

# Week 01b: Analysis of Algorithms

## Analysis of Algorithms

### Running Time

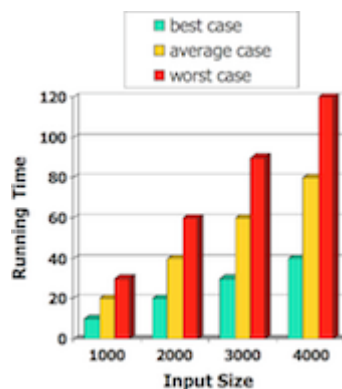
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An **algorithm** is a step-by-step procedure

- for solving a problem
- in a finite amount of time

Most algorithms map input to output

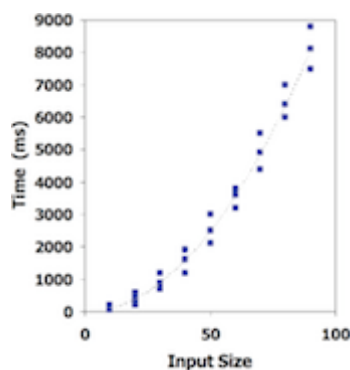
- running time typically grows with input size
- *average time* often difficult to determine
- Focus on *worst case* running time
  - easier to analyse
  - crucial to many applications: finance, robotics, games, ...



### Empirical Analysis

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1. Write program that implements an algorithm
2. Run program with inputs of varying size and composition
3. Measure the actual running time
4. Plot the results



#### Limitations:

- requires to implement the algorithm, which may be difficult
- results may not be indicative of running time on other inputs

- same hardware and operating system must be used in order to compare two algorithms

## Theoretical Analysis

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- Uses high-level description of the algorithm instead of implementation ("pseudocode")
- Characterises running time as a function of the input size,  $n$
- Takes into account all possible inputs
- Allows us to evaluate the speed of an algorithm independent of the hardware/software environment

## Pseudocode

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Example: Find maximal element in an array

```
arrayMax(A):
|   Input   array A of n integers
|   Output maximum element of A
|
|   currentMax=A[0]
|   for all i=1..n-1 do
|   |   if A[i]>currentMax then
|   |   |   currentMax=A[i]
|   |   end if
|   end for
|   return currentMax
```

### ... Pseudocode

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Control flow

- **if ... then ... [else] ... end if**
- **while .. do ... end while**
- **repeat ... until**
- **for [all][each] .. do ... end for**

Function declaration

- **f(arguments):**  
     **Input** ...  
     **Output** ...  
     ...

Expressions

- **=** assignment
- **=** equality testing
- $n^2$  superscripts and other mathematical formatting allowed
- **swap A[i] and A[j]** verbal descriptions of *simple* operations allowed

### ... Pseudocode

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- More structured than English prose
- Less detailed than a program
- Preferred notation for describing algorithms

- Hides program design issues

## Exercise #1: Pseudocode

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Formulate the following verbal description in pseudocode:

*To reverse the order of the elements on a stack  $S$  with the help of a queue:*

- 1. In the first phase, pop one element after the other from  $S$  and enqueue it in queue  $Q$  until the stack is empty.*
- 2. In the second phase, iteratively dequeue all the elements from  $Q$  and push them onto the stack.*

*As a result, all the elements are now in reversed order on  $S$ .*

Sample solution:

```

while S is not empty do
    pop e from S, enqueue e into Q
end while
while Q is not empty do
    dequeue e from Q, push e onto S
end while
  
```

## Exercise #2: Pseudocode

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Implement the following pseudocode instructions in C

1. A is an array of ints

```

...
swap A[i] and A[j]
...
  
```

2. S is a stack

```

...
swap the top two elements on S
...
  
```

1. `int temp = A[i];`  
`A[i] = A[j];`  
`A[j] = temp;`
2. `x = StackPop(S);`  
`y = StackPop(S);`  
`StackPush(S, x);`  
`StackPush(S, y);`

The following pseudocode instruction is problematic. Why?

```

...
swap the two elements at the front of queue Q
  
```

...

## The Abstract RAM Model

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RAM = Random Access Machine

- A CPU (central processing unit)
- A potentially unbounded bank of memory cells
  - each of which can hold an arbitrary number, or character
- Memory cells are numbered, and accessing any one of them takes CPU time

## Primitive Operations

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- Basic computations performed by an algorithm
- Identifiable in pseudocode
- Largely independent of the programming language
- Exact definition not important (we will shortly see why)
- Assumed to take a constant amount of time in the RAM model

Examples:

- evaluating an expression
- indexing into an array
- calling/returning from a function

## Counting Primitive Operations

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By inspecting the pseudocode ...

- we can determine the maximum number of primitive operations executed by an algorithm
- as a function of the input size

Example:

```

arrayMax(A):
|  Input  array A of n integers
|  Output maximum element of A
|
|  currentMax=A[0]
|  for all i=1..n-1 do
|  |   if A[i]>currentMax then
|  |   |   currentMax=A[i]
|  |   end if
|  end for
|  return currentMax
|
|                                     1
|                                     n+(n-1)
|                                     2(n-1)
|                                     n-1
|
|                                     1
|                                     -----
|                                     Total 5n-2

```

## Estimating Running Times

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Algorithm arrayMax requires  $5n - 2$  primitive operations in the *worst* case

- *best* case requires  $4n - 1$  operations (why?)

