

Introduction to Cryptography

François Koeune – Olivier Pereira

Slides 01



Goal of the course

Understand fundamental

- ▶ concepts,
- ▶ methods, and
- ▶ algorithms

used to secure information, with an emphasis on the *algorithmic* and *mathematical* aspects.



Related courses at UCL

Option in *Cryptography and Information Security*

(EPL – DATA/ELEC/INFO/MAP)

- ▶ **LELEC2760** — Secure electronic circuits and systems – F.-X. Standaert
- ▶ **LELEC2770** — Privacy Enhancing Technologies – O. Pereira, F.-X. Standaert
- ▶ **LINGI2144** — Secured systems engineering – A. Legay
- ▶ **LINGI2347** — Computer System Security – R. Sadre
- ▶ **LINGI2348** — Information theory and coding – J. Louveaux, B. Macq, O. Pereira
- ▶ **LMAT2440** — Théorie des nombres – O. Pereira, J.-P. Tignol
- ▶ **LMAT2450** — Cryptography – O. Pereira



Related courses at UCL

Other related courses

- ▶ **LELEC2870** — Machine Learning – J. Lee, M. Verleysen
- ▶ **LING1341** — Computer networks – O. Bonaventure
- ▶ **LINMA2111** — Discrete mathematics II : Algorithms and complexity – J.-C. Delvenne
- ▶ **LEPL2210** — Ethics and ICT – A. Gosseries, O. Pereira



Class Organisation

- ▶ Lectures/Exercises on Wednesday, 14:00 – 16:00 Exercises on Wednesday, 16:15 – 18:15
- ▶ TAs: Clément Hoffmann, Yaobin Shen
- ▶ We may offer homeworks:
 - ▶ make up to 20% of the January grade, if this helps you
 - ▶ do not count in August
- ▶ Examination: exercises. Slides and personal notes allowed
Exam questions from past years often proposed as exercises



Syllabus

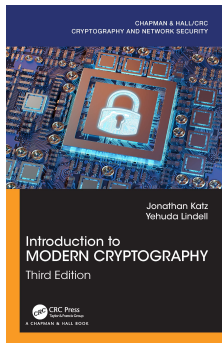
Expected distribution:

- ▶ Introduction (1 lecture)
- ▶ Symmetric cryptography (4 lectures)
- ▶ Asymmetric cryptography and algorithmic number theory (4 lectures)
- ▶ Protocols (2 lectures)



Support

Introduction to Modern Cryptography (2nd edition)
by J. Katz and Y. Lindell – Chapman & Hall/CRC – 2020



<http://www.cs.umd.edu/~jkatz/imc.html>



Support

Other references (see also Moodle):

- ▶ W. Mao, *Modern Cryptography, Theory and Practice*, Prentice-Hall, PTR, 2003.
- ▶ D. Stinson, *Cryptography, Theory and Practice*, 3rd edition, Chapman & Hall/CRC, 2005.
- ▶ A.J. Menezes, P. van Oorschot, S. Vanstone, *Handbook of Applied Cryptography*, 1999. Free on <http://www.cacr.math.uwaterloo.ca/hac/>.
- ▶ D. Boneh, V. Shoup, *A Graduate Course in Applied Cryptography*, Free draft on <http://toc.cryptobook.us/>
- ▶ N. Koblitz, *A Course in Number Theory and Cryptography*, Graduate Texts in Math. No. 114, 2nd edition, Springer-Verlag, 1994.



Cryptography...

COD defines *cryptography* as:

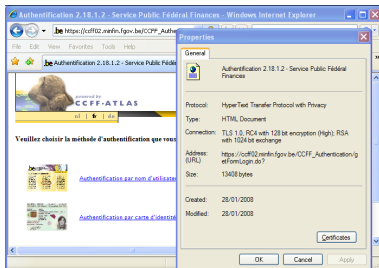
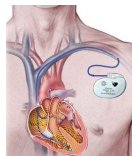
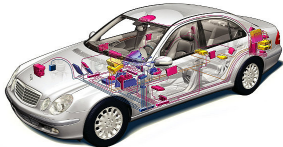
“the art of writing or solving codes.”

