OpenFlamingo: An Open-Source Framework for Training Large Autoregressive Vision-Language Models

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Abstract

We introduce OpenFlamingo, a family of autoregressive vision-language models ranging from 3B to 9B parameters. OpenFlamingo is an ongoing effort to produce an open-source replication of DeepMind's Flamingo models [3]. On seven vision-language datasets, OpenFlamingo models average between 80 - 89% of corresponding Flamingo performance. This technical report describes our models, training data, hyperparameters, and evaluation suite. We share our models and code at https://github.com/mlfoundations/open_flamingo.

1 Introduction

A popular format for vision and language models is (image, text) \rightarrow text, i.e., models take as input an image and some text, and produce text as output, e.g., BLIP-2 [22]. The flexible format directly supports tasks like image classification and visual question answering (VQA).

However, assuming a single image as input is limiting: autoregressive vision-language models enable new capabilities by instead mapping an arbitrarily interleaved *sequence* of images and

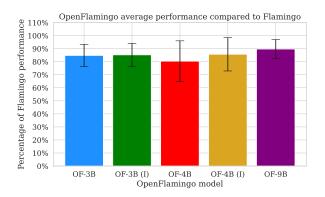


Figure 1: OpenFlamingo performance as a fraction of corresponding Flamingo performance, averaged across evaluation settings (7 datasets × 5 options for number of in-context examples). Demonstrations are chosen using RICES (Retrieval-based In-Context Example Selection). More details regarding selecting demonstrations can be found in Section 3.4. We compare OpenFlamingo-3B and -4B models to Flamingo-3B, and OpenFlamingo-9B to Flamingo-9B. Error bars are standard deviations over settings. "OF-3B (I)" refers to OpenFlamingo-3B (Instruct), the 3B model trained with a language-instruction-tuned backbone.

text to textual outputs. This interface provides important flexibility: the input sequence can include demonstrations for a new task, enabling fewshot, in-context learning [3] or multi-round multi-modal chatbot interactions. Evaluations suggest that autoregressive vision-language models can be performant foundation models [5]: models like Flamingo [3], CM3 [1], Kosmos-1 [12], PALM-E [8], and multimodal GPT-4 [28] generalize well across diverse vision-language tasks.

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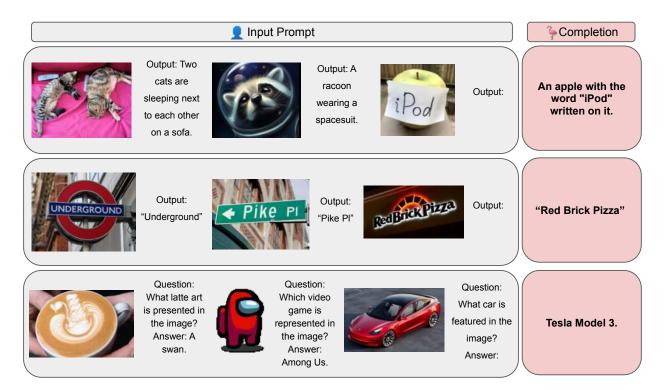


Figure 2: OpenFlamingo-9B (pictured) can process interleaved image-and-text sequences. This interface allows OpenFlamingo to learn many vision-language tasks through in-context demonstrations.

Unfortunately, these autoregressive vision-language models are closed-source, and their weights, training data, code, and hyperparameters are proprietary. This limits the academic community's ability to conduct research on autoregressive vision-language models, e.g., to understand how web-scraped image-text data affects models' performance and safety. Open-source alternatives, such as LLaVA [25], LLaMA-Adapter [41], BLIP-2 [23], and mPLUG-Owl [39], only take in single images, and they often directly train on curated datasets like COCO [24] rather than web data.

In this technical report, we document our experiences building an open-source reproduction of the Flamingo models [3]. Following Flamingo, we augment the layers of pretrained, frozen language models so that they cross attend to the outputs of a frozen vision encoder while predicting the next token. The cross-modal module is trained on web-scraped image-text sequences, in our case,

two open source datasets: LAION-2B [32] and Multimodal C4 [45]. Our stack is built using publicly available components, including CLIP as a vision encoder [30] and open-source language models as decoders [27, 35].

We call the resulting family of five models OpenFlamingo. These models range from 3B to 9B parameters, with both standard and instruction-tuned [37] language model backbones. When averaging performance across 7 evaluation datasets, OpenFlamingo-3B and -9B models attain 85% and 89% of their corresponding Flamingo models respectively (Figure 1). Models and code are open-sourced at https://github.com/mlfoundations/open_flamingo.

2 Related work

Generative vision-language models output text conditioned on an image-text sequence. While many such architectures, such as BLIP-

Table 1: Architecture details of the OpenFlamingo models. All five models use a CLIP ViT-L/14 vision encoder [30]. A cross-attention interval of 4 means that a cross-attention module is inserted every 4th language model layer. Note that OpenFlamingo models labeled (Instruct) use language models that were finetuned on language-only tasks; we have not instruction-tuned OpenFlamingo models on vision-language tasks.

Model	Language model	Cross-attention interval	<pre><image/> and < endofchunk ></pre>
OpenFlamingo-3B	MPT-1B [27]	1	Trainable
OpenFlamingo-3B (Instruct)	MPT-1B (Instruct) [27]	1	Trainable
OpenFlamingo-4B	RedPajama-3B [35]	2	Frozen
OpenFlamingo-4B (Instruct)	RedPajama-3B (Instruct) [35]	2	Frozen
OpenFlamingo-9B	MPT-7B [27]	4	Trainable

2 and LLaVa, can incorporate only one image in their context [6, 16, 22, 25, 39, 41], autoregressive vision-language models accept interleaved imagetext sequences, enabling in-context learning.

We chose to replicate Flamingo because of its strong in-context learning abilities. Aggregated across evaluation sets, Flamingo models see steady performance improvements up to 32 incontext examples [3]. This is in contrast with other autoregressive vision-language models, for example Kosmos-1 [12]; on captioning tasks COCO [24] and Flickr-30K [29], Kosmos-1 shows performance improvements up to 4 in-context examples, but performance degrades when using 8 in-context examples.

