

Eberswalde University for Sustainable Development (HNEE)
Faculty of Forest and Environment

Nguyen Duc Viet

**Comparison of burn severity indices and post-fire assessment of
vegetation regeneration in Thuan Chau, Son La, Viet Nam using
Sentinel-2 satellite images**

Project report
on the course of – Advanced Remote Sensing and Forest Change Detection

Lecturer: Prof. Dr. Jan-Peter Mund

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ABSTRACT

Forest fire is the cause of serious impact to the ecosystem due to partial or total loss of vegetation cover, leading to soil erosion and forest regeneration. Remote sensing approaches have proved an opportunity to increase accuracy and be more practical compared to traditional field work. Thuan Chau district, Son La, Vietnam affected seriously by a forest fire, especially from 16th to 21st April 2019. However, there has been very little research performed in this region. Therefore, this study aims to examine the burn severity map and post-fire vegetation regrowth using Sentinel-2 multi-spectral satellite images. For mapping burn severity map, three commonly used reflectance indices of Normalized Burn Ratio (dNBR), Relativized Burn Ratio (RBR), and Relativized dNBR (RdNBR) are calculated and compared its accuracy based on the MODIS and VIIRS active fire points. The RdNBR has shown its high accuracy with 62.6%, followed by dNBR with 53.67% and RBR with 46.18%. Hence, RdNBR burn severity map was considered more accurate, however, RdNBR burnt map presented many areas specified as burnt areas that have no active fire points recorded. Results of this study have shown the rapid decrease in NDVI values right after the fire, showing the significant impact of the fire. On the other hand, a high regeneration rate was also observed via NDVI values helping the forest reach its initial state. The burn severity map is well correlated with the NDVI values, where the area with the most significant NDVI decline matches the high severity area. The results from this study may contribute to forest fire monitoring and post-fire forest management. Further research with field surveys or high-resolution remote sensing could help for a more accurate assessment.

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LIST OF ABBREVIATIONS

NDVI	Normalized Difference Vegetation Index
NBR	Normalized Burn Ratio
dNBR	differenced Normalized Burn Ratio
RBR	Relativized Burn Ratio
RdNBR	Relativized differenced Normalized Burn Ratio
CA	Coastal aerosol
VRE	Vegetation red edge
NIR	Near-infrared
NNIR	Narrow near-infrared
WA	Water vapour
AOI	Area of interest
DEM	Digital Elevation Model
TOA	Top of atmosphere
USGS	United States Geological Survey
NASA	National Aeronautics and Space Administration
EO	Earth Observation
GIS	Geographic Information Systems

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1. INTRODUCTION

Fire is an integral component of many ecosystems. It is considered a significant natural occurrence that plays a critical role in the distribution, organization, and evolution of terrestrial ecosystems (Evangelides & Nobajas, 2020)c. However, forest fires are the cause of serious impact to the ecosystem due to partial or total loss of vegetation cover, leading to soil erosion and forest regeneration. Deforestation releases dangerous greenhouse gases other than carbon dioxide, contributing to climate change and posing a risk to humanity (Konkathi & Shetty, 2021)c.

Therefore, it is necessary to identify changes in both quantity and quality of forests after fire for forest management and protection to know the effects of forest fires on spatial and temporal levels (Morgan et al., 2014)c. The severity of a fire's impact on the soil and vegetation is referred to as burn severity. Fire severity mapping can aid in a better understanding of fire's ecological effects and reveal the factors that influence wildfire behavior. For ecological and climate change research, as well as operational fire management and future planning, accurate and consistent fire severity mapping at appropriate temporal and spatial scales is a valuable resource (Gibson et al., 2020)c. Also, in this context, several post-fire rehabilitation programs have been proposed. Those have primarily focused on determining the need for, prescribing, and implementing emergency therapies to reduce dangers to life or property, or stabilizing and preventing future unacceptable damage of natural and cultural resources as a result of a fire. As the ecological riches of wildland areas are recognized and valued, such programs are becoming more significant, and the longer-term effects of post-fire treatments on the environment and ecological recovery are becoming increasingly important in the post-fire treatment decision-making process (Ireland & Petropoulos, 2015)c.

For fire severity determination, field methods are often time-consuming and costly, since the effects of fire often span a large extent in both space and time. Meanwhile, the remote sensing method becomes an effective method to estimate the fire level based on the before and after images of the fire. Wildfires cause changes in the composition and moisture content of the vegetation on the soil surface and the occurrence of ash and coal (Rogan & Franklin, 2001)c. This alters the electromagnetic spectrum reflected from the surface recorded in the sensors located on the satellites based on their multi-spectral properties and the ability to provide fire information that cannot be provided by the field method.

In remote sensing fire severity mapping investigations, image differencing approaches based on multi-temporal change detection (e.g., between pre- and post-fire photos) have been widely used (Gibson et al., 2020). Numerous indices have been used and compared, including the differenced Normalised Burn Ratio (dNBR), Normalized Difference Vegetation Index (NDVI), Relativized Burn Ratio (RBR), Relativized dNBR (RdNBR). The differenced Normalised Burn Ratio (dNBR) is the most often used index, and it has been proved to give the reasonable mapping of the spatial variance in severity within a single fire across a variety of vegetation communities. Relativizing the dNBR (RdNBR) with the pre-fire NBR has been demonstrated to enhance accuracy in heterogeneous landscapes, especially for higher severity classes. The NBR has been thresholded into severity classes, which has served as the foundation for a small number of national fire severity mapping initiatives (Gibson et al., 2020). These spectral indices are calculated from the near-infrared (NIR) channel and the shortwave infrared (SWIR) channel are less affected by atmospheric transmission, which can be used to determine the loss of plant cover, the appearance of coal, ash, and decrease in humidity, canopy area due to decrease in surface reflectivity in the NIR channel and increase in the SWIR channel after burning compared to before burning (Key and Benson, 2006).

