

# COMP9020 Week 4

## Term 3, 2019

### Recursion

# Summary of topics

- Recursion
- Recursive Data Types
- Recursive programming

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Fundamental concept in Computer Science

- Recursion in algorithms: Solving problems by reducing to smaller cases
  - Factorial
  - Towers of Hanoi
  - Mergesort, Quicksort

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  - Factorial
  - Towers of Hanoi
  - Mergesort, Quicksort
- Recursion in data structures: Finite definitions of **arbitrarily large** objects
  - Natural numbers
  - Words
  - Linked lists
  - Formulas
  - Binary trees

# Recursion

Fundamental concept in Computer Science

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  - Factorial
  - Towers of Hanoi
  - Mergesort, Quicksort
- Recursion in data structures: Finite definitions of **arbitrarily large** objects
  - Natural numbers
  - Words
  - Linked lists
  - Formulas
  - Binary trees
- Analysis of recursion: Proving properties
  - Recursive sequences (e.g. Fibonacci sequence)
  - Structural induction

# Recursion

Consists of a basis (B) and recursive process (R).

A sequence/object/algorithm is recursively defined when (typically)  
(B) some initial terms are specified, perhaps only the first one;  
(R) later terms stated as functional expressions of the earlier terms.

## NB

*(R) also called* **recurrence formula (especially when dealing with sequences)**

# Example: Factorial

## Example

Factorial:

$$(B) \quad 0! = 1$$

$$(R) \quad (n + 1)! = (n + 1) \cdot n!$$

fact( $n$ ):

$$(B) \quad \text{if}(n = 0): 1$$

$$(R) \quad \text{else: } n * \text{fact}(n - 1)$$



## Example: Euclid's gcd algorithm

### Example

$$\gcd(m, n) = \begin{cases} m & \text{if } m = n \\ \gcd(m - n, n) & \text{if } m > n \\ \gcd(m, n - m) & \text{if } m < n \end{cases}$$

## Example: Towers of Hanoi

- There are 3 towers (pegs)
- $n$  disks of decreasing size placed on the first tower
- You need to move all disks from the first tower to the last tower
- Larger disks cannot be placed on top of smaller disks
- The third tower can be used to temporarily hold disks

# Example: Towers of Hanoi

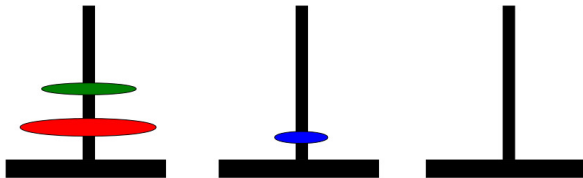
## Questions

- Describe a general solution for  $n$  disks
- How many moves does it take?

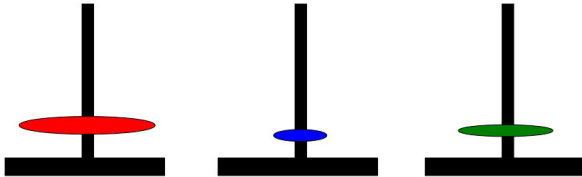
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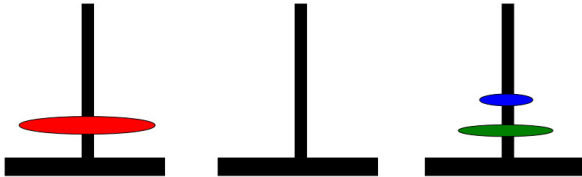
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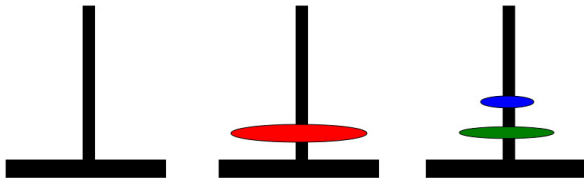
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## Example: Towers of Hanoi

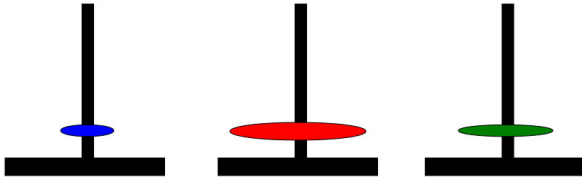


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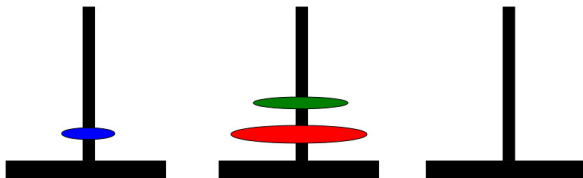




