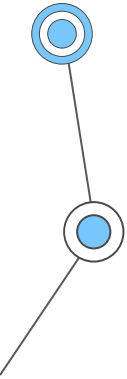
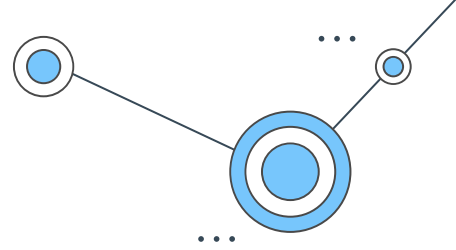


# CZ2001 Lab... Project 1- Searching Algorithms

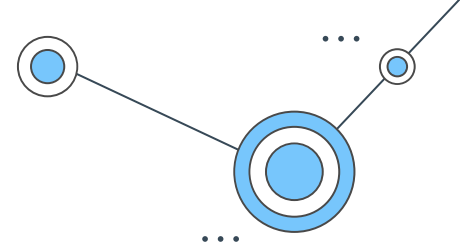
By Chen Fei, Tiviatis,  
Li Rong & Shu Wen  
Group 6

# Objectives

- To propose algorithms that solve **string searching problems on genome sequences**
- The algorithms should return **positions** of occurrences of a query sequence in the source sequence and the **number of occurrences**



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## Brute Force Algorithm

- Design and Execution
- Complexity Analysis

## BMH Algorithm

- Design and Execution
- Complexity Analysis

## KMP Algorithm (modified)

- Design and Execution
- Complexity Analysis

## Comparison & Conclusion






# 01

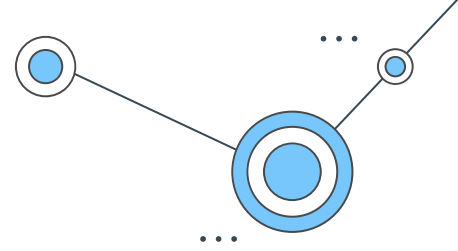
# Brute Force

Naive String Searching Algorithm



# Concept

- Walk through the source sequence from the beginning till the end
- Check **at each position** if the resulting substring equals the query sequence



A	C	C	G	T	A	T
---	---	---	---	---	---	---

 Source

C	G	T
---	---	---

 Pattern

# Concept

- Walk through the source sequence from the beginning till the end
- Check **at each position** if the resulting substring equals the query sequence

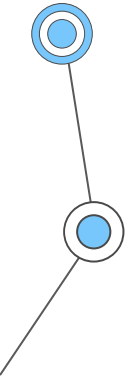
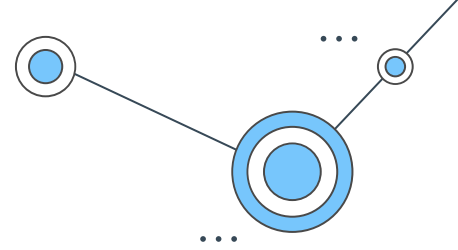


