

Compiler Principle and Technology

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Mar. 27th , 2015

4. Top-Down Parsing

PART TWO

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PART TWO

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4.3 First and Follow Sets

The LL(1) parsing algorithm is based on the LL(1) parsing table

The LL(1) parsing table construction involves the First and Follow sets

4.3.1 First Sets

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Definition

- Let **X** be a **grammar symbol**(a terminal or non-terminal) or ϵ . Then $\text{First}(X)$ is a set of terminals or ϵ , which is defined as follows:
 - If X is a **terminal or ϵ** , then $\text{First}(X) = \{X\}$;
 - If X is a **non-terminal**, then for each production choice $X \rightarrow X_1 X_2 \dots X_n$,
 $\text{First}(X)$ contains $\text{First}(X_1) - \{\epsilon\}$.
If also for some $i < n$, all the set $\text{First}(X_1) \dots \text{First}(X_i)$
contain ϵ , the first(X) contains $\text{First}(X_{i+1}) - \{\epsilon\}$.
IF all the set $\text{First}(X_1) \dots \text{First}(X_n)$ contain ϵ , the
 $\text{First}(X)$ contains ϵ .



Definition

Let α be a string of terminals and non-terminals, $X_1X_2...X_n$. $\text{First}(\alpha)$ is defined as follows:

1. $\text{First}(\alpha)$ contains $\text{First}(X_1) - \{\epsilon\}$;
2. For each $i=2,...,n$, if for all $k=1,...,i-1$, $\text{First}(X_k)$ contains ϵ , then $\text{First}(\alpha)$ contains $\text{First}(X_i) - \{\epsilon\}$.
3. IF all the set $\text{First}(X_1)..\text{First}(X_n)$ contain ϵ , the $\text{First}(\alpha)$ contains ϵ .



Algorithm Computing First (A)

- *Algorithm for computing First(A) for all non-terminal A:*

For all non-terminal A do First(A):={ };

While there are changes to any First(A) do

For each production choice $A \rightarrow X_1 X_2 \dots X_n$ do

K:=1; Continue:=true;

While Continue= true and $k \leq n$ do

Add First(X_k)-{ ϵ } to First(A);

If ϵ is not in First(X_k) then Continue:= false;

k:=k+1;

If Continue = true then add ϵ to First(A);



Algorithm Computing First (A)

- *Simplified **algorithm** in the absence of ϵ -production.*

For all non-terminal A do First(A):={ };

While there are changes to any First(A) do

For each production choice $A \rightarrow X_1 X_2 \dots X_n$ do

Add First(X_1) to First(A);

Notice: the First set can include ' ϵ ' symbol, and can't include '\$'.

This is because the First set represents the symbols that can be generated by a non-terminal symbol, and '\$' can't be generated by any non-terminal symbol.

Similarly, the Follow set can include '\$', but not ' ϵ '



Example

- Simple integer expression grammar

$$\text{exp} \rightarrow \text{exp addop term} \mid \text{term}$$

addop $\rightarrow +|-$

```
term → term mulop factor
      | factor
```

mulop \rightarrow^*

factor \rightarrow (expr) | number

Write out each choice separately in order:

(1) $\text{exp} \rightarrow \text{exp addop term}$

(2) $\text{exp} \rightarrow \text{term}$

(3) `addop` $\rightarrow +$

(4) `addop` \rightarrow -

(5) term \rightarrow term mulop factor

(6) term \rightarrow factor

(7) $\text{mulop} \rightarrow^*$

(8) factor \rightarrow (exp)

(9) factor \rightarrow number



First Set for Above Example

- We can use the simplified algorithm as there exists no ϵ -production
- The First sets are as follows:

$\text{First}(\text{exp}) = \{ (, \text{number} \}$

$\text{First}(\text{term}) = \{ (, \text{number} \}$

$\text{First}(\text{factor}) = \{ (, \text{number} \}$

$\text{First}(\text{addop}) = \{ +, - \}$

$\text{First}(\text{mulop}) = \{ * \}$



The computation process for above First Set

Grammar Rule	Pass 1	Pass 2	Pass 3
$\text{expr} \rightarrow \text{expr addop term}$			
$\text{expr} \rightarrow \text{term}$			
$\text{addop} \rightarrow +$	$\text{First}(\text{addop}) = \{+\}$		
$\text{addop} \rightarrow -$	$\text{First}(\text{addop}) = \{+,-\}$		
$\text{term} \rightarrow \text{term mulop factor}$			
$\text{term} \rightarrow \text{factor}$			
$\text{mulop} \rightarrow *$	$\text{First}(\text{mulop}) = \{*\}$		
$\text{factor} \rightarrow (\text{expr})$	$\text{First}(\text{factor}) = \{(\}$		
$\text{factor} \rightarrow \text{number}$	$\text{First}(\text{factor}) = \{(, \text{number})$		

The computation process for above First Set

Grammar Rule	Pass 1	Pass 2	Pass 3
$\text{expr} \rightarrow \text{expr addop term}$			
$\text{expr} \rightarrow \text{term}$			
$\text{addop} \rightarrow +$	$\text{First}(\text{addop}) = \{+\}$		
$\text{addop} \rightarrow -$	$\text{First}(\text{addop}) = \{+,-\}$		
$\text{term} \rightarrow \text{term mulop factor}$			
$\text{term} \rightarrow \text{factor}$		$\text{First}(\text{term}) = \{ (, \text{number} \}$	
$\text{mulop} \rightarrow *$	$\text{First}(\text{mulop}) = \{*\}$		
$\text{factor} \rightarrow (\text{expr})$	$\text{First}(\text{factor}) = \{ (\}$		
$\text{factor} \rightarrow \text{number}$	$\text{First}(\text{factor}) = \{ (, \text{number} \}$		

