

Information Security Risk Analyst/ Security Access Analyst Information Security Risk Analyst/Security Access Analyst Information Security Risk Analyst/ Security Access Analyst - BMO Harris Chicago, IL SUMMARY Energetic individual with five years of experience in operations risk, Sarbanes-Oxley (IT audit), and credit analysis. Job experiences include credit union analyst and bank auditing. Work Experience Information Security Risk Analyst/ Security Access Analyst BMO Harris June 2015 to Present BMO Harris is recognized as one of the largest banks in the Midwest that serves personal, commercial, and affluent customers. Regularly supported business owners and various staff with registration, error checking, and review of BMA's (Business Managed Application) Used ISO & NIST frameworks to the environment to identify, escalate, and facilitate the remediation of gaps Performed ISO 27001 checklist standards to review business units/applications Provided input regarding the identification of internal controls necessary to mitigate inherent risks Provide reasonable extensions for remediation for teams in order to meet closure deadlines Ensured that evidence provided by owners is consistent with IT control and framework standards Created documents providing examples of adequate control evidence Conducted risk assessments on various Lines of Business (LOB) Acted as team lead for interns during preliminary round of quality assessment Ensured that segregation of duty (SOD) roles are properly administered Produced reports detailing information on request regarding assessable units, focal points, and BMA status Provided the required access to Archer Database on request to specified BMO staff Conducted the appropriate follow up of issues identified through the risk assessment process or audits/examinations Participated in joint meetings where high profile risk cases are flagged and resolution process can be determined Functioned as expert in determining findings from observations, and associated risk levels Provided input to stakeholder regarding emerging issues and interpretation of remediation plan(s) Conducted training sessions with stakeholders on assessable units, reporting, and compliance Assisted BMA Governance department with identification of BMA & assessable units no longer needed for production Consistently brought IT security best practices to identify data owners, custodians, approvers, and approvers along with managing all related documentation and records in an appropriate manner IT

Auditor/Information Security Access Analyst Abbott January 2015 to May 2015 Abbott is a global corporation which invests in the advancement of human healthcare. Gathered and formatted data necessary to conduct recertification using Abbott specific tools Performed status reviews in order to identify discrepancies and initiate corrections Managed email communications for issues and concerns Ensure that system owners correctly process timely removal or modification Data assurance for identity and change management Generated daily reports for manager review Routine use of Sarbanes-Oxley for change & identity management analysis Planned and executed IT audits of domestic and international customers Execution of information systems audits, including data privacy, data security or network security Usage of GAA remediation tool combined with V-lookup in Excel to discover discrepancies Collaborated with ticketing system to determine system gaps and laps in performance Routine usage of active directory (AD) to determine & assign access level or groups Database analysis regularly reviewing global customer base

Internal Audit Internal Audit April 2013 to August 2013 MILLIMAN Company profile: Milliman is a large international, independent actuarial and consulting firm which operates globally. Recorded and maintained segregation of duty (SOD) for various business groups Manage IT related business risks Assisted business owners to develop and implement new controls or control enhancements to ensure compliance with updated regulations Manage request for proposal (RFP) Bridge communication between internal and external stakeholders Quality assessment, measurement, integration, improvement, incident management, and risk management Read support documents, past incident records Assisted direct reports with process improvement analysis Conducted reviews of IT audit disaster recovery plans Conduct internal reviews, and identify control deficiencies Facilitated completion of risk assessments in accordance with established policies and procedures Assisted with performing risk assessments and design analysis on investment processes Collaborated with other departments to ensure IT SOX environment complies with business requirements Performed walk-through of business and IT processes Determined access levels for system users Records and user access management Developed and implement an internal review program to be performed on business units control procedures and

processes Assisted in preparation of audit materials for external clients Assisted in the resolution of audit findings through analysis, problem determination and development of cost effective solutions Physical security and emergency response reviews Develop, review, and communicate security policies and procedures

Contract Auditor Internal Audit December 2012 to March 2013

HSBC Company profile: HSBC Holdings is one of the leading commercial banks on the global scale. ? Assist business units with the development of action plan(s) to close gaps identified during control reviews ? Security of information, processing, infrastructure and applications Obtain control verification from business owners to ensure control environment is accurate Regularly documented tasks and procedures for compliance Delivery of IT services in line with business requirements IT compliance and support for business compliance with external laws and regulations Performed root cause analysis on identified risk events to recommend improvements and reoccurrence Monitored implementation of action plans to address risks of various levels and types Assisted management with research and implementation responsibilities Operational risk policy review and enhancement Sat on coalition of multiple departments to analyze and determine risk events Assisted in performing risk assessments and controls analysis on process of investment Assist in the post-audit follow up to determine adequacy of corrective actions take

Maintain documentation pertaining to testing summaries, results of reviews, and write-up findings

Extensively worked with projects on system development life cycle (SDLC) Evaluated data for accuracy and completeness, applying knowledge of customer specific rules for processing

CREDIT ANALYST BAXTER December 2010 to June 2012 Company profile: Baxter International Inc. (Baxter) is a global, healthcare equipment manufacturing company. ? Usage of big data to gather and modify client data ? Assisted project managers to develop plans for project execution ? Provide quality customer service and support and assist with problem resolution and escalation services ? Maintain high quality of service that constantly meets or exceeds demands ? Operational risk policy review and enhancement ? Conducted reviews of IT audit disaster recovery plans ? Interfaced with frontline staff to review data integrity along with risk assessment

Performed walk-through of business and IT processes Participated in all procedures necessary to

ensure the safety of information systems assets and protect systems from all non necessary access

Facilitated completion of risk assessments in accordance with established policies and procedures

? Assisted in the administration of information security practice through development ? Assisted in

developing risk assessments for critical IT business processes, and assisted in preparing related

audit plans Education BA in Marketing-Communication DePaul University - Chicago, IL Information

Systems Harold Washington College - Chicago, IL Additional Information KEY SKILLS ?

Experience with technical documentation ? Business owner compliance reviews ? Fluid use of

Archer for reporting purposes ? Develop, implement SOX documentation ? Proficient in Oracle ?

Operational risk policy review and enhancement ? Experience with SSAE 16 reports ? Control

impact assessment analysis ? Participated in compilation of IT updates ? Function as team lead to

meet deadlines ? Presentations regarding risk framework to senior staff ? Proficient with Archer

Database (Data Governance Tool) ? Routine use of ISO and NIST frameworks PROFESSIONAL

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