# 本科生《计算机视觉》 基于深度学习的视觉理解与生成

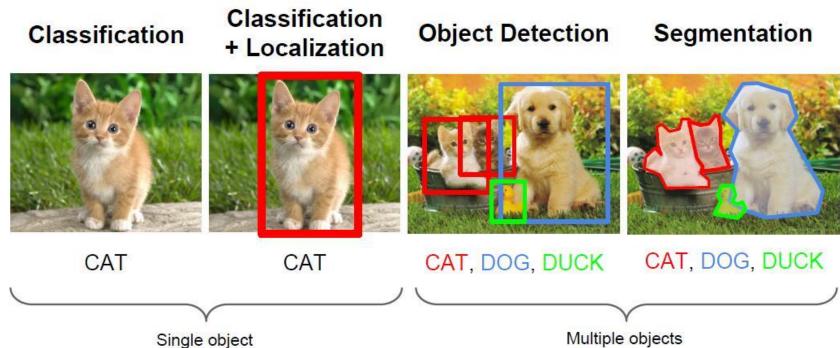
黄雷

人工智能研究院

huangleiAl@buaa.edu.cn

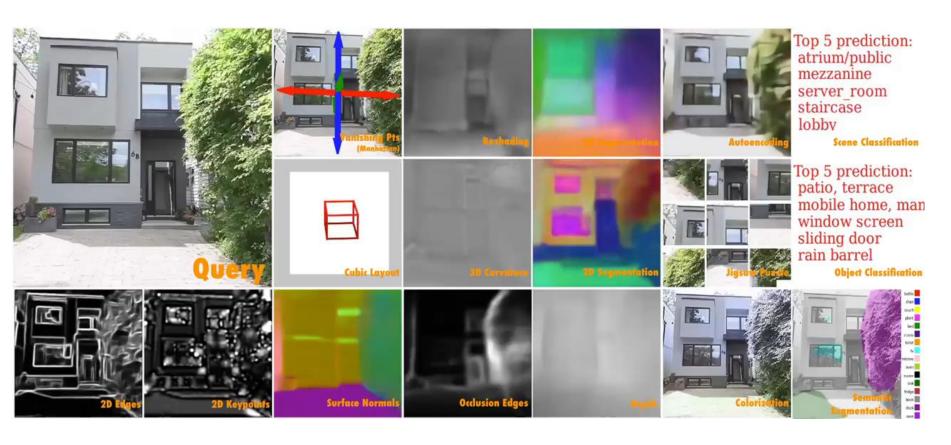
2023年10月10日

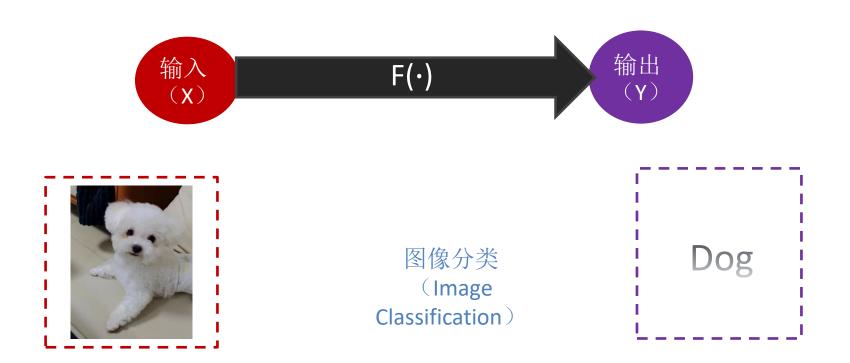
- 数据集
- 判别任务
  - 分类
  - 目标检测
  - 分割

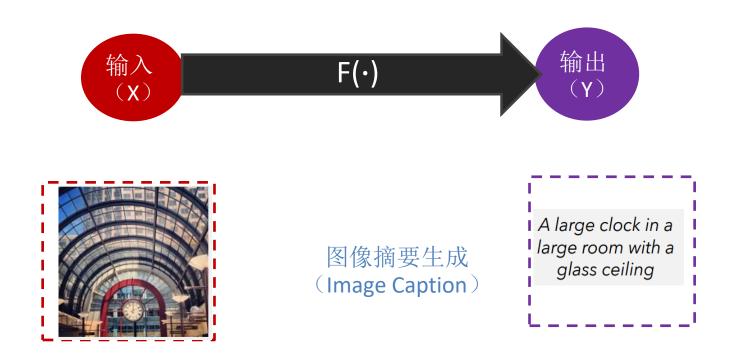




• 多任务学习(大模型-统一模型)



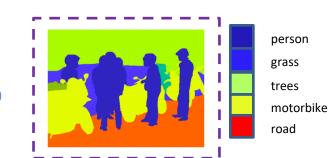








图像分割 (Image Segmentation)

