

CENTRAL PROCESSING UNIT

- **Introduction**
- **General Register Organization**
- **Stack Organization**
- **Instruction Formats**
- **Addressing Modes**
- **Data Transfer and Manipulation**
- **Program Control**
- **Reduced Instruction Set Computer**

MAJOR COMPONENTS OF CPU

- Storage Components

- Registers

- Flags

- Execution (Processing) Components

- Arithmetic Logic Unit(ALU)

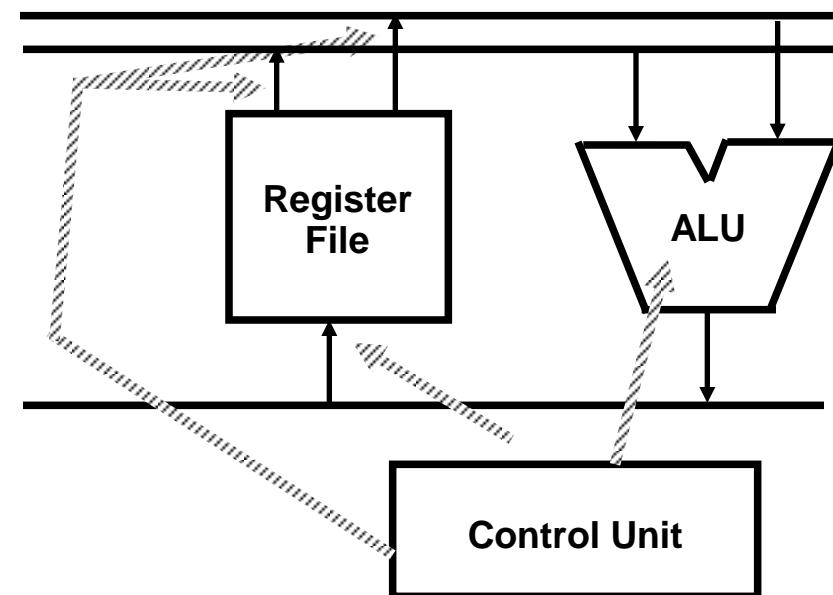
- Arithmetic calculations, Logical computations, Shifts/Rotates