Define:

- $a$  ... time taken by the fastest primitive operation
- $b$  ... time taken by the slowest primitive operation

Let  $T(n)$  be worst-case time of `arrayMax`. Then

$$a \cdot (5n - 2) \leq T(n) \leq b \cdot (5n - 2)$$

Hence, the running time  $T(n)$  is bound by two **linear** functions

## ... Estimating Running Times

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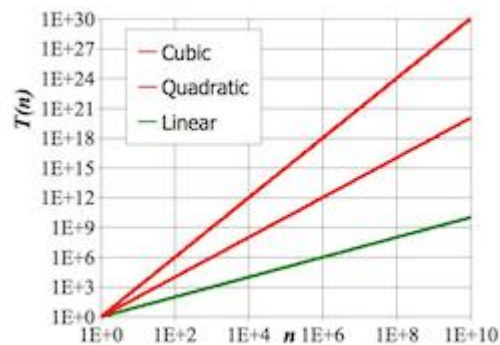
Seven commonly encountered functions for algorithm analysis

- Constant  $\equiv 1$
- Logarithmic  $\equiv \log n$
- Linear  $\equiv n$
- N-Log-N  $\equiv n \log n$
- Quadratic  $\equiv n^2$
- Cubic  $\equiv n^3$
- Exponential  $\equiv 2^n$

## ... Estimating Running Times

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In a log-log chart, the slope of the line corresponds to the growth rate of the function

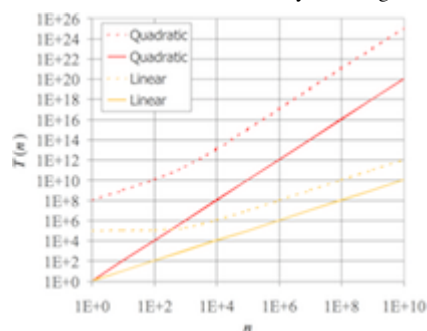


## ... Estimating Running Times

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The growth rate is not affected by constant factors or lower-order terms

- Examples:
  - $10^2n + 10^5$  is a linear function
  - $10^5n^2 + 10^8n$  is a quadratic function



## ... Estimating Running Times

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Changing the hardware/software environment

- affects  $T(n)$  by a constant factor
- but does not alter the growth rate of  $T(n)$

⇒ *Linear* growth rate of the running time  $T(n)$  is an intrinsic property of algorithm `arrayMax`

## Exercise #3: Estimating running times

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Determine the number of primitive operations

```
matrixProduct(A,B):
    Input  n×n matrices A, B
    Output n×n matrix A·B

    for all i=1..n do
        for all j=1..n do
            C[i,j]=0
            for all k=1..n do
                C[i,j]=C[i,j]+A[i,k]·B[k,j]
            end for
        end for
    end for
    return C
```

```
matrixProduct(A,B):
    Input  n×n matrices A, B
    Output n×n matrix A·B

    for all i=1..n do
        for all j=1..n do
            C[i,j]=0
            for all k=1..n do
                C[i,j]=C[i,j]+A[i,k]·B[k,j]
            end for
        end for
    end for
    return C
```

	2n+1
	n(2n+1)
	n <sup>2</sup>
	n <sup>2</sup> (2n+1)
	n <sup>3</sup> ·4
	1
	-----
Total	6n <sup>3</sup> +4n <sup>2</sup> +3n+2

# Big-Oh

## Big-Oh Notation

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Given functions  $f(n)$  and  $g(n)$ , we say that

$$f(n) \in O(g(n))$$

if there are positive constants  $c$  and  $n_0$  such that

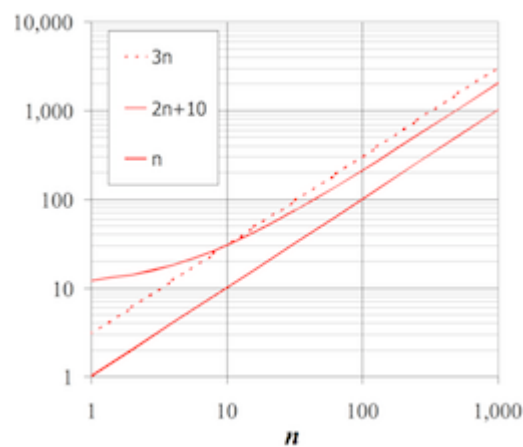
$$f(n) \leq c \cdot g(n) \quad \forall n \geq n_0$$

Hence:  $O(g(n))$  is the set of all functions that do not grow faster than  $g(n)$

## ... Big-Oh Notation

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Example: function  $2n + 10$  is in  $O(n)$

