

# Rethinking Generalization in Few-Shot Classification

*Hiller et al. NeurIPS 2022*

MLAI In-depth Seminar

# Plan for today

- Few-Shot Learning
- Existing Works
- Problem Define
- Method
- Results
- Conclusion & Discussion

# Few-Shot Learning

## Preliminary

- Aims at classifying unlabeled samples (**query** set) into unseen classes given very few labeled samples (**support** set)
- Two main challenges
  - Unseen classes : *non-overlap between training and test classes*
  - Low-data problem : *very few labeled samples for the test unseen classes*

# Few-Shot Learning

## Preliminary

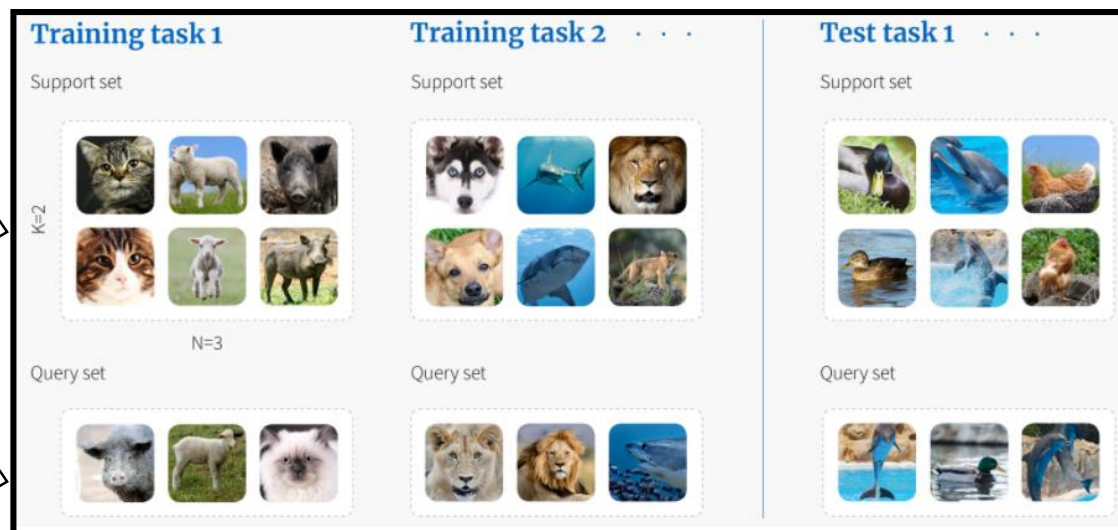
- Aims at classifying unlabeled samples (**query** set) into unseen classes given very few labeled samples (**support** set)
- Two main challenges
  - Unseen classes : *non-overlap between training and test classes*
  - Low-data problem : *very few labeled samples for the test unseen classes*

## • Problem Define

Generally, Training set contains a large number of classes and corresponding labeled samples.

But, for matching training/test procedures, the dataset is constructed as a series of episodes.

< Episodic training and testing >



$$C_{train} \cap C_{test} = \emptyset$$

$$C_{train} = \{cat, goat, boar, dog, shark, lion\}$$

$$C_{test} = \{dug, dolphin, chicken\}$$

# Few-Shot Learning

## Preliminary

- Aims at classifying unlabeled samples (**query** set) into unseen classes given very few labeled samples (**support** set)

- Two main challenges

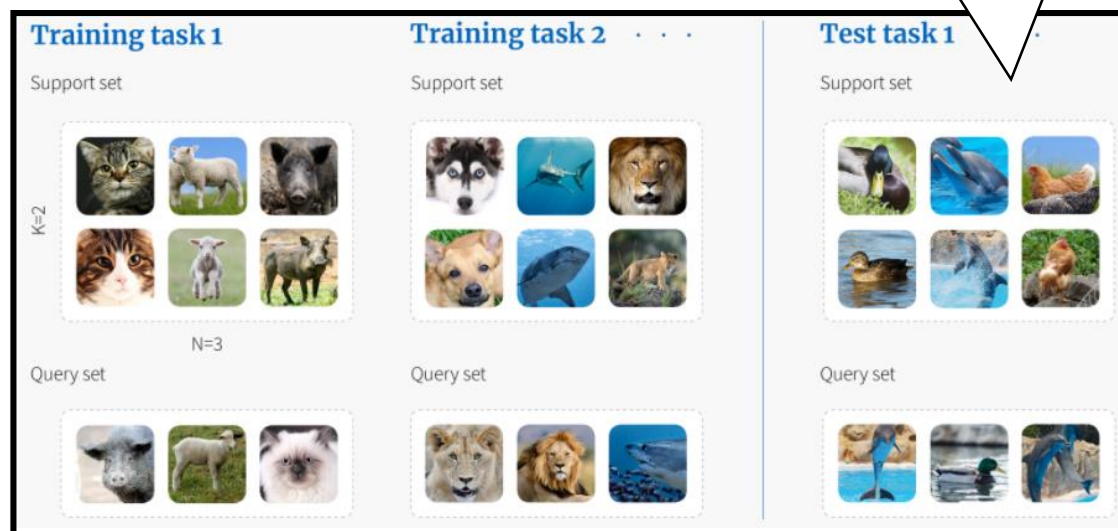
- Unseen classes : *non-overlap between training and test classes*
- Low-data problem : *very few labeled samples for the test unseen classes*

Note that, test set also contain  $k$ -shot labelled data subset (support set).

The performance is measure on the only unlabelled query set.

- Problem Define

< Episodic training and testing >



$$C_{train} \cap C_{test} = \emptyset$$

$$C_{train} = \{cat, goat, boar, dog, shark, lion\}$$

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# Existing Works

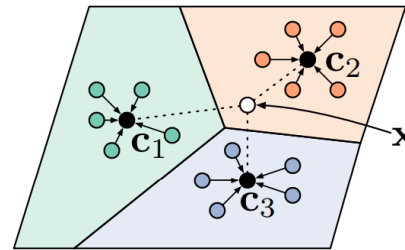
- **Metric-based** approaches

- Prototypical Network, Matching Network, Relation Network, ...
- Learn a good feature space where categories can distinguish with each other based on a distance metric, and perform distance-based prediction (nearest neighbor classifier).

$$p_{\phi}(y = k | \mathbf{x}) = \frac{\exp(-d(f_{\phi}(\mathbf{x}), \mathbf{c}_k))}{\sum_{k'} \exp(-d(f_{\phi}(\mathbf{x}), \mathbf{c}_{k'}))}$$

$$\mathbf{c}_k = \frac{1}{|S_k|} \sum_{(\mathbf{x}_i, y_i) \in S_k} f_{\phi}(\mathbf{x}_i)$$

Non-parameteric classifier



- Optimization-based approaches

- MAML, Reptile, ...
- Learn a good initialization so that the learner could rapidly adapt to novel tasks within a few optimization steps.