For post-fire vegetation assessment, traditional approaches are often expensive and time-consuming, and they are frequently limited by the large spatial extent and limited accessibility of fire-affected areas. To characterize post-fire vegetation recovery, several image processing techniques have been investigated. The use of vegetative indices (VI) has undoubtedly received the greatest attention. Their use is largely predicated on the premise that when chlorophyll-containing foliage is burned by fire, the ratio of red to near-infrared (NIR) reflectance for green vegetation changes. Following that, a spectral index sensitive to the red and NIR parts of the electromagnetic radiation spectrum can be utilized to identify and quantify vegetation change. In forest regeneration investigations, the Normalised Difference Vegetation Index (NDVI) is likely the most extensively used index. (Ireland & Petropoulos, 2015).

Sentinel 2 was launched in 2015, and by mid-2016, two twin polar-orbiting satellites were operational, capable of repeat coverage every 5 days at the equator and 2–3 days at mid-latitudes. Sentinel 2's coverage is restricted to the latitudes 56° south and 84° north. In comparison to other moderate resolution sensors like Landsat TM (30 m resolution), Sentinel 2 imagery offers possible improvements in accuracy and cloud contamination issues for application in broad-scale fire severity mapping (Gibson et al., 2020). Sentinel-2 data provides

new features including a wide capture range, less geometrical distortion, higher spatial resolution, and completely free of charge.

In April 2019, especially from 16th to 21st in Thuan Chau district, Son La province, Vietnam, there was a forest fire causing significant damage. However, very little work has been conducted examining burn severity and monitoring post-fire vegetation regrowth.

In this context, the aims of the present study are to assess forest fire severity and vegetation regeneration in the Thuan Chau district from April 2019 to April 2021, as well as compare different reflectance indices for burnt severity mapping. The most widely used fire severity indices, such as the differenced normalized burn ratio (dNBR), relativized burn ratio (RBR), and relativized dNBR (RdNBR), are calculated and evaluated based on their accuracy regarding active fire sites reported by MODIS and VIIRS. Besides, NDVI was used for assessing the post-fire vegetation regrowth, also the relationship between vegetation regeneration to burn severity is taken into consideration. The research results might contribute to the development of a standard method for burn severity mapping for each specific area from the free remote sensing image data source, serving post-fire management activities.

1.1 Objectives

In this study, some specific tasks are performed:

1. Acquiring, investigating, pre-processing Sentinel-2 image of pre-and post-fire,
2. Acquiring MODIS and VIIRS data of active fire points,
3. Calculation of NDVI, NBR, dNBR, RBR and RdNBR indices,
4. Mapping burn severity based on dNBR, RBR and RdNBR,
5. Accuracy assessment of burn severity map based on active fire points,
6. Post-fire vegetation assessment based on NDVI.

2. THE STUDY AREA

This research considers the forest fire area of Thuan Chau district, Son La province, Viet Nam, as the study area. Thuan Chau district (Son La province) is located in northwest Vietnam, approximately 300 km west of Hanoi (Fig. 1). It stretches between latitudes 21°11'51" N and 21°37'52" N, and longitudes 103°19'20" E and 103°59'50" E, with a total area of 1533.8 km². The slope in the research region ranges from 0 to 75.9°, with a mean of 24.2°. The district's elevation ranges from 140 to 1839 m above sea level, with a mean of 874.8 m and a standard deviation of 296.4 m (Ngoc Thach et al., 2018)^c.

The climate of the district is tropical monsoon, with two distinct seasons: dry and rainy. The wet season lasts from April to September, whereas the dry season lasts from October to March. The lowest average temperature is 14°C, the maximum is 26°C, and the annual average temperature is roughly 21.4°C. The district's average total annual rainfall is 1372 mm, with rainfall during the rainy season accounting for up to 80% of total rainfall.

