Database: The Guthenberg Project (20 books from Children's Literature)

Started coding on a Jupyter Notebook to clean the dataset, split the books into paragraphs and sentences and play around with embeddings

First embedding: Sentence-BERT (cosine similarity = semantic distance)

Next step: Find the mathematical formulation for finding an ordering that minimizes the distance between neighbouring sentences (order 1) and implement it, consider higher orders?

Implemented and tested an algorithm that finds a local minimum for the minimal distance ordering problem with circular list of sentences

To test performance, but also if we want to eventually try a full end-to-end transformer network with pages as tokens, we need a loss function for orderings ⇒ distance over permutations :

$$\#swaps\left(\sigma_2^{-1}(\sigma_1)\right)$$

Researched on the structure of neural networks and understood the structure of the classifier to implement: a (freeze-)BERT + concatenation to introduce an asymmetry between A and B + linear fully connected + ReLU + linear n to 1 + sigmoid

Next steps: Alternative increment algo for minimal distance ordering, find an children's books author who has some 10-20 books in The Gutenberg Project, better distance on permutations, implement the classifier

Distance measures over permutations : <u>Article</u> p373, swap distance looks fine ?

$$\#swaps = \sum_{i=1}^{n} \sum_{\substack{j=1 \ \sigma_1(i) < \sigma_1(j)}}^{n} \mathbb{1}_{\sigma_2(i) > \sigma_2(j)}$$

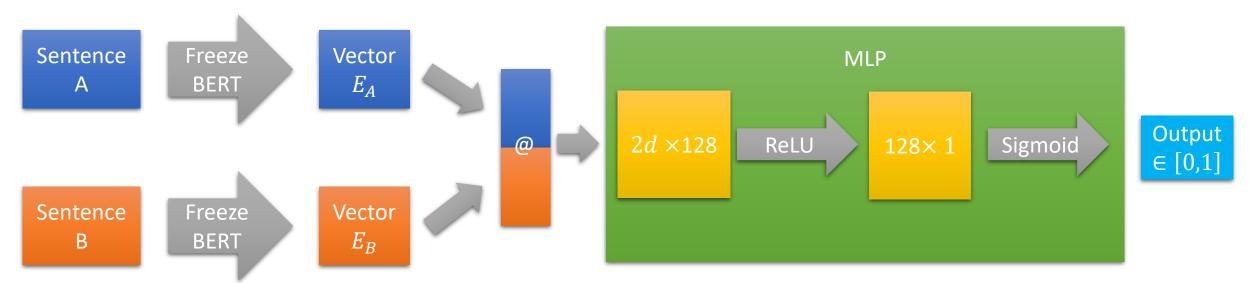
Implemented and sanity check -> the minimal ordering algorithm improves the swap distance significantly for a random permutation

As expected, the incremental algorithm for minimal ordering performs better on a solution already good, but way worse on a random permutation

Database of similar books: 27 books from the "Tom Swift" series by Victor Appleton and added an option to swap back and forth between databases

Next steps: Implement the full end-to-end transformer, complete default database, debug and finetune classifier ( $\alpha$ ), try to batch sentences for BERT

# **Classifier architecture**



Other distance on permutations to compare – R-distance (unidirectional adjacency distance):

$$\#\{(i,j)\in\mathbb{N}^2, \exists n_1,n_2, \left(\sigma_1(n_1),\sigma_1(n_1+1)\right)=\left(\sigma_1(n_2),\sigma_2(n_2+1)\right)=(i,j)\}$$

Tutorial on PyTorch + rewriting the classifier with PyTorch

Should I have an activation function after the last layer?

What mathematical formula to find a global ordering based on pairwise page orders?