Open-source image-text datasets. Proprietary autoregressive vision-language models are typically trained on closed-source datasets [1, 3, 8, 12]. For example, Flamingo relies on image-text pairs from the ALIGN dataset [14] and interleaved image-text sequences from the M3W dataset [3]; both are unavailable to the public. Recent efforts to replicate these web-scraped datasets include LAION-2B, a dataset of image-text pairs, and Multimodal C4 [45] and OBELISC [18], datasets of image-text sequences. We use LAION-2B and Multimodal C4 for training Open-Flamingo models. Laurençon et al. [18] also train 9B and 80B Flamingo-style models; their models differ in the choice of pretraining dataset

(OBELISC instead of Multimodal C4) and language model (LLaMA-9B [41] instead of the MPT and RedPajama-3B models [27, 35]).

3 Approach

3.1 Architecture

We match the Flamingo architecture [3]. Given an interleaved sequence of images with text tokens, OpenFlamingo models predict the next text token conditioned on all previous text tokens and the last preceding image. Text tokens attend to their corresponding images via dense crossattention modules, which we attach to the layers of a frozen, autoregressive language model. To embed images, we extract patch features from a frozen vision encoder and pass these through a trainable Perceiver resampler [13].

As a preprocessing step, we first mark the locations of images in the text sequence with <image> tokens. We also insert <|endofchunk|> tokens after the text tokens following an image; e.g. the sequence x Hello world, where x is an image, would be preprocessed into <image> Hello world <|endofchunk|>.

Unlike Flamingo, we do not support video inputs at this time. We leave this for future work.

Table 1 describes the five OpenFlamingo models based on their language model and density

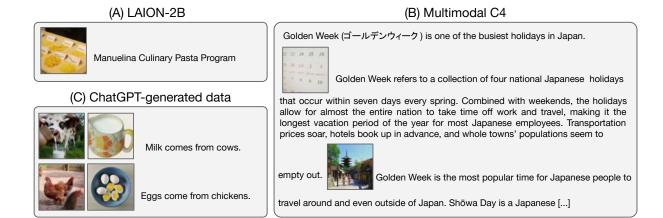


Figure 3: Samples from (A) LAION-2B [32], (B) Multimodal C4 [45], and (C) ChatGPT-generated data.

Table 2: Statistics for training datasets. "ChatGPT" stands for the ChatGPT-generated sequences. The median numbers of images and tokens per sequence were calculated using a random sample of 1,000 sequences.

Dataset	Median images per sequence	Median tokens per sequence
LAION-2B	1	17
MMC4	2	256
ChatGPT	3	56

of cross-attention layers; all models use CLIP ViT-L/14 [30] as a vision encoder. In most cases, the <image> and <|endofchunk|> embeddings are trainable, while other text embeddings are frozen. For the OpenFlamingo-4B models, all embeddings are frozen, including the randomly initialized <image> and <|endofchunk|> embeddings. This was due to complications with gradient masking when using Fully Sharded Data Parallel (§3.3).

3.2 Training data

We train our models on a mixture of image-text pairs and interleaved image-text sequences. During training, we sample dataset shards with replacement using the WebDataset format [34].

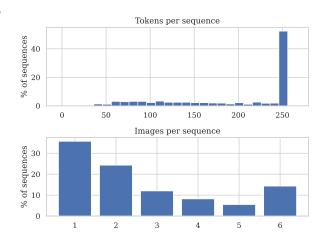


Figure 4: Histograms of the number of text tokens and images per MMC4 sequence, based on a sample of 1,000 sequences. Sequences are long with few images.

LAION-2B [32]. When training Flamingo, Alayrac et al. [3] use ALIGN [14], a closed-source dataset of over 1B single images paired with short alt-text captions. To train OpenFlamingo, we replace ALIGN with LAION-2B, an open-source web-scraped dataset consisting of 2B image-text pairs (Figure 3A). We use part of the English subset and truncate captions to 32 tokens. All image-text pairs in LAION-2B have a cosine similarity of at least 0.28 according to CLIP ViT-B/32.

Multimodal C4 [45]. In addition to imagetext pairs, Alayrac et al. [3] train Flamingo using M3W, an internal web-scraped dataset of 43M interleaved image-text sequences. We replace M3W with Multimodal C4 (MMC4), an open-source dataset of 101M interleaved samples (Figure 3B). Unlike M3W or OBELISC [18], which directly parse HTML documents to extract multimodal sequences, MMC4 uses CLIP to soft align images with sentences in a document. To ensure data quality, we exclude images if their cosine similarity with the subsequent text falls below 0.24, according to CLIP ViT-L/14. Sequences contain between 1 and 6 images (median 2). To encourage learning from sequences with multiple images, we reject single-image sequences with probability 0.5. The resulting distribution is shown in Figure 4. Additional notes on MMC4 filtering are in Appendix B.

Synthetic data. For the OpenFlamingo-4B models, we also experimented with training on ChatGPT-generated synthetic data (Figure 3C) These 417K image-text sequences were generated by prompting ChatGPT to generate a sequence of interleaved text and image alt-texts (in place of images). The alt-texts are used to retrieve a corresponding images from LAION-5B. Additional details of the prompting and data construction process are described in Appendix C. The median number of images per sequence is higher than in MMC4, while the median number of text tokens is lower (Table 2). We release these sequences through the OpenFlamingo repository.

3.3 Training details

OpenFlamingo models were trained for 60M interleaved (MMC4) examples¹ and 120M LAION-2B examples. All models are trained using the nexttoken prediction objective and optimized with

Table 3: Training used either DistributedDataParallel (DDP) or FullyShardedDataParallel (FSDP) [43].

Model	GPU type	Sharding strategy	Precision
OF-3B	A100-80GB	DDP	fp32
OF-3B (I)	A100-40GB	DDP	fp32
OF-4B	A100-40GB	FSDP	fp32
OF-4B (I)	A100-40GB	FSDP	fp32
OF-9B	A100-80GB	DDP	amp_bf16

AdamW. The learning rate is linearly increased at the beginning of training, and then held constant at 1e-4 throughout training. We apply weight decay of 0.1 on the dense cross attention layers. The batch size for LAION-2B is twice the batch size of the interleaved dataset (MMC4, optionally with ChatGPT-generated sequences), and the loss weights are set to Flamingo defaults of 1 and 0.2 for MMC4 and LAION-2B respectively. We accumulate gradients over both datasets between optimizer steps.