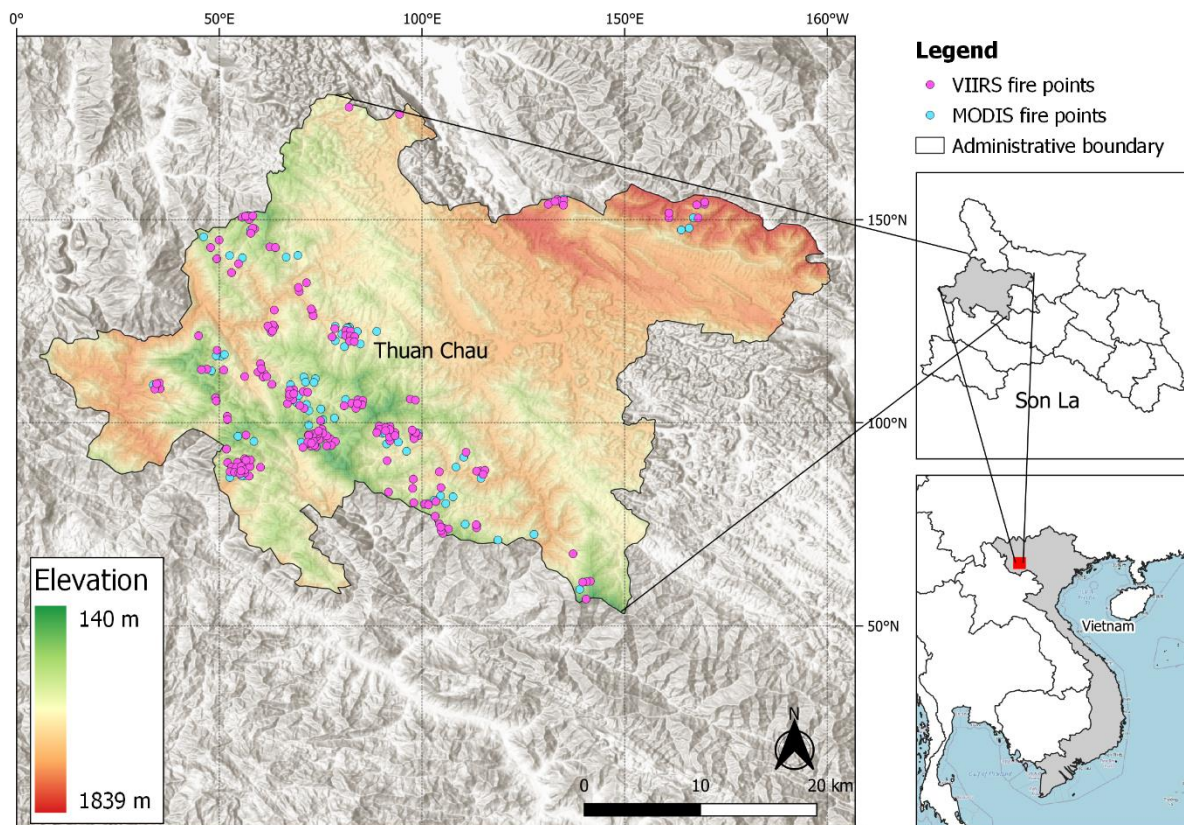


Figure 1. The study area of Thuan Chau district.

3. MATERIAL AND METHOD

This section describes the data acquisition and preprocessing procedures and analysis methodologies used. Three independent datasets, namely Sentinel, GIS data, and MODIS, VIIRS active fire data were used for burn severity mapping, post-fire vegetation assessment and accuracy assessment. The flow diagram outlines the methodology can be found in Fig. 2.

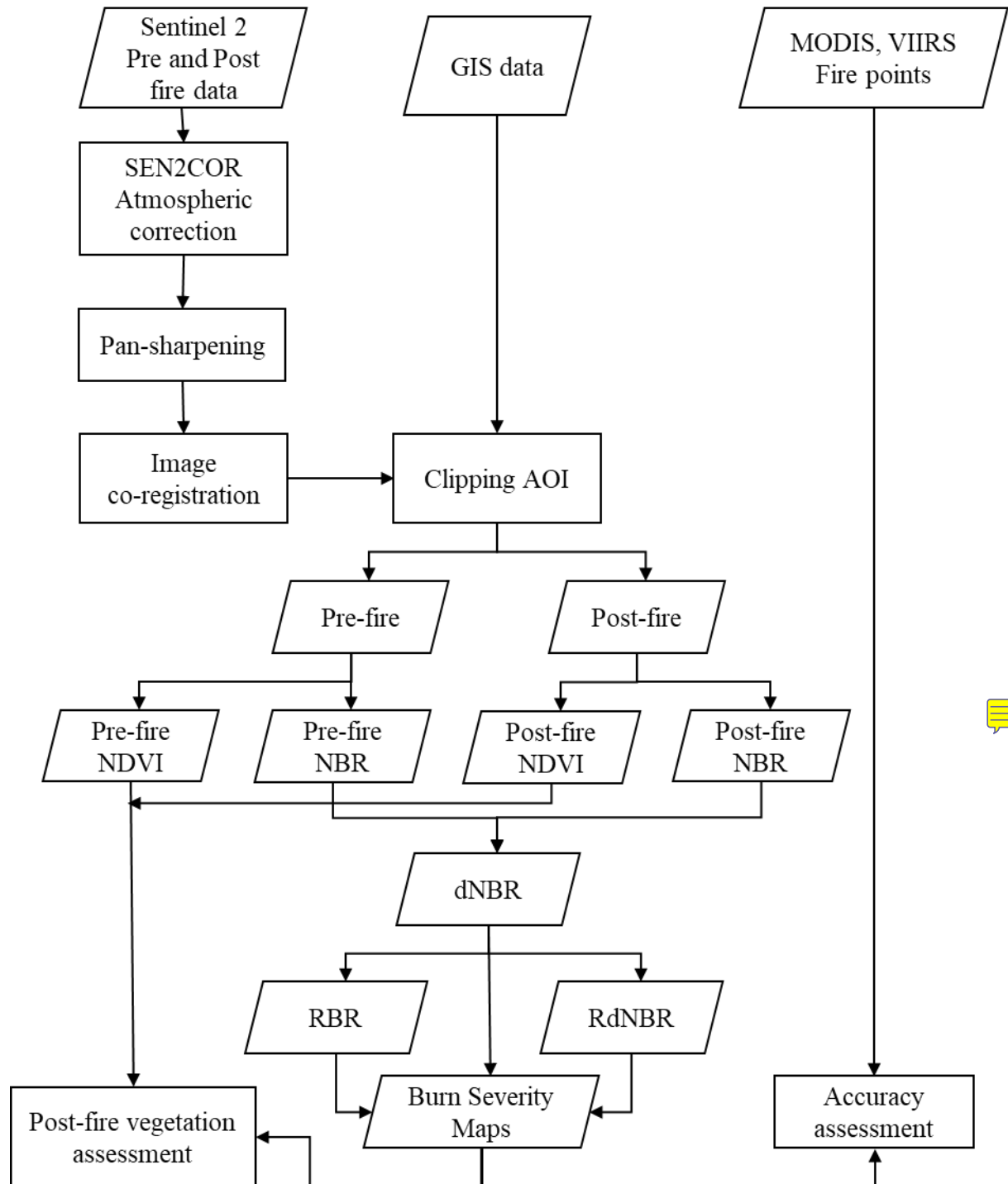


Figure 2. Research approach as a flowchart describing the process of analyzing. (Atmospheric correction and Pan-sharpening was carried out by Sen2Cor and SNAP, while R programming was used for other processing and analysis. Mapping was created by QGIS.)

3.1. Data preparation

In this study, there are 04 Sentinel satellite images of the Thuan Chau district were collected (Table 1). Sentinel-2 imagery with low cloud cover (<3%), as close as possible to the start and end date of the fire were obtained from the website <https://earthexplorer.usgs.gov/> provided by The United States Geological Survey (USGS). Seasonal changes in both spectral radiation (e.g. Sun elevation angle, Sun-Earth distance, meteorological circumstances) and surface reflection were minimized by selecting images around the same dates in different years. In particular, a pre-fire image acquired on 12th April 2019, while 03 post-fire images were obtained on 22nd April 2019, 12th March 2020, and 1st April 2021.

