



Universidade Estadual de Campinas
Instituto de Computação



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The Dissertation or Thesis Title in English

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Chapter 1

Introduction

Chapter 2

Mathematical Background

2.1 Groups

Definition 2.1.1. A **group** is a set G closed under a binary operation \cdot defined on G such that:

- **Associativity:** $\forall a, b, c \in G, a \cdot (b \cdot c) = (a \cdot b) \cdot c$
- **Identity element:** $\exists e \in G ; \forall a \in G, a \cdot e = e \cdot a = a$
- **Inverse element:** $\forall a \in G, \exists b \in G ; a \cdot b = b \cdot a = e$

And it is denoted by $\langle G, \cdot \rangle$, or simply G if the operation is implied.

Definition 2.1.2. A group is said to be **commutative** or **abelian** if $\forall a, b \in G, a \cdot b = b \cdot a$

A group is called **additive** or **multiplicative** if its operation is addition or multiplication, respectively.

Definition 2.1.3. A subset H of G is a **subgroup** of $\langle G, \cdot \rangle$ if it is closed under \cdot induced by $\langle G, \cdot \rangle$.

Definition 2.1.4. The **order** of a group $\langle G, \cdot \rangle$ is the cardinality of the set G .

Definition 2.1.5. A subgroup H of G can be used to decompose G in uniform sized and disjoint subsets called **cosets**. Given an element $g \in G$:

- A **left coset** is defined by $gH := \{g \cdot h ; h \in H\}$
- A **right coset** is defined by $Hg := \{h \cdot g ; h \in H\}$

2.2 Rings and Fields

Definition 2.2.1. A **ring** is a set together with two binary operations, we will note by $+$ and $*$ and call it addition and multiplication, respectively, such that:

- $\langle R, + \rangle$ is an abelian group.
- $*$ is associative
- $*$ is distributive over $+$

And it is denoted by $\langle R, +, * \rangle$, or simply G if the operations are implied.

Definition 2.2.2. A ring is said to be **commutative** if its $*$ operation is commutative.

Definition 2.2.3. A ring is said to be **with unity** if $*$ has a identity element. We shall note it by 1 and it is called **unity**.

Definition 2.2.4. A **division ring** is a ring R where $\forall r \in R, \exists s \in R ; r * s = 1$.

Definition 2.2.5. A **field** is a commutative division ring.

2.3 Lattices

Definition 2.3.1. A Lattice $\Lambda \subset \mathbb{R}^n$ is a subgroup of the additive group \mathbb{R}^n

In other words, given m linear independent vectors in \mathbb{R}^n , the set $\{v_1, v_2, \dots, v_m\}$ is called a **basis** for Λ and the Lattice may be defined by:

Definition 2.3.2.

$$\Lambda := \left\{ x = \sum_{i=1}^m \lambda_i v_i \in \mathbb{R}^n \mid \lambda_i \in \mathbb{Z} \right\}$$

I.e., any $\lambda \in \Lambda$ can be written as $\lambda = Mv$ where M is the **generator matrix** of Λ where each row is a vector from the basis and $v \in \mathbb{Z}^n$.

2.4 Number Fields

Definition 2.4.1. Let K and L be two fields, L is said to be a **field extension** of K if $L \subseteq K$ and we denote it by L/K

Note that in a field extension L/K , L has a structure of a vector space over K , where vector addition is in L and scalar multiplication $a \in K, v \in L \implies av \in L$. The dimension of L as a vector space is called **degree** and it is denoted by $[L : K]$.

Definition 2.4.2. A field extension is called **number field** when it is over \mathbb{Q} .

Definition 2.4.3. Let $\alpha \in L$ where L/K is a field extension. We say that α is **algebraic over K** if $\exists p \in K[X] ; p(\alpha) = 0$. p is said to be **the minimal polynomial of α over K** denoted by p_α . If $\alpha \in L = \mathbb{Q}[\theta]$, we simply call α an **algebraic number**.

Example 2.4.1. It is known that \mathbb{Q} is a field. If we add $\sqrt{2}$ to the set, we can build a new field adding also all the powers and multiples of \mathbb{Q} . This new field is denoted by $\mathbb{Q}[\sqrt{2}]$, note that $\sqrt{2}$ is algebraic and its minimal polynomial $p_{\sqrt{2}} = x^2 - 2$. All elements of $\mathbb{Q}[\sqrt{2}]$ are in the form $\{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\}$ and one of its basis is $\{1, \sqrt{2}\}$, so it has degree is 2.

Example 2.4.2. If we add $\sqrt[3]{2}$ to \mathbb{Q} instead, its elements would have the form $\{a + b\sqrt[3]{2} + c\sqrt[3]{4} \mid a, b, c \in \mathbb{Q}\}$, so one of its basis is $\{1, \sqrt[3]{2}, \sqrt[3]{4}\}$, $p_\alpha = x^3 - 2$ and its degree is 3.

Theorem 2.4.1 (add font 45 p.40). *If K is a number field, then $K = \mathbb{Q}[\theta]$ for some algebraic number $\theta \in K$, called primitive element.*

Then we conclude that $\{1, \theta, \theta^2, \dots, \theta^{n-1}\}$ is a basis for the vector space $K = \mathbb{Q}[\theta]$ over \mathbb{Q} . Note that we can represent an number $a \in K$ as a linear combination of θ , i.e $a = \sum_{i=0}^n a_i \theta^i$ or as a polynomial $a(x) = \sum_{i=0}^n a_i x^i$.