Distributed training. We train all models using 64 GPUs distributed across 8 nodes on Stabilty AI's cluster (Table 3). OpenFlamingo-4B models were trained using model sharding with Fully Sharded Data Parallel [43]; other models were trained using only data parallel.

Loss curves. Figure 5 tracks LAION-2B and MMC4 loss over the course of training. After an initial improvement, MMC4 loss decreases very slowly. We speculate that, since MMC4 sequences tend to include long paragraphs between images (Figure 2), most text tokens can be generated without referencing the image. Thus, the loss may be dominated by whether the frozen language model can fit unrelated paragraphs of text.

3.4 Evaluation method

We evaluate OpenFlamingo on seven visionlanguage datasets including captioning (COCO [7], Flickr-30K [40]), visual question answer-

¹OpenFlamingo-4B models use both MMC4 and ChatGPT-generated data as interleaved sequences; 60M interleaved examples translates to approximately 240K ChatGPT-generated sequences and 59.8M MMC4 sequences. Other models train on 60M MMC4 examples.

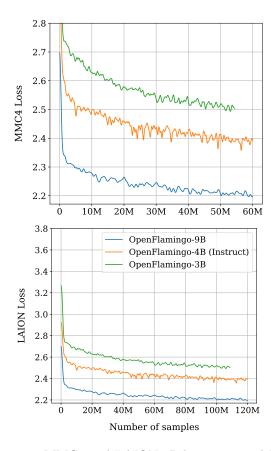


Figure 5: MMC4 and LAION-2B language modeling loss throughout training. Curves shown with Gaussian smoothing with window size 100.

ing (VQAv2 [2], OK-VQA [26], TextVQA [33], VizWiz [11]), and rank classification (Hateful-Memes [15]). For each dataset, we measure performance at 0, 4, 8, 16, and 32 in-context examples. Evaluation was done in automatic mixed precision, with linear layers computed in bfloat16.

Selecting in-context examples. For each evaluation example, we sample in-context examples from the training split uniformly at random. Additionally, in Appendix A.2, we include evaluations of OpenFlamingo using Retrieval-based In-Context Example Selection (RICES) [38].

Evaluation subsets. We evaluate on the dataset splits used by Alayrac et al. [3]. We run each evaluation across three seeds, where the

randomness is over selected in-context demonstrations, and average the results to obtain our final scores.

Prompts. For captioning tasks, we format demonstrations as <image> Output: [caption], replacing [caption] with the ground-truth caption. For VQA, we format examples as <image> Question: [question] Short answer: [answer]. For HatefulMemes, we prompt the model with <image> is an image with: '[text]' written on it. Is it hateful? Answer: [answer].

Following Alayrac et al. [3], we prompt the model with two in-context examples during zero-shot evaluations, removing their images, and for classification tasks, we implement prompt ensembling by averaging logits across 6 permutations of the in-context examples.

Decoding parameters. We evaluate captioning and VQA using beam search with 3 beams, stopping generation at 20 tokens for captioning, 5 tokens for VQA, or whenever the model produces an <|endofchunk|> token. For HatefulMemes, we compute the log-likelihood of completions "yes" and "no" and answer with the most likely completion.

Metrics. For captioning, we use CIDEr score [36]. For VQA, we report VQA accuracy, *i.e.*, exact match accuracy over a set of ground truth answers [2]. For HatefulMemes, we compute AUC ROC.

4 Results

In Table 4, we compare OpenFlamingo and Flamingo models across 0, 4, and 32 in-context examples. On average, OpenFlamingo-3B, -3B (Instruct), -4B (Instruct), and -9B attain more than 86% of the performance of their corresponding Flamingo models (Figure 1).

In the 0- and 4-shot regimes, OpenFlamingo models approach or match Flamingo performances on

Evaluations with random demonstrations

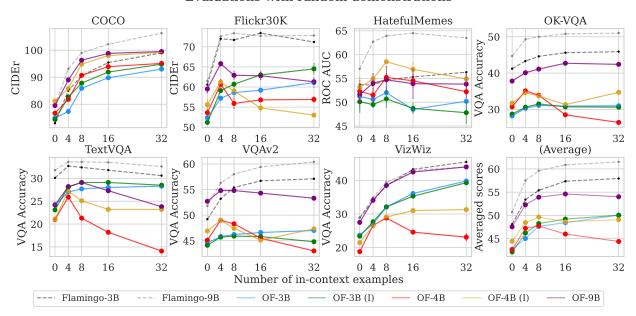


Figure 6: Evaluation results per dataset across 0, 4, 8, 16, and 32 in-context examples. Each point is the average across three evaluation runs, where the randomness is over choice of in-context demonstrations. Error bars are standard deviations over random seeds. Results are reported in tabular form in Table 11.

several datasets. For example, OpenFlamingo-9B improves upon Flamingo-9B's 0-shot performance on VQAv2 (51.8% \rightarrow 52.7% VQA accuracy) and COCO (79.4 \rightarrow 79.5 CIDEr), and OpenFlamingo-9B approaches Flamingo-9B's 0shot performance on Flickr-30K and VizWiz. Moreover, OpenFlamingo-9B approaches the 4shot performance of Flamingo-9B on COCO, VQAv2, and VizWiz.

However, on OK-VQA and TextVQA, Open-Flamingo models are notably weaker than their Flamingo counterparts: OpenFlamingo-9B underperforms Flamingo-9B in 0-shot evaluations by 6.9 percentage points on OK-VQA and 7.8 percentage points on TextVQA. OpenFlamingo-3B also underperforms Flamingo-3B by 4.6 percentage points in 0-shot VQAv2 accuracy. The reason for generally low VQA performance is unclear, although discussions in §5.2 may be related.

Extrapolating to more in-context examples. Trends by model size. OpenFlamingo-9B

of the number of in-context examples. We observe that the OpenFlamingo-3B and -9B models generally improve with the number of in-context examples. However, the rate of improvement is lower than the Flamingo models: in the bottom right corner of Figure 6, we observe that gaps between OpenFlamingo-9B and Flamingo-9B widen with the number of in-context examples. We speculate that this behavior may stem from the quality of our pre-training data, which mostly consists of sequences with few images (Table 2). In contrast with the -3B and -9B models, which generally improve with more in-context examples, the OpenFlamingo-4B models unexpectedly degrade in performance after 4 or 8 shots. The 4B models use RedPajama language models [35] instead of MPT backbones [27]; they also use frozen <image> and <|endofchunk|> embeddings. We investigate the effect of the latter in §5.1.