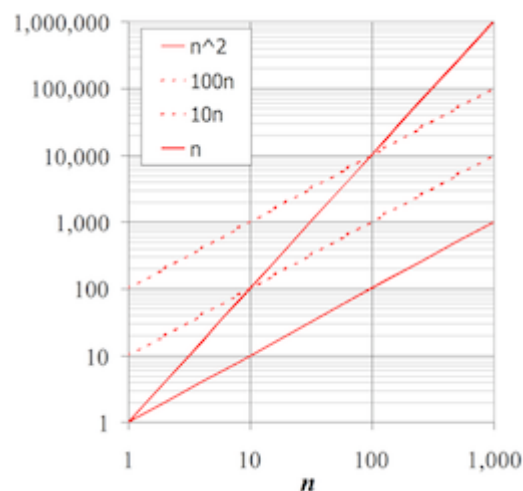


- $2n+10 \leq c \cdot n$   
 $\Rightarrow (c-2)n \geq 10$   
 $\Rightarrow n \geq 10/(c-2)$
- pick  $c=3$  and  $n_0=10$

## ... Big-Oh Notation

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Example: function  $n^2$  is not in  $O(n)$



- $n^2 \leq c \cdot n$   
 $\Rightarrow n \leq c$
- inequality cannot be satisfied since  $c$  must be a constant

## Exercise #4: Big-Oh

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Show that

1.  $7n-2$  is in  $O(n)$
2.  $3n^3 + 20n^2 + 5$  is in  $O(n^3)$
3.  $3 \cdot \log n + 5$  is in  $O(\log n)$

1.  $7n-2 \in O(n)$   
 need  $c>0$  and  $n_0 \geq 1$  such that  $7n-2 \leq c \cdot n$  for  $n \geq n_0$   
 $\Rightarrow$  true for  $c=7$  and  $n_0=1$
2.  $3n^3 + 20n^2 + 5 \in O(n^3)$   
 need  $c>0$  and  $n_0 \geq 1$  such that  $3n^3 + 20n^2 + 5 \leq c \cdot n^3$  for  $n \geq n_0$   
 $\Rightarrow$  true for  $c=4$  and  $n_0=21$
3.  $3 \cdot \log n + 5 \in O(\log n)$   
 need  $c>0$  and  $n_0 \geq 1$  such that  $3 \cdot \log n + 5 \leq c \cdot \log n$  for  $n \geq n_0$   
 $\Rightarrow$  true for  $c=8$  and  $n_0=2$

## Big-Oh and Rate of Growth

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- Big-Oh notation gives an upper bound on the growth rate of a function
  - " $f(n) \in O(g(n))$ " means growth rate of  $f(n)$  no more than growth rate of  $g(n)$
- use big-Oh to rank functions according to their rate of growth

	$f(n) \in O(g(n))$	$g(n) \in O(f(n))$
$g(n)$ grows faster	yes	no
$f(n)$ grows faster	no	yes
same order of growth	yes	yes

## Big-Oh Rules

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- If  $f(n)$  is a polynomial of degree  $d \Rightarrow f(n)$  is  $O(n^d)$ 
  - lower-order terms are ignored
  - constant factors are ignored
- Use the smallest possible class of functions
  - say " $2n$  is  $O(n)$ " instead of " $2n$  is  $O(n^2)$ "
    - but keep in mind that,  $2n$  is in  $O(n^2)$ ,  $O(n^3)$ , ...
- Use the simplest expression of the class
  - say " $3n + 5$  is  $O(n)$ " instead of " $3n + 5$  is  $O(3n)$ "



## Exercise #5: Big-Oh

Show that  $\sum_{i=1}^n i$  is  $O(n^2)$

$$\sum_{i=1}^n i = \frac{n(n+1)}{2} = \frac{n^2 + n}{2}$$

which is  $O(n^2)$

## Asymptotic Analysis of Algorithms

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*Asymptotic analysis* of algorithms determines running time in big-Oh notation:

- find worst-case number of primitive operations as a function of input size
- express this function using big-Oh notation

Example:

- algorithm `arrayMax` executes at most  $5n - 2$  primitive operations  
 $\Rightarrow$  algorithm `arrayMax` "runs in  $O(n)$  time"

Constant factors and lower-order terms eventually dropped

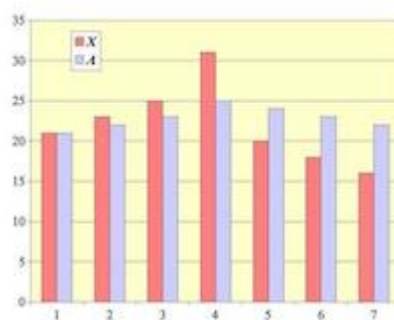
$\Rightarrow$  can disregard them when counting primitive operations

## Example: Computing Prefix Averages

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- The  $i$ -th *prefix average* of an array  $X$  is the average of the first  $i$  elements:

$$A[i] = (X[0] + X[1] + \dots + X[i]) / (i+1)$$



NB. computing the array  $A$  of prefix averages of another array  $X$  has applications in financial analysis

