

**Government of India**  
**Department of Scientific and Industrial Research**  
**PROMOTING INNOVATIONS IN INDIVIDUALS, START-UPS and MSMEs (PRISM)**

**PRISM\_ Phase-I: Individual Innovator Proposals**

**Category I: Proof of Concept/Prototypes/Models**  
**Guidelines**

**Eligibility**

- Any Indian Citizen having innovative idea or an invention.

**Scope & Support**

- Project cost up to Rs. 5.00 lakh to help Innovators to convert their ideas into demonstrable models/prototypes. The support is primarily aimed at encouraging student innovators. However, in general, any Indian Citizen having innovative idea or an invention and wishes to demonstrate his idea in the form of basic stage model/prototype/process thus providing proof to his concept can apply in this category.
- The proposals shall preferably be in the following focus sectors: Green Technology, Clean Energy, Industrially utilizable smart materials, Waste to wealth, Affordable healthcare, water & sewage management, any other technology or knowledge intensive area.
- Maximum support under this category is Rs 2.00 lakh or 90% of the approved project cost, whichever is lower.

**Mechanism**

- The proposals can be submitted to the nearest TePP Outreach cum Cluster Innovation Centres (TOCICs). The innovators can meet coordinators of TOCICs and take their advice before submitting the application.
- The proposals directly received at DSIR will also be forwarded to relevant TOCIC for counselling/ local screening.
- The proposals initially will be screened for completeness at the point of receipt, by the coordinators of TOCICs.
- The proposals after initial screening are evaluated by the Domain Knowledge Experts associated with the TOCIC.
- The TOCICs will send complete and evaluated proposals to DSIR for further action. The proposals will be considered in PRISM Advisory and Screening Committee (PASC) for recommendation.
- The sanction will finally be released to those that are approved by the Department. The applicant has to sign "Terms & Conditions" prior to the actual release of grants-in-aid.
- The first release is based on milestones/deliverables projected in the project proposals and as recommended by PASC. The subsequent releases are based on assessment of progress of the project by Project Review Committee (PRC).
- The approved projects will be monitored by TOCIC/ other network partners including technical expert(s). TOCIC will be periodically reporting project status to DSIR at an interval of 3 months. The PRCs consisting of external experts will meet at least once in nine months to review the progress of the project.
- The project completion report will be accepted by the Competent Authority in the DSIR based on PRC recommendation after successful closure of the project.

**Limiting conditions**

- The projects relating pure software development and those involving pure academic research are not eligible.
- The financial support is not an award or a prize or a research fellowship. The financial support is provided for the work to be undertaken.
- Students will have to provide a '*No Objection Certificate*' from the Head of their institutions, on the institutions' letter-head along with their application and the commitment from the institutions that the project will be taken to its logical conclusion by the student/concerned faculty.

- The applicant has to be a single person. When a group of students want to pursue the project, then there has to be an agreement among the group about the lead applicant. Though financial support can be given only to the single applicant by name, letter of appreciation can be given by the department to all the students of the team, after successful completion of the project.
- Elements like self salary, rent of own accommodation, creation of infrastructure facility like shed etc should not form the component of support/funds. The support/funds should be mainly for prototype development work/proof of concept.
- Travel costs are based on actual and not exceeding 5% of the approved project cost.
- Students perusing long term research projects like doctoral research projects or similar projects will not be supported; however student entrepreneurs will be eligible if they have the right to commercially exploit the technology.
- The retention schedule of documents for PRISM for RTI Act, 2005 may be as follows:
  - Rejected or not supported Application: One Year since the date of rejection
  - Approved proposals: Three years (since the closure of the project/issuance of final sanction order).
- The decision of Competent Authority in DSIR in respect of PRISM scheme will be final and binding upon the stake holders.
- In case of any dispute, the liability of PRISM is limited to the jurisdiction of Delhi High Court only.