# Existing Works

- Metric-based approaches
  - Prototypical Network, Matching Network, Relation Network, ...
  - Learn a good feature space where categories can distinguish with each other based on a distance metric, and perform distance-based prediction (nearest neighbor classifier).
- Optimization-based approaches
  - MAML, Reptile, ...
  - Learn a good initialization so that the learner could rapidly adapt to novel tasks within a few optimization steps.

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**Algorithm 1** Model-Agnostic Meta-Learning

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**Require:**  $p(\mathcal{T})$ : distribution over tasks

**Require:**  $\alpha, \beta$ : step size hyperparameters

- 1: randomly initialize  $\theta$
  - 2: **while** not done **do**
  - 3:   Sample batch of tasks  $\mathcal{T}_i \sim p(\mathcal{T})$
  - 4:   **for all**  $\mathcal{T}_i$  **do**
  - 5:     Evaluate  $\nabla_{\theta} \mathcal{L}_{\mathcal{T}_i}(f_{\theta})$  with respect to  $K$  examples
  - 6:     Compute adapted parameters with gradient descent:  $\theta'_i = \theta - \alpha \nabla_{\theta} \mathcal{L}_{\mathcal{T}_i}(f_{\theta})$
  - 7:   **end for**
  - 8:   Update  $\theta \leftarrow \theta - \beta \nabla_{\theta} \sum_{\mathcal{T}_i \sim p(\mathcal{T})} \mathcal{L}_{\mathcal{T}_i}(f_{\theta'_i})$
  - 9: **end while**
- 

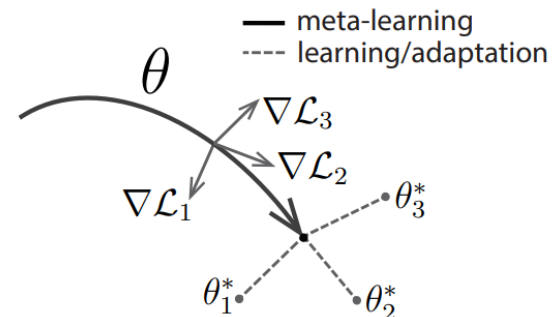


Figure 1. Diagram of our model-agnostic meta-learning algorithm (MAML), which optimizes for a representation  $\theta$  that can quickly adapt to new tasks.

# Problem Define

Alleviate supervision collapse for few-shot generalization

- **Single image-level annotations** can not depict the complex real-world scenes. They **only describe a small subset of an image's content**.
- Neural Network representations lose any information that is not necessary for performing the training task.
  - ✓ However, **this information may be necessary for transfer to new tasks or domain.**
  - ✓ **Supervision collapse**
- This might be problematic,
  - ✓ especially when the training and test time classes are differ.
  - ✓ When a test image containing multiple objects



# Problem Define

Alleviate supervision collapse for few-shot generalization

- Supervision collapse



- The extracted **feature may attend to the objects from seen classes** (person, chair) which have large number of labeled samples in the training set, **while ignore the target object from unseen class** (curtain).

# Problem Define

Alleviate supervision collapse for few-shot generalization

- Supervision collapse

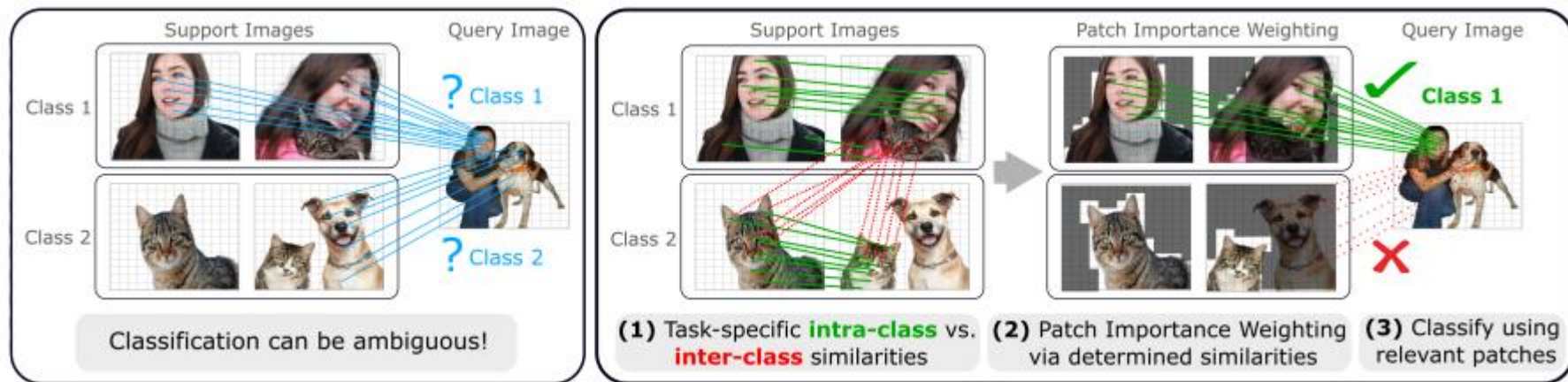


- The errors within that wrong class often have widely different appearance.
- Author's interpretation: the network **picks up on image patterns** during training that allow images of **each class** to be **tightly grouped in the feature space**, **minimizing other ways that the image might be similar to other classes** in preparation for a confident classification.

# Problem Define

Alleviate supervision collapse for few-shot generalization

- Supervision collapse



- Labels assigned to **real-world images with multiple entities** only correctly describe a subset of the depicted content, **leading to ambiguous classification results.**

# Method

## Few-shot classification with Transformers Using Reweighted Embedding similarity

- Summary of method
  - Leverage self-supervised pretraining (MIM) for FSL
  - Patch-level similarity (local correspondence) based classification (with ViT)
  - Token importance re-weighting for better classification

