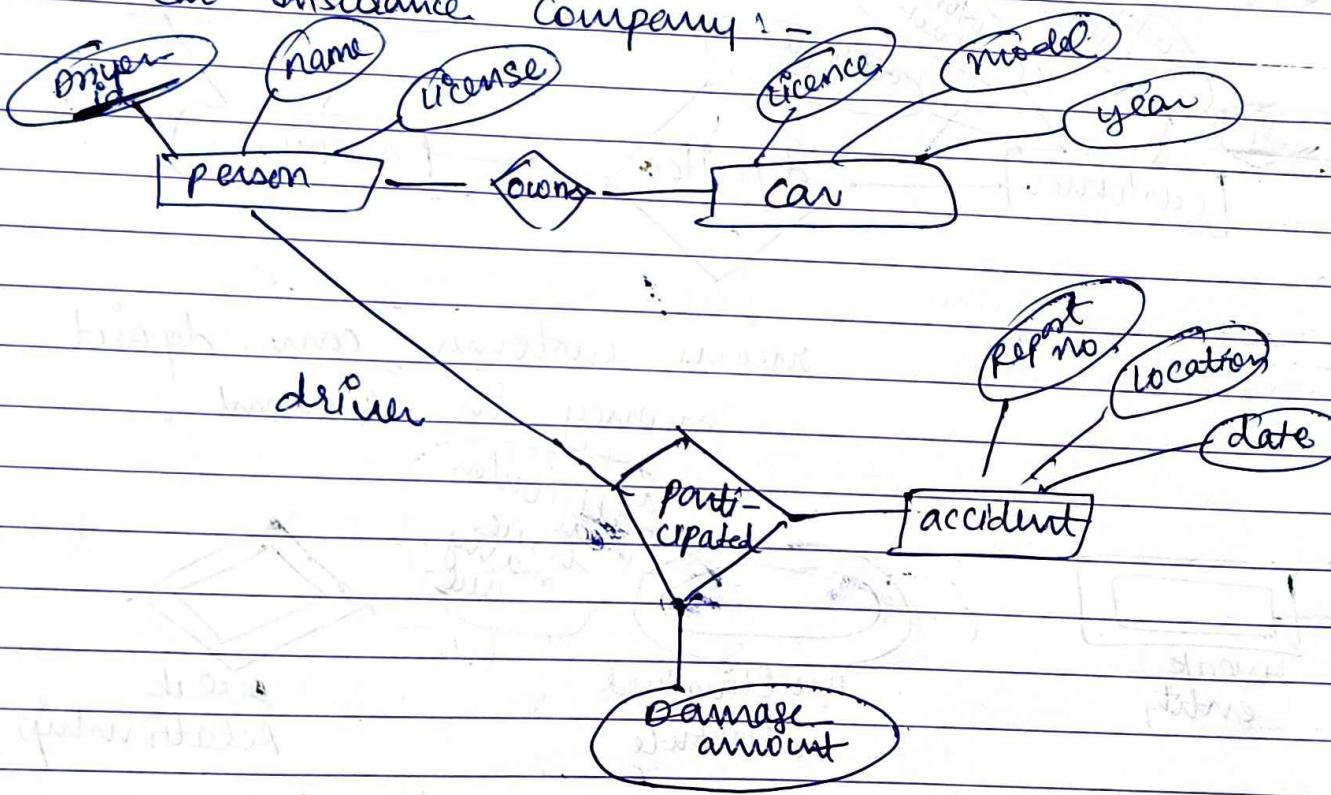
again no. nota, then student is weak entity, as then find some attributes chahihe longer to identify it uniquely.

WJMk
= 35
= 100%

- After ER model, we create table.

- 3 tables :-
- 1) customer table
 - 2) account table
 - 3) depositor table

- Car Insurance Company :-



derived attribute (like age today is derived from DOB)

compound / composite attribute
(attribute having further attribute info)

key attribute / main attribute
(primary key that defines it uniquely)

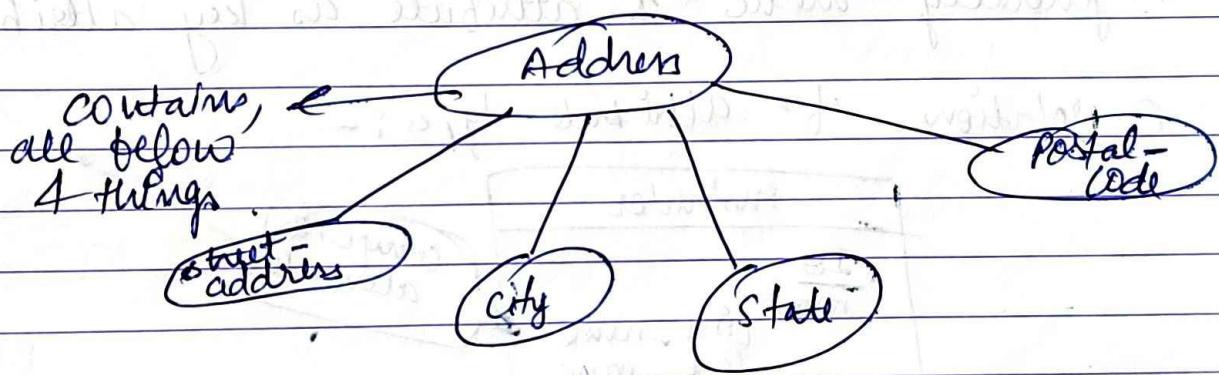
WJMk
30
mm.

attribute / Normal attribute

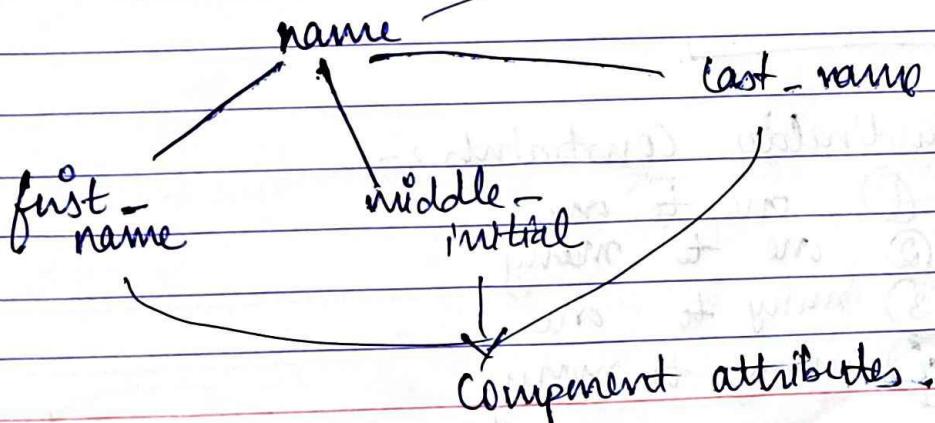
- ① entity is represented by set of attributes, that is descriptive properties possessed by all entities in set.
eg.

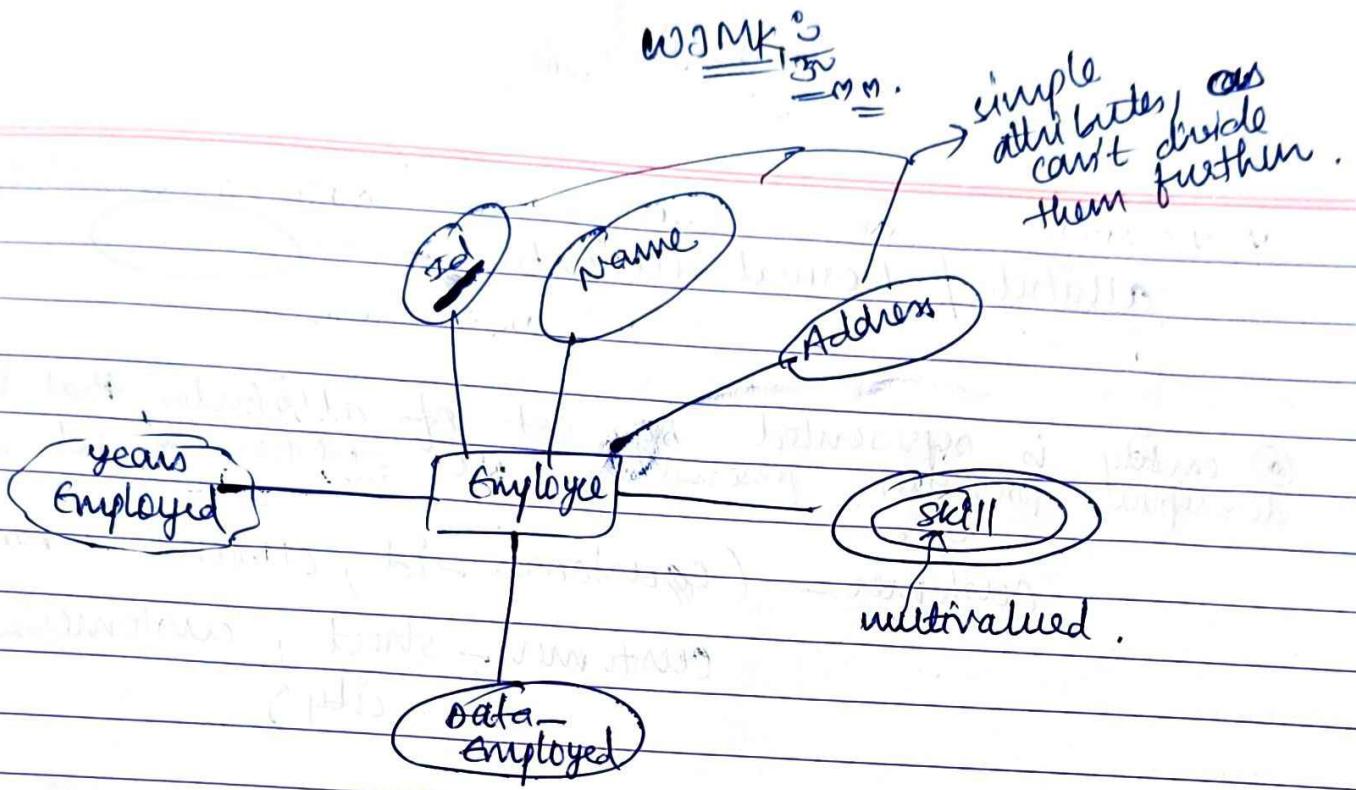
customer = {customer-id, customer-name,
customer-street, customer-city}.

composite attribute :- (can be sub-divided)



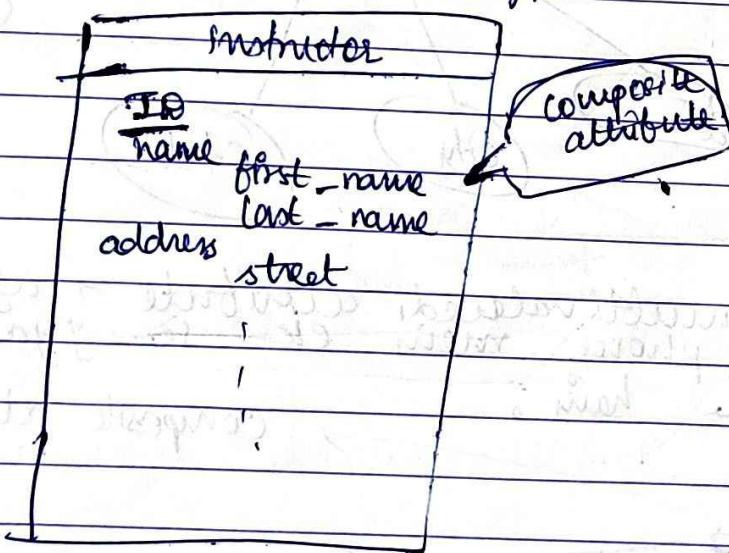
phone no is multivalued attribute \rightarrow agar aapke phone mein ek se jyada number hain, \rightarrow composite attributes





→ generally we use 1 attribute as key attribute.

① Notation of attribute types:-



② Mapping Cardinality Constraints:-

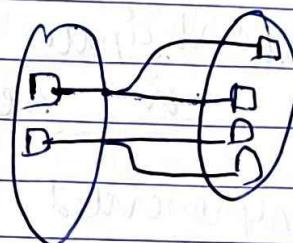
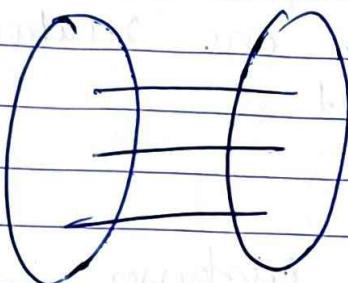
- ① one to one
- ② one to many
- ③ many to one
- ④ many to many

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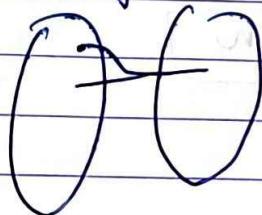
① 1 customer can have many accounts in bank.

one to one

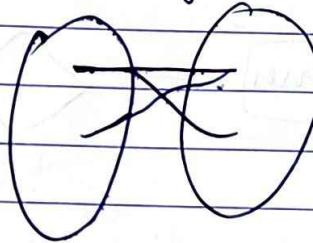
one to many



many to one

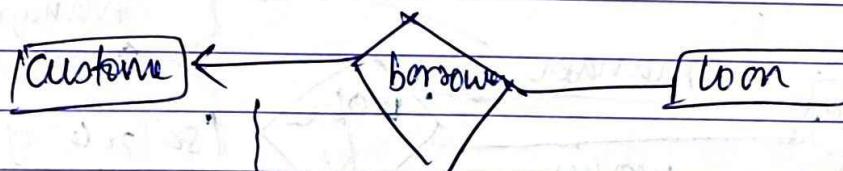


many to many

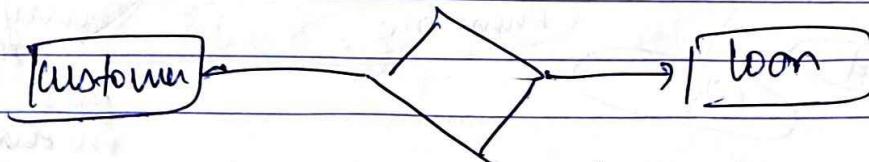


many → one.

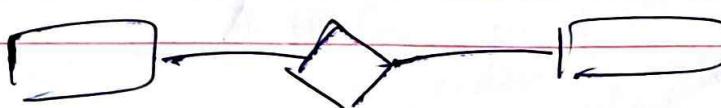
(one to many)



1 customer can have many loan



(many to one)



(many to many)

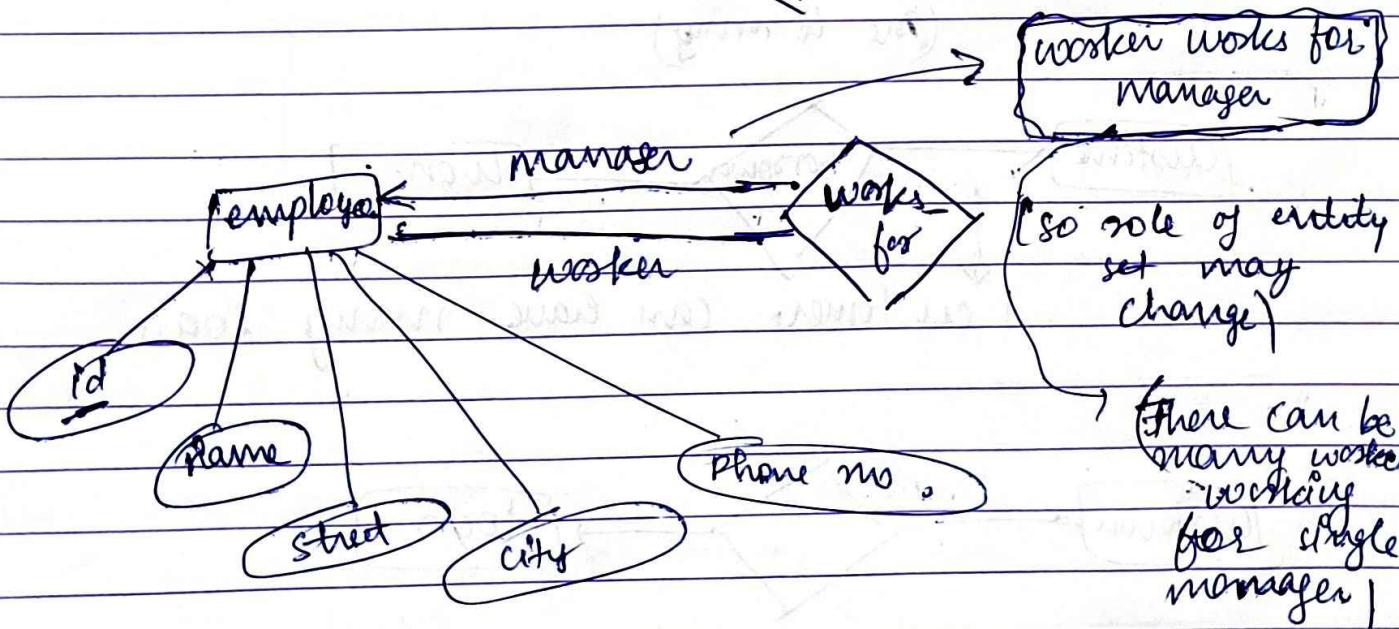
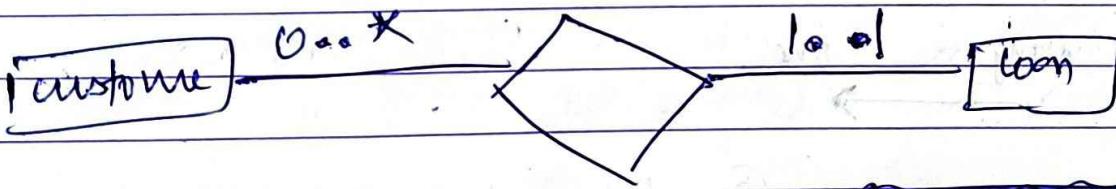
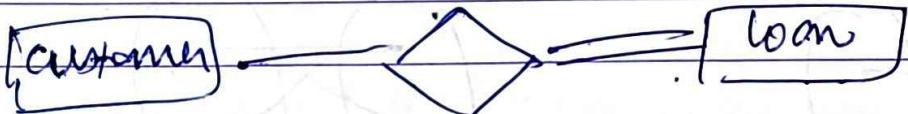
① Participation of entity set in a relationship set.

② Total participation :-

every entity in entity set participates in at least one relationship in relationship set

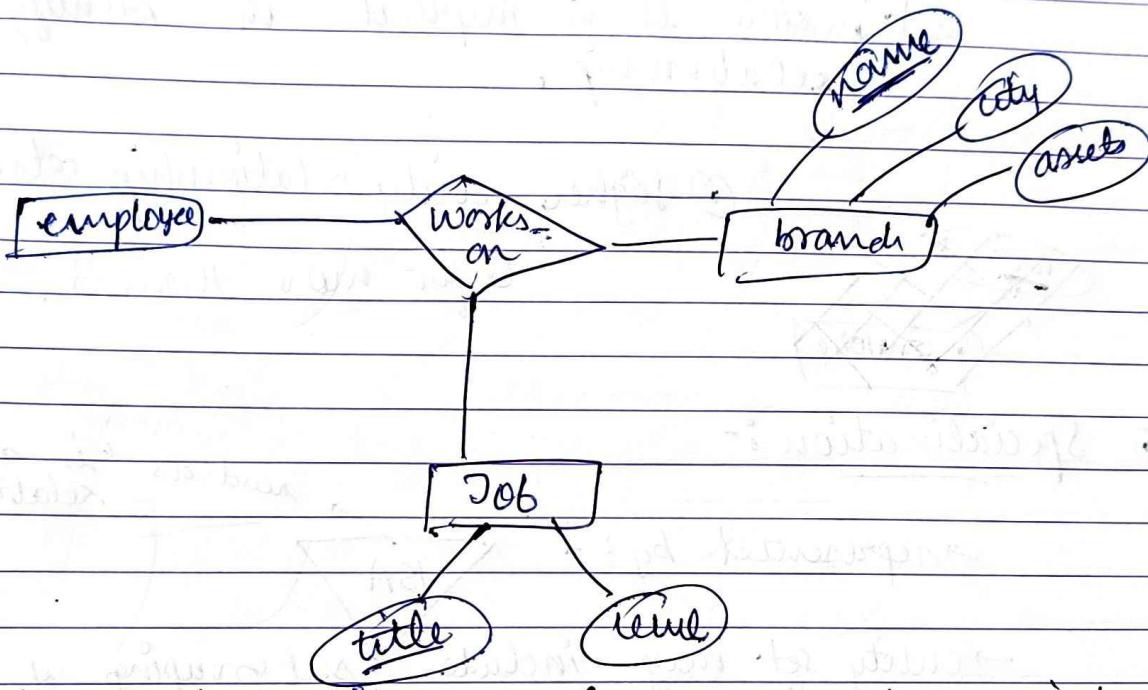
represented by = .

Car can't exist w/o customer.

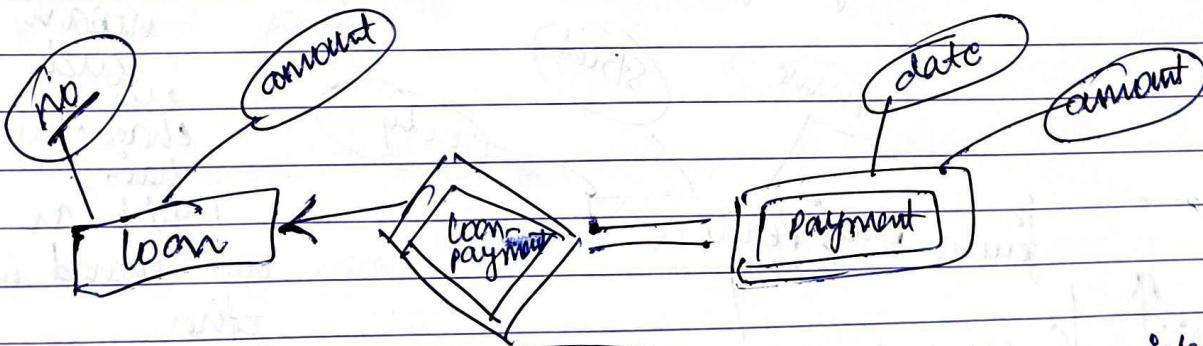


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= 30 m.

* ER diagram with Ternary relationship :-



① Redundant attributes:- (Attributes not required, agar naa tho tak bhi che jaaye)



② existence of weak entity set depends on existence of identifying entity set.

isla primary key is formed by
of strong entity set.
on which weak entity set's
dependent plus weak entity set's
discriminator.

WORM
= 3rd gen.

Note :- primary key of strong entity set is not explicitly stored with weak entity set, since it is implicit in identifying relationship.

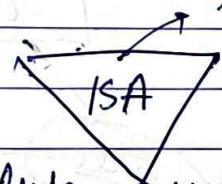
① higher arity relationship sets..



b) for more than 3.

