SQL: Schemas, Queries, Updates, Views

- SQL
- Example Databases
- Example Database #1
- Example Database #2
- SQL Syntax
- SQL Keywords
- SQL Identifiers
- Constants in SQL
- SQL Data Types
- Tuple and Set Literals
- SQL Operators
- The **NULL** Value
- SQL: Schemas
- Relational Data Definition
- SQL Data Definition Language
- Defining a Database Schema
- Declaring Keys
- Other Attribute Properties
- Attribute Value Constraints
- Named Constraints
- SQL: Building Databases
- Creating Databases

- Data Modification in SQL
- Insertion
- Insertion from Queries
- Bulk Insertion of Data
- Deletion
- Semantics of Deletion
- Updates
- Changing Tables
- For More Details ...
- SQL: Queries
- Queries
- Queries in SQL
- SELECT Example
- Semantics of **SELECT**
- Projection in SQL
- Renaming via AS
- Expressions as Values in Columns
- Text in Result Table
- Selection in SQL
- Multi-relation **SELECT** Queries
- Semantics of Multi-Relation **SELECT**
- Name Clashes in Conditions
- Explicit Tuple Variables
- Explicit Joins
- Outer Join

- Subqueries
- The IN Operator
- The **EXISTS** Function
- Quantifiers
- Union, Intersection, Difference
- Bag Semantics of SQL
- Forcing Bag/Set Semantics
- Division
- Selection with Aggregation
- Aggregation operators
- Grouping
- Restrictions on **SELECT** Lists
- Eliminating Groups
- Partitions and Window Functions
- Complex Queries
- Recursive Queries
- SQL: Views
- Views
- Renaming View Attributes
- Using Views
- Updating Views
- Evaluating Views
- Materialized Views

SQL

SQL = Structured Query Language (sometimes called "sequel").

SQL is an ANSI/ISO standard language for querying and manipulating relational DBMSs.

Designed to be a "human readable" language comprising:

- data definition facilities
- database modification operations
- database query operations, including:
 - o relational algebra, set operations, aggregation, grouping, ...

SQL (cont)

SQL was developed at IBM (San Jose Lab) during the 1970's, and standardised in 1986.

DBMSs typically implement the SQL2 standard (aka SQL-92).

Unfortunately, they also:

- implement a (large) subset of the standard
- extend the standard in various "useful" ways

SQL (in some form) looks likely to survive in the next generation of database systems.

In these slides, we try to use only standard (portable) SQL2.

SQL (cont)

Since SQL2, there have been three new proposed standards:

SQL:1999 added e.g.

- boolean and BLOB types, arrays/rows, ...
- procedures programming constructs, triggers

- recursive queries
- OO-like objects, inheritance, ...

SQL:2003 ...

- standardised some SQL:1999 extensions
- added a standard for meta-data (catalogues)
- standardised stored procedures (SQL/PSM)
- added a new **MERGE** statement ("upsert")
- defined interfaces to C, Java, XML, object systems, ...

SQL:2008 added additional support for XML.

SQL (cont)

Major DBMSs (Oracle, DB2, SQLServer, PostgreSQL MySQL):

- implement most/all of SQL2
- implement much of SQL:1999

- implement some of SQL:2003
- omit difficult-to-implement features e.g. assertions

PostgreSQL ...

- implements almost all of SQL2 (see documentation)
- does not implement: recursive queries, assertions
- provides non-standard mechanisms for: updatable views
- currently has PLpgSQL, will also have SQL/PSM soon

SQL (cont)

SQL provides high-level, declarative access to data.

However, SQL is not a Turing-complete programming language.

Applications typically embed evaluation of SQL queries into PL's:

Java and the JDBC API

- PHP/Perl/Tcl and their various DBMS bindings
- RDBMS-specific programming languages (e.g. Oracle's PL/SQL, PostgreSQL's PLpgSQL)
- C and low-level library interfaces to DBMS engine (e.g. Oracle's OCI, PostgreSQL's libpq)

SQL (cont)

SQL's query sub-language is based on relational algebra.

Relational algebra:

- formal language of expressions mapping tables →tables
- comprising three basic operations ...
 - select: filter table rows via a condition on attributes
 - project: filter table columns by name
 - o join: combines two tables via a condition

- along with set operations (union, intersection, difference)
- and a variety of aggregates (including min(), max(), count(), etc)

SQL (cont)

Example relational algebra operations:

[Diagram:Pic/sql/relalg.png]

Example Databases

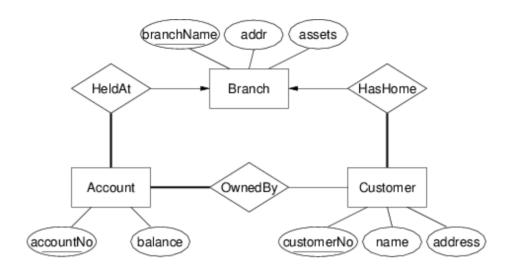
In order to demonstrate aspects of SQL, we use two databases:

- bank: customers, accounts, branches, ...
- beer: beers, bars, drinkers, ...

These databases are available for you to play with.

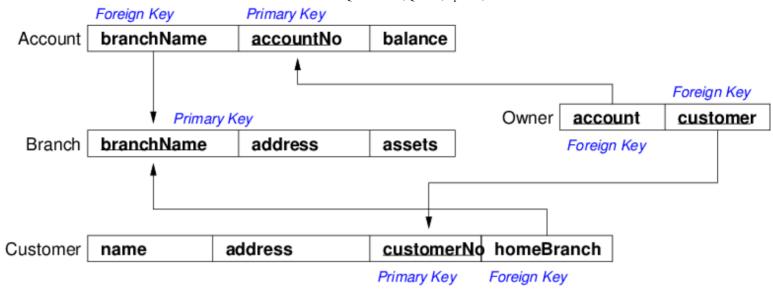
Example Database #1

ER design for a simple banking application:



Example Database #1 (cont)

Relational schema corresponding to the ER design:



We will use the following instance of this schema:

Branch relation/table instance:

branchName	address	assets
Clovelly	Clovelly Rd.	1000
Coogee	Coogee Bay Rd.	40000
Maroubra	Anzac Pde.	17000
Randwick	Alison Rd.	20000
UNSW	near Library	3000

Customer relation/table instance:

name	address	customerNo	homebranch
Adam Bob Chuck David George Graham Greg	Belmore Rd. Rainbow St. Clovelly Rd. Anzac Pde. Anzac Pde. Malabar Rd. Coogee Bay Rd.	12345 32451 76543 82199 81244 92754 22735	Randwick Coogee Clovelly UNSW Maroubra Maroubra Coogee
Jack	High St.	12666	Randwick

Example Database #1 (cont)

Account relation/table instance:

branchName	accountNo	balance
UNSW	U-245	1000
UNSW	U-291	2000
Randwick	R-245	20000
Coogee	C-123	15000
Coogee	C-124	25000

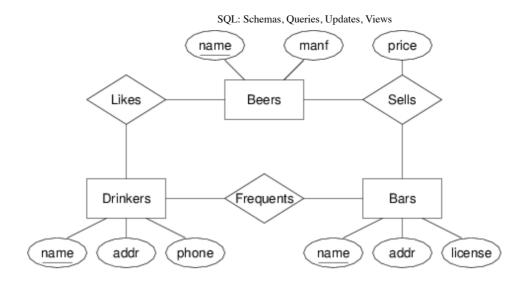
Clovelly	Y-123	1000
Maroubra	M-222	5000
Maroubra	M-225	12000

Owner relation/table instance:

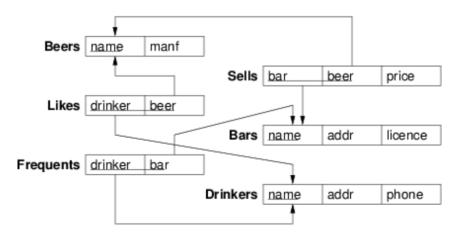
customer
r
12345
12345
12666
12666
32451
22735
76543
92754
12345

Example Database #2

ER design for beers/bars/drinkers database:



Relational schema corresponding to the ER design:



We will use the following instance of this schema:

Bars relation/table instance:

name	addr	license
Australia Hotel Coogee Bay Hotel	The Rocks Coogee	123456 966500
Lord Nelson	The Rocks	123888
Marble Bar	Sydney	122123
Regent Hotel	Kingsford	987654
Royal Hotel	Randwick	938500

Drinkers relation/table instance:

name	addr	phone
Adam Gernot John	Randwick Newtown Clovelly	9385-4444 9415-3378 9665-1234
Justin	Mosman	9845-4321

Beers relation/table instance:

name	manf
Bigfoot Barley Wine Burragorang Bock Crown Lager Fosters Lager Invalid Stout Melbourne Bitter New Old Old Admiral Pale Ale Premium Lager Red Sheaf Stout Sparkling Ale Stout Three Sheets	Caledonian Sierra Nevada George IV Inn Carlton Carlton Carlton Carlton Toohey's Toohey's Lord Nelson Sierra Nevada Cascade Toohey's Toohey's Cooper's Cooper's Lord Nelson
Victoria Bitter	Carlton

Frequents relation/table instance:

drinker	bar
Adam Gernot John John John John Justin	Coogee Bay Hotel Lord Nelson Coogee Bay Hotel Lord Nelson Australia Hotel Regent Hotel
Justin	Marble Bar