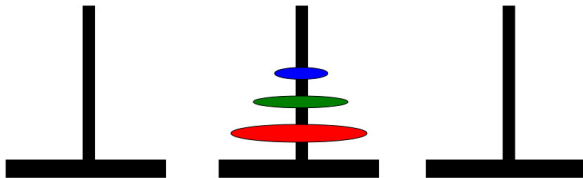
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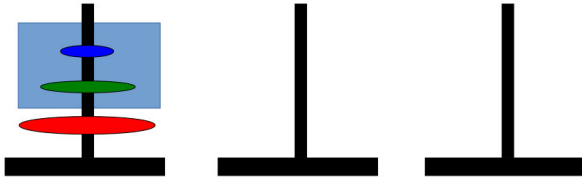
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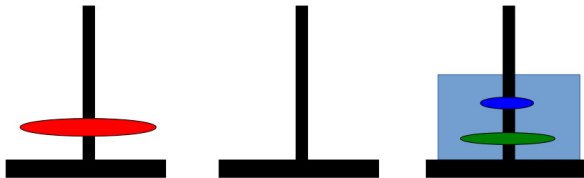
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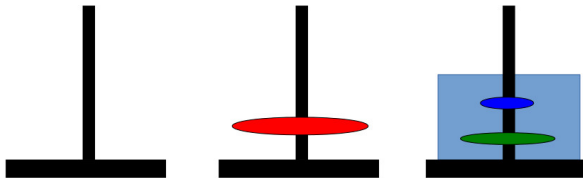
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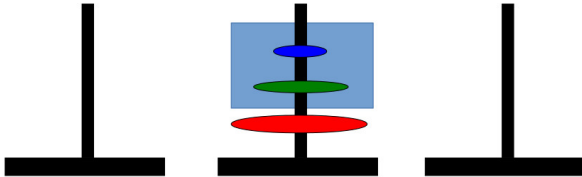
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# Example: Towers of Hanoi

## Questions

- Describe a general solution for  $n$  disks
- How many moves does it take? ?



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## Example: Natural numbers

### Example

A natural number is either 0 (B) or one more than a natural number (R).

Formal definition of  $\mathbb{N}$ :

- (B)  $0 \in \mathbb{N}$
- (R) If  $n \in \mathbb{N}$  then  $(n + 1) \in \mathbb{N}$

## Example: Fibonacci numbers

### Example

斐波纳契

5 8 13 21 34 55

The Fibonacci sequence starts  $0, 1, 1, 2, 3, \dots$  where, after  $0, 1$ , each term is the sum of the previous two terms.

Formally, the set of Fibonacci numbers:  $\mathbb{F} = \{F_n : n \in \mathbb{N}\}$ , where the  $n$ -th Fibonacci number  $F_n$  is defined as:

- (B)  $F_0 = 0$ ,
- (B)  $F_1 = 1$ ,
- (I)  $F_n = F_{n-1} + F_{n-2}$

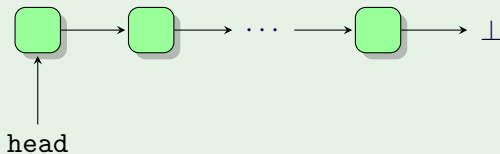
### NB

*Could also define the Fibonacci sequence as a function  $\text{FIB} : \mathbb{N} \rightarrow \mathbb{F}$ . Choice of perspective depends on what structure you view as your base object (ground type).*

## Example: Linked lists

### Example

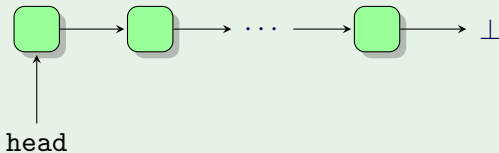
A linked list is zero or more linked list nodes:



## Example: Linked lists

### Example

A linked list is zero or more linked list nodes:



In C:

```
struct node{  
    int data;  
    struct node *next;  
}
```

## Example: Linked lists

### Example

We can view the linked list **structure** abstractly. A linked list is either:

- (B) an empty list, or
- (R) an ordered pair (Data, List).

## Example: Words over $\Sigma$

### Example

A word over an alphabet  $\Sigma$  is either  $\lambda$  (B) or a symbol from  $\Sigma$  followed by a word (R).