- Transfer Components

- Bus

- Control Components

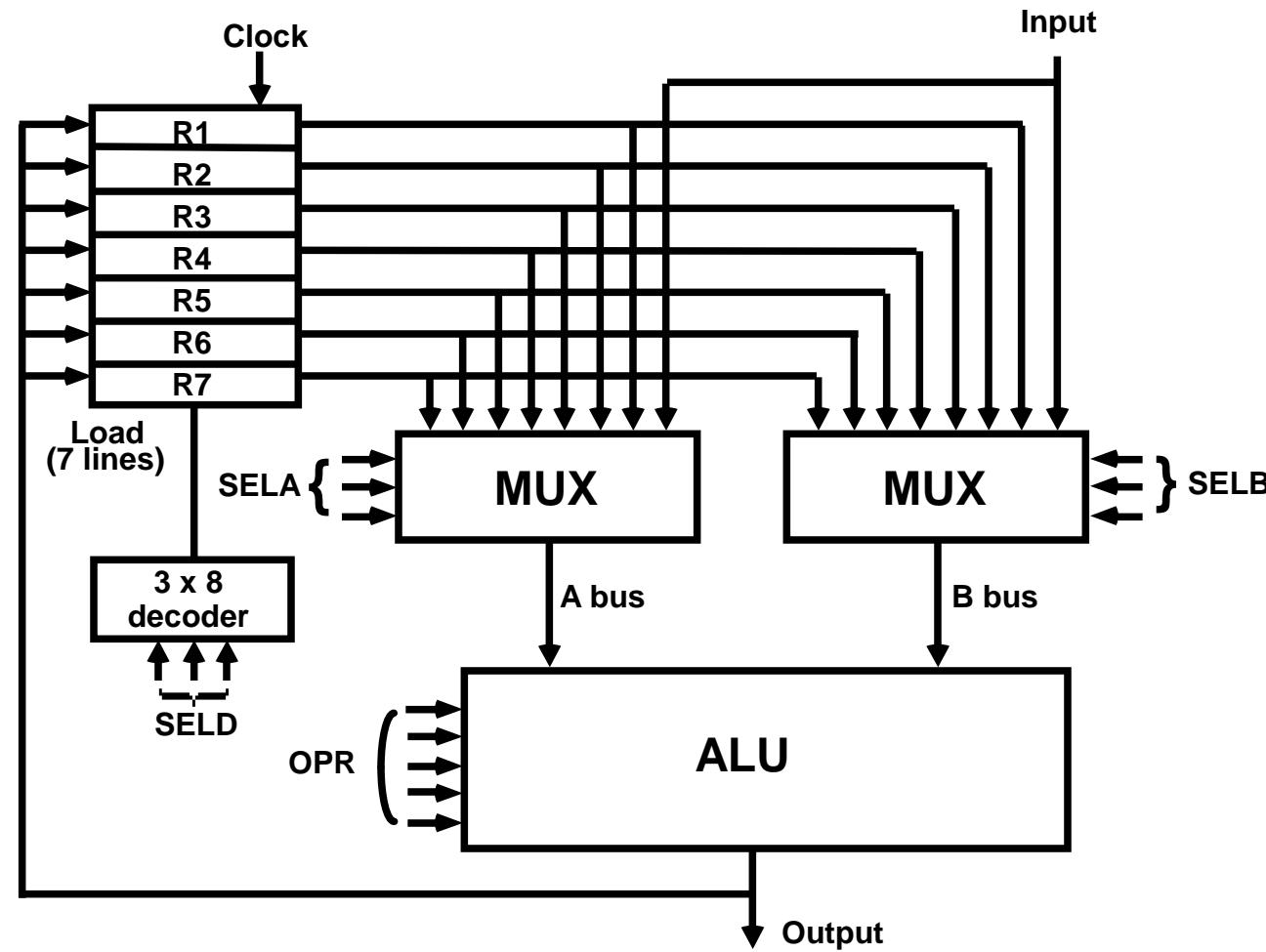
- Control Unit



REGISTERS

- In Basic Computer, there is only one general purpose register, the Accumulator (AC)
- In modern CPUs, there are many general purpose registers
- It is advantageous to have many registers
 - Transfer between registers within the processor are relatively fast
 - Going “off the processor” to access memory is much slower
- How many registers will be the best ?

GENERAL REGISTER ORGANIZATION



OPERATION OF CONTROL UNIT

The control unit

Directs the information flow through ALU by

- Selecting various *Components* in the system
- Selecting the *Function* of ALU

Example: $R1 \leftarrow R2 + R3$

[1] MUX A selector (SELA): BUS A $\leftarrow R2$

[2] MUX B selector (SELB): BUS B $\leftarrow R3$

[3] ALU operation selector (OPR): ALU to ADD

[4] Decoder destination selector (SELD): $R1 \leftarrow \text{Out Bus}$



Encoding of register selection fields

Binary Code	SELA	SELB	SELD
000	Input	Input	None
001	R1	R1	R1
010	R2	R2	R2
011	R3	R3	R3
100	R4	R4	R4
101	R5	R5	R5
110	R6	R6	R6
111	R7	R7	R7

ALU CONTROL

Encoding of ALU operations

OPR Select	Operation	Symbol
00000	Transfer A	TSFA
00001	Increment A	INCA
00010	ADD A + B	ADD
00101	Subtract A - B	SUB
00110	Decrement A	DECA
01000	AND A and B	AND
01010	OR A and B	OR
01100	XOR A and B	XOR
01110	Complement A	COMA
10000	Shift right A	SHRA
11000	Shift left A	SHLA

Examples of ALU Microoperations

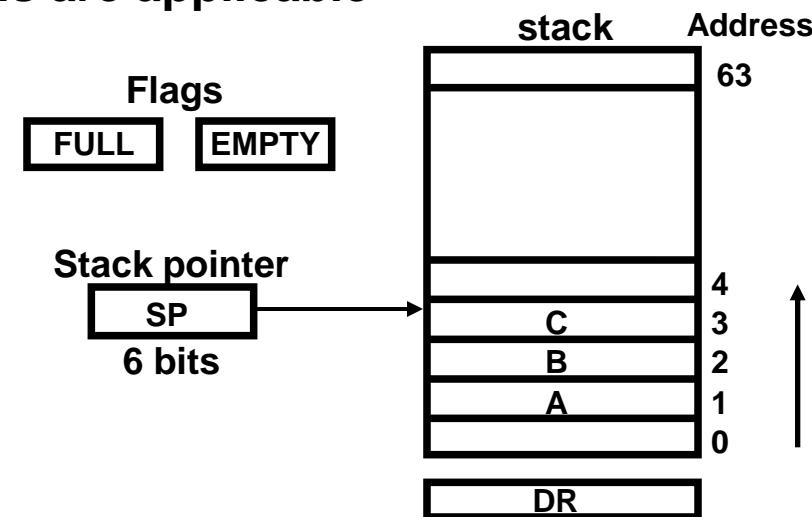
Microoperation	Symbolic Designation				Control Word
	SEL A	SEL B	SEL D	OPR	
R1 \leftarrow R2 - R3	R2	R3	R1	SUB	010 011 001 00101
R4 \leftarrow R4 \vee R5	R4	R5	R4	OR	100 101 100 01010
R6 \leftarrow R6 + 1	R6	-	R6	INCA	110 000 110 00001
R7 \leftarrow R1	R1	-	R7	TSFA	001 000 111 00000
Output \leftarrow R2	R2	-	None	TSFA	010 000 000 00000
Output \leftarrow Input	Input	-	None	TSFA	000 000 000 00000
R4 \leftarrow shl R4	R4	-	R4	SHLA	100 000 100 11000
R5 \leftarrow 0	R5	R5	R5	XOR	101 101 101 01100