Example Database #2 (cont)

Likes relation/table instance:

drinker	beer
Adam Adam	Crown Lager Fosters Lager
Adam	New

Gernot	Premium Lager
Gernot	Sparkling Ale
John	80/-
John	Bigfoot Barley Wine
John	Pale Ale
John	Three Sheets
Justin	Sparkling Ale
Justin	Victoria Bitter

Sells relation/table instance:

bar	beer	price
Australia Hotel Coogee Bay Hotel	Burragorang Bock New	3.50 2.25
Coogee Bay Hotel	Old	2.50
Coogee Bay Hotel	Sparkling Ale	2.80
Coogee Bay Hotel	Victoria Bitter	2.30
Lord Nelson	Three Sheets	3.75
Lord Nelson	Old Admiral	3.75
Marble Bar	New	2.80
Marble Bar	Old	2.80
Marble Bar	Victoria Bitter	2.80
Regent Hotel	New	2.20

Regent Hotel	Victoria Bitter	2.20
Royal Hotel	New	2.30
Royal Hotel	Old	2.30
Royal Hotel	Victoria Bitter	2.30

SQL Syntax

SQL definitions, queries and statements are composed of:

- comments ... -- comments to end of line
- identifiers ... similar to regular programming languages
- keywords ... a large set (e.g. **CREATE**, **SELECT**, **TABLE**)
- data types ... a small set (e.g. integer, varchar, date)
- operators ... similar to regular programming languages
- constants ... similar to regular programming languages

Similar means "often the same, but not always ...

- 'John', 'blue', 'it''s' are strings
- "Students", "Really Silly!" are identifiers

SQL Syntax (cont)

While SQL identifiers and keywords are case-insensitive, we generally:

- write keywords in upper case (until it becomes annoying) e.g. **SELECT**, **FROM**, **WHERE**, **CREATE**, ...
- write relation names with an initial upper-case letter e.g. Customers, Students, Owns, EnrolledIn
- write attribute names in all lower-case
 e.g. id, name, partNumber, isActive

We follow the above conventions when writing programs.

We ignore the above conventions when typing in lectures.

SQL Keywords

A categorised list of frequently-used SQL92 keywords:

Querying	Defining Data	Changing Data
SELECT	CREATE	INSERT
FROM	TABLE	INTO
WHERE	INTEGER	VALUES
GROUP BY	REAL	UPDATE
HAVING	VARCHAR	SET
ORDER BY	CHAR	DELETE
DESC	KEY	DROP
EXISTS	PRIMARY	ALTER
IS NULL	FOREIGN	
NOT NULL	REFERENCES	
IN	CONSTRAINT	
DISTINCT	CHECK	
AS		

There are 225 reserved words in SQL92 ... not a small language.

SQL Keywords (cont)

A list of PostgreSQL's SQL keywords:

ALL	DEFERRABLE	IS	OVERLAPS
ANALYSE	DESC	ISNULL	PRIMARY
ANALYZE	DISTINCT	JOIN	PUBLIC
AND	DO	LEADING	REFERENCES

ANY	ELSE	LEFT	RIGHT
AS	END	LIKE	SELECT
ASC	EXCEPT	LIMIT	SESSION_USER
BETWEEN	FALSE	NATURAL	SOME
BINARY	FOR	NEW	TABLE
BOTH	FOREIGN	NOT	THEN
CASE	FREEZE	NOTNULL	TO
CAST	FROM	NULL	TRAILING
CHECK	FULL	OFF	TRUE
COLLATE	GROUP	OFFSET	UNION
COLUMN	HAVING	OLD	UNIQUE
CONSTRAINT	ILIKE	ON	USER
CROSS	IN	ONLY	USING
CURRENT_DATE	INITIALLY	OR	VERBOSE
CURRENT_TIME	INNER	ORDER	WHEN
CURRENT_USER	INTERSECT	OUTER	WHERE
DEFAULT	INTO		

Note that some SQL92 reserved words are not reserved words in PostgreSQL.

SQL Identifiers

Names are used to identify

• database objects such as tables, attributes, views, ...

meta-objects such as types, functions, constraints, ...

Identifiers in SQL use similar conventions to programming languages i.e. a sequence of alpha-numerics, starting with an alphabetic.

Can create arbitrary indentifiers by enclosing in "..."

Example identifiers:

```
employee student Courses
last name "That's a Great Name!"
```

Oracle SQL also allows unquoted hash (#) and dollar (\$) in identifiers.

SQL Identifiers (cont)

Since SQL does not distinguish case, the following are all treated as being the same identifier:

employee Employee EmPlOyEe

Most RDBMSs will let you give the same name to different kinds of objects (e.g. a table called **Beer** and an attribute called **Beer**).

Some common naming conventions:

- name tables representing entitites via plural nouns (e.g. **Drinkers**, **TheDrinkers**, **AllDrinkers**, ...)
- name foreign key attributes after the table they refer to (e.g. beer in the Sells relation)

Constants in SQL

Numeric constants have same syntax as programming languages, e.g.

```
10 3.14159 2e-5 6.022e23
```

String constants are written in single quotes, e.g.

```
'John' 'some text' '!%#%!$' 'O''Brien'
'"' '[A-Z]{4}\d{4}' 'a VeRy! LoNg String'
```

PostgreSQL provides extended strings containing \ escapes, e.g.

Boolean constants: TRUE and FALSE

PostgreSQL also allows 't', 'true', 'yes', 'f', 'false', 'no'

Constants in SQL (cont)

Other kinds of constants are typically written as strings.

Dates: '2008-04-13', Times: '13:30:15'

Timestamps: '2004-10-19 10:23:54'

PostgreSQL also recognises: 'January 26 11:05:10 1988 EST'

Time intervals: '10 minutes', '5 days, 6 hours'

PostgreSQL also has IP address, XML, etc. data types.

SQL Data Types

All attributes in SQL relations are typed (i.e. have domain specified)

SQL supports a small set of useful built-in data types: text string, number (integer,real), date, boolean, binary

Various type conversions are available (e.g. date to string, string to date, integer to real) and applied automatically "where they make sense".

Basic domain (type) checking is performed automatically.

The **NULL** value is treated as a member of all data types.

No structured data types are available (in SQL2).

SQL Data Types (cont)

Various kinds of number types are available:

- INTEGER (or INT), SMALLINT ... 32/16-bit integers
- REAL, DOUBLE PRECISION ... 32/64-bit floating point
- **NUMBER**(d,p) ... fixed-point reals (d digits, p after dec.pt.)

PostgreSQL also provides ...

- serial: auto-generated integer values for primary keys
- currency: fixed-point reals, displayed as strings \$1,000.00

SQL Data Types (cont)

Two string types are available:

- CHAR(n) ... uses n bytes, left-justified, blank-padded
- **VARCHAR**(*n*) ... uses 0..*n* bytes, no padding

String types can be coerced by blank-padding or truncation.

PostgreSQL also provides **TEXT** for arbitrary strings

- convenient; no need to worry "how long is a name?"
- efficient (different to some other DBMSs)
- but not part of SQL standard

SQL Data Types (cont)

Dates are simply specially-formatted strings, with a range of operations to implement date semantics.

Format is typically YYYY-MM-DD, e.g. '1998-08-02'

Accepts other formats (and has format-conversion functions), but beware of two-digit years (year 2000)

Comparison operators implement before (<) and after (>).

Subtraction counts number of days between two dates.

SQL Data Types (cont)

PostgreSQL also supports several non-standard data types.

- generic text string data i.e. text
- arbitrary binary data (BLOBs) i.e. bytea
- geometric data types e.g. point, circle, polygon, ...

Also, extends relational model so that a single attribute can contain an array/matrix of values, e.g.

```
CREATE TABLE Employees (
        empid integer primary key,
        name text,
        pay_rate float[]
);
INSERT INTO Employees VALUES
        (1234, 'John', '{35.00,45.00,60.00}');
SELECT pay_rate[2] FROM Employees ...
```

Tuple and Set Literals

Tuple and set constants are both written as:

```
(val_1, val_2, val_3, \dots)
```

The correct interpretation is worked out from the context.

Examples:

Tuple and Set Literals (cont)

SQL data types provide coarse-grained control over values.

If more fine-grained control over values is needed:

- constraints can express more precise conditions
- new "data types" can be defined

Examples:

```
CREATE DOMAIN PositiveInt AS INTEGER
   CHECK (VALUE > 0);
CREATE DOMAIN Colour AS
   CHECK (VALUE IN ('red', 'yellow', 'green', 'blue', 'violet'));
CREATE TABLE T (
   x Colour,
   y PositiveInt,
   z INTEGER CHECK (z BETWEEN 10 AND 20)
);
```

SQL Operators

Comparison operators are defined on all types:

Boolean operators **AND**, **OR**, **NOT** are also available

Note AND, OR are not "short-circuit" in the same way as C's &&, | |

Most data types also have type-specific operations available

See PostgreSQL Documentation Chapter 8/9 for data types and operators

SQL Operators (cont)

String comparison:

- $str_1 < str_2$... compare using dictionary order
- str LIKE pattern ... matches string to pattern

Pattern-matching uses SQL-specific pattern expressions:

- % matches anything (like .*)
- _ matches any single char (like .)

