

# Week 07 Lectures

## Signature-based Selection

### Indexing with Signatures

2/73

Signature-based indexing: **represent a tuple by a compact bit string**

- designed for *pmr* queries (conjunction of equalities)
- does not try to achieve better than  $O(n)$  performance
- attempts to provide an "efficient" linear scan

Each tuple is associated with a *signature*

- a compact (lossy) descriptor for the tuple
- formed by combining information from multiple attributes
- stored in a signature file, parallel to data file

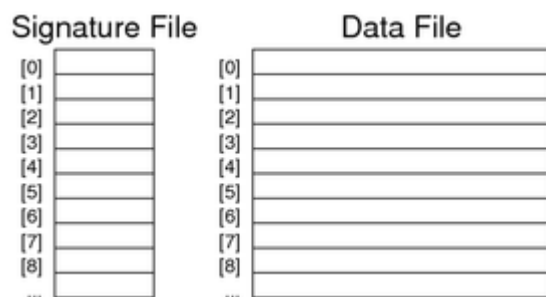
**Instead of scanning/testing tuples, do pre-filtering via signatures.**

### ... Indexing with Signatures

**One file for signature and one file for data**

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File organisation for signature indexing (two files)



One signature slot per tuple slot; unused signature slots are zeroed.

Signatures do not determine record placement  $\Rightarrow$  can use with other indexing.

### Signatures

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**A signature "summarises" the data from one tuple**

A tuple consists of  $n$  attribute values  $A_1 \dots A_n$

A *codeword*  $cw(A_i)$  is

- a bit-string,  $m$  bits long, where  **$k$  bits are set to 1** ( $k \ll m$ )
- derived from the value of a single attribute  $A_i$

A *tuple descriptor* (signature) is built by combining  $cw(A_i)$ ,  $i=1..n$

- combine by *overlaying* codewords (bitwise-OR)
- aim to have roughly half of the bits set to 1

### Generating Codewords

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Generating a  $k$ -in- $m$  codeword for attribute  $A_i$

```
bits codeword(char *attr_value, int m, int k)
{
```

```

int  nbits = 0;    // count of set bits
bits cword = 0;   // assuming m <= 32 bits
srandom(hash(attr_value)); // Set a seed with hash
while (nbits < k) {
    int i = random() % m;
    if (((1 << i) & cword) == 0) {
        cword |= (1 << i);
        nbits++;
    }
}
return cword; // m-bits with k 1-bits and m-k 0-bits
}

```

## Superimposed Codewords (SIMC)

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In a superimposed codewords (simc) indexing scheme

- a tuple descriptor is formed by overlaying attribute codewords

A tuple descriptor  $desc(r)$  is

- a bit-string,  $m$  bits long, where  $j \leq nk$  bits are set to 1
- $desc(r) = cw(A_1) \text{ OR } cw(A_2) \text{ OR } \dots \text{ OR } cw(A_n)$

Method (assuming all  $n$  attributes are used in descriptor):

```

bits desc = 0
for (i = 1; i <= n; i++) {
    bits cw = codeword(A[i])
    desc = desc | cw
}

```

for a descriptor, any position of

any attr with value 1, the

corresponding position of the desc

## SIMC Example

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Consider the following tuple (from bank deposit database)

Branch	AcctNo	Name	Amount
Perryridge	102	Hayes	400

It has the following codewords/descriptor (for  $m = 12$ ,  $k = 2$ )

Codeword

$A_i$	$cw(A_i)$
Perryridge	010000000001
102	000000000011
Hayes	000001000100
400	000010000100
$desc(r)$	010011000111

$m$  is the number of bit in total,  $k$  is the number of bit that need to be set

## SIMC Queries

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To answer query  $q$  in SIMC

- first generate a query descriptor  $desc(q)$
- then use the query descriptor to search the signature file

$desc(q)$  is formed by OR of codewords for known attributes.

E.g. consider the query (Perryridge, ?, ?, ?). There also will be a desc for the query, which is used to match record(tuples)

$A_i$	$cw(A_i)$	
Perryridge	010000000001	
?	000000000000	
?	000000000000	If a tuple match the desc but doesn't match the attr, then it's a
?	000000000000	false match
$desc(q)$	010000000001	

### ... SIMC Queries

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Once we have a query descriptor, we search the signature file:

```

pagesToCheck = {}
for each descriptor D[i] in signature file {
    if (matches(D[i], desc(q))) {
        pid = pageOf(tupleID(i))
        pagesToCheck = pagesToCheck U pid
    }
}
for each pid in pagesToCheck {
    Buf = getPage(dataFile, pid)
    check tuples in Buf for answers
}
// where ...
#define matches(rdesc, qdesc)
    ((rdesc & qdesc) == qdesc)

```

### Example SIMC Query

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Consider the query and the example database:

Signature	Deposit Record
010000000001	(Perryridge, ?, ?, ?)
100101001001	(Brighton, 217, Green, 750)
010011000111	(Perryridge, 102, Hayes, 400)
101001001001	(Downtown, 101, Johnshon, 512)
101100000011	(Mianus, 215, Smith, 700)
010101010101	(Clearview, 117, Throggs, 295)
100101010011	(Redwood, 222, Lindsay, 695)

Gives two matches: one true match, one false match.

### SIMC Parameters

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False match probability  $p_F$  = likelihood of a false match

How to reduce likelihood of false matches?

- use different hash function for each attribute ( $h_i$  for  $A_i$ )
- increase descriptor size ( $m$ )
- choose  $k$  so that  $\approx$  half of bits are set

Larger  $m$  means reading more descriptor data.