REGISTER STACK ORGANIZATION

Stack

- Very useful feature for nested subroutines, nested interrupt services
- Also efficient for arithmetic expression evaluation
- Storage which can be accessed in LIFO
- Pointer: SP
- Only PUSH and POP operations are applicable

Register Stack



Push, Pop operations

/* Initially, SP = 0, EMPTY = 1, FULL = 0 */

PUSH

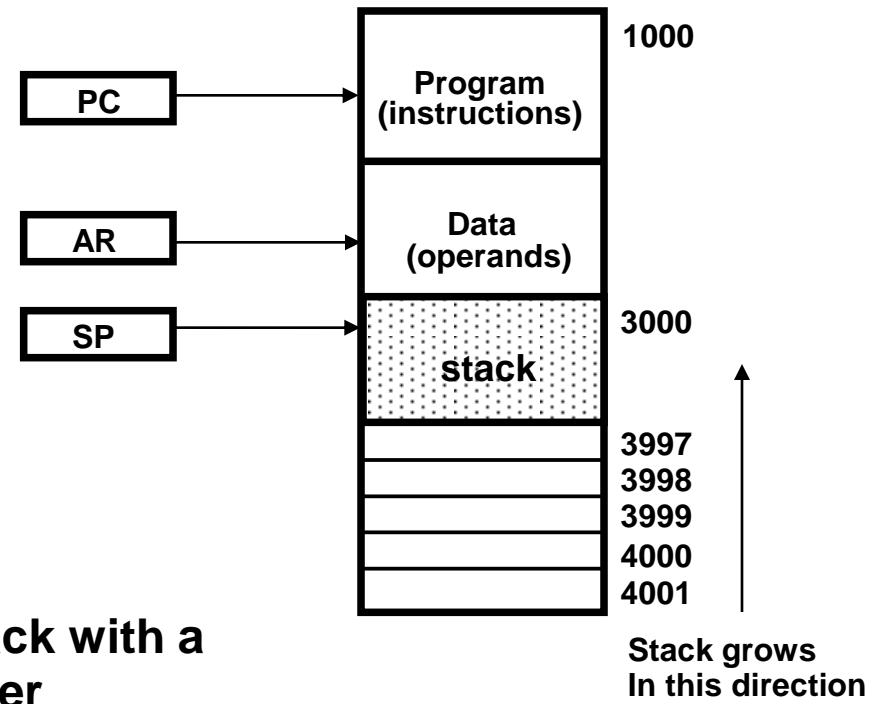
```
SP ← SP + 1
M[SP] ← DR
If (SP = 63) then (FULL ← 1)
EMPTY ← 0
```

POP

```
DR ← M[SP]
SP ← SP - 1
If (SP = 0) then (EMPTY ← 1)
FULL ← 0
```

MEMORY STACK ORGANIZATION

Memory with Program, Data, and Stack Segments



- A portion of memory is used as a stack with a processor register as a stack pointer
- PUSH: $SP \leftarrow SP - 1$
 $M[SP] \leftarrow DR$
- POP: $DR \leftarrow M[SP]$
 $SP \leftarrow SP + 1$
- Most computers do not provide hardware to check stack overflow (full stack) or underflow (empty stack) → must be done in software

REVERSE POLISH NOTATION

- Arithmetic Expressions: A + B

A + B Infix notation

+ A B Prefix or Polish notation

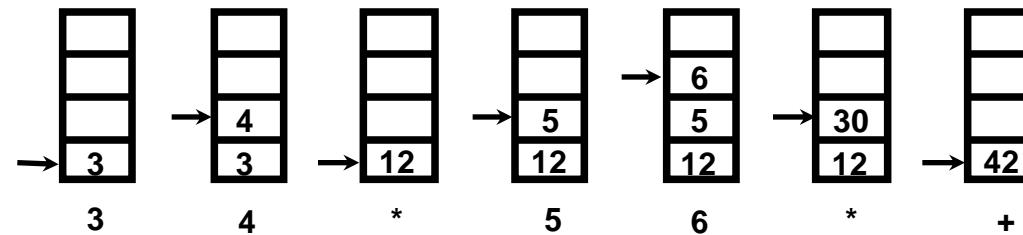
A B + Postfix or reverse Polish notation

- The reverse Polish notation is very suitable for stack manipulation

- Evaluation of Arithmetic Expressions

Any arithmetic expression can be expressed in parenthesis-free Polish notation, including reverse Polish notation

$$(3 * 4) + (5 * 6) \Rightarrow 3\ 4\ * \ 5\ 6\ * \ +$$



PROCESSOR ORGANIZATION

- In general, most processors are organized in one of 3 ways
 - Single register (Accumulator) organization
 - » Basic Computer is a good example
 - » Accumulator is the only general purpose register
 - General register organization
 - » Used by most modern computer processors
 - » Any of the registers can be used as the source or destination for computer operations
 - Stack organization
 - » All operations are done using the hardware stack
 - » For example, an OR instruction will pop the two top elements from the stack, do a logical OR on them, and push the result on the stack