Table 1. Metadata of images used in this study.

	Sentinel 2			
	2019 pre-fire	2019 post-fire	2020 post-fire	2021 post-fire
Entity ID	L1C_T48QUJ_A010955_2019	L1C_T48QUJ_A011098_2019	L1C_T48QUJ_A024654_2020	L1C_T48QUJ_A021251_2021
Vendor Product ID	0412T034932 S2B_MSIL1C_20190412T033739_N0207_R061_T48QUJ_20190412T085928	0422T034525 S2B_MSIL1C_20190422T033539_N0207_R061_T48QUJ_20190422T071443	0312T033828 S2A_MSIL1C_20200312T033531_N0209_R061_T48QUJ_20200312T064237	0401T035039 S2B_MSIL1C_20210401T033539_N0300_R061_T48QUJ_20210401T072308
Platform	Sentinel-2B	Sentinel-2B	Sentinel-2A	Sentinel-2B
Acquisition Date	2019-04-12	2019-04-22	2020-03-12	2021-04-01
Processing Date	2019-04-12	2019-04-22	2020-03-12	2021-04-01
Processing level	LEVEL-1C	LEVEL-1C	LEVEL-1C	LEVEL-1C
Orbit Direction	Descending	Descending	Descending	Descending
Cloud Cover	0.57240	2.68730	0.00000	0.18430
Sensor	MSI	MSI	MSI	MSI
Projection	UTM	UTM	UTM	UTM
UTM Zone	48N	48N	48N	48
Datum	WGS84	WGS84	WGS84	WGS84
Ellipsoid	WGS84	WGS84	WGS84	WGS84
Sun Azimuth	122.50623895	115.11928255	137.70602987	128.85396100
Sun Zenith	21.888761593	19.441936199	31.729332173	25.024066951
Spatial Resolution	10, 20, 60m	10, 20, 60m	10, 20, 60m	10, 20, 60m

The four acquired Sentinel images are processed at level L1C top-of-atmosphere (TOA) reflectance data which includes radiometric and geometric corrections along with orthorectification to generate highly accurate geolocated products (*USGS EROS Archive - Sentinel-2*, 02-Dec-21). Table 1 and Table 2 provide metadata and detailed parameters of the spectral bands of the used satellite images.

The atmospheric correction process aims to remove the effects of the atmosphere influencing the signal measured by a satellite sensor (Hoepffner & Zibordi, 2009)c. The 04 images were atmospherically corrected using the Sen2Cor version 2.9 which is a processor for generating level 2A products from TOA reflectance Level-1C input image. The processor performs the atmospheric-, terrain and cirrus correction (Sen2Cor – STEP, 02-Dec-21)c.

Pan-sharpening is the process to create higher spatial resolution multispectral images by fusing a higher spatial resolution band with low spatial resolution MS imagery. The Sentinel 2 SWIR band (12) was pan-sharpened from 20 m to 10 m resolution with band 2 as a higher resolution band, using SNAP application version 8.0.8 and nearest-neighbor interpolation.

Image co-registration is performed when studying time-series analysis, basically to understand change during the period of time. The goal of co-registration is to align the pictures spatially so that each feature in one image overlaps exactly with its footprint in any other image in the time series. In this study, the 03 post-fire images were co-registered based on the pre-fire image as the reference image, using package RStoolbox in the R programming language.

After preprocessing steps, the images were cropped to the area of interest of Thuan Chau district for further analysis. Due to low cloud coverage of the 04 images, also the cloud area was optically determined absent in the area of interest, therefore the cloud masking process was not performed in this study.

As no fire occurrence data was available, for accuracy assessment of the burn severity map, the study used the active fire points acquired by Moderate Resolution Imaging Spectroradiometer (MODIS) Terra satellite (MOD14_NRT) and SUOMI NPP Visible Infrared Imaging Radiometer Suite (VIIRS) (VNP14IMGTDL_NRT) products data were downloaded from FIRMS NASA website (<https://firms.modaps.eosdis.nasa.gov>) (Fig. 1).

Table 2. Basic characteristics of Sentinel-2 image.

Bands	wavelength (nm)	resolution (m)
1 - CA	433 - 453	60
2 - Blue	458 - 523	10
3 - Green	543 - 578	10
4 - Red	650 - 680	10
5 - VRE	698 - 713	20
6 - VRE	733 - 748	20
7 - VRE	773 - 793	20
8 - NIR	785 - 900	10
8A - NNIR	855 - 875	20
9 - WA	935 - 955	60
10 - Cirrus	1360 - 1390	60
11 - SWIR	1565 - 1655	20
12 - SWIR	2100 - 2280	20

3.2. Candidate fire severity indices

The following **candidate** fire severity indices were generated for each pair of pre- and post-fire images: differenced normalised burn ratio (dNBR), Relativized Burn Ratio (RBR), and relativised dNBR (RdNBR). Initially, Normalized Burn Ratio (NBR) was calculated using the following equation (Eq. 1) for both pre-fire and post-fire:

Equation 1. NBR calculation.

$$NBR = \frac{(NIR - SWIR)}{(NIR + SWIR)}$$

The NBR value ranges from -1 to +1 with maximum values for vegetation and minimum values for burnt areas. The dNBR was calculated from pre-fire NBR and post-fire NBR (Eq. 2). The higher dNBR values refer to higher burn severity.

Equation 2. dNBR calculation.

$$dNBR = NBR_{Prefire} - NBR_{Postfire}$$

Relativized Burn Ratio (RBR) was derived based on the ratio of dNBR and adjusted pre-fire NBR (Eq. 3). The denominator was added a value of 1.001 to make sure that it will not tend to zero (Parks et al., 2014)c.