- ▶ Certainly true until mid of 20th century
- ▶ Mostly used by armies and diplomats



Cryptography... today

Used every day!



Cryptography... today

Much more than encryption:

- ▶ authentication
- ▶ key exchange
- ▶ identification
- ▶ elections
- ▶ Yao millionaire's problem
- ▶ ...



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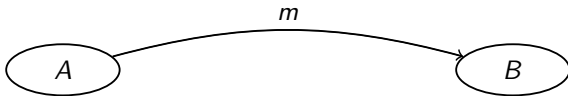
From an *art*, cryptography became a *science*...



The message encryption problem

The setting:

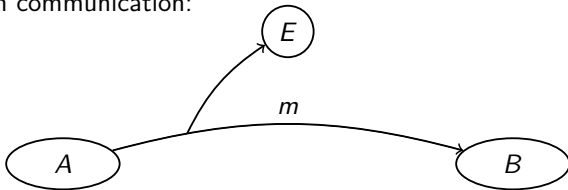
- Plain communication:



The message encryption problem

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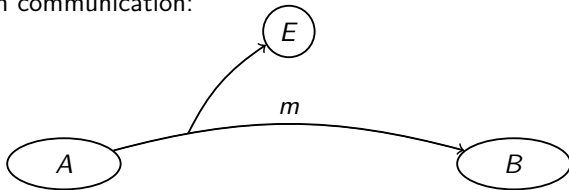
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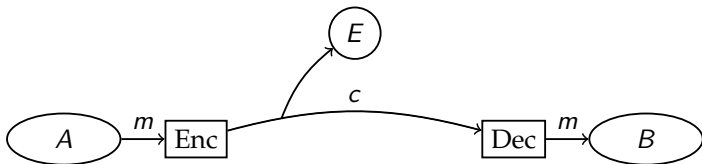
The message encryption problem

The setting:

- Plain communication:



- Encrypted communication:



Message Encryption

What is an encryption scheme? A triple $\langle \text{Gen}, \text{Enc}, \text{Dec} \rangle$



Message Encryption

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Remarks:

- ▶ same key is used for encryption and decryption:
symmetric/private-key encryption
- ▶ correctness requirement: $\forall k, m : m = \text{Dec}_k(\text{Enc}_k(m))$



Message Encryption

An example: the *Scytale* (Greece, 7th century BC (?))



Message Encryption

An example: the *Scytale* (Greece, 7th century BC (?))



- ▶ Gen defines the diameter of the cylinder ($k :=$ number of letters you can write on the circumference)
- ▶ Enc encrypts by transposing letters according to k
- ▶ Dec decrypts by performing the inverse transposition



Message Encryption

Another example: the *Caesar's cipher* (Rome, 1st c. BC)

- ▶ Shift letters ($D \rightarrow A$, $E \rightarrow B$, $F \rightarrow C$, \dots , $C \rightarrow Z$)
- ▶ Ex: HELLO \rightarrow EB IIL



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For more historical examples, see, e.g.,:

<http://www.apprendre-en-ligne.net/crypto/>



Cryptanalysis

Cryptanalysis: art of code breaking/cracking



Cryptanalysis

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Attacker model:

1. What should be considered as secret?
Gen? Enc? Dec? k ?
2. Which attack scenario?
Eavesdropper? Chosen-plaintext? ...?



Cryptanalysis

Attacker model:

1. What should be considered as secret?
Gen? Enc? Dec? k ?

Kerckhoffs' principle (1883):
only the key should be secret



See: <http://www.petitcolas.net/fabien/kerckhoffs/>



Kerckhoffs' principle

Un grand nombre de combinaisons ingénieuses peuvent répondre au but qu'on veut atteindre dans le premier cas ; dans le second, il faut un système remplissant certaines conditions exceptionnelles, conditions que je résumerai sous les six chefs suivants :

1° Le système doit être matériellement, sinon mathématiquement, indéchiffrable ;

2° Il faut qu'il n'exige pas le secret, et qu'il puisse sans inconvénient tomber entre les mains de l'ennemi ;

3° La clef doit pouvoir en être communiquée et retenue sans le secours de notes écrites, et être changée ou modifiée au gré des correspondants ;

4° Il faut qu'il soit applicable à la correspondance télégraphique ;

5° Il faut qu'il soit portatif, et que son maniement ou son fonctionnement n'exige pas le concours de plusieurs personnes ;

6° Enfin, il est nécessaire, vu les circonstances qui en commandent l'application, que le système soit d'un usage facile, ne demandant ni tension d'esprit, ni la connaissance d'une longue série de règles à observer.



