

# Inference on FDs

---

- Closures
- Determining Keys
- Minimal Covers

## ❖ Closures

---

Given a set  $F$  of *fds*, how many new *fds* can we derive?

For a finite set of attributes, there must be a finite set of derivable *fds*.

The largest collection of dependencies that can be derived from  $F$  is called the **closure** of  $F$  and is denoted  $F^+$ .

Closures allow us to answer two interesting questions:

- is a particular dependency  $X \rightarrow Y$  derivable from  $F$ ?
- are two sets of dependencies  $F$  and  $G$  equivalent?

## ❖ Closures (cont)

For the question "is  $X \rightarrow Y$  derivable from  $F$ ?" ...

- compute the closure  $F^+$ ; check whether  $X \rightarrow Y \in F^+$

For the question "are  $F$  and  $G$  equivalent?" ...

- compute closures  $F^+$  and  $G^+$ ; check whether they're equal

Unfortunately, closures can be very large, e.g.

$R = ABC, \quad F = \{AB \rightarrow C, C \rightarrow B\}$

$F^+ = \{A \rightarrow A, AB \rightarrow A, AC \rightarrow A, AB \rightarrow B, BC \rightarrow B, ABC \rightarrow B,$   
 $C \rightarrow C, AC \rightarrow C, BC \rightarrow C, ABC \rightarrow C, AB \rightarrow AB, \dots, \dots,$   
 $AB \rightarrow ABC, AB \rightarrow ABC, C \rightarrow B, C \rightarrow BC, AC \rightarrow B, AC \rightarrow AB\}$

## ❖ Closures (cont)

Algorithms based on  $F^+$  rapidly become infeasible.

To solve this problem ...

- use closures based on sets of attributes rather than sets of *fds*.

Given a set  $X$  of attributes and a set  $F$  of *fds*, the **closure** of  $X$  (denoted  $X^+$ ) is

- the largest set of attributes that can be derived from  $X$  using  $F$

Determining  $X^+$  from  $\{X \rightarrow Y, Y \rightarrow Z\} \dots X \rightarrow XY \rightarrow XYZ = X^+$

For computation,  $|X^+|$  is bounded by the number of attributes.

## ❖ Closures (cont)

---

Algorithm for computing attribute closure:

**Input:**  $F$  (set of FDs),  $X$  (starting attributes)

**Output:**  $X^+$  (attribute closure)

```
Closure = X
while (not done) {
    OldClosure = Closure
    for each  $A \rightarrow B$  such that  $A \subset \text{Closure}$ 
        add  $B$  to Closure
    if (Closure == OldClosure) done = true
}
```

## ❖ Closures (cont)

For the question "is  $X \rightarrow Y$  derivable from  $F$ ?" ...

- compute the closure  $X^+$ , check whether  $Y \subset X^+$

For the question "are  $F$  and  $G$  equivalent?" ...

- for each dependency in  $G$ , check whether derivable from  $F$
- for each dependency in  $F$ , check whether derivable from  $G$
- if true for all, then  $F \Rightarrow G$  and  $G \Rightarrow F$  which implies  $F^+ = G^+$

For the question "what are the keys of  $R$  implied by  $F$ ?" ...

- find subsets  $K \subset R$  such that  $K^+ = R$

## ❖ Determining Keys

Example: determine primary keys for each of the following:

1.  $FD = \{A \rightarrow B, C \rightarrow D, E \rightarrow FG\}$

- A?  $A^+ = AB$ , so no ... AB?  $AB^+ = ABCD$ , so no
- ACE?  $ACE^+ = ABCDEFG$ , so yes!

2.  $FD = \{A \rightarrow B, B \rightarrow C, C \rightarrow D\}$

- B?  $B^+ = BCD$ , so no ... A?  $A^+ = ABCD$ , so yes!

3.  $FD = \{A \rightarrow B, B \rightarrow C, C \rightarrow A\}$

- A?  $A^+ = ABC$ , so yes! ... B?  $B^+ = ABC$ , so yes!

## ❖ Minimal Covers

For a given application, we can define many different sets of *fds* with the same closure (e.g.  $F$  and  $G$  where  $F^+ = G^+$ )

Which one is best to "model" the application?

- any model has to be complete (i.e. capture entire semantics)
- models should be as small as possible  
(we use them to check DB validity after update; less checking is better)

If we can ...

- determine a number of candidate *fd* sets,  $F$ ,  $G$  and  $H$
- establish that  $F^+ = G^+ = H^+$
- we would then choose the smallest one for our "model"

Better still, can we *derive* the smallest complete set of *fds*?



## ❖ Minimal Covers (cont)

Minimal cover  $F_c$  for a set  $F$  of  $fd$ s:

- $F_c$  is equivalent to  $F$
- all  $fd$ s have the form  $X \rightarrow A$  (where  $A$  is a single attribute)
- it is not possible to make  $F_c$  smaller
  - either by deleting an  $fd$
  - or by deleting an attribute from an  $fd$

An  $fd$   $d$  is redundant if  $(F - \{d\})^+ = F^+$

An attribute  $a$  is redundant if  $(F - \{d\} \cup \{d'\})^+ = F^+$   
(where  $d'$  is the same as  $d$  but with attribute  $A$  removed)

## ❖ Minimal Covers (cont)

Algorithm for computing minimal cover:

**Inputs:** set  $F$  of  $fds$

**Output:** minimal cover  $F_C$  of  $F$

$F_C = F$

Step 1: put  $f \in F_C$  into canonical form

Step 2: eliminate redundant attributes from  $f \in F_C$

Step 3: eliminate redundant  $fds$  from  $F_C$

Step 1: put  $fds$  into canonical form

for each  $f \in F_C$  like  $X \rightarrow \{A_1, \dots, A_n\}$

    remove  $X \rightarrow \{A_1, \dots, A_n\}$  from  $F_C$

    add  $X \rightarrow A_1, \dots X \rightarrow A_n$  to  $F_C$

end

## ❖ Minimal Covers (cont)

Step 2: eliminate redundant attributes

```
for each  $f \in F_C$  like  $X \rightarrow A$ 
  for each  $b$  in  $X$ 
     $f' = (X - \{b\}) \rightarrow A$ ;     $G = F_C - \{f\} \cup \{f'\}$ 
    if  $(G^+ == F_C^+)$   $F_C = G$ 
  end
end
```

Step 3: eliminate redundant functional dependencies

```
for each  $f \in F_C$ 
   $G = F_C - \{f\}$ 
  if  $(G^+ == F_C^+)$   $F_C = G$ 
end
```

## ❖ Minimal Covers (cont)

Example: compute minimal cover

E.g.  $R = ABC$ ,  $F = \{A \rightarrow BC, B \rightarrow C, A \rightarrow B, AB \rightarrow C\}$

Working ...

- canonical *fds*:  $A \rightarrow B$ ,  $A \rightarrow C$ ,  $B \rightarrow C$ ,  $AB \rightarrow C$
- redundant attrs:  $A \rightarrow B$ ,  $A \rightarrow C$ ,  $B \rightarrow C$ ,  $AB \rightarrow C$
- redundant *fds*:  $A \rightarrow B$ ,  $A \rightarrow C$ ,  $B \rightarrow C$

This gives the minimal cover  $F_c = \{A \rightarrow B, B \rightarrow C\}$ .

Produced: 25 Mar 2021