In Figure 6, we plot performance as a function generally outperforms smaller models, except on

Benchmark	Shots	Fl-3B	Fl-9B	OF-3B	OF-3B (I)	OF-4B	OF-4B (I)	OF-9B
	0	73.0	79.4	74.9 (0.2)	74.4 (0.6)	76.7 (0.2)	81.2 (0.3)	79.5 (0.2)
	4	85.0	93.1	77.3(0.3)	82.7 (0.7)	81.8 (0.4)	85.8 (0.5)	89.0 (0.3)
COCO [7]	32	99.0	106.3	$93.0\ (0.6)$	94.8 (0.3)	95.1 (0.3)	99.2 (0.3)	99.5 (0.1)
	0	60.6	61.5	52.3 (1.0)	51.2 (0.2)	53.6 (0.9)	55.6 (1.3)	59.5 (1.0)
	4	72.0	72.6	57.2 (0.4)	59.1 (0.3)	60.7 (1.2)	61.2 (0.5)	65.8 (0.6)
Flickr- $30K$ [40]	32	71.2	72.8	61.1 (1.3)	64.5 (1.3)	56.9 (0.7)	53.0 (0.5)	61.3 (0.7)
	0	49.2	51.8	44.6 (0.0)	44.1 (0.1)	45.1 (0.1)	46.9 (0.0)	52.7 (0.2)
	4	53.2	56.3	45.8(0.0)	45.7 (0.1)	49.0 (0.0)	49.0 (0.0)	54.8 (0.0)
VQAv2 [2]	32	57.1	60.4	47.0 (0.1)	44.8 (0.1)	43.0 (0.2)	47.3 (0.0)	53.3 (0.1)
	0	41.2	44.7	28.2 (0.2)	28.7 (0.1)	30.7 (0.1)	31.7 (0.1)	37.8 (0.2)
	4	43.3	49.3	30.3 (0.5)	30.6 (0.2)	35.1 (0.0)	34.6 (0.0)	40.1 (0.1)
OK-VQA [26]	32	45.9	51.0	31.0 (0.1)	30.6 (0.1)	26.4 (0.2)	34.7 (0.3)	42.4 (0.0)
	0	30.1	31.8	24.2 (0.2)	23.1 (0.2)	21.0 (0.3)	21.1 (0.4)	24.2 (0.5)
	4	32.7	33.6	27.0(0.3)	28.1 (0.4)	25.9(0.0)	27.2(0.3)	28.2 (0.4)
TextVQA [33]	32	30.6	32.6	28.3(0.2)	28.5(0.1)	14.1 (0.2)	23.2(0.2)	23.8 (0.2)
	0	28.9	28.8	23.7(0.5)	23.4 (0.3)	18.8 (0.1)	21.5 (0.2)	27.5 (0.2)
	4	34.0	34.9	27.0(0.3)	27.7(0.1)	26.6 (0.5)	26.5 (0.4)	34.1 (0.7)
VizWiz [11]	32	45.5	44.0	39.8(0.1)	39.3 (0.4)	23.1 (1.1)	31.3 (0.2)	44.0 (0.5)
	0	53.7	57.0	51.2 (2.5)	50.1 (2.2)	52.3 (2.3)	53.1 (2.2)	51.6 (1.8)
	4	53.6	62.7	50.6 (0.8)	49.5(0.6)	51.5 (1.4)	54.9 (1.1)	54.0 (2.0)
HatefulMemes [15]	32	56.3	63.5	50.2 (1.8)	47.8 (2.2)	52.2 (1.2)	54.9 (1.1)	53.8 (2.1)

Table 4: Evaluation results across seven vision-language datasets using 0, 4, and 32 in-context examples. "OF-3B (I)" refers to OpenFlamingo-3B (Instruct), the 3B model trained with a language-instruction-tuned backbone, while "Fl-3B" refers to Flamingo-3B. Flamingo results taken from Alayrac et al. [3]. The highest number in each row is bolded. Full results (including 8- and 16-shot performance) are in Table 11.

HatefulMemes and for large numbers of in-context examples on Flickr-30K and TextVQA. However, OpenFlamingo-4B models often underperform the smaller 3B models, including on Flickr-30K, HatefulMemes, TextVQA, and VizWiz.

Effect of language instruction-tuning. We train two OpenFlamingo models at each of the 3B and 4B scales: one model using a base language model, and one with an instruction-tuned variant of the same language model. In the lower right corner of Figure 6, we observe that the instruction-tuned variants of MPT-1B and RedPajama-3B on average outperform the base models. The difference is starkest for RedPajama-3B. Transfer of language instruction tuning to vision-language tasks was previously reported in Huang et al. [12], Li et al. [23].

Comparison to fine-tuned state-of-the-art. Figure 7 plots each model's performance rela-

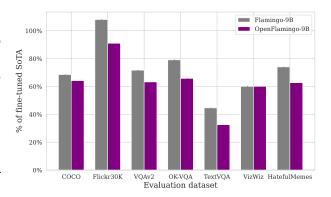


Figure 7: Open Flamingo-9B and Flamingo-9B performance relative to fine-tuned SoTA performance.

tive to fine-tuned state-of-the-art performance, as listed on Papers With Code on June 19, 2023. OpenFlamingo-9B averages more than 62% of fine-tuned state-of-the-art performance with 32 RICES-selected in-context examples, compared to 72% achieved by Flamingo-9B. For more details on the fine-tuned SoTAs, see Appendix A.1.

		0-shot	4-shot	8-shot
COCO	trainable	46.5	58.6	61.2
	frozen	$41.9 \; (-4.6)$	54.5 (-4.1)	57.4 (-3.8)
VQAv2	trainable	17.6	23.2	28.7
VQAVZ	frozen	5.5 (-12.1)	8.4 (-14.8)	18.8 (-9.9)

Table 5: COCO and VQAv2 validation performance when using trainable <image> and <|endofchunk|> embeddings compared to frozen, randomly initialized embeddings. The model used in this experiment is based on CLIP ViT-L/14 and OPT 125M, with cross-attention every layer, and trained on 20M interleaved samples, including ChatGPT-sequences.

