Before you turn this problem in, make sure everything runs as expected. First, **restart the kernel** (in the menubar, select Kernel  $\rightarrow$  Restart) and then **run all cells** (in the menubar, select Cell  $\rightarrow$  Run All).

Make sure you fill in any place that says "Write your answer here.", as well as your name and NetID below:

```
In [50]: NAME = "Vijayendra Jagtap"
NetID = "vjagtap2"
```

# **Submission Instructions**

- This lab has two components: the coding component as well as a report (text written) component.
- The coding component comprises Q1.1, Q1.2, Q1.3. You will be writing the code for these
  questions in the file lab4.py. The grading for this component will take place through the
  gradescope autograder by submitting the lab4.py file to the NLP Lab4 Code assignment on
  Gradescope.
- The report component comprises Q1.4, Q1.5, Q2.1, Q2.2, Q2.3, Q2.4, Q2.5, Q2.6, Q2.7,
   Q2.8.
- The report component comprises three kinds of questions: Code+Plot, Code+Written and Written. For the Code+Plot (e.g Q1.4) type you will be graded for the code you write and the corresponding plot produce. For the Code+Written(e.g Q2.7) type you will be graded for the code you write and the corresponding written response. For the Written(e.g Q2.8) type you will be graded for the written response. You can enter the written responses in the corresponding space provided in the jupyter notebook itself, by editing the corresponding markdown cell. Make sure you fill in any place that says "Write your answer here."
- To submit the questions that comprise the report section, you can either convert the jupyter notebook to a pdf by following one of two methods described in the next cell (choose whichever method that produces the best pdf render) or you can take screenshots of the corresponding section of the jupyter notebook, paste the screenshots onto a document (eg .doc) file and then convert to pdf. For the Code+Written and Code+Plot questions, make sure the code cell as well as the written/plot cell is included in the screenshot. For the Written questions, you can only include the written response in your screenshot.
- Once you have prepared the report using either the direct PDF or the screenshot route, submit
  the corresponding file to the Gradescope assignment called NLP Lab4 Report.
- It is extremely important that you tag the corresponding pages of the report to the appropriate question outline on gradescope. Failure to tag the appropriate/correct pages can lead to deduction of points.

#### Converting Jupyter Notebook to PDF [Method 1]

- 1. Click the Save button at the top of the Jupyter Notebook.
- 2. Select Cell -> All Output -> Clear. This will clear all the outputs from all cells (but will keep the content of all cells).
- 3. Select Cell -> Run All. This will run all the cells in order, and will take several minutes.

- 4. Once you've rerun everything, select File -> Download as -> PDF via LaTeX
- 5. Look at the PDF file and make sure all your solutions are there, displayed correctly.

#### Converting Jupyter Notebook to PDF [Method 2]

- 1. Click the Save button at the top of the Jupyter Notebook.
- 2. Select Cell -> All Output -> Clear. This will clear all the outputs from all cells (but will keep the content of all cells).
- 3. Select Cell -> Run All. This will run all the cells in order, and will take several minutes.
- 4. Once you've rerun everything, run Cmd+P (Ctrl+P on windows) -> Save as PDF.
- 5. Look at the PDF file and make sure all your solutions are there, displayed correctly.

# **Lab4: Word Vectors**

```
# All Import Statements Defined Here
In [51]:
             # Note: Do not add to this list.
             # All the dependencies you need, can be installed by running .
             # Make sure to have scikit-learn version 0.21.3, otherwise you might run into
             # I found it best to create a new conda environment with scikit-learn 0.21.3
             # conda create -n lab4 env python=3 scikit-learn==0.21.3
             import sys
             assert sys.version info[0]==3
             assert sys.version info[1] >= 5
             from gensim.models import KeyedVectors
             from gensim.test.utils import datapath
             import pprint
             import matplotlib.pyplot as plt
             plt.rcParams['figure.figsize'] = [10, 5]
             import nltk
             nltk.download('reuters')
             from nltk.corpus import reuters
             import numpy as np
             import random
             import scipy as sp
             from sklearn.decomposition import TruncatedSVD
             from sklearn.decomposition import PCA
             START TOKEN = '<START>'
             END TOKEN = '<END>'
             np.random.seed(0)
             random.seed(0)
             [nltk_data] Downloading package reuters to C:\Users\Vijayendra
```

Jagtap\AppData\Roaming\nltk data...

Package reuters is already up-to-date!

[nltk data]

[nltk\_data]

In [52]: ▶ from importlib import reload
import lab4

## **Word Vectors**

Word Vectors are often used as a fundamental component for downstream NLP tasks, e.g. question answering, text generation, translation, etc., so it is important to build some intuitions as to their strengths and weaknesses. Here, you will explore two types of word vectors: those derived from *co-occurrence matrices*, and those derived via *word2vec*.

**Assignment Notes:** Please make sure to save the notebook as you go along. Submission Instructions are located at the bottom of the notebook.

**Note on Terminology:** The terms "word vectors" and "word embeddings" are often used interchangeably. The term "embedding" refers to the fact that we are encoding aspects of a word's meaning in a lower dimensional space. As <u>Wikipedia</u>

(https://en.wikipedia.org/wiki/Word\_embedding) states "concentually it involves a mathematical"

(https://en.wikipedia.org/wiki/Word\_embedding) states, "conceptually it involves a mathematical embedding from a space with one dimension per word to a continuous vector space with a much lower dimension".

# Part 1: Count-Based Word Vectors (50 points)

Most word vector models start from the following idea:

You shall know a word by the company it keeps (<u>Firth, J. R. 1957:11</u> (<u>https://en.wikipedia.org/wiki/John Rupert Firth</u>))

Many word vector implementations are driven by the idea that similar words, i.e., (near) synonyms, will be used in similar contexts. As a result, similar words will often be spoken or written along with a shared subset of words, i.e., contexts. By examining these contexts, we can try to develop embeddings for our words. With this intuition in mind, many "old school" approaches to constructing word vectors relied on word counts. Here we elaborate upon one of those strategies, co-occurrence matrices (for more information, see <a href="here">here</a> (https://medium.com/data-science-group-iitr/word-embedding-2d05d270b285)).

#### Co-Occurrence

A co-occurrence matrix counts how often things co-occur in some environment. Given some word  $w_i$  occurring in the document, we consider the *context window* surrounding  $w_i$ . Supposing our fixed window size is n, then this is the n preceding and n subsequent words in that document, i.e. words  $w_{i-n} \dots w_{i-1}$  and  $w_{i+1} \dots w_{i+n}$ . We build a *co-occurrence matrix* M, which is a symmetric word-by-word matrix in which  $M_{ij}$  is the number of times  $w_j$  appears inside  $w_i$ 's window.