② Specialization :-

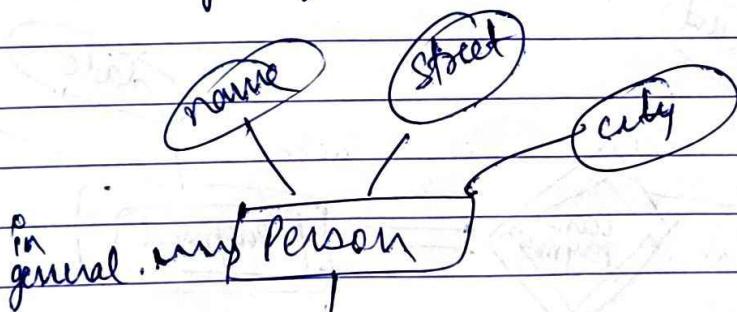
→ represented by :-



read as 'is a' relationship.

→ entity set may include subgrouping of entities that are distinct in some way from other entities in set

means
such
ess
characteristics
that
which are
not shared by
other
entities
in
set.



ER → RDBMS → code

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12 Jan 2023

Friday

Lec-2 :-

Today's topic :- Relational model, relational Algebra, SQL

Relational modelling :-

In view, ↑ levels of Abstraction :-

① Physical level :- describes how a record is stored.

② Logical level :-

describes data stored in database & relationships among data.

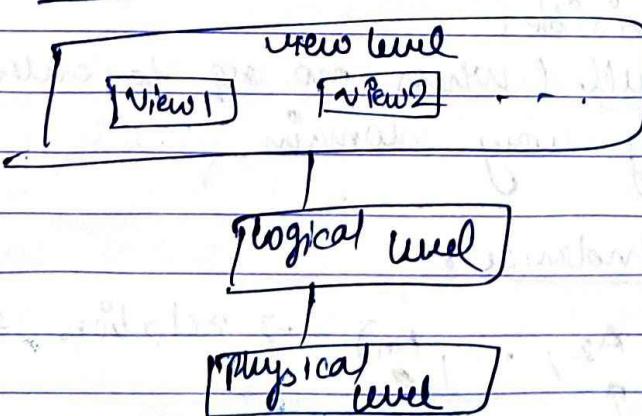
Opposite logical level data for physical level.

Different people have diff view.

Like faculty, student, people

handling DB have diff-2 views.

Views of data :-



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EMO

- Physical Data Independence :- ability to modify physical schema w/o changing logical schema
 - ensure that applications depend on logical schema & independent of physical schema.

Example of :-

ID	name	salary

Row represents records (tuples)
columns :- attributes.

Attribute types :-

- set of allowed values for each attribute is called domain of attribute.
- attribute values are req to be atomic (single valued), that is, indivisible.
- special value null (when no req to enter value) is member of every domain.

Relation Schema & Instance :-

$$R = (A_1, A_2, \dots, A_n) \rightarrow \text{relation schema}$$

↑
attribute

WJMK
 \equiv
 $\frac{S}{3}$
 $\underline{\underline{M}}$

Ex:

Instructor = (ID, name, salary)

Instructor \rightarrow complete n .

ID	name	salary

given sets D_1, \dots, D_n , relation R is subset of $D_1 \times D_2 \times \dots \times D_n$

- element t of R is a tuple, represented by row in table.
- current values (x^n instance) of a x^n are specified by row.
- R^n s are Unordered (means tuples can be arranged in any order).

Data Consistency :- refers to state of data in which all copies of instances are the same across all systems & databases.

→ ensures data is accurate.

Data Integrity :- refers to accuracy, completeness & consistency of data throughout its life cycle.

Keys :- let $K \subseteq R$

- ① \rightarrow super key
- ② \rightarrow primary key
- ③ \rightarrow candidate key
- ④ \rightarrow foreign key (???)

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S
=M.Y.O

Roll no \rightarrow key

$R = \text{Instructor} = \{\text{ID}, \text{name}, \text{dep-name}, \text{salary}\}$

and $K \subseteq R$

(SK)

$K \rightarrow$ superkey if values for K are sufficient to identify a unique tuple of each possible relation $R(CR)$.

e.g.: $\{\text{ID}\}$, $\{\text{ID}, \text{name}\}$, both are S.K.

$\{\text{ID}, \text{salary}\}$,

$\{\text{ID}, \text{dep-name}\}$,

$\{\text{ID}, \text{name}, \text{dep-name}, \text{salary}\}$

- superkey is candidate key if K is minimal.
so, $\{\text{ID}\}$ is " "
- one of the candidate keys is selected to be the primary key.

e.g. $\{\text{individual-id}\}$, $\{\text{department-id}\}$ both are candidate keys.

Now database administrator will choose any one of the key as primary key.

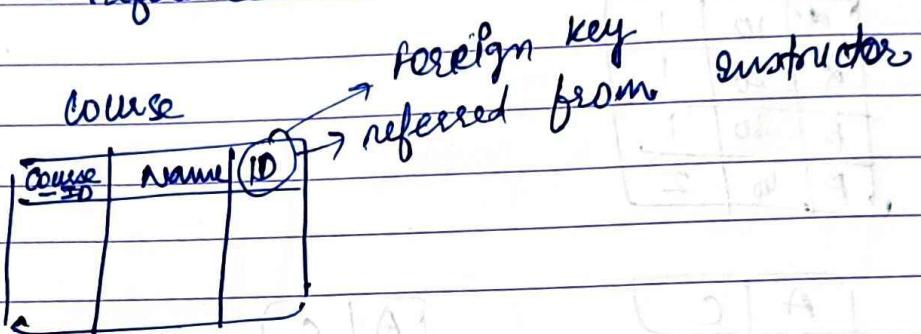
- If address is proper address issue us bndt tak paunch skin, then it is also super key.

Foreign key: - are set of constraints in DBMS that establish relationships b/w tables & also ensure consistency & integrity of data.

WOMKE
= SUMO.

- ① Foreign key constraint :- Value in one r^1 must appear in another

- referencing r^1
- referenced r^1



instructor teaches course.

② Relational Query Languages :-

- "Pure" languages :-

 - 1) Relational algebra
 - 2) Tuple " calculus
 - 3) Domain "

• Relation r

A	B	C	D
a	a	1	7
a	b	5	7
b	b	12	3
b	b	23	10

Selection operation — selection of rows. (5)

$\sigma_{A = B \wedge D > 5} (r)$

1st condⁿ and 2nd condition.

A	B	C	D
a	a	1	7
b	b	23	10

WJMK ~~50~~
= 00.

① Projection operation - selection of columns (attributes).
to retrieve info of "

e.g.

	A	B	C
--	---	---	---

a	10	1
α	20	1
β	30	1
P	40	2

②

$\Pi_{A,C}(r)$

	A	C
α	1	
α	1	
β	1	
P	2	

	A	C
α	1	1
β	1	1
P	2	2

→ duplicate removed

③ Union of 2 relations

r, s :

	A	B
α	1	
α	2	
β	1	

	A	B
α	2	
β	3	

r U s :

	A	B
α	1	
α	2	
β	1	
P	3	

, can take duplicates all (by using
union-all)

WJMK
≡ MM.

① set difference :-

$$r - s : \begin{array}{|c|c|} \hline A & B \\ \hline \alpha & 1 \\ \beta & 1 \\ \hline \end{array}$$

if $r : \begin{array}{|c|c|} \hline A & B \\ \hline \alpha & 1 \\ \alpha & 2 \\ \beta & 1 \\ \hline \end{array}$ $s : \begin{array}{|c|c|} \hline A & B \\ \hline \alpha & 2 \\ \beta & 3 \\ \hline \end{array}$

② set intersection & $r \cap s = r - (r - s)$

③ Joining 2 sets : cartesian product : $r \times s$

$$\textcircled{3}. \quad \begin{array}{|c|c|} \hline c_1 & c_2 \\ \hline 1 & a \\ 2 & b \\ \hline \end{array} \times \begin{array}{|c|c|} \hline c_3 & c_4 \\ \hline 3 & a \\ 4 & b \\ \hline \end{array} = \begin{array}{|c|c|c|c|} \hline c_1 & c_2 & c_3 & c_4 \\ \hline 1 & a & 3 & a \\ 1 & a & 4 & b \\ 2 & b & 3 & a \\ 2 & b & 4 & b \\ \hline \end{array}$$

as where
 $r \cdot c_2 \neq s \cdot c_2$,
no blue
no stickly ya
no value found tha!
no chahne tha!

problem: combination of (wrong data
is entered).

④ Renaming a Table :-

allow us to refer a r^n (say E) by more than 1 name:

$S_x(E) ??$

WJMK $\frac{C}{S}$
 $\frac{S}{R}$

- ① Composition of operations :- can build expressions using multiple operations

Eg. $\sigma_A = C \text{ (r} \times \delta)$

↳ yeh nikaalo

→ fir yeh wale select

kholo!

- ② Joining 2 rns :- natural join

to remove redundant data.

eg :-

A	B	C	D	B	D	F
a	1	d	a	1	a	a
b	2	r	a	3	a	b
r	4	b	b	1	a	r
d	1	r	a	2	b	s
s	2	p	b	3	b	e

→ same,
can
create
problem.

∴ $r \bowtie s$

II

$A, r \bowtie B, C, r \bowtie D, E \quad (\delta_{r \cdot B} = S \cdot B \wedge r \cdot D = S \cdot D \text{ (r} \times S))$

↳ yeh kro.

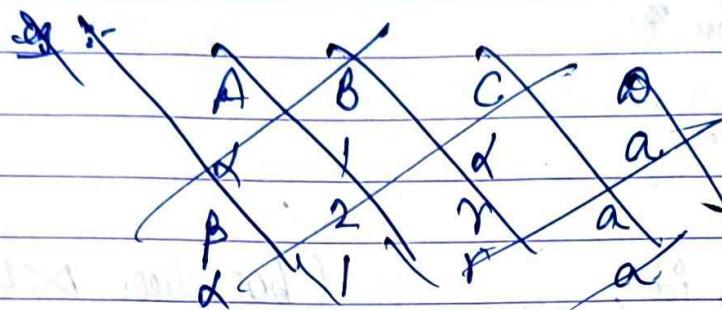
ekho sirf
wo jo yeh
cond' satisfy
kein

A	B	C	D	E
a	1	d	a	a
a	1	d	a	r
a	1	r	a	a
a	1	r	a	r
s	2	p	b	s

end mein
mein sirf
yeh
ekhna.

(W hich
once
only
done (r, s)
also 2 natu
with same
we do it
want
relevant
data.)

WOMK
=
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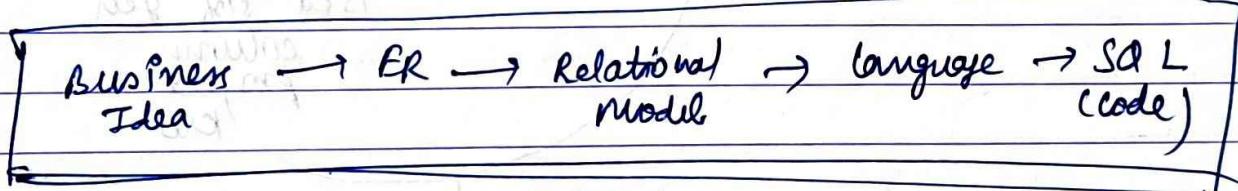


⑦ Formal relational query language :-

Relational Algebra :-

$\sigma_p(r)$, $p \rightarrow$ selection predicate

$$\sigma_p(r) = \{ t \mid t \in r \text{ and } p(t) \}$$



(procedure)

\wedge (and), \vee (or), \neg (not)

Each term is one of :-

<attribute> op <attribute> or <constant>

e.g.

$\sigma_{\text{dept-name} = \text{"physics"} \wedge \text{instructor}}$

Project operation

Union operation

e.g. find all courses taught in fall 2009 sem or in spring 2010 sem or in both :-

$\Pi_{\text{course-id}} (\sigma_{\text{sem} = \text{"fall"} \wedge \text{year} = 2009} (\text{section})) \cup$

$\Pi_{\text{course-id}} (\sigma_{\text{sem} = \text{"spring"} \wedge \text{year} = 2010} (\text{section}))$

WJMK
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mm.

- Set difference operation :-

- Natural join operation :-

$\Pi_{\text{customer_name}, \text{id}}$, (borrower & loan)

- left outer join , right outer join , full outer join (?)

① Rename Operation :-

$\delta (\text{CUST_Name}, \Pi (\text{Cust_name})) (\text{CUSTOMER})$

Ista serif yeh
column
project
kro

and
attribute ko rename kro

② Tuple Relational Calculus :-

Predicate Calculus formula :-

$x \Rightarrow y$ (if x is true, then y is true)

$x \Rightarrow y \equiv \neg x \vee y$

$\exists t \in r(Q(t)) \equiv \text{"there exists" tuple } t \text{ in } r \text{ s.t. } Q(t) \text{ is true} (?)$

83

W8MK
 \equiv
 \equiv

- Find ID, name, dept-name, salary for instructors whose salary greater than \$80,000 :-

query relational language :-



$\Pi_{id, name, (\exists s \in \text{salary} \mid s > 80,000)}$
 salary / dept-name, \rightarrow (yeh UKNE ke zaraorat nahi)
 cas sap schema mein hain
 (means koi chota fet nahi chahte))

tuple σ^n calculus :-

 $\{ t \mid t \in \text{instructor} \wedge t[\text{salary}] > 80,000 \}$

→ if want ID as output only :-

$\{ t \mid \exists s \in \text{instructor} [t[10] = s[10] \wedge s[\text{salary}] > 80,000] \}$

- Find set of courses taught in fall 2009 sem or in Spring 2010 sem, or in both!

$\{ t \mid \exists s \in \text{section} [t[\text{course-id}] = s[\text{course-id}] \wedge s[\text{sem}] = "fall" \wedge s[\text{year}] = 2009] \vee$
 $\exists u \in \text{section} [t[\text{course-id}] = u[\text{course-id}] \wedge u[\text{sem}] = "spring" \wedge u[\text{year}] = 2010] \}$

WJMK
= 30
= 30

- ① Find students who have taken all courses offered in Bio department.

Domain Relational Calculus :-

Find ID, name, dep-name, salary for salary > \$80,000.

$\Rightarrow \{ \langle i, n, d, s \rangle \mid \langle i, n, d, s \rangle \in \text{Instructor} \wedge s > 80000 \}$

data retrieving
(just like projection)

Safety Expressions

SQL :-

Create Table construct :-

create table Instructor (

ID	char(5)
name	varchar(20)
dept-name	varchar(20)
salary	numeric(8,2)

Inserting data in table :-

insert into table-name
values (11, '...', 'Hi', 4)

developed
SQL
IBM sequel language,
structured query language

16 Jan 2023

Lec-3

Tuesday

Outer Join :- Common wala content ekho bs !

Left Outer Join :- To avoid loss of info, left outer join R₁, wali sare info rkhega, jo R₂ mein nahi hogi wo bhi, bs usko null kedega.

Similarly Right Outer Join.

Equivalent Queries :- Same Query in different ways

Query 1 :-

$\sigma_{\text{dept-name} = \text{"physics"} \wedge \text{salary} > 90,000} \text{ Instructor}$

Query 2 :-

$\sigma_{\text{dept-name} = \text{"physics"} } (\sigma_{\text{salary} > 90,000} \text{ Instructor})$

both are equivalent.

↳ pehle wo dekhlo jinko salary > 90,000

(will give same output on any database.)

not identical.

SQL :-

Rollup & cube give same info, but name different.

SQL parts :- (1) DML (Data manipulation Language)

- (2) Integrity (for accuracy)
- (3) View definition
- (4) Transaction Control
- (5) Embedded & Dynamic SQL
- (6) Authorization

WEEK
= 3
= MM.

- DDL (Data definition language)
Give create definition of relation
- includes schema of each relation
 - type of values associated with each attribute eg. int, string.
 - integrity constraints
 - security & authorization

Domain Types in SQL :-

char(n) → fixed length char string

varchar(n) → Variable " " " ", with user-specified max length (n).

char(20) 'Anjali'

varchar(20) 'Anjali'

6 units of space will occupy,
will occupy all 20 units.

int, smallint

numeric (p, d) → fixed pt no. ; with user-specified precision of p digits, with d digits to right of decimal point. → and 0.2
numeric (3,1) can store 440.5 but not 444.5 or 0.32.

real, double precision

float (n) → floating pt number, with user-specified precision of atleast n digits

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SMM

create table Instructor

```
(ID char(5),  
name varchar(20),  
salary numeric(8,2),  
dept_name varchar(20))
```

Integrity constraints in create table :

- ↳ Types :-
 - ① Primary key (A1, ..., An)
 - ② foreign key (Am, ..., An) references
 - ③ not null

e.g.: salary hogi kuch na, kuch nahi - so they are

create table instructor not employees.

```
(ID char(5),  
name varchar(20) not null,  
primary key (ID))
```

ID, course-ID both can be primary key ??

No!

course-ID can not be primary key,
as 2 students can have same course!

foreign key (dept-name) references department

must be primary key of

insert into Instructor values ('10211', 'Smith', 'Biology',
66000);

delete from student → same tuples remove honge
" " customers WHERE CustomerName = "Aefeld";

drop table r;

WJMK
3v mm.

① ALTER TABLE customer ADD Password varchar

Basic Query structure :-

select A₁, A₂, ..., A_n (attributes)
from r₁, r₂, ..., r_m (relations)
where P (Predicate or condition)

Q. select Name from Student where marks > 95;

① select distinct dept-name
from Instructor

② select all dept-name
from Instructor (it will keep all duplicates also)

* → means all attributes

③ select *
→ no from clause
random name

④ select *
from Instructor

↳ output: 437
(one column)

⑤ select (437) as FOO

→ with
single row

⑥ select 'A' from Shippers
to assign particular name

→ one column & n rows
with 'A' in each row.

WJM~~K~~
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2011
=

Select: can involve arithmetic expression: +, -, *, /

select ID, salary / 12 as monthly_salary
by new name

19 Jan 2023

FridayLec-4 :-

① The where clause

Select name

from instructor

where dept_name = 'Computer science' and
(attribute name)
salary > 70000② select A₁, A₂, ..., A_n
from R₁, R₂, ..., R_meg. select *
from Instructor, teaches] gives cartesian product
instructor X-teachesbut giving the size,
but along with it, mismatch
of IDs bhi ho gya!
as ~~to~~ Instructor.ID =
teaches.ID

(kuch haan jgaah nahi hai !!)

so use natural join

↳ select * name, course_id
from Instructor, teaches
where Instructor.ID = teaches.ID

Self Join:-

select column-name(s),
from tableT1, tableT2
where condition

means → attribute-name(s)

, table1 ko pehle T1 main se bulaya,
for T2 naam se bulaya!

WDMK E
3
21M =

- Find name of all instructors who have a higher salary than some instructor in 'Comp. Sci'.



{ Select name
from Instructors
where salary > 75000 or salary > 65000 or
salary > 92000

↳ problem → data change ho gya then code bhi change
karna hoga!

→ select name

from Instructor T1, Instructor T2
where T1.salary > T2.salary and T2.dept_name =
'computer-science';

- String Operations: - % → character matches any substring.
_ → character matches any character.

_ → means string should contain atleast 3 character
% → can have string length 0 or more.

Find name of all instructors whose name includes
substring "dar".

Select name

from Instructor

where name like '% dar %'

- ① Match the string "1000/0" → will give strings starting with 100%

↳ like '100\% escape'

↳ (then escape is must !!)

WJMK $\frac{C}{30}$
 $\underline{\underline{M}}$

WJMK $\frac{C}{30}$
 $\underline{\underline{M}}$

① string beginning with "Intro"

'Intro %'

② string having exactly 3 characters

' _ _ '

③ string having atleast 3 characters

' _ _ %'

#. for concatenation \Rightarrow ||

Select first_name || ' ' || last_name AS
full_name
FROM student

will concatenate

first-name & last-name , with space
in between , renamed as full-name.

④ Select id , last_name , city & length of city :-

⑤ SELECT id , last_name , city , LENGTH(city) as
length
FROM employees

⑥ SELECT UPPER(customer_name) as UPPERCUSTNAME .

so ko upper case mein
bdle dega ,

Ordering display of tuples :-

WJMK
= 30
20M.

select distinct name
from instructor
order by name desc

↓
alphabetical
order mein
dega !

(Ascending order is by
default)

will give descending order.

① sort them by length of city name and then by employee name

SELECT id, last_name, city, LENGTH(city) as length
from instructor
~~order~~ by length, last_name

② select name
from Instructor
where salary between 90000 and 100000

yeh 2nd compare hoga!

where (Instructor.ID, dept_name) = (Teachers.ID, 'Bio')

yeh compare
hoga

③ union, intersect, except

e.g. (select . . . from . . . where . . .)
union
(select . . . from . . . where . . .)

$$W \oplus M = \frac{1}{2}M$$

$$W \oplus M = \frac{1}{2}M =$$

except \rightarrow But not.

Null values:-

$5 + \text{null} \rightarrow \text{null}$

- ① result of any operation with null returns null.
 $5 < \text{null} \rightarrow \text{gives unknown.}$

Aggregate functions:-

```
Select avg(salary)
from instructor
where dept-name = 'computer science';
```

- ② find total no. of instructors who teach a course in Spring 2018 sem.

```
Select count(distinct ID)
```

```
from teaches
where semester = 'Spring' & year = 2018;
```

- ③ find avg salary from instructors in each department.

↳ **GROUP BY**

```
Select dept-name, avg(salary) as avg_salary
from instructor
group by dept-name
having avg(salary) > 42000;
```

- ④ Nested Subqueries :-

* **Operation Subquery**

WDMK
= 30M

Set Membership :-

select distinct course_id
from section
where sem = 'Fall' & year = 2017 and
course_id (in) (select course_id
from section
where sem = 'Spring' &
except) be like year = 2018);
(not 'in' exchange!

OR

(select course_id from section where sem = 'Fall'
and year = 2017)
intersect

(select course_id from section where sem = 'Spring'
and year = 2018)

* Set Membership Comparison - "some" clause :-

select distinct T.name
from instructor as T, instructor as S
where T.salary > S.salary and S.dept_name =
'Biology';
same array using > some clause!

$f(\text{comp}) \text{ some } x \Leftrightarrow \exists t \in r \text{ such that } (f(\text{comp}) t)$

where comp can be: $<, \leq, >, =, \neq$

$(5 < \text{some } \begin{bmatrix} 0 \\ 1 \\ 2 \\ 3 \\ 4 \end{bmatrix}) = \text{true} \rightarrow \text{atleast 1001 ek true}$
 nona chahihe!
 $(5 < 0) \rightarrow \text{true!}$

WOMK Q
some all

$$\left(5 < \text{some } \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline \end{array} \right) = \text{false}$$

'All' clause :-

① Select name
from instructor

where salary > all (select salary

from instructor

where dept_name = 'AIO');

$$\left(5 < \text{all } \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline 6 \\ \hline \end{array} \right) = \text{false}$$

• None clause :-

• With Clause :-

With max_budget (value) as
(select & max (budget)
from department)

select department.name

from department, max-budget

where department.budget = max_budget.value;

• Delete Subquery

• Deletion :-

delete from instructor

where dept_name = 'Biology';

where dept-name in (select dept-name

from department

where building = 'Garrison');

$$WOMK = \frac{C}{S} \times M\%$$

Updates :-

```
update Instructor  
set salary = salary * (1.05)
```

20 Jan 2023
Saturday

lec-5

WOMK 2
30 M.