INSTRUCTION FORMAT

- **Instruction Fields**

OP-code field - specifies the operation to be performed

Address field - designates memory address(es) or a processor register(s)

Mode field - determines how the address field is to be interpreted (to get effective address or the operand)

- The number of address fields in the instruction format depends on the internal organization of CPU

- The three most common CPU organizations:

Single accumulator organization:

ADD X /* AC \leftarrow AC + M[X] */

General register organization:

ADD R1, R2, R3 /* R1 \leftarrow R2 + R3 */

ADD R1, R2 /* R1 \leftarrow R1 + R2 */

MOV R1, R2 /* R1 \leftarrow R2 */

ADD R1, X /* R1 \leftarrow R1 + M[X] */

Stack organization:

PUSH X /* TOS \leftarrow M[X] */

ADD

THREE, AND TWO-ADDRESS INSTRUCTIONS

- Three-Address Instructions

Program to evaluate $X = (A + B) * (C + D)$:

ADD R1, A, B	/* $R1 \leftarrow M[A] + M[B]$	*/
ADD R2, C, D	/* $R2 \leftarrow M[C] + M[D]$	*/
MUL X, R1, R2	/* $M[X] \leftarrow R1 * R2$	*/

- Results in short programs
- Instruction becomes long (many bits)

- Two-Address Instructions

Program to evaluate $X = (A + B) * (C + D)$:

MOV R1, A	/* $R1 \leftarrow M[A]$	*/
ADD R1, B	/* $R1 \leftarrow R1 + M[B]$	*/
MOV R2, C	/* $R2 \leftarrow M[C]$	*/
ADD R2, D	/* $R2 \leftarrow R2 + M[D]$	*/
MUL R1, R2	/* $R1 \leftarrow R1 * R2$	*/
MOV X, R1	/* $M[X] \leftarrow R1$	*/

ONE, AND ZERO-ADDRESS INSTRUCTIONS

- One-Address Instructions

- Use an implied AC register for all data manipulation
- Program to evaluate $X = (A + B) * (C + D)$:

LOAD	A	$\/* \text{AC} \leftarrow M[A] */$
ADD	B	$\/* \text{AC} \leftarrow \text{AC} + M[B] */$
STORE	T	$\/* M[T] \leftarrow \text{AC} */$
LOAD	C	$\/* \text{AC} \leftarrow M[C] */$
ADD	D	$\/* \text{AC} \leftarrow \text{AC} + M[D] */$
MUL	T	$\/* \text{AC} \leftarrow \text{AC} * M[T] */$
STORE	X	$\/* M[X] \leftarrow \text{AC} */$

- Zero-Address Instructions

- Can be found in a stack-organized computer
- Program to evaluate $X = (A + B) * (C + D)$:

PUSH	A	$\/* \text{TOS} \leftarrow A */$
PUSH	B	$\/* \text{TOS} \leftarrow B */$
ADD		$\/* \text{TOS} \leftarrow (A + B) */$
PUSH	C	$\/* \text{TOS} \leftarrow C */$
PUSH	D	$\/* \text{TOS} \leftarrow D */$
ADD		$\/* \text{TOS} \leftarrow (C + D) */$
MUL		$\/* \text{TOS} \leftarrow (C + D) * (A + B) */$
POP	X	$\/* M[X] \leftarrow \text{TOS} */$

ADDRESSING MODES

- Addressing Modes

- * Specifies a rule for interpreting or modifying the address field of the instruction (before the operand is actually referenced)
- * Variety of addressing modes
 - to give programming flexibility to the user
 - to use the bits in the address field of the instruction efficiently

TYPES OF ADDRESSING MODES

- **Implied Mode**

Address of the operands are specified implicitly in the definition of the instruction

- No need to specify address in the instruction
- $EA = AC$, or $EA = \text{Stack}[SP]$
- Examples from Basic Computer
CLA, CME, INP

- **Immediate Mode**

Instead of specifying the address of the operand, operand itself is specified

- No need to specify address in the instruction
- However, operand itself needs to be specified
- Sometimes, require more bits than the address
- Fast to acquire an operand

TYPES OF ADDRESSING MODES

- Register Mode

Address specified in the instruction is the register address

- Designated operand need to be in a register
- Shorter address than the memory address
- Saving address field in the instruction
- Faster to acquire an operand than the memory addressing
- $EA = IR(R)$ ($IR(R)$: Register field of IR)

- Register Indirect Mode

Instruction specifies a register which contains the memory address of the operand

- Saving instruction bits since register address is shorter than the memory address
- Slower to acquire an operand than both the register addressing or memory addressing
- $EA = [IR(R)]$ ($[x]$: Content of x)

- Autoincrement or Autodecrement Mode

- When the address in the register is used to access memory, the value in the register is incremented or decremented by 1 automatically

TYPES OF ADDRESSING MODES

- Direct Address Mode

Instruction specifies the memory address which can be used directly to access the memory