Equation 3. RBR calculation.

$$RBR = \frac{dNBR}{NBR_{Prefire} + 1.001}$$

The Relativized differenced Normalized Burn ratio (RdNBR) was a distinct dNBR variant that takes into account the relative amount of pre-fire shift by dividing dNBR with the pre-fire NBR value (Konkathi & Shetty, 2021). RdNBR was derived using Eq. 4.

Equation 4. RdNBR calculation.

$$RdNBR = \frac{dNBR}{\sqrt{|NBR_{Prefire}|}}$$

3.3. Burn severity map

The burn severity analysis was used to map the regions based on how much damage they sustained from the fire. The burn severity map was executed by segregating three indices of dNBR, RBR and RdNBR into different fire severity levels proposed by the United States Geological Survey (USGS, 2004) (Table 3).

Table 3. Threshold classifying burn severity

No.	Severity level	Range
1	High Enhanced Regrowth	-0.5 to -0.25
2	Low Enhanced Regrowth	-0.25 to -0.1
3	Unburned	-0.1 to 0.1
4	Low Severity	0.1 to 0.27
5	Moderate-low Severity	0.27 to 0.44
6	Moderate-high Severity	0.44 to 0.66
7	High Severity	0.66 to 1.3

3.4. Post-fire vegetation regeneration assessment

Assessment of the vegetation recovery was executed based on multi-temporal analysis of NDVI, which was computed from the red, and near-infrared spectral bands of each Sentinel image as Eq.5.

Equation 5. NDVI calculation.

$$NDVI = \frac{(NIR-RED)}{(NIR+RED)}$$

NDVI is an index related to the amount of photosynthetically active vegetation exposed to the sensor within each pixel. NDVI values range from -1 to +1, with zero value indicating an absence of vegetation, and typical NDVI values for vegetated areas are in general above 0.1. This index has a strong relationship with change detection in canopy cover and above-ground biomass in a wide range of ecosystems (Ireland & Petropoulos, 2015). Analysis of the regeneration process was done by comparing the pre-fire NDVI to the post-fire NDVI. Also, the mean NDVI values within each burn severity level were extracted in different years, allowing to evaluate the spatial and temporal NDVI regeneration dynamics.

All analysis of burn severity and post-fire assessment were performed using the R studio application version 1.3.1093 with R programming language running in the background.

4. RESULTS AND DISCUSSION

4.1. Burn severity map

The burn severity map based on dNBR, RBR and RdNBR was depicted in Fig.3. The area of each severity class for all indices was represented in Fig.4.

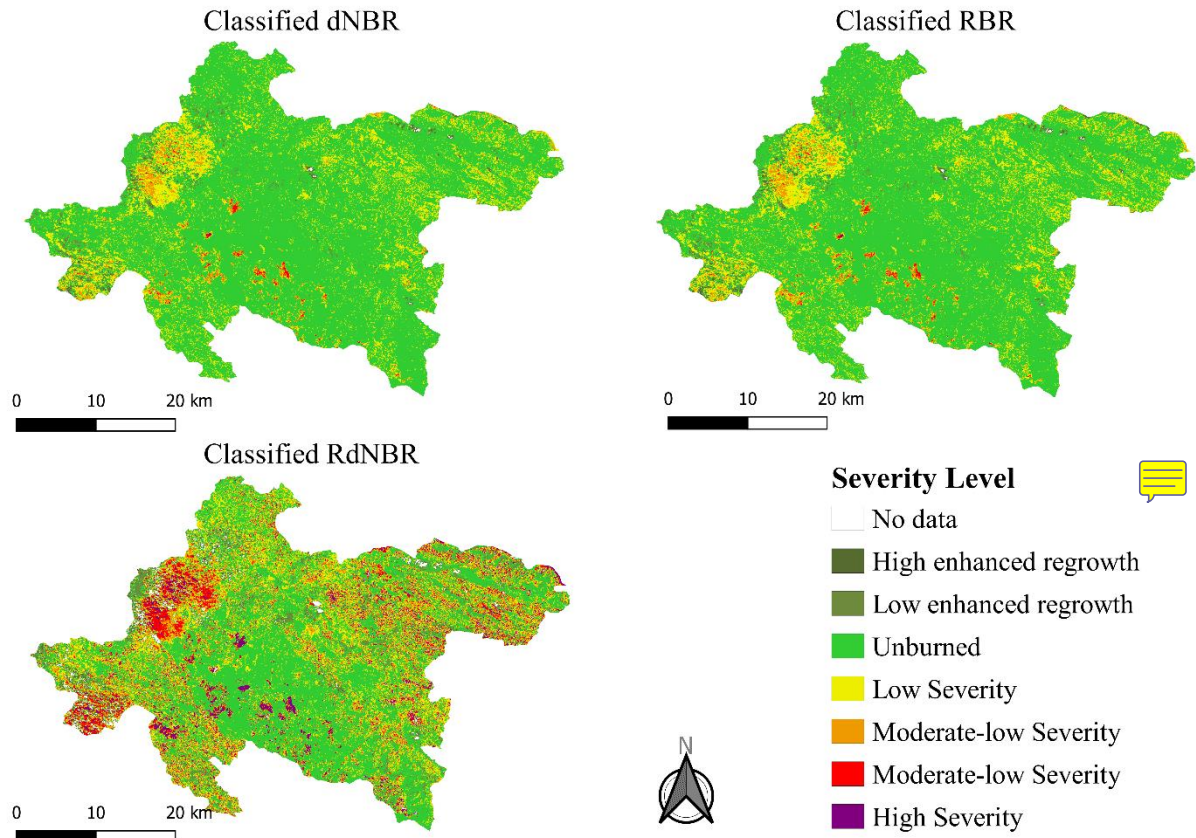


Figure 3. Burn severity maps based on dNBR, RBR and RdNBR indices calculated from Sentinel-2 images.