SQL Operators (cont)

Examples (using SQL92 pattern matching):

```
Name LIKE 'Ja%' Name begins with 'Ja'

Name LIKE '_i%' Name has 'i' as 2nd letter

Name LIKE '%o%o%' Name contains two 'o's

Name LIKE '%ith' Name ends with 'ith'

Name LIKE 'John' Name matches 'John'
```

PostgreSQL also supports case-insensitive match: ILIKE

SQL Operators (cont)

Most Unix-based DBMSs utilise the regexp library

to provide full POSIX regular expression matching

PostgreSQL uses the ~ operator for this:

PostgreSQL also provides full-text searching (see doc)

SQL Operators (cont)

Examples (using POSIX regular expressions):

Name ~ '^Ja' Name begins with 'Ja'

Name ~ '^.i' Name has 'i' as 2nd letter

Name ~ '.*o.*o.*' Name contains two 'o's

Name ~ 'ith\$' Name ends with 'ith'

Name ~ 'John' Name matches 'John'

SQL Operators (cont)

String manipulation:

- $str_1 \mid \mid str_2 \dots$ return concatenation of str_1 and str_2
- lower(str) ... return lower-case version of str
- **substring**(*str*,*start*,*count*) ... extract chars from *str*

Etc. etc. ... consult your local SQL Manual (e.g. PostgreSQL Sec 9.4)

Note that above operations are null-preserving (strict):

- if any operand is **NULL**, result is **NULL**
- beware of $(a \mid | ' \mid | |b| | | ' \mid | |c)$... **NULL** if any of a, b, c are null

SQL Operators (cont)

Arithmetic operations:

```
+ - * / abs ceil floor power sqrt sin
```

Aggregations apply to a column of numbers in a relation:

- count (attr) ... number of rows in attr column
- **sum**(attr) ... sum of values for attr
- avg(attr) ... mean of values for attr
- min/max(attr) ... min/max of values for attr

Note: count applies to columns of non-numbers as well.

SQL Operators (cont)

NULL in arithmetic operation always yields **NULL**, e.g.

NULL in aggregations is ignored (treated as unknown), e.g.

```
sum(1,2,3,4,5,6) = 21
sum(1,2,NULL,4,NULL,6) = 13
avg(1,2,3,4,5) = 3
avg(NULL,2,NULL,4) = 3
```

The **NULL** Value

Expressions containing **NULL** generally yield **NULL**.

However, boolean expressions use three-valued logic:

а	b	a and b	a or b
TRUE	TRUE	TRUE	TRUE
TRUE	FALSE	FALSE	TRUE
TRUE	NULL	NULL	TRUE
FALSE	FALSE	FALSE	FALSE
FALSE	NULL	FALSE	NULL
NULL	NULL	NULL	NULL

The **NULL** Value (cont)

Important consequence of **NULL** behaviour ...

These expressions do not work as (might be) expected:

$$x = \text{NULL}$$
 $x \iff \text{NULL}$

Both return **NULL** regardless of the value of x

Can only test for **NULL** using:

$$x$$
 IS NULL x IS NOT NULL

The **NULL** Value (cont)

Other ways PostgeSQL provides for dealing with **NULL**:

returns first non-null value Val_i

useful for providing a "displayable" value for nulls

nullif (Val₁, Val₂)

- returns null if Val₁ is equal to Val₂
- can be used to provide inverse of coalesce()

SQL: Schemas

Relational Data Definition

In order to give a relational data model, we need to:

- describe tables
- describe attributes that comprise tables
- describe any constraints on the data

A relation schema defines an individual table.

A database schema is a collection of relation schemas that defines the structure of and constraints on an entire database.

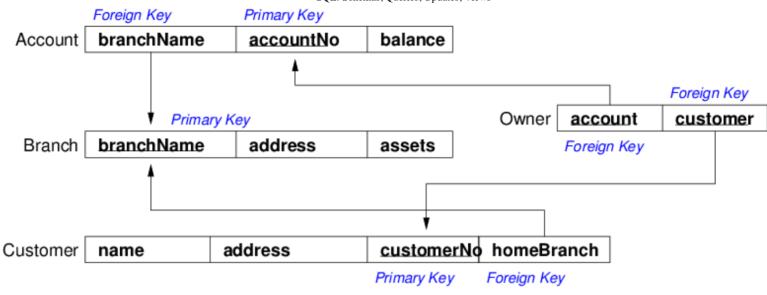
Relational Data Definition (cont)

So far, we have given relational schemas informally, e.g.

individual relation schemas

```
Account(accountNo, branchName, balance)
Branch(branchNo, address, assets)
Customer(customerNo, name, address, homeBranch)
Owner(customer, branch)
```

database schemas



SQL Data Definition Language

SQL is normally considered to be a query language.

However, it also has a data definition sub-language (DDL) for describing database schemas.

The SQL DDL allows us to specify:

- names of tables
- names and domains for attributes

various types of constraints (e.g. primary/foreign keys)

It also provides mechanisms for performance tuning (see later).

Defining a Database Schema

Relations (tables) are described using:

```
CREATE TABLE RelName ( attribute_1 domain_1 constraints, attribute_2 domain_2 constraints, ... table-level constraints, ... )
```

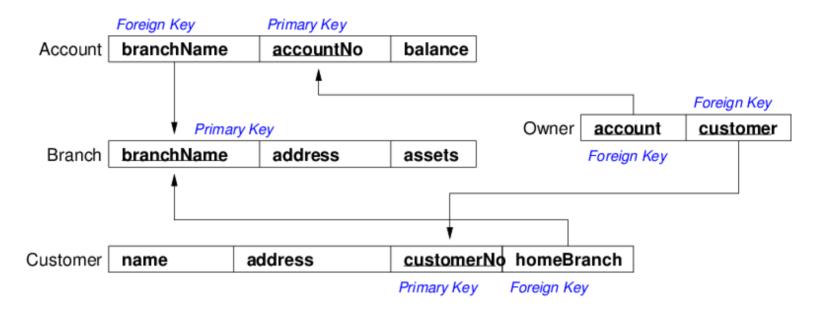
where *constraints* can include details about primary keys, foreign keys, default values, and constraints on attribute values.

This not only defines the table schema but also creates an empty instance of the table.

Tables are removed via **DROP TABLE** RelName;

Defining a Database Schema (cont)

Consider the relational diagram for the example schema:



This shows explicitly the connection between foreign key attributes and their corresponding key attributes.

The SQL DDL provides notation for expressing this in the table definition.

Defining a Database Schema (cont)

SQL DDL for the example schema:

```
CREATE TABLE Branch (
   name varchar(30),
   address varchar(50),
   assets float,
   PRIMARY KEY (name)
);
```

Note: name is required to be unique and not null

Defining a Database Schema (cont)

More SQL DDL for the example schema:

```
CREATE TABLE Customer (
    customerNo integer,
    name varchar(40),
    address varchar(50),
    homeBranch varchar(30) not null,
    PRIMARY KEY (customerNo),
```

```
FOREIGN KEY (homeBranch)

REFERENCES Branch(name)
);
```

Note: the **not null** captures total participation, i.e. every customer has a home branch.

Defining a Database Schema (cont)

More SQL DDL for the example schema:

```
CREATE TABLE Account (
    accountNo char(5),
    heldAtBranch varchar(30) not null,
    balance float,
    PRIMARY KEY (accountNo),
    FOREIGN KEY (heldAtBranch)
    REFERENCES Branch(name)
);
```

Note: the **not null** captures total participation, i.e. every accountis held at some branch.

Defining a Database Schema (cont)

More SQL DDL for the example schema:

Note: it is not possible in SQL to capture the semantics that Accounts are required to be owned by some Customer.

Declaring Keys

Primary keys:

• if a single attribute, declare with attribute, e.g.

```
accountNo char(5) PRIMARY KEY,
```

if several attributes, declare with table constraints, e.g.

```
name varchar(40),
address varchar(50),
...
PRIMARY KEY (name, address)
```

Declaring Keys (cont)

If we want to define a numeric primary key, e.g.

```
CREATE TABLE R ( id INTEGER PRIMARY KEY, ... );
```

we still have the problem of generating unique values.

Most DBMSs provide a mechanism to

generating a squence of unique values

ensuring that tuples don't get assigned the same value

PostgreSQL's version:

```
CREATE TABLE R ( id SERIAL PRIMARY KEY, ... );
```

Declaring Keys (cont)

Foreign keys:

• if a single attribute, specify Relation(Attribute), e.g.

Declaring Keys (cont)

Foreign keys: (cont)

• if several attributes, specify in table constraints, e.g.

If defining foreign keys with table constraints, must use **FOREIGN KEY** keywords.

Declaring Keys (cont)

Declaring foreign keys assures referential integrity.

Example:

Account.branchName refers to primary key of Branch

If we want to delete a tuple from **Branch**, and there are tuples in **Account** that refer to it, we could ...