***N.B.: The guidelines are subject to change periodically if desired so by the Competent Authority/Department.***

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**Application Form**

**Photo**

1. Title of the proposed project:

2. a. Name of the applicant :  
b. Father's name/Husband's name:  
c. Postal Address:

- Present Address:
  
- Permanent Address:

*N.B:*

1. Please provide Pin Code, Telephone numbers, mobile number and e-mail address
2. Please enclose residence certificate issued by Sub-Divisional Magistrate(SDM)/District Magistrate(DM) or a copy of ration card or any other document regarding proof of residence

d. Address of Institute/Organization:

(For Students and working Innovator, No Objection Certificate from Head of Institute /Organization is required)

e. Profession of the applicant ☐ Housewife ☐ Student ☐ Farmer  
(Please tick ✓ as applicable) ☐ Any other \_\_\_\_\_  
(specify)

f. Date of Birth: \_\_\_\_\_  
YY/MM/DD

g. Educational qualification: \_\_\_\_\_

h. Annual Income of the applicant:  
(If you are an Income Tax Payer, please provide your PAN No. and attach a copy of the latest Income Tax Returns you filed)

i. PAN No.-

j. Aadhaar No. -

3. Brief description of the idea highlighting innovative element.  
(Please use a separate sheet)

4. (a) Status of work already carried out (if any) such as
- ☐ participation in competition
  - ☐ making a model
  - ☐ provisional application for patent
  - ☐ paper presentations
  - ☐ publication
  - ☐ college project
  - ☐ Any other
- (b) Science and working principle behind the idea
- (c) Final outcome/deliverable of the project
- (d) Who would be the beneficiary of this innovation and why?  
(Please use a separate sheet)
5. Proposed costs and time frame

Sl. no.	Items	Project Cost	
		Own Share	PRISM support sought
i	R&D/Design Engg / Consultancy		
ii	Raw materials/ consumables/ spares		
iii	Fabrication /synthesis charges of working model /process		
iv	Travel (ceiling 5% of approved project cost)		
v	Patent filing cost (actual fee paid to patent office)		
vi	Any other		
	<b>Total Cost</b>		

Project period in months: \_\_\_\_\_  
(Not more than 18 months)

6. Activity details/work plan

Sr. No.	Activities	Monitor-able milestones (Basis : Refer Scope and Support )	Duration (months)

7. Have you received financial support / award for your present work from any other sources? (if so, please furnish details)

**8. Declaration:**

I declare that all the statements made in this application are true, complete and correct to the best of my knowledge and belief. In the event of any information, found false or incorrect, my candidature will stand cancelled and all my claims will be forfeited. I have not received any financial assistance for the present proposal from any other agency.

**Place:**

**Signature of the applicant**

**Date:**

**9. RECOMMENDATIONS OF THE FORWARDING TePP Outreach Cum Cluster Innovation Centre (TOCIC)**

Place:

Signature of the Head, TOCIC

Date:

### Evaluation by Domain Knowledge Experts

1. Name of the Expert :  
(e-mail ID, mobile no, contact address)

2. Name of the Innovator :

3. Title of the Proposal :

4. Assessment by the Expert :

	Expert comments
Assessment on merits of idea	
Technological capabilities of innovator to complete the project as projected	
Recommendations to PRISM PASC	

[Signature of Expert with Seal]

## **No Objection Certificate for the Student /Employee Innovators**

The student / employee innovator ----- is studying /working in our institute/organization since ..... . The institute/organization has no objection to the innovator taking up the innovation work as proposed with financial support under PRISM. The institute laboratories will be made available on chargeable basis to the innovator for executing his/her PRISM project. The Institute/Organization will be responsible for final completion of project in case the Innovator leaves the Institute/Organization without completion of the project.

.....

Competent authority

Signature with Seal

## Covering letter to the PRISM application

Date.....

To:

The TOCIC

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Sub : Proposal for Development of .....

Dear Sir/Madam

I am herewith submitting my application for support under PRISM. The following documents are enclosed.

- ☐ Signed Copy of Application
- ☐ Proof of Residence
- ☐ Innovation: .....
- ☐ Documentary Proof of Prior Work (video, photo, press coverage etc)
- ☐ Work Planned
- ☐ Profile of Potential User
- ☐ Copy of Aadhaar Card

Innovator