## ... Example: Computing Prefix Averages

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A *quadratic* algorithm to compute prefix averages:

```
prefixAverages1(X):
|   Input   array X of n integers
```

**Output** array A of prefix averages of X

```

for all i=0..n-1 do                                O(n)
|   s=X[0]                                           O(n)
|   for all j=1..i do                                O(n2)
|       s=s+X[j]                                     O(n2)
|   end for
|   A[i]=s/(i+1)                                     O(n)
end for
return A                                             O(1)

```

$$2 \cdot O(n^2) + 3 \cdot O(n) + O(1) = O(n^2)$$

⇒ Time complexity of algorithm `prefixAverages1` is  $O(n^2)$

### ... Example: Computing Prefix Averages

35/87

The following algorithm computes prefix averages by keeping a running sum:

```

prefixAverages2(X):
|   Input   array X of n integers
|   Output array A of prefix averages of X
|
|   s=0
|   for all i=0..n-1 do                                O(n)
|       s=s+X[i]                                         O(n)
|       A[i]=s/(i+1)                                    O(n)
|   end for
|   return A                                             O(1)

```

Thus, `prefixAverages2` is  $O(n)$

### Example: Binary Search

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The following recursive algorithm searches for a value in a *sorted* array:

```

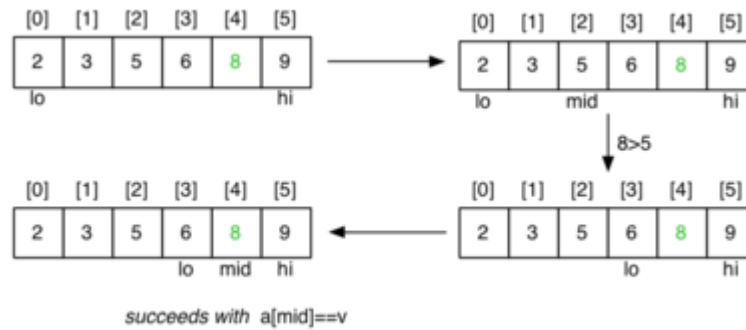
search(v,a,lo,hi):
|   Input   value v
|           array a[lo..hi] of values
|   Output true if v in a[lo..hi]
|           false otherwise
|
|   mid=(lo+hi)/2
|   if lo>hi then return false
|   if a[mid]=v then
|       return true
|   else if a[mid]<v then
|       return search(v,a,mid+1,hi)
|   else
|       return search(v,a,lo,mid-1)
|   end if

```

### ... Example: Binary Search

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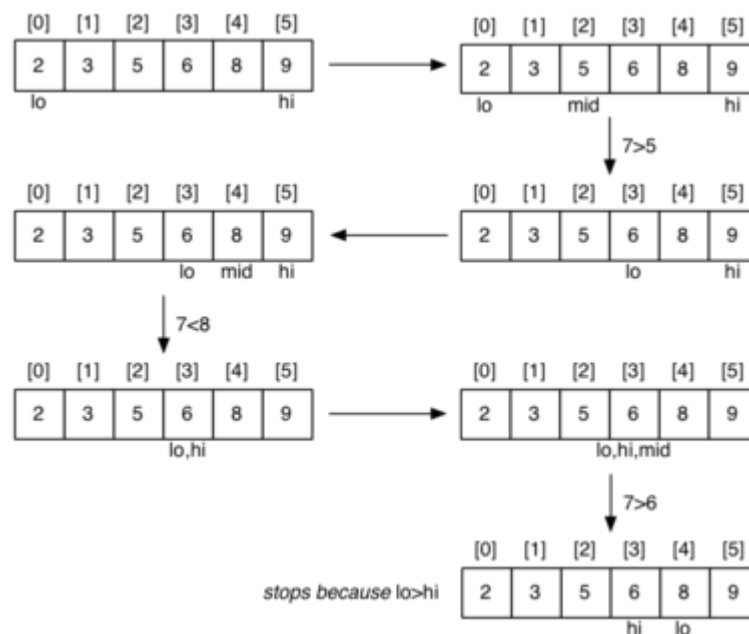
Successful search for a value of 8:



### ... Example: Binary Search

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Unsuccessful search for a value of 7:



### ... Example: Binary Search

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Cost analysis:

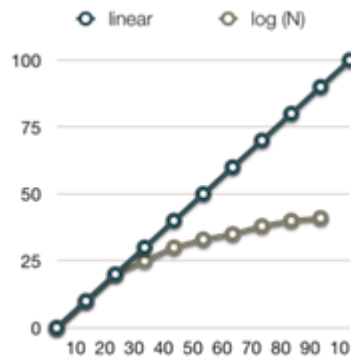
- $C_i = \# \text{calls to } \text{search}() \text{ for array of length } i$
- for best case,  $C_n = 1$
- for  $a[i..j]$ ,  $j < i$  (length=0)
  - $C_0 = 0$
- for  $a[i..j]$ ,  $i \leq j$  (length=n)
  - $C_n = 1 + C_{n/2} \Rightarrow C_n = \log_2 n$

Thus, binary search is  $O(\log_2 n)$  or simply  $O(\log n)$  (why?)

