

Proof by existence

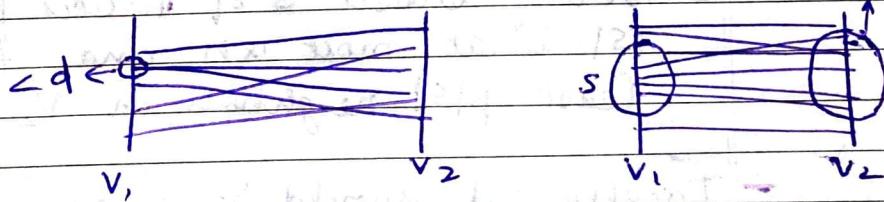
Statement 1: If a R.V has a finite expected value  $E[X] = a$ , then certainly there exists a realisation of  $X$  with value  $\geq a$  and a realisation of  $X$  with value  $\leq a$ .

Statement 2: If a random object drawn from some universe of objects has a certain property with non-zero prob. then there must exist an object with that property in that universe.

Example to study st. 2:

$\alpha, \beta, n, d$ -Expander: A bipartite graph  $G = (V_1 \cup V_2, E)$  on ' $n$ ' nodes is an  $(\alpha, \beta, n, d)$  expander if

- ① every vertex in  $V_1$  has degree at most  $d$
- ② For any subset  $S$  of vertices in  $V_1$  such that  $|S|$  is at most  $\alpha n$ , there are at least  $\beta |S|$  neighbours in  $V_2$ .



$$|V_1| = |V_2| = n$$

Ideally,  $d$  should be small &  $\beta$  as large as possible.

Study how statement 2 helps -

EXPANDER -  $(\alpha, \beta, n, d)$

↳ a bipartite graph  $G = (V_1 \cup V_2, E)$ .

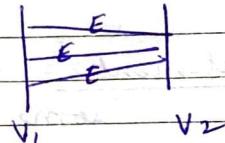
on  $n$  nodes  
is an  $(\alpha, \beta,$   
 $n, d)$  expander  
if -

① Every vertex in  
 $V_1$  has degree  
at most  $d$ .

$$E \subseteq V_1 \times V_2$$

(subset)

so no edges within  $V_1 \cup V_2$



$$|V_1| = |V_2| = n$$

what are  $\alpha$  and  $\beta$  ?

② For any subset  $S$  of vertices from  $V_1$ , st.  
 $|S|$  is at most  $\alpha n$  and there are at  
least  $\beta |S|$  neighbors in  $V_2$ . ★

- Ideally,  $d$  should be small &  $\beta$  as large as  
possible

★ Advantage of expanders = no matter where the sources are, you can reach out to large portion of destinations very quickly also = very low condit" if the underlying graph is an expander.

How do we construct these expander graphs?

- we use randomisation to build expanders but verification that it is a expander is difficult.
- difficult in deterministic manner.
- So simple randomisat" construct" & its verificat"

$$\text{No. of possible } S \text{ sets} = \sum_{i=1}^{2^n} \binom{n}{i}$$

in our eg.  $d = 18$   
 $\alpha = 1/3$

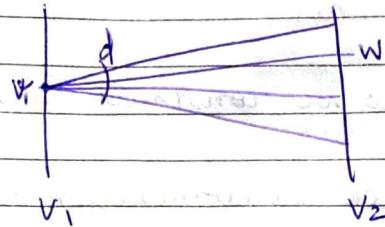
$\beta = 2$   
 $\times$  any value of ' $n$ '

so  $i = 1$  to  $n/3$   
 (very large)  
 ↴ not possible  
 to verify for  
 each set

Proof: (PTO)

Let each vertex  $v_i$  in  $V_1$  choose  $d$  neighbors in  $V_2$  by sampling independently and uniformly at random.