A	C	C	G	T	A	T
---	---	---	---	---	---	---

Source

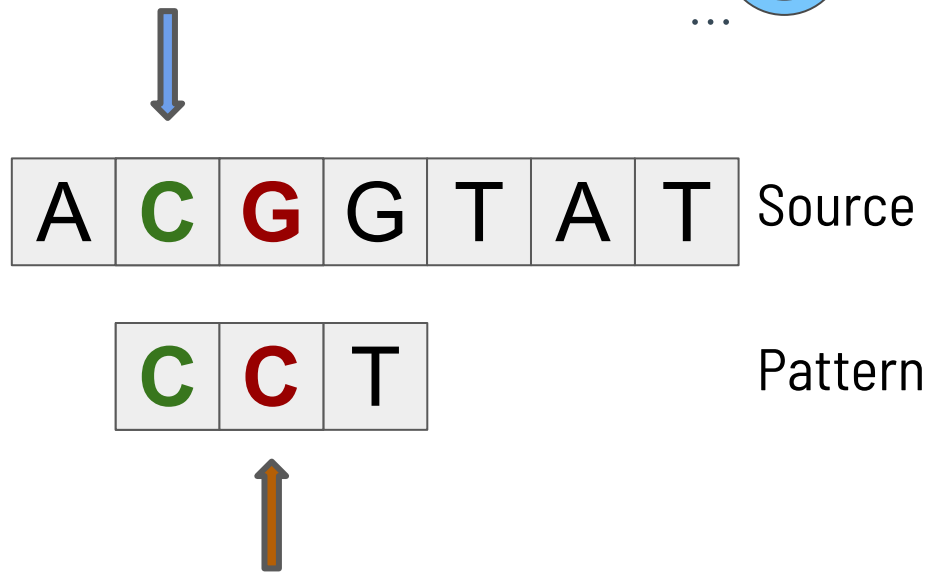
C	G	T
---	---	---

Pattern



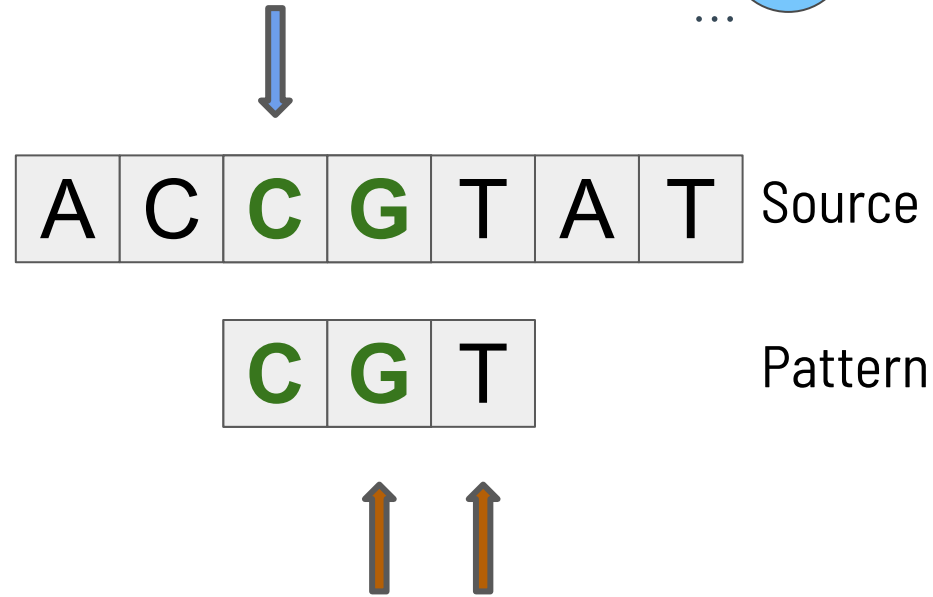
# Concept

- Walk through the source sequence from the beginning till the end
- Check **at each position** if the resulting substring equals the query sequence



# Concept

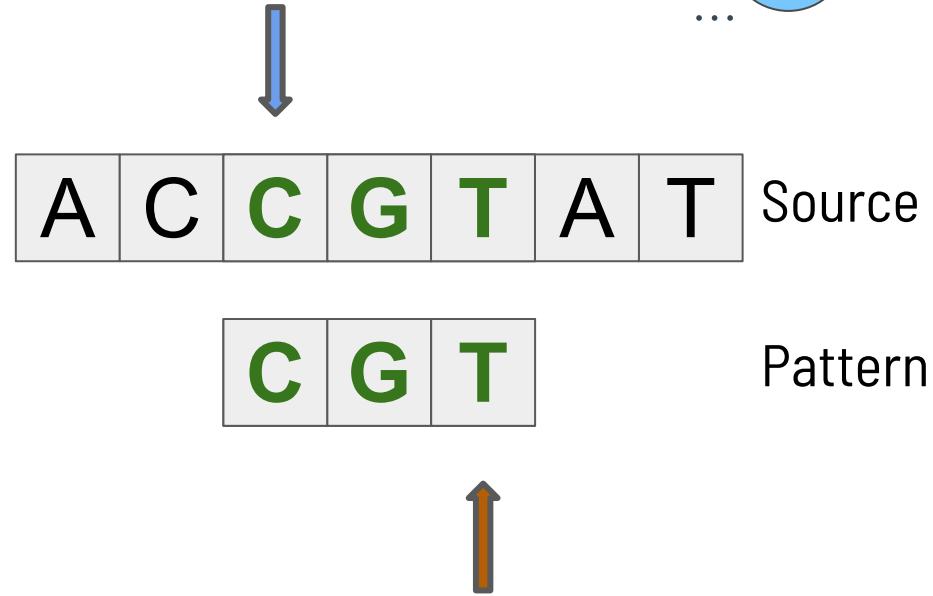
- Walk through the source sequence from the beginning till the end
- Check **at each position** if the resulting substring equals the query sequence





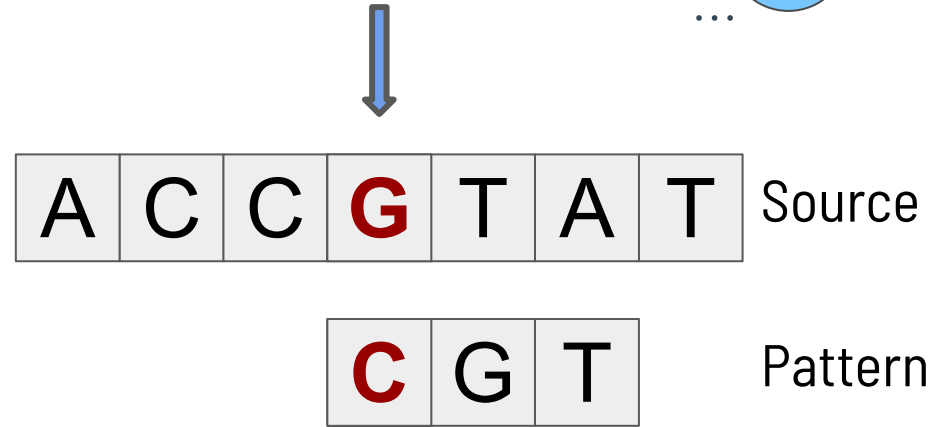
# Concept

- Walk through the source sequence from the beginning till the end
- Check **at each position** if the resulting substring equals the query sequence



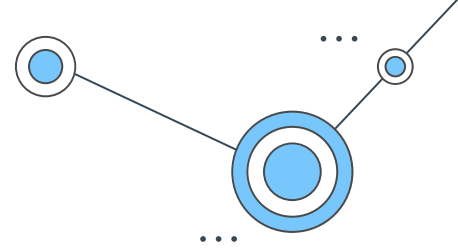
# Concept

- Walk through the source sequence from the beginning till the end
- Check **at each position** if the resulting substring equals the query sequence



# Concept

- Walk through the source sequence from the beginning till the end
- Check **at each position** if the resulting substring equals the query sequence



A	C	C	G	T	A	T
---	---	---	---	---	---	---

 Source

C	G	T
---	---	---

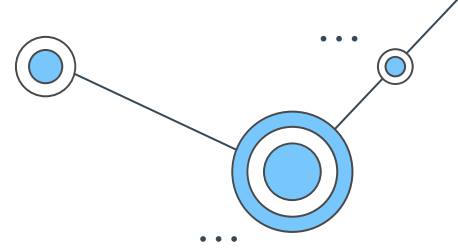
 Pattern

# Implementation

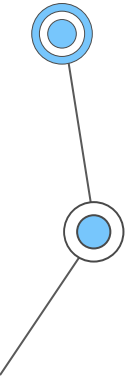
- Nested for-loop
  - Outer loop: check along all possible substrings
  - Inner loop: compare characters between the substring and the query sequence