### ... Example: Binary Search

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Why logarithmic complexity is good:



## Math Needed for Complexity Analysis

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- Logarithms
  - $\log_b(xy) = \log_b x + \log_b y$
  - $\log_b(x/y) = \log_b x - \log_b y$
  - $\log_b x^a = a \log_b x$
  - $\log_b a = \log_x a / \log_x b$
- Exponentials
  - $a^{(b+c)} = a^b a^c$
  - $a^{bc} = (a^b)^c$
  - $a^b / a^c = a^{(b-c)}$
  - $b = a^{\log_a b}$
  - $b^c = a^{c \log_a b}$
- Proof techniques
- Summation (addition of sequences of numbers)
- Basic probability (for average case analysis, randomised algorithms)

## Exercise #6: Analysis of Algorithms

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What is the complexity of the following algorithm?

```
enqueue(Q, Elem):
|   Input  queue Q, element Elem
|   Output Q with Elem added at the end
|
|   Q.top=Q.top+1
|   for all i=Q.top down to 1 do
|       Q[i]=Q[i-1]
|   end for
|   Q[0]=Elem
|   return Q
```

Answer:  $O(|Q|)$

## Exercise #7: Analysis of Algorithms

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What is the complexity of the following algorithm?

```

binaryConversion(n):
    Input   positive integer n
    Output binary representation of n on a stack

    create empty stack S
    while n>0 do
        | push (n mod 2) onto S
        | n=[n/2]
    end while
    return S

```

Assume that creating a stack and pushing an element both are  $O(1)$  operations ("constant")

---

Answer:  $O(\log n)$

---

## Relatives of Big-Oh

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*big-Omega*

- $f(n) \in \Omega(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that

$$f(n) \geq c \cdot g(n) \quad \forall n \geq n_0$$

*big-Theta*

- $f(n) \in \Theta(g(n))$  if there are constants  $c', c'' > 0$  and an integer constant  $n_0 \geq 1$  such that

$$c' \cdot g(n) \leq f(n) \leq c'' \cdot g(n) \quad \forall n \geq n_0$$


---

## ... Relatives of Big-Oh

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- $f(n)$  belongs to  $O(g(n))$  if  $f(n)$  is asymptotically *less than or equal* to  $g(n)$
  - $f(n)$  belongs to  $\Omega(g(n))$  if  $f(n)$  is asymptotically *greater than or equal* to  $g(n)$
  - $f(n)$  belongs to  $\Theta(g(n))$  if  $f(n)$  is asymptotically *equal* to  $g(n)$
- 

## ... Relatives of Big-Oh

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Examples:

- $\frac{1}{4}n^2 \in \Omega(n^2)$ 
    - need  $c > 0$  and  $n_0 \geq 1$  such that  $\frac{1}{4}n^2 \geq c \cdot n^2$  for  $n \geq n_0$
    - let  $c = \frac{1}{4}$  and  $n_0 = 1$
  - $\frac{1}{4}n^2 \in \Omega(n)$ 
    - need  $c > 0$  and  $n_0 \geq 1$  such that  $\frac{1}{4}n^2 \geq c \cdot n$  for  $n \geq n_0$
    - let  $c = 1$  and  $n_0 = 2$
  - $\frac{1}{4}n^2 \in \Theta(n^2)$ 
    - since  $\frac{1}{4}n^2$  belongs to  $\Omega(n^2)$  and  $O(n^2)$
- 

## Complexity Analysis: Arrays vs. Linked Lists

## Static/Dynamic Sequences

50/87

Previously we have used an *array* to implement a stack

- fixed size collection of heterogeneous elements
- can be accessed via index or via "moving" pointer

The "fixed size" aspect is a potential problem:

- how big to make the (dynamic) array? (big ... just in case)
- what to do if it fills up?

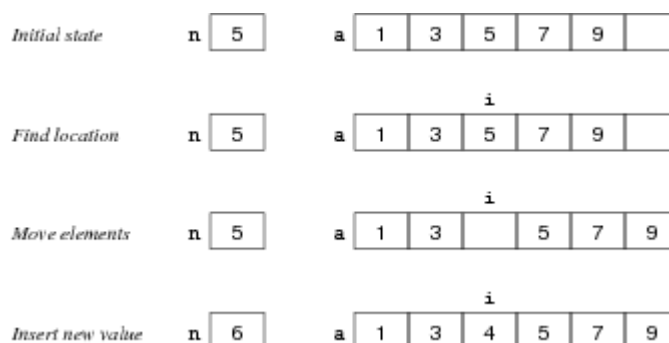
The rigid sequence is another problems:

- inserting/deleting an item in middle of array

### ... Static/Dynamic Sequences

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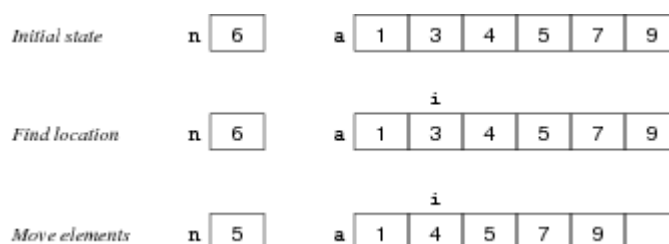
Inserting a value (4) into a sorted array *a* with *n* elements:



### ... Static/Dynamic Sequences

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Deleting a value (3) from a sorted array *a* with *n* elements:

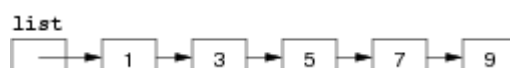


### ... Static/Dynamic Sequences

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The problems with using arrays can be solved by

- allocating elements individually
- linking them together as a "chain"



Benefits:

- insertion/deletion have minimal effect on list overall
- only use as much space as needed for values

## Self-referential Structures

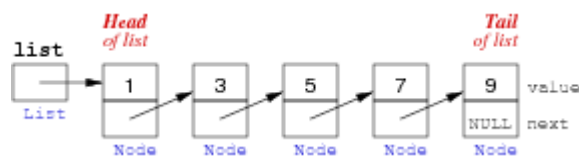
54/87

To realise a "chain of elements", need a *node* containing

- a value
- a link to the next node

To represent a chained (linked) *list* of nodes:

- we need a *pointer* to the first node
- each node contains a pointer to the next node
- the *next* pointer in the last node is NULL



## ... Self-referential Structures

55/87

Linked lists are more flexible than arrays:

- values do not have to be adjacent in memory
- values can be rearranged simply by altering pointers
- the number of values can change dynamically
- values can be added or removed in any order

Disadvantages:

- it is not difficult to get pointer manipulations wrong
- each value also requires storage for *next* pointer

## ... Self-referential Structures

56/87

Create a new list node:

```

makeNode(v)
| Input   value v
| Output new linked list node with value v
|
| new.value=v           // initialise data
| new.next=NULL        // initialise link to next node
| return new           // return pointer to new node
  
```

## Exercise #8: Creating a Linked List

57/87

Write pseudocode to create a linked list of three nodes with values 1, 42 and 9024.

```

mylist=makeNode(1)
mylist.next=makeNode(42)
(mylist.next).next=makeNode(9024)

```

## Iteration over Linked Lists

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When manipulating list elements

- typically have pointer **p** to current node
- to access the data in current node: **p.value**
- to get pointer to next node: **p.next**

To iterate over a linked list:

- set **p** to point at first node (head)
- examine node pointed to by **p**
- change **p** to point to next node
- stop when **p** reaches end of list (NULL)

### ... Iteration over Linked Lists

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Standard method for scanning all elements in a linked list:

```

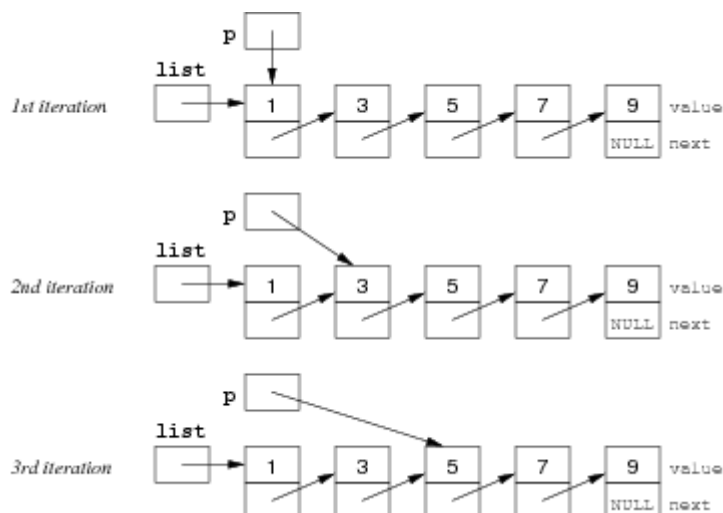
list // pointer to first Node in list
p    // pointer to "current" Node in list

p=list
while p≠NULL do
| ... do something with p.value ...
| p=p.next
end while

```

### ... Iteration over Linked Lists

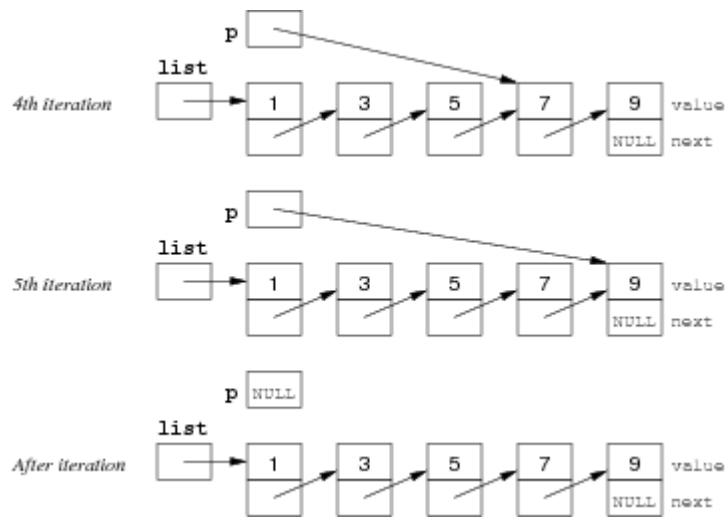
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### ... Iteration over Linked Lists