- reject the deletion (PostgreSQL/Oracle default behaviour)
- set-NULL the foreign key attributes in Account records
- cascade the deletion and remove Account records

Declaring Keys (cont)

Can force the alternative delete behaviours via e.g.

```
-- to cascade deletes

customer integer

REFERENCES Customer(customerNo)

ON DELETE CASCADE

-- to set foreign keys to NULL

customer integer

REFERENCES Customer(customerNo)

ON DELETE SET NULL
```

Declaring Keys (cont)

Example of different deletion strategies:

Branch

branchName	address	assets
Downtown	Brooklyn	9000000
Redwood	Palo Alto	2100000
Perryridge	Horseneck	1700000
Mianus	Horseneck	400000
Round Hill	Horseneck	8000000
North Town	Rye	3700000
Brighton	Brooklyn	7100000

Account	After deletion with SET NULL	
branchName	accountNo	balance
Downtown	A-101	500
NULL	A-215	700
Perryridge	A-102	400
NULL	A-305	350
Brighton	A-201	900
Redwood	A-222	700

Account		Original relation	
branchName	accountNo	balance	
Downtown	A-101	500	
Round Hill	A-215	700	
Perryridge	A-102	400	
Round Hill	A-305	350	
Brighton	A-201	900	
Redwood	A-222	700	

Account	After deletion with CASCADE		
branchName	accountNo	balance	
Downtown	A-101	500	
Perryridge	A-102	400	
Brighton	A-201	900	
Redwood	A-222	700	

Other Attribute Properties

Can specify that an attribute must have a non-null value, e.g.

```
barcode varchar(20) NOT NULL,
price float NOT NULL
```

Can specify that an attribute must have a unique value, e.g.

```
barcode varchar(20) UNIQUE,
isbn varchar(15) UNIQUE NOT NULL
```

Primary keys are automatically **UNIQUE NOT NULL**.

Other Attribute Properties (cont)

Can specify a **DEFAULT** value for an attribute

will be assigned to attribute if no value is supplied during insert

Example:

```
CREATE TABLE Account (
```

```
accountNo char(5) PRIMARY KEY,
branchName varchar(30)

REFERENCES Branch(name)

DEFAULT 'Central',
balance float DEFAULT 0.0
);

INSERT INTO Account(accountNo) VALUES ('A-456')

-- produces the tuple
Account('A-456','Central',0.0)
```

Attribute Value Constraints

In fact, **NOT NULL** is a special case of a constraint on the value that an attribute is allowed to take.

SQL has a more general mechanism for specifying such constraints.

```
attrName type CHECK ( condition )
```

The *Condition* can be arbitrarily complex, and may even involve other attributes, relations and **SELECT** queries.

(but many RDBMSs (e.g. Oracle and PostgreSQL) don't allow **SELECT** in **CHECK**)

Attribute Value Constraints (cont)

Example:

Named Constraints

Any constraint in an SQL DDL can be named via

CONSTRAINT constraintName constraint

Example:

SQL: Building Databases

Creating Databases

Mechanism for creating databases is typically DBMS-specific.

Many implement a (non-standard) SQL-like statement:

```
CREATE DATABASE DBname;
```

Many provide an external command, e.g PostgreSQL's

\$ createdb DBname

Produces an empty database (no tables, etc) called DBname

Creating Databases (cont)

A database can be completely removed (no backup) via

\$ dropdb DBname

This removes all tuples, all tables, all traces of DBname

Tables can be removed from a database schema via:

DROP TableName

All tuples can be removed from a table via:

DELETE FROM TableName

Creating Databases (cont)

Loading a schema with PostgreSQL:

```
$ createdb mydb
$ psql mydb
...
mydb=# \i schema.sql
...
```

or

```
$ psql -f schema.sql mydb
```

Running the above as:

```
$ psql -a -f schema.sql mydb
```

intersperses messages with the schema definition.

Useful for debugging, since errors appear in context.

Creating Databases (cont)

Re-loading schemas is not well-supported in PostgreSQL.

Simplest approach is:

```
$ dropdb mydb
$ createdb mydb
$ psql -f schema.sql mydb
```

An alternative is to leave DB but drop all tables:

```
$ psql mydb
...
mydb=# drop Table1;
mydb=# drop Table2;
etc. etc. in correct order
mydb=# \i schema.sql
...
```

Later, we'll see how to write functions to automate this.

Creating Databases (cont)

The entire contents of a database may be dumped:

```
$ pg_dump mydb > mydb.dump
```

Dumps all definitions needed to re-create entire DB

- table definitions (create table)
- constraints, including PKs and FKs
- all data from all tables
- domains, stored procedures, triggers, etc.

Some things change appearance, but mean the same thing (e.g. varchar(30) becomes character varying(30), etc.)

Creating Databases (cont)

Dumps may be used for backup/restore or copying DBs

```
$ pg_dump mydb > mydb.dump -- backup
$ createdb newdb
$ psql newdb -f mydb.dump -- copy
```

Result: **newdb** is a snapshot/copy of **mydb**.

- however, different object identifiers
- as changes are made, the two DBs will diverge

Data Modification in SQL

SQL provides mechanisms for modifying data (tuples) in tables:

- INSERT ... add a new tuple into a table
- **DELETE** ... remove tuples from a table (via condition)
- **UPDATE** ... modify values in exiting tuples (via condition)

Constraint checking is applied automatically on any change.

(See description of relational model for details of which checking applied when)

Data Modification in SQL (cont)

Also provides mechanisms for modifying table meta-data:

- CREATE TABLE ... create a new empty table
- **DROP TABLE** ... remove table from database (incl. tuples)
- ALTER TABLE ... change properties of existing table

Analogous operations are available on other kinds of database objects, e.g.

- CREATE VIEW, CREATE FUNCTION, CREATE RULE, ...
- DROP VIEW, DROP FUNCTION, DROP RULE, ...
- no **update** on these; use **create** or **replace**

Insertion

Accomplished via the **INSERT** operation:

Each form adds a single new tuple into *RelationName*.

Insertion (cont)

```
INSERT INTO R VALUES (v_1, v_2, \ldots)
```

- values must be supplied for all attributes of R
- in same order as appear in **CREATE TABLE** statement

```
INSERT INTO R(A_1, A_2, ...) VALUES (v_1, v_2, ...)
```

- can specify any subset of attributes of R
- values must match attribute specification order
- unspecified attributes are assigned default or null

Insertion (cont)

Example: Add the fact that Justin likes 'Old'.

```
INSERT INTO Likes VALUES ('Justin','Old');
-- or --
INSERT INTO Likes(drinker,beer)
        VALUES('Justin', 'Old');
-- or --
INSERT INTO Likes(beer,drinker)
        VALUES('Old','Justin');
```

Example: Add a new drinker with unknown phone number.

Insertion (cont)

Example: insertion with default values

```
ALTER TABLE Likes
   ALTER COLUMN beer SET DEFAULT 'New';
ALTER TABLE Likes
   ALTER COLUMN drinker SET DEFAULT 'Joe';
INSERT INTO Likes(drinker)
       VALUES('Fred');
INSERT INTO Likes(beer)
       VALUES('Sparkling Ale');
-- inserts the two new tuples ...
('Fred', 'New')
('Joe', 'Sparkling Ale')
```

Insertion (cont)

Example: insertion with insufficient values.

E.g. specify that drinkers' phone numbers cannot be **NULL**.

```
ALTER TABLE Drinkers
ALTER COLUMN phone SET NOT NULL;
```

And then try to insert a new drinker whose phone number we don't know:

Insertion from Queries

Can use the result of a query to perform insertion of multiple tuples at once.

```
INSERT INTO Relation ( Subquery );
```

Tuples of *Subquery* must be projected into a suitable format (i.e. matching the tuple-type of *Relation*).

Insertion from Queries (cont)

Example: Create a relation of potential drinking buddies (i.e. people who go to the same bars as each other).

Note: this is better done as a view (treat this as a materialized view).

Bulk Insertion of Data

Tuples may be inserted individually:

```
insert into Stuff(x,y,s) values (2,4,'green');
insert into Stuff(x,y,s) values (4,8,null);
insert into Stuff(x,y,s) values (8,null,'red');
...
```

but this is tedious if 1000's of tuples are involved.

It is also inefficient, because all relevant constraints are checked after insertion of each tuple.

Bulk Insertion of Data (cont)

Most DBMSs provide non-SQL methods for bulk insertion:

- using a compact representation for each tuple
- loading all tuples without constraint checking
- doing all constraint checks at the end

Downside: if even one tuple is buggy, none are inserted.

Example: PostgreSQL's **copy** statement:

```
copy Stuff(x,y,s) from stdin;
2    4    green
4    8    \N
8    \N    red
\.
```

Can also copy from a named file.

Deletion

Accomplished via the **DELETE** operation:

```
DELETE FROM Relation
WHERE Condition
```

Removes all tuples from *Relation* that satisfy *Condition*.

Example: Justin no longer likes Sparkling Ale.

```
DELETE FROM Likes
WHERE drinker = 'Justin'
AND beer = 'Sparkling Ale';
```

Special case: Make relation *R* empty.

```
DELETE FROM R;
```

Deletion (cont)

Example: remove all expensive beers from sale.

```
DELETE FROM Sells
WHERE price >= 3.00;
```

Example: remove all drinkers with no fixed address.

```
DELETE FROM Drinkers WHERE addr IS NULL;
```

This fails if such Drinkers are referenced in other tables.

Semantics of Deletion

Method A for **DELETE FROM** R WHERE Cond:

```
FOR EACH tuple T in R DO

IF T satisfies Cond THEN

remove T from relation R

END

END
```

Method B for **DELETE FROM** R **WHERE** Cond:

```
FOR EACH tuple T in R DO

IF T satisfies Cond THEN

make a note of this T

END

END

FOR EACH noted tuple T DO

remove T from relation R

END
```

Does it matter which method is used?