# Method

## Few-shot classification with Transformers Using Reweighted Embedding similarity

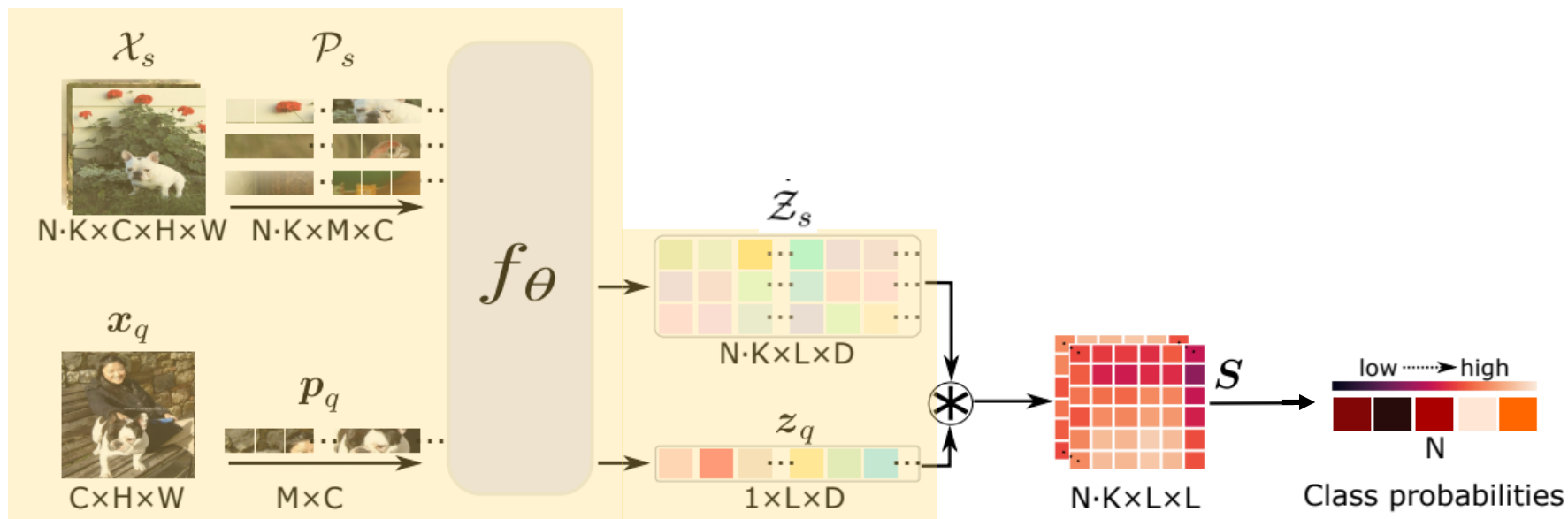
- Problem formulation

- N-way K-shot classification with episodic training and testing  $C_{train} \cap C_{test} = \emptyset$
- An episode (train) is composed of a
  - ✓ Support set  $\mathcal{X}_s = \{(x_s^{nk}, y_s^{nk}) | n = 1, \dots, N; k = 1, \dots, K; y_s^{nk} \in C_{train}\}$ ,  
where  $x_s^{nk}$  denotes the  $k$ -th sample of class  $n$  with label  $y_s^{nk}$ .
  - ✓ Query set  $\mathcal{X}_q = \{(x_q^n, y_q^n) | n = 1, \dots, N\}$ ,  
where  $x_q^n$  denotes a query sample of class  $n$  with label  $y_q^n$ .  
(assume one query sample per class for easy understanding)

# Method

## Few-shot classification with Transformers Using Reweighted Embedding similarity

- Overview

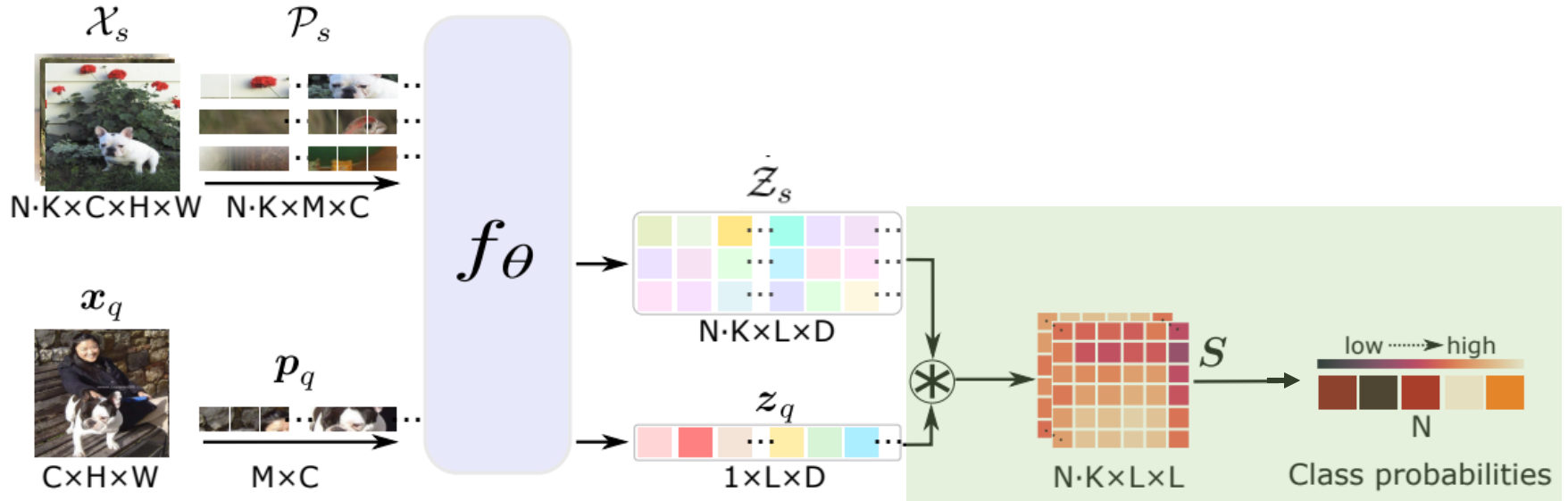


Extract support set and query embeddings from MIM pre-trained ViT backbone  $f_\theta(\cdot)$