$$\Pr(\text{of picking } w \text{ in a round}) = \frac{1}{n}$$



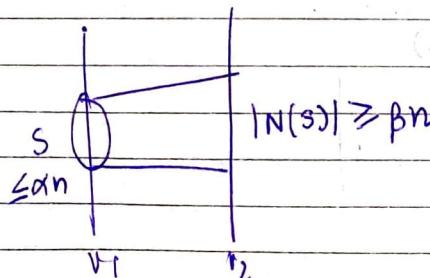
→ sample w replacement

↳ so 'w' can be picked more than once but we will keep only one copy of edge b/w  $v_1$  &  $w$

So,  $\deg(v_1) \leq d$   
where  $d$  is the no. of choices

⇒ each vertex has degree at most 'd'

$$2. |N(s)| \geq \beta|S|$$



Let us fix a parameter  $s \leq \alpha n$

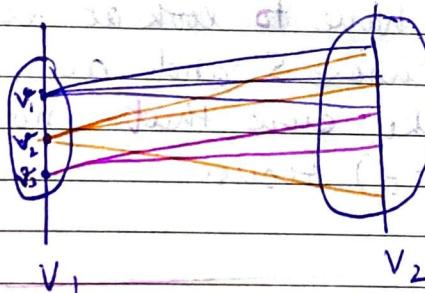
Consider any subset  $S$  of  $V_1$  size  $|S| = s$

Let  $T$  be any subset of  $V_2$  of size  $|T| = \beta s$

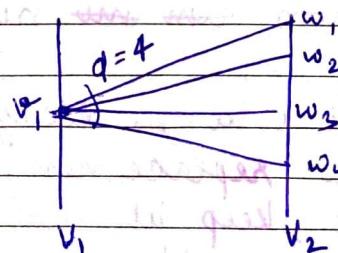
Now, consider the event that all the neighbours of  $S$  are in  $T - \{E_{ST}\}$

$E_{ST}$  is a bad event. because it means the neighbours have size at most  $\beta s$ , but we want  $|T| \geq \beta s$  (at least).

So,



So, let us look at how these  $v_i$  made these choices. Let us look at  $v_i$ :  
s.t. all in set  $T$ .



So,  $P(\text{at a trial } v_1 \text{ picks only within set } T)$   
                   ( $\frac{\text{one of }}{d \text{ trials}}$ )

$$= \frac{1}{n} \cdot |T| = \frac{|T|}{n}$$

Now, this choice was made 'd' times

$$\text{so, } \left(\frac{|T|}{n}\right)^d$$

Now, not only  $v_1$  all vertices in set S did this —

$$\text{So, } \Pr(E_T) = \left(\left(\frac{|T|}{n}\right)^d\right)^s = \left(\frac{|T|}{n}\right)^{ds} \quad (\text{i})$$

→ We now have to look at all possible ways to choose S and all possible T and make sure that no such bad event ( $E_T$ ) occurs

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why replacement allowed?

→ otherwise to find prob.

we have to subtract one from sum num. & denom.

|

it will be messy.

and with replacement we will be able to keep "at most d" expanded statement/requirement

So, now we want to find  $\Pr$  that no  $E_S$  occurs.

Many ways of choosing  $S$  ( $|S|=8$ )

$$= {}^n C_8$$

ways of choosing  $T$ .  $= {}^n C_{|T|}$

so many possible  $E_T$  and we are looking at the union of these events :  
(to see if at least one  $E_T$  happens) — and then we don't want this to happen.

Let us use Boole's inequality to upper bound the prob. of the event that for some  $S$  all its neighbours in  $T$ .

$$E_S = \bigcup_{S,T} E_{ST} \quad \text{--- (ii)}$$

$$\Pr(E_S) \leq \sum_{S,T} \Pr(E_{ST})$$

$$\Pr(E_S) \leq {}^n C_8 \cdot {}^n C_{|T|} \Pr(E_{ST})$$

$$\Pr(E_S) \leq {}^n C_8 \cdot {}^n C_{|T|} \left(\frac{|T|}{n}\right)^{|T|}$$

simplifying this using the Stirling inequality/approximation

$\rightarrow (E = \bigcup_S E_S)$  because in eq. we fixed  $S$  but our condition said  $S$  could be  $1$  to  $n$ . Teacher's Signature