Definition 2.4.4. A number α is said to be an **algebraic integer** if $p \in \mathbb{Z}[X]$; $p(\alpha) = 0$. The set of all algebraic integers of K forms a ring called **ring of integers** of K and is denoted by \mathcal{O}_K .

Definition 2.4.5. An **integral basis** is a basis for a ring of integers.

2.5 Twisted Embeddings

2.5.1 Embeddings

Definition 2.5.1. Let K and L be two field extensions and a homomorphism $\phi : K \rightarrow L$. ϕ is said to be a **\mathbb{Q} -homomorphism** if $\phi(a) = a, \forall a \in \mathbb{Q}$

Definition 2.5.2. A \mathbb{Q} - homomorphism; $\phi : K \rightarrow \mathbb{C}$ is called an **embedding**.

Theorem 2.5.1 (insere fonte 45, p.41). *If K is a number field with degree n then there are exactly n embeddings $\sigma_i : K \rightarrow \mathbb{C}$ where by $\sigma_i(\theta) = \theta_i$ where $\theta_i \in \mathbb{C}$ is a distinct zero of the K 's minimum polynomial.*

Definition 2.5.3. Let $\{\sigma_i\}_n$ the possible embeddings of a number field K . Let r the number of embeddings with real images and $2s$ the complex ones, then $r + 2s = n$. The pair (r, s) is called **signature** of K .

Definition 2.5.4. The homomorphism $\sigma : K \rightarrow \mathbb{R}^r \times \mathbb{C}^s$, where (r, s) is the signature of K , is said to be the **canonical embedding** and is defined by:

$$\sigma(x) = (\sigma_1(x), \dots, \sigma_r(x), \sigma_{r+1}(x), \dots, \sigma_{r+s}(x))$$

Note that we could rewrite the canonical embedding as $\sigma : K \rightarrow \mathbb{R}^n$

$$\sigma(x) = (\sigma_1(x), \dots, \sigma_r(x), \Re(\sigma_{r+1}(x)), \Im(\sigma_{r+1}(x)), \dots, \Re(\sigma_{r+s}(x)), \Im(\sigma_{r+s}(x)))$$

For now on we will denote it simply by:

$$\sigma(x) = (\sigma_1(x), \dots, \sigma_r(x), \sigma_{r+1}(x), \dots, \sigma_{r+2s}(x))$$

2.5.2 Algebraic Lattices

Theorem 2.5.2 (adicionar citação 45, p155). *Let $\{\omega_1, \dots, \omega_n\}$ be an integral basis of K , The n vectors $v_i = \sigma(\omega_i) \in \mathbb{R}^n$ are linearly independent, so they define a full rank algebraic lattice $\Lambda = \Lambda(\mathcal{O}_K) = \sigma(\mathcal{O}_K)$.*

The generator matrix of $\Lambda = \sigma(\mathcal{O}_K)$ is defined by:

$$\begin{pmatrix} \sigma_1(\omega_1) & \dots & \sigma_{r+2s}(\omega_1) \\ & \ddots & \\ \sigma_1(\omega_n) & \dots & \sigma_{r+2s}(\omega_n) \end{pmatrix} \quad (2.1)$$

Remark 2.5.1. An embedding creates the correspondence between a point $\lambda \in \Lambda \subset \mathbb{R}^n$ of an algebraic lattice (Theo. 2.5.2) and an integer in \mathcal{O}_K :

Let λ be a point of a lattice Λ :

$$\begin{aligned}\lambda &= (\lambda_1, \dots, \lambda_{r+2s}) \in \Lambda \\ &= \left(\sum_{i=1}^n z_i \sigma_1(\omega_i), \dots, \sum_{i=1}^n z_i \sigma_{r+2s}(\omega_i) \right) \\ &= \left(\sigma_1 \left(\sum_{i=1}^n z_i \omega_i \right), \dots, \sigma_{r+2s} \left(\sum_{i=1}^n z_i \omega_i \right) \right)\end{aligned}$$

where $z_i \in \mathbb{Z}$. Since any element $x \in \mathcal{O}_K$ has the form $x = \sum_{i=1}^n \lambda_i \omega_i$, we can conclude that:

$$\lambda = (\sigma_1(x), \dots, \sigma_{r+2s}(x)) = \sigma(x)$$

2.5.3 Twisted Embeddings

Definition 2.5.5. Let K be a number field with degree n and σ an embedding. We say that a number $\tau \in K$ is **totally positive** if $\forall i \in 1, \dots, n, \sigma_i(\tau) \in \mathbb{R}_+^*$

Definition 2.5.6 (Twisted Embedding). Given τ a totally positive number, the **τ -twisted embedding**, or simply twisted embedding, is the monomorphism defined as:

$$\sigma_\tau(x) = (\sqrt{\tau_1} \sigma_1(x), \dots, \sqrt{\tau_{r+2s}} \sigma_{r+2s}(x))$$

where $\tau_i = \sigma_i(\tau)$.

Chapter 3

Objectives

Chapter 4

Methodology

Bibliography