

Information Security & Compliance Analyst Information Security & Compliance Analyst Information Security & Compliance Analyst Boston, MA Highly motivated and curious professional with a unique blend of IT risk, cybersecurity, information security, data & business analysis, compliance & privacy experience. Strengths include excellent analytical, communication, and project management skills.

APRA, FRB, GDPR, ISO-27001, NIST 800-53, OCC, PCI-DSS, SEC, SOC, SOX and SSAE-18 reporting. Work Experience Information Security & Compliance Analyst HOUGHTON MIFFLIN HARCOURT - Boston, MA April 2019 to July 2019 Collaborated and negotiated several customer contracts with IT, Legal & Contracts teams. Conducted IT Vendor Risk Assessments & wrote final risk reports. Edited various Info-Sec centric policies and standards. Collaborated with

Compliance & Privacy team on enterprise security awareness training. STATE STREET GLOBAL ADVISORS; GLOBAL EXCHANGE, Boston, MA Global investment management firm; Investment Analytics Business/Data Analyst BDA December 2018 to March 2019 Assisted with on-boarding & AML screening processes for large-scaled Integration project. Reviewed, re-wrote, & bolstered existing business controls and Know Your Customer (KYC) policies. Active member of the Risk Excellence Working Group. Collaborated with Business Controls, Contract Management for the Sales, Compliance, and Legal Teams. Technology Risk Management Analyst; Contractor JOHN HANCOCK - Boston, MA January 2018 to August 2018 Conducted and managed vendor risk assessments and client questionnaires. Researched and reported on 3rd party risk management, information security and cybersecurity industry trends. Collaborated and communicated IT

regulatory requirements to business units and key stakeholders. Senior Information Security & Compliance Analyst BAIN & COMPANY - Boston, MA October 2015 to November 2017 Provided risk analysis and support for clients to ensure compliance to security policies and ISO-27001 and NIST 800-53 controls. Successfully completed 60+ security questionnaires for several Fortune 500 clients. Responsible for vendor relationships that provide tools, products and services spanning current and emerging technologies. Successfully completed 70+ technology vendor questionnaires.

Liaison between Legal, Privacy, IT & Procurement work streams to negotiate contracts, SOWs and MSAs. STATE STREET, Quincy, MA Global investment management company. Assistant Vice

President IT Risk & Compliance Advisory September 2014 to October 2015 Identified applicable regulations, analyzed control environments, identified gaps in compliance, and tracked remediation plans. Regulatory expert for information technology (IT). Provided weekly reports to senior management on international and domestic regulatory requirements. Maintained relationships with regulators and tracked exam or assessment status and follow-up items. IT Risk & Project Manager OMGEO - Boston, MA July 2013 to June 2014 Boston, MA Division of the Depository Trust and Clearing Corporation (DTCC). Operations experts, automating trade lifecycle events between investment managers and brokers. IT Risk & Project Manager (07/13 - 06/14) Drove risk-prevention and remediation projects for internal, external, and SEC audits; liaised with a wide range of stakeholders on discovery, triage, scope, and prevention. Provided up-to-date reporting and research on developing risk areas and trends. Conducted external vendor and internal security risk analysis. Verified information provided by vendors; reviewed responses and analyzed data. Assigned risk ratings to vendors. Co-managed the global information and security awareness program; increased quarterly "Coffee Talk" participation by 20%; wrote newsletters and assisted with cyber security initiatives. Risk and Project Manager October 2010 to July 2013 Orchestrated 50+ internal, external, SSAE-16, SOX, and SEC related technology audit findings. Managed risk-reduction project to identify risks, understand control environments, and track remediation plans. Subject-matter expert for Business Information Systems (BIS) team. Compliance Analyst June 2008 to October 2010 Managed multiple internal, external, and SEC related audits resulting in 100% compliance. Active collaborator with Enterprise Risk Management (ERM), compliance, IT and vendor management teams. Project Coordinator October 2007 to June 2008 Coordinated IT audit initiatives; wrote and implemented effective policies to meet or beat audit deadlines. Developed global communication plans and documentation for both technical and non-technical audiences. Education B.A. in Political Science SUNY Geneseo - Rochester, NY Risk Management George Washington University School of Business - Washington, DC

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