Semantics of Deletion (cont)

Example: Delete all beers for which there is another beer by the same manufacturer.

```
DELETE FROM Beers b
WHERE EXISTS

(SELECT name
FROM Beers
WHERE manf = b.manf
AND name <> b.name);
```

Does the query result in ...

- deletion of all beers by brewers who make multiple beers
- deletion of all but the "last beer" by such brewers

Note: PostgreSQL disallows deletions with correlated subqueries (the **FROM** clause can be only a table name).

Semantics of Deletion (cont)

Example continued ...

Different results come from different evaluation methods ..

- Method A: iterate and evaluate condition for each beer
 - consider a manufacturer M who makes two beers A and B
 - when we reach A, there are two beers by M, so delete A
 - when we reach B, there are no other beers by M, so not deleted
- Method B: evalute condition and then do all deletions
 - o both A and B test positive, and so both are deleted

Most RDBMSs use Method B, which matches natural semantics of **DELETE**.

Updates

An update allows you to modify values of specified attributes in specified tuples of a relation:

```
UPDATE R
SET list of assignments
WHERE Condition
```

Each tuple in relation *R* that satisfies *Condition* has the assignments applied to it.

Assignments may:

assign constant values to attributes,

```
e.g. SET price = 2.00
```

• use existing values in the tuple to compute new values,

Updates (cont)

Example: Adam changes his phone number.

```
UPDATE Drinkers
SET    phone = '9385-2222'
WHERE    name = 'Adam';
```

Example: John moves to Coogee.

```
UPDATE Drinkers
SET addr = 'Coogee',
    phone = '9665-4321'
WHERE name = 'John';
```

Updates (cont)

Can update many tuples at once (all tuples that satisfy condition)

Example: Make \$3 the maximum price for beer.

```
UPDATE Sells
SET    price = 3.00
WHERE    price > 3.00;
```

Example: Increase beer prices by 10%.

```
UPDATE Sells
SET    price = price * 1.10;
```

Changing Tables

Accomplished via the **ALTER TABLE** operation:

```
ALTER TABLE Relation Modifications
```

Some possible modifications are:

- add a new column (attribute) (set value to **NULL** unless default given)
- change properties of an existing attribute (e.g. constraints)
- remove an attribute

Changing Tables (cont)

Example: Add phone numbers for hotels.

```
ALTER TABLE Bars

ADD phone char(10) DEFAULT 'Unlisted';
```

This appends a new column to the table and sets value for this attribute to 'Unlisted' in every tuple.

Specific phone numbers can subsequently be added via:

```
UPDATE Bars
SET    phone = '9665-0000'
WHERE    name = 'Coogee Bay Hotel';
```

If no default value is given, new column is set to all **NULL**.

For More Details ...

Full details are in the PostgreSQL Reference Manual.

See the section "SQL Commands", which has entries for

- INSERT, DELETE, UPDATE
- CREATE X, DROP Y, ALTER Z

You will become very familiar with some of these commands by end of session.

SQL: Queries

Queries

A query is a declarative program that retrieves data from a database.

Analogous to an expression in relational algebra.

But SQL does not implement relational algebra precisely.

Queries are used in two ways in RDBMSs:

- interactively (e.g. in psq1)
 - the entire result is displayed in tabular format on the output
- by a program (e.g. in a PLpgSQL function)
 - the result tuples are consumed one-at-a-time by the program

Queries in SQL

The most common kind of SQL statement is the SELECT query:

SELECT attributes
FROM relations
WHERE condition

The result of this statement is a relation, which is typically displayed on output.

The **SELECT** statement contains the functionality of select, project and join from the relational algebra.

SELECT Example

The question "What beers are made by Toohey's?", can be phrased:

```
SELECT Name FROM Beers WHERE Manf = 'Toohey''s';
```

This gives a subset of the **Beers** relation, displayed as:

```
name
-----
New
Old
Red
Sheaf Stout
```

Notes:

- upper- and lower-case are not distinguished, except in strings.
- quotes are escaped by doubling them ('''' is like C '\'')

Semantics of **SELECT**

For SQL **SELECT** statement on a single relation:

```
SELECT Attributes
FROM R
WHERE Condition
```

Formal semantics (relational algebra):

Proj[Attributes](Sel[Condition](R))

Semantics of **SELECT** (cont)

Operationally, we think in terms of a *tuple variable* ranging over all tuples of the relation.

Operational semantics:

```
FOR EACH tuple T in R DO

check whether T satisfies the condition

in the WHERE clause

IF it does THEN

print the attributes of T that are

specified in the SELECT clause

END

END
```

Projection in SQL

For a relation R and attributes $X \subseteq R$, the relational algebra expression $\pi_X(R)$ is implemented in SQL as:

```
SELECT X FROM R
```

Example: Names of drinkers = Π_{Name} (Drinkers)

```
SELECT Name FROM Drinkers;
```

```
name
```

Adam

Gernot

John

Justin

Projection in SQL (cont)

Example: Names/addresses of drinkers = $\pi_{Name,Addr}$ (Drinkers)

SELECT Name, Addr FROM Drinkers;

name	addr
	,
Adam	Randwick
Gernot	Newtown
John	Clovelly
Justin	Mosman

Projection in SQL (cont)

The symbol * denotes a list of all attributes.

Example: All information about drinkers = (*Drinkers*)

SELECT * FROM Drinkers;

name	addr	phone
Adam	Randwick	9385-4444
Gernot	Newtown	9415-3378

John	Clovelly	9665-1234
Justin	Mosman	9845-4321

Renaming via AS

SQL implements renaming (ρ) via the **AS** clause within **SELECT**.

Example: rename Beers(name,manf) to Beers(beer,brewer)

SELECT name AS beer, manf AS Brewer FROM Beers;

beer	brewer
80/- Bigfoot Barley Wine Burragorang Bock Crown Lager Fosters Lager	Caledonian Sierra Nevada George IV Inn Carlton Carlton

Expressions as Values in Columns

AS can also be used to introduce computed values (generalised projection)

Example: display beer prices in Yen, rather than dollars

SELECT bar, beer, price*120 AS PriceInYen FROM Sells;

bar	beer	priceinyen
Australia Hotel Coogee Bay Hotel Coogee Bay Hotel Coogee Bay Hotel Coogee Bay Hotel Lord Nelson Lord Nelson	Burragorang Bock New Old Sparkling Ale Victoria Bitter Three Sheets Old Admiral	420 270 300 335.999994277954 275.9999994277954 450 450

Text in Result Table

Trick: to put specific text in output columns

use string constant expression with AS

Example: using Likes(drinker, beer)

```
SELECT drinker, 'likes Cooper''s' AS WhoLikes
FROM Likes
WHERE beer = 'Sparkling Ale';

drinker | wholikes
------Gernot | likes Cooper's
Justin | likes Cooper's
```

Selection in SQL

The relational algebra expression $\sigma_{Cond}(Rel)$ is implemented in SQL as:

```
SELECT * FROM Rel WHERE Cond
```

Example: All about the bars at The Rocks

```
SELECT * FROM Bars WHERE Addr='The Rocks';
```

The condition can be an arbitrarily complex boolean-valued expression using the operators mentioned previously.

Selection in SQL (cont)

Example: Find the price that The Regent charges for New

```
SELECT price
FROM Sells
WHERE bar = 'Regent Hotel' AND beer = 'New';
price
-----
2.2
```

This can be formatted better via to_char, e.g.

to_char() supports a wide range of conversions.

Multi-relation **SELECT** Queries

Syntax is similar to simple **SELECT** queries:

```
SELECT Attributes
FROM R1, R2, ...
WHERE Condition
```

Difference is that **FROM** clause contains a list of relations.

Also, the condition typically includes cross-relation (join) conditions.

Multi-relation **SELECT** Queries (cont)

Example: Find the brewers whose beers John likes.

```
SELECT Manf as brewer

FROM Likes, Beers

WHERE beer = name AND drinker = 'John';

brewer

------
Caledonian
Sierra Nevada
Sierra Nevada
Lord Nelson
```

Note: duplicates could be eliminated by using **DISTINCT**.

Multi-relation **SELECT** Queries (cont)

The above example corresponds to a relational algebra evaluation like:

```
BeerDrinkers = Likes Join[beer=name] Beers
JohnsBeers = Sel[drinker=John](BeerDrinkers)
Brewers = Proj[manf](JohnsBeers)
Result = Rename[manf->brewer](Brewers)
```

The SQL compiler knows how to translate tests

- involving attributes from two relations into a join
- involving attributes from one relations into a selection

Semantics of Multi-Relation **SELECT**

For SQL **SELECT** statement on several relations:

```
SELECT Attributes
FROM R1, R2, ... Rn
WHERE Condition
```

Formal semantics (relational algebra):

Proj[Attributes](Sel[Condition](R1 × R2 × ... Rn))

Semantics of Multi-Relation **SELECT** (cont)

Operational semantics of **SELECT**:

```
FOR EACH tuple T1 in R1 D0

FOR EACH tuple T2 in R2 D0

...

check WHERE condition for current assignment of T1, T2, ... vars

IF holds THEN
print attributes of T1, T2, ...
specified in SELECT
END

END

END
```

Requires one tuple variable for each relation, and nested loops over relations. This is not how it's actually computed!