Formal definition of  $\Sigma^*$ :

- (B)  $\lambda \in \Sigma^*$
- (R) If  $w \in \Sigma^*$  then  $aw \in \Sigma^*$  for all  $a \in \Sigma$

### NB

*This matches the recursive definition of a **Linked List** data type.*

# Example: Propositional formulas

## Example

A well-formed formula (wff) over a set of propositional variables,  $\text{PROP}$  is defined as:

- (B)  $\top$  is a wff
- (B)  $\perp$  is a wff
- (B)  $p$  is a wff for all  $p \in \text{PROP}$
- (R) If  $\varphi$  is a wff then  $\neg\varphi$  is a wff
- (R) If  $\varphi$  and  $\psi$  are wffs then:
  - $(\varphi \wedge \psi)$ ,
  - $(\varphi \vee \psi)$ ,
  - $(\varphi \rightarrow \psi)$ , and
  - $(\varphi \leftrightarrow \psi)$  are wffs.



# Exercises

## Exercises

4.4.4 (a) Give a recursive definition for the sequence

$$(2, 4, 16, 256, \dots)$$

(b) Give a recursive definition for the sequence

$$(2, 4, 16, 65536, \dots)$$

# Exercises

## Exercises

4.4.4 (a) Give a recursive definition for the sequence

(2, 4, 16, 256, ...)

$$A_n = a_{(n-1)}^2$$

?

(b) Give a recursive definition for the sequence

(2, 4, 16, 65536, ...)

$$A_n = 2^{(a_{(n-1)})}$$

?

# Summary of topics

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# Programming over recursive datatypes

Recursive datatypes make recursive programming/functions easy.

## Example

The factorial function:

```
fact( $n$ ):  
( $B$ )    if( $n = 0$ ): 1  
( $R$ )    else:  $n * \text{fact}(n - 1)$ 
```

# Programming over recursive datatypes

Recursive datatypes make recursive programming/functions easy.

## Example

Summing the first  $n$  natural numbers:

```
sum( $n$ ):  
( $B$ )    if( $n = 0$ ): 0  
( $R$ )    else:  $n + \text{sum}(n - 1)$ 
```

# Programming over recursive datatypes

Recursive datatypes make recursive programming/functions easy.

## Example

Summing elements of a linked list:

```
sum(L):  
(B)    if(L.isEmpty()):  
        return 0  
(R)    else:  
        return L.data + sum(L.next)
```

# Programming over recursive datatypes

Recursive datatypes make recursive programming/functions easy.

## Example

Concatenation of words (defining  $wv$ ):

$$\begin{array}{ll} & \text{For all } w, v \in \Sigma^* \text{ and } a \in \Sigma : \\ (B) & \lambda v = v \\ (R) & (aw)v = a(wv) \end{array}$$

# Programming over recursive datatypes

Recursive datatypes make recursive programming/functions easy.

## Example

Length of words:

$$(B) \quad \text{length}(\lambda) = 0$$

$$(R) \quad \text{length}(aw) = 1 + \text{length}(w)$$



# Programming over recursive datatypes

Recursive datatypes make recursive programming/functions easy.

## Example

“Evaluation” of a propositional formula

# Correctness of Recursive Definition

A recurrence formula is correct if the computation of any later term can be reduced to the initial values given in (B).

## Example (Incorrect definition)

- Function  $g(n)$  is defined recursively by

$$g(n) = g(g(n-1) - 1) + 1, \quad g(0) = 2.$$

The definition of  $g(n)$  is incomplete — the recursion may not terminate:

Attempt to compute  $g(1)$  gives

$$g(1) = g(g(0) - 1) + 1 = g(1) + 1 = \dots = g(1) + 1 + 1 + 1 \dots$$

When implemented, it leads to an overflow; most static analyses cannot detect this kind of ill-defined recursion.

### Example (continued)

However, the definition could be repaired. For example, we can add the specification specify  $g(1) = 2$ .

Then  $g(2) = g(2 - 1) + 1 = 3$ ,

$$g(3) = g(g(2) - 1) + 1 = g(3 - 1) + 1 = 4,$$

...

In fact, by induction ...  $g(n) = n + 1$

This illustrates a very important principle: the boundary (limiting) cases of the definition are evaluated *before* applying the recursive construction.

### Example

Function  $f(n)$  is defined by

$$f(n) = f(\lceil n/2 \rceil), \quad f(0) = 1$$

When evaluated for  $n = 1$  it leads to

$$f(1) = f(1) = f(1) = \dots$$

This one can also be repaired. For example, one could specify that  $f(1) = 1$ .

This would lead to a constant function  $f(n) = 1$  for all  $n \geq 0$ .

# Mutual Recursion

Several more sophisticated programs employ a technique of two procedures calling each other. Of course, it should be designed so that each consecutive call refers to ever smaller parameters, so that the entire process terminates. This method is often used in computer graphics, in particular for generating fractal images (basis of various imaginary landscapes, among others).