**Example: Co-Occurrence with Fixed Window of n=1:** 

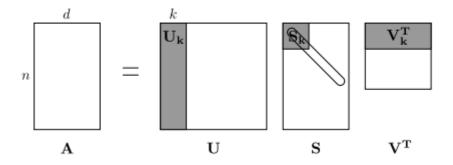
Document 1: "all that glitters is not gold"

Document 2: "all is well that ends well"

*	START	all	that	glitters	is	not	gold	well	ends	END
START	0	2	0	0	0	0	0	0	0	0
all	2	0	1	0	1	0	0	0	0	0
that	0	1	0	1	0	0	0	1	1	0
glitters	0	0	1	0	1	0	0	0	0	0
is	0	1	0	1	0	1	0	1	0	0
not	0	0	0	0	1	0	1	0	0	0
gold	0	0	0	0	0	1	0	0	0	1
well	0	0	1	0	1	0	0	0	1	1
ends	0	0	1	0	0	0	0	1	0	0
END	0	0	0	0	0	0	1	1	0	0

**Note:** In NLP, we often add START and END tokens to represent the beginning and end of sentences, paragraphs or documents. In thise case we imagine START and END tokens encapsulating each document, e.g., "START All that glitters is not gold END", and include these tokens in our co-occurrence counts.

The rows (or columns) of this matrix provide one type of word vectors (those based on word-word co-occurrence), but the vectors will be large in general (linear in the number of distinct words in a corpus). Thus, our next step is to run *dimensionality reduction*. In particular, we will run SVD (Singular Value Decomposition), which is a kind of generalized PCA (Principal Components Analysis) to select the top k principal components. Here's a visualization of dimensionality reduction with SVD. In this picture our co-occurrence matrix is k with k rows corresponding to k words. We obtain a full matrix decomposition, with the singular values ordered in the diagonal k matrix, and our new, shorter length-k word vectors in k.



This reduced-dimensionality co-occurrence representation preserves semantic relationships between words, e.g. *doctor* and *hospital* will be closer than *doctor* and *dog*.

**Notes:** If you can barely remember what an eigenvalue is, here's <u>a slow, friendly introduction to SVD (https://davetang.org/file/Singular\_Value\_Decomposition\_Tutorial.pdf)</u>. Though, for the purpose of this class, you only need to know how to extract the k-dimensional embeddings by utilizing pre-programmed implementations of these algorithms from the numpy, scipy, or sklearn python packages. In practice, it is challenging to apply full SVD to large corpora because of the memory needed to perform PCA or SVD. However, if you only want the top k vector components

for relatively small k — known as  $\underline{Truncated\ SVD}$  (<a href="https://en.wikipedia.org/wiki/Singular\_value\_decomposition#Truncated\_SVD">https://en.wikipedia.org/wiki/Singular\_value\_decomposition#Truncated\_SVD</a>) — then there are reasonably scalable techniques to compute those iteratively.

## **Plotting Co-Occurrence Word Embeddings**

Here, we will be using the Reuters (business and financial news) corpus. If you haven't run the import cell at the top of this page, please run it now (click it and press SHIFT-RETURN). The corpus consists of 10,788 news documents totaling 1.3 million words. These documents span 90 categories and are split into train and test. For more details, please see <a href="https://www.nltk.org/book/ch02.html">https://www.nltk.org/book/ch02.html</a> (https://www.nltk.org/book/ch02.html). We provide a read\_corpus function below that pulls out only articles from the "crude" (i.e. news articles about oil, gas, etc.) category. The function also adds START and END tokens to each of the documents, and lowercases words. You do **not** have to perform any other kind of pre-processing.

Let's have a look what these documents are like....

```
In [54]:
          reuters corpus = read corpus()
             pprint.pprint(reuters corpus[:3], compact=True, width=100)
             [['<START>', 'japan', 'to', 'revise', 'long', '-', 'term', 'energy', 'de
             mand', 'downwards', 'the',
               'ministry', 'of', 'international', 'trade', 'and', 'industry', '(', 'm
             iti', ')', 'will', 'revise',
               'its', 'long', '-', 'term', 'energy', 'supply', '/', 'demand', 'outloo
             k', 'by', 'august', 'to',
               'meet', 'a', 'forecast', 'downtrend', 'in', 'japanese', 'energy', 'dem
             and', ',', 'ministry',
               'officials', 'said', '.', 'miti', 'is', 'expected', 'to', 'lower', 'th
             e', 'projection', 'for',
               'primary', 'energy', 'supplies', 'in', 'the', 'year', '2000', 'to', '5
             50', 'mln', 'kilolitres',
                '(', 'kl', ')', 'from', '600', 'mln', ',', 'they', 'said', '.', 'the',
              'decision', 'follows',
               'the', 'emergence', 'of', 'structural', 'changes', 'in', 'japanese',
             'industry', 'following',
               'the', 'rise', 'in', 'the', 'value', 'of', 'the', 'yen', 'and', 'a',
             'decline', 'in', 'domestic',
               'electric', 'power', 'demand', '.', 'miti', 'is', 'planning', 'to', 'w
             ork', 'out', 'a', 'revised',
               'energy', 'supply', '/', 'demand', 'outlook', 'through', 'deliberation
             s', 'of', 'committee',
                'meetings', 'of', 'the', 'agency', 'of', 'natural', 'resources', 'an
             d', 'energy', ',', 'the',
               'officials', 'said', '.', 'they', 'said', 'miti', 'will', 'also', 'rev
             iew', 'the', 'breakdown',
               'of', 'energy', 'supply', 'sources', ',', 'including', 'oil', ',', 'nu
             clear', ',', 'coal', 'and',
               'natural', 'gas', '.', 'nuclear', 'energy', 'provided', 'the', 'bulk',
             'of', 'japan', "'", 's',
               'electric', 'power', 'in', 'the', 'fiscal', 'year', 'ended', 'march',
              '31', ',', 'supplying'
               'an', 'estimated', '27', 'pct', 'on', 'a', 'kilowatt', '/', 'hour', 'b
                    ',', 'followed',
             asis',
               'by', 'oil',
                            '(', '23', 'pct', ')', 'and', 'liquefied', 'natural', 'ga
             s', '(', '21', 'pct', '),
                      'noted', '.', '<END>'],
               'they',
              ['<START>', 'energy', '/', 'u', '.', 's', '.', 'petrochemical', 'indust
             ry', 'cheap', 'oil',
               'feedstocks', ',', 'the', 'weakened', 'u', '.', 's', '.', 'dollar', 'a
             nd', 'a', 'plant
                'utilization', 'rate', 'approaching', '90', 'pct', 'will', 'propel',
             'the', 'streamlined', 'u',
               '.', 's', '.', 'petrochemical', 'industry', 'to', 'record', 'profits',
             'this', 'year', ',',
               'with', 'growth', 'expected', 'through', 'at', 'least', '1990', ',',
             'major', 'company',
               'executives', 'predicted', '.', 'this', 'bullish', 'outlook', 'for',
             'chemical', 'manufacturing',
               'and', 'an', 'industrywide', 'move', 'to', 'shed', 'unrelated', 'busin
             esses', 'has', 'prompted',
                'gaf', 'corp', '&', 'lt', ';', 'gaf', '>,', 'privately', '-', 'held',
             'cain', 'chemical', 'inc',
                ',', 'and', 'other', 'firms', 'to', 'aggressively', 'seek', 'acquisiti
```