5 Discussion

5.1 Frozen embeddings

In §4, we observed that OpenFlamingo-4B models underperform their 3B counterparts on most datasets. One notable way the OpenFlamingo-4B models differ from the 3B and 9B models is that their <image> and <|endofchunk|> embeddings are randomly initialized and frozen, rather than trained.

In Table 5, we investigate the effect of this difference. We train small models using OPT-125M as a language model [42] to 20M interleaved samples (one-third of full training). Freezing the <image> and <|endofchunk|> embeddings results in a drop of 4.6 CIDEr for 0-shot COCO, and 12.1% accuracy for 0-shot VQAv2. This suggests that frozen <image> and <|endofchunk|> embeddings may impact downstream trends.

5.2 VQAv2 validation trends

During development, we used the VQAv2 validation set as a temperature check for visual question answering capabilities. In this section, we discuss trends observed during development.

Training dynamics. To understand how evaluation performance evolves over the course of training, Figure 8 plots validation performance of OpenFlamingo-9B on COCO and VQAv2 throughout training. While COCO performance steadily improves, VQAv2 progress is flatter.

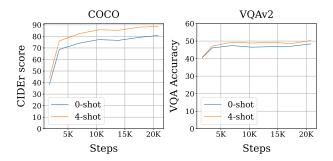


Figure 8: Validation split performance for OpenFlamingo-9B across training: while COCO CIDEr improves throughout training, VQAv2 performance is more stagnant.

This matches trends reported by Li et al. [23].

Effect of language model. Although additional training did not dramatically affect VQAv2 performance, changing language model backbones did. Table 7 illustrates this effect on the VQAv2 validation split; notably, switching from OPT-1.3B to MPT-1B (Instruct) added nearly 10 percentage points in 0-shot performance. We hypothesize that the language model has similarly large effects for other VQA tasks.

Common VQA failure modes (Table 6).

OpenFlamingo models struggle with counting; on the VQAv2 validation split, OpenFlamingo-9B scores 30.5% on questions with numerical answers, compared to 70.6% on yes / no questions. Additionally, because VQA accuracy uses an exact match criterion for generations, models must answer concisely to score well; OpenFlamingo models are often too verbose. Finally, VQA questions can ask about objects other than the central object in the image; models sometimes answer about the central item instead.

5.3 Applications of OpenFlamingo

Multiple models have already developed on top of OpenFlamingo. Li et al. [20] fine-tuned Open-Flamingo on MIMIC-IT [19], a multi-image/video instruction following dataset, creating Otter, a

Counting Verbosity Non-central object



Q: How many people are on the sidewalk?

OF-9B: "one"

GROUND TRUTH: { "4", "5"}



Q: What is this sheep trying to do?

OF-9B: "it is trying to get"

Ground truth: $\{$ "get out", "escape" $\}$



Q: What color are the curtains?

OF-9B: "green"

GROUND TRUTH: { "yellow", "gold"}

Table 6: OpenFlamingo-9B errors from the VQAv2 validation split. Common failure modes for OpenFlamingo including counting, giving answers that are too verbose (and thus truncated), and answering about the central object in the image rather than the non-central object in the question.

	VQAv2 validation				
	Shots				
Language model	0	4			
OPT-125M	17.6	23.2			
OPT-1.3B	32.8	27.2			
MPT-1B (Instruct)	41.9	43.7			
MPT-7B	47.4	49.4			

Table 7: VQAv2 validation performance at 20M interleaved samples across different language models. Performance largely differs between language models.

multimodal assistant. Gong et al. [10] released Multimodal-GPT, an OpenFlamingo model instruction fine-tuned on both vision and language instruction datasets. We hope the community continues to use OpenFlamingo models.

5.4 Limitations

OpenFlamingo models carry the same risks as their foundational language models. In particular, these models train on web-scraped data, and they have not undergone safety-focused fine-tuning. Models thus may produce unexpected, inappropriate, or inaccurate outputs. We hope to further investigate the safety properties of autoregressive vision-language models like OpenFlamingo.

6 Conclusion

In this technical report, we described Open-Flamingo, a family of five autoregressive vision-language models across the 3B, 4B, and 9B scales. Open-Flamingo remains an active research project, and we continue to work on training and releasing high-quality autoregressive vision-language models. We hope our contribution enables more researchers to train and study such models.

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Table 8: Fine-tuned state-of-the-art numbers used in this report.

Method	Dataset	Score
mPLUG [21]	COCO	155.1
Unified VLP [44]	Flickr-30K	67.4
Pali-17B [6]	VQAv2	84.3
Pali-17B [6]	OK-VQA	64.5
Pali-17B [6]	TextVQA	73.1
Pali-17B [6]	VizWiz	73.3
Hate-CLIPper [17]	${\bf Hateful Memes}$	85.8

A Extended results

Table 11 provides full evaluation results for 0, 4, 8, 16, and 32 in-context examples. For ease of comparison to Flamingo, we calculate each OpenFlamingo model's performance as a fraction of corresponding Flamingo performance in Figure 11.

A.1 Comparison to fine-tuned SoTAs

In Figure 9, we compare OpenFlamingo models to fine-tuned SoTA performances for different numbers of in-context examples. The fine-tuned methods used were pulled from PapersWithCode on 06/19/23 (Table 8).

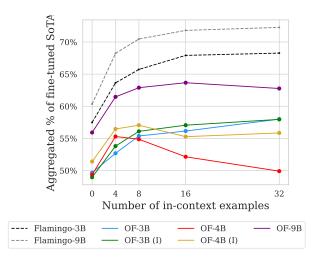


Figure 9: We plot each model's performance relative to fine-tuned state-of-the-art performance, averaged across datasets.

Benchmark	Shots	Random	RICES
COCO	4	89.0	93.1 (+4.1)
COCO	32	99.5	109.0 (+9.5)
Flickr-30K	0	59.5	39.2 (-20.3)
r lickr-30K	4	65.8	52.2 (-13.6)
	8	62.9	58.7 (-4.2)
	32	61.3	63.0 (+1.7)
VQAv2	4	54.8	55.1 (+0.3)
VQAV2	32	53.3	56.8 (+3.5)
OK-VQA	4	40.1	38.3 (-1.8)
OK-VQA	32	42.4	46.3 (+3.9)
TextVQA	4	28.2	34.2 (+6)
1ext v QA	32	23.8	31.1 (+7.3)
VizWiz	4	27.5	41.0 (+13.5)
V IZ VV IZ	32	44.0	46.4 (+2.4)
HatefulMemes	4	54.0	70.1 (+16.1)
Tratefullyleffies	32	53.8	73.6 (+19.8)

Table 9: Using RICES [38] to select in-context examples often outperforms using random demonstrations. Scores in table are for OpenFlamingo-9B.