Kerckhoffs' principle

“Only the key should be secret.” – Why?



Kerckhoffs' principle

“Only the key should be secret.” – Why?

1. Keeping secrets is annoying:

- ▶ A key is easier to exchange secretly than a full system
- ▶ A key is easier to update in case of compromise
- ▶ One encryption scheme per pair of users is not manageable
- ▶ No need to kill the cryptographer



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2. Public algorithms should be safer:

- ▶ Public algorithms can be scrutinized by friends
- ▶ Possibility to create standards
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3. We can handle it. . .



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Depending on the context:

1. Passive:
 - ▶ Ciphertext-only: you only see ciphertexts
 - ▶ Known-plaintext: you see some plaintext/ciphertext pairs



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Depending on the context:

1. Passive:

- ▶ Ciphertext-only: you only see ciphertexts
- ▶ Known-plaintext: you see some plaintext/ciphertext pairs

2. Active:

- ▶ Chosen-plaintext: you can ask for the encryption of some messages
- ▶ Chosen-ciphertext: you can also ask for the decryption of some messages



Cryptanalysis

Consider Caesar's cipher, with:

- ▶ Public algorithms
- ▶ Ciphertext only

How do we break it?



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- ▶ Just try the 26 possible keys!



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Lesson:

- ▶ Key space should not be explorable when messages are long. . .
In practice: trying all keys should require at least 2^{80}
computational steps
($2^{80} \approx 10000000000000000000000000000$)



Mono-alphabetic substitution

Improvement on Caesar's cipher:

- ▶ Not just a shift: take any permutation of the alphabet
- ▶ This is $26! \approx 2^{88}$ keys

How do we break it?

- ▶ Sherlock Holmes did it!



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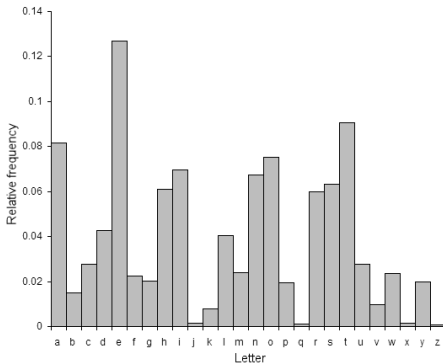


- ▶ If you know the plaintext language, frequency analysis is possible. . .



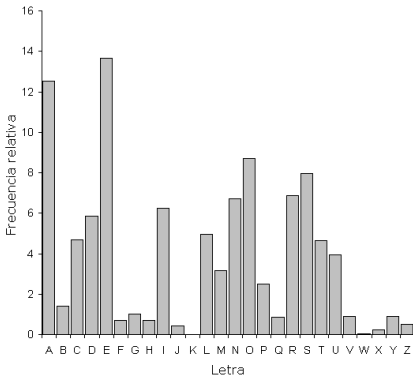
Mono-alphabetic substitution

Frequencies in English:



Mono-alphabetic substitution

Frequencies in Spanish:



Mono-alphabetic substitution

Improvement on Caesar's cipher:

- ▶ Not just a shift: take any permutation of alphabet
- ▶ This is $26! \approx 2^{88}$ keys

How do we break it?

- ▶ Just count the frequency of each symbol. . .

Lesson:

- ▶ Large key space is not enough!
- ▶ We need something secure independently of message distribution



Vigenère cipher

Another improvement on Caesar's cipher:

- ▶ Instead of a constant shift, use different shifts according to position
- ▶ Key is a sequence of numbers in $[0, 25]$

Example:

- ▶ Suppose key is $\langle 2, 24, 5 \rangle$
- ▶ Cryptography is great
Attnvjjetvnjt gu bpgvr



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How do we break it?