ons', 'of', 'petrochemical', 'plants', '.', 'oil', 'companies', 'such', 'as', 'ashland', 'oil', 'in c', '&', 'lt', ';', 'ash', 'the', 'kentucky', '-', 'based', 'oil', 'refiner', 'and', 'marke ter', ',', 'are', 'also', 'shopping', 'for', 'money', '-', 'making', 'petrochemical', 'businesse s', 'to', 'buy', '.', '"', 'i', 'see', 'us', 'poised', 'at', 'the', 'threshold', 'of', 'a', 'gold en', 'period', ',"', 'said', 'paul', 'oreffice', ',', 'chairman', 'of', 'giant', 'dow', 'chemical', 'co', '&', 'lt', ';', 'dow', '>,', 'adding', ',', '"', 'there', "'", 's', 'no', 'major', 'pl ant', 'capacity', 'being', 'added', 'around', 'the', 'world', 'now', '.', 'the', 'whole', 'game', 'is', 'bringing', 'out', 'new', 'products', 'and', 'improving', 'the', 'old', 'ones', '."', 'an alysts', 'say', 'the', 'chemical', 'industry', "'", 's', 'biggest', 'customers', ',', 'automo bile', 'manufacturers', 'and', 'home', 'builders', 'that', 'use', 'a', 'lot', 'of', 'paints', 'and', 'plastics', ',', 'are', 'expected', 'to', 'buy', 'quantities', 'this', 'year', '.', 'u', '.', 's', '.', 'petrochemical', 'plants', 'are', 'currently', 'operating', 'at', 'abo ut', '90', 'pct', 'capacity', ',', 'reflecting', 'tighter', 'supply', 'that', 'could', 'hike', 'product', 'prices', 'by', '30', 'to', '40', 'pct', 'this', 'year', ',', 'said', 'john', 'd osher', ',', 'managing', 'director', 'of', 'pace', 'consultants', 'inc', 'of', 'houston', '.', 'demand', 'for', 'some', 'products', 'such', 'as', 'styrene', 'could', 'push', 'profit', 'margi ns', 'up', 'by', 'as', 'much', 'as', '300', 'pct', ',', 'he', 'said', '.', 'oreffice', ',', 'speaking', 'at', 'a', 'meeting', 'of', 'chemical', 'engineers', 'in', 'houston', ',', 'sai d', 'dow', 'would', 'easily', 'top', 'the', '741', 'mln', 'dlrs', 'it', 'earned', 'last', 'year', 'a nd', 'predicted', 'it', 'would', 'have', 'the', 'best', 'year', 'in', 'its', 'history', '.', 'in', '1985', ',', 'when', 'oil', 'prices', 'were', 'still', 'above', '25', 'dlrs', 'a', 'barre l', 'and', 'chemical', 'exports', 'were', 'adversely', 'affected', 'by', 'the', 'strong', 'u', '.', 's', '.', 'dollar', ,', 'dow', 'had', 'profits', 'of', '58', 'mln', 'dlrs', '.', '"', 'i', 'believe', 'the', 'entire', 'chemical', 'industry', 'is', 'headed', 'for', 'a', 'recor d', 'year', 'or', 'close', 'to', 'it', ',"', 'oreffice', 'said', '.', 'gaf', 'chairman', 'samue l', 'heyman', 'estimated', 'that', 'the', 'u', '.', 's', '.', 'chemical', 'industry', 'would', 'r eport', 'a', '20', 'pct', 'gain', 'in', 'profits', 'during', '1987', '.', 'last', 'year', ',', 'the', 'domestic', 'industry', 'earned', 'a', 'total', 'of', '13', 'billion', 'dlrs', ',', 'a', '54', 'pct', 'leap',

