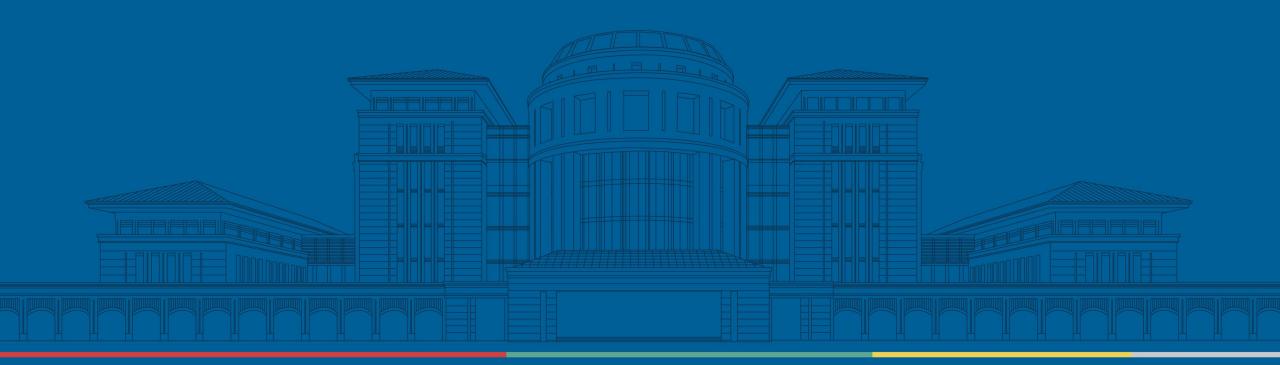


## Reinforcement Learning for Generative Al:A Survey

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## 1.Background

#### 1.1 Generative Models

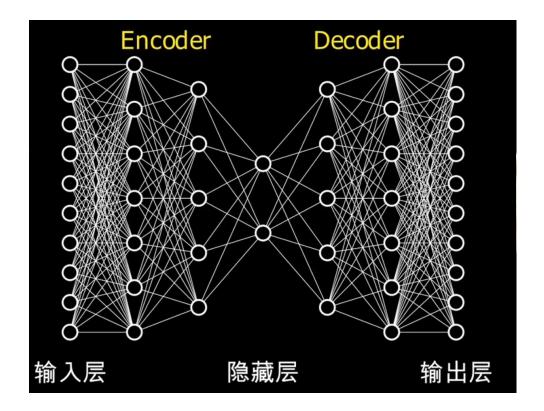
- Variational Autoencoder
- Generative Adversarial Networks
- Energy-Based Models
- Autoregressive Models
- Normalizing Flows

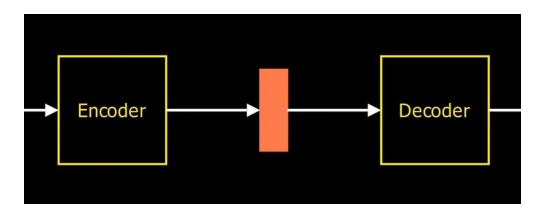
#### 1.2 Reinforcement Learning Methods

- Markov Decision Process
- Model-free Methods
- Model-based Methods



- Variational Autoencoder
- Auto-encoder



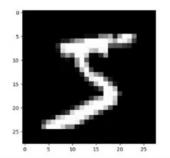




Generative Adversarial Networks



- Models
- $y = f_w(x)$
- x = 784 vectors(28\*28)
- y = 10 vectors
- w = parameter
- f = neural networks



Energy-Based Models

• 
$$E = f_w(x,y)$$

- x = 784 vectors(28\*28)
- y = 10 vectors
- x,y = 794 vectors
- when (x,y), E get small
- w = parameter
- f = neural networks

Autoregressive Models

$$p(x) = p(x_1, x_2, ..., x_n) = \prod_{i=1}^{n} p(x_i | x_1, ..., x_{i-1})$$

Normalizing Flows

$$\ln p(x_n) = \ln p(x_1) - \sum_{i} \ln \left| \det \frac{f_i}{x_{i-1}} \right|$$

# 1.2 Reinforcement Learning Methods

Markov Decision Process



# 1.2 Reinforcement Learning Methods

Model-free Methods

$$V^{\pi}(s_t) = \mathbb{E}_{s_{t+1}}[r_{t+1} + \gamma V^{\pi}(s_{t+1})] \text{ and } Q^{\pi}(s_t, a_t) = \mathbb{E}_{s_{t+1}}[r_{t+1} + \gamma Q^{\pi}(s_{t+1}, \pi(s_{t+1}))]$$



# 1.2 Reinforcement Learning Methods

- Model-based Methods
- AlphaGo



### 2. Benefits of RL-Based Generative Models

#### 2.1 Solving the Non-dfferentiable Learning Problems

- The genrated variable is non-differentiable
- The training objective is non-differentiable

#### 2.2 Introducing New Training Signal

- Reward by Discriminator
- Reward by Hand-designed rules
- Reward and Divergence
- Reward by data-driven model

#### 2.3 Neural Architecture Search

- State and Action Design
- Sample effiency



# 2.1 Solving the Non-dfferentiable Learning Problems

- The genrated variable is non-differentiable
- This is achieved by establishing a connection between policy gradient and the GAN objective. The data distribution is optimized by Monte-Carlo estimation, thereby mitigating variance in the policy gradient.



# 2.1 Solving the Non-dfferentiable Learning Problems

- The training objective is non-differentiable
- BLEU:A Method for Automatic Evaluation of Machine Translation
- Bleu<sub>n</sub>= m/n (m: both in C and R; n: the length of C)
- Candidate: the cat sat on the mat
- Reference: the cat is on the mat
- Bleu<sub>1</sub> = 5/6
- Bleu<sub>2</sub> = 3/5



# 2.2 Introducing New Training Signal

- Reward by Hand-designed rules
- BLEU



# 2.2 Introducing New Training Signal

- Reward and Divergence
  - KL divergence



# 2.2 Introducing New Training Signal

- Reward by data-driven model
  - Reward function with a entropy term
  - Learn a model for human preference



## 2.3 Neural Architecture Search

- Construction of search spaces,
- Optimization algorithms
- Model evaluation



# 3. Challenges

- 3.1 Peaked Distribution
- 3.2 Exploration and Exploitation
- 3.3 Sparse Reward
- 3.4 Long-term Credit Assignment
- 3.5 Generalization



## 4. Applications

- Natural Language Processing
- Code Generation
- Computer Vision
- Speech and Music Generation
- Al for Science
- Recommender System and Information Retrieval
- Robotics



### **Thank You!**

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