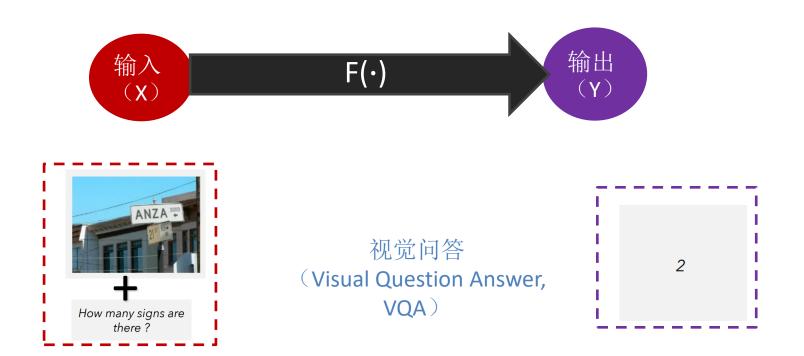




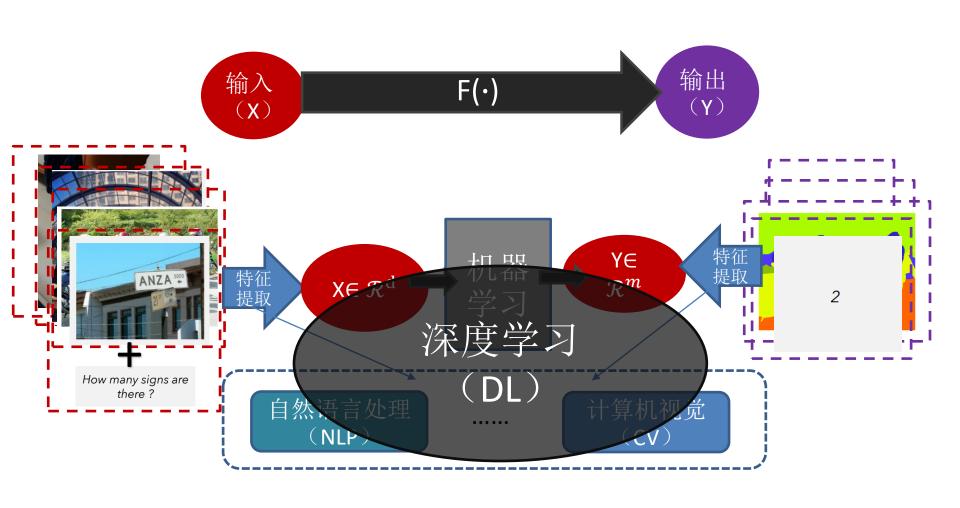
the train is on the tracks in the station

图像生成 (Image Synthesis)





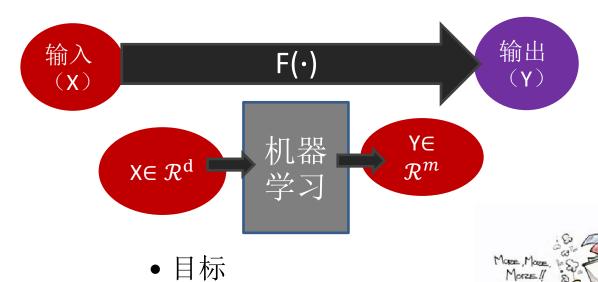
# 深度学习:端到端统一



## 主要内容

- 深度学习基础
  - 神经网络及反向传播算法
  - 卷积神经网络中的视觉表示思想
- 视觉理解任务
  - 目标检测
    - R-CNN系列; DERT系列; Pix2Seq系列
  - **-** 分割
    - 语义分割,实例分割,全景分割,Prompt-based分割
- 视觉生成
  - 深度生成模型
    - VAE, AR, GAN, Diffusion
  - 图像翻译
- 深度神经网络训练技巧

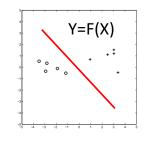
### 数据驱动的学习

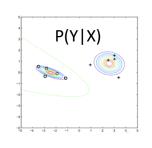


• 目标

• 拟合: 记住训练数据

• 泛化: 推广未见数据

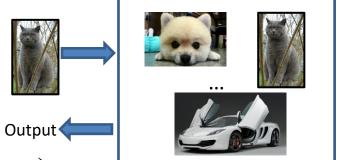




### Non-parametric vs parametric model

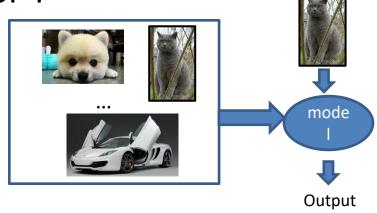
●非参模型(Non-parametric model)

• Y=F(X;  $x_1, x_2...x_n$ )



- ●参数化模型(Parametric model)
  - Y=F(X; θ)
  - Training for  $\theta$ ; Inference for Y