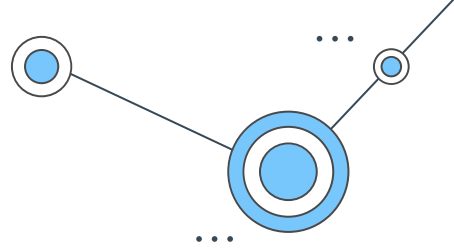
```
occurrences = [] # create an empty list
# s1: query sequence; s2: source genome
for i in range(len(s2)-len(s1)+1):
    match = True
    for j in range(len(s1)):
        if s2[i+j] != s1[j]: # comparison failed
            match = False # mismatch
            break
    # match found
    if match:
        occurrences.append(i+1)
```

# Time Complexity



- let **n** denote the length of the source genome sequence, and **m** be the length of the query sequence, where ( $n \gg m$ )
- To analyze the time complexity of brute force algorithm, we will be looking at the **number of character comparisons**



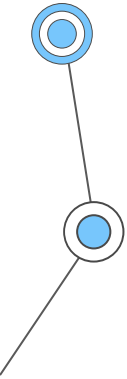


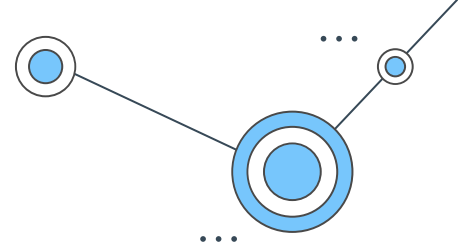
# Time Complexity – Best Case Scenario

- Every first-character comparison between possible substring and query sequence results in a mismatch
- The total number of comparisons is only determined by the number of outer-loop iterations, which is  **$(n-m+1)$** , or  **$O(n)$**

Text: ACTGGTTCATGACCT

Pattern: BATGTC





# Time Complexity – Worst Case Scenario

- The total number of comparisons is the **product** of the number of outer-loop comparisons and inner-loop comparisons, which is  **$m(n-m+1)$** , or  **$O(mn)$**



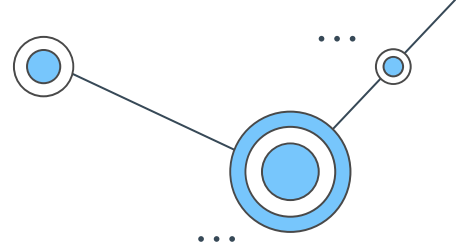
Text: TTTTTTTTTT

Pattern: TTTT

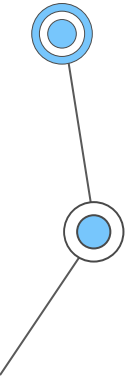
Text: TTTTTTTTTT

Pattern: TTTA

# Time Complexity – Average Case Scenario



- Outer loop: it will always be executed  $(n-m+1)$  times
- Inner loop: assume each number of comparisons (from 1 to  $m$ ) is equally likely, expected number of comparisons =  $(1+m)/2$
- The average number of comparisons =  $(n-m+1)[(1+m)/2] = \mathbf{O(mn)}$



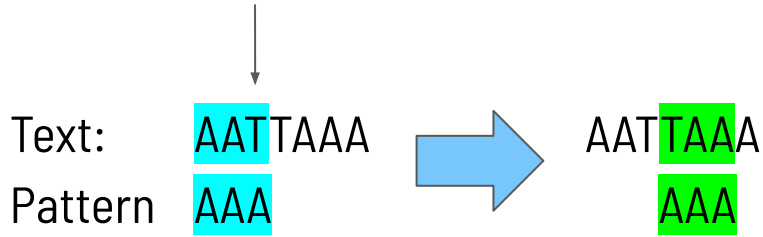


# 02 BMH

Boyer Moore Horspool Algorithm

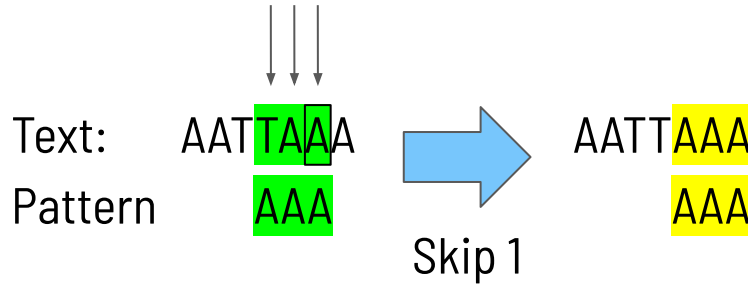
# Concept

- Reduce searching time by comparing pattern with text sequences from **end to start** of pattern
- **Comparisons between first few characters** can be **skipped** when there is a **mismatch in the last few characters**



# Searching in BMH

- **Bad Match Table:** Records number skips to do after mismatch with respect to the **rightmost character compared in the text**

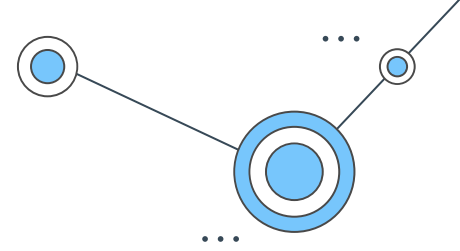


Bad Match Table

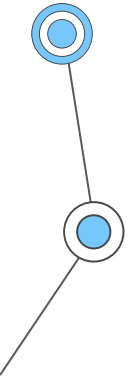
A	C	G	T
1	3	3	3

# Time Complexity: Preprocessing

- Counting the number of character comparisons.
- For all cases:  $O(m+\sigma)$ 
  - Assign position to alphabet in Bad Match Table ( $\sigma$ )
  - Calculate number of skips ( $m$ )