A.2 Evaluations using RICES

In the main text, we evaluate OpenFlamingo by selecting in-context examples uniformly at random. In this appendix, we include additional evaluation results using Retrieval-based In-Context Example Selection (RICES) [38]. For a given test example, RICES selects the top-k most similar training examples as demonstrations, where similarity is measured by cosine similarity of the images according to the frozen vision encoder (CLIP ViT-L/14). Full results with RICES are listed in Table 12 and illustrated in Figure 10.

In Table 9, we compare OpenFlamingo-9B performance using RICES to performance using randomly selected in-context examples. We observe that RICES significantly boosts performance in most evaluation settings, including by 19.2 ROC AUC using 32 shots on HatefulMemes. However, on Flickr-30K, we observe significant degradations from using RICES: CIDEr degrades by 20.4 in 0-shot evaluations² and 13.1 in 4-shot evaluations. We hypothesize that the demonstrations RICES selects in Flickr-30K are more similar to the test example than in other datasets. This leads OpenFlamingo-9B to parrot captions from the in-context examples, including incorrect details. For an example, see Table 10 in Appendix A.

 $^{^{2}}$ In 0-shot evaluations, RICES is still used to select the two text-only examples used for the prompt (§3.4).

Evaluations with RICES

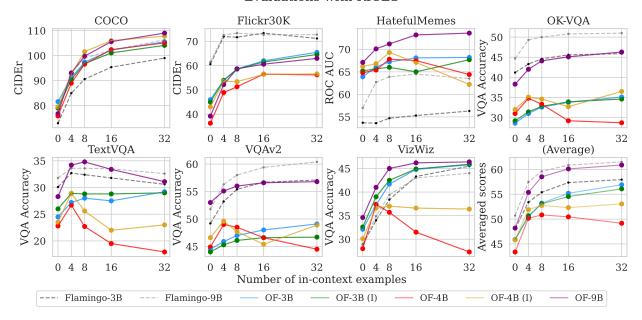


Figure 10: Evaluation results per dataset across 0, 4, 8, 16, and 32 in-context examples. Results are reported in tabular form in Table 12.

B Additional notes on filtering MMC4

When training contrastive vision-language models, filtering image-text pairs by CLIP cosine similarity has proven particularly helpful for improving data quality [31, 9]. We use a similar notion for filtering interleaved sequences in MMC4: if an image and its matched sentence had cosine similarities that fell below a fixed threshold (0.24), according to CLIP ViT-L/14 embeddings, we omitted the image from the sequence, keeping the text. If all images in a sequence are omitted, we discard the sequence entirely. This aims to ensure that images are relevant to the text following it.

However, increasing the image-text similarity threshold has a side effect: it reduces the typical number of images per interleaved sequence. When using similarity 0.32, nearly 58% of a sample of 1,000 MMC4 sequences contain only 1 image per sequence, compared to 38% in Figure 4, which uses a threshold of 0.24. Training with long sequences may be important for producing models that can handle a large amount of in-context examples. Further, we estimate that 88.7% of MMC4 sequences are discarded completely when filtering with threshold 0.32, compared to 42.7%

with threshold 0.24.

As future work, we are interested in understanding how to balance length, quality, and dataset size objectives to improve OpenFlamingo models.

C Synthetic data prompt

We provide the prompt used to generate the ChatGPT-generated data (see §3.2) in Table 12. After generating candidate sequences, we query LAION-5B using [4] to infill images. For each unique caption we generate, we attempt to retrieve 10 candidate images from the index using index=laion5B-L-14, aesthetic_score=9, and aesthetic_weight=0.5. After this search, we re-rank the retrieved images using CLIP ViT-L/16@336px and select the image with the highest similarity to interleave.

D Image credits

We include the links to the images we used in Figure 2 in Table 13.

RICES Random demonstrations A person hanging from a telephone pole near The brown dog is running through the grass the mountains. with a yellow toy in its mouth. A trio of male musicians are performing with A white dog rushes down a dirt path surone playing a guitar and singing into a microrounded by grass and trees. phone, another holding a harmonica, and the third playing a bass guitar. Two men, both in strange hats, working over The tan dog is carrying a green squeak toy in rocks in a busy urban street. its mouth. Several people are in a group where a man in A yellow dog running through a yard covered in a blue shirt is smiling. leaves while holding a yellow toy in his mouth. A yellow labrador retriever running with a A yellow dog running through a yard cov-

ered in leaves while holding a green squeak

toy in his mouth

GROUND TRUTH: A white dog fetching a yellow toy.

Demos

Test example OF-9B generations:

ball.

Table 10: Comparison of OpenFlamingo-9B outputs for a Flickr-30K 4-shot evaluation using RICES vs. random demonstrations. With RICES, OpenFlamingo-9B patches together these demonstration captions to answer for the test image, including incorrect details.

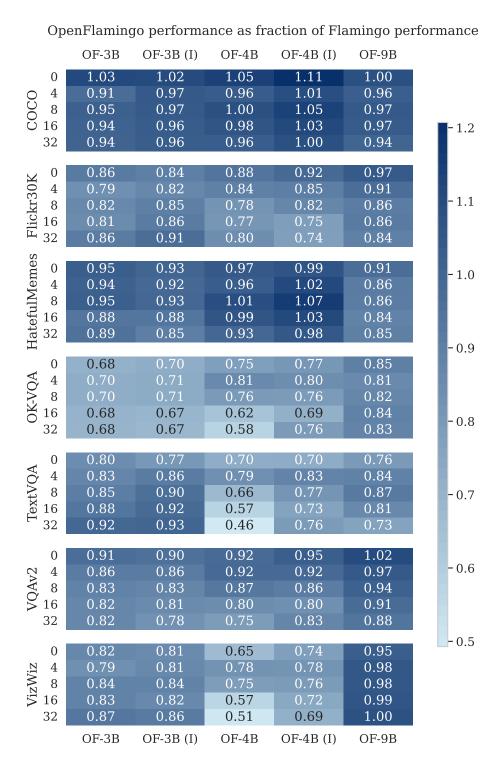


Figure 11: OpenFlamingo performance as a fraction of corresponding Flamingo performance for each evaluation setting. We compare OpenFlamingo-3B and -4B models to Flamingo-3B, and OpenFlamingo-9B to Flamingo-9B. 0, 4, 8, 16, and 32 refer to the number of in-context examples used.