## Stirling approximation

for any  $n \times k$  ( $1 \leq k \leq n$ )

$${}^n C_k \leq (\ln/k)^k$$

So,

$$\begin{aligned} P(E_s) &\leq {}^n C_s \cdot {}^n C_{\beta s} \cdot \left(\frac{\beta s}{n}\right)^{\beta s} \\ &\leq \left(\frac{\ln}{\beta}\right)^{\beta} \times \left(\frac{\ln}{\beta\beta}\right)^{\beta\beta} \times \left(\frac{\beta s}{n}\right)^{\beta s} \end{aligned}$$

$$\leq e^{s+\beta\beta} \cdot n^{s+\beta\beta-\beta s} \cdot \beta^{\beta s-\beta\beta} \cdot \beta^{d\beta-s-\beta\beta}$$

$$\leq (e^{1+\beta} \cdot n^{1+\beta-d} \cdot \beta^{d-\beta} \cdot \beta^{d-1-\beta})^{\beta}$$

$$\leq \left[ \left( \frac{s}{n} \right)^{d-\beta-1} \cdot e^{1+\beta} \cdot \beta^{d-\beta} \right]^{\beta} \quad \text{--- (iv)}$$

a little insight on how we prove existence of expander -  $\alpha, \beta, n, d =$

$$\therefore E_s = \bigcup_{S,T} E_{S,T}$$

$$\text{and } E = \bigcup_s E_s$$

we want  $\Pr(E) < 1$ . Why?

Because  $\bar{E}$  (read  $\bar{E}$ -complement) is a good event. and  $\bar{E} = 1 - P(E)$

so, if  $\Pr(E) < 1$  we can say

$\Pr(\bar{E}) > 0$  and by st. 2 we can say it exists.

Now, using ' $s \leq \alpha n$ ' for  $\alpha = 1/3$

$$s \leq \alpha n$$

$$\frac{s}{n} \leq \alpha = \frac{1}{3} \quad (\text{v})$$

(v) in (iv)

$$P(E_s) \leq \left[ \left(\frac{1}{3}\right)^{d-\beta-1} \cdot e^{1+\beta} \cdot \beta^{\beta-d} \right]^\beta$$

~~$$s \leq \left(\frac{1}{3}\right)^{-\beta} \cdot e^{1+\beta} \cdot \left(\frac{\beta}{3}\right)^{d-\beta}$$~~

$$\leq \left[ \left(\frac{1}{3}\right)^{-(1+\beta)} \cdot e^{1+\beta} \cdot \left(\frac{\beta}{3}\right)^d \cdot \beta^{-\frac{1}{\beta}} \right]^\beta$$

$$\leq \left[ (3e)^{1+\beta} \cdot \left(\frac{\beta}{3}\right)^d \right]^\beta$$

$\downarrow$   
when  
 $\beta > 1$ ,  
more terms  
is a fraction

$$(d=18, \beta=2)$$

$$\leq \left[ \left(\frac{2}{3}\right)^{18} \cdot (3e)^3 \right]^\beta$$

$$(e < 3, (3e)^3 \leq 3^6)$$

$$\leq \left( \left(\frac{2}{3}\right)^{18} \cdot 3^6 \right)^\beta$$

$$\leq (2^{18} \cdot 3^{-12})^\beta$$

$$\leq (1/2)^\beta$$

(if  $\beta \uparrow$ ,  $d \uparrow$ )