The computation process for above First Set

Grammar Rule	Pass 1	Pass 2	Pass 3
$\text{expr} \rightarrow \text{expr addop term}$			
$\text{expr} \rightarrow \text{term}$			$\text{First}(\text{exp})=\{ (, \text{number} \}$
$\text{addop} \rightarrow +$	$\text{First}(\text{addop})=\{ + \}$		
$\text{addop} \rightarrow -$	$\text{First}(\text{addop})=\{ +, - \}$		
$\text{term} \rightarrow \text{term mulop factor}$			
$\text{term} \rightarrow \text{factor}$		$\text{First}(\text{term})=\{ (, \text{number} \}$	
$\text{mulop} \rightarrow *$	$\text{First}(\text{mulop})=\{ * \}$		
$\text{factor} \rightarrow (\text{expr})$	$\text{First}(\text{factor})=\{ (\}$		
$\text{factor} \rightarrow \text{number}$	$\text{First}(\text{factor})=\{ (, \text{number} \}$		

Example

- Left factored grammar of if-statement
Statement \rightarrow if-stmt | other
If-stmt \rightarrow if (exp) statement else-part
Else-part \rightarrow else statement | ϵ
Exp \rightarrow 0 | 1
- We write out the grammar rule choice separately and number them:
 - (1) Statement \rightarrow if-stmt
 - (2) Statement \rightarrow other
 - (3) If-stmt \rightarrow if (exp) statement else-part
 - (4) Else-part \rightarrow else statement
 - (5) Else-part $\rightarrow \epsilon$
 - (6) Exp \rightarrow 0
 - (7) Exp \rightarrow 1



The First Set for Above Example

- Note:**

This grammar does have an ε -production, but the only nullable non-terminal *else-part* will not in the beginning of left side of any rule choice and will not complicate the computation process.

Grammar Rule	Pass 1	Pass 2
Statement \rightarrow if-stmt		
Statement \rightarrow other	First(statement)={other}	
If-stmt \rightarrow if (exp) statement else-part	First(if-stmt)={if}	
Else-part \rightarrow else statement	First(else-part)={else}	
Else-part $\rightarrow \varepsilon$	First(else-part)={else, ε }	
Exp \rightarrow 0	First(exp)={1}	
Exp \rightarrow 1	First(exp)={0,1}	



The First Set for Above Example

Grammar Rule	Pass 1	Pass 2
Statement \rightarrow if-stmt		$\text{First}(\text{statement}) = \{\text{if}, \text{other}\}$
Statement \rightarrow other	$\text{First}(\text{statement}) = \{\text{other}\}$	
If-stmt \rightarrow if (exp) statement else-part	$\text{First}(\text{if-stmt}) = \{\text{if}\}$	
Else-part \rightarrow else statement	$\text{First}(\text{else-part}) = \{\text{else}\}$	
Else-part $\rightarrow \epsilon$	$\text{First}(\text{else-part}) = \{\text{else}, \epsilon\}$	
Exp \rightarrow 0	$\text{First}(\text{exp}) = \{1\}$	
Exp \rightarrow 1	$\text{First}(\text{exp}) = \{0, 1\}$	

The First Sets:

$\text{First}(\text{statement}) = \{\text{if}, \text{other}\}$

$\text{First}(\text{if-stmt}) = \{\text{if}\}$

$\text{First}(\text{else-part}) = \{\text{else}, \epsilon\}$

$\text{First}(\text{exp}) = \{0, 1\}$



4.3.2 Follow Sets

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Definition

Given a non-terminal A , the set $\text{Follow}(A)$ is defined as follows.

- (1) If A is the start symbol, then $\$$ is in the $\text{Follow}(A)$.
- (2) If there is a production $B \rightarrow \alpha A \gamma$, then $\text{First}(\gamma) - \{\epsilon\}$ is in $\text{Follow}(A)$.
- (3) If there is a production $B \rightarrow \alpha A \gamma$ such that ϵ in $\text{First}(\gamma)$, then $\text{Follow}(A)$ contains $\text{Follow}(B)$.



Definition

- Note:
 - The symbol \$ is used to mark the end of the input.
 - The empty “pseudotoken” ϵ is never an element of a follow set.
 - Follow sets are defined only for non-terminal.
 - Follow sets work “on the right” in production while First sets work “on the left” in the production.
- Given a grammar rule $A \rightarrow \alpha B$, $\text{Follow}(B)$ will contain $\text{Follow}(A)$,
 - The opposite of the situation for first sets, if $A \rightarrow B\alpha$, $\text{First}(A)$ contains $\text{First}(B)$, except possibly for ϵ .



Algorithm for the computation of follow sets

- $\text{Follow}(\text{start-symbol}) := \{\$ \};$
- For all non-terminals $A \neq \text{start-symbol}$ do $\text{follow}(A) := \{ \};$

While there changes to any follow sets do

For each production $A \rightarrow X_1 X_2 \dots X_n$ do

For each X_i that is a non-terminal do

Add $\text{First}(X_{i+1} X_{i+2} \dots X_n) - \{\epsilon\}$ to $\text{Follow}(X_i)$

if ϵ is in $\text{First}(X_{i+1} X_{i+2} \dots X_n)$ then

Add $\text{Follow}(A)$ to $\text{Follow}(X_i)$



Example

- The simple expression grammar.