# Method

## Few-shot classification with Transformers Using Reweighted Embedding similarity

- Overview



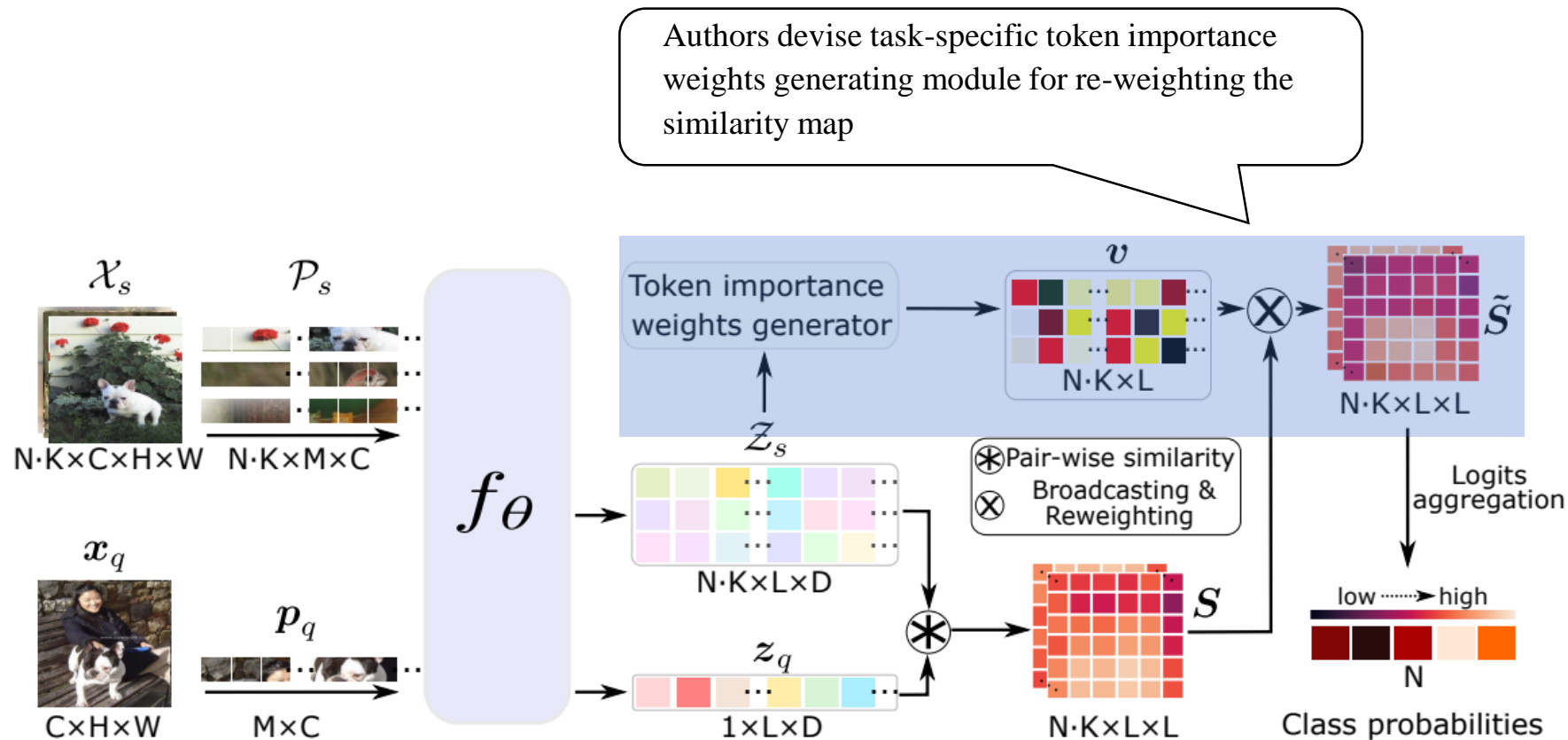
Actually, we can directly derive the class probabilities from patch-wise similarity map