Name Clashes in Conditions

If a selection condition

- refers to two relations
- the relations have attributes with the same name

use the relation name to disambiguate.

Example: Which hotels have the same name as a beer?

```
SELECT Bars.name
FROM Bars, Beers
WHERE Bars.name = Beers.name;
```

(The answer to this query is empty, but there is nothing special about this)

Name Clashes in Conditions (cont)

Can use such qualified names, even if there is no ambiguity:

```
SELECT Sells.beer
FROM Sells
WHERE Sells.price > 3.00;
```

Advice:

qualify attribute names only when absolutely necessary

Note:

- SQL's As operator is only for renaming output
- it provides no help with disambiguation

Explicit Tuple Variables

The relation-dot-attribute convention doesn't help if we happen to use the same relation twice in a **SELECT**.

To handle this, we need to define new names for each "instance" of the relation in the **FROM** clause.

Syntax:

```
SELECT r1.a, r2.b
FROM R r1, R r2
```

WHERE r1.a = r2.a

Explicit Tuple Variables (cont)

Example: Find pairs of beers by the same manufacturer.

The second part of the condition is used to avoid:

- pairing a beer with itself e.g. (New, New)
- same pairs with different order e.g. (New,Old) (Old,New)

Explicit Tuple Variables (cont)

A common alternative syntax for

```
SELECT r1.a, r2.b

FROM R r1, R r2

WHERE r1.a = r2.a
```

uses the as keyword

```
SELECT r1.a, r2.b

FROM R as r1, R as r2

WHERE r1.a = r2.a
```

Explicit Joins

SQL supports syntax for explicit joins:

```
SELECT...FROM A natural join B SELECT...FROM A join B using (A_1, \ldots, A_n) SELECT...FROM A join B on Condition
```

The **natural join** and **join using** forms assume that the join attributes are named the same in each relation.

Explicit Joins (cont)

Example: Find the beers sold at bars where John drinks

```
SELECT Sells.bar, beer, price
FROM Sells, Frequents
WHERE drinker = 'John'
AND Sells.bar = Frequents.bar;
```

could also be expressed as

```
SELECT bar, beer, price
FROM Sells natural join Frequents
WHERE drinker='John';
-- joins on the only common attribute: bar
```

Explicit Joins (cont)

The example could also be expressed as

```
SELECT bar, beer, price
FROM Sells join Frequents using (bar)
WHERE drinker='John';
-- only one bar attribute in join result

or

SELECT Sells.bar, beer, price
FROM Sells join Frequents
on Sells.bar = Frequents.bar
WHERE drinker='John';
-- bar attribute occurs twice in join result
```

Outer Join

Join only produces tuples where there are matching values in both of the relations involved in the join.

Often, it is useful to produce results for all tuples in one relation, even if it has no matches in the other.

Consider the query: for each region, find out who drinks there.

Outer Join (cont)

A regular join only gives results for regions where people drink.

am hn stin stin hn

But what if we want a result that shows all regions, even if there are no drinkers there?

Outer Join (cont)

An outer join solves this problem.

For Router Join S

- all "tuples" in R have an entry in the result
- if a tuple from R matches a tuple in S, we get the normal join result tuple
- if a tuple from *R* has no matches in *S*, the attributes supplied by *S* are **NULL**

This outer join variant is called **LEFT OUTER JOIN**.

Outer Join (cont)

Solving the example query with an outer join:

addr	drinker
	+
Coogee	Adam
Coogee	John
Kingsford	Justin
Randwick	
Sydney	Justin
The Rocks	John

Note that Randwick is now mentioned (because of the Royal Hotel).

Outer Join (cont)

Many RDBMSs provide three variants of outer join:

- RLEFT OUTER JOIN S
 - behaves as described above
- RRIGHT OUTER JOIN S
 - includes all tuples from S in the result
 - NULL-fills any S tuples with no matches in R
- R FULL OUTER JOIN S
 - includes all tuples from R and S in the result
 - those without matches in other relation are **NULL**—filled

Subqueries

The result of a **SELECT-FROM-WHERE** query can be used in the **WHERE** clause of another query.

Simplest Case: Subquery returns a single, unary tuple

Can treat the result as a single constant value and use in expressions.

Syntax:

Subqueries (cont)

Example: Find bars that serve New at the same price as the Coogee Bay Hotel charges for VB.

The inner query finds the price of VB at the CBH, and uses this as an argument to a test in the outer query.

Subqueries (cont)

Note the potential ambiguity in references to attributes of Sells

This introduces notions of scope: an attribute refers to the most closely nested relation with that attribute.

Parentheses around the subquery are required (and set the scope).

Subqueries (cont)

Note also that the query could be answered via:

```
SELECT s1.bar
FROM Sells as s1, Sells as s2
WHERE s1.beer = 'New'
    AND s1.price = s2.price
    AND s2.bar = 'Coogee Bay Hotel'
    AND s2.beer = 'Victoria Bitter';
```

In general, expressing a query via joins will be much more efficient than expressing it with sub-queries.

Subqueries (cont)

Complex Case: Subquery returns multiple unary tuples.

Treat it as a list of values, and use the various operators on lists/sets (e.g. IN).

Complex Case: Subquery returns a relation.

Most of the "list operators" also work on relations.

The **IN** Operator

Tests whether a specified tuple is contained in a relation.

tuple IN relation is true iff the tuple is contained in the relation.

Conversely for tuple **NOT** IN relation.

Syntax:

```
SELECT *
FROM R
WHERE R.a IN (SELECT x FROM S WHERE Cond)
-- assume multiple results
```

The IN Operator (cont)

Example: Find the name and brewer of beers that John likes.

```
SELECT *
FROM Beers
```

The subexpression answers the question "What are the names of the beers that John likes?"

The IN Operator (cont)

Note that this query can be answered equally well without using IN.

```
SELECT Beers.name, Beers.manf
FROM Beers, Likes
WHERE Likes.drinker = 'John' AND
```

Likes.beer = Beers.name;

name	manf
80/-	Caledonian
Bigfoot Barley Wine	Sierra Nevada
Pale Ale	Sierra Nevada
Three Sheets	Lord Nelson

The version with the subquery corresponds more closely to the way the original query was expressed, and is probably "more natural".

The subquery version is, however, potentially less efficient.

The **EXISTS** Function

EXISTS (relation) is true iff the relation is non-empty.

Example: Find the beers that are the unique beer by their manufacturer.

SELECT name, manf FROM Beers b1

```
WHERE NOT EXISTS

(SELECT *

FROM Beers

WHERE manf = b1.manf

AND name != b1.name);
```

Note the scoping rule: to refer to outer **Beers** in the inner subquery, we need to define a named tuple variable (in this example **b1**).

A subquery that refers to values from a surrounding query is called a **correlated subquery**.

Quantifiers

ANY and ALL behave as existential and universal quantifiers respectively.

Example: Find the beers sold for the highest price.

```
SELECT beer FROM Sells
```

```
WHERE price >=

ALL(SELECT price FROM sells);
```

Beware: in common use, "any" and "all" are often synonyms.

E.g. "I'm better than any of you" vs. "I'm better than all of you".

Union, Intersection, Difference

SQL implements the standard set operations on "union-compatible" relations:

R1 union R2 set of tuples in either R1 or R2

R1 INTERSECT R2 set of tuples in both R1 and R2

R1 EXCEPT R2 set of tuples in R1 but not R2

Oracle deviates from the SQL standard and uses **MINUS** for **EXCEPT**; PostgreSQL follows the standard.

Union, Intersection, Difference (cont)

Example: Find the drinkers and beers such that the drinker likes the beer and frequents a bar that sells it.

Bag Semantics of SQL

An SQL relation is really a bag (multiset):

it may contain the same tuple more than once

- unlike lists, there is no specified order on the elements
- example: {1, 2, 1, 3} is a bag and is not a set

This changes the semantics of the "set" operators **union**, **intersect** and **minus**.

Bag Semantics of SQL (cont)

Bag Union

Sum the times an element appears in the two bags

• example: $\{1,2,1\}$ \cup $\{1,2,3\}$ = $\{1,1,1,2,2,3\}$

Bag Intersection

Take the minimum number of occurrences from each bag.

• example: $\{1,2,1\} \cap \{1,2,3\} = \{1,2\}$

Bag Difference

Proper-subract the number of occurrences in the two bags.

• example: $\{1,2,1\}$ - $\{1,2,3\}$ = $\{1\}$

Forcing Bag/Set Semantics

Default result for **SELECT-FROM-WHERE** is a bag.

Default result for **union**, **intersect**, **minus** is a set.

Why the difference?

A bag can be produced faster because no need to worry about eliminating duplicates (which typically requires sorting).

Can force set semantics with **SELECT DISTINCT**.

Can force bag semantics with **union all**, ...

Forcing Bag/Set Semantics (cont)

Example: What beer manufacturers are there?

SELECT DISTINCT manf FROM Beers;

manf

Caledonian

Carlton

Cascade

Cooper's

George IV Inn

Lord Nelson

Sierra Nevada

Toohey's

Note that the result is sorted.

If we omit **DISTINCT**, we get 18 unsorted tuples in the result.

Division

Not all SQL implementations provide a divide operator, but the same effect can be achieved by combination of existing operations.