Having  $k$  too high  $\Rightarrow$  increased overlapping.

Having  $k$  too low  $\Rightarrow$  increased hash collisions.

## ... SIMC Parameters

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### How to determine "optimal" $m$ and $k$ ?

1. start by choosing acceptable  $p_F$   
(e.g.  $p_F \leq 10^{-5}$  i.e. one false match in 10,000)
2. then choose  $m$  and  $k$  to achieve no more than this  $p_F$ .

Formulae to derive  $m$  and  $k$  given  $p_F$  and  $n$ :

$$k = 1/\log_e 2 \cdot \log_e (1/p_F)$$

$$m = (1/\log_e 2)^2 \cdot n \cdot \log_e (1/p_F)$$

## Query Cost for SIMC

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Cost to answer  $pmr$  query:  $Cost_{pmr} = b_D + b_q$

- read  $r$  descriptors on  $b_D$  **descriptor pages** q = query page
- then read  $b_q$  data pages and check for matches

$$b_D = \text{ceil}(r/c_D) \text{ and } c_D = \text{floor}(B/\text{ceil}(m/8))$$

$$\text{E.g. } m=64, B=8192, r=10^4 \Rightarrow c_D = 1024, b_D=10$$

$b_q$  includes pages with  $r_q$  matching tuples and  $r_F$  false matches

$$\text{Expected false matches} = r_F = (r - r_q) \cdot p_F \approx r \cdot p_F \text{ if } r_q \ll r$$

$$\text{E.g. Worst } b_q = r_q + r_F, \text{ Best } b_q = 1, \text{ Avg } b_q = \text{ceil}(b(r_q + r_F)/r)$$

## Exercise 1: SIMC Query Cost

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Consider a SIMC-indexed database with the following properties

- all pages are  $B = 8192$  bytes
- tuple descriptors have  $m = 64$  bits (= 8 bytes)
- total records  $r = 102,400$ , records/page  $c = 100$
- false match probability  $p_F = 1/1000$
- answer set has 1000 tuples from 100 pages
- 90% of false matches occur on data pages with true match
- 10% of false matches are distributed 1 per page

Calculate the total number of pages read in answering the query.

## Page-level SIMC

Page  $\rightarrow$  signature  $\rightarrow$  codeword

The size of  $m$  is different

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SIMC has one descriptor per tuple ... potentially inefficient.

Alternative approach: one descriptor for each data page.

Every attribute of every tuple in page contributes to descriptor.

Size of page descriptor (PD) (clearly larger than tuple descriptor):

- use above formulae but with  $c \cdot n$  "attributes"

$$\text{E.g. } n = 4, c = 64, p_F = 10^{-3} \Rightarrow m \approx 3680 \text{ bits} \approx 460 \text{ bytes}$$

Typically, pages are 1..8KB  $\Rightarrow$  8..64 PD/page ( $c_{PD}$ ).

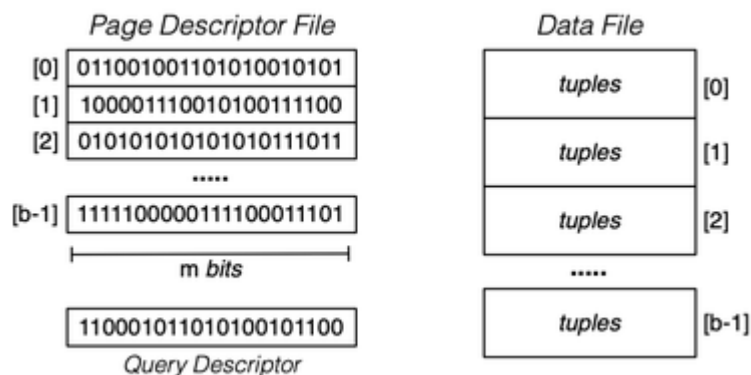
E.g.  $m \approx 460$ ,  $B = 8192$ ,  $c_{PD} \approx 17$

### ... Page-level SIMC

There is a `tupSig` for each tuple, the `pageSig` is generated by doing or operation on all of them

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File organisation for page-level superimposed codeword index



### ... Page-level SIMC

$m(\text{page}) \geq \text{MaxTups}$

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Algorithm for evaluating *pmr* query using page descriptors

```

pagesToCheck = {}
for each descriptor D[i] in signature file {
    if (matches(D[i], desc(q))) {
        pid = i
        pagesToCheck = pagesToCheck U pid
    }
}
for each pid in pagesToCheck {
    Buf = getPage(dataFile, pid)
    check tuples in Buf for answers
}

```

## Exercise 2: Page-level SIMC Query Cost

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Consider a SIMC-indexed database with the following properties

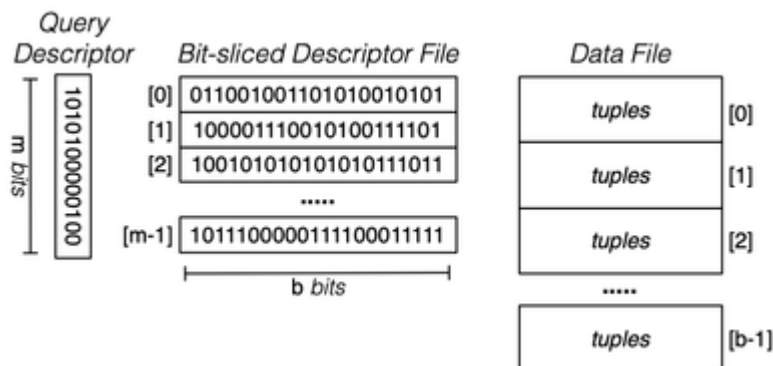
- all pages are  $B = 8192$  bytes
- page descriptors have  $m = 4096$  bits (= 512 bytes)
- total records  $r = 102,400$ , records/page  $c = 100$
- false match probability  $p_F = 1/1000$
- answer set has 1000 tuples from 100 pages
- 90% of false matches occur on data pages with true match
- 10% of false matches are distributed 1 per page

Calculate the total number of pages read in answering the query.