•记忆 v.s. 泛化



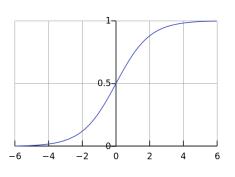
### Neural network

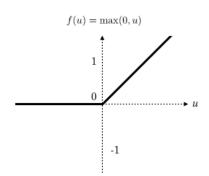
Neural network

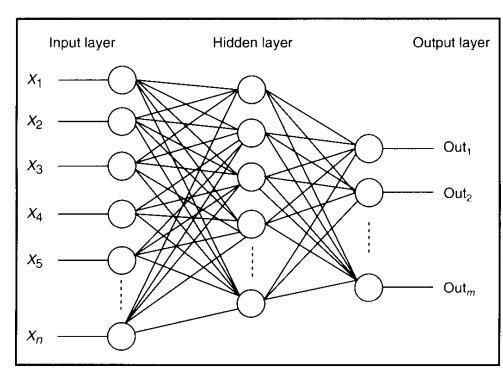
$$-Y=F(X)=f_T(f_{T-1}(...f_1(X)))$$

$$-f_i(x) = g(Wx + b)$$

- Nonlinear activation
  - sigmod
  - Relu

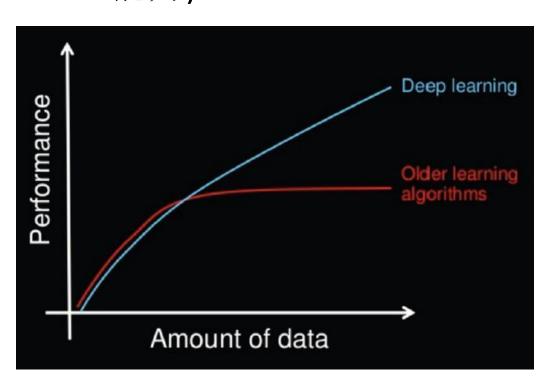






### Deep neural network

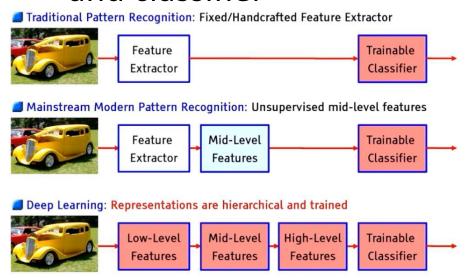
- Why deep?
  - Powerful representation capacity(函数表 达能力)





### Key properties of Deep learning

- End to End learning (端到端学习)
  - no distinction between feature extractor and classifier



- "Deep" architectures:
  - cascade of simpler non-linear modules

### outline

- Linear classifier (简单线性分类器)
  - One neuron (一个神经元)
  - Multiple neurons (多个神经元)
- Multi-layer perceptron (多层感知机)
  - Model representation (模型表示)
  - Loss function: the goal for learning
  - Training
    - Gradient based optimization
    - Back-propagation

#### One example(一个贯穿全文的例子)

- Classification tasks
  - Binary classification(二分类): is cat?
  - Multiple classification (多分类): is cat, dog, others?
- Assumption
  - The feature vectors of the images are provided, 3-dimensinal vectors



$$(x_1, x_2, x_3)^T$$

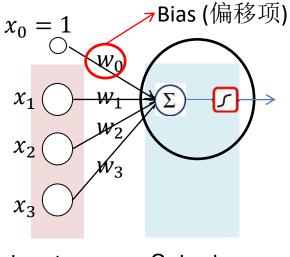
### outline

- Linear classifier (简单线性分类器)
  - One neuron (一个神经元)
  - Multiple neurons (多个神经元)
- Multi-layer perceptron (多层感知机)
  - Model representation (模型表示)
  - Loss function: the goal for learning
  - Training
    - Gradient based optimization
    - Back-propagation

#### Linear Classifier (线性分类器)

#### One neuron

- Binary classification (二分类问题) is cat?



Input:x

Output: y

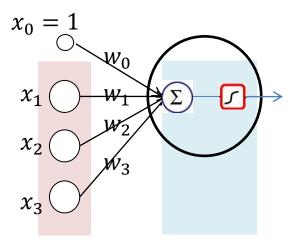
$$a = \sum_{i=0}^{3} w_i x_i = \mathbf{w} \cdot \mathbf{x}$$
$$y = \sigma(a) = \frac{1}{1 + e^{-a}}$$



$$(x_1, x_2, x_3)^T$$

#### **Linear Classifier**

#### One example



Input:x

Output: y

Model: **w**=  $(w_0, w_1, w_2, w_3)^T$  =  $(2, 0, 0, 4)^T$ 



$$(x_1, x_2, x_3)^T = (2,2,3)^T$$

a =2\*1+0\*2+0\*2+4\*3=14  
y = 
$$\varphi(a) = \frac{1}{1 + e^{-14}} > 0.5$$



$$(x_1, x_2, x_3)^T = (1,2,-3)^T$$

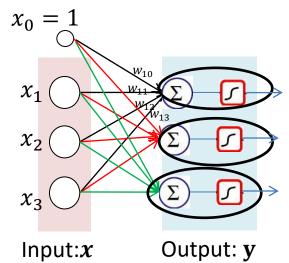
a =2\*1+0\*1+0\*2+4\*(-3)=-10  
y = 
$$\varphi(a) = \frac{1}{1 + e^{10}} < 0.5$$