WOMK 3
30 M.

Table \rightarrow temporary create hoga, database mein store nahi hoga!
 \rightarrow cond' jst temporary table bhe???

WITH temporaryTable (averageValue) as
(SELECT avg(attribute1)
FROM Table)

SELECT attribute1
FROM Table, temporaryTable
WHERE Table.Attribute1 >

temporaryTable.averageValue.

table name \rightarrow srf yeh attribute hoga

e.g.: with max_budget (value) as
(select max(budget) \rightarrow gives max
from department)

select department.name

from " ", max_budget

where department.budget = max_budget.
value

Q. find all departments where total salary >
avg of total salary at all departments.

A. with (dept_total) (Dept_name, value) as
(select dept_name, sum(salary)
from instructor

group by dept_name),

dept_total_avg (value) as
(select avg(value))

from dept_total)

select dept_name
from dept_total, dept_total_avg
dept_total.value > dept_total_avg.value;

WJMK
3/10/2023

so, dept-total & dept-total-avg store value huge database mem.

Scalar Subquery

Deletion :- ① delete from instructor
where dept-name = ('Finance');

② delete from

③ delete from instructor
where salary < (select avg(salary)
from instructor);

problem :- as we delete tuples
from instructor, the avg salary changes.
solution :-

Updates :- update instructor
set salary = salary * 1.05
where salary < 70000;

Case Statement for Conditional Updates :-

④ update instructor

set salary = case

when salary <= 100000 then
salary * 1.05

else salary * 1.03 end;

Update with Scalar Subqueries :-

~~work~~
~~in~~
~~mm.~~

Scalar \rightarrow returning single value.

e.g. select sum(credits)

returning only one value.

$\langle \rangle \rightarrow$ means ~~not~~ \neq (not equal to)

$\emptyset \rightarrow$ means null

Tests for Empty Relations:-

exists $r \Leftrightarrow r \neq \emptyset$

not exists $r \Leftrightarrow r = \emptyset$

"exists" clause :- (correlation name, correlated subquery)

Select course_id

from section as S

where sem = 'fall' and year = 2017 and

exists (select * from section as T

where sem = 'spring' and year = 2018)

and S.course_ID = T.course_ID);

NORMALIZATION :-

decomposition: divide r^n into multiple relations

r^n with no problem \rightarrow good r^n o/w bad r^n .

WJMK
= = =
= =

Anomalies in relational model :-

- ① Insertion anomalies
- ② Deletion anomalies → if deleting from everywhere
- ③ Update anomalies

• Features of Good Relational Designs

• Deleting Redundancy

$R \rightarrow W$ problematic

but $S \rightarrow W$ not !!

④ R is ~~not~~ not key.

Decomposition :-

employee (ID, name, street, city, salary)

into

employee 1 (ID, name)

employee 2 (name, street, city, salary)

→ shan. prob. niche, when decompose kro!

→ prob. arises when have 2 employees with same name.

lossy decomposition :- decomposition kiske kuch cos huya hai
ya nahi yeh decline ker liye, decomposed
tables ko first natural join kro, agar
same table mila → lossless decomposition of w
lossy decomposition.

$$WDMK = \frac{C}{S} = MM.$$

- ① Schema r can be decomposed into R_1 & R_2
and lossless decomposition
when :-

$$TR_{R_1}(r) \bowtie TR_{R_2}(r) = r$$

and lossy when :-

$$r \subset TR_{R_1}(r) \bowtie TR_{R_2}(r) = r$$

② $R = (A, B, C)$

$$R_1 = (A, B)$$

$$R_2 = (B, C)$$

A	B	C
a	1	A
b	2	B

A	B
x	1
b	2

B	C
1	A
2	B

natural join

	A	B	C
a	1	A	
b	2	B	

as
equal

so lossless.

or cartesian product

$$\text{join} - 2, R_1 \times R_2 = R_2 \times R_1 \\ \text{toga} \Rightarrow \text{no rkhlo!}$$

Normalization Theory:-

- $R \rightarrow$ good form \Rightarrow if decompose it into set of $r^n_s \in \{R_1, \dots, R_n\}$ such that:
- ① each r^n_s is in good form
 - ② decomposition is lossless.

WCMK
3
2

functional Dependencies:-

- functional dependency is generalization of notions of key.

$R \rightarrow r^n$ schema

$\alpha \subseteq R$ and $\beta \subseteq R$
functional dependency :- $\alpha \rightarrow \beta$ (β can be functionally determined by α)

holds on $R \Leftrightarrow$ for any legal r^n $r(R)$,
whenever any 2 tuples t_1 and t_2 of r agree on attributes α , ~~they~~ they also agree on attributes β .

$$t_1[\alpha] = t_2[\alpha] \Rightarrow t_1[\beta] = t_2[\beta]$$

if $A \rightarrow B$ (A is determining B)
and $B \rightarrow C$ (B is C)
then $A \rightarrow C$

so transitivity holds,

WEEK 3
FUNCTIONAL DEPENDENCY

23 Jan 2023
Tuesday

lec-6

	A	B
①	1	4
	1	4
	3	7
	3	2

A and B are not functionally dependent!

as,
3 ↗ 7
3 ↗ 2

	T ₁
①	1 a
	1 a
	2 b
	2 b

	T ₂
can write as	1 a
	2 b

both tables give same answer,
so, T₁ can be reduced to T₂.

L	M
A	A
B	B

⇒ A is determining A,
B is " B

if we can shuffle like this ?? (like roll no.)
L and M are functionally dependent.

so, L → M
but M is L only

so, L → L

this is Trivial dependency.

② if A → B, B → C then A → C

if F = {A → B, B → C, ...}

then, A → C, A → A, B → B, C → C → yes
saaree pkka ∈ F.

WJMK
35
SMO

Where, $F^+ = \text{closure of } F$

$$= \{ (A \rightarrow A), (B \rightarrow B), (C \rightarrow C), (A \rightarrow B), \\ (B \rightarrow C), (A \rightarrow C) \}$$

These are dependencies which
are not required, as
they are trivial,
so can remove them !!

$\alpha \rightarrow \beta$ is trivial if $\beta \subseteq \alpha$

① K is superkey for relation schema R \Leftrightarrow
 $K \rightarrow R$.

like roll-no $\rightarrow \{\text{roll-name}, \text{name}\}$

② K is candidate key for R iff
 $K \rightarrow R$

and for no $\alpha \subset K, \alpha \rightarrow R$

let A is candidate key

then $\{A, B\}, \{A, C\} \dots \rightarrow$ can be
super keys !!.

③ p-n-dep (ID, name, salary, dept_name, building,
budget)

want:- dept_name \rightarrow building
 $ID \rightarrow \text{building}$

not want:- dept_name \rightarrow salary

④ $R \xrightarrow{R_1 \cup R_2} \text{lessors decomposition note}$
 $R_1 \cap R_2 \rightarrow R_1$??
 $R_1 \cap R_2 \rightarrow R_2$

Ex: $R = (A, B, C) \quad f \rightarrow \{A \rightarrow B, B \rightarrow C\}$
 $R_1 = (A, B) \quad R_2 = (B, C) \Rightarrow \text{lessors}$
 and $R_1 \cap R_2 \rightarrow \{B\}$ and $B \rightarrow BC$

WOMK

② $R_1 = (A, B)$, $R_2 = (A, C)$
lossless

as $R_1 \cap R_2 = \{A\}$ and $A \rightarrow AB$

Note:-

$B \rightarrow BC$

$\Rightarrow B \rightarrow \{B, C\}$

(A, B, C)

$(A, B) \xleftarrow{\quad} \xrightarrow{\quad} (B, C)$

whether they preserve
dependencies which already
exist or not?

Yes!

$A \rightarrow B, B \rightarrow C$ are
preserved!

So such decomposition \Rightarrow lossless decomposition
with dependency preservation

(A, B, C)
 $\swarrow \searrow$
 $(A, B) \quad (A, C)$
 \Downarrow
 $A \rightarrow B$

but $B \rightarrow C$ nahi preserved in this

\Rightarrow so dependency preservation nahi hai !

\rightarrow it's not necessary, but we must try to preserve the
dependencies.

Ki value hogi ki table hi tha. fda hai.
ki, preserve ke hi nahi paa she ho preserve !

Q) Schema :-

dept-advisors (s-ID, i-ID, department-name)
with functional dependencies :-

A \rightarrow B
B \rightarrow C
C \rightarrow A

i-ID \rightarrow dept-name \Rightarrow B \rightarrow C

s-ID, dept-name \rightarrow i-ID \Rightarrow A, C \rightarrow B

repetition \rightarrow so decomposition of dept-advisor is required.

(A, B, C)

(A, B) \leftarrow (B, C) \rightarrow dependency
 $A, C \rightarrow B$

not preserved !!.

$A \rightarrow BC \Rightarrow A \rightarrow B$ and $B \rightarrow A \rightarrow C$

but

$AB \rightarrow C \Rightarrow A \rightarrow C$ and $B \rightarrow C$

Normal forms :-

① [1NF] (1st Normal form)

②

When values are atomic in nature, then r^n is called in 1st normal form.

A	B
123	145A
124	15B

\Rightarrow 1st Normal form.

A
123
456

2 diff values in row

\Rightarrow not in 1st normal form!

WOMK $\stackrel{?}{=} \Sigma m$

BCNF: (Boyce-Codd Normal form)

R \in BCNF if wrt set F of functional dependencies if all functional dependencies in F^+ of form $\alpha \rightarrow \beta$

where $\alpha \subseteq R$ and $\beta \subseteq R$, at least one of following holds :-

- ① $\alpha \rightarrow \beta$ is trivial
- ② α is superkey of R for

→ yeh toh iyada lar hota hi hai!

agar koi R^n BCNF nahi, then decompose it into R^m s - jo BCNF hain!

means agar $\alpha \rightarrow \beta$ hai,
toh α must be superkey (tabhi BCNF hoga, R^n of w nahi).

let, $R = (A, B, C, D, E)$

let,

$$F = \{A \rightarrow BC, C \rightarrow B, D \rightarrow E, E \rightarrow D\}$$

find F^+ and all attributes.

$$F^+ = \{A \rightarrow BC, A \rightarrow B, A \rightarrow C, C \rightarrow B, D \rightarrow E, E \rightarrow D, \\ A \rightarrow A, B \rightarrow B, C \rightarrow C, D \rightarrow D, F \rightarrow F\} \quad (\text{trivial wale mat ekho})$$

for F^+ , first:- $A^+ = \{A, B, C\}$

$$B^+ = \{B\}$$

$$C^+ = \{B, C\}$$

$$D^+ = \{D, E\}$$

$$E^+ = \{D, E\}$$

WOMK = $\frac{W}{M} \times \frac{M}{W}$

cross product
 Join :-
 ① Cross Join ② Natural Join

- ③ Conditional Join ④ Equi Join ⑤ Self Join
- ⑥ Outer Join

\leftarrow full
 left right

hum tab kerte hain join 2 tables ko, jab aag-2
 dono se & jo chahihe wo nahi mil rahi info.

for eg:-

Employee \Rightarrow

E-No.	E-name	Address
1	Ram	Delhi
2	Varun	Chd
3	Ravi	Chd
4	Amrit	Delhi
5	Nitin	Mumbai

(giving only employee details)

Department \Rightarrow

Dep-No	Name	E-No
D1	HR	1
D2	IT	2
D3	MRKT	4
D4	Finance	5

(acting as foreign key, references Employee)

\Rightarrow To get address by E-name \Rightarrow if Employee table kaafi hai!

e.g:-

select Address from Employee where

E-name = 'Varun';

output : Chd

① Find E-name of Emp whose working in HR dept.
 ↴ Iske liye dono tables ke help chahihe
 ↴ so need to use Join

② Join tabhi lega agar kuch common hogा in
 both tables

WJMK
= 

Join : Cross product + select statement (condition)

Natural Join :-

WJMK
30/09/2023

30 Sep 2023

Tuesday

lec-7 :-

Q1. RI(A, C, B, D, E)

$$F = \{A \rightarrow B, C \rightarrow D\}$$

Whether r^n is in BCNF or not?A1. Firstly find superkey, candidate key of r^n .find closure of all attributes.

$$A^+ = \{A, B\}, B^+ = \{B\}, C^+ = \{C, D\}, D^+ = \{D\},$$

$$E^+ = \{E\}$$

↑

Now check, kya any attribute is candidate key?

means ↑ which
can give all attributes!

↑

so here, none of them is

candidate key!

Now, we'll try combinations of closure of attributes.

$$(A B)^+ = \{A, B\}$$

$$(A C)^+ = \{A, B, C, D\}$$

$$(A D)^+ = \{A, B, D\}$$

$$(A E)^+ = \{A, B, E\}$$

$$(B, C)^+$$

no one is

so none of them is candidate key, as ↑ not giving
all attributes.

$$(A B C E)^+ = \{A, B, C, D, E\}$$

↑ this is giving all attributes!

so, this is a candidate key.

WJMK 5MM

and as this is minimal candidate key,
so, $(ACE)^+$ is superkey!

$\alpha \rightarrow \beta \rightarrow$ not trivial!

so check, α is superkey or not!

as, A is not ", C is not superkey

In $A \rightarrow B, C \rightarrow \emptyset$.

not satisfying condⁿ of BCNF.

as, R₁ is not in BCNF, so we'll decompose
it! --- tab tak kro jab tak BCNF na ho jaye!
as, $A \rightarrow B, C \rightarrow \emptyset$ (both are violating
BCNF)

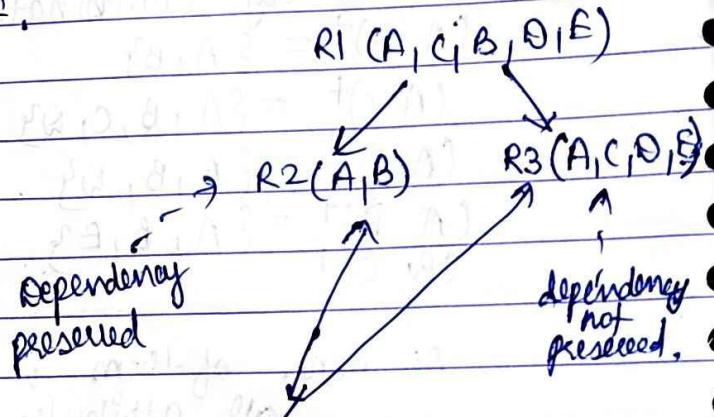
• we'll decompose R into $\alpha \rightarrow \beta$ } + $\alpha \rightarrow \beta$ which
cause violation of BCNF.

Both $\alpha \rightarrow \beta$ is violating BCNF.
for $A \rightarrow B$

1) $\alpha \cup \beta \Rightarrow R_2(A, B)$

for $A \rightarrow B$

2) $(R - (\beta - \alpha)) \Rightarrow$



for overall $\alpha \rightarrow \beta$,
dependencies are
preserved after
decomposition as
dependency toh pehle se
thi

ab dekho \Rightarrow yeh dono
BCNF hain yaa nahi?

for $R_2 \Rightarrow A^+ = \{A, B\}$

so A is Superkey! (minimal candidate
key)

so, $A \rightarrow B$
super key!

WJM \leq_{Σ}^{Σ} MN.

Thus, R2 is in BCNF.

Now R3 (A, C, D, E)

$$C \rightarrow D$$

$$A^+ = \{A\}$$

$$C^+ = \{C, D\}$$

$$D^+ = \{D\}$$

$$E^+ = \{E\}$$

so, (A C E)⁺ is superkey.

C is not "", also $C \rightarrow D$ is not trivial.
so not in BCNF.

so decompose it further \Rightarrow

R3 (A, C, D, E) \dashrightarrow (Dependency not preserved)

R4 (C, D)

BCNF ✓

R5 (A, C, E)

↑ no FD for this

so ↑ trivial dependencies
so ↑

so ↑ (A C E)⁺ is SK.

so ↑ BCNF \leq .

Q2 R (A, B, C, D, E), F = {A \rightarrow E, BC \rightarrow A, DE \rightarrow B}
check if in BCNF, if no decompose it.

A⁺ = {A, E}

B⁺ = {B, A}

C⁺ = {C, A}

D⁺ = {D, B}

E⁺ = {E, B}

(A C D E)⁺ = {A, B, C, D, E}

(B C)⁺ = {B, C, A, E} ~~(???)~~
as BC \rightarrow A, A \rightarrow E \dashrightarrow

so, superkey = {C, D, E}, {B, C, D}, {A, C, D}

(A C E)⁺ = {A, E, C, B}

as $\frac{BC \rightarrow A}{A \rightarrow E, D \rightarrow B}$

WJMK 5 cm.

let $\{B, C, D\}$ is superkey!

$\begin{cases} A \rightarrow E, A \text{ not SK} \\ BC \rightarrow A \\ DE \rightarrow B \end{cases}$

all violating BCNF.

R1(A, B, C, D, E)

R2(A, E)

BCNF ✓

R3(A, B, C, D) $\rightarrow \{B, C, D\}$ is SK

R4(A, B, C)

BCNF ✓

(B, C, D)

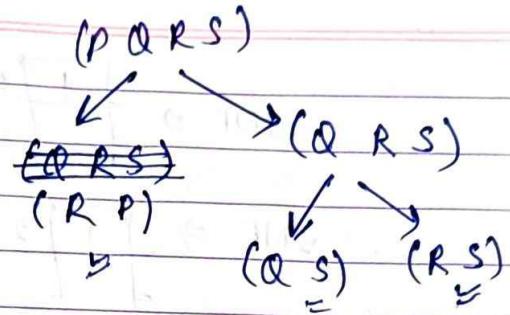
BCNF ✓

WJMK
 $\equiv \frac{S}{\exists \forall}$
 $\equiv \frac{\exists \forall}{\exists \forall}$

2 Feb 2023

Friday

[lec-8] :-



① Relation $(PQR S)$

$f = \{ QR \rightarrow S, R \rightarrow P, S \rightarrow Q \}$, check if relation in BCNF.

A. Candidate key, $S \cdot K = \{ PQ, R \}$, $\{ R, S \} \cup \{ R, S \}$ (again yes logic, no one will satisfy BCNF)

It is not BCNF. as $R \rightarrow P, S \rightarrow Q$ violates BCNF.

② 3rd Normal form (3NF) :-

→ A relation R is in 3NF if $\forall \alpha \rightarrow \beta$ in f^+ at least one of the following holds :-

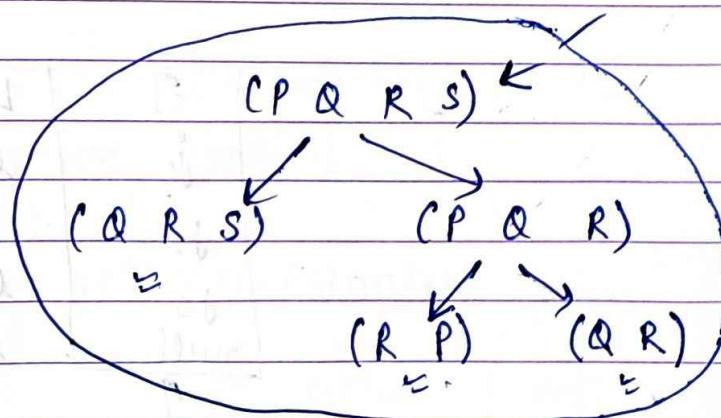
- ① $\alpha \rightarrow \beta$ is trivial (i.e. $\beta \subseteq \alpha$)
- ② α is a S.K.
- ③ Each attribute A in $\beta - \alpha$ is contained in a candidate key for R.

$\beta - \alpha$
 \uparrow
 attributes in (QP) is Q, P .

they both
 must be part
 of candidate
 key

as, $S \rightarrow Q, R \rightarrow P, R \rightarrow S$

then, $S, R \rightarrow Q, P, S$
 $\uparrow \beta - \alpha = (QP) \not\supseteq SR$



thus above Rⁿ, not 3NF.

W.M.K.
समूहः

$1NF \Rightarrow$	1	2	3	✓
-------------------	---	---	---	---

$2NF \Rightarrow$	1	2	3, 5	✗
-------------------	---	---	------	---

BCNF

BNF

2NF

1NF

redundancy ↑

- partially dependent on candidate key?

i - ID → depends partially on candidate key as
dept_name is not " " but
part of " ".

$$\textcircled{1} R = (J, K, L), F = \{JK \rightarrow L, L \rightarrow K\}$$

J	L	K
j ₁	l ₁	k ₁
j ₂	l ₁	k ₁
j ₃	l ₁	k ₁
null	l ₂	k ₂

↑ क्या यह तालि सatisfies FD or not?

W.M.K.
समूहः

$$g_1: \alpha_1 \rightarrow \beta_1$$

$$\alpha_2 \rightarrow \beta_2$$

if $\alpha_1 = \alpha_2$ then $\beta_1 = \beta_2$ must!

\rightarrow Tabhi
FD.

$$so, l_1 \rightarrow k_1, l_2 \rightarrow k_2$$

$$so, L \rightarrow K \checkmark$$

similarly, JK → L ✓

→ Wrong with Table → Repetition of Information.
→ need to use null value (eg to represent
relationship l₂, k₂, where there is no
corresponding value for J).

not in BCNF!!

Candidate keys, SK = {J, K}, {L, J}

for 3NF:-

JK → L ✓ as JK is SK.

L → K
 $\rightarrow K - L = K$ e candidate key = {J, K}

so 3rd property satisfied

so in 3NF!!

↳ Biggest problem → Redundancy problem

repetition of info

so, 3NF hai, but repetition also (1)

↳ bcoz again BCNF nahi hai toh decompose
kete, decomposition kene se redundancy hoga,
but 3NF hai toh decompose nahi kenge!
redundancy hoga →

~~W3MK~~ ~~3rd Normal Form~~

It will use null values or info repetition
(major drawback of 3NF)

①

ID	name	phone
9	David	512-555 my
9	David	512-556 <i>has same phone</i>
9	william	512-555 my
9	william	512-556

↑
3CNF ✓

so wrong
info !!

{ → still redundancy !!
→ insertion anomaly !!

inst_info (ID, name, phone),

To remove this, we go to 4NF

To gain from this
redundancy to,

go to 5NF... so on!



$$A \rightarrow B, B \rightarrow C \Rightarrow A \rightarrow C$$

$$CG = GC$$

WBMK
 $\frac{C}{G}$
 $\frac{G}{C}$

$$\text{If } F = \{A \rightarrow B, B \rightarrow C\}$$

$$F^+ = \{A \rightarrow B, B \rightarrow C, A \rightarrow C\}$$

(1) Armstrong's Axioms:-

$$\begin{array}{l} \alpha \rightarrow \beta \\ \Rightarrow r\alpha \rightarrow r\beta \end{array}$$

(1) Reflexive rule.