- Faster than the other memory addressing modes
- Too many bits are needed to specify the address for a large physical memory space
- EA = IR(addr) (IR(addr): address field of IR)

- Indirect Addressing Mode

The address field of an instruction specifies the address of a memory location that contains the address of the operand

- When the abbreviated address is used large physical memory can be addressed with a relatively small number of bits
- Slow to acquire an operand because of an additional memory access
- EA = M[IR(address)]

TYPES OF ADDRESSING MODES

- Relative Addressing Modes

The Address fields of an instruction specifies the part of the address (abbreviated address) which can be used along with a designated register to calculate the address of the operand

- Address field of the instruction is short
- Large physical memory can be accessed with a small number of address bits
- $EA = f(IR(address), R)$, R is sometimes implied

3 different Relative Addressing Modes depending on R;

- * **PC Relative Addressing Mode (R = PC)**

- $EA = PC + IR(address)$

- * **Indexed Addressing Mode (R = IX, where IX: Index Register)**

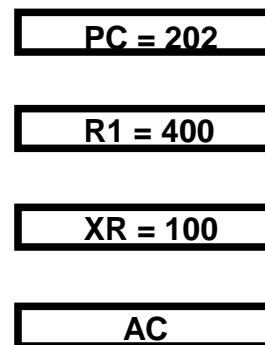
- $EA = IX + IR(address)$

- * **Base Register Addressing Mode**

- (R = BAR, where BAR: Base Address Register)

- $EA = BAR + IR(address)$

ADDRESSING MODES - EXAMPLES -



Address	Memory
200	Load to AC Mode
201	Address = 500
202	Next instruction
399	450
400	700
500	800
600	900
702	325
800	300

Addressing Mode	Effective Address	Content of AC	
Direct address	500	$/* \text{AC} \leftarrow (500)$	*/ 800
Immediate operand	-	$/* \text{AC} \leftarrow 500$	*/ 500
Indirect address	800	$/* \text{AC} \leftarrow ((500))$	*/ 300
Relative address	702	$/* \text{AC} \leftarrow (\text{PC}+500)$	*/ 325
Indexed address	600	$/* \text{AC} \leftarrow (\text{RX}+500)$	*/ 900
Register	-	$/* \text{AC} \leftarrow \text{R1}$	*/ 400
Register indirect	400	$/* \text{AC} \leftarrow (\text{R1})$	*/ 700
Autoincrement	400	$/* \text{AC} \leftarrow (\text{R1})+$	*/ 700
Autodecrement	399	$/* \text{AC} \leftarrow -(\text{R})$	*/ 450

DATA TRANSFER INSTRUCTIONS

- Typical Data Transfer Instructions

Name	Mnemonic
Load	LD
Store	ST
Move	MOV
Exchange	XCH
Input	IN
Output	OUT
Push	PUSH
Pop	POP

- Data Transfer Instructions with Different Addressing Modes

Mode	Assembly Convention	Register Transfer
Direct address	LD ADR	$AC \leftarrow M[ADR]$
Indirect address	LD @ADR	$AC \leftarrow M[M[ADR]]$
Relative address	LD \$ADR	$AC \leftarrow M[PC + ADR]$
Immediate operand	LD #NBR	$AC \leftarrow NBR$
Index addressing	LD ADR(X)	$AC \leftarrow M[ADR + XR]$
Register	LD R1	$AC \leftarrow R1$
Register indirect	LD (R1)	$AC \leftarrow M[R1]$
Autoincrement	LD (R1)+	$AC \leftarrow M[R1], R1 \leftarrow R1 + 1$
Autodecrement	LD -(R1)	$R1 \leftarrow R1 - 1, AC \leftarrow M[R1]$

DATA MANIPULATION INSTRUCTIONS

- Three Basic Types: Arithmetic instructions
Logical and bit manipulation instructions
Shift instructions
- Arithmetic Instructions

Name	Mnemonic
Increment	INC
Decrement	DEC
Add	ADD
Subtract	SUB
Multiply	MUL
Divide	DIV
Add with Carry	ADDC
Subtract with Borrow	SUBB
Negate(2's Complement)	NEG

