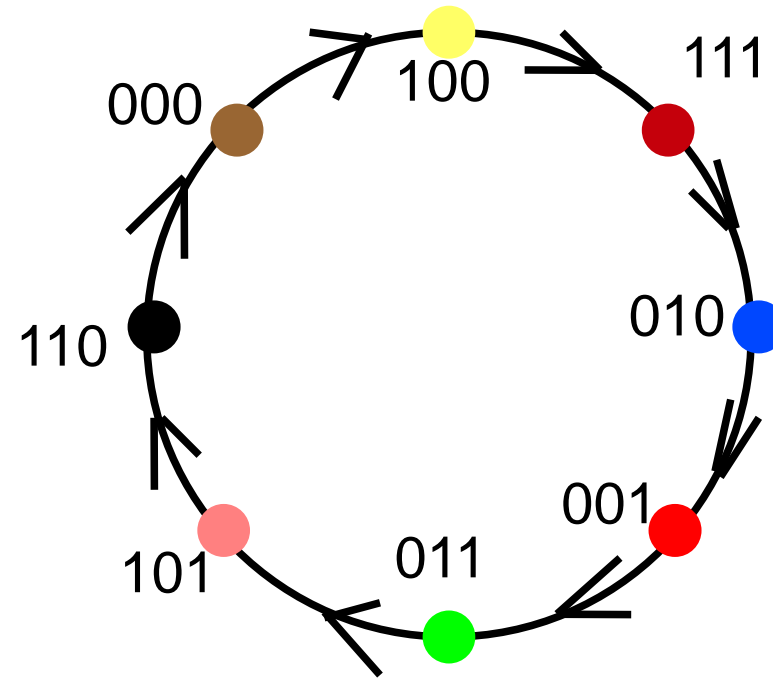


# Symmetry Breaking

- A way to induce differences between like (symmetric) participants.
- Useful in applications such as graph coloring
  - Generally, difficult using deterministic techniques.
    - Need randomization
- Special cases where fast, deterministic symmetry breaking can be achieved.
  - Linked lists and directed cycles are an example.

# Coloring by Symmetry Breaking

- Consider a directed cycle of  $n$  nodes numbered 1 to  $n$ .
- Treat the number of the node as its initial color.
- Can reduce colors to  $\log n$  in one step.
  - Every node  $u$  compares its color with that of the successor, and recolors as:
    - $\text{Newcolor}(u) = 2k + \text{color}(u)_k$
    - $k$  is the index of the first bit position that  $u$  and  $v$  differ from LSB
    - E.g., for 101 and 111,  $k = 1$ .



$$\text{color}(u): \quad 0110\underline{1}01$$

$$i: 2 \quad \text{color}(u)_2 = 1$$

$u$  is a node

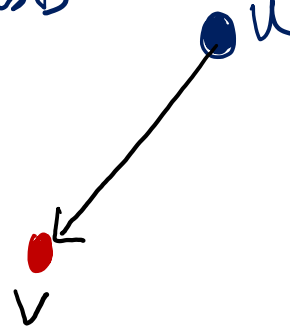
$\text{color}(u)$  : color value in binary