(2) Augmentation rule.
 if $B \subseteq \alpha$ then $\alpha \rightarrow B$

(3) Transitivity rule.
 if $\alpha \rightarrow \beta$ then $r\alpha \rightarrow r\beta$

if $\alpha \rightarrow \beta, \beta \rightarrow \gamma$ then $\alpha \rightarrow \gamma$

R = (A, B, C, G, H, I)

$$F = \{A \rightarrow B, A \rightarrow C, CG \rightarrow H, CG \rightarrow I, B \rightarrow H\}$$

then,

$$F^+ = \{A \rightarrow B, A \rightarrow C, CG \rightarrow H, CG \rightarrow I, B \rightarrow H, AG \rightarrow CG, AG \rightarrow I, CG \rightarrow HI, A \rightarrow BC, A \rightarrow BCH\}$$

(1) Union Rule:-

$$\alpha \rightarrow \beta, \alpha \rightarrow \gamma \Rightarrow \alpha \rightarrow \beta\gamma$$

(2) Decomposition Rule:-

$$\alpha \rightarrow \beta\gamma \Rightarrow \alpha \rightarrow \beta, \alpha \rightarrow \gamma$$

(3) $(AG)^+$? Result = AG

$$= ABCGH (A \rightarrow C, A \rightarrow B)$$

$$= ABCGH (CG \rightarrow H, CG \subseteq AGBC)$$

$$= ABCGH (CG \rightarrow I, CG \subseteq AGBC)$$

so, AG is candidate key.

~~W.M.K.~~
~~W.M.K.~~

② from f , can get f^+

(it will have more no. of FD than f)

$$A.FD \Rightarrow f < f^+$$

full form?

$$f^- < f < f^+$$

closure of f containing less no. of FD than f .

e.g.: If $AB \rightarrow C$

→ remove $B \Rightarrow A \rightarrow C \rightarrow$ (Stronger Result).

bcz $A \rightarrow C$ logically

implies $AB \rightarrow C$ but

$AB \rightarrow C$ does not ~~exist~~, on its own,

logically imply $A \rightarrow C$,

(as it may be possible that (B) attribute is extra)

as, ID, name \rightarrow Marks

but \rightarrow Extraneous attribute!

zaroori nahi,
taki $name \rightarrow Marks$ combination
hi C de paayega

remove it!

ID \rightarrow Marks.

→ so find all redundant attributes in FD & ~~remove~~
remove them.

$$\alpha \rightarrow \beta$$

③ $AB \rightarrow C$

↑ dekhna konsa extra!!

Now $A \in \alpha$,

f logically implies $(f - \{\alpha \rightarrow \beta\}) \cup \{(\alpha - A) \rightarrow \beta\}$.

To remove
extraneous
attribute
from left side

WORK ON MMO.

- ① To remove extraneous attribute from right side :-
 i) $A \in B$ and.

ii) $(F - \{\alpha \rightarrow \beta\}) \cup \{\alpha \rightarrow (\beta - A)\}$ logically implies F

Example :-

i) Let $F = \{AB \rightarrow CD, A \rightarrow E, E \rightarrow C\}$

- ② To check if C is extraneous in $AB \rightarrow CD$, we :-

③ Compute $\alpha^+ (AB)^+$ under $F' = \{AB \rightarrow D\}$

ii) closure is $ABCDEF$, which includes CD

iii) This implies C is extraneous.

$$F' = (F - \{\alpha \rightarrow \beta\}) \cup \{\alpha \rightarrow (\beta - A)\}$$

$$\downarrow \quad \downarrow$$

$$A \rightarrow E, E \rightarrow C \quad AB \rightarrow D$$

→ closure of AB mkaala under this F' ,
 as $(AB)^+ = R$

↑ w/o ' C ' $\rightarrow R$ mil gaya,
 means C was redundant, extra
 attribute in $F \rightarrow$ uske bina bhi R mila,
 so remove it !

- ④ Now check whether A is extra or not ??

as, F logically implies $(F - \{\alpha \rightarrow \beta\}) \cup \{(\alpha - A) \rightarrow \beta\}$ for F'

$$F'' = \{A \rightarrow E, E \rightarrow C\} \cup \{B \rightarrow D\}$$

$$\text{so, } F'' = \{A \rightarrow E, E \rightarrow C, B \rightarrow D\}$$

W3MK \equiv ~~C~~
~~mon.~~

Now, $B^+ = BD \neq R$

$$\{ AB^+ \cancel{(C)} = ABCD \quad (\text{in } F'')$$

$$\{ A(B)^+ \cancel{(C)} = ABCD \quad (\text{in } F')$$

as $ABD \neq ABCD$

means A is not extensive attribute.

6 Feb, 2023

Tuesday

lec-9 :-

① Computing a Canonical Cover :-

$$R = (A, B, C)$$

$$F = \{ A \rightarrow BC, B \rightarrow C, A \rightarrow B, AB \rightarrow C \}$$

- Combine $A \rightarrow BC$ and $A \rightarrow B$ into $A \rightarrow BC$ or or
- So, $F = \{ A \rightarrow BC, B \rightarrow C, AB \rightarrow C \} \rightarrow$ Now $B \rightarrow C$ & $A \rightarrow C$ can be extra!
- A is extraneous in $AB \rightarrow C$
- check if result of deleting A from $AB \rightarrow C$ is implied by other dependencies
 - Yes!, in fact $B \rightarrow C$ is present.
- So, $F = \{ A \rightarrow BC, B \rightarrow C \}$
- C is extraneous in $A \rightarrow BC$
- check if $A \rightarrow C$ is logically implied by $A \rightarrow B$ & other dependencies
- Yes using transitivity on $A \rightarrow B$ & $B \rightarrow C$.
- Can use attribute closure of A in more complex cases.

Canonical Cover is : $A \rightarrow B$

$B \rightarrow C$

② $R(A B C D) \quad \{ A \rightarrow B, B \rightarrow C \} = FD$

$R_1(A C) \quad R_2(A B D)$ → $A \rightarrow B$ is preserved!

$A \rightarrow B$
not preserved here

→ after decomposition, FD ($B \rightarrow C$) P.D. → $B \rightarrow C$ is not preserved !!.

Dependency is preserved if it is present in any one of the decomposed relation.

WJMK
5/5

OQ.

$R(A B C D E)$

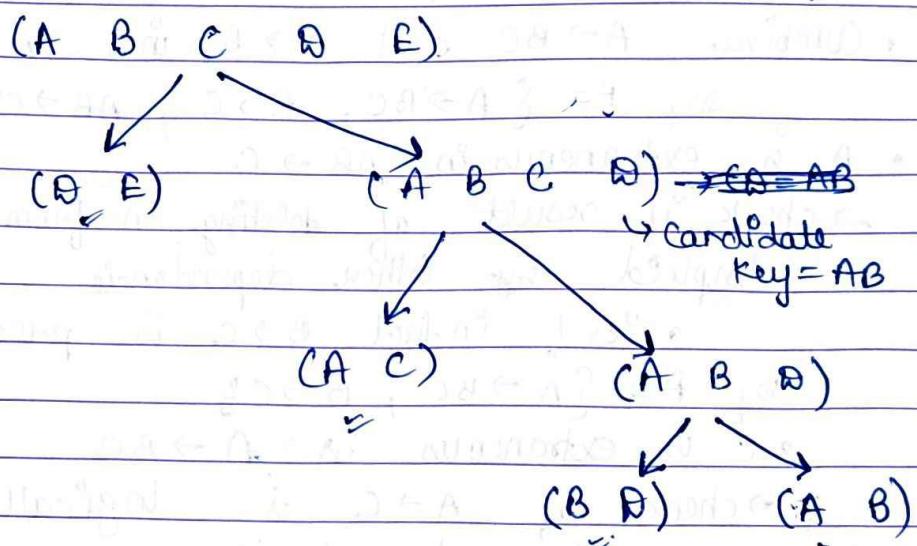
$$F = \{AB \rightarrow CD, D \rightarrow E, A \rightarrow C, B \rightarrow D\}$$

R' is in BCNF or 3NF?

lossless decomposition hai yaa nahi?

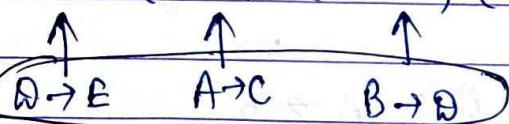
A. Dependency preserved " " " decompose it!

Candidate key = $S \cdot K = (A B D)$ $\hookrightarrow D \rightarrow E, A \rightarrow C, B \rightarrow D$ not part of Candidate key



So,

$$ABCDE \rightarrow (DE) (AC) (BD) (AB)$$



So, $AB \rightarrow CD$ is not preserved.

So, it is BCNF, but dependencies are ~~not~~ not preserved.

All BCNF decompositions are lossless decomposition,
show it!

→ yet 3rd already hain!

so, if 1st wall dependency, we have to find!

$$WJKM = \frac{1}{3^n M^3}$$

Can derive $AB \rightarrow CD$?

$(AB) \leq$

$$\Rightarrow AB \rightarrow AB \text{ (trivial)}$$

$$\text{as } A \rightarrow C$$

$$\Rightarrow AB \rightarrow CB$$

$$\text{and as } B \rightarrow D$$

$$\Rightarrow \boxed{AB \rightarrow CD}$$

So, saari FD mil gyi!

Thus lossless decomposition.

Testing for BCNF :-

We are checking FD in F, not in F^+ ,
for Simplification.

$$R = (A \ B \ C \ D \ E)$$

$$F = \{A \rightarrow B, BC \rightarrow D\}$$

$$\text{Candidate Key} = (A \ C \ E)$$

most important attribute,
not present in any FD

$$R(A \ B \ C \ D \ E)$$

so have to include in
candidate key.

$$R_1(A \ B)$$

$$A \rightarrow B$$

$$R_2(A \ C \ D \ E)$$

in (contains no dependency
from F)

so we think
 R_2 is in BCNF

Instead!
No! esa nahi
hoga!

so sometimes
 F^+ consider
karna
pehlega, then
voor simplified
se baam nahi chlega

WJM**K**
= =
= =

- ④ BNF decomposition is always lossless & dependency-preserving decomposition
(as removing some restrictions from BNF)

WJMK 20M

9 Feb 2023
Friday

[lec-10] :-

→ r^n has to be in BCNF, fail to be in 4NF.

⑥ 4NF :-
(3.5 NF)

MVDs (multivalued dependencies)

inst-child (ID, child-name)

inst-phone (ID, phone-no)

inst-info (ID, child-name, phone-no)

eg:

(99999,	David, 512-555)
" ,	" , 512-556)
" ,	william, 512-555)
" ,	" , 512-556)

ID	child-name
99999	David
99999	william

ID	phone-no.
99999	512-555
99999	512-556

this shows child having this phone-no,
But actually we want to say that
phone-no. are associated with
Instructors.

, so yeh dono likhe !!

This r^n is in BCNF.

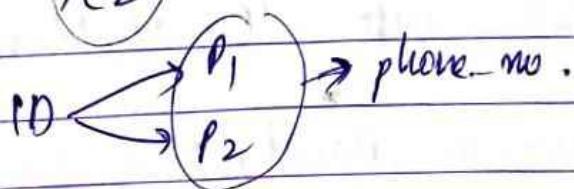
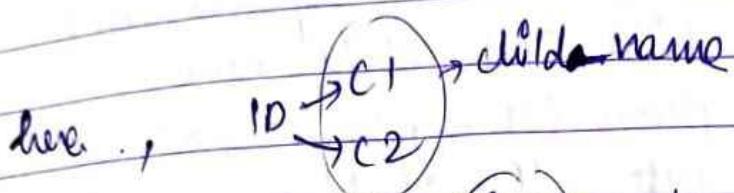
as, there candidate key = (ID, child-name, phone-no)
= R

problem \Rightarrow ID, child-name, phone-no. all things
are repeating
↳ so repeated info R

~~100 MK~~

so redundancy!
thus decomposition req!

done into first-child,
first-phone !!



ID \rightarrow C means child & phone are
ID \rightarrow P β dependent on ID.

	α		β
t ₁	99999	David	512-555
t ₂	99999	David	512-556
t ₃	99999	William	512-555
t ₄	99999	William	512-555

↑
tuples

(These 2 attributes must be independent.)

$\alpha \rightarrow \beta$

iff \forall pairs of tuples $t_1 \& t_2$ in R s.t.

$t_1[\alpha] = t_2[\alpha]$, there exists tuples $t_3 \& t_4$ in

R s.t.

$$t_1[\alpha] = t_2[\alpha] = t_3[\alpha] = t_4[\alpha]$$

$$t_3[\beta] = t_1[\beta]$$

$$t_3[R-\beta] = t_2[R-\beta]$$

$$t_4[\beta] = t_2[\beta]$$

$$t_4[R-\beta] = t_1[R-\beta]$$

then only

MVD exists !!

~~WORK~~

① MVD \rightarrow Tabular representation ?

$Y \rightarrow Z$ ~~has then for $Y \rightarrow Z$~~

\downarrow

has attribute
value must be same.

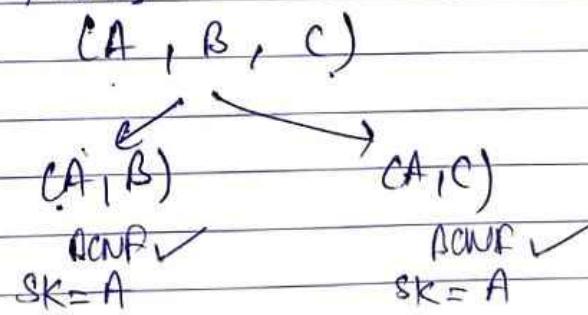
~~R $\not\rightarrow$ Z \rightarrow main attr. same. attr. value same.~~

UNF main aim :-

① Remove MVD

\hookrightarrow Once R is in BCNF, if there is MVD, then decompose it to make UNF.

Here BCNF has ✓, remove MVD !!,
else decompose into !!



\rightarrow at least 3 columns are req. for MVD to exist.

Q. $R = (A, B, C, G, H, I)$

$f = \{ A \rightarrow B, B \rightarrow HI, CG \rightarrow HI \}$

\bullet R is not in UNF as $A \rightarrow B$, A is not a

superkey for R.

must not have MVD pair, so UNF X

\hookrightarrow thus decompose the table.

WDMK = $\frac{5}{5} \text{ mm}$

- pehle dekho BCNF mein hai yaa nahi?
what is super key?

SK = { A, ~~B, E~~, C, G } = candidate key

$$A \rightarrow B$$

not superkey,

so not in BCNF,
so decompose.

(A B C G H I)

(A B)
BCNF \Leftarrow

(A C G H I)

(C G H) · (A C G I)

BCNF \Leftarrow

~~A E~~

$A \rightarrow B$ (MVD
 $B \rightarrow H I$ transitively).

(A I)
BCNF \Leftarrow

$\Rightarrow A \rightarrow H I$
 $\Rightarrow A \rightarrow H, A \rightarrow I$

(A C G)
BCNF \Leftarrow

④ PNF: Project Join Normal Form) :- (5th Normal Form).

difficult to identify
from table.

so not used generally.

~~3NF~~
~~2NF~~
~~1NF~~

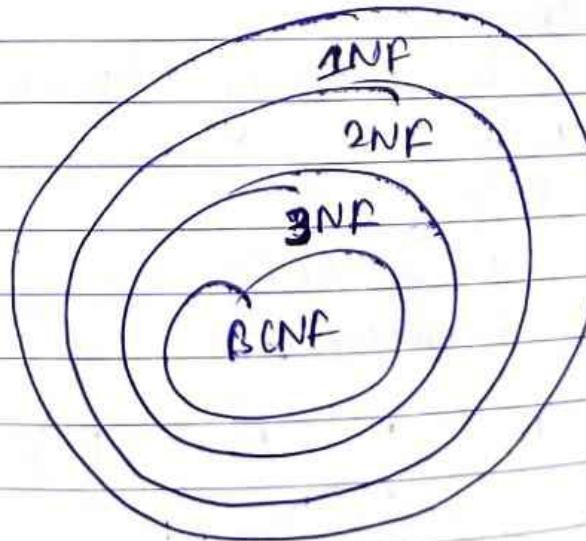
ACNF
3NF → always in 3NF
4NF → 1NF / BCNF

- Join dependency (JD) is generalization of MVD.

- ~~A JD → JD(R₁, ..., R_k)~~ is said to hold on stronger if :-

eg:-

Project	skill	(R ₁)	but JD must hold)
Datamining	python		
"	Java		
2011 no	skill		in ka join, must = R
	C++	(R ₂)	↓
	python		but while joining → repeated info may come 😊
2011 no	Project	(R ₃)	
	Datamining		
	computer vision		



- ① Table is in 2NF when :-

i) Table is in 1NF
ii) No non-prime attribute is dependent on proper subset of any candidate key of table.

$$WOMK = \frac{C}{3N} = \frac{1}{3}$$

g :- $R(A, B, C, D, E)$

$$F = \{AB \rightarrow C, D \rightarrow E\}$$

$CK = \text{candidate key} = (A, B, D) \rightarrow (C, E)$ non-prime attributes

$$\text{Now, } AB \rightarrow G$$

non-prime attribute
depends on proper subset of CK.

then A, B, D
are prime
attr. bcz
as they
belong to CK

so, $AB \rightarrow C$ satisfies 2NF condn.
does not

Only $D \rightarrow E \rightarrow$ does not satisfies 2NF condn.

So, R not in 2NF.

(A B C D E)

$$AB \rightarrow C$$

here C is partially dependent on AB

$$D \rightarrow E$$

here E is " " " " D.

so not in 2NF

so, decompose s.t. 2NF bn jaaye !

$R(A, B, C, D, E)$

$$AB \rightarrow C$$

$\rightarrow (A, B, C)$

$\not\in 2NF$

$$AB = CK$$

$$AB \rightarrow C$$

(Now C is completely
dependent on
 $CK = (AB)$)

$(R - (B, C)) \rightarrow (A, D, E)$ (remove C)

(A, B, D, E)

$\not\in 2NF$

(A, B, D)

$\in 2NF$
(No FD)

$$D = CK$$

$\not\in 2NF$

WDMR :-

$\text{Q} \Delta R(A B C D E F)$

$\text{FD} = \{ ABC \rightarrow D, ABD \rightarrow E, BF \rightarrow D, CD \rightarrow F, CDF \rightarrow B \}$

Find Normal form of this relation.

$\text{A} \Delta$

$CK = (A \text{ } B \text{ } C) \text{ , } (A \text{ } C \text{ } D) \quad (\text{timesha check from higher to lower})$

~~(A B C D E F)~~

$R \rightarrow \text{not in } CK \text{ as } ABD \rightarrow E \text{ is violating !!}$

$ABD \rightarrow (E) \rightarrow \text{violating 3NF}$.

$B - \Delta = E \rightarrow \text{not part of } CK \text{, Candidate key !!}$

$CD \rightarrow F \rightarrow \text{violating 2NF}$

proper
subset
of $CK = (A \text{ } C \text{ } D)$

so R is in 1NF.

• Temporal FD $X \rightarrow Y$ holds on schema R if
 $\text{FD } X \rightarrow Y \text{ holds on all snapshots for all legal instances } r(R).$

transaction ki at 10 am \rightarrow database mein gyi \rightarrow
wo ek snapshot.

13 Feb 2024TuesdayLec-11.PL/SQL(Procedural language extension to SQL)

- SQL do not have procedural capabilities
- SQL does not provide programming techniques of cond' checking, looping & branching, that is req. for data before permanent storage.
- SQL statements are passed to oracle engine one at time, each time an SQL statement will be executed, so each time need to call oracle engine. This will increase traffic on the network.
- PL/SQL is superset of SQL.
- any error that occurs in SQL, oracle engine will display its own error message, SQL has no facility to provide user-friendly error.

Adv :-

- ① PL/SQL provide facilities of cond' al checking, branching & looping.
- ② PL/SQL can be used anywhere, either in SQL statements or PL/SQL blocks.
- ③ PL/SQL dealing with error & facilities displaying user-friendly msgs, when error occurred.
- ④ PL/SQL allows declaration of variable, variable, we can use in intermediate result of query processing or calculate values & insert them into table.

WJMK = ~~SMM~~

- ⑤ improves performance by adding procedural processing power to Oracle tools.
- ⑥ all sorts of calculations can be done quickly & efficiently without use of oracle engine.
- ⑦ PL/SQL are portable to any OS & Platform on which Oracle runs.
Applications written in

PL/SQL Blocks :-

• PL/SQL code is built of blocks, with a unique structure.

2 types :-

① Anonymous:- have no name (like scripts)

② Named

→ `DECLARE` (optional)

→ can declare variables you will use in this block.

→ `BEGIN` (mandatory)

→ `EXCEPTION` (optional)

→ Exceptional error handle can be used

→ `END;` (mandatory)

→ /

④ DECLARE SECTION :-

- Code blocks start with a declaration section.

WAMK
=
MM.

- Declaration of memory variables, constants, cursor etc.
- Once declared, they can be used in SQL statements for data manipulation.

BEGIN :-

→ consists of SQL executable statements as well as PL/SQL statements.

→ SELECT, INSERT, UPDATE, DELETE are supported.

→ CREATE, DROP, ALTER → not allowed.

→ not case sensitive.

EXCEPTION :-

• basic char set includes:-

① A - Z

② a - z

③ 0 - 9

④ (), * % @ etc.

• words used in PL/SQL blocks are called lexical units.

• can insert few blank spaces in lexical unit, there will be no effect on PL/SQL block.

① LEXICAL UNITS :-

Includes letters,
numerals
special chars,
tabs, spaces,
returns, symbols.

① Identifiers ② Delimiters

③ Literals ④ Comments

names given to
PL/SQL objects.

WOMK
= SMM.

Quoted Identifier :- makes identifier case sensitive,
include characters such as space and use
reserved words. Example:-
① "begin date" PAFFE;

Delimiters :- symbols having special meaning

; → terminates PL/SQL statement.

\$ nahi hai

C special symbol hai

:= → assignment operator.

@ → remote access indicator

/* */ for comments .

= → equality operator

+ → addⁿ operator

- → subtraction / negation operator .

<> → Inequality operator

/ → division operator .

LITERALS:-

① Numeric Literals

↳ can be % or float .

② String Literal

↳ "hello there"

single quote

③ character literal
(*)

WORME
= ~~5~~
MMO
=

① Two hyphen (-) → for single line comment.

② PL/SQL data types :-

- ① Number → can write INT / FLOAT also explicitly OR use NUMBER.
- ② Char
- ③ Date
- ④ Varchar
- ⑤ Boolean

Not supported by SQL

Variables:-

- Variable must begin with character & can be followed by max 29 other " ".
- Reserved words can not be used;
- Variables separated from each other by punctuation mark.
- white space can't be used in variable name.