### outline

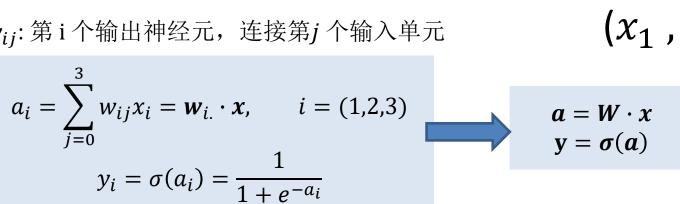
- Linear classifier (简单线性分类器)
  - One neuron (一个神经元)
  - Multiple neurons (多个神经元)
- Multi-layer perceptron (多层感知机)
  - Model representation (模型表示)
  - Loss function: the goal for learning
  - Training
    - Gradient based optimization
    - Back-propagation

#### Linear Classifier (线性分类器)

- Multiple neurons
  - Multiple classification: is cat? dog? others?



 $w_{ij}$ : 第 i 个输出神经元,连接第j 个输入单元

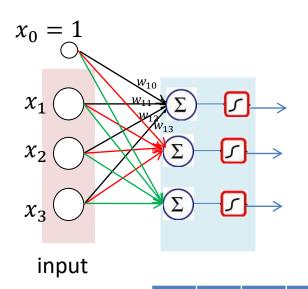




$$(x_1, x_2, x_3)$$

#### Linear Classifier (线性分类器)

#### One example





$$(x_1, x_2, x_3)^T = (2,2,3)^T$$

$$=(14,-13,-1)$$

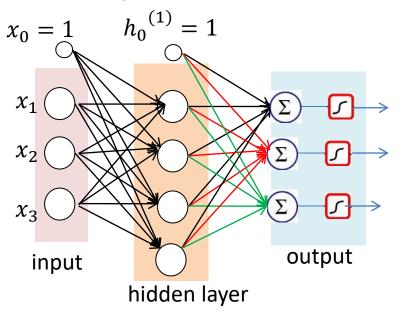
$$\mathbf{y} = (\frac{1}{1+e^{-14}}, \frac{1}{1+e^{13}}, \frac{1}{1+e^1})^T$$

### outline

- Linear classifier (简单线性分类器)
  - One neuron (一个神经元)
  - Multiple neurons (多个神经元)
- Multi-layer perceptron (多层感知机)
  - Model representation (模型表示)
  - Loss function: the goal for learning
  - Training
    - Gradient based optimization
    - Back-propagation

### Multi-layer perceptron (多层感知机)

Multi-layer perceptron or feed-forward neural network



 $x_i$ : 第i 个输入节点

 $h_i^{(k)}$ : 第 k 层隐藏层的第i个节点

 $w_{ii}^{(k)}$ :第 k 层隐藏层,第 i 个输出神经元, 连接第1个输入神经元

 $y_i$ : 第i 个输出节点

Pre-activation 
$$\longrightarrow a^{(1)} = W^{(1)} \cdot x$$
  
activation  $\longrightarrow h^{(1)} = \sigma(a^{(1)})$ 

$$a^{(2)} = W^{(2)} \cdot h^{(1)}$$
$$y = \sigma(a^{(2)})$$



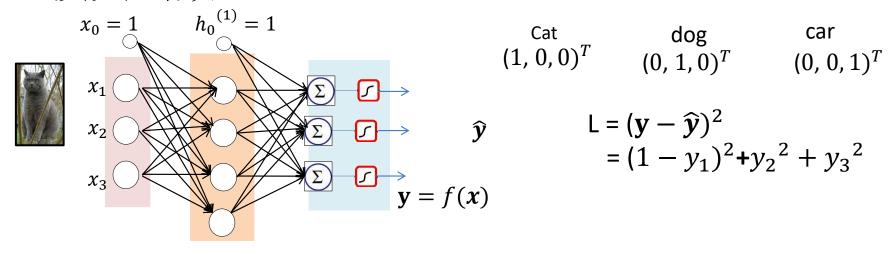
$$a^{(i)} = W^{(i)} \cdot h^{(i-1)}$$
 $h^{(i)} = \sigma(a^{(i)})$ 
 $(h^{(0)} = x, h^{(L)} = y)$ 