Benchmark	Shots	Fl-3B	Fl-9B	OF-3B	OF-3B (I)	OF-4B	OF-4B (I)	OF-9B
	0	73.0	79.4	74.9 (0.2)	74.4 (0.6)	76.7 (0.2)	81.2 (0.3)	79.5 (0.2)
	4	85.0	93.1	77.3(0.3)	82.7 (0.7)	81.8 (0.4)	85.8 (0.5)	89.0 (0.3)
COCO [7]	8	90.6	99.0	85.9 (0.6)	87.8 (0.5)	90.7 (0.3)	94.8 (0.2)	96.3 (0.1)
	16	95.4	102.2	89.8 (0.2)	91.9 (0.3)	93.9 (0.4)	98.0 (0.3)	98.8 (0.7)
	32	99.0	106.3	93.0 (0.6)	94.8 (0.3)	95.1 (0.3)	99.2 (0.3)	99.5 (0.1)
	0	60.6	61.5	52.3 (1.0)	51.2 (0.2)	53.6 (0.9)	55.6 (1.3)	59.5 (1.0)
	4	72.0	72.6	57.2 (0.4)	59.1 (0.3)	60.7 (1.2)	61.2 (0.5)	65.8 (0.6)
Flickr- $30K$ [40]	8	71.7	73.4	58.6 (1.1)	60.7(0.6)	55.9 (1.3)	59.0 (1.0)	62.9 (1.0)
	16	73.4	72.7	59.2(0.5)	63.0 (0.4)	56.8 (0.5)	54.8 (1.0)	62.8 (1.0)
	32	71.2	72.8	61.1 (1.3)	64.5 (1.3)	56.9 (0.7)	53.0 (0.5)	61.3 (0.7)
	0	49.2	51.8	44.6 (0.0)	44.1 (0.1)	45.1 (0.1)	46.9 (0.0)	52.7 (0.2)
	4	53.2	56.3	45.8(0.0)	45.7 (0.1)	49.0 (0.0)	49.0 (0.0)	54.8 (0.0)
VQAv2 [2]	8	55.4	58.0	46.2(0.0)	45.9 (0.1)	48.3 (0.0)	47.4 (0.0)	54.8 (0.0)
	16	56.7	59.4	46.6 (0.0)	45.8 (0.0)	45.5 (0.1)	45.1 (0.1)	54.3 (0.0)
	32	57.1	60.4	47.0(0.1)	44.8 (0.1)	43.0 (0.2)	47.3 (0.0)	53.3 (0.1)
	0	41.2	44.7	28.2 (0.2)	28.7 (0.1)	30.7 (0.1)	31.7 (0.1)	37.8 (0.2)
	4	43.3	49.3	30.3 (0.5)	30.6 (0.2)	35.1 (0.0)	34.6 (0.0)	40.1 (0.1)
OK-VQA [26]	8	44.6	50.0	31.1 (0.3)	31.5 (0.3)	33.9 (0.1)	33.7 (0.2)	41.1 (0.2)
	16	45.6	50.8	30.9(0.3)	30.7 (0.3)	28.5 (0.2)	31.3 (0.1)	42.7(0.2)
	32	45.9	51.0	31.0 (0.1)	30.6 (0.1)	26.4 (0.2)	34.7 (0.3)	42.4 (0.0)
	0	30.1	31.8	24.2 (0.2)	23.1 (0.2)	21.0 (0.3)	21.1 (0.4)	24.2 (0.5)
	4	32.7	33.6	27.0(0.3)	28.1 (0.4)	25.9 (0.0)	27.2(0.3)	28.2 (0.4)
TextVQA [33]	8	32.4	33.6	27.7(0.1)	29.1 (0.1)	21.3 (0.2)	25.1 (0.2)	29.1 (0.1)
	16	31.8	33.5	28.0 (0.2)	29.1 (0.1)	18.2 (0.4)	23.2 (0.1)	27.3(0.1)
	32	30.6	32.6	28.3 (0.2)	28.5(0.1)	14.1 (0.2)	23.2 (0.2)	23.8 (0.2)
	0	28.9	28.8	23.7(0.5)	23.4(0.3)	18.8 (0.1)	21.5 (0.2)	27.5 (0.2)
	4	34.0	34.9	27.0(0.3)	27.7 (0.1)	26.6 (0.5)	26.5(0.4)	34.1 (0.7)
VizWiz [11]	8	38.4	39.4	$32.1\ (0.7)$	32.1 (0.6)	28.8 (0.4)	29.1 (0.2)	38.5 (0.1)
	16	43.3	43.0	36.1 (0.3)	35.3(0.1)	24.6 (0.2)	31.0 (0.6)	42.5 (0.4)
	32	45.5	44.0	39.8 (0.1)	39.3 (0.4)	23.1 (1.1)	31.3 (0.2)	44.0 (0.5)
	0	53.7	57.0	51.2(2.5)	50.1 (2.2)	52.3 (2.3)	53.1 (2.2)	51.6 (1.8)
	4	53.6	62.7	50.6 (0.8)	49.5 (0.6)	51.5 (1.4)	54.9 (1.1)	54.0 (2.0)
HatefulMemes [15]	8	54.7	63.9	52.0 (1.1)	50.7 (1.8)	55.2 (0.8)	58.5 (0.3)	54.7 (2.8)
	16	55.3	64.5	48.5 (0.7)	48.7 (1.0)	54.5 (1.3)	56.9 (1.5)	53.9 (3.1)
	32	56.3	63.5	50.2 (1.8)	47.8 (2.2)	52.2 (1.2)	54.9 (1.1)	53.8 (2.1)

Table 11: Full evaluation results using **demonstrations sampled uniformly at random** across seven vision-language datasets using 0, 4, 8, 16, and 32 in-context examples. Results are averaged across 3 evaluation seeds and reported with standard deviations.