- ▶ If you have enough ciphertext material. . .
Make guesses on the key length, then make frequency analysis!



Historic ciphers

Lessons:

- ▶ We can keep playing like this for a long time. . .
(See, e.g., D. Kahn, “The code-breakers” (Scribner) or J. Stern, “La science du secret” (Odile Jacob))

Can we do something else?

- ▶ In many cases: yes!



Modern Cryptography

“Modern cryptography”

1. Definitions
2. Assumptions
3. Proofs



Modern Cryptography: Definitions

Definitions in cryptography:

1. What do we want to do?
2. Shall I use this scheme here?
3. Why choosing this scheme rather than that one?



Example of encryption

What should the definition of security say for an encryption scheme?



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Still need to define the adversarial model...



Modern Cryptography: Definitions

Limitations: Science vs. real world

- ▶ Check whether intuitive properties are guaranteed
- ▶ Compare with other definitions
- ▶ Compare with attack examples
- ▶ Use it during a few years. . .



Modern Cryptography: Precise Assumptions

Most schemes rely on computational assumptions

- ▶ Need to understand what we are trusting (challenges)
- ▶ Needed to write security proofs
- ▶ Useful for abstraction
- ▶ Useful for scheme comparison



Modern Cryptography: Proof of Security

Relate schemes and definitions to assumptions

- Reductionist approach: if someone can break this scheme, (s)he is also able to falsify my assumption



Perfect encryption

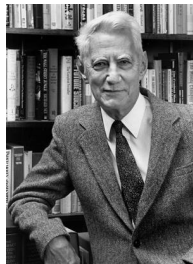
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Perfect encryption

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Shannon (1949):
perfect encryption is possible!



Perfect encryption

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Remarks:

- ▶ Enc may be probabilistic
- ▶ $\text{Dec}_k(\text{Enc}_k(m)) = m$, always
 \Rightarrow assume, wlog, Dec to be deterministic



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 \Rightarrow assume, wlog, Dec to be deterministic
- ▶ Assume $|\mathcal{M}| > 1$
- ▶ Assume \mathcal{M} and \mathcal{C} only contain messages and ciphertexts that may happen.



Perfect encryption

Definition: $\langle \text{Gen}, \text{Enc}, \text{Dec} \rangle$ over message space \mathcal{M} is *perfectly secret* if, for every probability distribution over \mathcal{M} , every message $m \in \mathcal{M}$, and every ciphertext $c \in \mathcal{C}$:

$$\Pr[M = m | C = c] = \Pr[M = m]$$

Remarks:

- ▶ Probability distribution over \mathcal{M} refers to distribution on messages



Perfect encryption

Equivalent definition:

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$$\begin{aligned} \Pr[C = c | M = m] &= \Pr[C = c] \\ (\text{Bayes} \Rightarrow) \quad \frac{\Pr[M=m | C=c] \cdot \Pr[C=c]}{\Pr[M=m]} &= \Pr[C = c] \end{aligned}$$



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Proof of equivalence:

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$$\Pr[C = c | M = m] = \Pr[C = c]$$

$$(\text{Bayes} \Rightarrow) \quad \frac{\Pr[M=m | C=c] \cdot \Pr[C=c]}{\Pr[M=m]} = \Pr[C = c]$$

$$(\text{Reorganize} \Rightarrow) \quad \Pr[M = m | C = c] = \Pr[M = m]$$



Perfect encryption

Equivalent definition:

$\langle \text{Gen}, \text{Enc}, \text{Dec} \rangle$ over message space \mathcal{M} is *perfectly secret* if, for every $m_0, m_1 \in \mathcal{M}$ and every ciphertext $c \in \mathcal{C}$:

$$\Pr[C = c | M = m_0] = \Pr[C = c | M = m_1]$$

Interpretation:

- It is impossible to distinguish the ciphertext corresponding to two plaintexts



Perfect encryption

Equivalent definition...