(1) $\text{exp} \rightarrow \text{exp addop term}$

(2) $\text{exp} \rightarrow \text{term}$

(3) $\text{addop} \rightarrow +$

(4) $\text{addop} \rightarrow -$

(5) $\text{term} \rightarrow \text{term mulop factor}$

(6) $\text{term} \rightarrow \text{factor}$

(7) $\text{mulop} \rightarrow *$

(8) $\text{factor} \rightarrow (\text{exp})$

(9) $\text{factor} \rightarrow \text{number}$

The first sets:

$\text{First}(\text{exp}) = \{ (, \text{number} \}$

$\text{First}(\text{term}) = \{ (, \text{number} \}$

$\text{First}(\text{factor}) = \{ (, \text{number} \}$

$\text{First}(\text{addop}) = \{ +, - \}$

$\text{First}(\text{mulop}) = \{ * \}$



Compute Follow sets

The simple expression grammar.

- (1) $\text{exp} \rightarrow \text{exp addop term}$
- (2) $\text{exp} \rightarrow \text{term}$
- (3) $\text{addop} \rightarrow +$ (4) $\text{addop} \rightarrow -$
- (5) $\text{term} \rightarrow \text{term mulop factor}$
- (6) $\text{term} \rightarrow \text{factor}$
- (7) $\text{mulop} \rightarrow *$
- (8) $\text{factor} \rightarrow (\text{exp})$
- (9) $\text{factor} \rightarrow \text{number}$

The first sets:

$\text{First}(\text{exp}) = \{ (, \text{number} \}$

$\text{First}(\text{term}) = \{ (, \text{number} \}$

$\text{First}(\text{factor}) = \{ (, \text{number} \}$

$\text{First}(\text{addop}) = \{ +, - \}$

$\text{First}(\text{mulop}) = \{ * \}$

Grammar rule	Pass 1	Pass 2
$\text{exp} \rightarrow \text{exp addop term}$	$\text{Follow}(\text{exp}) = \{ \$, +, - \}$	
$\text{exp} \rightarrow \text{term}$		
$\text{term} \rightarrow \text{term mulop factor}$		
$\text{term} \rightarrow \text{factor}$		
$\text{factor} \rightarrow (\text{exp})$		



Compute Follow sets

The simple expression grammar.

- (1) $\text{exp} \rightarrow \text{exp addop term}$
- (2) $\text{exp} \rightarrow \text{term}$
- (3) $\text{addop} \rightarrow +$ (4) $\text{addop} \rightarrow -$
- (5) $\text{term} \rightarrow \text{term mulop factor}$
- (6) $\text{term} \rightarrow \text{factor}$
- (7) $\text{mulop} \rightarrow *$
- (8) $\text{factor} \rightarrow (\text{exp})$
- (9) $\text{factor} \rightarrow \text{number}$

The first sets:

$\text{First}(\text{exp}) = \{ (, \text{number} \}$

$\text{First}(\text{term}) = \{ (, \text{number} \}$

$\text{First}(\text{factor}) = \{ (, \text{number} \}$

$\text{First}(\text{addop}) = \{ +, - \}$

$\text{First}(\text{mulop}) = \{ * \}$

Grammar rule	Pass 1	Pass 2
$\text{exp} \rightarrow \text{exp addop term}$	$\text{Follow}(\text{exp}) = \{ \$, +, - \}$ $\text{Follow}(\text{addop}) = \{ (, \text{number} \}$	
$\text{exp} \rightarrow \text{term}$		
$\text{term} \rightarrow \text{term mulop factor}$		
$\text{term} \rightarrow \text{factor}$		
$\text{factor} \rightarrow (\text{exp})$		



Compute Follow sets

The simple expression grammar.

- (1) $\text{exp} \rightarrow \text{exp addop term}$
- (2) $\text{exp} \rightarrow \text{term}$
- (3) $\text{addop} \rightarrow +$ (4) $\text{addop} \rightarrow -$
- (5) $\text{term} \rightarrow \text{term mulop factor}$
- (6) $\text{term} \rightarrow \text{factor}$
- (7) $\text{mulop} \rightarrow *$
- (8) $\text{factor} \rightarrow (\text{exp})$
- (9) $\text{factor} \rightarrow \text{number}$

The first sets:

$\text{First}(\text{exp}) = \{ (, \text{number} \}$

$\text{First}(\text{term}) = \{ (, \text{number} \}$

$\text{First}(\text{factor}) = \{ (, \text{number} \}$

$\text{First}(\text{addop}) = \{ +, - \}$

$\text{First}(\text{mulop}) = \{ * \}$

Grammar rule	Pass 1	Pass 2
$\text{exp} \rightarrow \text{exp addop term}$	$\text{Follow}(\text{exp}) = \{ \$, +, - \}$ $\text{Follow}(\text{addop}) = \{ (, \text{number} \}$	
$\text{exp} \rightarrow \text{term}$		
$\text{term} \rightarrow \text{term mulop factor}$	$\text{Follow}(\text{term}) =$ $\text{Follow}(\text{exp}) \cup \text{First}(\text{mulop})$ $= \{ \$, +, -, * \}$	
$\text{term} \rightarrow \text{factor}$		
$\text{factor} \rightarrow (\text{exp})$		



Compute Follow sets

The simple expression grammar.