Benchmark	Shots	Fl-3B	Fl-9B	OF-3B	OF-3B (I)	OF-4B	OF-4B (I)	OF-9B
	0	73.0	79.4	81.6	79.0	75.9	79.5	76.8
	4	85.0	93.1	91.3	90.5	89.0	92.7	93.1
COCO [7]	8	90.6	99.0	97.4	96.8	96.6	101.6	99.8
	16	95.4	102.2	102.2	101.1	102.4	106.0	105.6
	32	99.0	106.3	105.5	104.1	105.1	107.8	109.0
	0	60.6	61.5	46.0	45.0	36.3	43.0	39.2
	4	72.0	72.6	54.0	53.9	48.9	53.6	52.2
Flickr- $30K$ [40]	8	71.7	73.4	58.6	58.6	51.3	53.4	58.7
	16	73.4	72.7	62.0	61.5	56.5	56.4	60.6
	32	71.2	72.8	65.5	64.6	56.2	56.6	63.0
	0	49.2	51.8	44.5	44.0	44.9	46.6	53.0
	4	53.2	56.3	45.9	45.3	49.0	49.6	55.1
VQAv2 [2]	8	55.4	58.0	47.0	46.1	48.5	47.7	56.0
	16	56.7	59.4	48.0	46.6	46.6	45.4	56.6
	32	57.1	60.4	49.1	46.7	44.5	48.9	56.8
	0	41.2	44.7	28.6	29.2	31.0	32.0	38.3
	4	43.3	49.3	31.0	31.4	34.8	35.1	42.0
OK-VQA [26]	8	44.6	50.0	32.6	32.8	33.3	34.6	44.1
	16	45.6	50.8	33.8	33.9	29.2	32.7	45.1
	32	45.9	51.0	35.1	34.6	28.7	36.5	46.3
	0	30.1	31.8	24.5	26.0	22.8	23.5	28.3
	4	32.7	33.6	27.2	28.9	26.7	28.9	34.2
TextVQA [33]	8	32.4	33.6	28.0	28.8	22.7	25.6	34.8
	16	31.8	33.5	27.5	28.8	19.5	22.0	33.4
	32	30.6	32.6	29.2	29.0	17.93	23.0	31.1
	0	28.9	28.8	32.1	32.6	28.0	30.1	34.6
	4	34.0	34.9	37.3	39.0	37.4	36.6	41.0
${f VizWiz} \ [{f 11}]$	8	38.4	39.4	41.7	42.5	35.7	37.0	45.0
	16	43.3	43.0	44.8	44.9	31.5	36.6	46.2
	32	45.5	44.0	45.8	45.9	27.3	36.4	46.4
	0	53.7	57.0	63.9	65.2	64.8	66.2	67.1
	4	53.6	62.7	66.1	65.7	65.4	66.8	70.1
HatefulMemes [15]	8	54.7	63.9	67.2	66.0	67.8	69.3	71.2
	16	55.3	64.5	68.1	65.0	67.5	67.1	73.2
	32	56.3	63.5	68.2	67.7	64.4	62.2	73.6

Table 12: Full evaluation results using RICES across seven vision-language datasets using 0, 4, 8, 16, and 32 in-context examples.

Row 1						
Link	Link	Link				
Row 2						
Link	Link Link					
Row 3						
Link	Link	Link				

Table 13: Source of images for Figure 2 for each row the links are from left to right in the order of how the images are presented.

system prompt (human authored)

You are a helpful assistant that knows a lot about image descriptions and how they are used with text in broader contexts.

Your job is to generate an interleaved sequence of images (referenced by their image descriptions) and sentences.

Image descriptions should only describe the single image. They should be specific, 1-sentence, self-contained descriptions that capture the salient elements of the image.

The sentences can refer to concepts in any of the images and to each-other. The interleaved sequences are interesting, clever, and represent compelling patterns. To understand them requires knowledge of real-world entities/events, comparison between the images, and commonsense knowledge. Real-world references/facts must be correct.

Remember to:

- 1) be creative, tell stories, reference real-world entities/events, etc. The images/sentence can play off each-other in fun ways.
- 2) be interesting, generate sequences that are cool, fun, compelling and require interesting commonsense reasoning across and between images/sentences.
- 3) make sure the image descriptions are self-contained, and the output format follows the requested format.

user (human authored)

Generate a creative, interesting sequence of sentences/images with the following format: (image A, sentence 1, image B, sentence 2, image C, sentence 3)

assistant (human authored)

Sure!

Sequence format: (image A, sentence 1, image B, sentence 2, image C, sentence 3). This interleaved sequence of images/sentences contains images of animals from various parts of the world. The sentences first name the depicted animal, and then describe where the animal is native to.

Image A: A photo of a person holding a chinchilla in their hand

Sentence 1: This is a chinchilla. They are mainly found in Chile.

Image B: A photo of a shiba-enu in the fall sitting in the forest

Sentence 2: This is a shiba. They are very popular in japan.

Image C: A photo of a flamingo with a lake in the background

Sentence 3: This is a flamingo. They are found in the Caribbean and South America.

(... 4x more hand designed/interleaved examples...)

user (human authored)

Generate a creative, interesting sequence of sentences/images with the following format: (image A, image B, sentence 1, image C, image D, sentence 2, image E, image F, sentence 3).

assistant (machine generated)

Sure!

This interleaved sequence of images/sentences contains images of nature. The sentences that follow each image are statements that lead to conclusions. The final sentence explains the broader significance of the pattern.

Image A: A photo of a seed being planted in the soil.

Image B: A photo of a small plant sprouting from the soil.

Sentence 1: The plant is growing.

Image C: A photo of trees in a forest.

Image D: A photo of an orchard with rows of apple trees.

Sentence 2: Trees are used to produce fruits.

Image E: A photo of a river running through a valley.

Image F: A photo of a waterfall cascading down a mountain.

Sentence 3: Water is a critical component of many natural processes, from plant growth to the formation of landscapes.

Figure 12: We prompt ChatGPT using a system prompt, 5 interleaved human-authored sequences, and a query pattern, in this case "(Image A, Image B, Sentence 1, Image C, Image D, Sentence 2, Image E, Image F, Sentence 3)". Based on these examples, ChatGPT first explains what sequence it is attempting to generate (here: "... images of nature. The sentences that follow each image are statements that lead to conclusions"). Later, images are retrieved based on the generated "Photo X" descriptions to form interleaved sequences.