### Bit-sliced SIMC

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Improvement: store  $b$   $m$ -bit page descriptors as  $m$   $b$ -bit "bit-slices"



### ... Bit-sliced SIMC

Bit → page → tuple → codeword

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Algorithm for evaluating *pmr* query using bit-sliced descriptors

```

matches = ~0 //all ones
for each bit i set to 1 in desc(q) {
    slice = fetch bit-slice i
    matches = matches & slice
}
for each bit i set to 1 in matches {
    fetch page i
    scan page for matching records
}

```

Effective because *desc(q)* typically has less than half bits set to 1

### Exercise 3: Bit-sliced SIMC Query Cost

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Consider a SIMC-indexed database with the following properties

- all pages are  $B = 8192$  bytes
- $r = 102,400$ ,  $c = 100$ ,  $b = 1024$
- page descriptors have  $m = 4096$  bits (= 512 bytes)
- bit-slices have  $b = 1024$  bits (= 128 bytes)
- false match probability  $p_F = 1/1000$
- query descriptor has  $k = 10$  bits set to 1
- answer set has 1000 tuples from 100 pages
- 90% of false matches occur on data pages with true match
- 10% of false matches are distributed 1 per page

Calculate the total number of pages read in answering the query.

## Assignment 2

### Assignment 2

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Aim: implement all variants of SIMC indexing

Implement individual relations and commands to work on them.

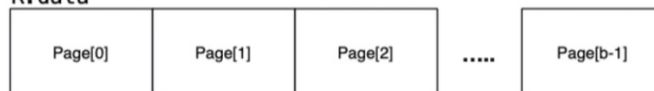
Each relation  $R$  consists of multiple files:

- **R.info** ... relation meta-data (e.g. # tuples)
- **R.data** ... data file containing pages of tuples
- **R.tsig** ... file containing tuple signatures
- **R.psig** ... file containing page-level signatures
- **R.bsig** ... file containing bit-sliced signatures

### ... Assignment 2

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## File structures for SIMC info + data files

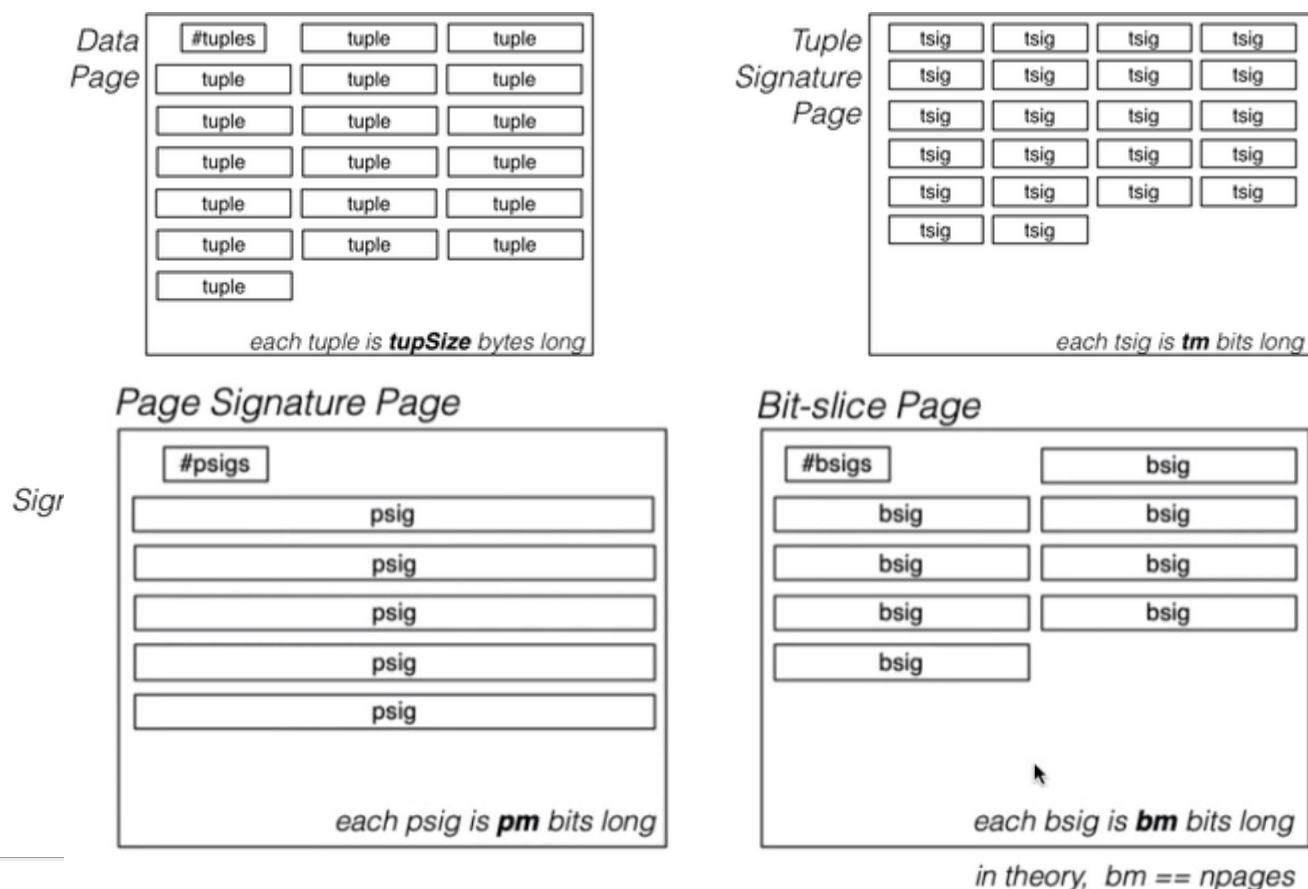
Tuples are all *tupSize* bytes long (based on # attributes)

