

Document Title: Compliance Order under SEBI Act

Date: January 5, 2023

This document serves as an official order under Section 15 of the SEBI Act.

The company ABC Securities Ltd. has been found in violation of Clause 49 of the SEBI Listing Obligations and Disclosure Requirements (LODR) Regulations.

The tribunal observed that there was a delay of over 60 days in disclosing the quarterly results, which is a breach of regulatory compliance.

Based on the severity of non-compliance and previous warnings, a penalty of ₹10,00,000 is imposed.

This order is passed on the authority granted under section 15-I of the SEBI Act.

Signed:
Registrar, SEBI Tribunal