It can be seen that very little regrowth has been captured within the fire period. In terms of high severity class, RdNBR shows the **highest area of** 7039 ha, while dNBR and RBR indices observed significantly lower value of 459 and 52 ha, correspondingly. This trend is also observed in low severity, moderate-low severity and moderate-high severity, where RdNBR indicated the **highest area**, followed by dNBR and RBR, respectively. In contrast, 75204 ha is the lowest value in the class of unburnt, which is classified by RdNBR indices. The values for dNBR and RBR are 112917 and 121089, respectively.

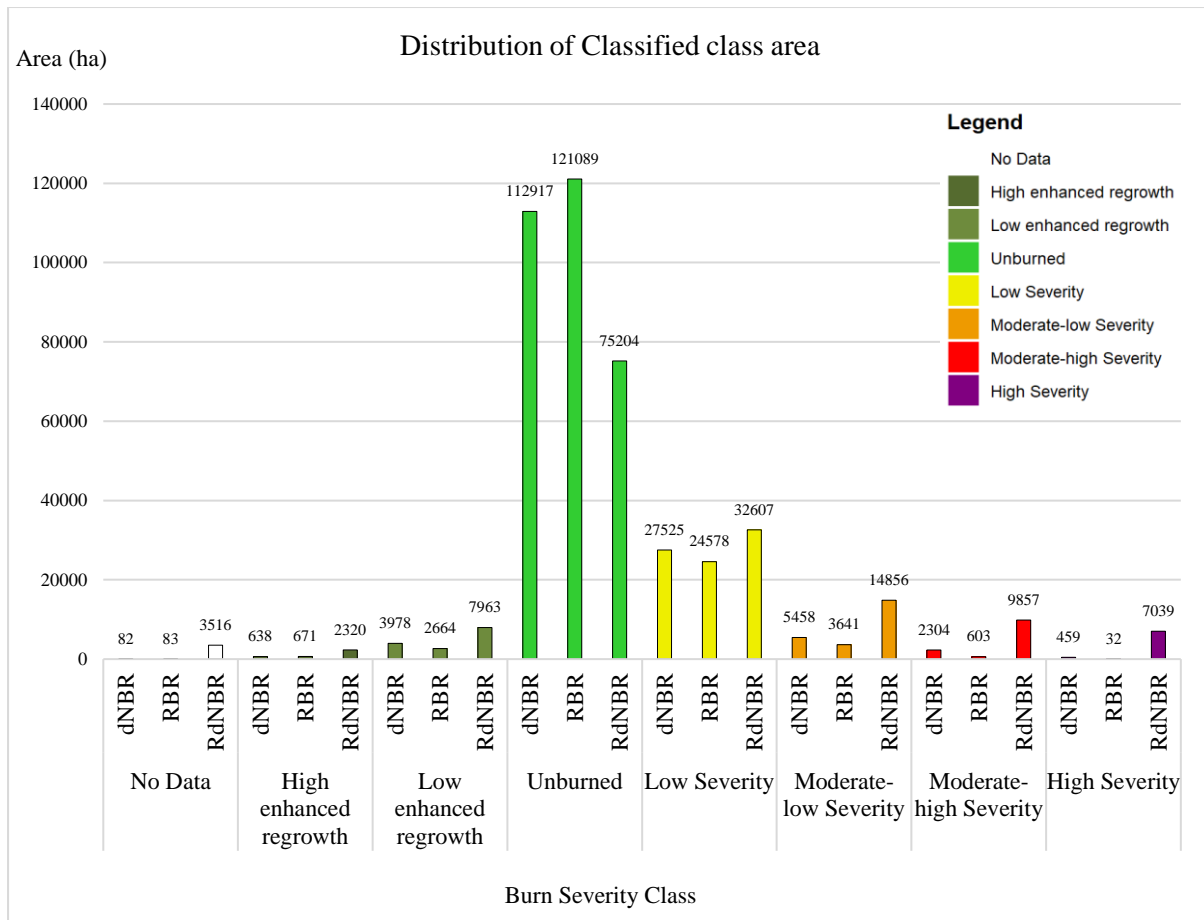


Figure 4. The area of each severity level of burn severity indices.

For accuracy assessment of the burnt severity maps, the effect of fire from low severity to high severity were exclusively taken into consideration, therefore they were grouped into the burnt area. On the other hand, the effect of fire from high enhanced regrowth to unburned was neglected and classified into the unburnt area. Sequentially, the burnt map with burnt and unburnt classes was overlaid with active fire points during the study period (Fig. 5). The accuracy of these abovementioned severity maps was assessed based on active fire points derived from the FIRMS NASA website, which provides hotspot locations of fire events. The percentage of active fire points falling within burnt and unburnt classes were extracted for accuracy assessment (Fig. 6).

It can be observed that the RdNBR index presents the highest accuracy with 62.6% fire points falling in the burnt area. The opposite is RBR with 46.18%. Whereas in the terms of dNBR, 52.67% fire points were observed in burnt areas, and 47.33% for the unburnt area.

