

## Box(-counting) dimension.

A box is an interval in  $\mathbb{R}$ , a square in  $\mathbb{R}^2$ , a cube in  $\mathbb{R}^3$ , ... .

How many boxes with side  $\epsilon$  are needed to cover a bounded set  $X$  in  $\mathbb{R}^k$ ?

Denote this number  $N(\epsilon)$ .

$X = \text{unit interval}$	$X = \text{unit square}$	$X = \text{unit cube}$	In each case,
$N(\epsilon) \approx (1/\epsilon)^1$	$N(\epsilon) \approx (1/\epsilon)^2$	$N(\epsilon) \approx (1/\epsilon)^3$	$d \approx \frac{\ln N(\epsilon)}{\ln(1/\epsilon)}.$

**Def.** The **box(-counting) dimension** of a bounded set  $X$  in  $\mathbb{R}^k$  is

$$\dim_B(X) = \lim_{\epsilon \rightarrow 0^+} \frac{\ln N(\epsilon)}{\ln(1/\epsilon)}, \quad \text{if the limit exists,}$$

where  $N(\epsilon)$  is the least number of boxes with side  $\epsilon$  needed to cover  $X$ .

If the limit does not exist, we consider the upper and lower box dimensions,  $\overline{\dim}_B(X)$  and  $\underline{\dim}_B(X)$ , defined as the corresponding lim inf and lim sup.

- If the limit along a decreasing sequence  $\epsilon_n \rightarrow 0$  with  $\epsilon_{n+1} \geq c\epsilon_n$  equals  $d$ , then  $\dim_B(X) = d$ .

**Example.**  $C$  = the Cantor set.

At step  $n$ , the set  $C_n$  consists of  $2^n$  intervals of length  $1/3^n$ .

So we take  $\epsilon_n = 1/3^n$ . Then  $N(\epsilon_n) = 2^n$  and  $\frac{\ln N(\epsilon_n)}{\ln(1/\epsilon_n)} = \frac{\ln 2^n}{\ln 3^n} = \frac{\ln 2}{\ln 3}$ .

Hence  $\dim_B(C) = \frac{\ln 2}{\ln 3} = d_{sim}(C)$ .

- For the examples we considered, it also holds that  $\dim_B = d_{sim}$ .  
*Find their similarity and box dimensions.*
- Box dimension: pluses and minuses.
  - (+) Relatively easy to define and to compute/estimate.
  - (−) The limit does not necessarily exist, and we may only get liminf and limsup.
  - (−) A countable set may have positive box dimension (*see next slide*),  
and so adding countably many points to a set can change its box dimension.
- **Hausdorff dimension**,  $\dim_H(X)$ , does not have these drawbacks, but it is harder to define and compute/estimate.  
The construction involves covering  $X$  by balls of diameter *at most*  $\epsilon$ .
- For any bounded set  $X \subset \mathbb{R}^k$ ,  $\dim_H(X) \leq \underline{\dim}_B(X) \leq \overline{\dim}_B(X) \leq k$ .
- For the sets that we considered,  $\dim_H(X) = \dim_B(X) = \dim_{sim}(X)$ .