Signatures are *m* bits long, rounded to  $\text{ceil}(m/8)$  bytes

## ... Assignment 2

25/73

More detailed file structures for SIMC data + signature files



## ... Assignment 2

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We supply:

- complete command programs to build and query relations
- partially-complete ADTs for operations needed by commands

You complete the ADTs so that the commands work properly

- **create**, **insert**, **select** ... build/query commands
- **gendata**, **stats**, **dump** ... utility commands
- **x1**, **x2**, **x3** ... commands for debugging ADTs

## ... Assignment 2

27/73

**./create RelName #tuples #attrs 1/pF**

- creates a new relation with prefix *RelName*
- uses *#attrs* to determine tuple size
- uses *#tuples* to determine  $b \Rightarrow$  length of bit-slices  
(*#tuples* suggests maximum number of tuples to be stored)
- uses *pF* to determine  $m$  and  $k$  for signatures
- creates files *RelName.info*, *RelName.data*, etc. etc.
- as supplied, data and signature files are empty after **create**
- when complete, *RelName.bsig* should contain all-zero bit-slices

## ... Assignment 2

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**./gendata #tuples #attributes [startID] [seed]**

- generates #tuples tuples in a standard format, e.g.

1234567,iuhfkajewhkfjkwefbx,a3-101,a4-256,a5-013,...

- first attribute is unique id
- second attribute is 20-char random string (most likely unique)
- rest are aN-DDD up to #attributes
- attributes a3-DDD to aN-DDD are not unique
- if no startID given, use 1000000; if no seed given, use 0

## ... Assignment 2

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**./insert [-v] RelName**

- insert tuples read from stdin into Relname
- updates all files: info, data, signature files
- tuples look like those generated from gendata
- typical usage

./gendata #tuples #attrs | ./insert RelName

- -v displays each tuple and PID of page where inserted

## ... Assignment 2

30/73

**./select RelName 'Query' SigType**

- finds all matches for pmr query in relation RelName
- queries are expressed using ? for unknown attributes, e.g.

```
? , ? , ? , ? , ?      # matches all tuples
1234567 , ? , ? , ? , ? # matches single tuple with this ID
? , ? , a3-101 , ? , ?  # matches tuples with a3-101 as 3rd attr
? , ? , a3-101 , a4-200 , a5-013
```

- should enclose Query in single quotes (to avoid problems with zsh)
- prints one tuple per line (note: order of tuples is not important)
- prints page read statistics at end of output

## ... Assignment 2

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Method for **./select RelName 'Query' SigType**

```
q = startQuery(r, qstr, type):
    check for valid query (e.g. #tuples)
    T = type of signature (t,p,b,x)
    Sig = build query signature of type T
    use Sig to determine list of interesting pages
    q->pages = bit-string of interesting pages
if (q == NULL) fatal error
scanAndDisplayMatchingTuples(Query q):
    foreach PID in q->pages {
        Buf = get page PID
        scan Buf for real matches and display each
    }
```

## Bits ADT

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ADT to represent arbitrary-length bit-strings

**Bits newBits(int n);**

- create a new bit-string of length n

**Bool bitIsSet(Bits b, int i);**

- check whether bit i is set to 1 in bit-string b

**void setBit(Bits b, int i);**

- set the  $i^{\text{th}}$  bit of bit-string b to 1

**void unsetBit(Bits b, int i);**



- set the  $i^{\text{th}}$  bit of bit-string  $b$  to 0

Bit-strings of length  $n$  are indexed from 0 (least sig) ..  $n-1$  (most sig)

## ReIn ADT

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ADT to represent relations (where  $\text{ReInRep} \rightarrow \text{ReIn}$ )

**Status** `newRelation(name, nattrs, pF, tk, tm, pm, bm)`

- make all files for relation `name`, based on parameters

**ReIn** `openRelation(char *name)`

- create a `ReInRep`, populate it and open all files

**void** `closeRelation(ReIn r)`

- close all files and clean up `ReInRep` data structure

**PageID** `addToRelation(ReIn r, Tuple t)`

- insert tuple  $t$  into open relation  $r$ ; return PID where inserted

## Query ADT

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ADT to represent queries (where  $\text{QueryRep} \rightarrow \text{Query}$ )

**Query** `startQuery(ReIn r, char *qry, char sigType)`

- set up `QueryRep` for query `qry` for specific type of signature

**void** `scanAndDisplayMatchingTuples(Query q)`

- evaluate the query  $q$  and display result tuples, one per line

**void** `queryStats(Query q)`

- print statistics from the `QueryRep`, typically after query finishes

**void** `closeQuery(Query)`

- clean up `QueryRep` data structure (i.e. free)

## Signature ADTs

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Three different types of signature: tuple, page, bit-slice

Each has its own ADT, but all ADTs are similar

**Bits** `makeXSig(ReIn r, Tuple t)`

- build a signature of type  $x$  for tuple  $t$

**void** `findPagesUsingXSigs(Query q)`

- uses  $x\text{sig}$  to build bit-string of potentially-matching pages
- result is stored in  $q \rightarrow \text{pages}$  (of type `Bits`)

Note: don't need `makeBitSliceSig()`; it uses page signatures

## Psig ADT

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ADT to represent page signatures

## Bsig ADT

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ADT to represent bit-sliced signatures

## ... Bsig ADT

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What to do now?