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'from', '1985', '.', 'the', 'turn', 'in', 'the', 'fortunes', 'of', 'th
e', 'once', '-', 'sickly',
  'chemical', 'industry', 'has', 'been', 'brought', 'about', 'by', 'a',
'combination', 'of', 'luck',
  'and', 'planning', ',', 'said', 'pace', "'", 's', 'john', 'dosher',
'.', 'dosher', 'said', 'last',
  year', "'", 's', 'fall', 'in', 'oil', 'prices', 'made', 'feedstocks',
'dramatically', 'cheaper',
  'and', 'at', 'the', 'same', 'time', 'the', 'american', 'dollar', 'wa
s', 'weakening', 'against',
  'foreign', 'currencies', '.', 'that', 'helped', 'boost', 'u', '.',
's', '.', 'chemical',
  'exports', '.', 'also', 'helping', 'to', 'bring', 'supply', 'and', 'de
mand', 'into', 'balance',
  'has', 'been', 'the', 'gradual', 'market', 'absorption', 'of', 'the',
'extra', 'chemical',
  'manufacturing', 'capacity', 'created', 'by', 'middle', 'eastern', 'oi
l', 'producers', 'in',
  'the', 'early', '1980s', '.', 'finally', ',', 'virtually', 'all', 'maj
or', 'u', '.', 's', '.',
  chemical', 'manufacturers', 'have', 'embarked', 'on', 'an', 'extensiv'
e', 'corporate',
  'restructuring', 'program', 'to', 'mothball', 'inefficient', 'plants',
',', 'trim', 'the',
  'payroll', 'and', 'eliminate', 'unrelated', 'businesses', '.', 'the',
'restructuring', 'touched',
  'off', 'a', 'flurry', 'of', 'friendly', 'and', 'hostile', 'takeover',
'attempts', '.', 'gaf', ',',
  'which', 'made', 'an', 'unsuccessful', 'attempt', 'in', '1985', 'to',
'acquire', 'union',
  'carbide', 'corp', '&', 'lt', ';', 'uk', '>,', 'recently', 'offered',
'three', 'billion', 'dlrs',
       , 'borg', 'warner', 'corp', '&', 'lt', ';', 'bor', '>,', 'a', 'ch
icago', 'manufacturer',
  'of', 'plastics', 'and', 'chemicals', '.', 'another', 'industry', 'pow
erhouse', ',', 'w', '.',
  'r', '.', 'grace', '&', 'lt', ';', 'gra', '>', 'has', 'divested', 'it
s', 'retailing', ',',
  'restaurant', 'and', 'fertilizer', 'businesses', 'to', 'raise', 'cas
h', 'for', 'chemical',
  'acquisitions', '.', 'but', 'some', 'experts', 'worry', 'that', 'the',
'chemical', 'industry',
  'may', 'be', 'headed', 'for', 'trouble', 'if', 'companies', 'continu
e', 'turning', 'their',
  'back', 'on', 'the', 'manufacturing', 'of', 'staple', 'petrochemical',
'commodities', ',', 'such',
  'as', 'ethylene', ',', 'in', 'favor', 'of', 'more', 'profitable', 'spe
cialty', 'chemicals',
  'that', 'are', 'custom', '-', 'designed', 'for', 'a', 'small', 'grou
p', 'of', 'buyers', '.', '"'
  'companies', 'like', 'dupont', '&', 'lt', ';', 'dd', '>', 'and', 'mons
anto', 'co', '&', 'lt', ';',
  'mtc', '>', 'spent', 'the', 'past', 'two', 'or', 'three', 'years', 'tr
ying', 'to', 'get', 'out',
  'of', 'the', 'commodity', 'chemical', 'business', 'in', 'reaction', 't
o', 'how', 'badly', 'the',
  'market', 'had', 'deteriorated', ',"', 'dosher', 'said', '.', '"', 'bu
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t', 'i', 'think', 'they',
  'will', 'eventually', 'kill', 'the', 'margins', 'on', 'the', 'profitab
le', 'chemicals', 'in',
  'the', 'niche', 'market', '."', 'some', 'top', 'chemical', 'executive
s', 'share', 'the',
  'concern', '.', '"', 'the', 'challenge', 'for', 'our', 'industry', 'i
s', 'to', 'keep', 'from',
  'getting', 'carried', 'away', 'and', 'repeating', 'past', 'mistakes',
 ,"', 'gaf', "'", 's',
  'heyman', 'cautioned', '.', '"', 'the', 'shift', 'from', 'commodity',
'chemicals', 'may', 'be',
  'ill', '-', 'advised', '.', 'specialty', 'businesses', 'do', 'not', 's
tay', 'special', 'long',
      , 'houston', '-', 'based', 'cain', 'chemical', ',', 'created', 'th
is', 'month', 'by', 'the',
  'sterling', 'investment', 'banking', 'group', ',', 'believes', 'it',
'can', 'generate', '700',
  'mln', 'dlrs', 'in', 'annual', 'sales', 'by', 'bucking', 'the', 'indus
try', 'trend', '.',
  'chairman', 'gordon', 'cain', ',', 'who', 'previously', 'led', 'a', 'l
everaged', 'buyout', 'of',
  'dupont', "'", 's', 'conoco', 'inc', "'", 's', 'chemical', 'business',
   , 'has', 'spent', '1',
  '.', '1', 'billion', 'dlrs', 'since', 'january', 'to', 'buy', 'seven',
'petrochemical', 'plants',
  'along', 'the', 'texas', 'gulf', 'coast', '.', 'the', 'plants', 'produc
e', 'only', 'basic',
  'commodity', 'petrochemicals', 'that', 'are', 'the', 'building', 'block
s', 'of', 'specialty',
  'products', '.', '"', 'this', 'kind', 'of', 'commodity', 'chemical', 'bus
iness', 'will', 'never',
  'be', 'a', 'glamorous', ',', 'high', '-', 'margin', 'business', ',"', 'ca
in', 'said',
  'adding', 'that', 'demand', 'is', 'expected', 'to', 'grow', 'by', 'abou
t', 'three', 'pct'
  'annually', '.', 'garo', 'armen', ',', 'an', 'analyst', 'with', 'dean',
'witter', 'reynolds', ',',
  'said', 'chemical', 'makers', 'have', 'also', 'benefitted', 'by', 'increa
sing', 'demand', 'for',
  'plastics', 'as', 'prices', 'become', 'more', 'competitive', 'with', 'alu
minum', ',', 'wood',
  'and', 'steel', 'products', '.', 'armen', 'estimated', 'the', 'upturn',
'in', 'the', 'chemical',
  'business', 'could', 'last', 'as', 'long', 'as', 'four', 'or', 'five', 'y
ears', ',', 'provided',
  'the', 'u', '.', 's', '.', 'economy', 'continues', 'its', 'modest', 'rat
e', 'of', 'growth', '.',
  '<END>'],
 ['<START>', 'turkey', 'calls', 'for', 'dialogue', 'to', 'solve', 'disput
e', 'turkey', 'said',
  'today', 'its', 'disputes', 'with', 'greece', ',', 'including', 'rights',
'on', 'the',
  'continental', 'shelf', 'in', 'the', 'aegean', 'sea', ',', 'should', 'b
e', 'solved', 'through',
  'negotiations', '.', 'a', 'foreign', 'ministry', 'statement', 'said', 'th
e', 'latest', 'crisis',
```

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'between', 'the', 'two', 'nato', 'members', 'stemmed', 'from', 'the', 'co
ntinental', 'shelf',
  'dispute', 'and', 'an', 'agreement', 'on', 'this', 'issue', 'would', 'eff
ect', 'the', 'security',
  ,', 'economy', 'and', 'other', 'rights', 'of', 'both', 'countries', '.',
   , 'as', 'the',
  'issue', 'is', 'basicly', 'political', ',', 'a', 'solution', 'can', 'onl
y', 'be', 'found', 'by',
  'bilateral', 'negotiations', ',"', 'the', 'statement', 'said', '.', 'gree
ce', 'has', 'repeatedly',
  'said', 'the', 'issue', 'was', 'legal', 'and', 'could', 'be', 'solved',
'at', 'the',
  'international', 'court', 'of', 'justice', '.', 'the', 'two', 'countrie
s', 'approached', 'armed',
  'confrontation', 'last', 'month', 'after', 'greece', 'announced', 'it',
'planned', 'oil',
  'exploration', 'work', 'in', 'the', 'aegean', 'and', 'turkey', 'said', 'i
t', 'would', 'also',
  'search', 'for', 'oil', '.', 'a', 'face', '-', 'off', 'was', 'averted',
'when', 'turkey',
  'confined', 'its', 'research', 'to', 'territorrial', 'waters', '.', '"',
'the', 'latest',
  'crises', 'created', 'an', 'historic', 'opportunity', 'to', 'solve', 'th
e', 'disputes', 'between',
  'the', 'two', 'countries', ',"', 'the', 'foreign', 'ministry', 'statemen
t', 'said', '.', 'turkey',
 "'", 's', 'ambassador', 'in', 'athens', ',', 'nazmi', 'akiman', ',', 'wa
s', 'due', 'to', 'meet',
  'prime', 'minister', 'andreas', 'papandreou', 'today', 'for', 'the', 'gre
ek', 'reply', 'to', 'a',
  'message', 'sent', 'last', 'week', 'by', 'turkish', 'prime', 'minister',
'turgut', 'ozal', '.',
  'the', 'contents', 'of', 'the', 'message', 'were', 'not', 'disclosed',
'.', '<END>']]
```

