

CORNELL UNIVERSITY POLICY LIBRARY

Use of Cornell's Name, Logos, Trademarks, and Insignias

POLICY 4.10

Volume: 4, Governance/Legal Chapter: 10, Use of Cornell's Name, Logos, Trademarks, and

Insignias

Responsible Executive: Secretary

of the Corporation

Responsible Office: University

Communications

Originally Issued: September 2000 Last Updated: October 2, 2014

POLICY STATEMENT

Cornell University allows the use of its name, and its logos, trademarks, insignias, and other indicia (hereafter known as "marks") only with permission, as set forth in the principles and procedures of this policy.

REASON FOR POLICY

The name "Cornell University" connotes a proprietary interest in activities housed at or sponsored by the institution. For that reason, Cornell has adopted certain authorization procedures to ensure that the use of Cornell's name and marks reflects the standards and values that are vital to the university's well-being and that it seeks to uphold.

ENTITIES AFFECTED BY THIS POLICY

- All units of the university

WHO SHOULD READ THIS POLICY

- Anyone wishing to use Cornell University's name or marks
- Anyone with questions regarding the proper use of Cornell's name or marks

WEB ADDRESS FOR THIS POLICY

- This policy: www.dfa.cornell.edu/treasurer/policyoffice/policies/volumes/governance/cornellname.cfm
- University Policy Office: www.policy.cornell.edu

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RELATED RESOURCES

University Policies and Documents

University 4.3, Sales Activities on Campus

University Policy 4.11, Establishing a New University-related Subsidiary or Affiliated Corporation

University Policy 5.6, Recording and Registration of Domain Names

Bylaws of the University

Independent Organization Contract

"The University and the Political Process: A Statement of Policy for Cornell University" (Trustee Legislation adopted September 15, 1970)

Cornell University Brand Book

University Forms and Systems

Student Organization Registration and Renewal

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CONTACTS

Direct any general questions about University Policy 4.10, Use of Cornell's Name, Logos, Trademarks, and Insignias to your college or unit administrative office. If you have questions about specific issues, contact the following offices:

Subject	Contact	Telephone	E-mail/Web Address
Policy Clarification	Secretary of the Corporation	(607) 255-5124	
Advertising and Other Promotional Items	Senior Director of Public Affairs and Licensing, University Communications	(607) 255-6074	
Memorabilia	Senior Director of Public Affairs and Licensing, University Communications	(607) 255-6074	
Organization Names	Senior Director of Public Affairs and Licensing, University Communications	(607) 255-6074	
Publication Names	Senior Director of Public Affairs and Licensing, University Communications	(607) 255-6074	
Seals, University or College	Secretary of the Corporation	(607) 255-5124	
Student Organizations	Student Activities Office	(212) 255-4169	activities@cornell.edu orgsync.rso.cornell.edu
Use of Individual College Names			
-Ithaca-Based Colleges	Dean of the College	College-specific	
-Weill Cornell Medical College	Provost for Medical Affairs	(212) 746-6005	

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DEFINITIONS

These definitions apply to terms as they are used in this policy.

Committee on Uses of	A committee that:			
Cornell's Name and Marks	 Develops standards for granting permission to use Cornell's name and marks 			
	 At the request of the Senior Director of Public Affairs and Licensing or a member of the committee, reviews and makes decisions on requests for permission to use Cornell's name in the titles of publications and organizations 			
	This committee consists of the Vice President for University Relations and the University Counsel as permanent members. Other committee member positions will vary depending on the issue. For example, the Vice President for Student and Academic Services (or designee) if a student organization issue is involved; the Provost (or designee) if a faculty issue is involved; and the Provost for Medical Affairs (or designee) if a Weill Cornell Medical College issue is involved.			
Corporate Seal	The seal, as defined by university bylaws, that is used for the execution of instruments or official documents of the university other than diplomas.			
Great Seal	The seal, as defined by university bylaws, that is affixed on diplomas issued by the university.			
Marks	Any logos, trademarks, insignias, or indicia associated with Cornell University.			
Unit	A college, department, program, research center, business service center, office, or other operating unit.			
University Event	An event that is sponsored or hosted by an individual or entity on behalf of the university.			