# Method

## Few-shot classification with Transformers Using Reweighted Embedding similarity

- Overview

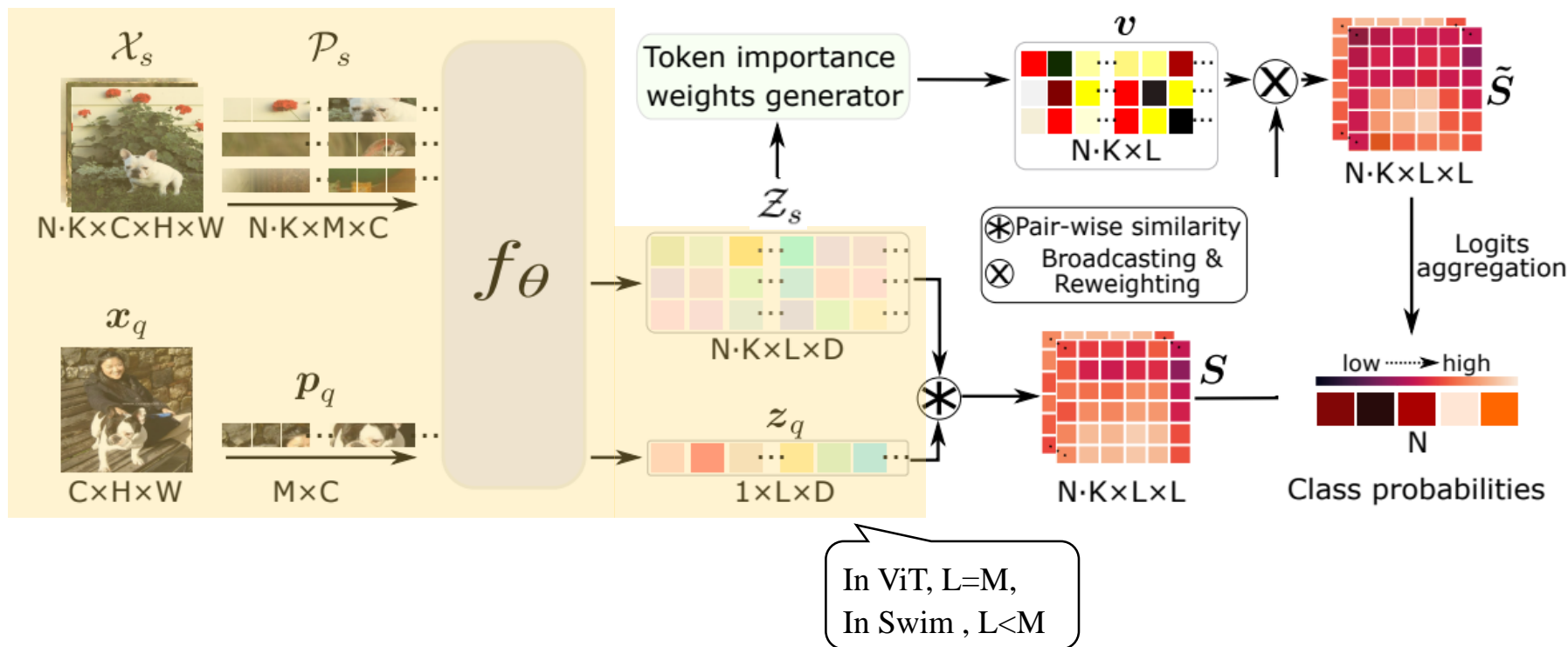




# Method

## Few-shot classification with Transformers Using Reweighted Embedding similarity

### • In-detail

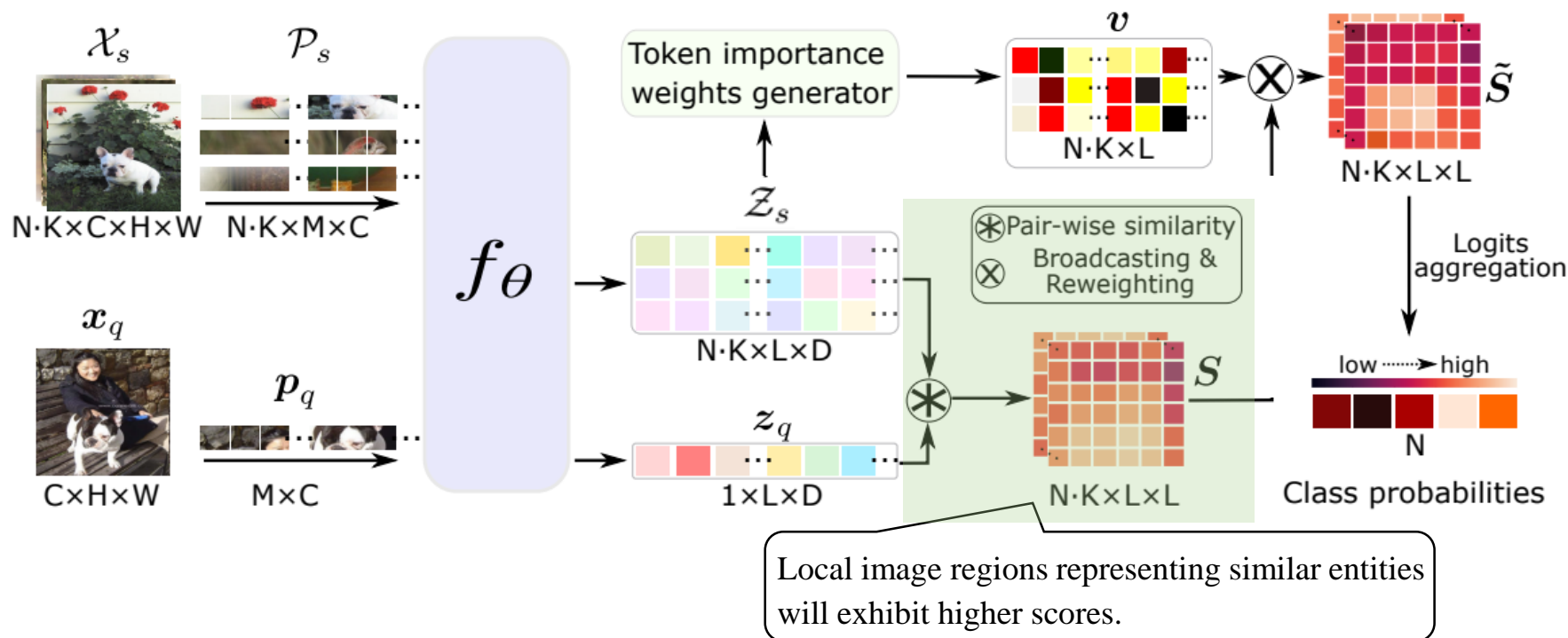


1. Split each input image  $x \in \mathbb{R}^{H \times W \times C}$  into a sequence of  $M = (H \cdot W)/P^2$  patches  $\mathbf{p} = \{p^i\}_{i=1}^M$ , with each patch  $p^i \in \mathbb{R}^{P^2 \times C}$
2. Fed those tokens into ViT encoder and obtain:  
 $Z_s = f_\theta(P_s)$  with  $Z_s = \{z_s^{nk} | n = 1, \dots, N, k = 1, \dots, K\}$ ,  $z_s^{nk} = \{z_s^{nkl} | l = 1, \dots, L; z_s^{nkl} \in \mathbb{R}^D\}$   
 $z_q = f_\theta(\mathbf{p}_q)$  with  $z_q = \{z_q^l | l = 1, \dots, L; z_q^l \in \mathbb{R}^D\}$

# Method

## Few-shot classification with Transformers Using Reweighted Embedding similarity

### • In-detail



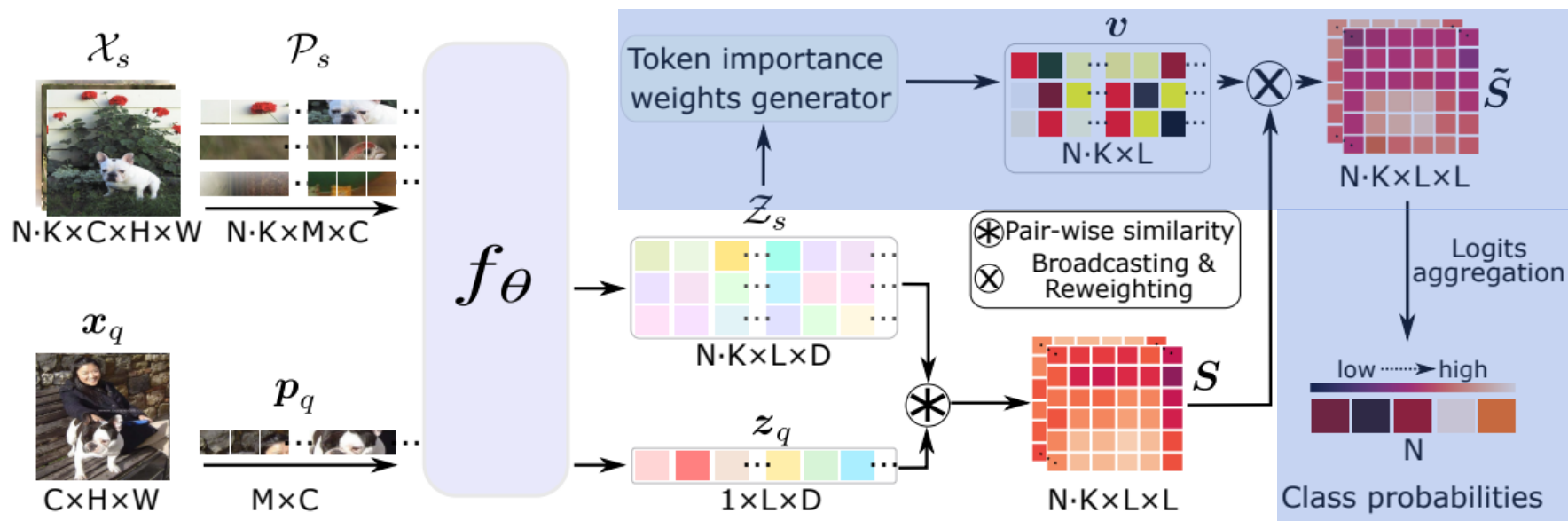
- Based on patch embedding, pair-wise patch similarity matrix  $S$  is obtained by

$$s_{nk}^{l_s, l_q} = \text{sim}(z_s^{nkl_s}, z_q^{l_q}), \text{ where } l_s = 1, \dots, L \text{ and } l_q = 1, \dots, L$$