Assignment :-

- ① Use :=
- ② select or fetch table data values into variable.

CONSTANT:-

Keyword CONSTANT must be added to variable name & assign value immediately.

DISPLAYING USER MESSAGE :-

- ① To display msg, SERVEROUTPUT must be set ON.

SERVEROUTPUT [ON/OFF]

[www.oracle.com]

W3MK
= 55 MM.

x int;
y int;
x := 13.6;
y := 12
xy = ?

Example → SQL > ED
write file afredit.buf

DECLARE

A NUMBER;
B REAL;
C VARCHAR(16);

BEGIN

A := 13.6;

B := 12;

DBMS_OUTPUT.PUT_LINE ('A/B = ' || A/B);

END;

↑
concatenation

SQL > /

PL/SQL procedure successfully completed.

SQL > /

PL/SQL
" " "

SQL > SET SERVEROUTPUT ON;

SQL > /

A/B = 1.1333... - - - 3

Condition Control :-

		if < >
if < cond >		then
		if < >
then < command >		
else if < cond >		then
		< >
then < >		end if ;
else		else < >
		end if ;
end if ;		
end if ;		

WJMK⁹
= 3V
= 00.

Example :-

```
declare
    D boolean = null;
begin
    If D then
        DBMS_OUTPUT.PUT_LINE('True');
    else if D is null then
        ('null');
    else
        end if;
    end if;
end;
/
```

Output :- null

ITERATIVE CONTROL :-

SQL> ED

Write file apredt.buf

declare

I integer := 0

begin

loop

I := I + 2;

EXIT WHEN I > 10;

END loop;

DBMS_OUTPUT.PUT_LINE(I);

END;

SQL>1

PL/SQL procedure successfully completed.

WJMK
= 3 MM.

WHILE LOOP :-

WHILE <cond">

command.

End loop ;

Eg :-

declare

i integer := 1;

begin

while i < 10 loop

DBMS_OUTPUT.PUT_LINE(c);

c := c + 1;

END LOOP;

FOR LOOP :-

FOR VARIABLE IN REVERSE / start...end

loop

<ACTION>

END LOOP;

(can write
for decrement)

Eg :-

declare

k integer := 0;

begin

FOR k IN 1..10 LOOP

DBMS_OUTPUT.PUT_LINE(k);

END LOOP;

END;

/

⑥ DATA SELECTION

↳ nothing but just projection

BEGIN

SELECT <col 1>, ... <col n> INTO

<variable 1>, ... <variable n> → (PL/SQL variables)

FROM <table-name> WHERE <cond">
END;

WOMK
= 3
= 20%

DATA DELETION :-

Begin

Delete

FROM <table-name>

WHERE <condn>

END ;

DATA UPDATE :-

begin

{ UPDATE <table-name>

SET

<col1> = <val1>, .. <coln> = <valn>

WHERE <condn>

END ;

↳ SQL mein toh secara fisik Insert kena pdhtta kta (:-)

DATA INSERTION :-

16 Feb 2024
Friday

WORK

lec-12 :-

manipulation
↳ Delete, Update, Insert

① COMMIT ?

② Data can't be inserted ek saath... ek-ek keke hi ke state hain bss!

CURSOR :-

→ Oracle engine uses a work area for its internal processing in order to execute an SQL statement.

→ This work area is private SQL's open & is called cursor.

~~is ↑~~ temporary memory location

↳ as for complex op's → we need space (directly can't insert) → kernel.

↳ where DML structure is performed.

Implicit : server will automatically create space

Explicit : user will create space

↳ can tell why error.

↳ yeh sunta hoga ki error hai, kya wo nahi btaayega!

General cursor attributes:

attribute	type	description
%ISOPEN	boolean	evaluates true if open
%NOTFOUND	"	true if most recent fetch does not return row
%FOUND	"	true if most recent fetch returns row
Now much data ↳ %ROWCOUNT	"	evaluates to total no. of rows returned so far
↳ manipulated ?? ↳ wo btaayega!		

W3MK
300m

Implicit cursor eg:-

① `DECLARE var_rows NUMBER(3);
BEGIN
 UPDATE employee
 SET salary = salary * 1000;
 IF SQL%NOTFOUND THEN
 DBMS_OUTPUT.PUT_LINE ('no rows updated');
 ELSEIF SQL%FOUND THEN
 var_rows := SQL%ROWCOUNT;
 DBMS_OUTPUT.PUT_LINE ('salaries for'|| var_rows ||
 'employees are updated');
 END IF;
END;`

② `DECLARE
 rows_affected CHAR(4);
BEGIN
 UPDATE employee SET STATUS='ACTIVE' WHERE
 STATUS='notactive' AND BRANCH='labul';
 rows_affected := TO_CHAR(SQL%ROWCOUNT);
 IF SQL%ROWCOUNT > 0 THEN
 DBMS_OUTPUT.PUT_LINE ('rows_affected')
 ELSE
 DBMS_OUTPUT.PUT_LINE ('NO ROWS AFFECTED');
 END IF;
END;`

WJMK
= 2020.

① Explicit Cursor:-

- ① declare → Putilize cursor into memory
- ② open → memory is allotted, cursor is opened.
- ③ fetch → cursor retrieve data.
- ④ close → closed to release memory allocation

Declaring Explicit Cursor:-

CURSOR c - cursor_name IS select statement

- cursor names follow the same rules of scope & visibility that apply to PL/SQL Identifiers.

Opening :-

OPEN cursor_name;

Fetch :-

FETCH cursor-name INTO PL/SQL Variables,

or
record;

FETCH cursorName into variable1, variable2, . . .

① Eg: DECLARE

lastname VARCHAR(20),
firstname " ",
accno " ";
salary NUMBER(5);

CURSOR C1 IS SELECT lastname, firstname, acc-no, -
salary from persons;

BEGIN

OPEN C1;

IF C1 IS OPEN THEN

ABMS_OUTPUT.PUT_LINE(lastname || ' ' || firstname || '
' || acc-no || ' ' || salary));

end cursor
is now used
for SQL

WOMK
= SUM.

OBMS_OUTPUT.PUT-LINE
LOOP

PETCH C1 INTO lastname, firstname, accno,
salary;

EXIT WHEN C1%NOT FOUND;

OBMS_OUTPUT.PUT-LINE (LASTNAME || ' ' ||
END LOOP;
END IF; close C1;
.END;

FIRSTNAME || ' ' ||
ACC-NO || ' ' ||
SALARY);

② STORED P

② Where to use these cursors? Benefits?
can't perform complex open directly, toh wo cursor se hota!
apne sikhा INSERT likha, andar kuch toh

③ STORED PROCEDURE / FUNCTION :-

logically grouped set of PL/SQL

① made up of :-

① declarative part

② executable part

③ optional exception-handling part

for eg. var-2 insert thodi keinge, toh jn
braalo!

④ procedure & function

can
return
multiple
values

↳ can return only one
value.

W3MK
Date: 2020

① Creating Stored Procedure :-

Syntax:-

```
CREATE OR REPLACE PROCEDURE [SCHEMA]
  <procedureName>
  (<arguments> {IN, OUT, IN, OUT}, <datatype>)
  {IS, AS}
  variable declaration
  Constant declaration
  BEGIN
    PL/SQL Statement
  EXCEPTION
    Statement
  END;
```

Ex/

REPLACE → recreates procedure if already exists
schema → oracle engine takes default schema to be current schema

Eg:

```
Create or replace procedure "INSERTUSER"
  (id IN NUMBER, name IN VARCHAR2)
```

is

begin

```
  insert into user2 values (id, name);
```

end;

/

```
BEGIN
  INSERT USER (102, 'Anjali');
  DBMS_OUTPUT.PUT_LINE ('record inserted successfully');
END;
```

WOMK C
= IN
= OUT

e.g.

① CREATE OR REPLACE Procedure myprocedure
(nameIN Varchar2, msgOut OUT Varchar2,
msg2Out OUT Varchar2)
IS

msg1 Varchar2(100);
msg2 Varchar2(100);

begin
msg1 := 'Hello' || nameIn;
msgOut := msg1;
msg2 := 'Welcome' || nameIn;
msg2Out := msg2;

display → nameIn,
msg1, msg2,
msgOut, msg2Out

end;

/

declare

msg1var Varchar2(100);
msg2var Varchar2(100);

begin

myprocedure ('Yawn', msg1var, msg2var);
display → msg1var, msg2var

end;

/

Output :- Yawn
Hello Yawn

Welcome "

Hello "

Welcome ,

Hello ."

Welcome ,

W3ME

function :-

CREATE OR REPLACE FUNCTION [SCHEMA]
<functionName> (<ARGUMENT> IN <data type>)

eg: create or replace function adder (n1 in number, n2 in
number)

return number

is

n3 number (8);

begin

n3 := n1 + n2;

return n3;

end;

/

DECLARE

n3 number (8);

BEGIN

n3 := adder (11, 22);

display → ('Addition is ' || n3);

END;

/

Exception Handling:-

① error occurs during program execution is
called exception

WDM^o
= MM.

Syntax :-

DECLARE

ISBN

EXCEPTION

WHEN exceptions THEN

" — 2 "

" — 3 "

WHEN others THEN

END;

Exception section :-

default

↳ not user defined exception

(These are system defined exceptions)



① NO - DATA FOUND

② payment overdue

③ OTHERS

④ EXCEPTION

WHEN NO - DATA - FOUND

THEN



④ WHEN payment, overdue,

THEN



WDMK
5/20

① WHEN OTHERS

THEN

END ;

} of any other exception is encountered, then execute 3rd set of statements.

Example :-

DECLARE

c_id customers.id%type := 89;

c_name customers.name%type;

c_addr customers.address%type;

BEGIN

SELECT name, address INTO c_name, c_addr
FROM customers

WHERE id = c_id;

D_O_P_L ('Name: ' || c_name);

D_O_P_L ('Address: ' || c_addr);

EXCEPTION

WHEN no_data_found THEN

D_O_P_L ('No such customer!');

WHEN others THEN

D_O_P_L ('Error b');

END;

/

② The NO_DATA_FOUND exception is raised under 3 circumstances :-

- ① An implicit query returns no data.
- ② You attempt to reference a row in a PL/SQL table which has not been defined.
- ③ You attempt to read past the end of an operating system file.

WORK
SESSION

Raising exceptions :- (User defined exceptions)

① DECLARE

exception_name EXCEPTION;

BEGIN

IF CONDITION THEN

RAISE exception_name;

END IF;

EXCEPTION

WHEN exception_name THEN

Statement

END;

DATABASE TRIGGERS:-

(Insertion / update / deletion se trigger hota hai).

↳ as table pehe bna huya , abh manipulate karne ke liye ho!

→ iski help se com ~~don't~~ verify ki manipulation sahi karne ke liye kaise kare? nahi??

↳ kahin 'char' wale menu 'int' -toh nahi store kar sake??.

TRIGGERS → don't accept parameters,

while PROCEDURES can,

are called automatically

(executed implicitly by oracle engine) ✓

have to be called
by user explicitly!

Syntax:-

WDMK $\frac{12}{35}$ 80 M. \rightarrow as perko hi
best \rightarrow faayega.
dekhne \rightarrow hai
ka !!.

CREATE OR REPLACE TRIGGER <TriggerName>

BEFORE, AFTER
DELETE, INSERT, UPDATE OF COLUMN ...
ON <TableName>

[REFERENCING \$OLD AS old, NEW AS new
[FOR EACH ROW WHEN condition.]]

DECLARE

<variable declaration>;

<constant " >;

BEGIN

<PL/SQL subprogram body>;
TINN

EXCEPTION

END;

eg !

Create or replace Trigger t

before

INSERT OR

UPDATE of SALARY,
Delete

ON Employee - ~~f~~

Begin

Case

when Inserting THEN

DBMS-OUTPUT.PUT-LINE ('Inserting')

when updating ~~'id'~~ ('salary') then

$P_1 \cdot P_2 \cdot P_3 \cdot L$ ("updated");

GMD CASE

GND; //

WORK
= 35
MAY

example :-

```
CREATE TRIGGER AUDIT_TRAIL AFTER UPDATE
OR DELETE
ON PERSON
FOR EACH ROW
DECLARE
    OPER VARCHAR(8);
BEGIN
    IF updating THEN
        OPER := 'UPDATE';
    END IF;
    IF DELETING THEN
        OPER := 'DELETE';
    END IF;
    INSERT INTO RECORD
VALUES (:OLD.P-ID, :OLD.LAST-NAME, :OLD-
FIRSTNAME, :OLD.ADD);
END;
```

WJMK 3
= 000 =

17 Feb 2024

Saturday

Lec-13

TRANSACTIONS :-

↳ unit of program execution that accesses and possibly updates various data items.
check balance

- use 'select' operation
- 'insert' operation (deposit money)
- 'delete' " (taking out money)

all are transactions ??

eg: transaction to transfer \$50 from A to B :-

(1) read(A)

(2) A := A - 50

(3) write(A)

(4) read(B)

(5) B := B + 50

(6) write(B)

→ same transaction temporary
memory main note here &
then database main
changes affect note
main !

$$A+B = 1000 \text{ (before)} \rightarrow \text{total sum has to be same!}$$

$$A+B = 950+50 = 1000 \text{ (after).}$$

① Two main issues to deal with :-

① Failure of various kinds, such as hardware failures & system crashes

② concurrent execution of multiple transactions.

↳ for eg: same data

↑
apke account mein ek deal
tha hai, ap nikal rhe ho at
some time !!

↳ concurrent execution.

WEEKS
= 30
= CM.

Example of fund Transfer :-

- ① Atomicity Requirement → either all transaction operations are properly reflected in database or not
↳ transaction must be atomic in nature.

→ each transaction has to be complete (total sum, before & after, must be same)

- eg: if transaction fails after step 3 & before step 6 then money will lost!, so leading to inconsistent database state.
→ failure can be due to software or hardware.
→ system should ensure that updates of partially executed transaction are not reflected in database.

② Durability Requirement

③ Consistency Requirement

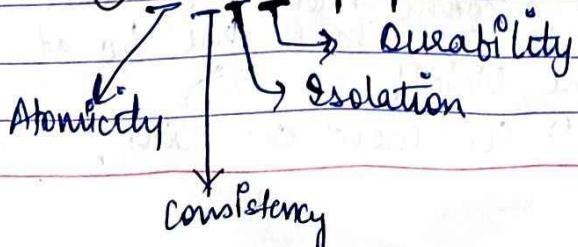
④ Isolation Requirement

- If b/w step 3 and 6, another transaction T2 is allowed to access partially updated database, it will see an inconsistent database.
→ T1, T2 → must happen in isolation, don't let

dur. ko effect na kren, so series mem / cro like pahle T1, then T2.

So, run transactions serially.

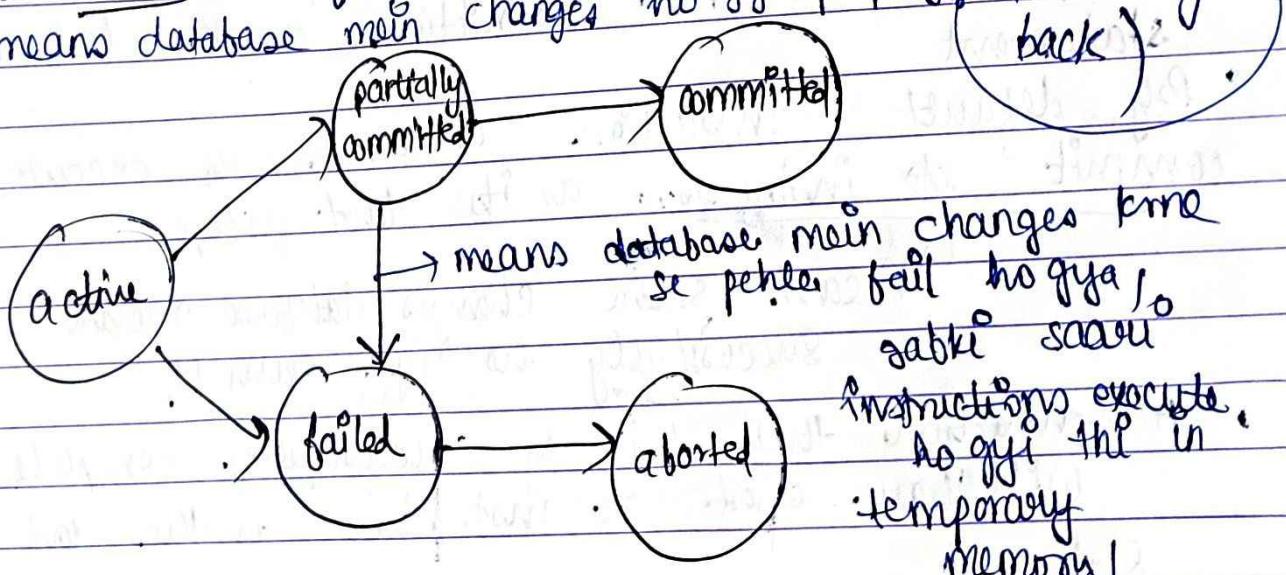
⑤ ACID properties of Transaction



WJMK
25/09/20

Transaction State :-

- ① Active: ^{the} Initial state, transaction stays in this state while it is executing.
- ② Partially committed:- Call instructions when executed after final state has been executed
- ③ Failed: after discovery that normal execution can no longer proceed.
- ④ Aborted: after transaction has been rolled back & database restored to its state prior to the start of transaction.
Two options after it has been aborted:
 - Restart transaction → can be done only if no internal logical error
 - Kill transaction.
- ⑤ Committed: after successful completion
means database main changes ho gye properly!



Concurrent Execution :-

↳ sometimes need,

② like IRCTC mein ikathu log ticket com book, esa toh nahi wait kete hain saare !!.

→ Adv :-

- ① Red. processor and disk utilization
- ② Red. average response time

Schedules :-

↓
sequence of instructions that specify the chronological order in which instructions of concurrent transactions are executed.

- A schedule for set of transactions must consist of all instructions of those transactions.
- Must preserve order in which instructions appear in each individual transaction.
- A transaction that successfully completes its execution will have a commit instruction as the last statement
- By default transaction assumed to execute commit ~~as~~ instruction as its last step

↳ means same changes database mein successfully ho gye hain !!.

- A transaction that fails to successfully complete will have abort ~~as~~ instruction as the last statement.

WAMK Σ
MM

Schedule 1

e.g.: T_1

read(A) $\rightarrow 1000$
 $A := A - 50 \rightarrow 950$
write(A) $\rightarrow 950$
read(B) $\rightarrow 1000$
 $B := B + 50 \rightarrow 1050$
write(B) $\rightarrow 1050$

commit
means all changes reflected back in database.

T_2

$$950 + 1050 = 2000 \checkmark$$

read(A)

temp $p := A * 0.1 \rightarrow 95$
 $A := A - \text{temp} \rightarrow 855$
write(A) $\rightarrow 855$
read(B) $\rightarrow 1050$
 $B := B + \text{temp} \rightarrow 1145$
write(B) $\rightarrow 1145$
commit

T_1 transfers \$50 from A to B, T_2 transfers 10% from A to B.

serial schedule in which T_1 is followed by T_2 ,
say: before:

$$\begin{aligned} A &= 1000 \\ B &= 1000 \end{aligned} \quad \left. \begin{array}{l} \\ \end{array} \right\} 2000$$

after:

$$\begin{aligned} A &= 855 \\ B &= 1145 \end{aligned} \quad \left. \begin{array}{l} \\ \end{array} \right\} 2000$$

$$\begin{array}{l} \text{WJMK} \\ = 3 \\ = 0 \\ \hline \end{array}$$

- ① A serial schedule where T_2 is followed by T_1

T_1

read(A)
 $A := A - 50 \rightarrow 850$
 write(A) $\rightarrow 850$
 read(B) $\rightarrow 1100$
 $B := B + 50 \rightarrow 1150$
 write(B) $\rightarrow 1150$
 commit $\rightarrow 1150 + 850 = 2000 \checkmark$

T_2

read(A)
 $\text{temp} := A * 0.1 \rightarrow 100$
 $A := A - \text{temp} \rightarrow 900$
 write(A) $\rightarrow 900$
 read(B) $\rightarrow 1000$
 $B := B + \text{temp} \rightarrow 1100$
 write(B) $\rightarrow 1100$
 commit

$$\begin{array}{l} A = 900 \\ B = 1100 \\ \downarrow \\ 2000 \checkmark \end{array}$$

$$\begin{array}{l} \text{Before: } A = 1000 \rightarrow 2000 \checkmark \\ \quad B = 1000 \downarrow \end{array}$$

$$\begin{array}{l} \text{After: } A = 850 \rightarrow 2000 \checkmark \\ \quad B = 1150 \downarrow \end{array}$$

- ① But serial walls mein -> bluetime (gega!!)
- Concurrent Pro!!

T_1
 read(A)

$A := A - 50 \rightarrow 950$
 write(A) $\rightarrow 950$

T_2

read(A)
 $\text{temp} := A * 0.1 \rightarrow 95$
 $A := A - \text{temp} \rightarrow 855$
 write(A)

WORMK = $\frac{A}{B}$

read (B)

$$B := B + 50$$

write (B)

commit

read (B)

$$B := B + \text{temp}$$

write (B)

commit

\oplus equivalent to schedule 1

If :-

T₁

read (A)

$$A := A - 50 \rightarrow 950$$

T₂

read (A) $\rightarrow 1000$

$$\text{temp} := A + 0.1 \rightarrow 951.00$$

$$A := A - \text{temp} \rightarrow \cancel{951.00} 900$$

write (A) $\rightarrow \cancel{951.00} 900$

read (B) $\rightarrow 1000$

write (A) $\rightarrow \cancel{950} 950$

read (B) $\rightarrow 1000$

B := B + 50 $\rightarrow 1050$

write (B) $\rightarrow 1050$

Commit

↓
again
read(A) = 900
(but already read & written the
value 950)
no write
needed

$\rightarrow 1000 + 100$
 $B := B + \text{temp} \rightarrow \cancel{951.00} 1100$
write (B) $\rightarrow \cancel{1100} 1100$
Commit

$$\cancel{950} + \cancel{1100} = \cancel{2050}$$

$$\cancel{-100} = 2050$$

so this concurrent schedule
does not preserve value of
(A+B)

WORKS
= 50%

Serializability \Rightarrow

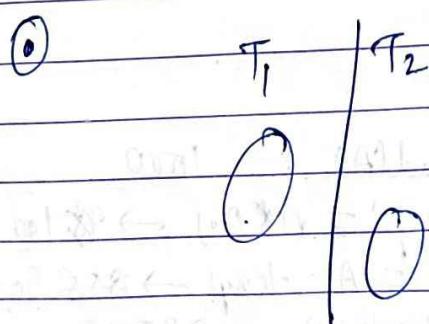
Basic Assumption

\hookrightarrow Each transaction preserves database consistency.

① Thus, serial execution of set of transactions preserves database consistency.

② A (possibly concurrent) schedule is serializable if it is equivalent to a serial schedule. Different forms of schedule equivalence give rise to notions of :-

- ① Conflict serializability
- ② View serializability



Again concurrent means
both, toh esa hi ki they will
behave like ki serializability
mean ki hain !!