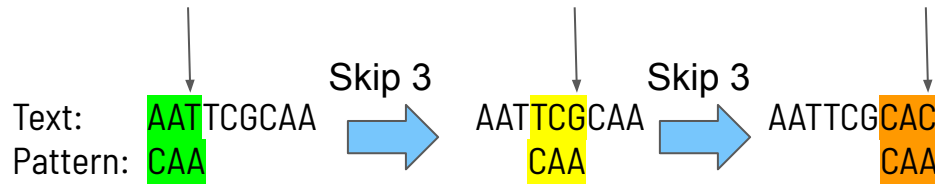


n: Length of text  
m: Length of pattern  
 $\sigma$ : Number of alphabets



# Time Complexity: Searching

- Best Case Scenario:  $O(n/m)$ 
  - When the first compared character is always not found in the pattern
  - 1 Comparison and m skips per outer loop

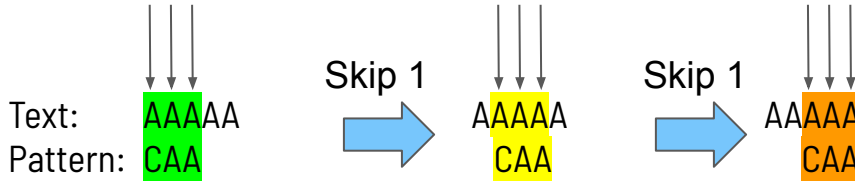


n: Length of text  
m: Length of pattern  
 $\sigma$ : Number of alphabets  
↓ : Comparison

A	C	G	T
1	2	3	3

# Time Complexity: Searching

- Worst Case Scenario:  $O(nm)$ 
  - When all characters in the pattern matches or when all but the last match
  - $m$  comparisons and 1 skips per outer loop



$n$ : Length of text  
 $m$ : Length of pattern  
 $\sigma$ : Number of alphabets  
↓ : Comparison

A	C	G	T
1	2	3	3

# Time Complexity: Searching

- Average Case Scenario:  $O(n)$

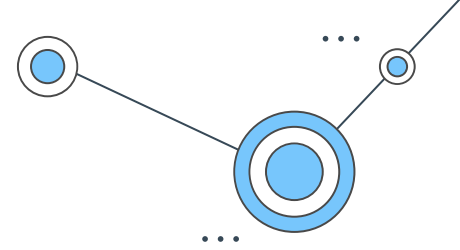
E (Number of comparisons)

$= E(\text{Times outer loop executed}) * E(\text{Comparisons per outer loop})$

$= n / E(\text{Skips per outer loop}) * E(\text{Comparisons per outer loop})$

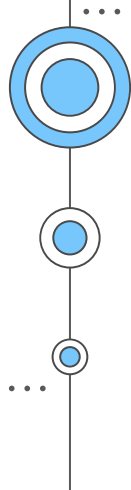
$= n = O(n)$

- Assume each skip (from 1 to m) is equally likely
  - $E(\text{Skips per outer loop}) = (1+m)/2$
- Assume each number of comparisons (from 1 to m) is equally likely
  - $E(\text{Comparisons per outer loop}) = (1+m)/2$



n: Length of text  
m: Length of pattern  
 $\sigma$ : Number of alphabets

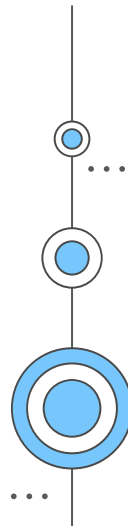




# 03

## Modi-KMP

Modified Knuth-Morris-Pratt algorithm





# Concept

- Uses two preprocessing techniques to reduce comparisons:  
**Bad match table(BMT)** & **Longest Prefix-Suffix array(LPS)**
- Implements bad character heuristic within KMP algorithm, which initially use only lps array.

Bad Match Table

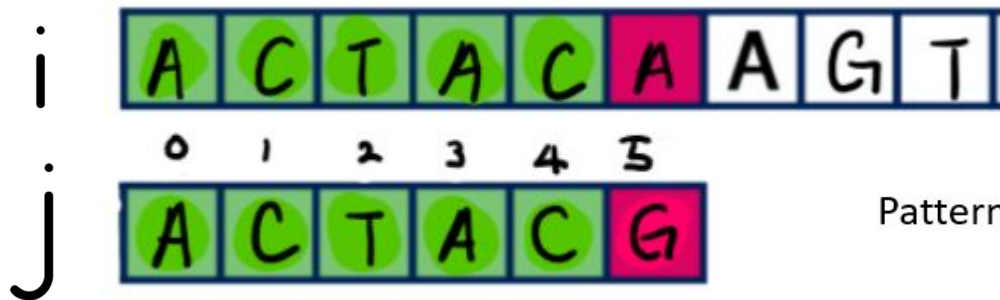
A	C	G	T
1	3	3	3

Pattern: ACTACG

lps array

A	C	T	A	C	G
0	0	0	1	2	0

# Lps array



Pattern: ACTACG

lps array

A	C	T	A	C	G
0	0	0	1	2	0

$$i = 5$$

# Lps array

i

A	C	T	A	C	A	A	G	T
---	---	---	---	---	---	---	---	---

j

0	1	2	3	4	5
A	C	T	A	C	G

i

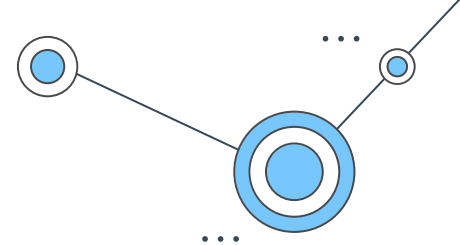
A	C	T	A	C	A	A	G	T
---	---	---	---	---	---	---	---	---