- review the notes on superimposed codewords
- read the spec carefully
- read the code for the commands (to see how they use the ADTs)
- read the ADT \*.h files; read the ADT \*.c files
- write and test your code (suggest: tsig, then psig, then bsig)

Testing script available next week.

Don't wait. It's easy to devise your own tests.

## N-d Tree Indexes

### Multi-dimensional Tree Indexes

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Over the last 20 years, from a range of problem areas

- different multi-d tree index schemes have been proposed
- varying primarily in how they partition tuple-space

Consider three popular schemes: kd-trees, Quad-trees, R-trees.

Example data for multi-d trees is based on the following relation:

```
create table Rel (
  X char(1) check (X between 'a' and 'z'),
  Y integer check (Y between 0 and 9)
);
```

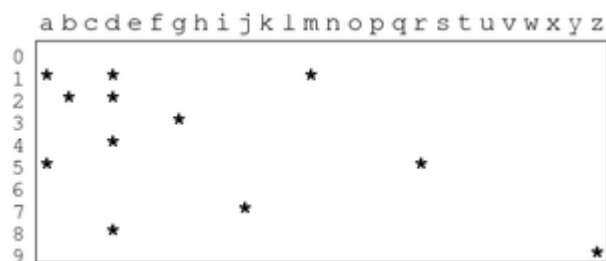
### ... Multi-dimensional Tree Indexes

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Example tuples:

```
Rel('a',1)  Rel('a',5)  Rel('b',2)  Rel('d',1)
Rel('d',2)  Rel('d',4)  Rel('d',8)  Rel('g',3)
Rel('j',7)  Rel('m',1)  Rel('r',5)  Rel('z',9)
```

The tuple-space for the above tuples:

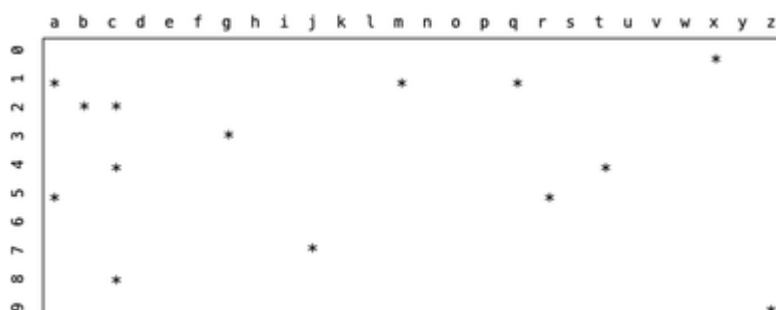


### Exercise 4: Query Types and Tuple Space

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Which part of the tuple-space does each query represent?

```
Q1: select * from Rel where X = 'c' and Y = 4
Q2: select * from Rel where 'j' < X ≤ 'r'
Q3: select * from Rel where X > 'm' and Y > 4
Q4: select * from Rel where 'k' ≤ X ≤ 'p' and 3 ≤ Y ≤ 6
```



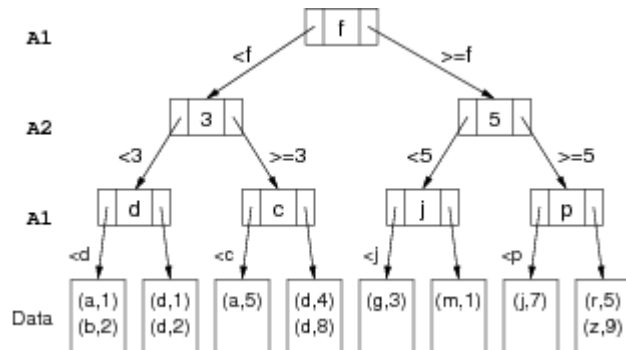
Q1 ... Q2 ... Q3 ... Q4

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## kd-Trees

kd-trees are multi-way search trees where

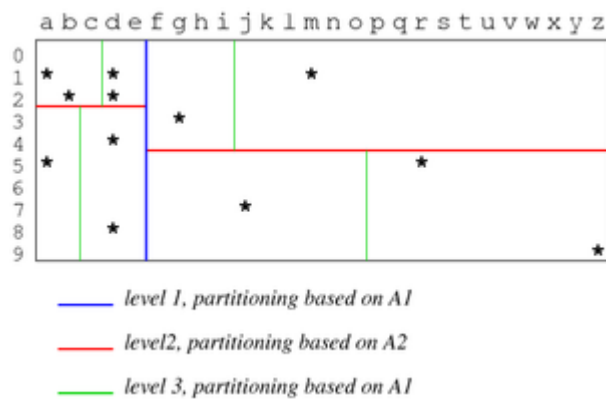
- each level of the tree partitions on a different attribute
- each node contains  $n-1$  key values, pointers to  $n$  subtrees



### ... kd-Trees

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How this tree partitions the tuple space:



## Searching in kd-Trees

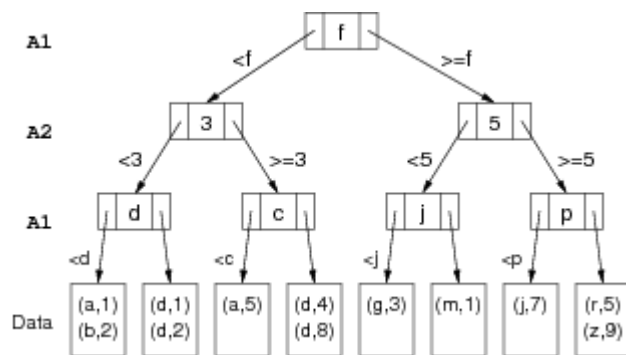
45/73

```
// Started by Search(Q, R, 0, kdTreeRoot)
Search(Query Q, Relation R, Level L, Node N)
{
    if (isDataPage(N)) {
        Buf = getPage(fileOf(R), idOf(N))
        check Buf for matching tuples
    } else {
        a = attrLev[L]
        if (!hasValue(Q, a))
            nextNodes = all children of N
        else {
            val = getAttr(Q, a)
            nextNodes = find(N, Q, a, val)
        }
        for each C in nextNodes
            Search(Q, R, L+1, C)
    }
}
```

## Exercise 5: Searching in kd-Trees

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Using the following kd-tree index



Answer the queries  $(m, 1)$ ,  $(a, ?)$ ,  $(?, 1)$ ,  $(?, ?)$

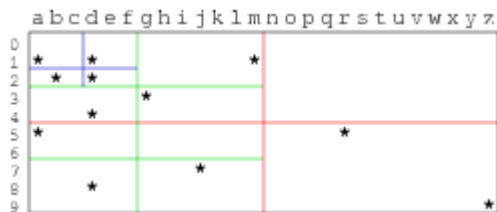
## Quad Trees

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Quad trees use regular, disjoint partitioning of tuple space.

- for 2d, partition space into quadrants (NW, NE, SW, SE)
- each quadrant can be further subdivided into four, etc.

Example:



## ... Quad Trees

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Basis for the partitioning:

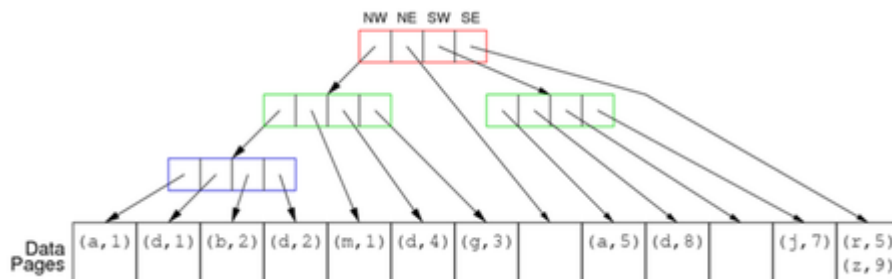
- a quadrant that has no sub-partitions is a leaf quadrant
- each leaf quadrant maps to a single data page
- subdivide until points in each quadrant fit into one data page
- ideal: same number of points in each leaf quadrant (balanced)
- point density varies over space  
⇒ different regions require different levels of partitioning
- this means that the tree is not necessarily balanced

Note: effective for  $d \leq 5$ , ok for  $6 \leq d \leq 10$ , ineffective for  $d > 10$

## ... Quad Trees

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The previous partitioning gives this tree structure, e.g.

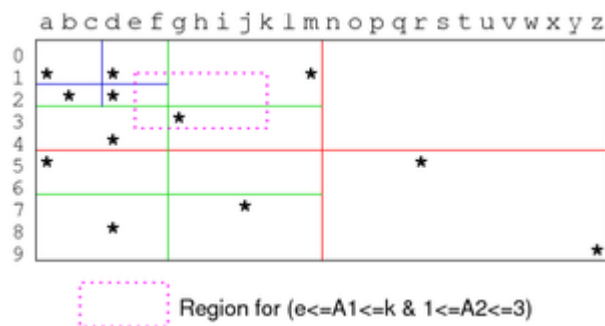


In this and following examples, we give coords of top-left, bottom-right of a region

## Searching in Quad-tree

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Space query example:

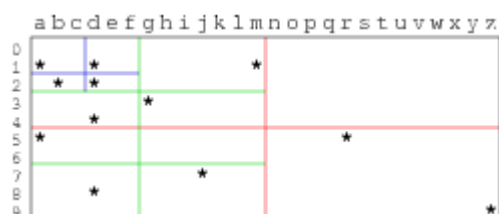


Need to traverse: red(NW), green(NW,NE,SW,SE), blue(NE,SE).

## Exercise 6: Searching in Quad-trees

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Using the following quad-tree index



Answer the queries  $(m, 1)$ ,  $(a, ?)$ ,  $(?, 1)$ ,  $(?, ?)$

## R-Trees

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R-trees use a flexible, overlapping partitioning of tuple space.