Tutorial on using VSCode as an IDE and setting up a coding project

Next steps: Implement the full end-to-end transformer, complete default database, finetune, test and **exploit** classifier ( $\alpha$ /overfit), try to batch sentences for BERT, compact code

Corrected train/validation/test split on sentences and not pairs of sentences

Compacted code into several .py files imported in the Jupyter Notebook

Added the F1 score and the AUC for ROC curve → training on different books to generalize

If we embed the sentences of each book separately, we get different sizes of vectors  $\rightarrow$  On what depends the output dimensions of BERT ??  $\rightarrow$  solution

Classifier validation for hyperparameter finetuning on different books, epochs = 1000,  $\alpha = 0.01$ , L2 = 0

Created the greedy ordering algo in  $O(n^2)$  which finds local minimum global ordering of sentences based on pairwise orders, permutation scores

$$\delta_{swaps} = ??, \qquad \delta_R = ??$$

Next steps: Implement the full end-to-end transformer, complete default database, try to batch sentences for BERT

We don't get different sizes of vectors for different books, just a different number of page (fixed code)

Training on several books, test accuracy = 58%, accuracy on new book = 57%

# Setup:

- EPFL VPN
- SSH to GPU server on VS Code
- GitHub Repository
- Running the code on the SSH

Parallelized computing "accelerate"

#### Report:

- Motivating the subject,
- Previous research on this topic, (https://www.jair.org/index.php/jair/article/view/12839/26707)
- Formal description of the problem and metrics,
- Why is this problem challenging and not yet solved,
- Different paradigms and formal description of the current classifier approach

Next steps: from BERT to JINA, batch sentences, database with pages, literature review for pairwise order to global order, implement the full end-to-end transformer, chapter clustering

Learned a bit about transformers, tokens, veq2seq, seq2vec, seq2seq, the underlying architecture of transformers and their advantages compared to LSTM, positional embedding, the multi-head attention mechanism, residual connections (equivalent of skip blocks in computer vision)

Literature survey on set-to-sequence problems in ML (40 pages): <a href="https://www.jair.org/index.php/jair/article/view/12839/26707">https://www.jair.org/index.php/jair/article/view/12839/26707</a>

Design of the transformer end-to-end approach, main challenges are

- To design the output representing a distribution in the permutation space in a computationally reasonable way
- Design the network so it is not dependent on the input order *ie* input set coding is permutation invariant or equivariant
- Alternatively, we can use a transformer with whole pages as tokens, but the transformer might not grasp any semantic meaning

There are hierarchical auto-encoder solutions (<u>example</u>) but we will focus on designing a solution using a permutation invariant input and attention pointer output based on <u>Set Transformer</u>

Next steps: from BERT to JINA, batch sentences, database with pages, implement the full end-to-end permutation invariant transformer, chapter clustering

Batching sentences for classifier, performance for 25 Tom Swift books 100% used : 80% accuracy and 80% F1-score for the test set 70% accuracy and 70% F1-score for a new book

Created a database from the current books with pagelike structure: The average length of a page in books is around 250 words which roughly corresponds to the limit of 256 tokens of the sentence-BERT we use

BERT to JINA: No need for the 8000 token length of JINA because the 256 token limitation of sentence-BERT isn't limiting us when processing 1 page, we switched sentence-BERT models from all-MiniLM-L6-v2 to all-mpnet-base-v2 that has max token size of 384 instead

## Report:

- formal description of the current classifier approach
- 2 challenges of the set-to-sequence problem
- permutation invariance is not necessary and equivariance is enough
- description of the Set Transformer approach

Next steps: implement the full end-to-end permutation invariant transformer, chapter clustering, maybe TSP genetic algorithm

TSP: Adding the transitivity hypothesis (page1 before page2 and page 2 before page 3 implies page1 before page3)

- Enforce it to make the results more consistent (min weighted transitive closure with Floyd-Warshall)

$$w_{i,j} = \frac{1}{\left| \text{logit}(p_{i,j}) \right|}$$

- Use it to get the permutation with topological sort

$$\delta_{swap} = 0.125, \qquad \delta_R = 0.897$$

For comparison for a random permutation of length 156,

$$\delta_{swap} = 0.5$$
,  $\delta_R = 0.994$ 

## Report:

- Transitive closure
- TSP approximation analysis
- token reordering vs embedding reordering

Next steps: implement permutation invariant method, maybe TSP genetic algorithm, TSP polynomial 1.5-approximation (Karlin), chapter clustering and intent detection (COPA)



**DRAWING** 

Test

Improvements on the set transformer (add to report)

Adaptions from the set transformer (add to report)

Old English tokenizer

Next steps: improve permutation invariant method, maybe TSP genetic algorithm, TSP polynomial 1.5-approximation (Karlin), chapter clustering and intent detection (COPA)