- Logical and Bit Manipulation Instructions

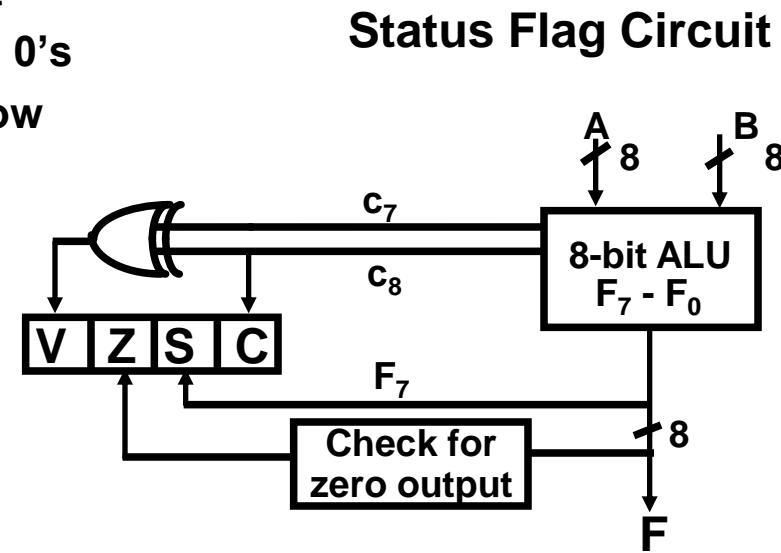
Name	Mnemonic
Clear	CLR
Complement	COM
AND	AND
OR	OR
Exclusive-OR	XOR
Clear carry	CLRC
Set carry	SETC
Complement carry	COMC
Enable interrupt	EI
Disable interrupt	DI

- Shift Instructions

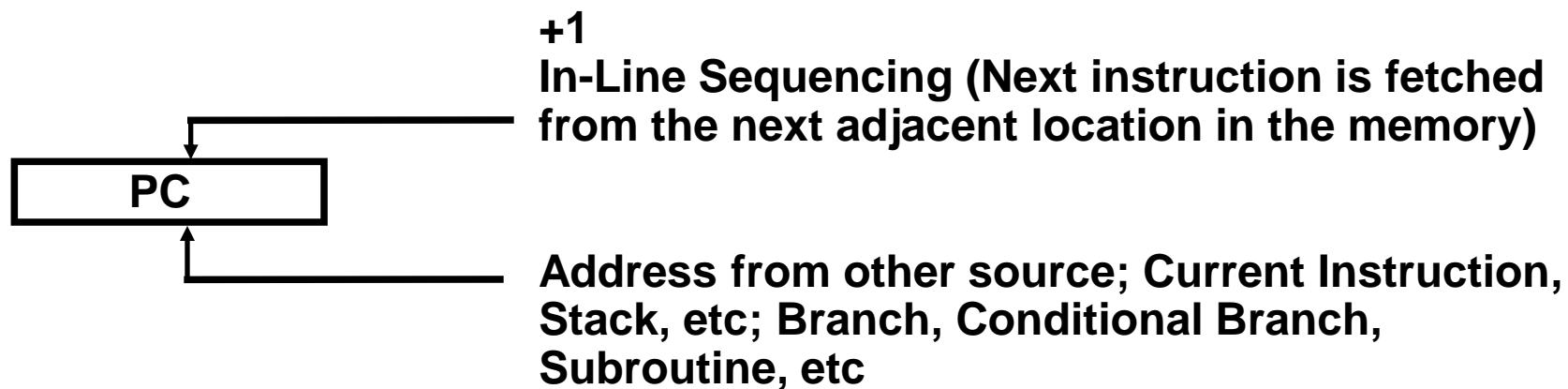
Name	Mnemonic
Logical shift right	SHR
Logical shift left	SHL
Arithmetic shift right	SHRA
Arithmetic shift left	SHLA
Rotate right	ROR
Rotate left	ROL
Rotate right thru carry	RORC
Rotate left thru carry	ROLC

FLAG, PROCESSOR STATUS WORD

- In Basic Computer, the processor had several (status) flags – 1 bit value that indicated various information about the processor's state – E, FGI, FGO, I, IEN, R
- In some processors, flags like these are often combined into a register – the processor status register (PSR); sometimes called a processor status word (PSW)
- Common flags in PSW are
 - C (Carry): Set to 1 if the carry out of the ALU is 1
 - S (Sign): The MSB bit of the ALU's output
 - Z (Zero): Set to 1 if the ALU's output is all 0's
 - V (Overflow): Set to 1 if there is an overflow



PROGRAM CONTROL INSTRUCTIONS



- Program Control Instructions

Name	Mnemonic
Branch	BR
Jump	JMP
Skip	SKP
Call	CALL
Return	RTN
Compare(by -)	CMP
Test(by AND)	TST

* CMP and TST instructions do not retain their results of operations (– and AND, respectively). They only set or clear certain Flags.

CONDITIONAL BRANCH INSTRUCTIONS

Mnemonic	Branch condition	Tested condition
BZ	Branch if zero	Z = 1
BNZ	Branch if not zero	Z = 0
BC	Branch if carry	C = 1
BNC	Branch if no carry	C = 0
BP	Branch if plus	S = 0
BM	Branch if minus	S = 1
BV	Branch if overflow	V = 1
BNV	Branch if no overflow	V = 0
<i>Unsigned</i> compare conditions (A - B)		
BHI	Branch if higher	A > B
BHE	Branch if higher or equal	A ≥ B
BLO	Branch if lower	A < B
BLOE	Branch if lower or equal	A ≤ B
BE	Branch if equal	A = B
BNE	Branch if not equal	A ≠ B
<i>Signed</i> compare conditions (A - B)		
BGT	Branch if greater than	A > B
BGE	Branch if greater or equal	A ≥ B
BLT	Branch if less than	A < B
BLE	Branch if less or equal	A ≤ B
BE	Branch if equal	A = B
BNE	Branch if not equal	A ≠ B