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RESPONSIBILITIES

The major responsibilities each party has in connection with University Policy 4.10, Use of Cornell's Name, Logos, Trademarks, and Insignias, are as follows:

Committee on Uses of Cornell's Name and Marks	Develop standards for when it is appropriate to grant permission to use Cornell's name and marks.
	Grant permission, when appropriate, to individuals and groups for the use of the name "Cornell University" or "Cornell" in publication titles or organization names implying or tending to imply some official connection with the university.
Senior Director of Public	Receive requests for the use of Cornell's name and marks.
Affairs and Licensing, University Communications	Approve, as appropriate, requests for use of Cornell's name or marks on memorabilia, products, goods, services, student projects, advertising, or promotional materials.
	Approve, in accordance with standards developed by the Committee on Uses of Cornell's Name and Marks, requests for the use of Cornell's name in the names of publications and organizations.
	When appropriate, bring requests for the use of Cornell's name and marks to the Committee on Uses of Cornell's Name and Marks.
Individual (Faculty or Staff Member)	Ensure the proper use of Cornell's name and marks in the conduct of your activities.
	Allow your official university title or the name of the university to be used only in ways that do not state or imply Cornell endorsement of a non-university project or product.
	State clearly, in reports and papers under consulting agreements or personal service contracts, that opinions expressed by Cornell community members are those of the individuals and not official positions of the university.
	Use the great seal and the corporate seal only in ways that are in compliance with the bylaws of the university.
Student Activities Office	Manage the procedure for registration of student organizations, including conditions placed upon the use of Cornell's name.
Unit Head	Approve the use of Cornell's name and marks in the ordinary course of university business.
University Counsel/Secretary of the Corporation	Co-chair the Committee on Uses of Cornell's Name and Marks.
	Consult, upon request, regarding the establishment or use of university or college seals.
Vice President for University Relations	Co-chair the Committee on Uses of Cornell's Name and Marks.

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PRINCIPLES

Overview

In order to uphold the tradition of excellence and the commitment to educational values that are fundamental to Cornell University, it has adopted the following principles and procedures regarding the use of Cornell's name and marks.

Use of Cornell's name and marks is prohibited, unless specifically authorized consistent with this policy.

The table below summarizes the approval process applicable to specific uses of Cornell's name and marks.

Use	When Allowed	Authorizing Entity
Advertising and Promotional Uses	When authorized in writing	Senior Director of Public Affairs and Licensing, University Communications
		(See the "Purposes that May Require University Review and/or Approval" segment of this policy)
Names of Publications	When authorized in writing, with such restrictions and explanations as imposed	Senior Director of Public Affairs and Licensing, University Communications
		When appropriate, Committee on Uses of Cornell's Name and Marks
		(See the "Purposes that May Require University Review and/or Approval" segment of this policy)
Names of Organizations	When authorized in writing, with such restrictions as imposed	Senior Director of Public Affairs and Licensing, University Communications
		When appropriate, Committee on Uses of Cornell's Name and Marks
		(See the "Purposes that May Require University Review and/or Approval" segment of this policy)
Routine Uses for	When business needs dictate	Unit Head
University Business		(See the "Routine Course of University Business" segment of this policy)
Names of University Events	When business needs dictate	Unit Head
		(See the "Routine Course of University Business" segment of this policy)
Identification	When used as a factual reference, in a manner that does not imply university endorsement.	Individual (See the "Identification" segment of this policy)

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Approval Process

The following paragraphs provide procedures for securing approval for specific uses of Cornell's name and marks.

Identification

Faculty and staff members may use Cornell's name as a factual reference to identify themselves in a manner that does not imply university endorsement. For example, using "John Smith, Professor of Psychology, Cornell University" on a book jacket or journal article or on a business card. However, the use of Cornell letterhead, address, or other identification to suggest or imply university support for a personal viewpoint should be avoided.

Ordinary Course of University Business

Responsibility for use of Cornell's name and marks in the ordinary course of university business rests with the unit head. Questions regarding such use should be directed to the unit head. Examples of such use:

- Official unit names. For example, "Cornell Institute for Public Affairs."
- Official event names. For example, "Cornell Conference on Law," or "Cornell Nutrition Conference," when approved by the appropriate dean or unit head and operated as a university event.
- ◆ Note: The use of Cornell's name and marks for the above purposes may be subject to additional rules. For example, stylistic, typographical, and other visual standards are given on Cornell's Brand Book at brand.cornell.edu.
- ◆ Note: The use of Cornell's name and marks in the ordinary course of university business may involve extended duration licensing and branding, or promotional items or services for sale or distribution. Guidance on these topics should be sought from the Senior Director of Public Affairs and Licensing, University Communications.

Purposes that May Require University Review and/or Approval

The following uses of Cornell's name and marks require university review and approval. For these uses, contact the Senior Director of Public Affairs and Licensing, University Communications.

◆ **Note**: Those with responsibilities under this policy should consult with University Counsel if they have questions related to agreements or other commitments authorizing use of the Cornell's name or marks.

Memorabilia, Products, and Goods

In order to protect its name and reputation, Cornell participates in a licensing program that regulates the use of Cornell's name and marks on memorabilia, products, and goods (e.g., Cornell tee shirts, caps, key chains, mugs, pens, etc.). This

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PROCEDURES, continued

process helps prevent bootlegging and ensures that Cornell's name and marks are used only on those products approved by the university. All such uses of Cornell's name and marks (including by fraternities, sororities, student organizations, etc.) must be approved in writing prior to production or manufacture. These procedures also apply to student projects, including those that are for class credit as part of defined academic projects. Requests for the above uses should be submitted to the Senior Director of Public Affairs and Licensing, University Communications.