# Method

## Few-shot classification with Transformers Using Reweighted Embedding similarity

### • In-detail



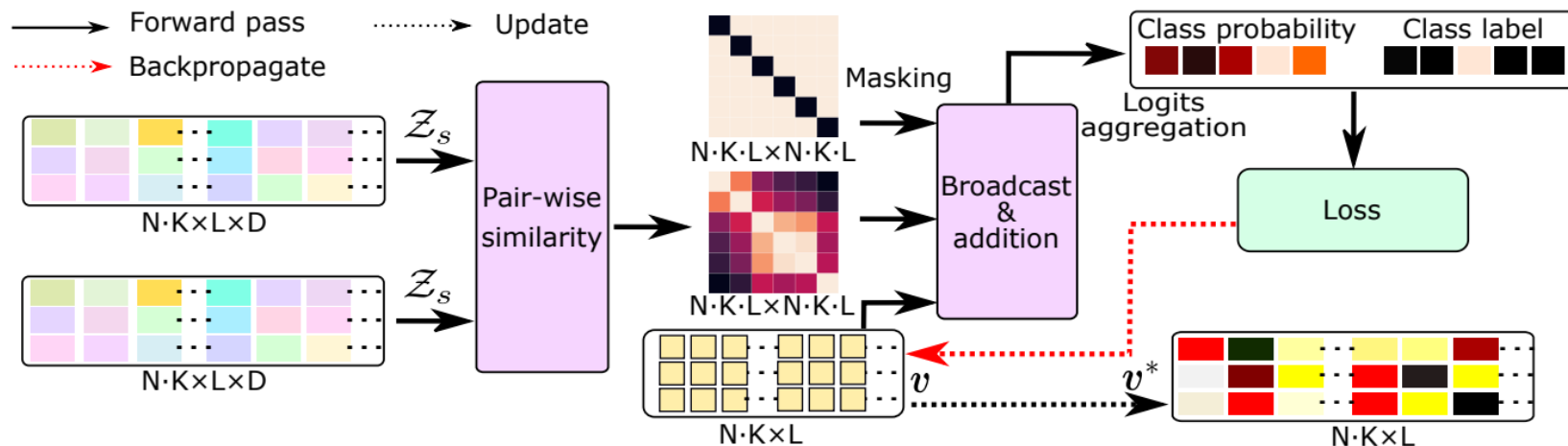
4. Task-specific token importance weights  $v \in \mathbb{R}^{N \cdot K \cdot L \times 1}$  is inferred via online optimization (inner loop) => will be described in next slide.
5. Reweighting similarity map as  $\tilde{S} = S + [v \cdot 1^{1 \times L}]$  and aggregate the information as below

$$\hat{y}_q = \text{softmax} \left( \left\{ \hat{y}_q^n \right\}_{n=1}^N \right) = \text{softmax} \left( \left\{ \log \sum_{k=1}^K \sum_{l_q=1}^L \sum_{l_s=1}^L \exp \left( \tilde{s}_{nk}^{l_s, l_q} / \tau_s \right) \right\}_{n=1}^N \right)$$

# Method

## Few-shot classification with Transformers Using Reweighted Embedding similarity

- In-detail: inner loop token importance weight generator.



- Support set is copied as  $Z_s$  (original) and  $Z_{sq}$  (pseudo-query)
- Obtain the similarity matrix  $S_s \in \mathbb{R}^{N \cdot K \cdot L \times N \cdot K \cdot L}$
- Reweighted similarity matrix is computed as  $\tilde{S}_s = S_s + [v^0 + 1^{1 \times N \cdot K \cdot L}]$
- Based on reweighted similarity matrix, perform classification using support set labels

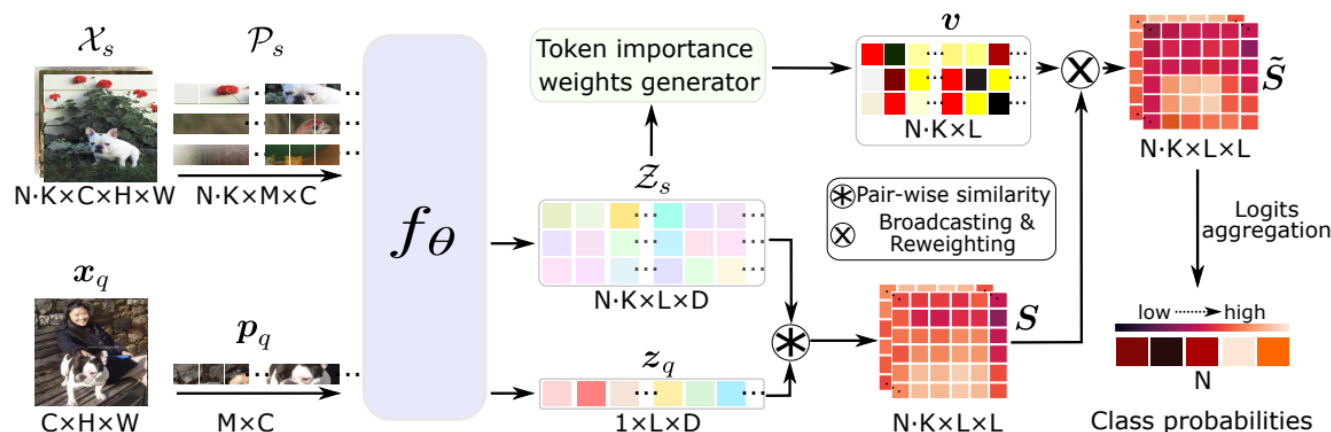
$$\arg \min_v \sum_{n=1}^N \sum_{k=1}^K \mathcal{L}_{CE} \left( \mathbf{y}_s^{nk}, \hat{\mathbf{y}}_s^{nk}(v) \right)$$

Same with previous equation, but  $N \cdot K$  times rep.

# Method

## Few-shot classification with Transformers Using Reweighted Embedding similarity

- Train & Inference procedure



1. Self-supervised pretraining for  $f_\theta$

- ✓ With Masked Image Modeling (ibot) objective

2. Meta fine-tuning for  $f_\theta$  and  $v$

- ✓ With patch-wise similarity based classification objective

- Note,  $v$  is additionally updated in inference time with labelled support set.