Conflicting instructions :-

- If reading concurrently \Rightarrow then no conflict.

{
read - write
write - write
write - read } \Rightarrow then conflict occurs !!

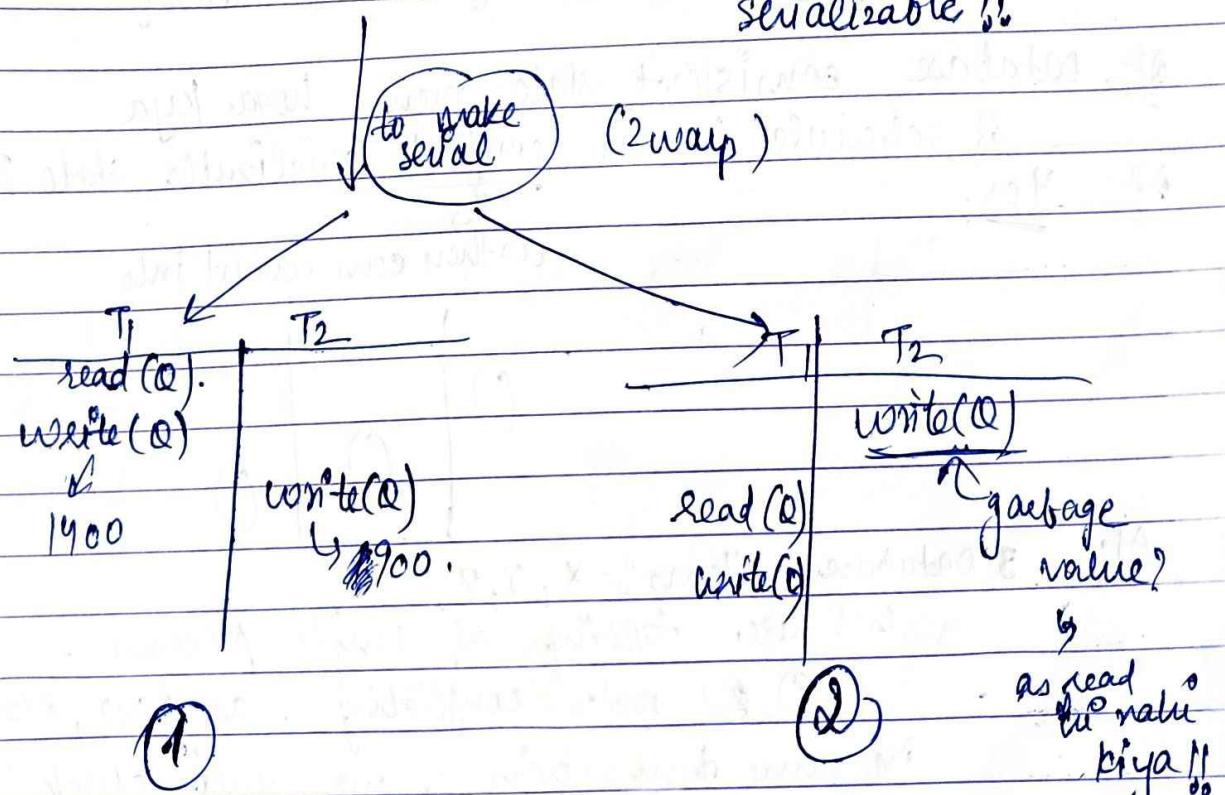
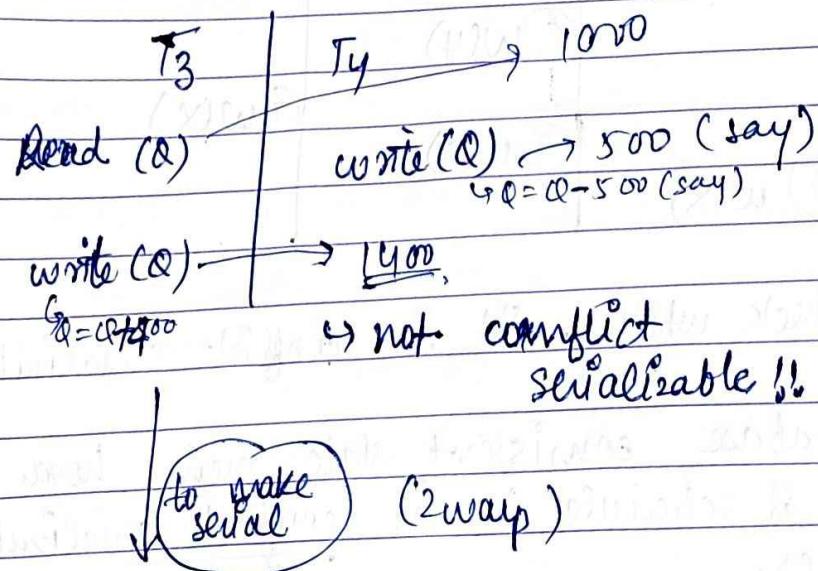
So serializability mean, we work on these three !!

$$WJM \stackrel{e}{=} \frac{S}{M},$$

$$\begin{array}{c} S \\ \diagup \quad \diagdown \\ O \quad O \\ \Rightarrow \quad \end{array} \quad \begin{array}{c} S' \\ \diagup \quad \diagdown \\ O \quad O \end{array}$$

Conflict Serializability

- ⑥ schedule S can be transformed into S' by series of swaps of non-conflicting instructions, we say S & S' are conflict equivalent.



$$T_1 > T_2$$

~~9 fehlt T1 für T2.~~

T2 > T1

WJMK
= MM =

20 Feb 2024

lec-14

Tuesday

<u>Q1</u>	T_1	T_2	T_3
① $R(X) \rightarrow 100$			② $R(Z) \rightarrow 100$
		③ $R(Y) \rightarrow 100$	③ $W(Z) \rightarrow 150$ +50
④ $R(Y)$			
	⑤ $W(Y) \rightarrow 150$ +50		⑦ $W(X) \rightarrow 140$ +40
⑥ $W(X) \rightarrow 140$ +40		⑧ $W(Z) \rightarrow 140$ +40	
⑨ $W(X) \rightarrow 140$ +40			

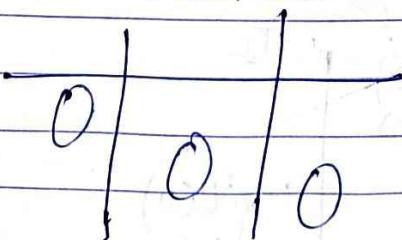
check whether it is conflict serializable?

A1: database consistent state mein hogा kya

If schedule is in conflict serializable state??

A2: Yes.

as then can convert into



A1: 3 Database Items: X, Y, Z

we are talking of single processor.

①, ② not conflicting, as $R(X), R(Z)$ in same transaction, we never check for conflict.

so ①, ② no conflict!

③, ④ \rightarrow no conflict.
→ $W(Z), R(X)$

WOME
= JO
= OM.

④, ⑤ → no conflict

⑤, ⑥ → conflict !!

$R(y), w(y)$.

⑥, ⑦ → no conflict.

⑦, ⑧ → no conflict

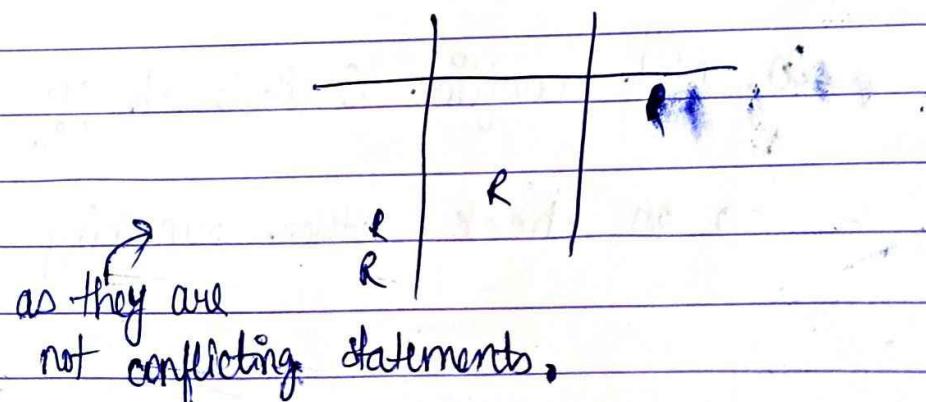
⑧, ⑨ → no conflict !!

$T_1 \rightarrow T_3 \rightarrow$ conflicting on dataitem X

$R(x) \quad w(x)$

$T_2, T_3 \rightarrow$ conflicting on dataitem Y.

~~if no conflict $\rightarrow T_2$ writing~~
 $T_1, T_2 \rightarrow$ conflicting on Y.
 \rightarrow If there are conflicting statements, then no swap can
be done!



as they are
not conflicting statements.

WORK
 \Rightarrow
 = 5
 \Rightarrow
 = 100
 \Rightarrow
 = .

any of following combo ~~possible~~ possible:-

T₁ T₂ T₃

T₁ T₃ T₂

T₂ T₃ T₁

T₂ T₁ T₃

T₃ T₁ T₂

T₃ T₂ T₁

to check for conflict serializability !!

R(y) in T₁, R(y) in T₂ can be swapped !!

T ₁	T ₂	T ₃
R(x)		
R(y)		
	R(y)	R(z)
		w(z)
	w(y)	
		w(x)
w(x)	w(z)	

As conflicting,
so no swap,
ghaan ha
utun sehra
do !

ab \rightarrow R(y), w(y) in T₂ can't be swapped
in T₁

as conflicting
statements.

\rightarrow so T₁ T₂ T₃ \rightarrow combo not possible !!

so, Not conflict serializable !!

\rightarrow so check other remaining combo !!

WDMK
= $\frac{E}{\sum D_i}$

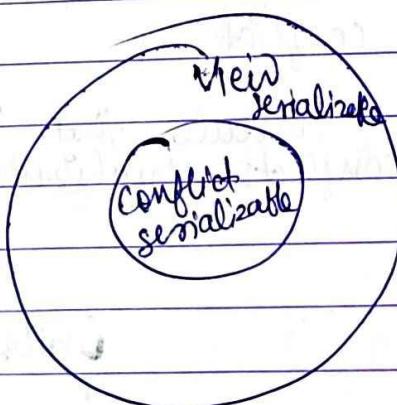
View Serializability :-

- ① If schedule is conflict serializable, then it is view serializable. (BUT CONVERSE NEED NOT TO BE TRUE)

3 cond's:-

- ① If in schedule S , Transaction T_i reads initial value of a , then in schedule S' also T_i must read initial value of a ,
- ② If in schedule S T_i executes read(a) & last ~~new~~ value was produced by T_j , then in S' also T_i must read(a) that was produced by same write(a) operation of T_j .
- ③ The transaction that performs final write(a) in S , same " must " in S' .

→ so first ~~wrote~~ read, last write must be same.



eg :-

WORK = SW - CW

$S \Rightarrow T_1$	T_2	T_3
$R(Q)$		
$w(Q)$	$w(Q)$ → blind write	$w(Q)$

s' bnaayi satisfying pichhi 3ⁿ condition.

$S \Rightarrow T_1$	T_2	T_3
$R(Q)$		
$\{ w(Q) \}$	$w(Q)$	$w(Q) \leftarrow$ final write.

1st read
can swap,
as no read
so ~~2nd condⁿ~~ 2nd condⁿ dekhni ki no zaroorat.

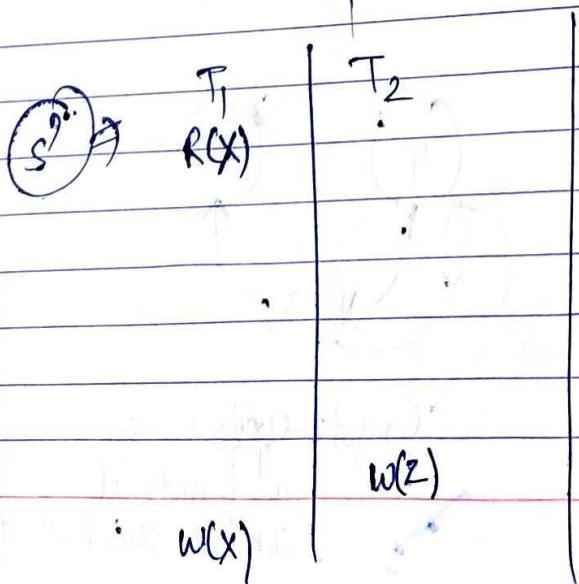
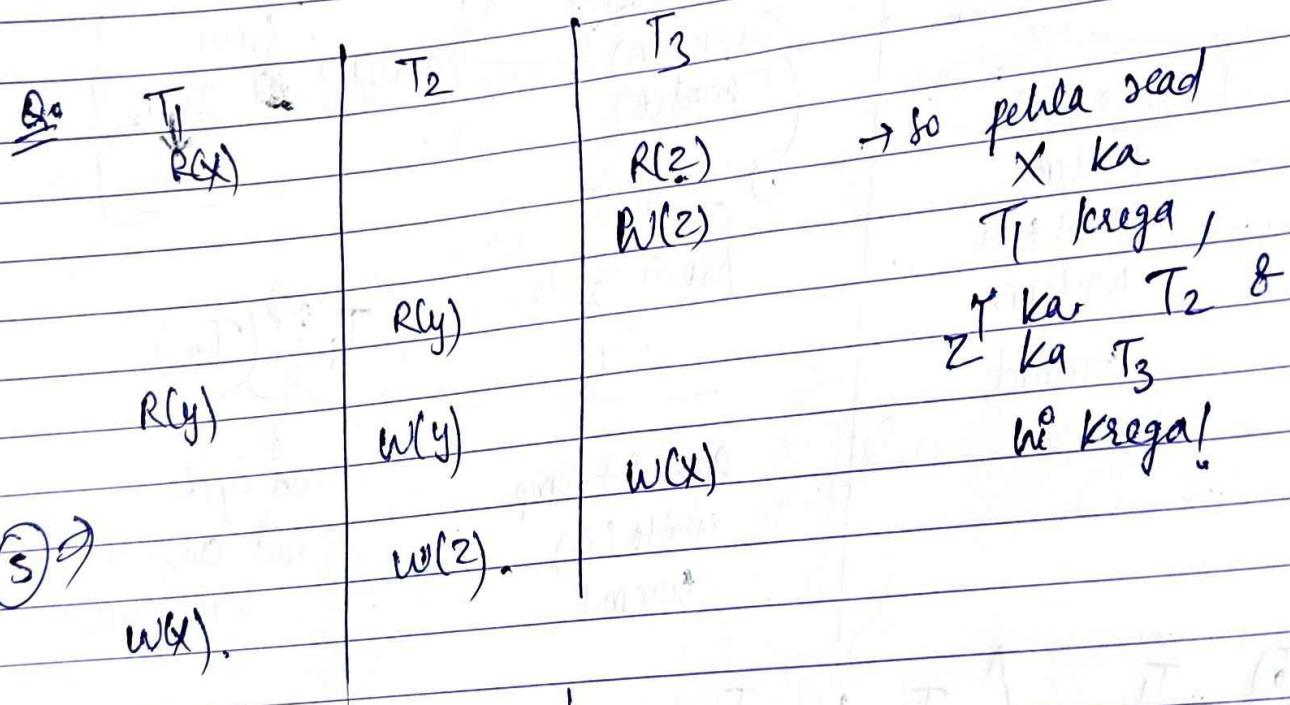
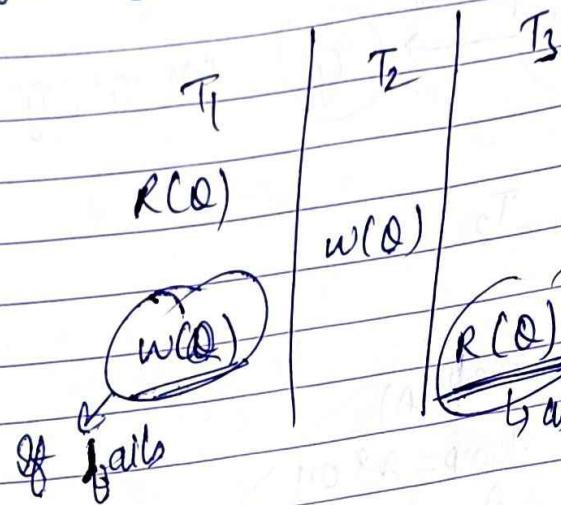
so view serializable ✓
but not conflict "

① Every view serializable schedule that is not conflict serializable has blind writes.

↓
writing w/o reading!!
↳ means over-writing.
jaise deposit kene gya + the
wya, over-write ke diya!!

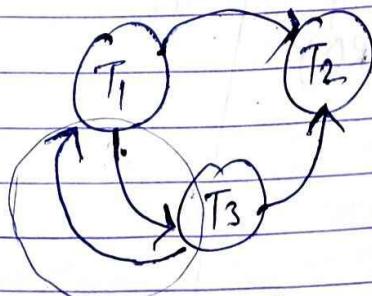
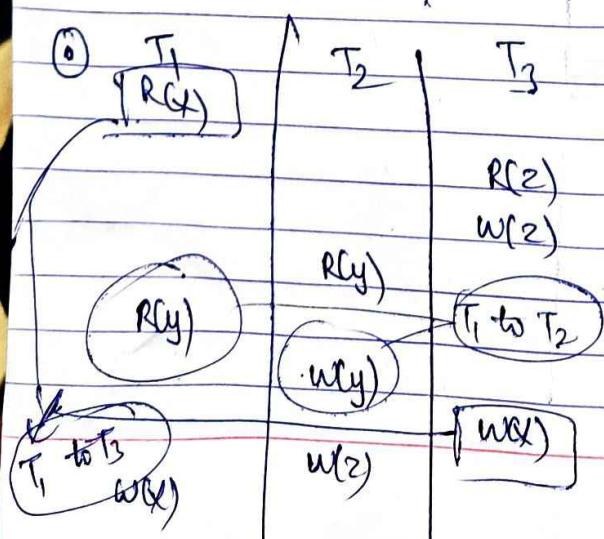
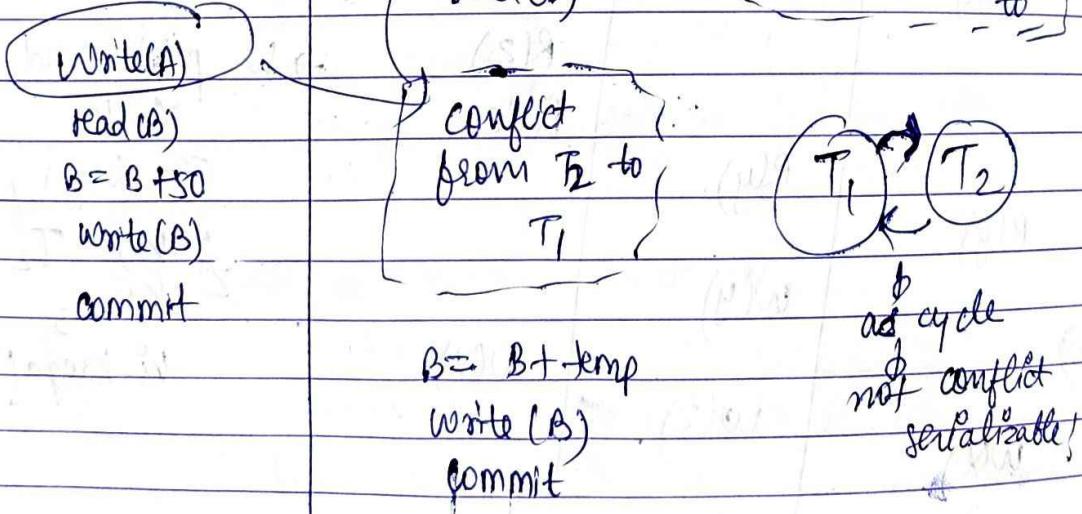
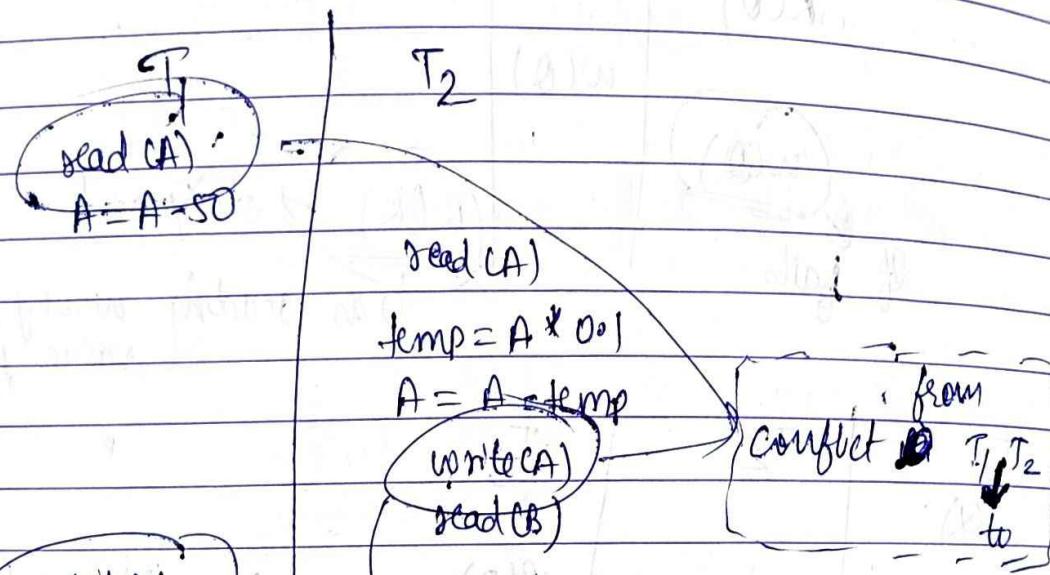
WJMK = $\frac{e}{m}$

if reading wrong value \rightarrow dirty read



$$\text{WORK} = \frac{C}{S} = \frac{C}{M}$$

Precedence graph → Can be used to test serializability
 $T_i^o \rightarrow T_j^o$ iff T_i^o, T_j^o conflict



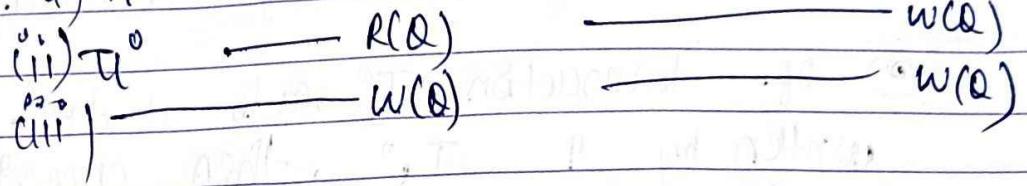
got cycle → so can't make it conflict serializable!!