- (1) $\text{exp} \rightarrow \text{exp addop term}$
- (2) $\text{exp} \rightarrow \text{term}$
- (3) $\text{addop} \rightarrow +$ (4) $\text{addop} \rightarrow -$
- (5) $\text{term} \rightarrow \text{term mulop factor}$
- (6) $\text{term} \rightarrow \text{factor}$
- (7) $\text{mulop} \rightarrow *$
- (8) $\text{factor} \rightarrow (\text{exp})$
- (9) $\text{factor} \rightarrow \text{number}$

The first sets:

$\text{First}(\text{exp}) = \{ (, \text{number} \}$

$\text{First}(\text{term}) = \{ (, \text{number} \}$

$\text{First}(\text{factor}) = \{ (, \text{number} \}$

$\text{First}(\text{addop}) = \{ +, - \}$

$\text{First}(\text{mulop}) = \{ * \}$

Grammar rule	Pass 1	Pass 2
$\text{exp} \rightarrow \text{exp addop term}$	$\text{Follow}(\text{exp}) = \{ \$, +, - \}$ $\text{Follow}(\text{addop}) = \{ (, \text{number} \}$	
$\text{Exp} \rightarrow \text{term}$		
$\text{term} \rightarrow \text{term mulop factor}$	$\text{Follow}(\text{term}) = \{ \$, +, -, * \}$ $\text{Follow}(\text{mulop}) = \{ (, \text{number} \}$	
$\text{term} \rightarrow \text{factor}$		
$\text{factor} \rightarrow (\text{exp})$		



Compute Follow sets

The simple expression grammar.

- (1) $\text{exp} \rightarrow \text{exp addop term}$
- (2) $\text{exp} \rightarrow \text{term}$
- (3) $\text{addop} \rightarrow +$ (4) $\text{addop} \rightarrow -$
- (5) $\text{term} \rightarrow \text{term mulop factor}$
- (6) $\text{term} \rightarrow \text{factor}$
- (7) $\text{mulop} \rightarrow *$
- (8) $\text{factor} \rightarrow (\text{exp})$
- (9) $\text{factor} \rightarrow \text{number}$

The first sets:

$\text{First}(\text{exp}) = \{ (, \text{number} \}$

$\text{First}(\text{term}) = \{ (, \text{number} \}$

$\text{First}(\text{factor}) = \{ (, \text{number} \}$

$\text{First}(\text{addop}) = \{ +, - \}$

$\text{First}(\text{mulop}) = \{ * \}$

Grammar rule	Pass 1	Pass 2
$\text{exp} \rightarrow \text{exp addop term}$	$\text{Follow}(\text{exp}) = \{ \$, +, - \}$ $\text{Follow}(\text{addop}) = \{ (, \text{number} \}$	
$\text{Exp} \rightarrow \text{term}$		
$\text{term} \rightarrow \text{term mulop factor}$	$\text{Follow}(\text{term}) = \{ \$, +, -, * \}$ $\text{Follow}(\text{mulop}) = \{ (, \text{number} \}$ $\text{Follow}(\text{factor}) = \{ \$, +, -, * \}$	
$\text{term} \rightarrow \text{factor}$		
$\text{factor} \rightarrow (\text{exp})$	$\text{Follow}(\text{exp}) = \{ \$, +, -,) \}$	



Compute Follow sets

The Follow sets:

Follow(exp)={ \$,+, -,) }

Follow(addop)={ (, number)

Follow(term)={ \$,+, -, *,) }

Follow(mulop)={ (, number)

Follow(factor)={ \$,+, -, *,) }

Grammar rule	Pass 1	Pass 2
exp \rightarrow exp addop term	Follow(exp)={ \$,+, - } Follow(addop)={ (, number) Follow(term)={ \$,+, - }	Follow(term)={ \$,+, -, *,) }
Exp \rightarrow term		
term \rightarrow term mulop factor	Follow(term)={ \$,+, -, *} Follow(mulop)={ (, number) Follow(factor)={ \$,+, -, * }	Follow(factor)={ \$,+, -, *,) } add ')'
term \rightarrow factor		
factor \rightarrow (exp)	Follow(exp)={ \$,+, -,) }	



The LL(1) Grammar

Theorem: A grammar in BNF is LL(1) if the following conditions are satisfied.

➤ For every production $A \rightarrow \alpha_1 | \alpha_2 | \dots | \alpha_n$,

$$\mathbf{First(\alpha_i) \cap First(\alpha_j) = \emptyset},$$

for all i and j , $1 \leq i, j \leq n$, $i \neq j$.

➤ For every non-terminal A such that $\text{First}(A)$ contains ϵ ,

$$\mathbf{First(A) \cap Follow(A) = \emptyset}$$



4.3.3 Constructing LL(1) Parsing Tables

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The table-constructing rules

- (1) If $A \rightarrow \alpha$ is a production choice, and there is a derivation $\alpha \Rightarrow^* a\beta$, where a is a token, then add $A \rightarrow \alpha$ to the table entry $M[A, a]$
- (2) If $A \rightarrow \alpha$ is a production choice, and there are derivations $\alpha \Rightarrow^* \epsilon$ and $S\$ \Rightarrow^* \beta A a \gamma$, where S is the start symbol and a is a token (or $\$$), then add $A \rightarrow \alpha$ to the table entry $M[A, a]$

Clearly, the token a in the rule (1) is in $\text{First}(\alpha)$, and the token a of the rule (2) is in $\text{Follow}(A)$, thus we can obtain the following algorithmic construction of the LL(1) parsing table:

Repeat the following two steps for each non-terminal A and production choice $A \rightarrow \alpha$.