SUBROUTINE CALL AND RETURN

- Subroutine Call
 - Call subroutine
 - Jump to subroutine
 - Branch to subroutine
 - Branch and save return address
- Two Most Important Operations are Implied:
 - * Branch to the beginning of the Subroutine
 - Same as the Branch or Conditional Branch
 - * Save the Return Address to get the address of the location in the Calling Program upon exit from the Subroutine
- Locations for storing Return Address
 - Fixed Location in the subroutine (Memory)
 - Fixed Location in memory
 - In a processor Register
 - In memory stack
 - most efficient way

```
CALL
SP ← SP - 1
M[SP] ← PC
PC ← EA

RTN
PC ← M[SP]
SP ← SP + 1
```

PROGRAM INTERRUPT

Types of Interrupts

External interrupts

External Interrupts initiated from the outside of CPU and Memory

- I/O Device → Data transfer request or Data transfer complete
- Timing Device → Timeout
- Power Failure
- Operator

Internal interrupts (traps)

Internal Interrupts are caused by the currently running program

- Register, Stack Overflow
- Divide by zero
- OP-code Violation
- Protection Violation

Software Interrupts

Both External and Internal Interrupts are initiated by the computer HW.

Software Interrupts are initiated by the executing an instruction.

- Supervisor Call → Switching from a user mode to the supervisor mode
 - Allows to execute a certain class of operations which are not allowed in the user mode

INTERRUPT PROCEDURE

Interrupt Procedure and Subroutine Call

- The interrupt is usually initiated by an internal or an external signal rather than from the execution of an instruction (except for the software interrupt)
- The address of the interrupt service program is determined by the hardware rather than from the address field of an instruction
- An interrupt procedure usually stores all the information necessary to define the state of CPU rather than storing only the PC.

The state of the CPU is determined from;

Content of the PC

Content of all processor registers

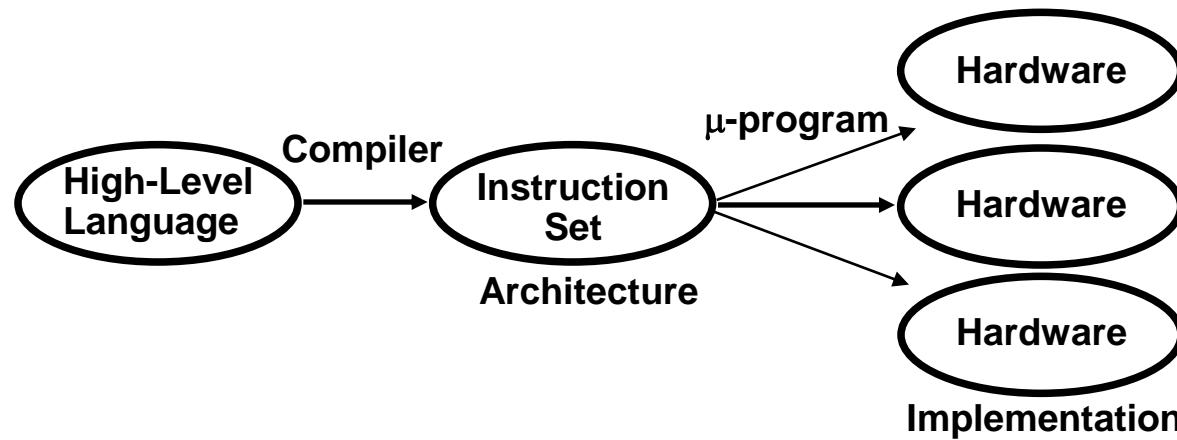
Content of status bits

Many ways of saving the CPU state
depending on the CPU architectures

RISC: Historical Background

IBM System/360, 1964

- The real beginning of modern computer architecture
- Distinction between *Architecture* and *Implementation*
- **Architecture:** The abstract structure of a computer seen by an assembly-language programmer



- Continuing growth in semiconductor memory and microprogramming
 - ⇒ A much richer and complicated instruction sets
 - ⇒ CISC(Complex Instruction Set Computer)

ARGUMENTS ADVANCED AT THAT TIME

- Richer instruction sets would simplify compilers
- Richer instruction sets would alleviate the software crisis
 - move as much functions to the hardware as possible
- Richer instruction sets would improve *architecture quality*

ARCHITECTURE DESIGN PRINCIPLES - IN 70's -

- Large microprograms would add little or nothing to the cost of the machine
 - ← Rapid growth of memory technology
 - ⇒ Large General Purpose Instruction Set
- Microprogram is much faster than the machine instructions
 - ← Microprogram memory is much faster than main memory
 - ⇒ Moving the software functions into microprogram for the high performance machines
- Execution speed is proportional to the program size
 - ← Architectural techniques that led to small program
 - ⇒ High performance instruction set
- Number of registers in CPU has limitations
 - ← Very costly
 - ⇒ Difficult to utilize them efficiently