WJMKE

$$T_i^0 \rightarrow T_j^0$$

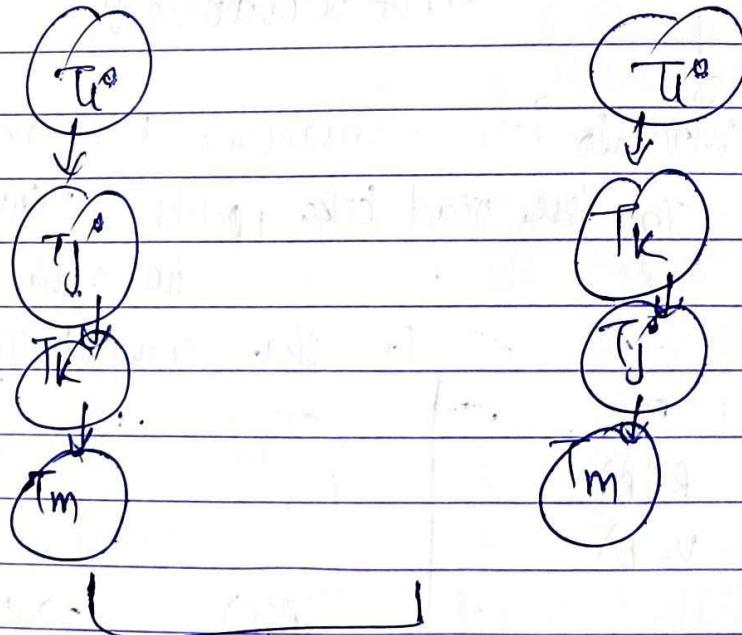
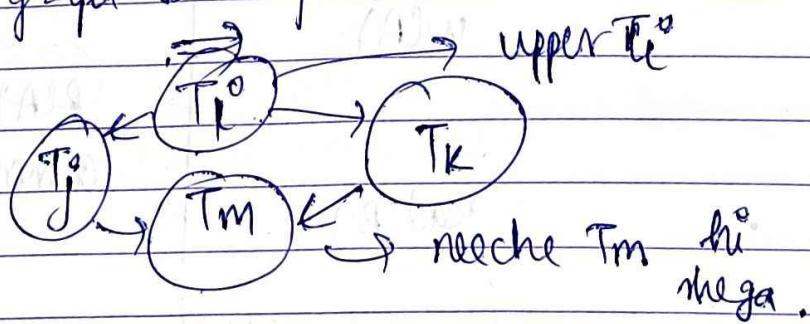
if any one holds

i) T_i^0 executes $w(Q)$ before T_j^0 executes $R(Q)$



combinations see the hm \rightarrow no topological sorting \rightarrow precedence graph se poss.

if precedence graph is acyclic

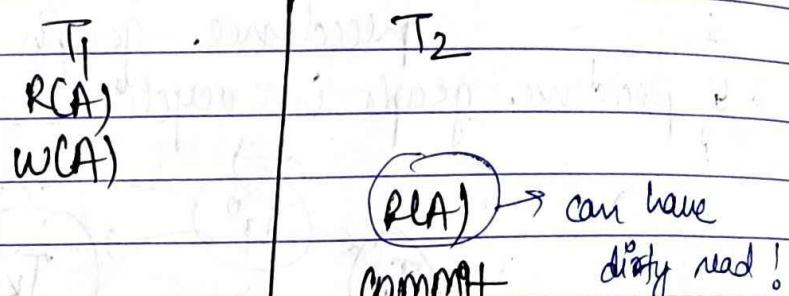


so, only these 2 combinations are possible.

WOME $\frac{C}{3V}$
 \leftarrow PM

Recoverable Schedules :-

- ① Need to address effect of transaction failures on ~~concurrently~~ concurrently running transactions.
- ② If transaction T_1^o reads a data item previously written by " T_i^o ", then commit operation of T_1^o appears before commit operation of T_i^o .



read(CB)

not recoverable !!

maine iski transaction bech mein fail &
 T_2 isse dead lock, pehle hi commit

ho raha hai \Rightarrow

ho raha commit T_1 ke baad kya,

T_1
RCA)
WCA)

R(CB)
commit

RCA)

commit

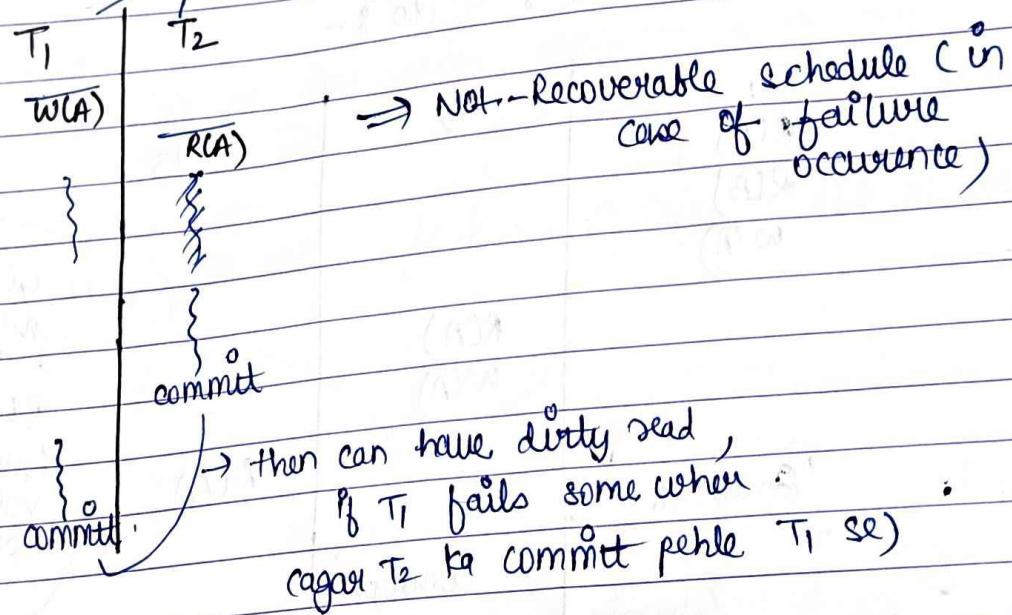
\Rightarrow at
recoverable !!

23 Feb 2024
Friday

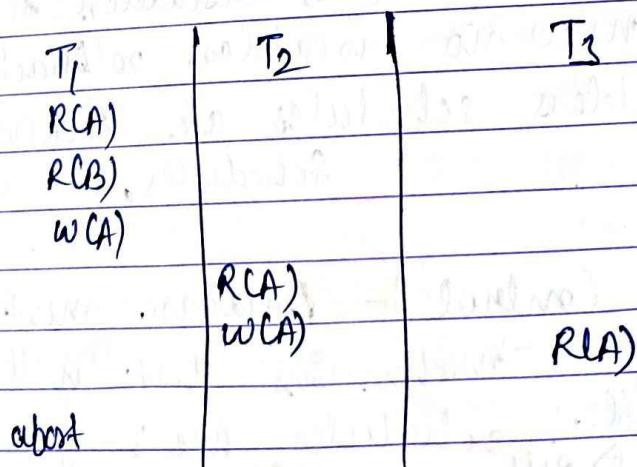
[lec-15]

WOMK $\frac{C}{5}$ $\frac{C}{5}$
WOMK $\frac{C}{5}$ $\frac{C}{5}$

Recoverable Schedules :-
working on same data item



Cascading Rollback! - a single transition failure leads to a series of transaction rollbacks.



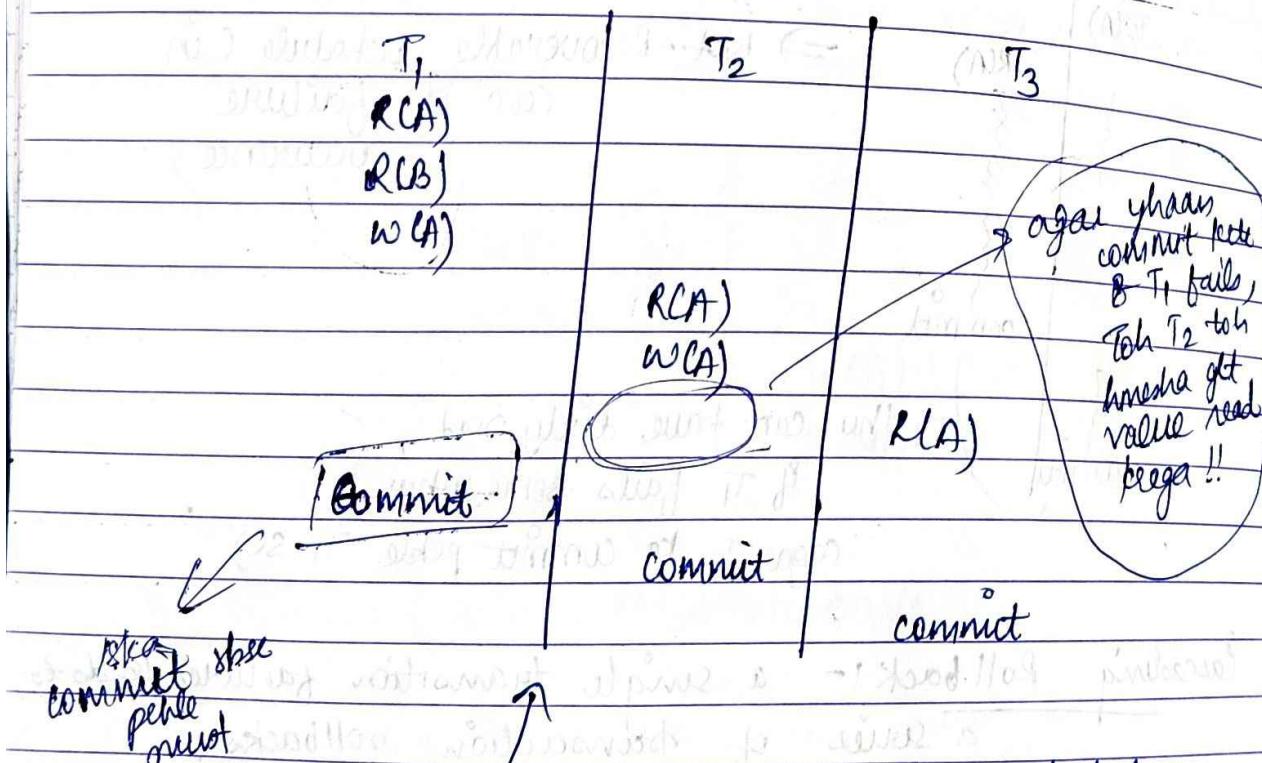
If T₁ fails, T₂, T₃ must be rolled back one by one. Can lead to undoing of significant amount of work.

WORK
SCHEDULE
=

T_1 fails

$\hookrightarrow T_2, T_3 \rightarrow$ were reading value of A \rightarrow so has to roll back to initial state \rightarrow where database was in consistent state.

To avoid this :- do :-



Then schedule is cascadeless schedule \rightarrow now no cascadeless rollback,

(*) All cascadeless schedules are recoverable schedules.

Concurrency Control :- Database must provide a mechanism that will ensure that all possible

schedules are :-

1) either conflict or view serializable

2) either recoverable & preferably cascadeless.

WEEK IN P.M.

- ② Database will be in consistent state after
schedule recoverable / cascadeless / conflict
serializable / view serializable.

Goal :- develop concurrency control protocols that will
assure serializability.

Implementation of Isolation levels :- (Ch-7)

LOCKING :-

~~so pto~~ lock on database v/s on items

TIMESTAMPS //

multiple versions of each data item

CONCURRENCY CONTROL PROTOCOL :-

LOCKING :- (lock-based protocols)

Shared lock
(S)

exclusive lock (X)

→ Data item can be both
read as well as be
written

→ Data item can
only be read

→ requested using lock-X
instruction.

→ requested using
lock-S instruction

lock = X { Read, Write }

lock-S { Read }

→ lock requests are made by concurrency-control
manager.

WORKS
= 50
= 100.

① Lock Compatibility matrix :-

	S	X
S	True	false
X	false	false

Transactions

both are requesting shared lock,
means both want to read,
then compatible, can allow!!

only, shared-shared lock is compatible.

T ₁ R(A)	T ₂ R(A)	T ₃ R(A)

compatible ✓

locking protocol : set of rules followed by all transactions while requesting & releasing locks.

lock - X (B)



means : lock hogya, T₁ ne lock krdiya,
jab tak unlock nahi krge,
no other transaction can work on this data item B.

WORMS
= 30
= 00

T₁
lock - X(B)

Read(B)

B := B - 50

w(B)

unlock(B)

here ←
lock - S(A) ↗

↳ with state

(as shared-toh
compatible
by more than 1
transaction)

lock - X(A)

R(A)

A := A + 50

w(A)

unlock(A)

T₂

(can't write
lock - X(B))
↳ as not
compatible

lock - S(A)

R(A)

unlock(A)

lock - S(B)

R(B)

unlock(B)

display(A + B)

concurrency-control
manager

grant - X(B, T₁)

↳ grant ↘

grant - S(A, T₂)

grant - S(B, T₂)

grant - X(A, T₁)

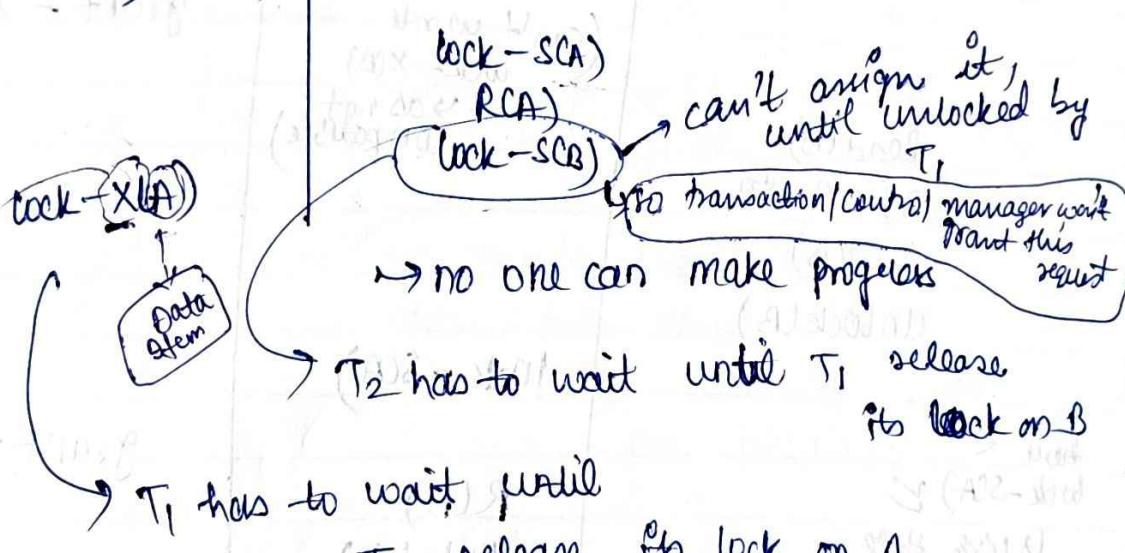
→ locking protocol, enforce serializability by restricting set of possible schedules
show?

Deadlock ?? (Imp) *

WORK $\frac{e}{mm}$

T₁
lock-X(B)
Read(A)
B := B - 50
W(C)

T₂



↑
deadlock
then no conflicting locks

such a situation is called DEADLOCK

to handle it either one of T₁, T₂
must be rolled back & its locks released.

starvation → possible if concurrency control manager is badly designed.

① same transaction is repeatedly rolled back due to deadlocks

② A transaction may be waiting for an X-lock on an item, while a sequence of other transactions request & are granted an S-lock on the same item.

→ toh yeh issi algo kaayea that this cond' can be avoided.

कालतंत्र का स्वरूप एक गणित,
नियमकर कालतंत्र अग्रिम है। ॥३३॥

~~WDMK~~ = ~~अग्रिम~~

	A	B	C
R	1	2	1
	2	2	2
	3	3	2

A	B
1	2
2	2
3	3

B	C
2	1
2	2

Q)

$R_1(A \cup B) \rightarrow$

$R_2(B \cup C) \rightarrow$

at least
1 must

be common \rightarrow यदि अब कुछ भी
common नहीं हो, then can't join them अब कोई

join करें

as $R \neq R'$
(lossy)

database
inconsistent

natural join (R')

A	C
1	2
1	2
2	2
2	2
3	3

spurious
tuples

\rightarrow problem यदि common element should be CK or
SK of either R_1 or R_2 or BOTH.

B \rightarrow duplicates contain कोई, can't be CK or SK.

then lossless!

condⁿs :- (1) $R_1 \cup R_2 \cup \dots \cup R_K = R$

(2) $R_1 \cap R_2 \cap \dots \cap R_K \neq \emptyset$

must be CK or SK of R_1 or R_2 ... or R_K

① candidate
key

UNIQUE

primary \rightarrow " + NOT NULL

hum date main user
OK हो जाएगा।

WJMK
= 50
= 20

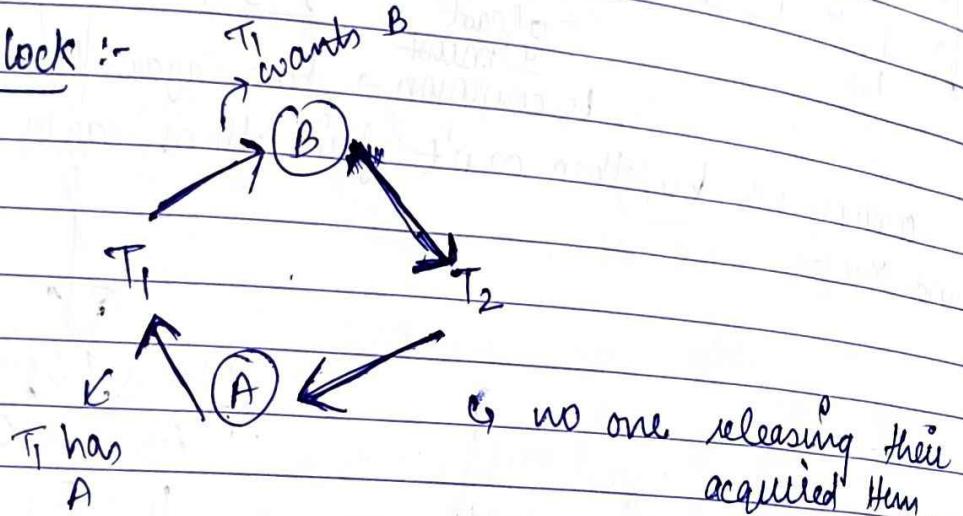
WJMK
= 12
= 12

2 March 2024

Saturday

lec-16 :-

① Deadlock :-



↳ no one releasing their acquired them

↑

so, T₁ waiting for B,
T₂ waiting for A for '∞' time.

↑
∞ time ke liye

no transaction able to proceed further. so situation == Deadlock.

Starvation :-

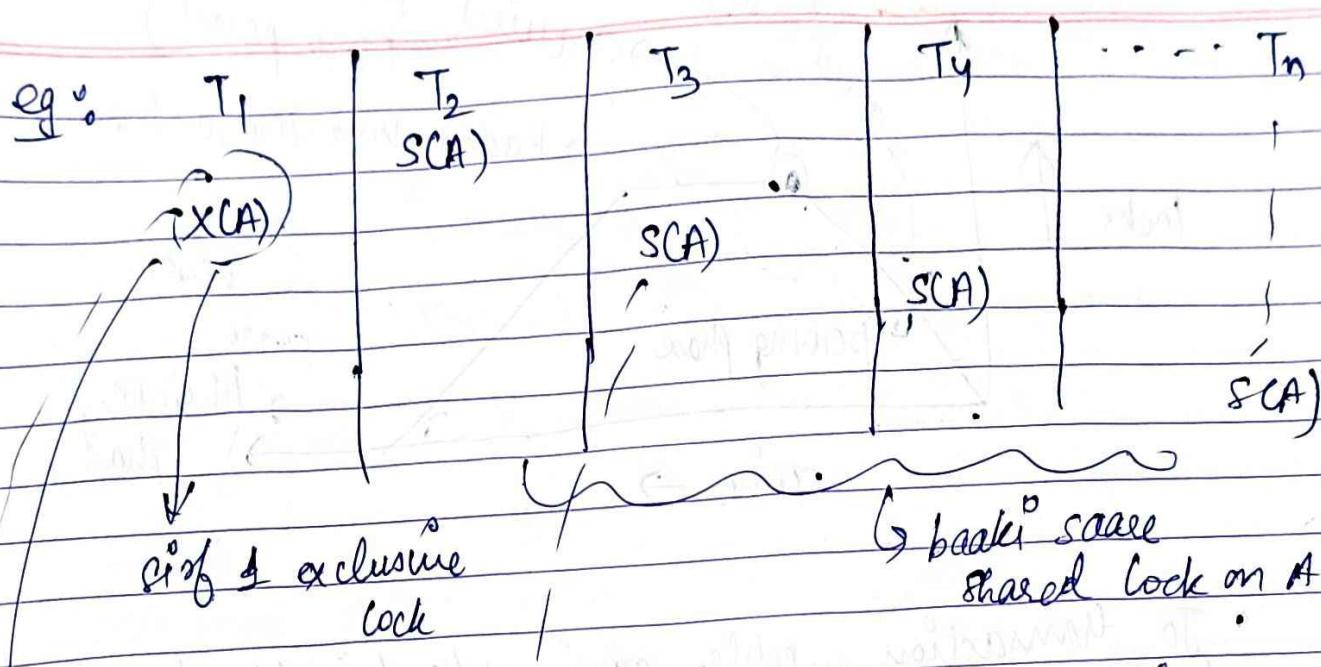
② when u r picking same transaction for everyone to kill to remove deadlock.

for eg: 10 transactions

if 2-3 min deadlock → so pick those transactions and kill them!

means rolled back,
means that transaction has to restart.

WJMK
= 30
20%



Iske grant nahi krenge and yhaan se continue krenge,
krenge nahi!

iske turn nahi aayegi

T_1 ne khatam
liya,
 T_3 ne le liya!

how to avoid ??

