

PROGRAM MANAGER PROGRAM MANAGER PROGRAM MANAGER New York, NY Authorized to work in the US for any employer Work Experience PROGRAM MANAGER BANK OF NEW YORK MELLON - Jersey City, NJ June 2017 to August 2017 Wrote a series of Python Application programs to essentially systemize a data remediation project which was outstanding for over three years. The bank was assigning a significant number of resources to generate, analyze, validate, edit, modify and ultimately remediate the incomplete and erroneous Tri-Party account data. This entailed the generation of various account pre-database CSV files using algorithms developed to automate with Python applications which was essentially being done manually. This project was complete ahead of schedule and under budget it was originally anticipated to be completed at the end of the first quarter 2018, the suite of applications developed and implemented enabled all the data remediation and file conversions to be completed in August 2017. Given the success of this project I was asked to develop a similar suite of python programming tools for the BNY Mellon US Treasury clearance system which prior were not cleared through BNY Mellon. This enabled BNY Mellon to become the sole clearinghouse for the three remaining Primary Treasury Dealers with the integration of JP Morgan's Treasury clearing business as JP Morgan according to information available publicly, transferred their Treasury Security Clearance business to BNY Mellon who is now the only clearing broker for all 20 Primary Treasury Dealers. PYTHON DEVELOPER BA NSX NATIONAL STOCK EXCHANGE - New York, NY June 2015 to November 2016 PM REGULATORY & RISK MANAGEMENT Business Analyst/ Python Developer working on multiple projects (SEC Binary Data Feed Conversion, Tick Pilot Project) scope of responsibility included writing all necessary project documentation such as: BRD, FRD, UAT test scripts, testing plans, production cutover and back out procedures, creating project documents, timelines, risk issue/problem tracking and resolution. Responsible for all REG SCI Risk projects conducting quarterly audits of: SDLC, Vendor Management, Testing, Deployment, Remote Access, Incident Handling and event management, Configuration Management, Security Administration, Compliance with Policy Procedures and Standards, Enterprise Risk Management, implementation of SOC, Security Operations Center. Primary responsibility of leading the IT Regulation System Compliance and

Integrity (REG SCI) Auditing team responsible for conducting SEC mandated periodic IT audits. Responsible for compliance with all relevant SEC and FINRA (REG SCI - System Compliance Integrity) regulations applicable to an Equity Exchange, including conducting quarterly and annual auditing, direct communication with regulators presenting the results of the quarterly/annual audits, preparation of auditing documents in response to SEC inquiry/audit etc. Responsible for development and implementation of Governance and Management procedures based on industry best practices and standards COBIT, ITIL etc.

PYTHON DEVELOPER/DEVELOPMENT MANAGER/PROJECT MANAGER CITICORP INSTITUTIONAL CLIENT GROUP - New York, NY
April 2013 to July 2015 Oversaw and hands on Python Developer and Development Manager cross-functional teams of developers, project managers and business stakeholders to execute multiple simultaneous projects, including creating BRD, FRD, UAT, staff management, project timelines, monitoring, problem solving, budget, milestones, client relations and management reporting. Directed the comprehensive implementation of all mandatory regulatory requirements (including Dodd Frank, Basel 2.5, EMIR and APAC) for Citicorp's Institutional Client Group within the investment and commercial banking divisions. Represented the bank for several US Treasury OCC IT Audits. Conducted thorough IT Audits to evaluate both development and build-out of Operational Risk Management systems to mitigate operational risk and ensure full compliance with applicable regulations. Oversaw the complete UAT testing process, including execution of testing scripts, selection of end user testing participants, monitoring of software, corrections, implementing improved software releases and all post-implementation requirements. Maintained company-wide references databases for Account, Transaction and Reporting Management and designed database modifications to comply with regulatory requirements including CFTC, SEC, Dodd Frank and EMIR. Administered several legacy to distributed trading system applications run on IBM mainframe and converted to AIX systems.

BA/PM/ DEVELOPER BTMU - BANK OF TOKYO - MITSUBISHI UFJ - New York, NY
September 2012 to December 2012 Developed several "data scrubbing" utilities in Python to compare the contents of several text files to the record layout specifications test files provided by DTCC to determine what gaps, modifications, alterations to the existing applications

were required for the bank to comply with regulatory requirements. The data was stored in Oracle databases and extracted with SQL Queries or Python for flat text files and csv-excel related data types., Directed all aspects of a regulatory project for Credit/Rates Dodd Frank compliance in order to fulfill regulatory requirements, including project management, business analysis for specifically: Dodd Frank Parts 43, 45 & 46 reporting. Successfully created UAT Testing Plan Documents for several projects designed to meet regulatory reporting requirements, including creating an electronic communication connection between the Bank of Tokyo, Mitsubishi UFJ and DTCC's Global Trade Repository which resulted in streamlined loading of historical and current swap transactions. Program Manager Consultant, Dodd Frank Implementation ISDA - New York, NY 2011 to 2012 Ronin Capital - New York, NY 2010 to 2010 Javascript Development Manager Legend Securities - New York, NY 1999 to 2009 Assistant Vice-President, FX Technology Deutsche Bank - New York, NY 1995 to 1996 Education Bachelor of Arts in Organizational Communications New York University - New York, NY Diploma in Computer Technology New York University - New York, NY Skills JAVASCRIPT (10+ years), PYTHON (4 years), UAT (3 years), TRADING (3 years), RISK MANAGEMENT (3 years) Additional Information Highlights of Expertise Python, JavaScript, PyCharm, Anaconda Full Life Cycle Software Development Project Management: Agile and Waterfall Operational Risk Analysis & Management Mainframe Legacy & Distributed System Platforms Front/Middle/Back Office Trading Technologies IT Operations & Management Python, Version 2, 3 Conversions Leadership & Team Building Regulatory Compliance (Dodd Frank, EMIR, MFID) Testing Methodologies (UAT, FRD, BRD) Business Analysis Program and Project Management PMO both IT and Business Domains Technical Proficiencies: Systematic Algorithmic Trading, Technical Liaison, FIX, Business Analysis, ECN's, Front Office Trading, Multi Asset Classes (Derivatives and Hedge Funds), QA, Shell Scripting, Legacy AIX Sun Windows, LINUX, SQL & SQL Server, Derivative's, SDLC, Agile, Waterfall, Object Oriented Design, Java, C++, C# .NET, VBA, JavaScript, Python, MS Excel, Project, PowerPoint, Visio & Word, Data Mapping Warehousing, LAN, WAN, OTC Derivative Life Cycle: Pre-trade, Trade, matching, central clearing & settlement, CCP, SEF, DCO for rates, swaps single name and index CDS, equity and

commodity. Project & Risk Management: IT Project Management, Agile, Waterfall, UAT, Testing, Test Plans, Risk Management, Mark to Market, Credit risk, curves, derivative trading, Market Risk, Hedging, Value at Risk, Equity.

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