# A study of some practical impacts of twisted embeddings in lattice-based cryptography

Candidate: Laura Viglioni

Supervisor: Prof. Dr. Ricardo Dahab

March 12, 2021

Basic definitions

#### **Lattices**

A lattice  $\Lambda \subset \mathbb{R}^n$  is a subgroup of the additive group  $\mathbb{R}^n$ .

#### Lattices

In other words, given m linear independent vectors in  $\mathbb{R}^n$ , the set  $\{v_1, v_2, ..., v_m\}$  is called a **basis** for  $\Lambda$  and the lattice may be defined by:

$$\Lambda := \left\{ x = \sum_{i=1}^m \lambda_i v_i \in \mathbb{R}^n \mid \lambda_i \in \mathbb{Z} \right\}.$$

That is, any  $\lambda \in \Lambda$  can be written as  $\lambda = Mv$ , where M is the **generator matrix** of  $\Lambda$  where each row is a vector from the basis and  $v \in \mathbb{Z}^n$ .

### Lattices and cryptography

asd

#### The *H* space

Let  $r, s, n \in \mathbb{Z}_+$  such that n = r + 2s > 0. The space  $H \subset \mathbb{C}^n$  is defined as:

$$H=\{(a_1,\ldots,a_r,b_1,\ldots,b_s,\overline{b_1},\ldots,\overline{b_s})\in\mathbb{C}^n\},$$

where  $a_i \in \mathbb{R}, \ \forall i \in \{1, \dots, r\}$  and  $b_j \in \mathbb{C}, \ \forall \ j \in \{1, \dots, s\}.$ 

4

#### The *H* space

For all  $x = (x_1, ..., x_n)$ ,  $y = (y_1, ..., y_n) \in H$  the space H is endowed with inner product  $(x, y)_H$  defined as:

$$\langle x,y\rangle_H = \sum_{i=1}^n x_i \overline{y_i} = \sum_{i=1}^r x_i y_i + \sum_{i=1}^s x_{i+r} \overline{y_{i+r}} + \sum_{i=1}^s \overline{x_{i+r}} y_{i+r}.$$

The  $\ell_2$ -norm and infinity norm of any  $x \in H$  are defined as  $\|x\| = \sqrt{\langle x, x \rangle_H}$  and  $\|x\|_{\infty} = \max\{|x_i|\}_{i=1}^n$ .

#### **Number Fields**

For K, L two fields, we denote by L/K a **field extension** if  $K \subseteq L$ . Then L is said to be an **extension field** over K, or just an **extension** over K. In a field extension L/K, L has the structure of a vector space over K.

A field extension is called a **number field** when it is over the rational field  $\mathbb{Q}$ .

### Twisted embeddings

# Learning problems

### Learning from Parity

Given m vectors uniformly chosen  $a_i \leftarrow \mathbb{Z}_2^n$  and some  $\epsilon \in [0, 1]$ , we define the problem Learning from Parity (LFP) as:

Find  $s \in \mathbb{Z}_2^n$  such that, for  $i \in \{1, \dots, m\}$ 

$$\langle s, a_i \rangle \approx_{\epsilon} b_i \pmod{2}$$
.

In other words, the equality holds with probability  $1-\epsilon$ .

#### Learning with Errors

Learning with Errors (LWE) is a generalization of LFP with two new parameters  $p \in \mathbb{P}$  and  $\chi$  a probability distribution on  $\mathbb{Z}_p$  so that we have:

$$\langle s, a_i \rangle \approx_{\chi} b_i \pmod{p}$$
 or  $\langle s, a_i \rangle + e_i = b_i \pmod{p}$ ,

where  $a_i \leftarrow \mathbb{Z}_p^n$  uniformly and  $e_i \leftarrow \mathbb{Z}$  according to  $\chi$ .

#### Ring-LWE search

Let K be a number field,  $R = \mathcal{O}_K$  its ring of integers and  $R^{\vee}$  the codifferent ideal of K. Also let  $K_{\mathbb{R}}$  be the tensor product  $K \otimes_{\mathbb{Q}} \mathbb{R}$ .

Let  $\Psi$  be a family of distributions over  $K_{\mathbb{R}}$ . The search version of the ring-LWE problem, denoted  $R-LWE_{q,\Psi}$ , is defined as follows: given access to arbitrarily many independent samples from  $A_{s,\psi}$  for some arbitrary  $s \in R_q^{\vee}$  and  $\psi \in \Psi$ , find s.

#### Twisted Ring-LWE

For a totally positive element  $\tau \in F$ , let  $\psi_{\tau}$  denote an error distribution over the inner product  $\langle \cdot, \cdot \rangle_{\tau}$  and  $s \in R_q^{\vee}$  (the "secret") be an uniformly randomized element. The *Twisted Ring-LWE distribution*  $\mathcal{A}_{s,\psi_{\tau}}$  produces samples of the form

$$a,b=a\cdot s+e\pmod{qR^\vee}\in R_q imes \mathcal{K}_\mathbb{R}/qR^\vee.$$

#### Twisted Ring-LWE hardness

Solving the Twisted Ring-LWE is as hard as solving the usual Ring-LWE.

#### **Theorem**

Let K be an arbitrary number field, and let  $\tau \in F$  be totally positive. Also, let  $(s, \psi)$  be randomly chosen from  $(U(R_q^{\vee}) \times \Psi)$  in  $(K_{\mathbb{R}}, \langle \cdot, \cdot \rangle_{\tau=1})$ . Then there is a polynomial-time reduction from Ring-LWE $_{q,\psi}$  to Ring-LWE $_{q,\psi}^{\tau}$ .

# Twisted R-LWE cryptosystem

#### asd

 $\mathsf{asd} \\$ 

## Objectives

#### Main goal

- Validate the idea of using twisted embeddings in cryptography
- Explore the theoretical and the practical aspects of this proposal

#### Practical aspects

- Compare implementations and instances of the Twisted Ring-LWE and Ring-LWE
- Maximum realsubfield versus the cyclotomic power-of-tw
- Search for proper sizes of keys and messages

#### Theoretical aspects

- Study the polynomial arithmetic of themaximal real subfield
- Study the relation between the orthonormal basis and the efficient conversion between latticepoints and elements of number field
- Examine if it is possible toachieve a satisfactory efficiency with non-orthonormal basis

Methodology and timeline

#### Methodology

- Literature Review: review proposals of new cryptosystems, such as NTTRU.
- Theoretical experiments: perform experiments using algebra libraries to discover twist factors and to discover orthonormal bases.
- Experimental outcome: to calculate the expansion factor of the polynomial f(x) that defines the ring  $\mathbb{Z}[x]/f(x)$ . Adapt or develop algorithms for polynomial multiplication.
- Implementation: implement a Twisted Ring-LWE based cryptosystem.
- Practical experiments: to estimate the cost in terms of clock cycles, also key and message sizes.

#### **Timeline**

- First and second semesters of 2021
  - Study the Twisted Ring LWE problem and implementation.
  - Perform theoretical experiments with number fields, twist factors and lattices.
  - Calculate the expansion factor and adapt/develop algorithms for polynomial multiplication.
- First and second semesters of 2022
  - Implement a Twisted Ring-LWE based cryptosystem.
  - Compare instances of Ring LWE and Twisted Ring LWE, i.e., analyze the cryptosystem in both terms of clock cycles and key sizes.
  - Defense of dissertation.

Thank you!