j

0	1	2	3	4	5
A	C	T	A	C	G

Pattern: ACTACG

i = 5

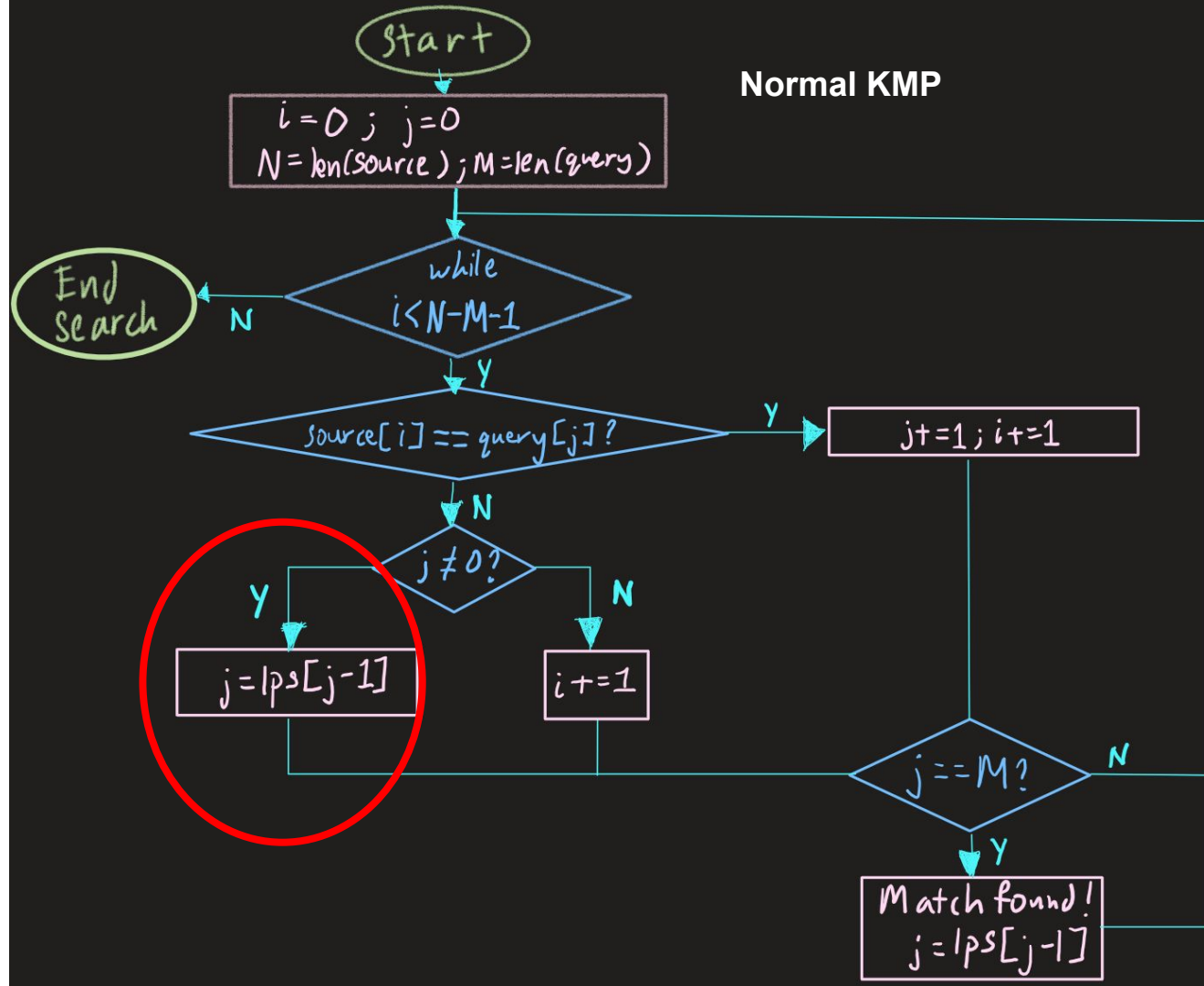


0 1 2 3 4 5

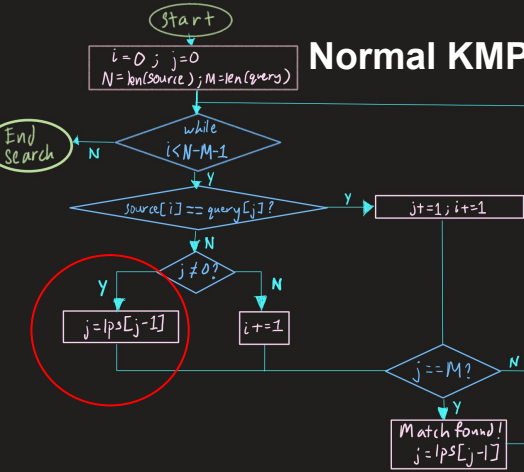
lps array

A	C	T	A	C	G
0	0	0	1	2	0

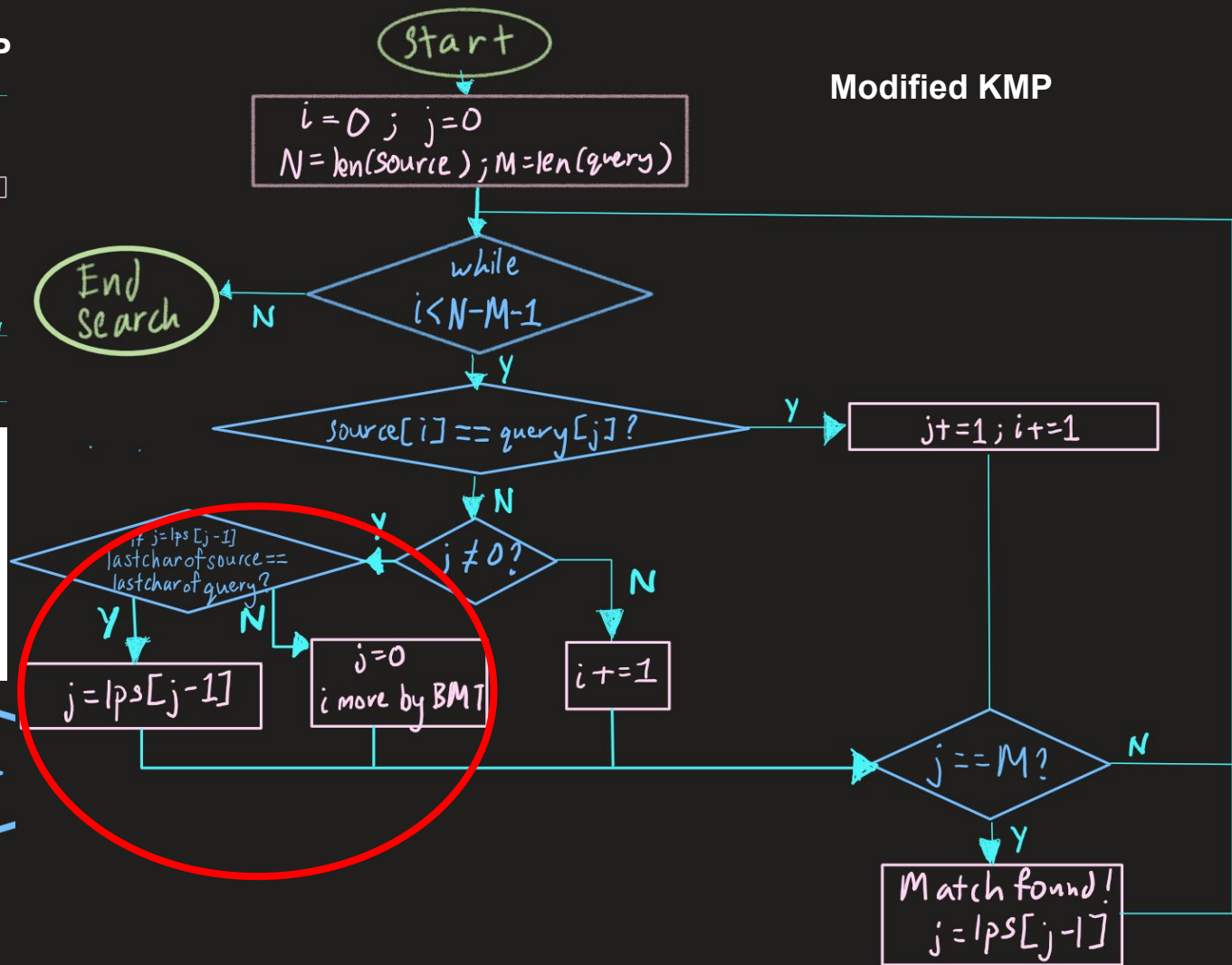
## Normal KMP



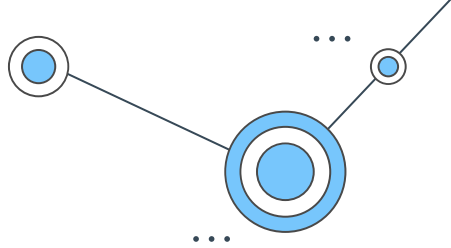
## Normal KMP



## Modified KMP



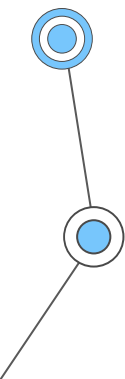