We used specific's. But, we need to show the result for all  $s$  b/w 1 to  $\alpha_n$ .  
Apply Boole's inequality

$$\Pr_s(E) \leq \sum_{s=1}^{\alpha_n} \Pr_s(E_s)$$

(vi) in (v)

$$\leq \sum_{s=1}^{\alpha_n} \left(\frac{1}{2}\right)^s$$

$$\leq \frac{1}{2} - \left(\frac{1}{2}\right)^{\alpha_n+1}$$

$$1 - \left(\frac{1}{2}\right)^{\alpha_n+1}$$

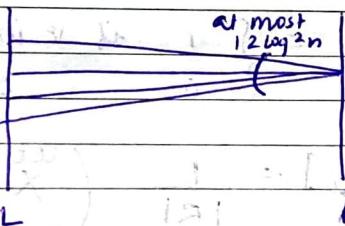
$$s < 1$$

## Another Example

Bipartite graph  $G = (L \cup R, E)$  such that  
 $|L| = n$   
 $|R| = 2^{\log_2 n}$   $\Rightarrow$   $80, |L| \neq |R|$

Condition 1: Every subset of  $n/2$  vertices of  $L$  has at least  $2^{\log_2 n} - n$  neighbours in  $R$ .  $\Rightarrow |N(S)| \geq \frac{2^{\log_2 n}}{2} - n$

Condition 2: No vertex of  $R$  has more than  $12 \log_2 n$  neighbours.



\* This means except  $n/2$  vertices in  $R$  all other vertices in  $R$  are neighbours of set  $S$ . ( $|S| = n/2$ )

Use Proof by existence to prove =

(in) is the experiment we will do -

$\rightarrow$  let every vertex of  $L$  choose  $d$  neighbours in  $R$  independently and uniformly at random.

$\rightarrow$  choices are made with replacement

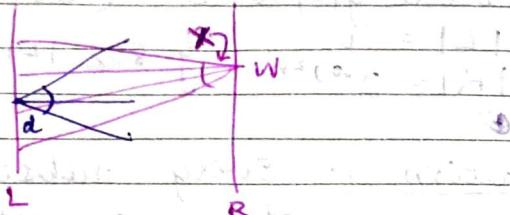
$\rightarrow$  Multiple edges are dropped in favour of one edge.

Cond 2: Consider any vertex  $w$  in  $R$ . Show that  $E(\deg(w)) = 4 \log^2 n$

Chernoff  $\rightarrow$

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$\deg(w) \leq 12 \log^2 n$ :  
Find a 'd' for the above to happen.



Every  $v \in L$  makes 'd' choices.

$$E[\deg(w)] = ?$$

$$X_{v,i} = \begin{cases} 1 & \text{if } v \text{ in } i\text{th choice picks } w \\ 0 & \text{otherwise} \end{cases}$$

$$E[X_{v,i}] = \frac{1}{|L|} \quad (\text{let } X = \sum_{v \in L} \sum_{i=1}^d X_{v,i})$$

$$\deg(w) \leq X$$

considering replacement

$$E[X] = \sum_{v \in L} \sum_{i=1}^d E[X_{v,i}] \quad \left. \begin{array}{l} \text{so } X \text{ is all} \\ \text{vertices that} \\ \text{chose } w \\ \Rightarrow \deg(w) \end{array} \right\}$$

$$n = |L|$$

$$E[X] = n \times d \times \frac{1}{2 \log^2 n}$$

(We need to find a  $d$  s.t.  $E(\deg(w)) = 4 \log^2 n$ )

$$\text{So, } n \times d \times \frac{1}{2 \log^2 n} = 4 \log^2 n$$

$$\Rightarrow d = \frac{4 \log^2 n \times 2 \log^2 n}{n}$$

Teacher's Signature

Applying Chernoff bound -

$$\begin{aligned} & \Pr(X_w \geq 12 \log^2 n) \\ &= \Pr(X_w \geq 3 \cdot E[X]) \leftarrow \frac{(s+1)4}{(2+1)4} \\ &\leq e^{-\frac{1}{2} \cdot 12 \log^2 n} \\ &= e^{-4 \log^2 n \times 2 \ln 2} \end{aligned}$$

(But here  $X$  is defined only for  $w$ )  
So, we use BOOLE'S INEQUALITY

$$\begin{aligned} \Pr(\text{some } w \text{ has degree } \geq 12 \log^2 n) &= \Pr(\bigcup_{w \in R} X_w) \\ &\leq |R| e^{-4 \log^2 n \cdot 2 \ln 2} \quad [|R| = 2^{\log^2 n}] \\ &\leq e^{-3 \log^2 n} \\ &\leq \frac{1}{n^c} \end{aligned}$$

Cond 1: Every subset of  $L$  of size  $\leq n/2$  has  
 $\geq$  (at least)  $2^{2 \log^2 n - n}$  neighbours in  $R$ .