Given $\Pi := \langle \text{Gen}, \text{Enc}, \text{Dec} \rangle$, and adversary \mathcal{A} , define the following experiment $\text{PrivK}_{\mathcal{A}, \Pi}^{\text{eav}}$:

1. \mathcal{A} outputs $m_0, m_1 \in \mathcal{M}$
2. Choose $k \leftarrow \mathcal{K}$ and $b \leftarrow \{0, 1\}$, and send $\text{Enc}_k(m_b)$ to \mathcal{A}
3. \mathcal{A} outputs b'
4. Define $\text{PrivK}_{\mathcal{A}, \Pi}^{\text{eav}} := 1$ iff $b = b'$



Perfect encryption (cont.)

Equivalent definition:

$\langle \text{Gen}, \text{Enc}, \text{Dec} \rangle$ over message space \mathcal{M} is *perfectly secret* if for every adversary \mathcal{A} :

$$\Pr[\text{PrivK}_{\mathcal{A}, \Pi}^{\text{eav}} = 1] = \frac{1}{2}$$

Interpretation:

- Even if \mathcal{A} chooses 2 messages, it cannot decide which of them has been encrypted



One-Time Pad

One-time pad is perfectly secret!

- ▶ Fix $l > 0$. $\mathcal{M} = \mathcal{K} = \mathcal{C} = \{0, 1\}^l$
- ▶ Gen selects uniformly in \mathcal{K}
- ▶ $\text{Enc}_k(m) := m \oplus k$
- ▶ $\text{Dec}_k(c) := c \oplus k$

Remarks:

- ▶ \oplus denotes binary XOR (exclusive OR)
- ▶ $\text{Dec}_k(\text{Enc}_k(m)) = m \oplus k \oplus k = m$



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Proof:

Fix any distribution over \mathcal{M} , any $m \in \mathcal{M}$ and $c \in \mathcal{C}$.

$$\Pr[C = c | M = m] = \Pr[M \oplus K = c | M = m]$$



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$$\begin{aligned}\Pr[C = c | M = m] &= \Pr[M \oplus K = c | M = m] \\ &= \Pr[m \oplus K = c] \\ &= \Pr[K = m \oplus c] = \frac{1}{2^J} \\ &= \Pr[C = c | M = m'] \text{ for every } m'\end{aligned}$$



One-Time Pad

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One-Time Pad

One-time pad is perfectly secret!

One-time pad is not convenient to use. . .

- ▶ key needs to be as long as message!
- ▶ suppose m, m' encrypted with k
 $(m \oplus k) \oplus (m' \oplus k) = m \oplus m'$
 \mathcal{A} wins if it can play $\text{PrivK}_{\mathcal{A}, \Pi}^{\text{eav}}$ twice with same key!



Limits of Perfect Secrecy

Suppose $\Pi := \langle \text{Gen}, \text{Enc}, \text{Dec} \rangle$ is s.t. $|\mathcal{K}| < |\mathcal{M}|$.
Then Π is not a perfectly secret encryption scheme.



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Then Π is not a perfectly secret encryption scheme.

Proof:

Consider uniform distribution on \mathcal{M} and any $c \in \mathcal{C}$.
Define $\mathcal{M}(c) := \{\hat{m} : \hat{m} = \text{Dec}_{\hat{k}}(c) \text{ for some } \hat{k} \in \mathcal{K}\}$

We must have $|\mathcal{M}(c)| < |\mathcal{M}|$

Therefore, $\exists m \in \mathcal{M} - \mathcal{M}(c)$, and

$$\Pr[M = m | C = c] = 0 \neq \Pr[M = m]$$



Conclusion

Perfectly secret encryption schemes exist, but are difficult to use

Can we do better?



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Under which assumptions?

- ▶ \mathcal{A} has perfect information
- ▶ \mathcal{A} has unbounded computational power



Conclusion

Perfectly secret encryption schemes exist, but are difficult to use

Can we do better?

Shannon's theory says: "no!"

Under which assumptions?

- ▶ \mathcal{A} has perfect information
- ▶ \mathcal{A} has unbounded computational power

Next week:

What about bounded computational power?