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### ... Iteration over Linked Lists

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Check if list contains an element:

```

inLL(L,d):
    Input linked list L, value d
    Output true if d in list, false otherwise

    p=L
    while p≠NULL do
        if p.value=d then    // element found
            return true
        end if
        p=p.next
    end while
    return false            // element not in list
  
```

Time complexity:  $O(L)$

### ... Iteration over Linked Lists

64/87

Print all elements:

```

showLL(L):
    Input linked list L

    p=L
    while p≠NULL do
        print p.value
        p=p.next
    end while
  
```

Time complexity:  $O(L)$

### Exercise #9: Traversing a linked list

65/87

What does this code do?

```

1 p=list
2 while p≠NULL do
  
```

```

3 |   print p.value
4 |   if p.next≠NULL then
5 |       p=p.next.next
6 |   else
7 |       p=NULL
8 |   end if
9 end while

```

What is the purpose of the conditional statement in line 4?

---

Every second list element is printed.

If `p` happens to be the last element in the list, then `p.next.next` does not exist.

The if-statement ensures that we do not attempt to assign an undefined value to pointer `p` in line 5.

---

## Exercise #10: Traversing a linked list

67/87

Rewrite `showLL()` as a recursive function.

---

```

printLL(L):
|   Input linked list L
|
|   if L≠NULL do
|       print p.value
|       printLL(L.next)
|   end if

```

---

## Modifying a Linked List

69/87

Insert a new element at the beginning:

```

insertLL(L,d):
|   Input linked list L, value d
|   Output L with d prepended to the list
|
|   new=makeNode(d)    // create new list element
|   new.next=L         // link to beginning of list
|   return new         // new element is new head

```

Time complexity:  $O(1)$

---

## ... Modifying a Linked List

70/87

Delete the *first* element:

```

deleteHead(L):
|   Input non-empty linked list L, value d
|   Output L with head deleted
|
|   return L.next      // move to second element

```

Time complexity:  $O(1)$

Delete a *specific* element (recursive version):

```
deleteLL(L,d):
| Input   linked list L
| Output L with element d deleted
|
| if L=NULL then                // element not in list
|     return L
| else if L.value=d then         // d found at front
|     return deleteHead(L)       // delete first element
| else                          // delete element in tail list
|     L.next=deleteLL(L.next,d)
| end if
| return L
```

Time complexity:  $O(|L|)$

## Exercise #11: Implementing a Queue as a Linked List

71/87

Develop a datastructure for a queue based on linked lists such that ...

- enqueueing an element takes constant time
- dequeuing an element takes constant time

Use pointers to both ends



Dequeue from the front ...

```
dequeue(Q):
| Input   non-empty queue Q
| Output front element d, dequeued from Q
|
| d=Q.front.value           // first element in the list
| Q.front=Q.front.next     // move to second element
| return d
```

Enqueue at the rear ...

```
enqueue(Q,d):
| Input queue Q
|
| new=makeNode(d)          // create new list element
| Q.rear.next=new         // add to end of list
| Q.rear=new               // link to new end of list
```

## Comparison Array vs. Linked List

73/87

Complexity of operations,  $n$  elements

	array	linked list
insert/delete at beginning	$O(n)$	$O(1)$
insert/delete at end	$\Theta(n)$ $O(1)$	$O(1)$ ("doubly-linked" list, with pointer to rear)
insert/delete at middle	$O(n)$	$O(n)$
find an element	$O(n)$ ( $O(\log n)$ , if array is sorted)	$O(n)$
index a specific element	$O(1)$	$O(n)$

## Complexity Classes

## Complexity Classes

75/87

Problems in Computer Science ...

- some have *polynomial* worst-case performance (e.g.  $n^2$ )
- some have *exponential* worst-case performance (e.g.  $2^n$ )

Classes of problems:

- $P$  = problems for which an algorithm can compute answer in polynomial time
- $NP$  = includes problems for which no  $P$  algorithm is known

Beware: NP stands for "nondeterministic, polynomial time (on a theoretical *Turing Machine*)"

## ... Complexity Classes

76/87

Computer Science jargon for difficulty:

- tractable ... have a polynomial-time algorithm (useful in practice)
- intractable ... no tractable algorithm is known (feasible only for small  $n$ )
- non-computable ... no algorithm can exist

*Computational complexity theory* deals with different degrees of intractability

## Generate and Test

77/87

In scenarios where

- it is simple to test whether a given state is a solution
- it is easy to generate new states (preferably likely solutions)

then a *generate and test* strategy can be used.