- each node in the tree represents a kd hypercube
- its children represent (possibly overlapping) subregions
- the child regions do not need to cover the entire parent region

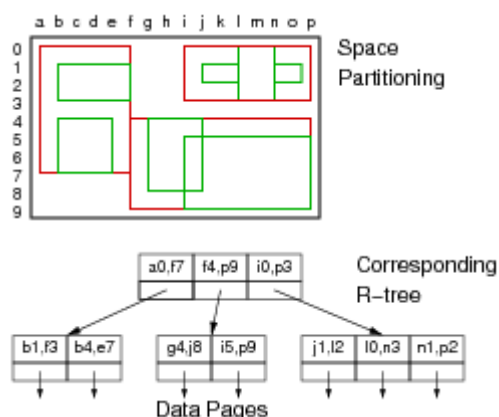
Overlap and partial cover means:

- can optimize space partitioning wrt data distribution
- so that there are similar numbers of points in each region

Aim: height-balanced, partly-full index pages (cf. B-tree)

## ... R-Trees

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## Insertion into R-tree

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Insertion of an object  $R$  occurs as follows:

- start at root, look for children that completely contain  $R$
- if no child completely contains  $R$ , choose one of the children and expand its boundaries so that it does contain  $R$
- if several children contain  $R$ , choose one and proceed to child
- repeat above containment search in children of current node
- once we reach data page, insert  $R$  if there is room
- if no room in data page, replace by two data pages

- partition existing objects between two data pages
- update node pointing to data pages  
(may cause B-tree-like propagation of node changes up into tree)

Note that  $R$  may be a point or a polygon.

## Query with R-trees

55/73

Designed to handle space queries and "where-am-I" queries.

"Where-am-I" query: find all regions containing a given point  $P$ :

- start at root, select all children whose subregions contain  $P$
- if there are zero such regions, search finishes with  $P$  not found
- otherwise, recursively search within node for each subregion
- once we reach a leaf, we know that region contains  $P$

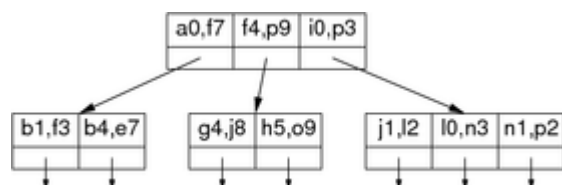
Space (region) queries are handled in a similar way

- we traverse down any path that intersects the query region

## Exercise 7: Query with R-trees

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Using the following R-tree:



Show how the following queries would be answered:

Q1: select \* from Rel where  $X='a'$  and  $Y=4$   
 Q2: select \* from Rel where  $X='i'$  and  $Y=6$   
 Q3: select \* from Rel where  $'c' \leq X \leq 'j'$  and  $Y=5$   
 Q4: select \* from Rel where  $X='c'$

Note: can view unknown value  $X=?$  as range  $\min(X) \leq X \leq \max(X)$

## Multi-d Trees in PostgreSQL

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Up to version 8.2, PostgreSQL had an R-tree implementation

Superseded by GiST = Generalized Search Trees

GiST indexes parameterise: data type, searching, splitting

- via seven user-defined functions (e.g. `picksplit()`)

GiST trees have the following structural constraints:

- every node is at least fraction  $f$  full (e.g. 0.5)
- the root node has at least two children (unless also a leaf)
- all leaves appear at the same level

Details: [src/backend/access/gist](https://www.postgresql.org/docs/9.4/interactive/gist.html)

## Costs of Search in Multi-d Trees

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Difficult to determine cost precisely.

Best case: pmr query where all attributes have known values

- in kd-trees and quad-trees, follow single tree path
- cost is equal to depth  $D$  of tree
- in R-trees, may follow several paths (overlapping partitions)

Typical case: some attributes are unknown or defined by range

- need to visit multiple sub-trees
- how many depends on: range, choice-points in tree nodes

# Similarity-based Selection

## Relational vs Similarity Selection

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Relational selection is based on a boolean condition  $C$

- evaluate  $C$  for each tuple  $t$  (or a likely subset of tuples)
- if  $C(t)$  is true, add  $t$  to result set
- if  $C(t)$  is false,  $t$  is not part of solution
- result is a set of tuples  $\{t_1, t_2, \dots, t_n\}$  all of which satisfy  $C$

Uses for relational selection:

- precise matching on structured data
- using individual attributes with known, exact values

## ... Relational vs Similarity Selection

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Similarity selection is used in contexts where

- cannot define a precise matching condition
- but can identify a notion of ( $S$  similar to  $T$ )
- requires a measure  $d$  of "distance" between tuples
- $d=0$  is an exact match,  $d>0$  is less accurate match
- result is a list of pairs  $[(t_1, d_1), (t_2, d_2), \dots, (t_n, d_n)]$  (ordered by  $d_i$ )

Uses for similarity matching:

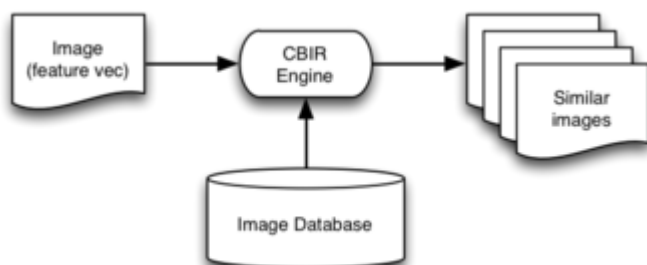
- text or multimedia (image/music) retrieval
- ranked queries in conventional databases

## Example: Content-based Image Retrieval

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User supplies a description or sample of desired image.

System returns a ranked list of similar images from database.



## ... Example: Content-based Image Retrieval

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At the SQL level, this might appear as ...

```
// relational matching
create view Sunset as
select image from MyPhotos
where title = 'Pittwater Sunset'
  and taken = '2012-01-01';
// similarity matching with threshold
create view SimilarSunsets as
select title, image
from MyPhotos
where (image ~~ (select * from Sunset)) < 0.05
order by (image ~~ (select * from Sunset));
```

where (imaginary) `~~` operator measures how "alike" images are

## Similarity-based Retrieval

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Database contains media objects, but also tuples, e.g.