# Results

## Few-shot classification with standard benchmarks

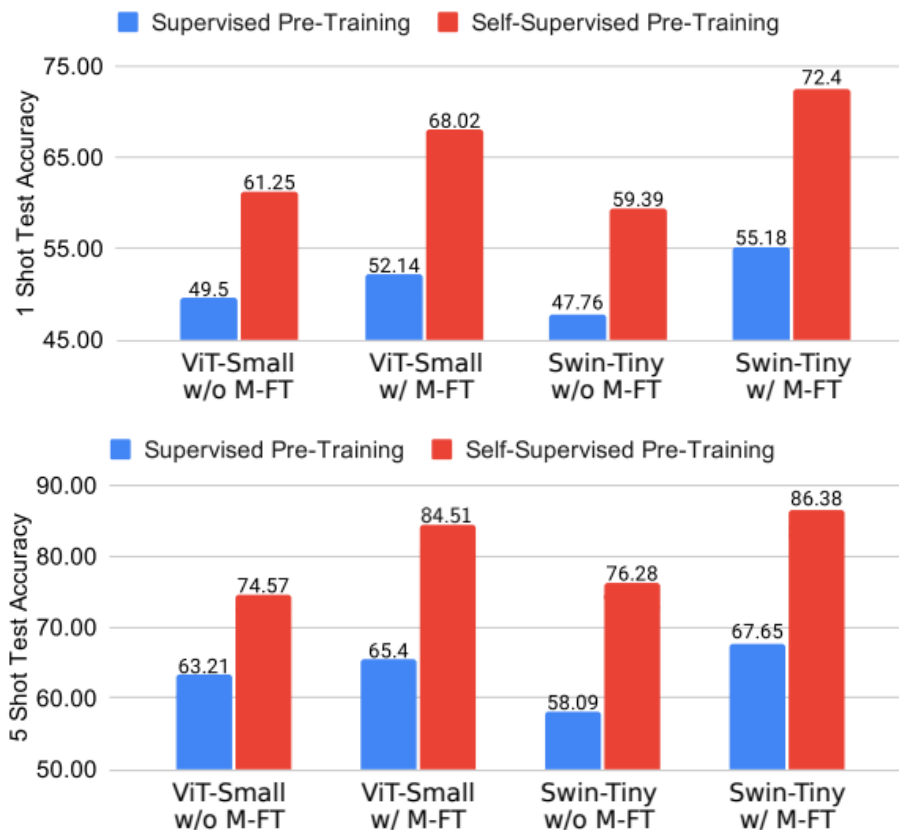
- Dataset

- miniImageNet
- tieredImageNet
- CIFAR-FS
- FC-100

- Architecture

- ViT-small
- Swin-tiny

### <Training strategy comparison>



Message 1. supervised PT < SSL PT

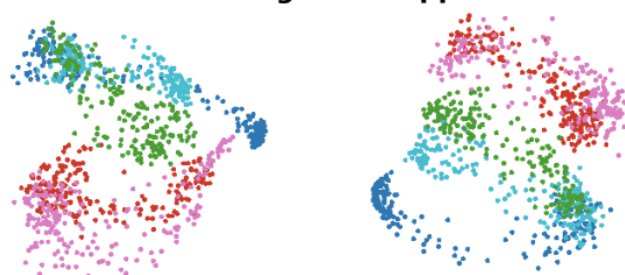
Message 2. meta fine-tuning helps FSL largely. Especially for SSL PT backbone.

# Results

## Few-shot classification with standard benchmarks

- Learned embedding analysis

Patch embeddings of a support class



trained w/o  $v$

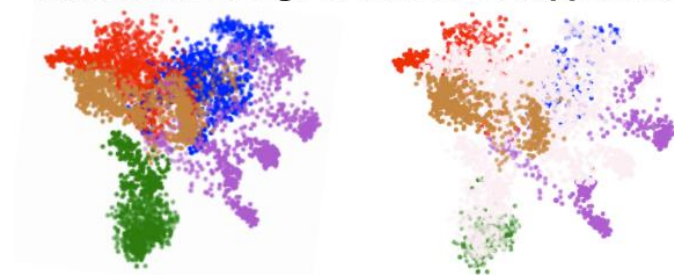
trained w/  $v$

<same class different instances>

Message : the embeddings retain the instance information and **separation is improved** when using token importance reweighting.

I think their argument is inconsistent...

Patch embeddings of the entire support set



w/  $v$ , step 0

w/  $v$ , step 15

<different classes different instances>

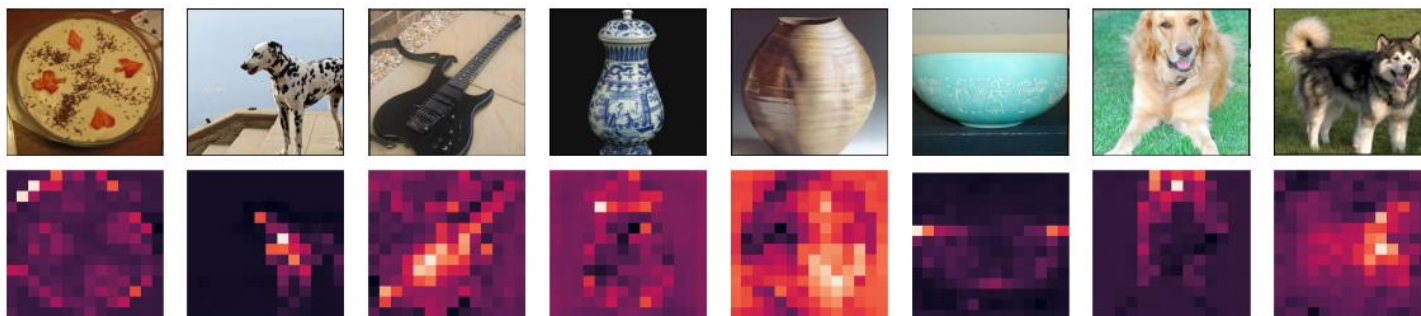
Message : token reweighting make the embedding **space more disentangled** between intra-class samples as well as inter-class samples.

lack of explanation for visualization technique

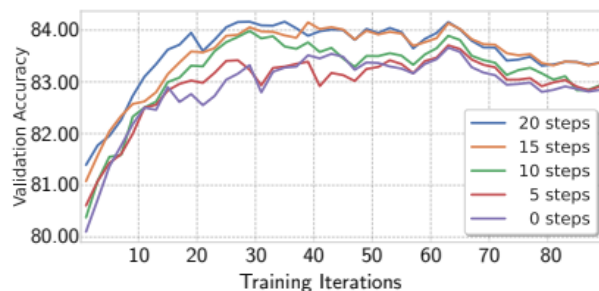
# Results

## Few-shot classification with standard benchmarks

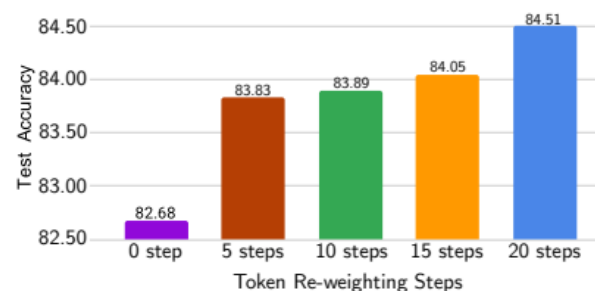
- Analysis on token importance re-weighting mechanism



Message : FewTRUE select **characteristic regions** of the depicted **objects**, and to **exclude unimportant or out-of-task information**.



(a)



(b)

Message : **increasing inner loop steps up to 20 aligns with increased performance**, both during validation and testing.