## Question 1.1: Implement distinct words [code] (5 points)

Write a method to work out the distinct words (word types) that occur in the corpus. You can do this with for loops, but it's more efficient to do it with Python list comprehensions. In particular, this (https://coderwall.com/p/rcmaea/flatten-a-list-of-lists-in-one-line-in-python) may be useful to flatten a list of lists. If you're not familiar with Python list comprehensions in general, here's more information (https://python-3-patterns-idioms-test.readthedocs.io/en/latest/Comprehensions.html).

You may find it useful to use <u>Python sets (https://www.w3schools.com/python/python\_sets.asp)</u> to remove duplicate words.

```
In [55]: ▶ reload(lab4);
```

```
In [56]:
             # Run this sanity check
             # Note that this not an exhaustive check for correctness.
             # Define toy corpus
             test_corpus = ["START All that glitters isn't gold END".split(" "), "START Al
             test corpus words, num corpus words = lab4.distinct words(test corpus)
             # Correct answers
             ans_test_corpus_words = sorted(list(set(["START", "All", "ends", "that", "gol
             ans_num_corpus_words = len(ans_test_corpus_words)
             # Test correct number of words
             assert(num corpus words == ans num corpus words), "Incorrect number of disting
             # Test correct words
             assert (test_corpus_words == ans_test_corpus_words), "Incorrect corpus_words.
             # Print Success
             print ("-" * 80)
             print("Passed All Tests!")
             print ("-" * 80)
             Passed All Tests!
```

# Question 1.2: Implement compute\_co\_occurrence\_matrix [code] (15 points)

Write a method that constructs a co-occurrence matrix for a certain window-size n (with a default of 4), considering words n before and n after the word in the center of the window. Here, we start to use <code>numpy (np)</code> to represent vectors, matrices, and tensors.

```
In [57]: N reload(lab4);
```

```
In [58]:
             # Run this sanity check
             # Note that this is not an exhaustive check for correctness.
             # Define toy corpus and get student's co-occurrence matrix
             test corpus = ["START All that glitters isn't gold END".split(" "), "START Al
             M test, word2Ind test = lab4.compute co occurrence matrix(test corpus, window
             # Correct M and word2Ind
             M test ans = np.array(
                 [[0., 0., 0., 1., 0., 0., 0., 0., 1., 0.,],
                  [0., 0., 0., 1., 0., 0., 0., 0., 0., 1.,],
                  [0., 0., 0., 0., 0., 0., 1., 0., 0., 1.,],
                  [1., 1., 0., 0., 0., 0., 0., 0., 0., 0., ],
                  [0., 0., 0., 0., 0., 0., 0., 0., 1., 1.,],
                  [0., 0., 0., 0., 0., 0., 0., 1., 1., 0.,],
                  [0., 0., 1., 0., 0., 0., 0., 1., 0., 0.,],
                  [0., 0., 0., 0., 0., 1., 1., 0., 0., 0.,],
                  [1., 0., 0., 0., 1., 1., 0., 0., 0., 1.,],
                  [0., 1., 1., 0., 1., 0., 0., 0., 1., 0.,]]
             word2Ind ans = {'All': 0, "All's": 1, 'END': 2, 'START': 3, 'ends': 4, 'glitt
             # Test correct word2Ind
             assert (word2Ind ans == word2Ind test), "Your word2Ind is incorrect:\nCorrect
             # Test correct M shape
             assert (M test.shape == M test ans.shape), "M matrix has incorrect shape.\nCd
             # Test correct M values
             for w1 in word2Ind ans.keys():
                 idx1 = word2Ind ans[w1]
                 for w2 in word2Ind ans.keys():
                     idx2 = word2Ind_ans[w2]
                     student = M test[idx1, idx2]
                     correct = M test ans[idx1, idx2]
                     if student != correct:
                         print("Correct M:")
                         print(M test ans)
                         print("Your M: ")
                         print(M test)
                         raise AssertionError("Incorrect count at index ({}, {})=({}, {})
             # Print Success
             print ("-" * 80)
             print("Passed All Tests!")
             print ("-" * 80)
```

```
Passed All Tests!
```

## Question 1.3: Implement reduce\_to\_k\_dim [code] (10 point)

Construct a method that performs dimensionality reduction on the matrix to produce k-dimensional embeddings. Use SVD to take the top k components and produce a new matrix of k-dimensional embeddings.