Example: Find bars that each sell all of the beers Justin likes.

Selection with Aggregation

Selection clauses can contain aggregation operations.

Example: What is the average price of New?

Note:

- the bag semantics of SQL gives the correct result here
- the price for New in all hotels will be included, even if two hotels sell it at the same price
- if we used set semantics, we'd get the average of all the different prices for New.

Selection with Aggregation (cont)

If we want set semantics, we can force using **DISTINCT**.

Example: How many different bars sell beer?

```
SELECT COUNT(DISTINCT bar)
FROM Sells;
count
------
```

Without **DISTINCT**, the result is 15 ... the number of entries in the **Sells** table.

Aggregation operators

The following operators apply to a list (bag) of numeric values in one column of a relation:

SUM AVG MIN MAX COUNT

The notation **COUNT(*)** gives the number of tuples in a relation.

Example: How many different beers are there?

```
SELECT COUNT(*) FROM Beers;

count

18
```

Grouping

SELECT-FROM-WHERE can be followed by **GROUP BY** to:

- partition result relation into groups (according to values of specified attribute)
- summarise some (several) aspects of each group
- output relation contains one tuple per group

Example: How many beers does each brewer make?

There is one entry for each beer by each brewer in the Beers table ...

Grouping (cont)

The following gives us a list of brewers:

```
SELECT manf FROM Beers;
```

The number of occurrences of each brewer is the number of beers that they make.

Ordering the list makes it much easier to work out:

```
SELECT manf FROM Beers ORDER BY manf;
```

but we still need to count length of runs by hand.

Grouping (cont)

If we group the runs, we can count(*) them:

```
SELECT manf, COUNT(manf)
```

FROM Beers

GROUP BY manf;

manf	count
Caledonian	1
Carlton	5
Cascade	1
Cooper's	2 1
George IV Inn	
Lord Nelson	_
Sierra Nevada	2
Toohey's	4

Grouping (cont)

GROUP BY is used as follows:

SELECT attributes/aggregations
FROM relations
WHERE condition
GROUP BY attribute

Semantics:

- 1. apply product and selection as for **SELECT-FROM-WHERE**
- 2. partition result into groups based on values of attribute
- 3. apply any aggregation separately to each group

Grouping (cont)

The query

```
select manf, count(manf) from Beers group by manf;
```

first produces a partitioned relation and then counts the number of tuples in each partition:

Name	Manf		Name	Manf
80-	Caledonian	1	Burragorang Bock	George IV Inn 1
Crown Lager Fosters Lager Invalid Stout	Carlton Carlton Carlton	5	Old Admiral Three Sheets	Lord Nelson Lord Nelson 2
Melbourne Bitter Victoria Bitter	Carlton Carlton		Bigfoot Barley Wine Pale Ale	Sierra Nevada Sierra Nevada
Premium Lager	Cascade	1	New Old	Toohey's Toohey's
Sparkling Ale Stout	Coopers Coopers	2	Red Sheaf Stout	Toohey's 4

Grouping (cont)

Grouping is typically used in queries involving the phrase "for each".

Example: For each drinker, find the average price of New at the bars they go to.

```
SELECT drinker, AVG(price) as "Avg.Price"
```

FROM Frequents, Sells

WHERE beer = 'New'

AND Frequents.bar = Sells.bar

GROUP BY drinker;

drinker	Avg.Price	
Adam	2.25	
John	2.25	
Justin	2.5	

Restrictions on **SELECT** Lists

When using grouping, every attribute in the **SELECT** list must:

- have an aggregation operator applied to it
- appear in the **GROUP-BY** clause

Incorrect Example: Find the hotel that sells 'New' cheapest.

```
SELECT bar, MIN(price)
FROM Sells
WHERE beer = 'New';
```

PostgreSQL's response to this query:

ERROR: Attribute sells.bar must be GROUPed or used in an aggregate function

Restrictions on **SELECT** Lists (cont)

How to answer the query: Which bar sells 'New' cheapest?

Restrictions on **SELECT** Lists (cont)

Also, cannot use grouping to simply re-order results.

Incorrect Example: Print beers grouped by their manufacturer.

```
SELECT name, manf FROM Beers GROUP BY manf;
```

ERROR: Attribute beers.name must be GROUPed or used in an aggregate function

Restrictions on **SELECT** Lists (cont)

How to print beers grouped by their manufacturer?

SELECT name, manf FROM Beers ORDER BY manf;

name	manf +
80/- Crown Lager	Caledonian Carlton
Fosters Lager	Carlton
Invalid Stout	Carlton
Melbourne Bitter	Carlton

```
Victoria BitterCarltonPremium LagerCascade
```

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ORDER BY can be applied to multiple attributes.

Eliminating Groups

In some queries, you can use the **WHERE** condition to eliminate groups.

Example: Average beer price by suburb excluding hotels in The Rocks.

For more complex conditions on groups, use the **HAVING** clause.

Eliminating Groups (cont)

HAVING is used to qualify a **GROUP-BY** clause:

```
SELECT attributes/aggregations
FROM relations
WHERE condition (on tuples)
GROUP BY attribute
HAVING condition (on group);
```

Semantics of **HAVING**:

- 1. generate the groups as for GROUP-BY
- 2. eliminate groups not satisfying HAVING condition
- 3. apply aggregations to remaining groups

Note: **HAVING** condition can use relations/variables from **FROM** just like **WHERE** condition, but variables range over each group.

Eliminating Groups (cont)

Example: Find the average price of common beers (i.e. those that are served in more than one hotel).

```
SELECT
         beer,
         to char(AVG(price), '9.99')
           as "$$$"
         Sells
FROM
GROUP BY beer
HAVING
         COUNT(bar) > 1;
                     $$$
      beer
                     2.39
New
 01d
                     2.53
 Victoria Bitter
                   2.40
```

Eliminating Groups (cont)

The **HAVING** condition can have components that do not use aggregation.

Example: Find the average price of beers that are either commonly served (in more than one hotel) or are manufactured by Cooper's.

```
SELECT beer, AVG(price) FROM Sells
```

```
GROUP BY beer
HAVING COUNT(bar) > 1
       OR beer in
           (SELECT name
            FROM
                   beers
                   manf = 'Cooper''s');
            WHERE
      beer
                          avq
                   2.38749998807907
New
01d
                   2.53333330154419
Sparkling Ale
                   2.79999995231628
Victoria Bitter
                   2.39999997615814
```

Eliminating Groups (cont)

GROUP-BY and HAVING also provide an alternative formulation for division.

Example: Find bars that each sell all of the beers Justin likes.

Partitions and Window Functions

Sometimes it is useful to

- partition a table into groups
- compute results that apply to each group
- use these results with individual tuples in the group

Comparison with GROUP-BY

• GROUP-BY produces one tuple for each group

- PARTITION augments each tuple with group-based value(s)
- can use other functions than aggregates (e.g. ranking)
- can use attributes other than the partitioning ones

Partitions and Window Functions (cont)

Syntax for **PARTITION**:

```
SELECT attr_1, attr_2, ..., aggregate_1 OVER (PARTITION BY attr_i), aggregate_2 OVER (PARTITION BY attr_j), ... FROM Table WHERE condition\ on\ attributes
```

Note: the *condition* cannot include the *aggregate* value(s)

Partitions and Window Functions (cont)

Example: show each city with daily temperature and temperature range

Schema: Weather(city,date,temperature)

```
SELECT city, date, temperature as temp,
min(temperature) OVER (PARTITION BY city) as lowest,
max(temperature) OVER (PARTITION BY city) as highest
FROM Weather;
```

Output: Result(city, date, temp, lowest, highest)

Partitions and Window Functions (cont)

Example showing **GROUP BY** and **PARTITION** difference:

```
SELECT city, min(temperature) max(temperature) FROM Weather GROUP BY city
```

Result: one tuple for each city Result(city,min,max)

```
SELECT city, date, temperature as temp,
min(temperature) OVER (PARTITION BY city),
max(temperature) OVER (PARTITION BY city)
FROM Weather;
```

Result: one tuple for each temperature measurement.

Partitions and Window Functions (cont)

Example: get a list of low-scoring students in each course (low-scoring = mark is less than average mark for class)

Schema: Enrolment(course, student, mark)

Approach:

- generate tuples containing (student, mark, class Avg)
- select just those tuples satisfying (mark < classAvg)

Implementation of first step via window function

```
SELECT course, student, mark,
avg(mark) OVER (PARTITION BY course)
FROM Enrolments;
```

We now look at several ways to complete this data request ...

Complex Queries

For complex queries, it is often useful to

- break the query into a collection of smaller queries
- define the top-level query in terms of these

This can be accomplished in three ways in SQL:

- views (discussed in detail below)
- subqueries in the **FROM** clause
- subqueries in a **WITH** clause

Note that we cannot "correlate" such subqueries in the same way as we can subqueries in the **where** clause.

Complex Queries (cont)

Defining complex queries using views:

Complex Queries (cont)

In the general case:

```
CREATE VIEW View_1(a,b,c,d) AS Query_1;

CREATE VIEW View_2(e,f,g) AS Query_2;

...

SELECT a,f FROM View_1, View_2 WHERE c=e;
```

Notes:

- look like tables ("virtual" tables)
- exist as objects in the database (stored queries)
- useful if specific query is required frequently

Complex Queries (cont)

Defining complex queries using **FROM** subqueries:

Avoids the need to define views.