By Two phase locking protocol :-

(1) growing phase

→ Transaction may
obtain locks

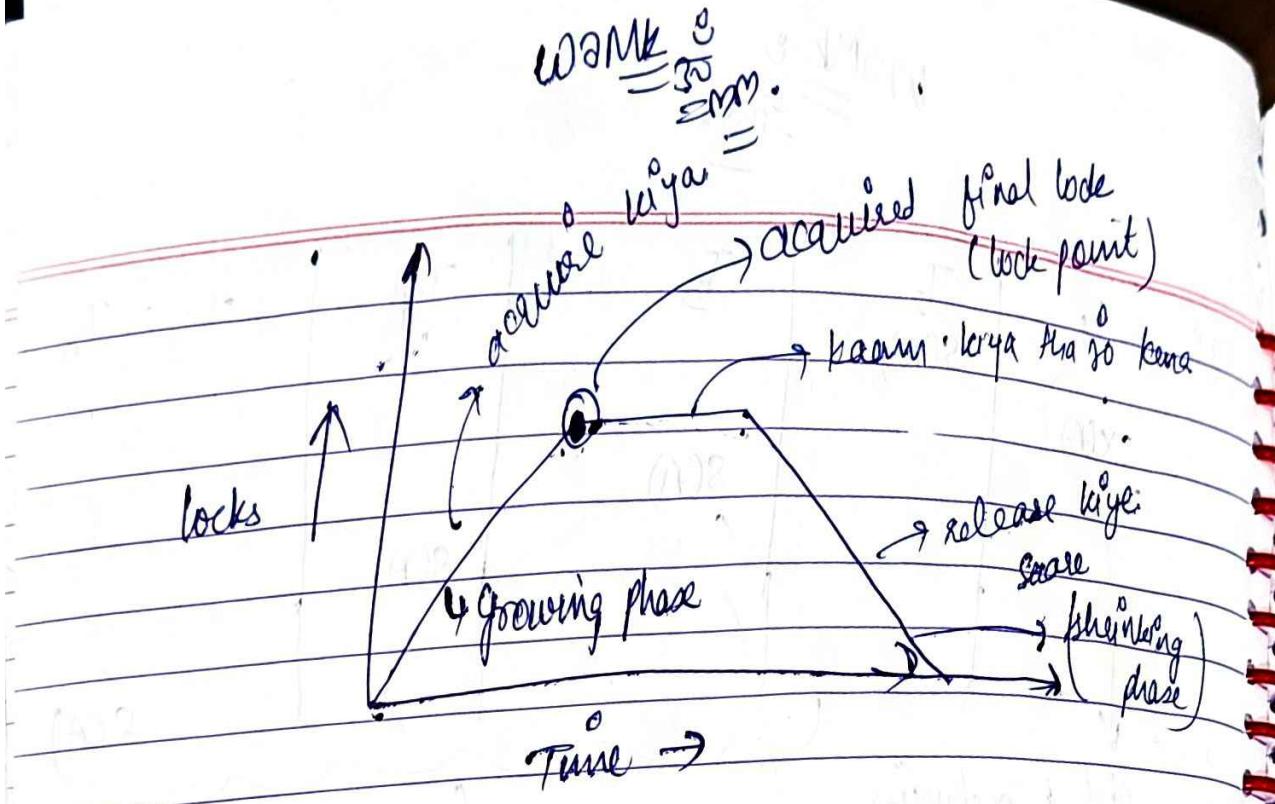
→ Transaction may
not release lock.

(2) shrinking phase

→ transaction may
release lock

→ transaction may not
obtain locks.

- This protocol ensures conflict serializable schedules.
- " " " " " serializability
- It can be proved that transactions can be serialized in order of their lock points (i.e. pt. where transaction acquired its final lock).



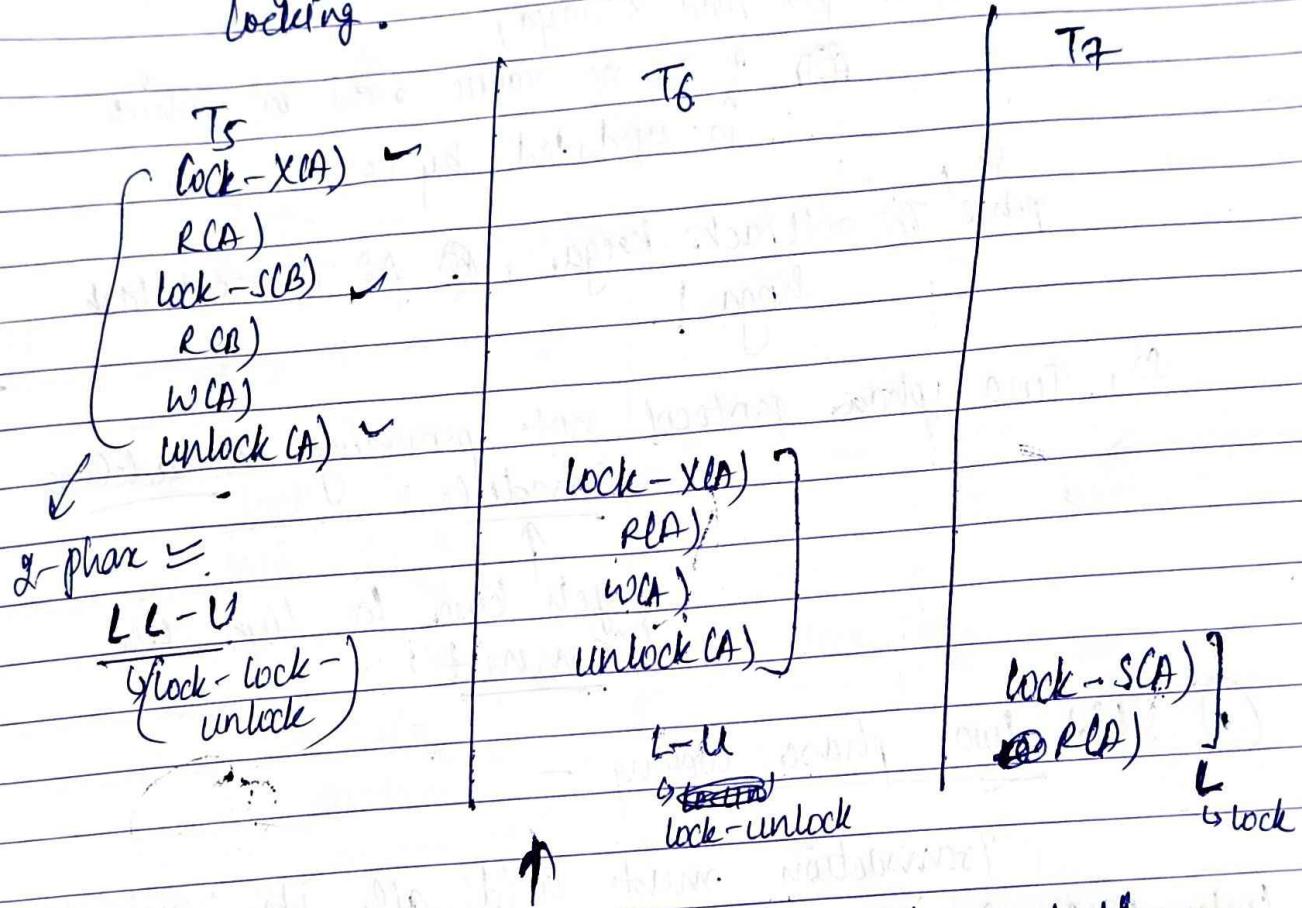
- Jo transaction pehle aayi, usko hi pehla transaction denge, wohi kisi pehli wali ka kalam nahi ki, means T_1 ke baad T_2 ko hi denge, wohi usko will not give to T_3 .

e.g.: Two phase protocol does not ensure freedom from deadlock.

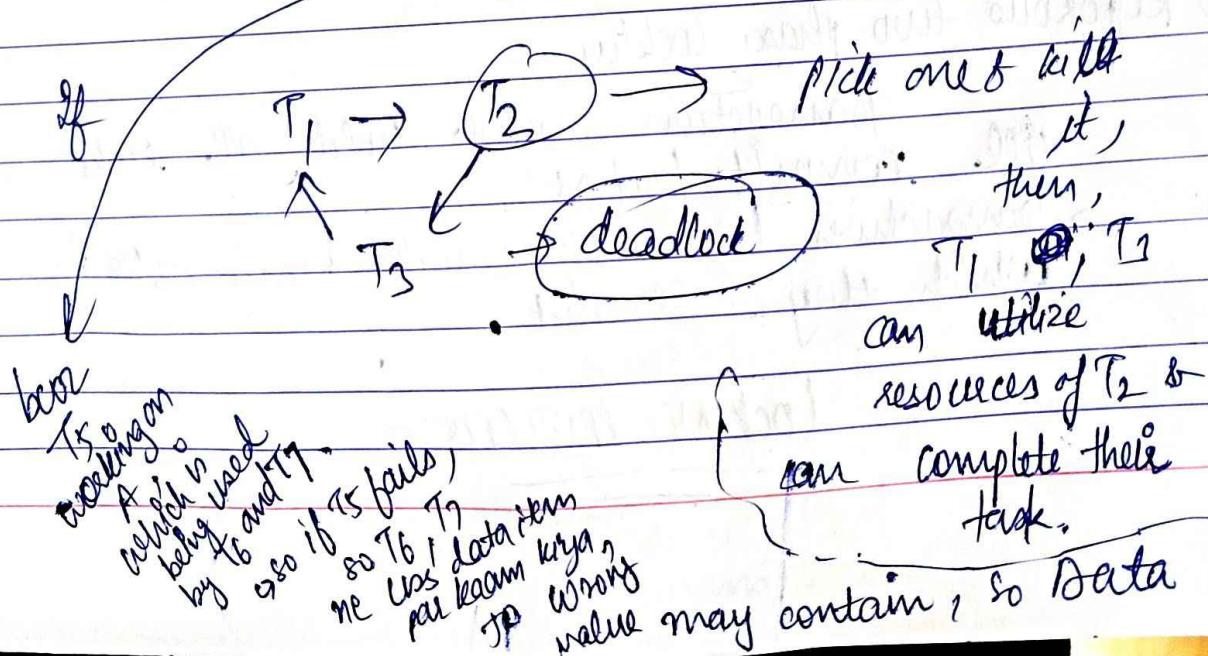
T_3	T_4
lock - X(A)	
RCB	
$B := B - 50$	
WCB	
	lock - SCA
	REA
	lock - SCB
lock - X(A)	

T_3, T_4 are 2 phase, but they are deadlocked.

- WORK $\frac{3}{3}$ min.
- Cascading rollback may occur under 2-phase locking.



Each transaction observes 2 phase locking protocol, but failure of T_5 after $R(A)$ step of T_7 leads to cascading rollback of T_6 and T_7 .



WORK
3000

Inconsistent hogya skta !

and,

T₅ ke just baad T₆ aaya,

& T₇ ne value read u^o which
is updated by T₆.

So, pehle T₆ roll back krega, fir T₇ roll back
hogya !

So, two-phase protocol not providing cascadeless
schedule.

yeh kya ke liye use
'Commit'

① Strict two phase locking :-

Transaction must hold all its exclusive
locks till it commits / aborts.
→ Ensures recoverability & avoids cascading
rollbacks.

② Rigorous two phase locking :-

Transaction must hold all locks
till commit / abort.
→ Transaction can be serialized in order in
which they commit.

LOCKING PROTOCOLS :-

~~WORM~~

- ① Schedule S is legal under a locking protocol if it can be generated by a set of transactions that follow a protocol.

T₈
lock-S(a₁)

lock-S(a₂)

lock-S(a₃)

lock-S(a₄)

lock-S(a_n)

upgrade(a₁)

T₈: read(a₁)

read(a₂)

read(a_n)
write(a₁)

will
upgrade lock
say →
X(a)

T₅ → S(a)

-X(a)

while yet grant. so,

then release,

? then yet grant so!

OR

upgrade the lock
G means S → X.

T₉

lock-S(a₁)

lock-S(a₂)

unlock(a₁)

unlock(a₂)

T₉: read(a₁)

read(a₂)

display(a₁+a₂)

WOMK
= 30
= 20%

downgrade

X → S,

OR

pehle grant, fir release, fir grant.

① Two phase locking protocol with lock conversions:-

growing phase:-

can acquire a lock-S on item

X

upgrade

shrinkage phase:-

can release lock-S

X

— downgrade

* This protocol ensures serializability.

② Automatic Acquisition of locks:-

The operation read(D) is processed as:-

If T₁ has a lock on D

then

read(D)

else begin

if necessary wait until no other transaction has a lock-X on D grant

grant T₁ as lock-S on D

read(D)

end

WOMK
= 3 cm.

Transaction T_i issues standard read/write instruction,
w/o explicit locking calls.

• The operation $\text{write}(D)$:-

if T_i has a lock-X on D

then

~~end~~ $\rightarrow \text{write}(D)$

else begin

if necessary wait until no other transaction has any
lock on D.

if T_i has a lock-S on D

then

upgrade lock on D to lock-X

else

grant T_i a lock-X on D

$\text{write}(D)$

end;

→ All locks are released after commit or abort,
obviously.

∴ all things are done by lock manager.



can be implemented as
a separate process

• lock manager

↳ can send lock or unlock requests as

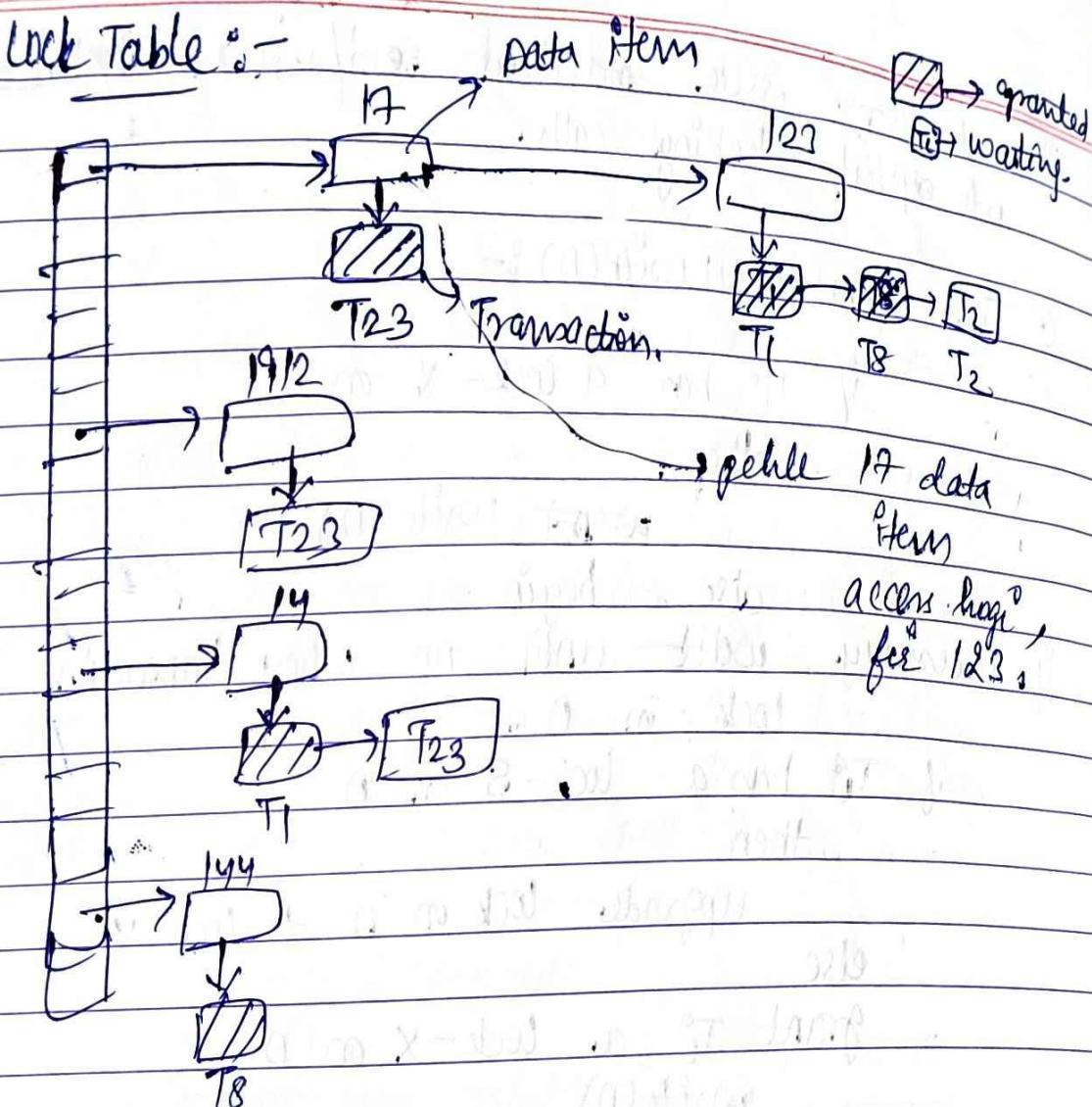
message

↳ They maintain Table \rightarrow Lock Table

↳ to remember!

~~WCMK~~
~~SMM~~

Lock Table :-



(linked list)

- ② Lock-Table also records type of lock granted or requested.
- ③ New request is added to end of queue of requests for data item.

Graph ~~Graph~~-Based Protocols :-

→ alternative to two-phase locking.

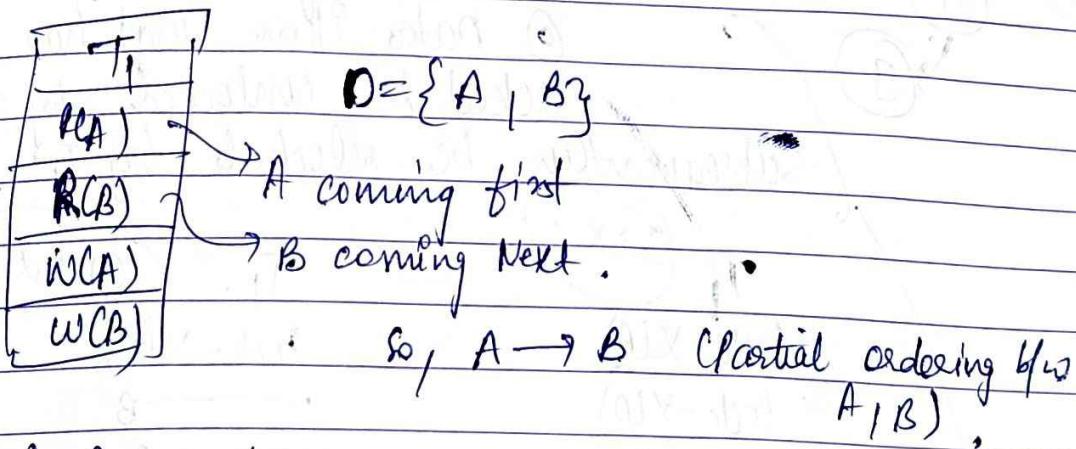
WCMK E
5/2020

① Impose partial ordering \rightarrow on set $D = \{d_1, d_2, \dots, d_n\}$ of all data items. \rightarrow partial ordering.

② If $d_i \rightarrow d_j$ then any transaction accessing both d_i and d_j must access d_i before accessing d_j .

③ implies that set D may now be viewed as directly acyclic graph called database graph.

④ Tree protocol is simple kind of graph protocol.



ess: partial ordering imposed b/w

↳ again work of \rightarrow concurrent control

manager, in order
to maintain consistency of database.

↓ -phase \rightarrow Deadlock (⌚)

cascading rollback (⌚)

↓ net \rightarrow cascading rollback tho do kam!

↳ as pth acquiring \rightarrow for releasing,
if 3 circle \rightarrow 3 deadlock,

Tree never contains cycle \rightarrow
so never deadlock.

Tree protocol \rightarrow no deadlock (⌚)

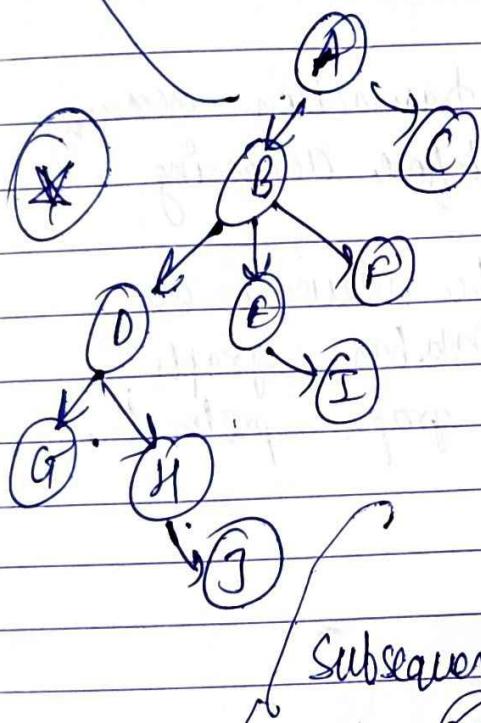
graph
comp. totally
based on
partial ordering

WORK
= 3v
= 3m
=

$A \rightarrow B$
 A is parent of B ,
while A has
no, leaves
behind, then
 B has no
so don't consider them.

- ① only exclusive locks are allowed.

↳ as shared main toh problem hi nahi hoti, so don't consider them.



- ② first lock by T_i may be on any data item.

Subsequently, a data item can be locked by T_i only if parent of Q is already locked by T_i .

- ③ Data items may be unlocked at any item.

④ Data item that has been locked & unlocked by T_i can not subsequently be relocked by T_i .

Case 1

T_1
lock-X(B)
lock-X(D)
lock-X(A)
unlock(B)
lock-X(E)
unlock(C)
unlock(F)
unlock(I)

Case 2

T_1
lock-X(A)
lock-X(B)
lock-X(C)
unlock(A)
lock-X(D)
unlock(CB)
lock-X(CE)
unlock(CD)
unlock(CG)
unlock(CC)

for that particular schedule

↳ as unlock kiya, yehi sekh kaa hi

future mein nahi

chahiye, toh lock nahi keta first,
for that particular schedule.

W.M.K
= MM =

① A pahle access, fir B, fir D, fir H,
fir J.

② B or C mein se koi bhi access

be slate ho,

bss abhi ~~abhi~~,
can be locked only if A is
locked / used
1st

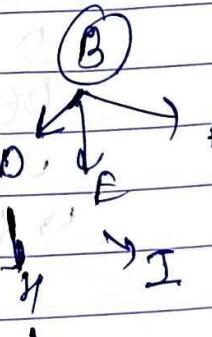
(Case 1) B ✓ ~~locked~~. Locked ✓

D can be locked. as B (parent) hai ✓

E ✓

B unlocked ✓

if graph \Rightarrow



I ✓

D unlocked ✓

B

I

satisfy properties of Tree Protocol. ✓

not if previous
graph ~~abhi~~ \star .

(Case 2) \Rightarrow

A ✓

B ✓

C ✓

unlock A ✓

D ✓

unlock B ✓

G ✓

unlock D ✓

unlock G ✓

unlock C ✓

WORK C
50
90

e.g. if we want to access G, what sequence has to follow to reach G?
Direct nahi kro skte naa!!
↳ considering graph *

↳ go A → B → D → G.

↳ yehi problem!

can't access directly!

extra access ~~nahi~~ krra, path raha hai,

fab ki 2-phase, main nahi krra,
path raha tha → poori taraf deadlock situation ↑.

Tree protocol (graph-based protocol)

↳ time consuming

ensures conflict serializability

↳ freedom from deadlock

↳ does not guarantee recoverability or cascade
freedom

- need to introduce commit dependency
to ensure recoverability

↳ transaction may have to lock data items
that they do not access.

- increased locking overhead & additional
waiting time

- potential decrease in concurrency

↳ unlocking may occur earlier in tree-locking
protocol than in 2-phase,

- shorter waiting times & ↑ in
concurrency.

WORM SYM.

- Protocol is deadlock-free, no roll backs are required.

Δ -phase mein agar

↳ ek Transaction sare data items pehle lock kile, itne it is possible ki 'baaki' Transaction wait kati shengi → thus deadlock may occur,