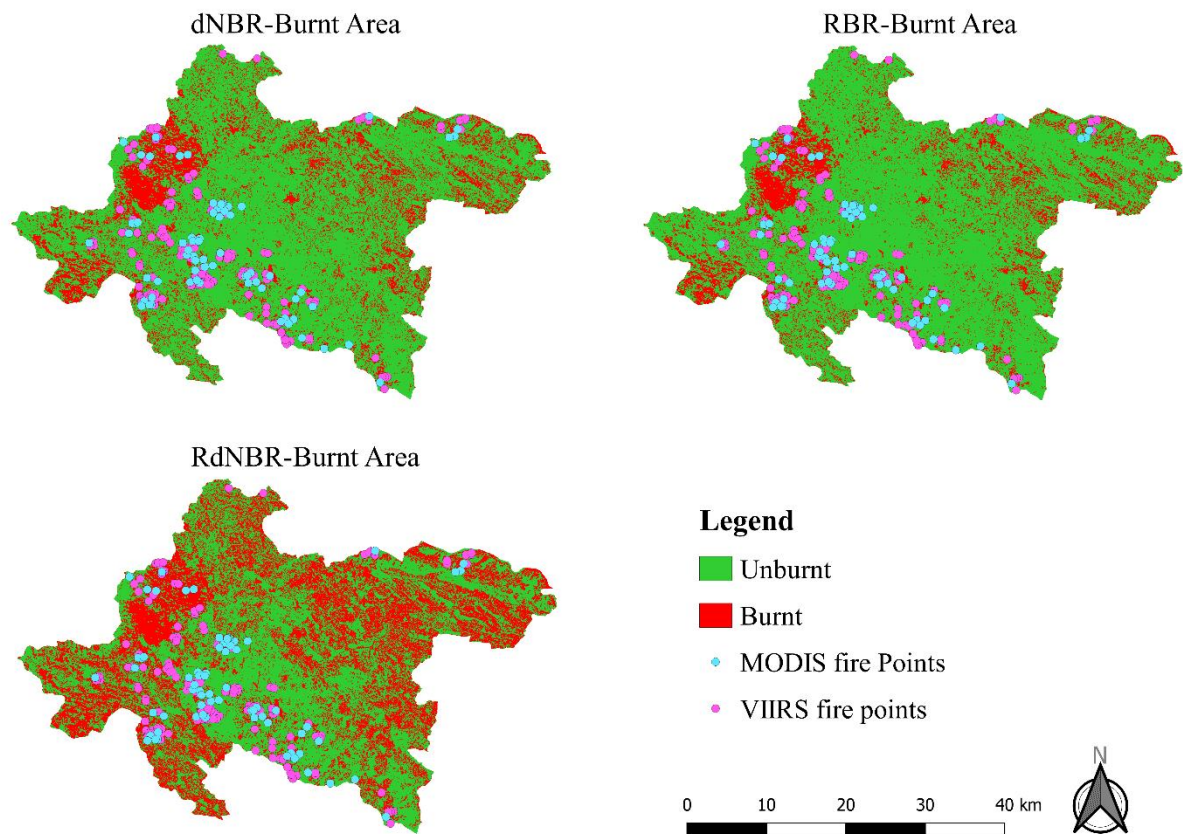


Figure 5. Burnt area maps generated from grouping burn severity classes overlaid with active fire points from FIRMS NASA.

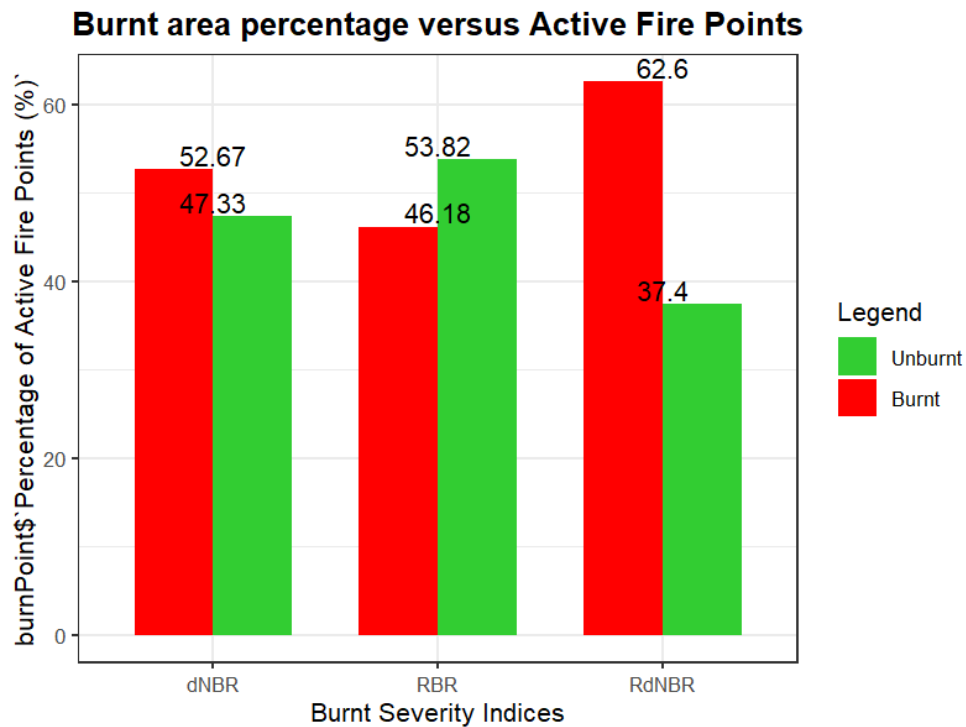


Figure 6. Percentage of the active fire points within burnt and unburnt area maps derived from several burnt severity indices.

From these results, it is obvious that RdNBR shows the greatest agreement with the reference fire points. However, it is true that the burnt area defined by RdNBR was far beyond the other indices (Table 4). In detail, there was 42% area of Thuan Chau district defined as burnt area by RdNBR index, compared to only 23.3 and 18.8% by dNBR and RBR, correspondingly. It leads to the fact that it is easier for the fire point falling into burnt areas rather than unburnt areas. Indeed, many areas in the East side of the RdNBR burnt map (Fig.5) was determined as burnt area, but there are very few fire hotspots were recorded in that area.



Table 4. Area of burnt and unburnt class.

Burn area class	dNBR	RBR	RdNBR
Burnt	23.3	18.8	42
Unburnt	76.7	81.6	58

4.2. Post-fire vegetation regeneration assessment

The descriptive statistics for NDVI are represented in table 5. The minimum NDVI of -0.299 and -0.649 on the 12th and 22nd, respectively, depicted the impact of the fire. However, the mean NDVI seems to be problematic when increasing from 0.285 to 0.342 after the fire.

Table 5. statistic of NDVI for the area of interest over the study period.