Complex Queries (cont)

In the general case:

Notes:

- must provide name for each subquery, even if never used
- subquery table inherits attribute names from query (e.g. in the above, we assume that *Query*₁ returns an attribute called **a**)

Complex Queries (cont)

Defining complex queries using **WITH**:

```
FROM CourseMarksAndAverages WHERE mark < avg;
```

Avoids the need to define views.

Complex Queries (cont)

In the general case:

```
WITH Name<sub>1</sub>(a,b,c) AS (Query<sub>1</sub>),
Name<sub>2</sub> AS (Query<sub>1</sub>), ...

SELECT attributes

FROM Name<sub>1</sub>, Name<sub>2</sub>, ...

WHERE conditions with attributes of Name<sub>1</sub> and Name<sub>2</sub>
```

Notes:

- Name₁, etc. are like temporary tables
- named tables inherit attribute names from query

Recursive Queries

WITH also provides the basis for recursive queries.

Recursive queries are structured as:

Useful for scenarios in which we need to traverse multi-level relationships.

Recursive Queries (cont)

Simple example involving a "virtual" table.

Sum the numbers from 1 to 100:

```
WITH RECURSIVE t(n) AS (
    SELECT 1
  UNION
    SELECT n+1 FROM t WHERE n < 100
)
SELECT sum(n) FROM t;</pre>
```

Recursive Queries (cont)

In the general case:

```
WITH RECURSIVE Recurs(attributes) AS ( Q_1 (non-recursive query)

UNION

Q_2 (recursive query)
)
SELECT * FROM Recurs;
```

Requires the use of several temporary tables:

- Result is the final result of evaluating the query
- Working, Temp hold intermediate results

Recursive Queries (cont)

How recursion works:

```
Working = Result = evaluate Q_1
while (Working table is not empty) {
	Temp = \text{evaluate } Q_2, using Working in place of Recurs
	Temp = Temp - Result
	Result = Result \ UNION \ Temp
	Working = Temp
}
```

I.e. generate new tuples until we see nothing not already seen.

Recursive Queries (cont)

Example: count number of each sub-part in a given part.

Schema: Parts(part, sub_part, quantity)

```
WITH RECURSIVE IncludedParts(sub_part, part, quantity) AS (
    SELECT sub_part, part, quantity
    FROM Parts WHERE part = GivenPart
UNION ALL
    SELECT p.sub_part, p.part, p.quantity
    FROM IncludedParts i, Parts p
    WHERE p.part = i.sub_part
    )
SELECT sub_part, SUM(quantity) as total_quantity
FROM IncludedParts
GROUP BY sub part
```

SQL: Views

Views

A view is like a "virtual relation" defined via a query.

View definition and removal:

```
CREATE VIEW ViewName AS Query
```

```
CREATE VIEW ViewName [ (AttributeNames) ]
AS Query
```

DROP VIEW ViewName

The Query may be any SQL query, involving

- other views (intensional relations)
- stored tables (extensional relations)

Views (cont)

The stored tables in a view are referred to as base tables.

Views are defined only after their base tables are defined.

A view is valid only as long as its underlying query is valid.

Dropping a view has no effect on the base tables.

Views (cont)

Example: An avid Carlton drinker might not be interested in any other kinds of beer.

```
CREATE VIEW MyBeers AS
   SELECT name, manf
  FROM Beers
  WHERE manf = 'Carlton';
SELECT * FROM MyBeers;
```

name	mani
Crown Lager	Carlton
Fosters Lager	Carlton
Invalid Stout	Carlton
Melbourne Bitter	Carlton
Victoria Bitter	Carlton

Views (cont)

A view might not use all attributes of the base relations.

Example: We don't really need the address of inner-city hotels.

Views (cont)

A view might use computed attribute values.

Example: Number of beers produced by each brewer.

```
CREATE VIEW BeersBrewed AS

SELECT manf as brewer,

count(*) as nbeers

FROM beers GROUP BY manf;
```

SELECT * FROM BeersBrewed;

brewer	nbeers
Caledonian	1
Carlton	5
Cascade	1

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Renaming View Attributes

This can be achieved in two different ways:

```
CREATE VIEW InnerCityHotels AS

SELECT name AS pub, license AS lic

FROM Bars

WHERE addr IN ('The Rocks', 'Sydney');
```

```
CREATE VIEW InnerCityHotels(pub,lic) AS
SELECT name, license
FROM Bars
WHERE addr IN ('The Rocks', 'Sydney');
```

Using Views

Views can be used in queries as if they were stored relations.

However, they differ from stored relations in two important respects:

- their "value" can change without being explicitly modified (i.e. a view may change whenever one of its base tables is updated)
- they may not be able to be explicitly modified (updated) (only a certain simple kinds of views can be explicitly updated)

Using Views (cont)

Example: of view changing when base table changes.

```
SELECT * FROM InnerCityHotels;
                    license
      name
 Australia Hotel | 123456
 Lord Nelson
                     123888
Marble Bar
                     122123
-- then the Lord Nelson goes broke
DELETE FROM Bars WHERE name = 'Lord Nelson';
-- no explict update has been made to InnerCityHotels
SELECT * FROM InnerCityHotels;
                    license
      name
 Australia Hotel
                   123456
 Marble Bar
                     122123
```

Updating Views

Explicit updates are allowed on views satisfying the following:

the view involves a single relation R

- the **WHERE** clause does not involve **R** in a subquery
- the WHERE clause only uses attributes from the SELECT

Attributes not in the view's **SELECT** will be set to **NULL** in the base relation after an insert into the view.

Updating Views (cont)

Example: Our InnerCityHotel view is not updatable.

```
INSERT INTO InnerCityHotels
VALUES ('Jackson''s on George', '9876543');
```

creates a new tuple in the **Bars** relation:

```
(Jackson's on George, NULL, 9876543)
```

when we **SELECT** from the view, this new tuple does not satisfy the view condition:

```
addr IN ('The Rocks', 'Sydney')
```

Updating Views (cont)

If we had chosen to omit the **license** attribute instead, it would be updatable:

```
CREATE VIEW CityHotels AS

SELECT name, addr FROM Bars

WHERE addr IN ('The Rocks', 'Sydney');

INSERT INTO CityHotels

VALUES ('Jackson''s on George', 'Sydney');

creates a new tuple in the Bars relation:

(Jackson's on George, Sydney, NULL)

which would appear in the view after the insertion.
```

Updating Views (cont)

Updatable views in PostgreSQL require us to specify explicitly how updates are done:

```
CREATE RULE InsertCityHotel AS

ON INSERT TO CityHotels

DO INSTEAD

INSERT INTO Bars VALUES

(new.name, new.addr, NULL);

CREATE RULE UpdateCityHotel AS

ON UPDATE TO CityHotels

DO INSTEAD

UPDATE Bars

SET addr = new.addr

WHERE name = old.name;
```

Evaluating Views

Two alternative ways of implementing views:

• re-writing rules (or macros)

- when a view is used in a query, the query is re-written
- after rewriting, becomes a query only on base relations
- explicit stored relations (called materialized views)
 - the view is stored as a real table in the database
 - updated appropriately when base tables are modified

The difference: underlying query evaluated either at query time or at update time.

Evaluating Views (cont)

Example: Using the **InnerCityHotels** view.

```
CREATE VIEW InnerCityHotels AS
    SELECT name, license
    FROM Bars
    WHERE addr IN ('The Rocks', 'Sydney');

SELECT name
FROM InnerCityHotels
WHERE license = '123456';
```

```
--is rewritten into the following form before execution

SELECT name

FROM Bars

WHERE addr IN ('The Rocks', 'Sydney')

AND license = '123456';
```

Evaluating Views (cont)

Demonstrate the rewriting process via relational algebra.

Some abbreviations

```
n = name, l = license
L = license = ''123456'
A = addr IN ('The Rocks', 'Sydney')
```

View definition in RA:

InnerCityHotels =
$$\Pi_{(n,1)}(\mathcal{O}_{(A)}(Bars))$$

Evaluating Views (cont)

Rewriting of query involving a view:

- = SELECT name from InnerCityHotels WHERE license = '123456'
- = $\Pi_{(n)}(\mathcal{O}_{(L)}(InnerCityHotels))$
- $= \pi_{(n)}(\mathcal{O}_{(L)}(\pi_{(n,1)}(\mathcal{O}_{(A)}(Bars))))$
- = $\Pi_{(n)}(\Pi_{(n,1)}(\mathcal{O}_{(L)}(\mathcal{O}_{(A)}(Bars)))$
- $= \pi_{(n)}(\sigma_{(L)}(\sigma_{(A)}(Bars)))$
- = $\pi_{(n)}(\sigma_{(L \& A)}(Bars))$
- = $\Pi_{(n)}(\mathcal{O}_{(A \& L)}(Bars))$

```
= SELECT name FROM Bars
WHERE addr IN ('The Rocks', 'Sydney')
AND license = '123456'
```

Materialized Views

Naive implementation of materialized views:

replace view table by re–evaluating query after each update

Clearly this costs space and makes updates more expensive.

However, in a situation where

- updates are infrequent compared to queries on the view
- the cost of "computing" the view is expensive

this approach provides substantial benefits.

Materialized views are used extensively in data warehouses.

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