### outline

- Linear classifier (简单线性分类器)
  - One neuron (一个神经元)
  - Multiple neurons (多个神经元)
- Multi-layer perceptron (多层感知机)
  - Model representation (模型表示)
  - Loss function: the goal for learning
  - Training
    - Gradient based optimization
    - Back-propagation

### Target of learning: Loss function

损失函数(Loss function)



均方误差(Mean Squared Error):  $L=(y-\hat{y})^2$ , 其中 y=f(x)

• 优化目标函数:  $\min L=(y-\hat{y})^2$ 

# 目标函数

• 求解目标函数

$$\min_{\mathbf{W}} E_{(X,\hat{Y}) \sim D} \ \mathrm{L}(\mathrm{F}(\mathrm{X}; \mathbf{W}), \hat{Y}))$$



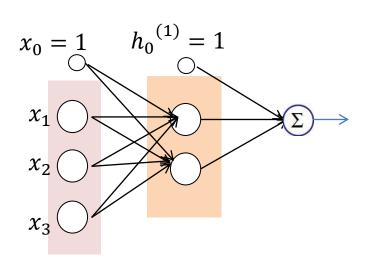
## 目标函数

• 求解目标函数

$$\min_{\mathbf{W}} E_{(X,\hat{Y}) \sim D} \ \mathrm{L}(\mathrm{F}(\mathrm{X}; \mathbf{W}), \hat{Y}))$$

• 方案一: 令  $\frac{\partial L}{\partial W} = 0$ ,求解方程组





$$L = \left(\frac{w_{21}^{(2)}}{1 + e^{-(x_1 w_{11}^{(1)} + x_2 w_{12}^{(1)} + x_3 w_{13}^{(1)} + w_{10}^{(1)})}} + \frac{w_{22}^{(2)}}{1 + e^{-(x_1 w_{11}^{(1)} + x_2 w_{12}^{(1)} + x_3 w_{13}^{(1)} + w_{10}^{(1)})}} + w_{20}^{(2)} - \hat{y}\right)^2$$

### outline

- Linear classifier (简单线性分类器)
  - One neuron (一个神经元)
  - Multiple neurons (多个神经元)
- Multi-layer perceptron (多层感知机)
  - Model representation (模型表示)
  - Loss function: the goal for learning
  - Training
    - Gradient based optimization
    - Back-propagation

# 基于迭代的训练方式

200

150

100

10

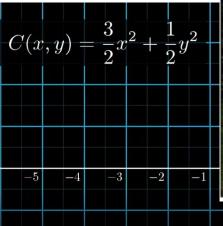
20 0

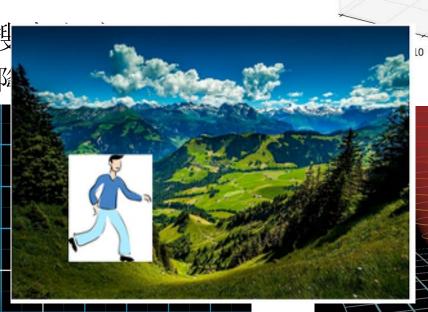
 $\min_{\mathbf{W}} E_{(X,\widehat{Y}) \sim D} \ \mathrm{L}(\mathrm{F}(\mathrm{X}; \mathbf{W}), \widehat{Y}))$ 

- 局部下降搜索
  - -基于目前的参数 $W^t$ ,给其多个扰动 $\Delta W$ ,确保存在某个 $\Delta W$ ,使得 $L(W^t + \Delta W) < L(W^t)$ ,
  - 更新  $W^{t+1} = W^t + \Delta W$

• 更高效的下降搜

-基于梯度的下降

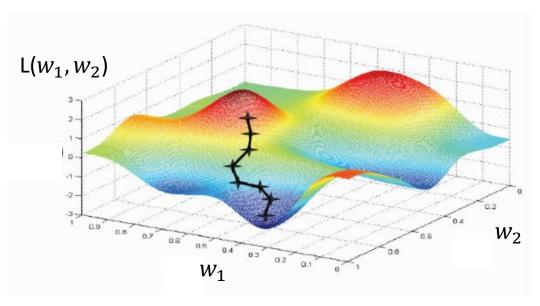




# 梯度下降算法

- **▶** 0.初始化权重 **W**<sup>(0)</sup>
- ▶ 1. 前向过程:
  - $\triangleright$  1.1根据输入,计算输出值 y
  - ▶ 1.2.计算损失函数值L(y,ŷ)。
- $\triangleright$  2.计算梯度 $\frac{dL}{dW}$
- ▶ 3.更新梯度

$$\boldsymbol{W}^{(t+1)} = \boldsymbol{W}^{(t)} - \eta \frac{d L}{d \boldsymbol{W}^{(t)}}$$



gradient: 
$$\left(\frac{dL(w_1,w_2)}{w_1}, \frac{dL(w_1,w_2)}{w_2}\right)$$

### outline

- Linear classifier (简单线性分类器)
  - One neuron (一个神经元)
  - Multiple neurons (多个神经元)
- Multi-layer perceptron (多层感知机)
  - Model representation (模型表示)
  - Loss function: the goal for learning
  - Training
    - Gradient based optimization
    - Back-propagation