$\text{color}(u)_i$  :  $i^{\text{th}}$  bit from LSB in  $\text{color}(u)$

$$\text{color}(u): 0110\underline{1}01$$

$$\text{color}(v): 110\underline{1}01$$

$k \leftarrow \text{LSB}$

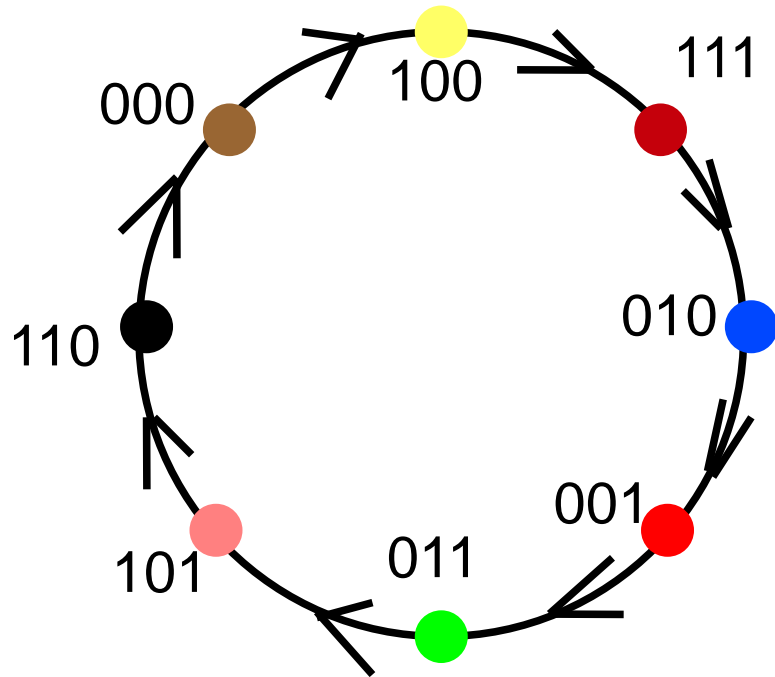


index of  
LSB = 0

$$k = 1$$

$$\underline{\underline{\text{color}(u)_k = 0}}$$

# Coloring by Symmetry Breaking

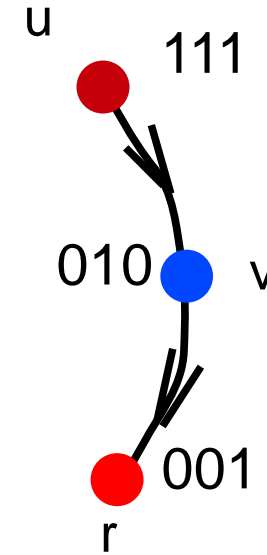


u	v	New Color(u)
110	000	11 (k = 1)
000	100	100 (k = 2)
100	111	00 (k = 0)
010	001	00 (k = 0)
001	011	10 (k = 1)
011	101	11 (k = 1)
111	010	01 (k = 0)
101	110	01 (k = 0)

- Consider a directed cycle of  $n$  nodes numbered 1 to  $n$ .
- Treat the number of the node as its initial color.
- Can reduce colors to  $\log n$  in one step.
  - Compare its color with the successor,  $\text{Newcolor}(u) = 2k + \text{color}(u)_k$
  - $k$  is the index of the first bit position that  $u$  and  $v$  differ from LSB

# Coloring by Symmetry Breaking

- **Claim:** The new colors are valid.
- **Proof:** Suppose that for  $u$  and  $v$  such that  $u \neq v$ ,  $\text{NewColor}(u) = \text{NewColor}(v)$ .
- Let  $\text{NewColor}(u) = 2k + \text{color}(u)_k$ , and  $\text{NewColor}(v) = 2r + \text{color}(v)_r$ .
- Let  $k = r$ . However,  $\text{color}(u)_k \neq \text{color}(v)_k$ . Why?
- Let  $k \neq r$ . Then,  $\text{color}(u)_k - \text{color}(v)_r = 2(r - k)$ .
  - The LHS has an absolute value of at most 1 and the RHS has an absolute value of at least 2.



# Coloring by Symmetry Breaking

- In one iteration, can reduce the number of colors from  $n$  to  $2\log n - 1 < 2 \log n$ .
  - Initial colors are  $\log n$  bits
  - New colors are only  $1 + \lceil \log \log n \rceil$  bits.
- Can we repeat again?
  - Yes.
  - Reduces number of bits from  $t$  to  $1 + \lceil \log t \rceil$ .
  - But, at some point  $t < 1 + \lceil \log t \rceil$ . No advantage any further.
  - Happens at  $t = 3$ .
- So, repeat till only 8 colors are used.

# Coloring by Symmetry Breaking

- At that point, can still reduce the number of colors as follows:
- For  $i = 8$  downto 3 in sequence
  - If node  $u$  is colored  $i$ , then  $u$  chooses a color among  $\{1,2,3\}$  that is not same as the colors of its neighbors.
- Possible to do so. Why?

# Coloring by Symmetry Breaking

- At that point, can still reduce the number of colors as follows:
- For  $i = 8$  downto 3 in sequence
  - If node  $u$  is colored  $i$ , then  $u$  chooses a color among  $\{1,2,3\}$  that is not same as the colors of its neighbors.
- Possible to do so. Why?
  - Each node has only two neighbors.
  - So, only some two colors amongst  $\{1,2,3\}$  can be used up already.



# Coloring by Symmetry Breaking

- Total time analyzed as follows:
  - Each iteration of symmetry breaking reduces number of bits from  $t$  to  $1 + \lceil \log t \rceil$ .
  - The recurrence relation is  $T(n) = T(\log n) + 1$
  - Solution:  $T(n) = O(\log^* n)$ .
  - In the next phase, only 5 iterations.
  - So, overall time =  $O(\log^* n)$
- Work however is  $O(n \log^* n)$ .
- $\log^* n = i$  such that
$$\underbrace{\log(\log(\dots(\log n)))}_i = 1;$$

- The algorithm extends to lists and rooted trees also.

# Coloring to Independent Sets

- For bounded degree graphs colored with  $O(1)$  colors, a coloring is equivalent to finding a large independent set.
- Iterate on each color and count the number of nodes with a given color.
- Pick the subset of like colored nodes of the largest size.
  - Clearly, an independent set.
  - Has a size of at least a fraction of  $n$ .