**Note:** All of numpy, scipy, and scikit-learn ( sklearn ) provide *some* implementation of SVD, but only scipy and sklearn provide an implementation of Truncated SVD, and only sklearn provides an efficient randomized algorithm for calculating large-scale Truncated SVD. So please use <a href="mailto:sklearn.decomposition.TruncatedSVD">sklearn.decomposition.TruncatedSVD</a> (<a href="https://scikit-number.decomposition.TruncatedSVD">https://scikit-number.decomposition.TruncatedSVD</a> (<a href="https://scikit-number.decomposition.decomposition.TruncatedSVD">https://scikit-number.decomposition.TruncatedSVD</a> (<a href="https://scikit-number.decomposition.decompo

<u>learn.org/stable/modules/generated/sklearn.decomposition.TruncatedSVD.html).</u>

```
In [59]:

▶ reload(lab4);

In [60]:
             # Run this sanity check
             # Note that this not an exhaustive check for correctness
             # In fact we only check that your M_reduced has the right dimensions.
             # Define toy corpus and run student code
             test_corpus = ["START All that glitters isn't gold END".split(" "), "START Al
             M test, word2Ind test = lab4.compute co occurrence matrix(test corpus, window
             M test reduced = lab4.reduce to k dim(M test, k=2)
             # Test proper dimensions
             assert (M_test_reduced.shape[0] == 10), "M_reduced has {} rows; should have {
             assert (M_test_reduced.shape[1] == 2), "M_reduced has {} columns; should have
             # Print Success
             print ("-" * 80)
             print("Passed All Tests!")
             print ("-" * 80)
             Running Truncated SVD over 10 words...
             Done.
             Passed All Tests!
```

# Question 1.4: Implement plot\_embeddings [code+plot] (10 point)

Here you will write a function to plot a set of 2D vectors in 2D space. For graphs, we will use Matplotlib ( plt ).

For this example, you may find it useful to adapt this code

(https://www.pythonmembers.club/2018/05/08/matplotlib-scatter-plot-annotate-set-text-at-label-each-point/). In the future, a good way to make a plot is to look at <a href="mailto:the Matplotlib gallery">the Matplotlib gallery</a> (https://matplotlib.org/gallery/index.html), find a plot that looks somewhat like what you want, and adapt the code they give.

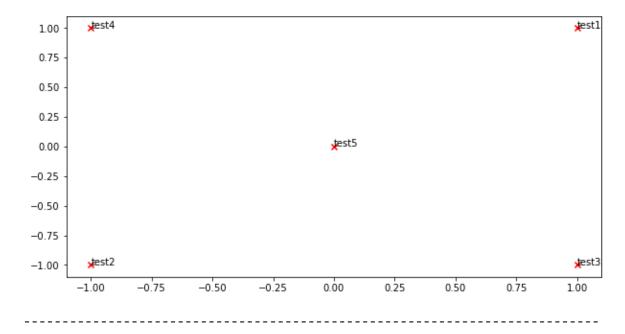
While submitting this question for the report, include the code cell as well as the corresponding plot produced by your code.

```
In [61]:

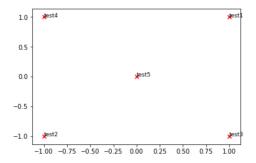
    def plot embeddings(M reduced, word2Ind, words):

                 """ Plot in a scatterplot the embeddings of the words specified in the li
                     NOTE: do not plot all the words listed in M_reduced / word2Ind.
                     Include a label next to each point.
                     Params:
                         M reduced (numpy matrix of shape (number of unique words in the
                         word2Ind (dict): dictionary that maps word to indices for matrix
                         words (list of strings): words whose embeddings we want to visual
                 # Write your implementation here.
                 plotWords = []
                 for i in words:
                     plotWords.append(word2Ind[i])
                 x = []
                 y = []
                 for i in plotWords:
                     x.append(M_reduced[i][0])
                     y.append(M_reduced[i][1])
                 for i, j in enumerate(words):
                     xPoint = x[i]
                     yPoint = y[i]
                     plt.scatter(xPoint, yPoint, marker = 'x', color = 'red')
                     plt.text(xPoint, yPoint, j, fontsize = 10)
                 plt.show()
```

#### Outputted Plot:



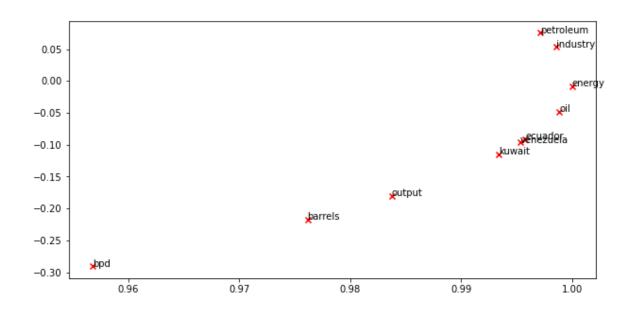
#### **Test Plot Solution**



## Question 1.5: Co-Occurrence Plot Analysis [written] (10 points)

Now we will put together all the parts you have written! We will compute the co-occurrence matrix with fixed window of 4, over the Reuters "crude" corpus. Then we will use TruncatedSVD to compute 2-dimensional embeddings of each word. TruncatedSVD returns U\*S, so we normalize the returned vectors, so that all the vectors will appear around the unit circle (therefore closeness is directional closeness). **Note**: The line of code below that does the normalizing uses the NumPy concept of *broadcasting*. If you don't know about broadcasting, check out <u>Computation on Arrays:</u> <u>Broadcasting by Jake VanderPlas (https://jakevdp.github.io/PythonDataScienceHandbook/02.05-computation-on-arrays-broadcasting.html)</u>.

Run the below cell to produce the plot. It'll probably take a few seconds to run. What clusters together in 2-dimensional embedding space? What doesn't cluster together that you might think should have? **Note:** "bpd" stands for "barrels per day" and is a commonly used abbreviation in crude oil topic articles.



#### Write your answer here.

Done.

In this 2D graph, we see three major clusters: {petroleum, industry}, {energy, oil} and {venezuela, ecuador, kuwait}. However, I think {output, barrels, bdp} and {petroleum, oil} should be clustered together.

# Part 2: Prediction-Based Word Vectors (50 points)

More recently prediction-based word vectors have come into fashion, e.g. word2vec. Here, we shall explore the embeddings produced by word2vec. If you're feeling adventurous, challenge yourself and try reading the <u>original paper (https://papers.nips.cc/paper/5021-distributed-representations-of-words-and-phrases-and-their-compositionality.pdf)</u>.

Then run the following cells to load the word2vec vectors into memory. **Note**: This might take several minutes.

```
In [64]:

    def load word2vec():

                """ Load Word2Vec Vectors
                    Return:
                        wv_from_bin: All 3 million embeddings, each lengh 300
                import gensim.downloader as api
                wv_from_bin = api.load("word2vec-google-news-300")
                vocab = list(wv_from_bin.key_to_index.keys())
                print("Loaded vocab size %i" % len(vocab))
                return wv from bin
In [65]:
         | # -----
            # Run Cell to Load Word Vectors
            # Note: This may take several minutes
            wv from bin = load word2vec()
            Loaded vocab size 3000000
```

Note: If you are receiving out of memory issues on your local machine, try closing other applications to free more memory on your device. You may want to try restarting your machine so that you can free up extra memory. Then immediately run the jupyter notebook and see if you can load the word vectors properly.