# 计算梯度: 反向传播

- 求导基础知识回顾
  - >实值函数对一维实值变量的导数:

$$rac{df(x)}{dx} = \lim_{h o 0} rac{f(x+h) - f(x)}{h}$$

>实值函数对多维向量变量的梯度为向量(偏导数):

$$\frac{\partial f(\boldsymbol{\theta})}{\partial \boldsymbol{\theta}} = (\frac{\partial f(\theta_0, \theta_1)}{\partial \theta_0}, \frac{\partial f(\theta_0, \theta_1)}{\partial \theta_1}), \quad \boldsymbol{\theta} = (\theta_0, \theta_1)$$

## 计算梯度: 反向传播

• 神经网络中的基本操作

加法: 
$$f(x,y)=x+y$$
  $\frac{\partial f}{\partial x}=1$   $\frac{\partial f}{\partial y}=1$  乘法:  $f(x,y)=xy$   $\frac{\partial f}{\partial x}=y$   $\frac{\partial f}{\partial y}=x$ 

非线性变换: 
$$\sigma(x) = \frac{1}{1+e^{-x}}$$

$$\frac{d\sigma(x)}{dx} = \frac{e^{-x}}{(1+e^{-x})^2} = \left(\frac{1+e^{-x}-1}{1+e^{-x}}\right) \left(\frac{1}{1+e^{-x}}\right) = (1-\sigma(x))\sigma(x)$$

# 反向传播(Back-Propagation)

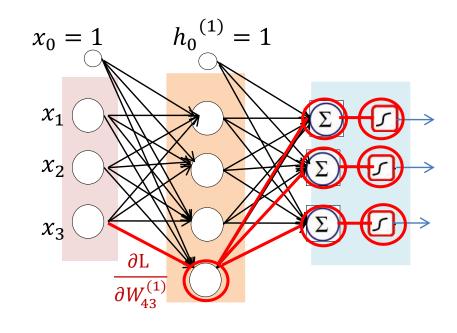
• 链式法则(Chain rule)

$$f = q(x)$$
  $\frac{\partial f}{\partial x} = \frac{\partial f}{\partial q} \frac{\partial q}{\partial x}$ 

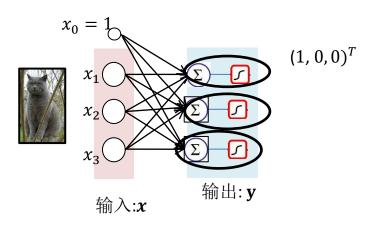
复合表达式: f(x,y,z) = (x+y)z

$$q=x+y$$
  $\frac{\partial q}{\partial x}=1$   $\frac{\partial q}{\partial y}=1$ 

$$f = qz$$
  $\frac{\partial f}{\partial q} = z$   $\frac{\partial f}{\partial z} = q$ 



#### • 一层神经网络(线性模型)



▶1.给定输入,计算输出值:

$$a_i = \sum_{j=0}^{3} w_{ij} x_i = \mathbf{w}_{i.} \cdot \mathbf{x},$$

$$i = (1,2,3)$$

$$y_i = \sigma(a_i) = \frac{1}{1 + e^{-a_i}}$$

MSE Loss: L= $(\mathbf{y} - \hat{\mathbf{y}})^2 = (1 - y_1)^2 + y_2^2 + y_3^2$ 

 $\triangleright$  2.根据链规则,计算梯度 $\frac{\partial L}{\partial w}$ :

$$\frac{\partial L}{\partial y_{1}} = 2(y_{1}-1)$$

$$\frac{\partial L}{\partial y_{i}} = 2y_{i}, (i=2,3)$$

$$\frac{\partial L}{\partial a_{i}} = \frac{\partial L}{\partial y_{i}} \frac{\partial y_{i}}{\partial a_{i}} = \frac{\partial L}{\partial y_{i}} \sigma(a_{i})(1-\sigma(a_{i}))$$

$$\frac{\partial L}{\partial w_{ij}} = \frac{\partial L}{\partial a_{i}} \frac{\partial a_{i}}{\partial w_{ij}} = \frac{\partial L}{\partial a_{i}} x_{ij}$$