It is necessary that states are generated systematically

- so that we are guaranteed to find a solution, or know that none exists

- some **randomised** algorithms do not require this, however (more on this later in this course)

## ... Generate and Test

78/87

Simple example: checking whether an integer  $n$  is prime

- generate/test all possible factors of  $n$
- if none of them pass the test  $\Rightarrow n$  is prime

*Generation* is straightforward:

- produce a sequence of all numbers from 2 to  $n-1$

*Testing* is also straightforward:

- check whether next number divides  $n$  exactly

## ... Generate and Test

79/87

Function for primality checking:

```
isPrime(n):
|   Input   natural number n
|   Output true if n prime, false otherwise
|
|   for all i=2..n-1 do           // generate
|   |   if n mod i = 0 then       // test
|   |   |   return false         // i is a divisor => n is not prime
|   |   end if
|   end for
|   return true                   // no divisor => n is prime
```

Complexity of `isPrime` is  $O(n)$

Can be optimised: check only numbers between 2 and  $\lfloor \sqrt{n} \rfloor \Rightarrow O(\sqrt{n})$

## Example: Subset Sum

80/87

Problem to solve ...

Is there a subset  $S$  of these numbers with  $\sum_{x \in S} x = 1000$ ?

34, 38, 39, 43, 55, 66, 67, 84, 85, 91,  
101, 117, 128, 138, 165, 168, 169, 182, 184, 186,  
234, 238, 241, 276, 279, 288, 386, 387, 388, 389

General problem:

- given  $n$  arbitrary integers and a target sum  $k$
- is there a subset that adds up to exactly  $k$ ?

## ... Example: Subset Sum

81/87

Generate and test approach:

```

subsetsum(A,k):
|   Input   set A of n integers, target sum k
|   Output true if  $\sum_{x \in S} x = k$  for some  $S \subseteq A$ 
|           false otherwise
|
|   for each subset  $B \subseteq A$  do
|   |   if  $\sum_{b \in B} b = k$  then
|   |   |   return true
|   |   end if
|   end for
|   return false

```

- How many subsets are there of  $n$  elements?
- How could we generate them?

---

### ... Example: Subset Sum

82/87

Given: a set of  $n$  distinct integers in an array  $A$  ...

- produce all subsets of these integers

A method to generate subsets:

- represent sets as  $n$  bits (e.g.  $n=4$ , 0000, 0011, 1111 etc.)
- bit  $i$  represents the  $i^{\text{th}}$  input number
- if bit  $i$  is set to 1, then  $A[i]$  is in the subset
- if bit  $i$  is set to 0, then  $A[i]$  is not in the subset
- e.g. if  $A[] = \{1, 2, 3, 5\}$  then 0011 represents  $\{1, 2\}$

---

### ... Example: Subset Sum

83/87

Algorithm:

```

subsetsum1(A,k):
|   Input   set A of n integers, target sum k
|   Output true if  $\sum_{x \in S} x = k$  for some  $S \subseteq A$ 
|           false otherwise
|
|   for  $s = 0 \dots 2^n - 1$  do
|   |   if  $k = \sum_{(i^{\text{th}} \text{ bit of } s \text{ is } 1)} A[i]$  then
|   |   |   return true
|   |   end if
|   end for
|   return false

```

Obviously, subsetsum1 is  $O(2^n)$

---

### ... Example: Subset Sum

84/87

Alternative approach ...

**subsetsum2(A,n,k)**

(returns true if any subset of A[0..n-1] sums to k; returns false otherwise)

- if the  $n^{\text{th}}$  value A[n-1] is part of a solution ...
  - then the first n-1 values must sum to  $k - A[n-1]$
- if the  $n^{\text{th}}$  value is not part of a solution ...
  - then the first n-1 values must sum to k
- base cases:  $k=0$  (solved by {});  $n=0$  (unsolvable if  $k>0$ )

**subsetsum2(A,n,k):**

```

Input  array A, index n, target sum k
Output true if some subset of A[0..n-1] sums up to k
        false otherwise

if k=0 then
    return true    // empty set solves this
else if n=0 then
    return false  // no elements => no sums
else
    return subsetsum(A,n-1,k-A[n-1]) or subsetsum(A,n-1,k)
end if

```

**... Example: Subset Sum**

85/87

Cost analysis:

- $C_i = \# \text{calls to subsetsum2}()$  for array of length i
- for worst case,
  - $C_1 = 2$
  - $C_n = 2 + 2 \cdot C_{n-1} \Rightarrow C_n \cong 2^n$

Thus, subsetsum2 also is  $O(2^n)$ **... Example: Subset Sum**

86/87

Subset Sum is typical member of the class of *NP-complete problems*

- intractable ... only algorithms with exponential performance are known
  - increase input size by 1, double the execution time
  - increase input size by 100, it takes  $2^{100} = 1,267,650,600,228,229,401,496,703,205,376$  times as long to execute
- but if you can find a polynomial algorithm for Subset Sum, then any other *NP-complete* problem becomes *P* ...

**Summary**

87/87

- Big-Oh notation
- Asymptotic analysis of algorithms
- Examples of algorithms with logarithmic, linear, polynomial, exponential complexity
- Linked lists vs. arrays

- Suggested reading:

- Sedgewick, Ch. 2.1-2.4, 2.6
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