## Reducing dimensionality of Word2Vec Word Embeddings

Let's directly compare the word2vec embeddings to those of the co-occurrence matrix. Run the following cells to:

- 1. Put the 3 million word2vec vectors into a matrix M
- Run reduce\_to\_k\_dim (your Truncated SVD function) to reduce the vectors from 300dimensional to 2-dimensional.

In [66]:

def get\_matrix\_of\_vectors(wv\_from\_bin, required\_words=['barrels', 'bpd', 'ecu

```
""" Put the word2vec vectors into a matrix M.
                     Param:
                         wv from bin: KeyedVectors object; the 3 million word2vec vectors
                     Return:
                         M: numpy matrix shape (num words, 300) containing the vectors
                         word2Ind: dictionary mapping each word to its row number in M
                 .....
                 import random
                 words = list(wv_from_bin.key_to_index.keys())
                 print("Shuffling words ...")
                 random.shuffle(words)
                 words = words[:10000]
                 print("Putting %i words into word2Ind and matrix M..." % len(words))
                 word2Ind = \{\}
                 M = []
                 curInd = 0
                 for w in words:
                     try:
                         M.append(wv from bin.word vec(w))
                         word2Ind[w] = curInd
                         curInd += 1
                     except KeyError:
                          continue
                 for w in required words:
                     try:
                         M.append(wv from bin.word vec(w))
                         word2Ind[w] = curInd
                         curInd += 1
                     except KeyError:
                         continue
                 M = np.stack(M)
                 print("Done.")
                 return M, word2Ind
In [67]:
             # Run Cell to Reduce 300-Dimensinal Word Embeddings to k Dimensions
             # Note: This may take several minutes
             M, word2Ind = get_matrix_of_vectors(wv_from_bin)
             M reduced = lab4.reduce to k dim(M, k=2)
             Shuffling words ...
             Putting 10000 words into word2Ind and matrix M...
             <ipython-input-66-3b9f6c9ec502>:20: DeprecationWarning: Call to deprecated
             `word vec` (Use get vector instead).
               M.append(wv from bin.word vec(w))
             <ipython-input-66-3b9f6c9ec502>:27: DeprecationWarning: Call to deprecated
```

Done.

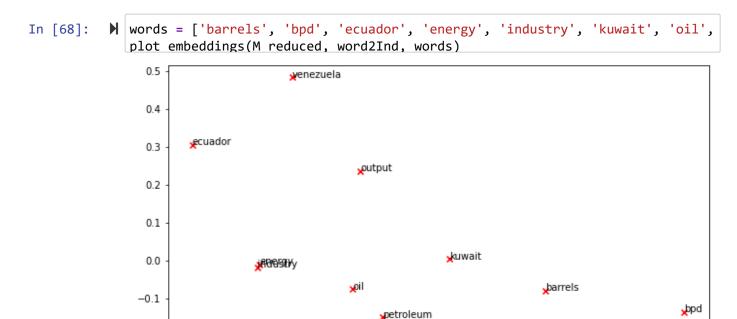
Running Truncated SVD over 10010 words...
Done.

`word\_vec` (Use get\_vector instead).
 M.append(wv\_from\_bin.word\_vec(w))

## Question 2.1: Word2Vec Plot Analysis [written] (5 points)

Run the cell below to plot the 2D word2vec embeddings for ['barrels', 'bpd', 'ecuador', 'energy', 'industry', 'kuwait', 'oil', 'output', 'petroleum', 'venezuela'].

What clusters together in 2-dimensional embedding space? What doesn't cluster together that you might think should have? How is the plot different from the one generated earlier from the co-occurrence matrix?



0.6

#### Write your answer here.

0.4

In this plot we see one major cluster: {energy, industry}. However, I think {venezuela, ecuador, kuwait}, {oil, petroleum} and {output, barrels, bdp} should be clustered togther. This difference between the two plots is that co-occurrence matrix clustered the countries and {petroleum, indurty} to close proximity. It was based on how close the words occured in respect to other words.

0.8

1.0

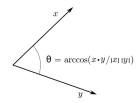
1.2

1.4

# **Cosine Similarity**

Now that we have word vectors, we need a way to quantify the similarity between individual words, according to these vectors. One such metric is cosine-similarity. We will be using this to find words that are "close" and "far" from one another.

We can think of n-dimensional vectors as points in n-dimensional space. If we take this perspective L1 and L2 Distances help quantify the amount of space "we must travel" to get between these two points. Another approach is to examine the angle between two vectors. From trigonometry we know that:



Instead of computing the actual angle, we can leave the similarity in terms of  $similarity = cos(\Theta)$ . Formally the Cosine Similarity (https://en.wikipedia.org/wiki/Cosine similarity) s between two vectors p and q is defined as:

$$s = \frac{p \cdot q}{||p||||q||}$$
, where  $s \in [-1, 1]$ 

## Question 2.2: Polysemous Words (5 points) [code + written]

Find a <u>polysemous (https://en.wikipedia.org/wiki/Polysemy)</u> word (for example, "leaves" or "scoop") such that the top-10 most similar words (according to cosine similarity) contains related words from *both* meanings. For example, "leaves" has both "vanishes" and "stalks" in the top 10, and "scoop" has both "handed\_waffle\_cone" and "lowdown". You will probably need to try several polysemous words before you find one. Please state the polysemous word you discover and the multiple meanings that occur in the top 10. Why do you think many of the polysemous words you tried didn't work?

**Note**: You should use the wv\_from\_bin.most\_similar(word) function to get the top 10 similar words. This function ranks all other words in the vocabulary with respect to their cosine similarity to the given word. For further assistance please check the <a href="GenSim documentation">GenSim documentation</a> (<a href="https://radimrehurek.com/gensim/models/keyedvectors.html#gensim.models.keyedvectors.F">https://radimrehurek.com/gensim/models/keyedvectors.html#gensim.models.keyedvectors.F</a>

#### Write your answer here.

I tried several words such as, 'duck', 'love', 'queen', and 'luck'. For instance, 'duck' can refer to a bird or to bend down. However, in my top 10 words 'bend down' doesnt appear. This may be caused because the word doesn't exist in the corpus. Secondly, the words might be used in

differnet context which cannot be found using cosine similarity.