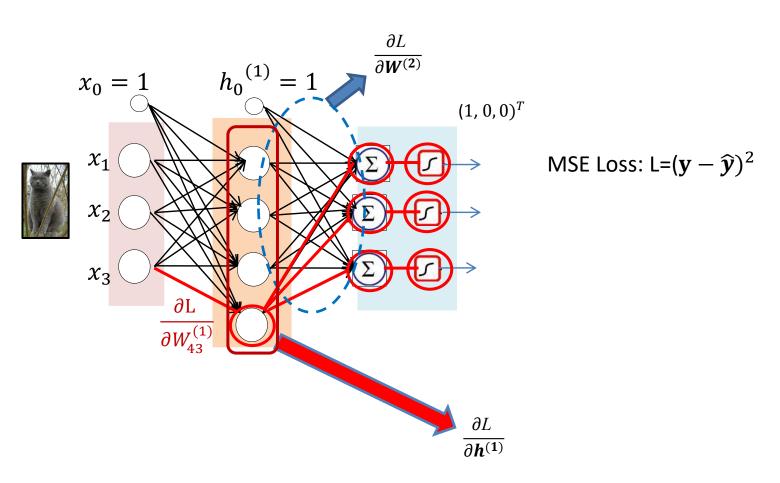
$$= \frac{\partial L}{\partial y_{i}} \sigma(a_{i})(1-\sigma(a_{i}))$$

$$\frac{\partial L}{\partial \mathbf{y}} = 2(\mathbf{y} - \widehat{\mathbf{y}})$$

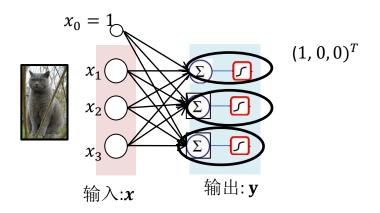
$$\frac{\partial L}{\partial \mathbf{a}} = 2[(\mathbf{y} - \widehat{\mathbf{y}}) \cdot \sigma(\mathbf{a}) \cdot (1 - \sigma(\mathbf{a}))]^{T}$$

$$\frac{\partial L}{\partial \mathbf{w}} = 2[(\mathbf{y} - \widehat{\mathbf{y}}) \cdot \sigma(\mathbf{a}) \cdot (1 - \sigma(\mathbf{a}))] x^{T}$$

• 两层的网络



#### • 一层神经网络(线性模型)



▶1.给定输入,计算输出值:

$$a_i = \sum_{j=0}^{3} w_{ij} x_i = \mathbf{w}_{i.} \cdot \mathbf{x}, \qquad i = (1,2,3)$$

$$y_i = \sigma(a_i) = \frac{1}{1 + e^{-a_i}}$$

MSE Loss: L= $(\mathbf{y} - \hat{\mathbf{y}})^2 = (1 - y_1)^2 + y_2^2 + y_3^2$ 

▶ 2.根据链规则,计算梯度 $\frac{\partial L}{\partial x}$ :

$$\frac{\partial L}{\partial y_1} = 2(1 - y_1)$$

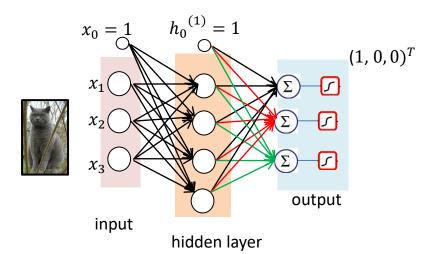
$$\frac{\partial L}{\partial y_i} = 2y_i, (i=2,3)$$

$$\frac{\partial L}{\partial a_i} = \frac{\partial L}{\partial y_i} \frac{\partial y_i}{\partial a_i} = \frac{\partial L}{\partial y_i} \sigma(a_i) (1 - \sigma(a_i))$$

$$\frac{\partial L}{\partial x_i} = \frac{\partial L}{\partial a_i} \frac{\partial a_i}{\partial x_i} = \frac{\partial L}{\partial a_i} \sum_{j=0}^{3} w_{ij}$$

$$\frac{\partial L}{\partial x} = \frac{\partial L}{\partial a} \mathbf{W}$$

#### • 两层的网络



▶1给定输入, 计算输出值:

$$a^{(1)} = W^{(1)} \cdot x$$

$$h^{(1)} = \sigma(a^{(1)})$$

$$a^{(2)} = W^{(2)} \cdot h^{(1)}$$

$$y = \sigma(a^{(2)})$$

 $\triangleright$  MSE Loss: L= $(y - \hat{y})^2$ 

 $\triangleright$  2根据链规则,计算梯度 $\frac{\partial L}{\partial a^{(i)}}$ ,  $\frac{\partial L}{\partial x}$ :

$$\frac{\partial L}{\partial \mathbf{y}} = 2(\mathbf{y} - \widehat{\mathbf{y}})$$

$$\frac{\partial L}{\partial a^{(2)}} = \frac{\partial L}{\partial \mathbf{y}} \cdot \sigma(\mathbf{a}^{(2)}) \cdot (1 - \sigma(\mathbf{a}^{(2)}))$$

$$\frac{\partial L}{\partial h^{(1)}} = \frac{\partial L}{\partial a^{(2)}} \mathbf{W}^{(2)}$$