# Results

## Few-shot classification with standard benchmarks

- SOTA on benchmarks

Model	Backbone	≈ # Params	miniImageNet		tieredImageNet	
			1-shot	5-shot	1-shot	5-shot
ProtoNet [41]	ResNet-12	12.4 M	62.29±0.33	79.46±0.48	68.25±0.23	84.01±0.56
FEAT [53]	ResNet-12	12.4 M	66.78±0.20	82.05±0.14	70.80±0.23	84.79±0.16
DeepEMD [54]	ResNet-12	12.4 M	65.91±0.82	82.41±0.56	71.16±0.87	86.03±0.58
IEPT [56]	ResNet-12	12.4 M	67.05±0.44	82.90±0.30	72.24±0.50	86.73±0.34
MELR [12]	ResNet-12	12.4 M	67.40±0.43	83.40±0.28	72.14±0.51	87.01±0.35
FRN [49]	ResNet-12	12.4 M	66.45±0.19	82.83±0.13	72.06±0.22	86.89±0.14
CG [58]	ResNet-12	12.4 M	67.02±0.20	82.32±0.14	71.66±0.23	85.50±0.15
DMF [52]	ResNet-12	12.4 M	67.76±0.46	82.71±0.31	71.89±0.52	85.96±0.35
InfoPatch [25]	ResNet-12	12.4 M	67.67±0.45	82.44±0.31	-	-
BML [60]	ResNet-12	12.4 M	67.04±0.63	83.63±0.29	68.99±0.50	85.49±0.34
CNL [58]	ResNet-12	12.4 M	67.96±0.98	83.36±0.51	73.42±0.95	87.72±0.75
Meta-NVG [55]	ResNet-12	12.4 M	67.14±0.80	83.82±0.51	74.58±0.88	86.73±0.61
PAL [29]	ResNet-12	12.4 M	69.37±0.64	84.40±0.44	72.25±0.72	86.95±0.47
COSOC [28]	ResNet-12	12.4 M	69.28±0.49	85.16±0.42	73.57±0.43	87.57±0.10
Meta DeepBDC [51]	ResNet-12	12.4 M	67.34±0.43	84.46±0.28	72.34±0.49	87.31±0.32
LEO [39]	WRN-28-10	36.5 M	61.76±0.08	77.59±0.12	66.33±0.05	81.44±0.09
CC+rot [15]	WRN-28-10	36.5 M	62.93±0.45	79.87±0.33	70.53±0.51	84.98±0.36
FEAT [53]	WRN-28-10	36.5 M	65.10±0.20	81.11±0.14	70.41±0.23	84.38±0.16
PSST [8]	WRN-28-10	36.5 M	64.16±0.44	80.64±0.32	-	-
MetaQDA [57]	WRN-28-10	36.5 M	67.83±0.64	84.28±0.69	74.33±0.65	89.56±0.79
OM [36]	WRN-28-10	36.5 M	66.78±0.30	85.29±0.41	71.54±0.29	87.79±0.46
FewTure (ours)	ViT-Small	22 M	68.02±0.88	84.51±0.53	72.96±0.92	86.43±0.67
FewTure (ours)	Swin-Tiny	29 M	<b>72.40±0.78</b>	<b>86.38±0.49</b>	<b>76.32±0.87</b>	<b>89.96±0.55</b>

Model	Backbone	≈ # Params	CIFAR-FS		FC100	
			1-shot	5-shot	1-shot	5-shot
ProtoNet [41]	ResNet-12	12.4 M	-	-	41.54±0.76	57.08±0.76
MetaOpt [21]	ResNet-12	12.4 M	72.00±0.70	84.20±0.50	41.10±0.60	55.50±0.60
MABAS [20]	ResNet-12	12.4 M	73.51±0.92	85.65±0.65	42.31±0.75	58.16±0.78
RFS [45]	ResNet-12	12.4 M	73.90±0.80	86.90±0.50	44.60±0.70	60.90±0.60
BML [60]	ResNet-12	12.4 M	73.45±0.47	88.04±0.33	-	-
CG [14]	ResNet-12	12.4 M	73.00±0.70	85.80±0.50	-	-
Meta-NVG [55]	ResNet-12	12.4 M	74.63±0.91	86.45±0.59	46.40±0.81	61.33±0.71
RENet [19]	ResNet-12	12.4 M	74.51±0.46	86.60±0.32	-	-
TPMN [50]	ResNet-12	12.4 M	75.50±0.90	87.20±0.60	46.93±0.71	63.26±0.74
MixFSL [1]	ResNet-12	12.4 M	-	-	44.89±0.63	60.70±0.60
CC+rot [15]	WRN-28-10	36.5 M	73.62±0.31	86.05±0.22	-	-
PSST [8]	WRN-28-10	36.5 M	77.02±0.38	88.45±0.35	-	-
Meta-QDA [57]	WRN-28-10	36.5 M	75.83±0.88	88.79±0.75	-	-
FewTure (ours)	ViT-Small	22 M	76.10±0.88	86.14±0.64	46.20±0.79	63.14±0.73
FewTure (ours)	Swin-Tiny	29 M	<b>77.76±0.81</b>	<b>88.90±0.59</b>	<b>47.68±0.78</b>	<b>63.81±0.75</b>

Powerful, but, different backbone architectures can be justified?

# Results

## Few-shot classification with standard benchmarks

- Additional experiments
  - Token pruning

Table 3: Pruning the number of tokens. Test accuracy for 5-way 5-shot on *miniImageNet* [48].

# tokens	Test Acc.
100%	$84.05 \pm 0.53$
75%	$83.15 \pm 0.57$
50%	$83.81 \pm 0.59$
25%	$81.79 \pm 0.57$
10%	$81.05 \pm 0.62$

Pruning via attention map value thresholding

- Ablation on classifier

Table 4: Changing the classifier. Test accuracy for 5-way 5-shot on *miniImageNet* [48].

Classifier	Test Acc.
Prototyp. w/ Euclid. Dist.	$82.80 \pm 0.59$
Prototyp. w/ Cosine. Dist.	$79.90 \pm 0.65$
Linear (optimized online)	$82.37 \pm 0.57$
FewTURE ( 0 rew. steps)	$82.68 \pm 0.55$
FewTURE (15 rew. steps)	<b><math>84.05 \pm 0.53</math></b>

Comparison with

- Other non-parameter classifier (proto)
- learnable parameteric classifier (linear)

# Conclusion & Discussion

- Summary

- Using ViT with SSL pre-training (MIM) for FSL for the first-time.
  - ✓ (To mitigate supervision collapse)
- Propose a new patch-wise similarity based non-parametric classifier
- Propose online token importance re-weighting module

- Discussion

- The “supervision collapse” and “multiple entities” problem is not convincing...
  - ✓ Authors gave just illustration.
- The experiments are only performed on standard single-object-centric dataset..
  - ✓ Few-shot variants of ImageNet / CIFAR100.
- The idea underlying methodology is not completely new.
  - ✓ Consideration on local correspondence is already addressed by CAN (Hou et al. 2019)
  - ✓ SSL objective is also used in previous work CTX (Doersch et al. 2020)