- For each token a in $\text{First}(\alpha)$, add $A \rightarrow \alpha$ to the entry $M[A, a]$.
- If ϵ is in $\text{First}(\alpha)$, for each element a of $\text{Follow}(A)$ (a token or $\$$), add $A \rightarrow \alpha$ to $M[A, a]$.



Example

- The simple expression grammar.

$\text{exp} \rightarrow \text{term exp}'$

$\text{exp}' \rightarrow \text{addop term exp}' \mid \epsilon$

$\text{addop} \rightarrow + -$

$\text{term} \rightarrow \text{factor term}'$

$\text{term}' \rightarrow \text{mulop factor term}' \mid \epsilon$

$\text{mulop} \rightarrow *$

$\text{factor} \rightarrow (\text{expr}) \mid \text{number}$

First Sets	Follow Sets
$\text{First}(\text{exp}) = \{ (, \text{number} \}$	$\text{Follow}(\text{exp}) = \{ \$,) \}$
$\text{First}(\text{exp}') = \{ +, -, \epsilon \}$	$\text{Follow}(\text{exp}') = \{ \$,) \}$
$\text{First}(\text{term}) = \{ (, \text{number} \}$	$\text{Follow}(\text{addop}) = \{ (, \text{number} \}$
$\text{First}(\text{term}') = \{ *, \epsilon \}$	$\text{Follow}(\text{term}) = \{ \$, +, -,) \}$
$\text{First}(\text{factor}) = \{ (, \text{number} \}$	$\text{Follow}(\text{term}') = \{ \$, +, -,) \}$
$\text{First}(\text{addop}) = \{ +, - \}$	$\text{Follow}(\text{mulop}) = \{ (, \text{number} \}$
$\text{First}(\text{mulop}) = \{ * \}$	$\text{Follow}(\text{factor}) = \{ \$, +, -, *,) \}$



The LL(1) parsing table

Repeat the following two steps for each non-terminal A and production choice $A \rightarrow a$.

- For each token a in $\text{First}(a)$, add $A \rightarrow a$ to the entry $M[A, a]$.
- If ϵ is in $\text{First}(a)$, for each element a of $\text{Follow}(A)$ (a token or \$), add $A \rightarrow a$ to $M[A, a]$.

$M[N, T]$	(number)	+	-	*	\$
Exp	exp \rightarrow term exp'	exp \rightarrow term exp'					
Exp'				exp' \rightarrow addop term exp'	exp' \rightarrow addop term exp'		
Addop				addop \rightarrow +	addop \rightarrow -		
Term	term \rightarrow factor term'	term \rightarrow factor term'					
Term'						term' \rightarrow mulop factor term'	
Mulop						mulop \rightarrow *	
factor	factor \rightarrow (expr)	factor \rightarrow number					



The LL(1) parsing table

Repeat the following two steps for each non-terminal A and production choice $A \rightarrow a$.

- For each token a in $\text{First}(a)$, add $A \rightarrow a$ to the entry $M[A, a]$.
- If ϵ is in $\text{First}(a)$, for each element a of $\text{Follow}(A)$ (a token or \$), add $A \rightarrow a$ to $M[A, a]$.

$M[N, T]$	(number)	+	-	*	\$
Exp	exp \rightarrow term exp'	exp \rightarrow term exp'					
Exp'			exp' $\rightarrow \epsilon$	exp' \rightarrow addop term exp'	exp' \rightarrow addop term exp'		exp' $\rightarrow \epsilon$
Addop				addop \rightarrow +	addop \rightarrow -		
Term	term \rightarrow factor term'	term \rightarrow factor term'					
Term'			term' $\rightarrow \epsilon$	term' $\rightarrow \epsilon$	term' $\rightarrow \epsilon$	term' \rightarrow mulop factor term'	term' $\rightarrow \epsilon$
Mulop						mulop \rightarrow *	
factor	factor \rightarrow (expr)	factor \rightarrow number					



Example

- The simplified grammar of if-statements

Statement \rightarrow if-stmt | other

If-stmt \rightarrow if (exp) statement else-part

Else-part \rightarrow else statement | ϵ

Exp \rightarrow 0 | 1

First Sets	Follow Sets
First(statement)={if,other} First(if-stmt)={if} First(else-part)={else, ϵ } First(exp)={0,1}	Follow(statement)={\$,else} Follow(if-statement)={\$,else} Follow(else-part)={\$,else} Follow(exp)={) }




The LL(1) parsing table

M[N,T]	If	Other	Else	0	1	\$
Statement	Statement \rightarrow if-stmt	Statement \rightarrow other				
If-stmt	If-stmt \rightarrow if (exp) statement else-part					
Else-part			Else-part \rightarrow else statement Else-part $\rightarrow \epsilon$			Else-par t $\rightarrow \epsilon$
exp				Exp \rightarrow 0	Exp \rightarrow 1	



4.3.4 Extending the lookahead: LL(k) Parsers

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Definition of LL(k)

- The LL(1) parsing method can be extended to **k symbols** of look-ahead.
- Definitions:
 - $\text{First}_k(\alpha) = \{wk \mid \alpha \Rightarrow^* w\}$, where, wk is the first k tokens of the string w if the length of $w > k$, otherwise it is the same as w .
 - $\text{Follow}_k(A) = \{wk \mid S\$ \Rightarrow^* \alpha A w\}$, where, wk is the first k tokens of the string w if the length of $w > k$, otherwise it is the same as w .
- LL(k) parsing table:
 - The construction can be performed as that of LL(1).