# Time Complexity: Preprocessing



$n$  is length of Source DNA,  $m$  is length of query pattern

- Bad Match Table: For all cases: approx.  $O(m)$
- LPS: For all cases  $O(m)$

Bad Match Table



A	C	G	T
1	3	3	3

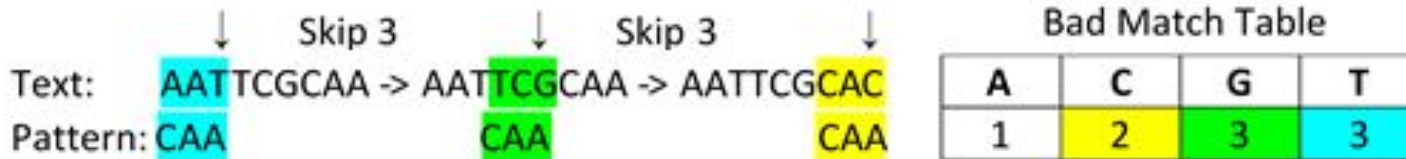
Pattern: ACTACG

lps array

A	C	T	A	C	G
0	0	0	1	2	0

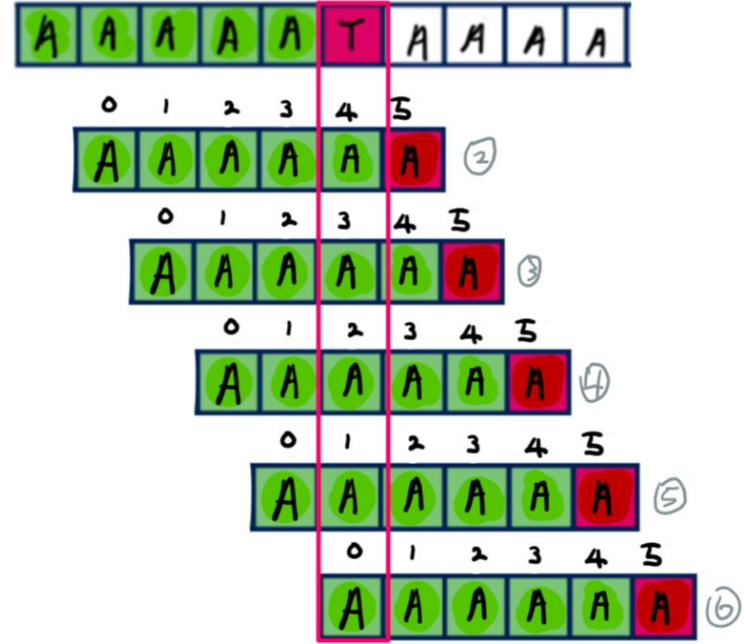
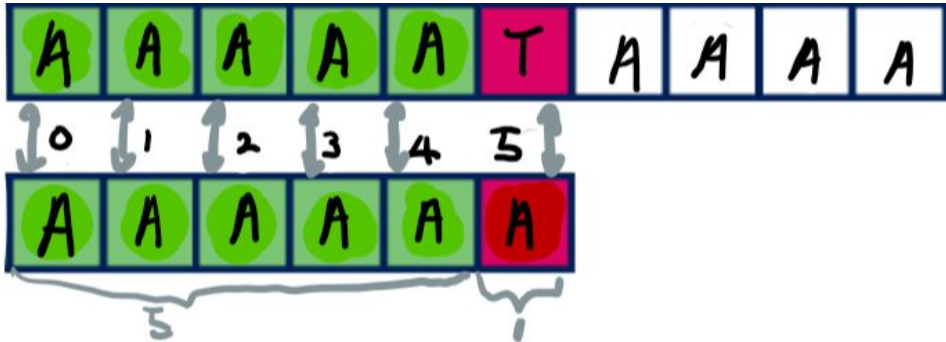
# Time Complexity:

- Best Case Scenario:  $O(n/m)$ 
  - Occurs when if-else allows BMT  $\Rightarrow$  causing algorithm to run like Boyer-Moore
  - Pointer  $i$  signifying pattern moving along source code increments by  $m$  each time, causing only  $n/m$  comparisons



# Time Complexity:

- Worst Case Scenario:  $O(n)$ 
  - Text=AAAAATAAAAATAAAAATAAAA...
  - Pattern=AAAAAA, length of pattern = 6
  - Compares approx.  $2n$  times: has complexity of  $O(n)$





# Time Complexity:

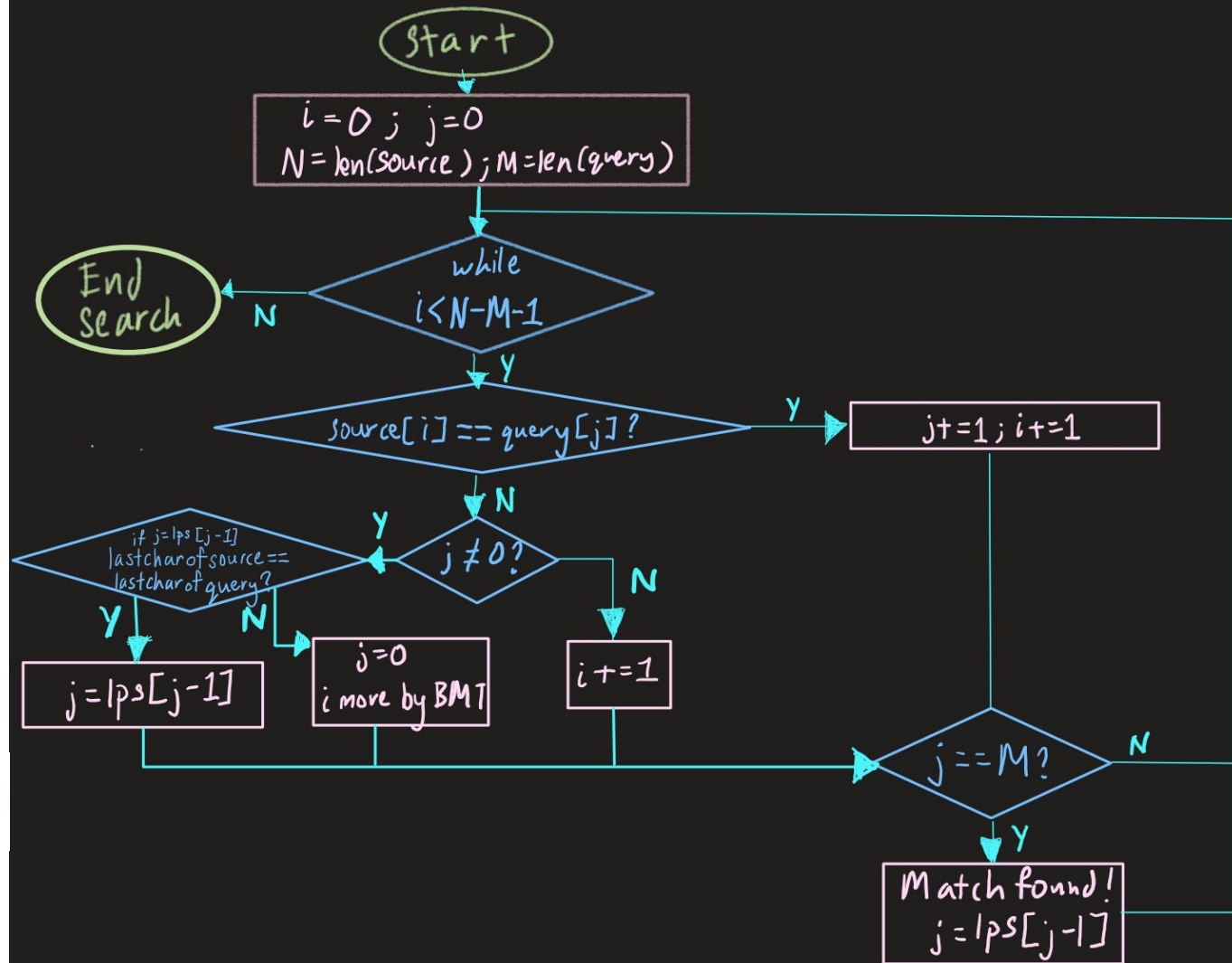
- Average Case Scenario:  $O(n)$

Expected  $i$  shifts per iteration:

$$\frac{1}{4} + \frac{15+9m^2}{32m}$$

Expected number of comparisons:

$$n / \left( \frac{15+8m+9m^2}{32m} \right) = O(n)$$



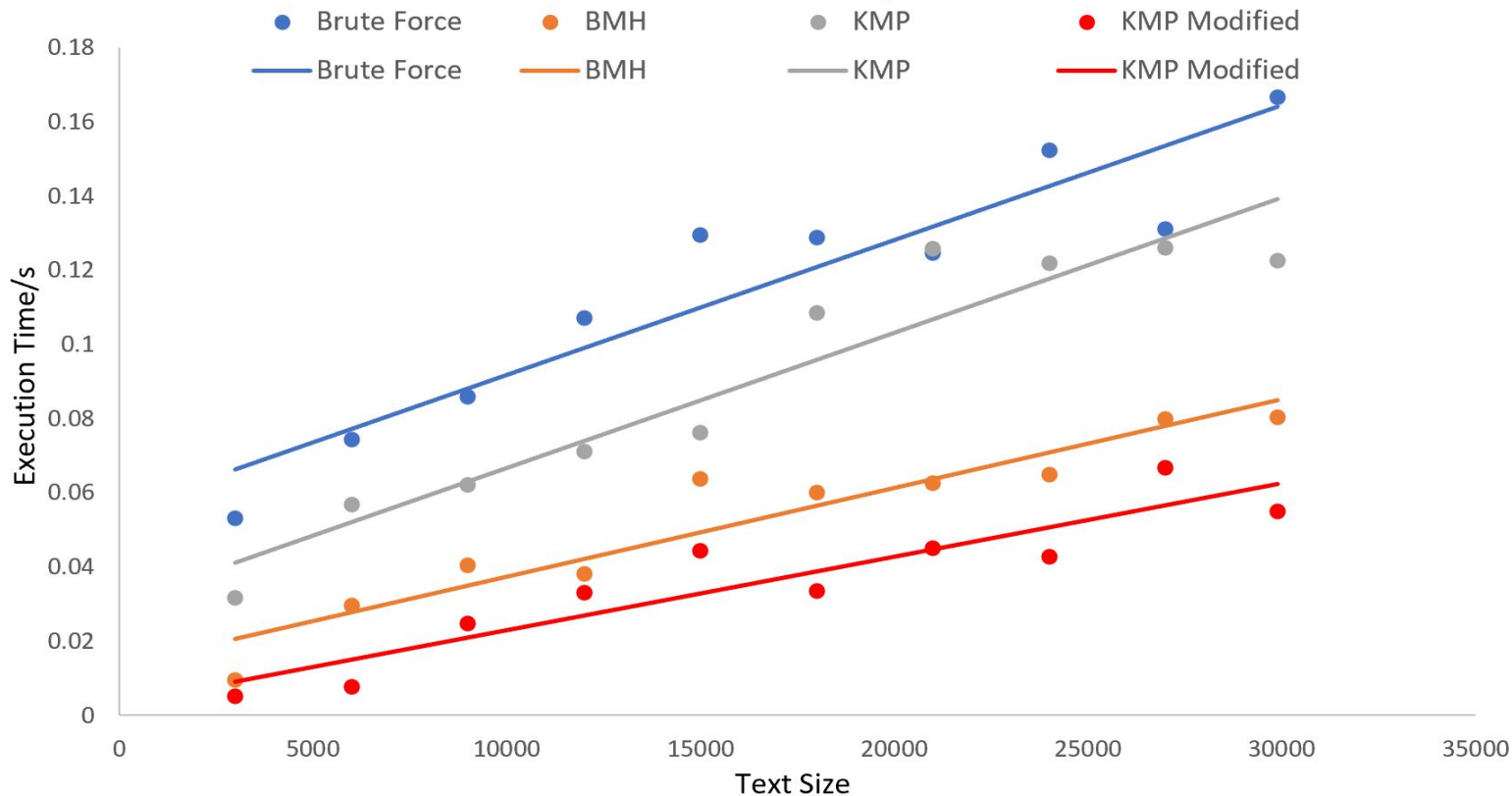
# 04

## Conclusion

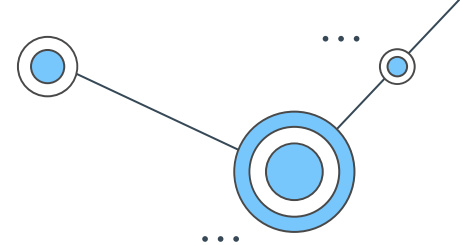
Comparison between algorithms

# Comparison for empirical runs

## Run Time for Empirical Runs



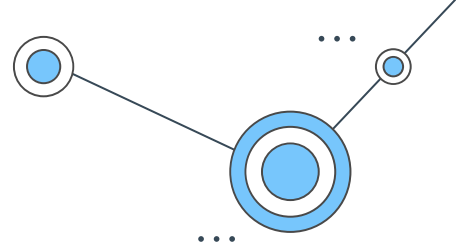
# Theoretical Complexity



\* n: Length of text, m: Length of pattern,  $\sigma$ : Number of alphabets

Algorithms	Preprocessing Time complexity		Best Case Time Complexity	Worst Case Time Complexity	Average Case Time Complexity	Preprocessing Space Complexity	Overall Space Complexity
Brute Force			$O(n)$	$O(mn)$	$O(mn)$	-	$O(1)$
BMH	$O(m+\sigma)$ for bad character table		$O(\frac{n}{m})$	$O(mn)$	$O(n)$	$O(1)$ for building bad character table	$O(1)$
KMP	$O(m)$ for lps array		$O(n)$	$O(n)$	$O(n)$	$O(m)$ for lps Table	$O(m)$
Modified KMP	$O(m+\sigma)$ for bad character table	$O(m)$ for lps array	$O(\frac{n}{m})$	$O(n)$	$O(n)$	$O(m)$ for lps Table & $O(1)$ for building bad character table	$O(m)$





Thank You :)