Period	Date	Minimum NDVI	Maximum NDVI	Mean NDVI	NDVI standard deviation
prefire	12-Apr-19	-0.299	0.631	0.285	0.076
	22-Apr-19	-0.649	0.999	0.342	0.154
postfire	12-Mar-20	-0.329	0.787	0.320	0.054
	01-Apr-21	-0.028	0.607	0.322	0.033

The dNBR-based burn severity was used in cooperation with NDVI data for vegetation regeneration analysis. Table 6 and Fig. 7 illustrate the association between vegetation regeneration and burn severity. Table 6 describes the mean NDVI values within each burn severity level.

Table 6. Relationship between vegetation regeneration expressed by the mean NDVI with burn severity level (derived from the dNBR).

Period	Date	High enhanced regrowth	Low Enhanced regrowth	Unburned	Low Severity	Moderate-low Severity	Moderate-high Severity	High Severity
prefire	12-Apr-19	0.187	0.282	0.326	0.264	0.339	0.363	0.368
	22-Apr-19	0.356	0.422	0.538	0.332	0.214	0.171	0.128
postfire	12-Mar-20	0.234	0.279	0.347	0.251	0.340	0.367	0.398
	01-Apr-21	0.272	0.309	0.329	0.281	0.349	0.364	0.362

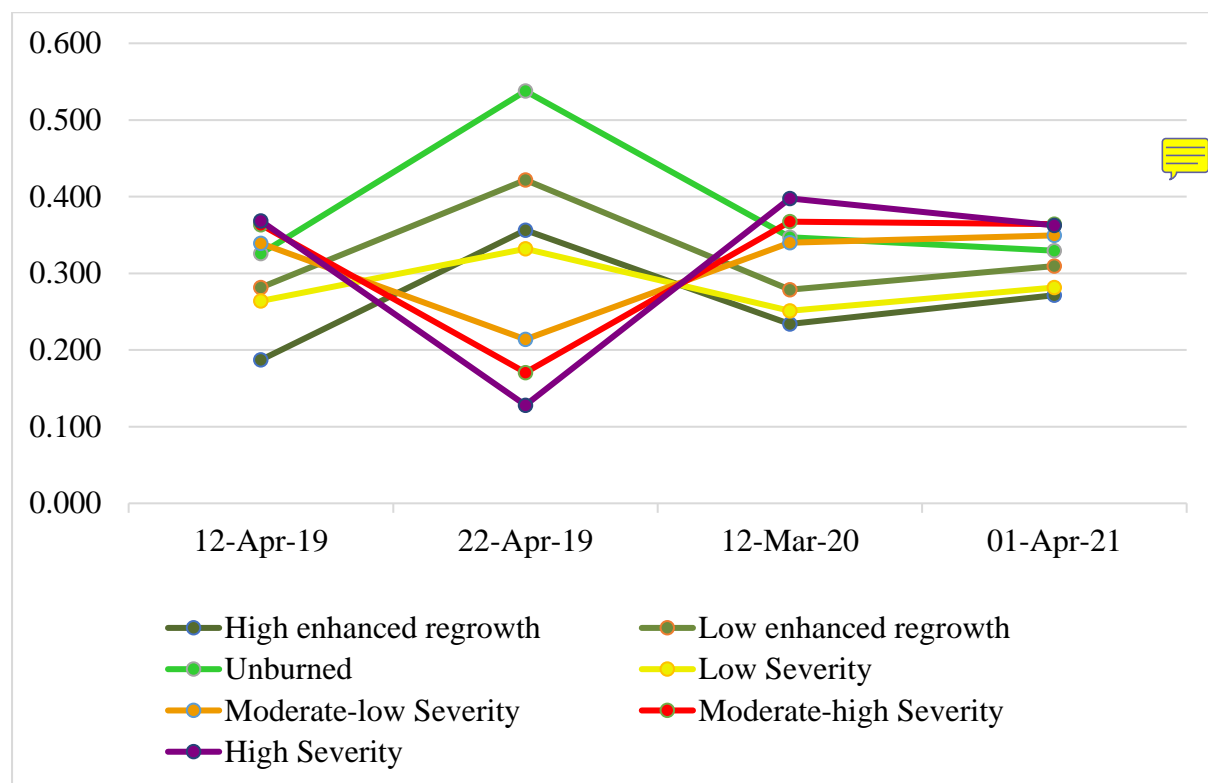


Figure 7. Mean NDVI by burn severity class from 12th April 2019 to 1st April 2021.

As expected, the greatest difference in mean NDVI was observed in the high severity class where mean NDVI values decreased from 0.368 on 12th April to 0.128 on 22nd April. Which was followed by moderate-high class with a decrease of 0.192 over the same period. The area of moderate-low severity experienced a smaller decrease of 0.125 in mean NDVI, roughly one-third of the decrease was noticed in the high severity.



5. CONCLUSION

In this study, burn severity analysis and post-fire vegetation assessment in Thuan Chau, Son La, Vietnam were accomplished using Sentinel-2 multi-spectral satellite images in the period of 2 years.

There were three reflectance indices, namely differenced Normalized Burn Ratio (dNBR), Relativized Burn Ratio (RBR) and Relativized differenced Normalized Burn Ratio (RdNBR) used for mapping burn severity. To do so, these indices were categorized into various severity levels proposed by the United States Geological Survey. The levels of low severity to high severity were considered as burnt areas and the rest as unburnt areas. Then, the burnt area maps with two classes of burnt and unburnt were validated using active fire points (MODIS and VIIRS). The RdNBR has shown its high accuracy with 62.6%, followed by dNBR with 53.67% and RBR with 46.18%. However, RdNBR burnt map (Fig. 5) presented many areas specified as the burnt area that have no active fire points recorded. Further research may should develop a more accurate method for burn severity accuracy assessment.

Results of this study have shown the rapid decrease in NDVI values right after the fire, showing the significant impact of the fire. On the other hand, a high regeneration rate was also observed via NDVI values helping the forest reach its initial state. The burn severity map is well correlated with the NDVI values, where the area with the most significant NDVI decline matches the high severity area. Field surveys or high-resolution remote sensing could help for a more accurate assessment.

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