Complications in LL(k)

- The complications in LL(k) parsing:
 - **The parsing table become larger**; since the number of columns increases exponentially with k.
 - The parsing table itself does not express the complete power of LL(k) because the follow strings do not occur in all contexts.
 - Thus parsing using the table as we have constructed it is distinguished from LL(k) parsing by calling it Strong LL(k) parsing, or SLL(k) parsing.
- The LL(k) and SLL(k) parsers **are uncommon**.

Partially because of the added complex. Primarily because of the fact that a grammar fails to be LL(1) is in practice likely not to be LL(k) for any k.

LL(1) can express the most common case



4.5 Error Recovery in Top- Down Parsers

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Basic principles for error recovery in parsers

- RECOGNIZER:
Only determine if a program is syntactically correct or not. (MINIMUM)
- At a minimum, any parser must behave like a recognizer—that is,
 - if a program contains a syntax error, the parser must indicate that *some* error exists, and conversely,
 - if a program contains no syntax errors, then the parser should not claim that an error exists.
- The **response of a parser** to syntax errors is often a **critical factor** in the usefulness of a compiler.



Levels of Response

- Beyond this minimal behavior, a parser can exhibit different levels of response to errors
 - Give a meaningful error message
 - Some form of error correction
 - Missing punctuation, minimal distance error correction
- Most of the techniques for error recovery are **ad hoc**, and general principles are hard to come by




Goals for error recovery in parsers

- Some important considerations applied:
 - To determine that an error has occurred **as soon as possible**
 - To parse **as much of the code as possible** so as to find as many real errors as possible during a single translation
 - To **avoid the error cascade** problem
 - To **avoid infinite loops** on error
- Some **goals conflict with each other**
Compiler writer is forced to make trade-offs during the construction of an error handler.



4.5.1 Error Recovery in Recursive-Descent Parsers

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- Panic mode:
 - A standard form of error recovery in recursive-decent parsers
 - The error handler will **consume a possibly large number of tokens** in an attempt to find a place **to resume parsing**;
- The basic mechanism of panic mode:
 - A **set of synchronizing tokens** are provided to each recursive procedure
 - If an error is encountered, the parser scans ahead, throwing away tokens until one of the synchronized tokens is seen in the input, whence parsing is resumed.



- The important decisions in this error recovery method:
 - **What tokens** to add to the synchronizing set at each point in the parse?
 - **Follow sets** are important candidates for such synchronizing tokens.
 - **First sets** are used to prevent the error handler from skipping important tokens that begin major new constructs; and also are important to detect errors early in the parse.
- Panic mode works best when the compiler knows **when not to panic**
 - Missing punctuation symbols should not always cause an error handler to consume tokens.



Panic Mode Error Recovery in Pseudo-Code

- In addition to the *match* and *error* procedures, we have more procedure
- **Check-input procedure:** performs the early look-ahead checking

Procedure ***Checkinput***(Firstset, Followset)

 Begin

 If not (token in firstset) then

 Error;

 Scanto(firstset \cup followset)

 End if;

end



Panic Mode Error Recovery in Pseudo-Code

- In addition to the *match* and *error* procedures, we have more procedures.
- **Scanto procedure:** is the panic mode token consumer proper.

Procedure ***scanto***(synchset)

Begin

While not (token in synchset \cup {\$}) do

Gettoken;

End scanto



The exp and factor procedure with panic mode error recovery

Procedure **exp**(synchset)

Begin

Checkinput({(,number},synchset)

 If not (token in synchset) then

 Term(synchset);

 While token=+ or token=- do

 Match(token);

 Term(synchset);

 End while

Checkinput(synchset,{(,number});

 End if;

End exp;



Notes

- Note: **Checkinput** is **called twice** in each procedure,
 - Once to verify that a token in the First set is the next token in the input
 - a second time to verify that a token in the Follow set is the next token on exit



Example

- This form of panic mode will generate reasonable errors.
- For example: $(2+-3)*4-+5$ will generate exactly two error messages,
 - (1) one at the first minus sign, and
 - (2) one at the second plus sign.




Note

- In general, ***synchset*** is to be passed down in the recursive calls, with new synchronizing tokens added as appropriate.
- As a exception, in the case of factor, ***exp*** is called with right parenthesis only as its follow set (*synchset* is discarded)
- The kind of ad hoc analysis accompanies panic mode error recovery.

For example, $(2+^*)$ would not generate a spurious error message at the right parenthesis.



4.5.2 Error Recovery in LL(1) Parsers

A series of horizontal lines in shades of red and white, located at the bottom right of the slide.

- Panic mode error recovery can be implemented in LL(1) parsers **in a similar manner** to the way it is implemented in recursive-decent parsing
 - A new stack is required to keep the **synchset** parameters;
 - A call to **checkinput must be scheduled** by the algorithm before each generate action of the algorithm;
- The **primary error situation occurs** when
 - The current input token is not in $\text{First}(A)$
(or $\text{Follow}(A)$, if ϵ is in $\text{First}(A)$)
 - A is the non-terminal at the top of the stack..
- The case where a token at the top of the stack is not the same as the current input token, does not normally occur



- An alternative to the use of an extra stack
 - Statically build the sets of synchronizing tokens directly into the LL(1) parsing table,
 - together with the corresponding actions that *checkinput* would take
- Given a non-terminal A at the top of the stack and an input token that is not in First(A)(or Follow(A), if ϵ is in First(A)), there are three possible alternative:
 1. Pop A from the stack (by the notation pop, is equivalent to a reduction by an ϵ -production)
 2. Successively pop tokens from the input until a token is seen for which we can restart the parse (by the notation scan)
 3. Push a new non-terminal onto the stack



Basic Methods

- Choosing alternative 1 if the current input token is \$ or is in $\text{Follow}(A)$;
(indicated by the notation *pop*)
- Alternative 2 if the current input token is not \$ and is not in $\text{First}(A) \cup \text{Follow}(A)$;
(indicated by the notation *scan*)
- Option 3 is occasionally useful in special situation.