## Question 2.3: Synonyms & Antonyms (5 points) [code + written]

When considering Cosine Similarity, it's often more convenient to think of Cosine Distance, which is simply 1 - Cosine Similarity.

Find three words (w1,w2,w3) where w1 and w2 are synonyms and w1 and w3 are antonyms, but Cosine Distance(w1,w3) < Cosine Distance(w1,w2). For example, w1="happy" is closer to w3="sad" than to w2="cheerful".

Once you have found your example, please give a possible explanation for why this counter-intuitive result may have happened.

You should use the the wv\_from\_bin.distance(w1, w2) function here in order to compute the cosine distance between two words. Please see the <u>GenSim documentation</u> (<a href="https://radimrehurek.com/gensim/models/keyedvectors.html#gensim.models.keyedvectors.F">https://radimrehurek.com/gensim/models/keyedvectors.html#gensim.models.keyedvectors.F</a> for further assistance.

Synonyms happy, smile have cosine distance: 0.6635141670703888 Antonyms happy, sad have cosine distance: 0.46453857421875

#### Write your answer here.

The counter-intutive example here: happy, smile and sad has a cosine distance of 0.66 and 0.464. This may have been cause happy and sad appear together multiple times instead of happy and smile. Thus, the cosine distance is smaller for the first case.

## **Solving Analogies with Word Vectors**

Word2Vec vectors have been shown to *sometimes* exhibit the ability to solve analogies.

As an example, for the analogy "man: king:: woman: x", what is x?

In the cell below, we show you how to use word vectors to find x. The <code>most\_similar</code> function finds words that are most similar to the words in the <code>positive</code> list and most dissimilar from the words in the <code>negative</code> list. The answer to the analogy will be the word ranked most similar (largest numerical value).

**Note:** Further Documentation on the <code>most\_similar</code> function can be found within the <code>GenSim</code> documentation

(https://radimrehurek.com/gensim/models/keyedvectors.html#gensim.models.keyedvectors.F

## Question 2.4: Finding Analogies [code + written] (10 Points)

Find an example of analogy that holds according to these vectors (i.e. the intended word is ranked top). In your solution please state the full analogy in the form x:y :: a:b. If you believe the analogy is complicated, explain why the analogy holds in one or two sentences.

Note: You may have to try many analogies to find one that works!

#### Write your answer here.

My analogy -- milk: cow :: wool:sheep. In fact sheep does appear in the most smiliar list.

## Question 2.5: Incorrect Analogy [code + written] (5 point)

Find an example of analogy that does *not* hold according to these vectors. In your solution, state the intended analogy in the form x:y :: a:b, and state the (incorrect) value of b according to the word vectors.

#### Write your answer here.

This produces an incorrect analogy as I expected an animal which appears on land. Instead of an animals it lists out property name.

# Question 2.6: Guided Analysis of Bias in Word Vectors [written] (5 point)

It's important to be cognizant of the biases (gender, race, sexual orientation etc.) implicit to our word embeddings.

Run the cell below, to examine (a) which terms are most similar to "woman" and "worker" and most dissimilar to "man", and (b) which terms are most similar to "man" and "worker" and most dissimilar to "woman". What do you find in the top 10?

```
In [74]:
          # Run this cell
             # Here `positive` indicates the list of words to be similar to and `negative`
             # most dissimilar from.
             pprint.pprint(wv from bin.most similar(positive=['woman', 'worker'], negative
             print()
             pprint.pprint(wv from bin.most similar(positive=['man', 'worker'], negative=[
             [('workers', 0.6582455635070801),
              ('employee', 0.5805293917655945),
              ('nurse', 0.5249922275543213),
              ('receptionist', 0.5142489671707153),
              ('migrant worker', 0.5001609921455383),
              ('Worker', 0.4979270398616791),
              ('housewife', 0.48609834909439087),
              ('registered_nurse', 0.4846191108226776),
              ('laborer', 0.48437267541885376),
              ('coworker', 0.48212409019470215)]
             [('workers', 0.5590360164642334),
              ('laborer', 0.54481041431427),
              ('foreman', 0.5192232131958008),
              ('Worker', 0.5161596536636353),
              ('employee', 0.5094279646873474),
              ('electrician', 0.49481216073036194),
              ('janitor', 0.48718899488449097),
              ('bricklayer', 0.4825313091278076),
              ('carpenter', 0.47498995065689087),
              ('workman', 0.4642517864704132)]
```

#### Write your answer here.

We find a gender bias where work professions associated with women are: housewife, nurse or migrant worker whereas work professions associated with man are: laborers, carpenter or bricklayer. These are stereotype professions that the model predicts based off of corpus data.

# Question 2.7: Independent Analysis of Bias in Word Vectors [code + written] (10 points)

Use the <code>most\_similar</code> function to find another case where some bias is exhibited by the vectors. Please briefly explain the example of bias that you discover.

```
In [75]:
             # Write your bias exploration code here.
             pprint.pprint(wv from bin.most similar(positive=['girl', 'color'], negative=[
             print()
             pprint.pprint(wv_from_bin.most_similar(positive=['boy','color'], negative=['g
             [('Color', 0.6222707033157349),
              ('TEXOMA_Water', 0.6211729645729065),
              ('colors', 0.6011192798614502),
              ('skintone', 0.575626015663147),
              ('RICHLAND_CHAMBERS_Water', 0.570656418800354),
              ('color_palette', 0.5706079006195068),
              ('hues', 0.5677833557128906),
              ('vibrant_colors', 0.566733181476593),
              ('blues_grays', 0.5501476526260376),
              ('pinks reds', 0.5486146807670593)]
             [('colors', 0.6282981634140015),
              ('Color', 0.5976090431213379),
              ('RICHLAND CHAMBERS Water', 0.5708832740783691),
              ('TEXOMA Water', 0.5642027854919434),
              ('colored', 0.5428999662399292),
              ('coloration', 0.5374217629432678),
              ('browns_grays', 0.5305944681167603),
              ('vibrant_colors', 0.5283904671669006),
              ('reds yellows', 0.5260844230651855),
              ('reds greens', 0.5242775082588196)]
```

#### Write your answer here.

For this example, we see that color biases in gender. For girls it has pink/skintone where as for boys it has red and yellow, which is a common stereotype in society that girls often like pink color.

## Question 2.8: Thinking About Bias [written] (5 point)

What might be the cause of these biases in the word vectors?

#### Write your answer here.

The baises in the word might be caused by the corpus data itself. The corpus reflects the society with certain biases towards men or women which is reflected in our model.

```
In [ ]: 🔰
```