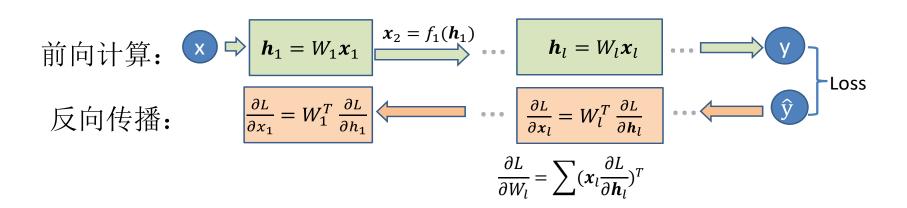
$$\frac{\partial L}{\partial a^{(1)}} = \frac{\partial L}{\partial h^{(1)}} \cdot \sigma(\mathbf{a}^{(1)}) \cdot (1 - \sigma(\mathbf{a}^{(1)}))$$

$$\frac{\partial L}{\partial x} = \frac{\partial L}{\partial a^{(1)}} \mathbf{W}^{(1)}$$

 $\triangleright$  3.根据链规则,计算梯度 $\frac{\partial L}{\partial W^{(i)}}$ :

$$\frac{\partial L}{\partial \mathbf{W}^{(2)}} = \frac{\partial L}{\partial \mathbf{a}^{(2)}} \mathbf{h}^{(1)}$$
$$\frac{\partial L}{\partial \mathbf{W}^{(1)}} = \frac{\partial L}{\partial \mathbf{a}^{(1)}} \mathbf{x}$$

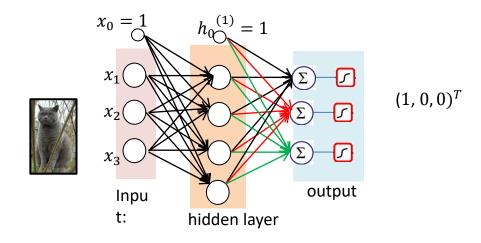
- 利用链式法则计算梯度(数学基础)
- 利用了动态规划的思想(算法设计)



### 前馈神经网络梯度下降训练算法

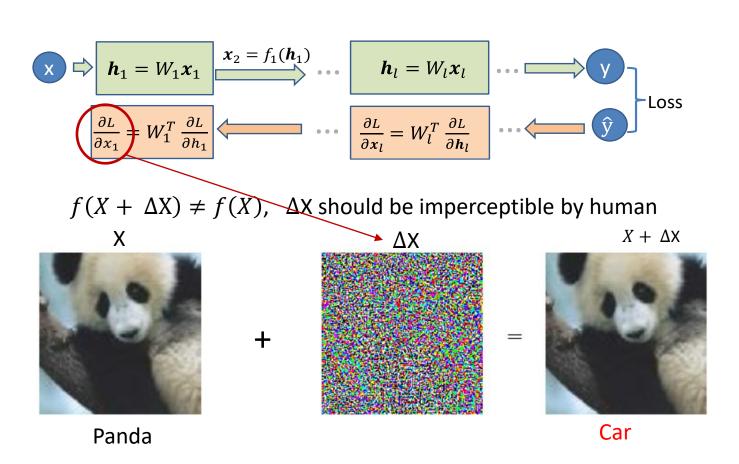
- $\triangleright$ 0.初始化权重 $W_0$
- ▶1. 前向过程:
  - $\triangleright$ 1.1根据输入,计算输出值 y
  - $\triangleright$ 1.2.计算损失函数值 $L(y, \hat{y})$ 。
- ▶2.反向传播
  - ▶计算 $\frac{\partial L}{\partial y}$
  - ▶后向传播直到计算 $\frac{\partial L}{\partial x}$
- ▶3.计算梯度 $\frac{\partial L}{\partial W}$
- ▶4.更新梯度

$$\boldsymbol{W}_{t+1} = \boldsymbol{W}_t - \eta \frac{\partial L}{\partial \boldsymbol{W}_t}$$



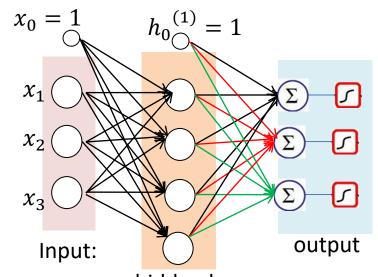
### 操纵输入空间

• 例如:对抗样例 (Adversarial example )



### Some analyses

- Feature extraction
  - Pixel-wise input
  - High dimension
  - Correlation between features



 $(1, 0, 0)^T$ 



 $(x_1, x_2, x_3)$ 



Convolutional Neural Network(CNN),卷积神 经网络