Notice: The rationale of the first choice is that when we choose the ‘pop’ notation, it is **similar to reduce a non-terminal** if there was no error, and we usually reduce a non-terminal when we see the Follow set of a non-terminal.

Similarly, we can know the reason of alternative 2 and 3



Example: The Simple Expression Grammar

$\text{exp} \rightarrow \text{term exp'}$
 $\text{exp'} \rightarrow \text{addop term exp'} \mid \epsilon$
 $\text{addop} \rightarrow + -$
 $\text{term} \rightarrow \text{factor term'}$
 $\text{term'} \rightarrow \text{mulop factor term'} \mid \epsilon$
 $\text{mulop} \rightarrow *$
 $\text{factor} \rightarrow (\text{exp}) \mid \text{number}$

First Sets	Follow Sets
$\text{First}(\text{exp}) = \{ (, \text{number})$ $\text{First}(\text{exp'}) = \{ +, -, \epsilon \}$ $\text{First}(\text{term}) = \{ (, \text{number})$ $\text{First}(\text{term'}) = \{ *, \epsilon \}$ $\text{First}(\text{factor}) = \{ (, \text{number})$ $\text{First}(\text{addop}) = \{ +, - \}$ $\text{First}(\text{mulop}) = \{ * \}$	$\text{Follow}(\text{exp}) = \{ \$,) \}$ $\text{Follow}(\text{exp'}) = \{ \$,) \}$ $\text{Follow}(\text{addop}) = \{ (, \text{number})$ $\text{Follow}(\text{term}) = \{ \$, +, -,) \}$ $\text{Follow}(\text{term'}) = \{ \$, +, -,) \}$ $\text{Follow}(\text{mulop}) = \{ (, \text{number})$ $\text{Follow}(\text{factor}) = \{ \$, +, -, *,) \}$



The LL(1) Table

M[N,T]	(number)	+	-	*	\$
exp	$\text{exp} \rightarrow \text{term exp}'$	$\text{exp} \rightarrow \text{term exp}'$	pop	scan	scan	scan	pop
exp'	scan	scan	$\text{exp}' \rightarrow \epsilon$	$\text{exp}' \rightarrow$ add op term exp'	$\text{exp}' \rightarrow$ add op term exp'	scan	$\text{exp}' \rightarrow \epsilon$
addop	pop	pop	scan	$\text{addop} \rightarrow +$	$\text{addop} \rightarrow -$	scan	pop
term	$\text{term} \rightarrow \text{factor}$ term'	$\text{term} \rightarrow \text{factor}$ term'	pop	pop	pop	scan	pop
term'	scan	scan	$\text{term}' \rightarrow \epsilon$	$\text{term}' \rightarrow \epsilon$	$\text{term}' \rightarrow \epsilon$	$\text{term}' \rightarrow$ mulop factor term'	$\text{term}' \rightarrow \epsilon$
mulop	pop	pop	scan	scan	scan	$\text{mulop} \rightarrow *$	pop
factor	$\text{factor} \rightarrow (\text{expr})$	$\text{factor} \rightarrow \text{number}$	pop	pop	pop	pop	pop

Example

Given the string $(2+^*)$, the prefix $(2+$ has already been successfully matched)

Parsing stack	Input	Action
$\$E'T')E'T$	$*)\$$	Scan(error)
$\$E'T')E'T$	$)\$$	Pop(error)
$\$E'T')E'$	$)\$$	$E' \rightarrow \epsilon$
$\$E'T')$	$)\$$	Match
$\$E'T'$	$\$$	$T' \rightarrow \epsilon$
$\$E'$	$\$$	$E' \rightarrow \epsilon$
$\$$	$\$$	accept


- Note: There are **two adjacent error moves** before the parse resumes successfully.
- We can **arrange to suppress an error message on the second error move** by requiring, after the first error, that parser make one or more successful moves before generating any new error messages. Thus, error message cascades would be avoided.
- There is (at least) one problem in this error recovery method that calls for special action. **Since many error actions pop a nonterminal from the stack, it is possible for the stack to become empty, with some input still to parse.**

A simple case of this in the example just given is any string beginning with a right parenthesis: this will cause E to be immediately popped, leaving the stack empty with all the input yet to be consumed.

- One possible action that the parser can take in this situation is **to push the start symbol on the stack** and scan forward the input until a symbol in the First set of the start symbol is seen.



4.5.3 Error Recovery in the TINY Parser

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- The error handling of the TINY parser(Given in Appendix B)
- Extremely rudimentary:
 - only a very primitive form of panic mode recovery is implemented without synchronizing sets
 - Match procedure: declares error and stating which token is unexpected;
 - Statement procedure:
 - Factor procedure: declares error when no correct choice is found.
 - Parse procedure: declares error if a token other than end of file is found after parse finishes.
- The principle error message generated is “unexpected token”
 - Very unhelpful to the user;
 - Makes no attempt to avoid error cascades.