Pick a subset  $S$  of size  $n/2$  in  $L$   
 and pick a subset  $T$  of size  $2^{\log^2 n - n}$  in  $R$

Now, we are interested in the event

$$E_{ST} = \{N(S) \subseteq T\}$$

$$\Pr(E_{S,T}) = \left( \frac{|T|}{|R|} \right)^{\frac{d \times n}{2}}$$

$$\Pr(E_{S,T}) = \left( 1 - \frac{n}{|R|} \right)^{\frac{dn}{2}} \quad (\because |T| = |R|-n)$$

# ways of choosing  $S : {}^n C_{n/2}$

# ways of choosing  $T : {}^{2\log^2 n} C_{2\log^2 n - n}$

$$E_S = \bigcup_{S,T} E_{S,T} \quad (\text{Boole's})$$

$$\Pr(E_S) \leq \sum_{S,T} \Pr(E_{S,T})$$

$$\Pr(E_S) \leq {}^n C_{n/2}^{|R|} C_{|R|-n} \Pr(E_{S,T})$$

$$\Pr(E_S) \leq {}^n C_{n/2}^{|R|} C_n \Pr(E_{S,T})$$

$$\Pr(E_S) \leq {}^n C_{n/2}^{|R|} C_n \left( 1 - \frac{n}{|R|} \right)^{\frac{dn}{2}}$$

$$\Pr(E_S) \leq (2e)^{n/2} \left( e \frac{|R|}{n} \right)^n e^{-\frac{n^2 d}{2|R|}}$$

Substitute  $d$

$$e^{-\frac{n^2 d}{2|R|}} \quad d = \frac{|R|}{12} 4 \log^2 n$$

$$e^{-\frac{n^2 d}{2|R|}} \quad d = \frac{|R|}{12} 4 \log^2 n$$

$$e^{-\frac{n^2 d}{2|R|}} \quad d = \frac{|R|}{12} 4 \log^2 n$$

I have written in algorithm and see, with

$$\{ T \in \{2\}^n \} = \tau_2 \Theta$$

$$\rightarrow 2^{\log^2 n} = n^{\log n} = e^{\log^2 n}$$

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$$\leq 2^{n/2} \cdot e^{\frac{n}{2} + n - \frac{n}{2} \cdot 2 \log^2 n} \cdot (R)^n \cdot n^{-n}$$

~~$\cdot e^{\log^2 n} \leftarrow (n^{\log n})^n \leftarrow (2^{\log^2 n})^n \cdot e^{-n \log n}$~~

$$\leq 2^{n/2} \cdot e^{\frac{n}{2} + n - \frac{n}{2} \cdot 2 \log^2 n + n \log^2 n - n \log n}$$

(replace  $2 \approx e$ )

$$\leq e^{n+n-2n \log^2 n + n \log^2 n - n \log n}$$

~~Let  $O(n^2 \log^2 n)$~~

$$\leq e^{2n - n \log^2 n - n \log n}$$

~~$\frac{1}{n^{O(1)}}$~~   $\leq e^{-\frac{n}{2} \log^2 n}$  (How?)

So,  $-\frac{n}{2} \log^2 n$  will cancel  $2n - n \log n$

and  $-\frac{n}{2} \log^2 n$  will remain

$$\leq \frac{1}{n^{O(1)}} \Rightarrow P(E) < 1$$

$$\Rightarrow P(E) > 0$$