COMPARISONS OF EXECUTION MODELS

$A \leftarrow B + C$ Data: 32-bit

- Register-to-register

	8	4	16
Load	rB		B
Load	rC		C
Add	rA	rB	rC
Store	rA		A

I = 104b; D = 96b; M = 200b

- Memory-to-register

	8	16
Load		B
Add		C
Store		A

I = 72b; D = 96b; M = 168b

- Memory-to-memory

8	16	16	16
Add	B	C	A

I = 56b; D = 96b; M = 152b

COMPLEX INSTRUCTION SET COMPUTER

- These computers with many instructions and addressing modes came to be known as Complex Instruction Set Computers (CISC)
- One goal for CISC machines was to have a machine language instruction to match each high-level language statement type

VARIABLE LENGTH INSTRUCTIONS

- The large number of instructions and addressing modes led CISC machines to have variable length instruction formats
- The large number of instructions means a greater number of bits to specify them
- In order to manage this large number of opcodes efficiently, they were encoded with different lengths:
 - More frequently used instructions were encoded using short opcodes.
 - Less frequently used ones were assigned longer opcodes.
- Also, multiple operand instructions could specify different addressing modes for each operand
 - For example,
 - » Operand 1 could be a directly addressed register,
 - » Operand 2 could be an indirectly addressed memory location,
 - » Operand 3 (the destination) could be an indirectly addressed register.
- All of this led to the need to have different length instructions in different situations, depending on the opcode and operands used

VARIABLE LENGTH INSTRUCTIONS

- For example, an instruction that only specifies register operands may only be two bytes in length
 - One byte to specify the instruction and addressing mode
 - One byte to specify the source and destination registers.
- An instruction that specifies memory addresses for operands may need five bytes
 - One byte to specify the instruction and addressing mode
 - Two bytes to specify each memory address
 - » Maybe more if there's a large amount of memory.
- Variable length instructions greatly complicate the fetch and decode problem for a processor
- The circuitry to recognize the various instructions and to properly fetch the required number of bytes for operands is very complex

COMPLEX INSTRUCTION SET COMPUTER

- Another characteristic of CISC computers is that they have instructions that act directly on memory addresses
 - For example,
ADD L1, L2, L3
that takes the contents of $M[L1]$ adds it to the contents of $M[L2]$ and stores the result in location $M[L3]$
- An instruction like this takes three memory access cycles to execute
- That makes for a potentially very long instruction execution cycle
- The problems with CISC computers are
 - The complexity of the design may slow down the processor,
 - The complexity of the design may result in costly errors in the processor design and implementation,
 - Many of the instructions and addressing modes are used rarely, if ever

SUMMARY: CRITICISMS ON CISC

High Performance General Purpose Instructions

- **Complex Instruction**
 - Format, Length, Addressing Modes
 - Complicated instruction cycle control due to the complex decoding HW and decoding process
- **Multiple memory cycle instructions**
 - Operations on memory data
 - Multiple memory accesses/instruction
- **Microprogrammed control is necessity**
 - Microprogram control storage takes substantial portion of CPU chip area
 - Semantic Gap is large between machine instruction and microinstruction
- **General purpose instruction set includes all the features required by individually different applications**
 - When any one application is running, all the features required by the other applications are extra burden to the application

REDUCED INSTRUCTION SET COMPUTERS

- In the late '70s and early '80s there was a reaction to the shortcomings of the CISC style of processors
- Reduced Instruction Set Computers (RISC) were proposed as an alternative
- The underlying idea behind RISC processors is to simplify the instruction set and reduce instruction execution time
- RISC processors often feature:
 - Few instructions
 - Few addressing modes
 - Only load and store instructions access memory
 - All other operations are done using on-processor registers
 - Fixed length instructions
 - Single cycle execution of instructions
 - The control unit is hardwired, not microprogrammed

REDUCED INSTRUCTION SET COMPUTERS

- Since all but the load and store instructions use only registers for operands, only a few addressing modes are needed
- By having all instructions the same length, reading them in is easy and fast
- The fetch and decode stages are simple, looking much more like Mano's Basic Computer than a CISC machine
- The instruction and address formats are designed to be easy to decode
- Unlike the variable length CISC instructions, the opcode and register fields of RISC instructions can be decoded simultaneously
- The control logic of a RISC processor is designed to be simple and fast
- The control logic is simple because of the small number of instructions and the simple addressing modes
- The control logic is hardwired, rather than microprogrammed, because hardwired control is faster

CHARACTERISTICS OF RISC

- RISC Characteristics
 - Relatively few instructions
 - Relatively few addressing modes
 - Memory access limited to load and store instructions
 - All operations done within the registers of the CPU
 - Fixed-length, easily decoded instruction format
 - Single-cycle instruction format
 - Hardwired rather than microprogrammed control
- Advantages of RISC
 - VLSI Realization
 - Computing Speed
 - Design Costs and Reliability
 - High Level Language Support