Advertising or Promotional Material

Except as specifically authorized in writing, use of Cornell's name and marks in advertising and other promotional vehicles is prohibited when such use is likely to be perceived as an endorsement, even if such an endorsement is not the intention of the person or organization seeking to use Cornell's name or marks. Requests for the above uses should be submitted to the Senior Director of Public Affairs and Licensing, University Communications. An example is the use of the Cornell name as part of the name of any outside business or other activity, such as "eCornell."

Names of Publications

Except for those uses included in the "Ordinary Course of University Business" segment of this policy, the use of the name "Cornell University" or "Cornell" in publication titles implying or tending to imply some official connection with the university, e.g., in the title of a book, such as "The Cornell Book of...," is prohibited except with the written permission of the university and under such restrictions and explanations as it may impose.

Upon the affirmative recommendations of the appropriate unit head, direct requests for consideration to the Senior Director of Public Affairs and Licensing, University Communications. When appropriate, this individual will bring the requests to the Committee on Uses of Cornell's Name and Marks. Decisions of the committee are final.

Independent publications that have received permission to use the name "Cornell" in their titles must print the following prominent disclaimer on the front page of the publication in type and size clearly legible to the reader:

"An independent [student] publication."

They are also required to include the following statement within the publication masthead in type and size clearly legible to the reader:

"[NAME OF INDEPENDENT OR STUDENT ENTITY], an independent [student] organization located at Cornell University, produced, and is responsible for the content of, this publication. This publication was not reviewed or approved by, nor does it necessarily express or reflect the policies or opinions of, Cornell University or its designated representatives."

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PROCEDURES, continued

Names of Student Organizations

Student Organizations must follow the registration process managed by the Student Activities Office. For more information, or to register your student organization, go to orgsync.rso.cornell.edu/Registration home

Organizations that have received permission to use the name "Cornell" in their names must use the university name in a locational sense when entering into official and/or legally binding documents. For example, "Cornell Rugby Football Club" should be "Rugby Football Club at Cornell."

Names of Other Organizations

Except those uses included in the "Ordinary Course of University Business" segment of this policy, the use of the name "Cornell University" or "Cornell," in non-student organization names implying or tending to imply some official connection with the university, is prohibited except with the written permission of the university and under such restrictions as it may impose. Direct requests for consideration to the Senior Director of Public Affairs and Licensing, University Communications. When appropriate, this individual will bring the requests to the Committee on Uses of Cornell's Name and Marks. Decisions of the committee are final.

Individual Responsibility

All faculty, staff members, students, and representatives of alumni organizations are responsible for ensuring proper use of Cornell's name and marks in the conduct of their individual activities. Faculty, staff members, and others associated with Cornell must not allow their official university titles or the name of the university to be used in ways that state or imply Cornell endorsement in connection with project, activity, or product promotions. It should also be understood clearly, and must be stated clearly, that opinions expressed by a faculty or staff member in preparing a report or paper under a consulting agreement or personal service contract are the opinions of the individual and not official positions of Cornell. When engaged in political or other public statements or correspondence, any member of the faculty or staff who so identifies himself/herself, should clearly state that he/she is speaking individually and not on behalf of the university.

University/College Seals

Use of the great seal and the corporate seal of Cornell University will be reserved to those official uses specifically set forth in the bylaws of the university and will in no circumstance be used for commercial purposes as described herein. Questions regarding the establishment or use of university or college seals should be directed to the Secretary of the Corporation.

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PROCEDURES, continued

Committee on Uses of Cornell's Name and Marks

The Committee on Uses of Cornell's Name and Marks is co-chaired by the Vice President for University Relations and the Secretary of the Corporation, and meets as necessary to implement this policy. This committee consists of the co-chairs as permanent members. Other committee member positions will vary depending on the issue, e.g., the Vice President for Student and Academic Services (or designee) if a student organization issue is involved; the Provost (or designee) if a faculty issue is involved; and the Provost for Medical Affairs (or designee) if a Weill Cornell Medical College issue is involved. The committee is responsible for (1) developing standards for when it is appropriate to grant permission to use Cornell's name and marks, and (2) granting permission, when appropriate, to individuals and groups for the use of the name "Cornell University" or "Cornell" in publication titles or organization names.

Violations of this Policy

Any person or organization found to be in violation of this policy will be subject to disciplinary action. In the case of a student or student organization, suspected violations will be referred to the Judicial Administrator and/or the Office of the Dean of Students, as appropriate. In the case of an employee or employee organization, suspected violations will be referred to an appropriate supervisor or human resource official. In the case of a faculty member or faculty organization, suspected violations will be referred to the dean of the college or school, Dean of the Faculty, or Provost, as appropriate.

Violations by external agencies should be reported to the Secretary of the Corporation.