The sample program with a semicolon added after the write-statement:

```
...
5: read x;
6: if 0 < x then
7:     fact := 1;
8:     repeat
9:         fact := fact * x;
10:        x := x - 1
11:    until x = 0;
12:    write fact; (<——BAD SEMICOLON;)
13: end
14:
```

Cause the following two error messages to be generated:

1. Syntax error at line 13: unexpected token->reserved word: end
2. Syntax error at line 14: unexpected token->EOF



The same program with the comparison < deleted in the second line of code

```
...
5: read x;
6: if 0 x then (<——COMPARISON MISSING HERE!;)
7:     fact :=1;
8:     repeat
9:         fact:=fact *x;
10:        x:x-1
11:    until x =0;
12:    write fact
13: end
14:
```

cause four error messages to be printed in the listing:

1. Syntax error at line 6: unexpected token->ID, name =x
2. Syntax error at lint 6: unexpected token-> reserved word: then
3. Syntax error at line 6: unexpected token->reserved word: then
4. Syntax error at lint 7: unexpected token->ID, name=fact



- On the other hand, some of the TINY parse's behavior is reasonable.
- For example:
 - A missing semicolon will generate only one error message;
 - The parser will go on to build the correct syntax tree as if the semicolon had been there all along.
- This behavior results from two coding facts:
 - First, the match procedure does not consume a token;
 - Second, the stmt-sequence will connect up as much of the syntax tree as possible in the case of error.



The obvious way of writing the body of stmt-sequence based on the EBNF:

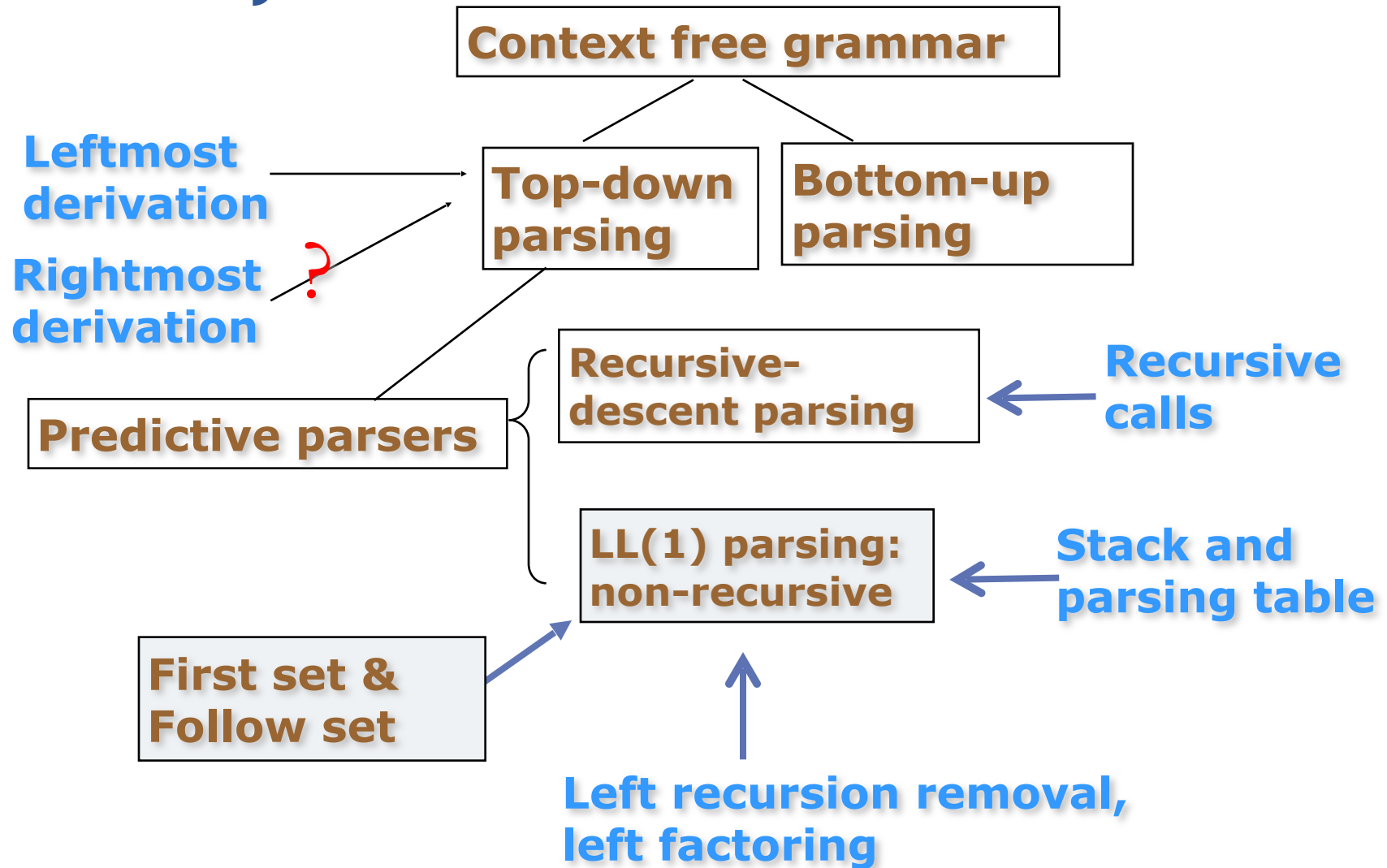
```
statement( );  
while (token==SEMI)  
{ match (SEMI);  
  statement( );  
}
```

and the form written with a more complicated loop test:

```
statement( );  
while ((token!=ENDFILE) && (token!=END)  
&& (token!=ELSE)&&(token!=UNTIL))  
{ match (SEMI);  
  statement( );  
}
```



Summary



End of Part Two

THANKS