- id to uniquely identify object (e.g. PostgreSQL `oid`)
- metadata (e.g. artist, title, genre, date taken, ...)
- value of object itself (e.g. PostgreSQL `BLOB` or `bytea`)

`BLOB` = Binary Large Object

- `BLOB` stored in separate file; tuple contains reference (cf. `TOAST`)
- `BLOBs` are typically MB in size (1MB..2GB)

## ... Similarity-based Retrieval

65/73

Similarity-based retrieval requires a distance measure

- $\text{dist}(x,y) \in 0..1$ ,  $\text{dist}(x,x) = 0$ ,  $\text{dist}(x,y) = \text{dist}(y,x)$

where  $x$  and  $y$  are two objects (in the database)

Note: distance calculation often requires substantial computational effort

How to restrict solution set to only the "most similar" objects:

- threshold  $d_{\max}$  (only objects  $t$  such that  $\text{dist}(t,q) \leq d_{\max}$ )
- count  $k$  ( $k$  closest objects ( $k$  nearest neighbours))

BUT both above methods require knowing distance between query object and all objects in DB

## ... Similarity-based Retrieval

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Naive approach to similarity-based retrieval

```
q = ... // query object
dmax = ... // dmax > 0 => using threshold
knn = ... // knn > 0 => using nearest-neighbours
Dists = [] // empty list
foreach tuple t in R {
    d = dist(t.val, q)
    insert (t.oid,d) into Dists // sorted on d
}
n = 0; Results = []
foreach (i,d) in Dists {
    if (dmax > 0 && d > dmax) break;
    if (knn > 0 && ++n > knn) break;
    insert (i,d) into Results // sorted on d
}
return Results;
```

Cost = fetch all  $r$  objects + compute distance() for each

## ... Similarity-based Retrieval

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For some applications,  $\text{Cost}(\text{dist}(x,y))$  is comparable to  $T_r$

$\Rightarrow$  computing  $\text{dist}(t.\text{val}, q)$  for every tuple  $t$  is infeasible.

To improve this ...

- compute feature vector to capture "critical" object properties
- store feature vectors "in parallel" with objects (cf. signatures)
- compute distance using feature vectors (not objects)

i.e. replace  $\text{dist}(t,q)$  by  $\text{dist}(\text{vec}(t), \text{vec}(q))$  in previous algorithm.

Further optimisation: dimension-reduction to make vectors smaller

## ... Similarity-based Retrieval

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Feature vectors ...

- often use multiple features, concatenated into single vector
- represent points in a very high-dimensional (vh-dim) space

Content of feature vectors depends on application ...

- image ... colour histogram (e.g. 100's of values/dimensions)
- music ... loudness/pitch/tone (e.g. 100's of values/dimensions)
- text ... term frequencies (e.g. 1000's of values/dimensions)

Query: feature vector representing one point in vh-dim space

Answer: list of objects "near to" query object in this space

## ... Similarity-based Retrieval

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**Inputs** to content-based similarity-retrieval:

- a database of  $r$  objects ( $\text{obj}_1, \text{obj}_2, \dots, \text{obj}_r$ ) plus associated ...
- $r \times n$ -dimensional feature vectors ( $v_{\text{obj}_1}, v_{\text{obj}_2}, \dots, v_{\text{obj}_r}$ )
- a query image  $q$  with associated  $n$ -dimensional vector ( $v_q$ )
- a distance measure  $D(v_i, v_j) : [0..1]$  ( $D=0 \rightarrow v_i=v_j$ )

**Outputs** from content-based similarity-retrieval:

- a list of the  $k$  nearest objects in the database [ $a_1, a_2, \dots, a_k$ ]
- ordered by distance  $D(v_{a_1}, v_q) \leq D(v_{a_2}, v_q) \leq \dots \leq D(v_{a_k}, v_q)$

## Approaches to kNN Retrieval

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Partition-based

- use auxiliary data structure to identify candidates
- space/data-partitioning methods: e.g. k-d-B-tree, R-tree, ...
- unfortunately, such methods "fail" when #dims > 10..20
- absolute upper bound on  $d$  before linear scan is best  $d = 610$

Approximation-based



- use approximating data structure to identify candidates
- signatures: VA-files
- projections: iDistance, LSH, MedRank, CurveIX, Pyramid

## ... Approaches to kNN Retrieval

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Above approaches try to reduce number of objects considered.

- cf. indexes in relational databases

Other optimisations to make kNN retrieval faster

- reduce I/O by reducing size of vectors (compression, d-reduction)
- reduce I/O by placing "similar" records together (clustering)
- reduce I/O by remembering previous pages (caching)
- reduce cpu by making distance computation faster

## Similarity Retrieval in PostgreSQL

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PostgreSQL has always supported simple "similarity" on strings

```
-- for most SQL implementations
select * from Students where name like '%oo%';
-- and PostgreSQL-specific
select * from Students where name ~ '[Ss]mit';
```

Also provides support for ranked similarity on text values

- using **tsvector** data type (stemmed, stopped feature vector for text)
- using **tsquery** data type (stemmed, stopped feature vector for strings)
- using **@@** similarity operator

## ... Similarity Retrieval in PostgreSQL

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Example of PostgreSQL text retrieval:

```
create table Docs
( id integer, title text, body text );
// add column to hold document feature vectors
alter table Docs add column features tsvector;
update Docs set features =
    to_tsvector('english', title||' '||body);
// ask query and get results in ranked order
select title, ts_rank(d.features, query) as rank
from Docs d,
    to_tsquery('potter|(roger&rabbit)') as query
where query @@ d.features
order by rank desc
limit 10;
```

For more details, see PostgreSQL documentation, Chapter 12.

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