Manager (IT Audit, IT Risk Management, IT SOX, IT Compliance) Manager (IT Audit, IT Risk Management, IT SOX, IT Compliance) Manager (IT Audit, IT Risk Management, IT SOX, IT Compliance) - IT Compliance San Francisco, CA Anuj is results oriented Information Technology Risk, IT Audit, Security & Compliance manager with over 15 years of experience in industries like Financial Services, Technology, Cloud Computing and retail industry. Anuj is looking for a great career opportunity within a senior management role in a complex environment with various regulatory and cyber-security compliance opportunities and challenges. He excels at performing within deadlines and managing projects and teams working on complex compliance, IT Risk, Audit, Governance and security initiatives. Authorized to work in the US for any employer Work Experience Manager (IT Audit, IT Risk Management, IT SOX, IT Compliance) IT Compliance - Santa Clara, CA March 2015 to Present   Consulting with Arista as IT Compliance Manager and Controls Manager role to provide IT Risk Management, Vendor Management and IT SOX regulatory compliance services   Perform Vendor Risk Assessments - SOC1/SOC2 assessments for the vendors and Security Assessment Questionnaires (SAQ) in a cloud computing environment   Implementation of the NIST Cyber-security framework   Review of the cloud security controls for the organization Coordinate Vendor audits including outsourcing clients and agreements   Perform SOX Compliance and SOX testing activities   Plan organize and execute IT risk assessments and coordinate the Risk and Control Self-Assessment (RCSA)   Manage the IT Security exceptions and periodic exceptions reporting   Responsible for the periodic vendor compliance assessments Manager (IT Audit, IT Risk Management, IT SOX, IT Compliance) IT Compliance May 2009 to March 2015 Infobiz Solutions Inc.Toronto ON   Consulting with KPMG as IT Compliance Manager and Controls Manager role to provide assurance services to clients across GTA   Perform Vendor Risk Assessments   Manage and be responsible for the Vendor Management Program   Coordinate Vendor audits including outsourcing clients and agreements   Perform SOX Compliance and SOX testing activities   Plan organize and execute IT risk assessments and coordinate the Risk and Control Self-Assessment (RCSA)   Manage the IT Security exceptions and periodic exceptions reporting   Responsible for the periodic vendor compliance assessments   Plan, organize and carry

out the internal audit function including the preparation of an audit plan which fulfils the responsibility of the department, scheduling and assigning work and estimating resource needs   Act as a liaison with the External and Internal Auditors for successful completion of Audit activities.   Perform IT Audit, Information Security Compliance, IT SOX activities, IT Risk assessments   Work closely with management with their IT remediation efforts   Pre and Post implementation audits (SAP Implementation Project Audit - Blueprint phase)   Integrated audits including web applications audits and Financial Transformation (Conversion/Upgrade from Oracle R 10 to R11)   Perform SAP Basis Audit Framework Development   Make recommendations on the systems and procedures being reviewed, report on the findings and recommendations and monitor management's response and implementation.   Report to both the audit committee and management on the policies, programs and activities of the department   Coordinate coverage with the external auditors and ensure that each party is not only aware of the other's work but also well briefed on areas of concern.   Assisting the management with the Bill 198 Compliance efforts   Managed IT Audit planning, and execution for the Quebec Region   Responsible for Store Systems Audit for various regions   Participation in the SAP ongoing transformation activities like project meeting, risk management meetings, project office meetings etc.   IT Project audit lead for SDLC and ongoing participation in the PMO meetings, project status update meetings etc.   Worked with external and internal audit teams on the Integrated audits to ensure the timely completion of the annual audit plans   Perform IT Logical Access Controls and IT Application Controls   Auditing of the retail industry applications (Retalix, Kronos, Krolls Pharmacy applications etc.)   Auditing of the retail industry ISP, HOP and various interfaces Project Manager IT Audit - Minneapolis, MN June 2008 to April 2009   Performed the internal audit of the information systems at the Bank   Conducted Infrastructure auditing including security and network (LAN, WAN & Wireless) auditing   Implemented PCI Credit Card Risk Analysis Framework   Performed PCI Compliance and remediation   Compliance with SOX 404, OCC Regulations, GLBA, SAS 70 requirements   Conducted Mainframe Security Audits (ACF2, RACF)   Performed Systems Security Audits, Application Audits and Execution of Vendor Audits   Managed and lead on all audit assignments   Conducted Quality Assurance of the

Workpapers of Staff Auditors   Provided Documentation of Work papers in Teammate   Involved in the Business Applications and Infrastructure testing for SOX Compliance. Project/Security Consultant (Technology Risk Management) Jefferson Wells International - Toronto, ON February 2005 to June 2008 Irvine CA   Worked as a consultant with MDS Inc. (FDA regulated medical research client) and managed the vendor audits of IBM.   Lead IT SOX Compliance for Money gram International in St Louis Park, MN   Implemented PCI DSS and PCI Compliance and remediation   Extensively used/audited the Change Management automated tools (Turnover for IBM I-Series and AS/400)   Designed the remediation plan.   Responsible for Liaison between the senior management and the external auditors   Focal point for the current status of the SOX Compliance efforts   Analyzed and identified gaps in the remediation process of the   Responsible for drafting as well as reviewing the final audit reports   Performed the audit of the information systems for the clients.   Responsible for Implementation of Sarbanes-Oxley 404   Created work papers and providing recommendations for IT Control effectiveness   Tested financial applications on Mainframe, AS/400 and Windows 2000   Tested the VPN and Firewall Configurations.   Used audit documentation tools (OpenPages) for storage of audit documentation.   Worked extensively with Windows Active Directory for Logical Access Testing.   Created Process Narratives and Control Metrics.   Created of test scripts for key IT Controls and Tested and documented the Key IT Controls.   Widely used COBIT for Control Objectives.   Created Gap Observations. Information Systems Auditor/Tester Vision Information Systems July 2002 to July 2003   Audited IT design and operation effectiveness Implementation of Sarbanes-Oxley 404   Produced test of IT process and controls   Focused on quality, change management, and risk mitigation   Testing and documenting of the Key IT Controls   Developed Excel spreadsheets to support IT audit process, tests, and results. Software Engineer & Tester Premier Technology Group December 2000 to July 2002 Premier Technology is a subsidiary of E-Z Data Inc. E-Z Data has executed several projects for clients like Prudential, American Express, Aetna, and Mass Mutual   Focus on testing, quality, and underlying processes   Database maintenance and SQL programming (Oracle, Sybase, MS Access)   Review for financial gaps and fraud exposures   Developed test scenarios and

measured results to identify operational risk   Served as a business analysts supporting multiple clients requirements definition   Developed software for major insurance companies (Prudential, American Express, Aetna, New York Life and Mass Mutual)   Leveraged primarily Microsoft technologies of Visual C++, COM, .Net, SQL Server, SDK's in the production of package software and customizations   Provided rigorous software quality routines by testing of design and functional results and analysis of financial tolerances Education Masters in Business Administration in Business Administration Pfeiffer University - Charlotte, NC 2005 Diploma in Advanced Computing in Certified Information Systems Auditor CDAC - Rolling Meadows, IL 2000 Bachelors in Commerce Rajasthan University - Jaipur, Rajasthan 1999 Certifications/Licenses CISM January 2011 to Present CISA Present CRISC Present

Name: Mary Reynolds

Email: halvarez@example.net

Phone: +1-563-939-6398