

# Anatomical accuracy of brain connections derived from diffusion MRI tractography is inherently limited

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Tractography based on diffusion-weighted MRI (DWI) is widely used for mapping the structural connections of the human brain. Its accuracy is known to be limited by technical factors affecting in vivo data acquisition, such as noise, artifacts, and data undersampling resulting from scan time constraints. It generally is assumed that improvements in data quality and implementation of sophisticated tractography methods will lead to increasingly accurate maps of human anatomical connections. However, assessing the anatomical accuracy of DWI tractography is difficult because of the lack of independent knowledge of the true anatomical connections in humans. Here we investigate the future prospects of DWI-based connective imaging by applying advanced tractography methods to an ex vivo DWI dataset of the macaque brain. The results of different tractography methods were compared with maps of known axonal projections from previous tracer studies in the macaque. **Despite the exceptional quality of the DWI data, none of the methods demonstrated high anatomical accuracy.** The methods that showed the highest sensitivity showed the lowest specificity, and vice versa. Additionally, anatomical accuracy was **highly dependent upon parameters** of the tractography algorithm, with different optimal values for mapping different pathways. These results suggest that there is an inherent limitation in determining long-range anatomical projections based on voxel-averaged estimates of local fiber orientation obtained from DWI data that is unlikely to be overcome by improvements in data acquisition and analysis alone.

diffusion MRI | tractography | white matter | tracer | validation

The creation of a comprehensive map of the connective neuroanatomy of the human brain would be a fundamental achievement in neuroscience. However, despite the numerous efforts to date (for a historical review, see ref. 1), creating this map remains a challenge. A major limitation is that the current gold-standard technique for mapping structural connections, which requires the injection of axonal tracers, cannot be used in humans. The introduction of diffusion-weighted MRI (DWI) (2–4) and the subsequent advent of diffusion tensor MRI (DTI) (5) opened the possibility of exploring the structural properties of white matter in the living human brain (6). Local DWI measures are used clinically for the early detection of stroke and for the characterization of neurological disorders such as multiple sclerosis, epilepsy, and brain gliomas, among others (7). In addition, tractography approaches (8–12) that can infer structural brain connectivity based on brain-wide local DWI measurement have been developed (for reviews, see refs. 13 and 14). The success of DWI tractography as a method for studying fiber trajectories has led to a systematic characterization of large white-matter pathways of the living human brain (e.g., ref. 15), and now it is used routinely to provide a structural explanation for aspects of human brain function (16).

A major limitation of DWI tractography is that its characterization of axonal pathways is based on indirect information and numerous assumptions. Local white matter orientation profiles are

based on the statistical displacement profile (i.e., diffusion propagator) of water molecules in brain tissue on the coarse scale of a voxel, and fiber trajectories are inferred based on the adjacency of similar diffusion profiles. This approach differs fundamentally from conventional tract-tracing approaches in animals, which involve the physical transport of traceable molecules through the cells' axoplasm over a large distance. Because these molecules occupy positions within the axon, it sometimes is possible to reconstruct the trajectory of individual neurons through the white matter (e.g., ref. 17). Given the inherent coarseness of DWI tractography, it can be argued that the prospect of using this method to reconstruct complex axonal pathways accurately in the human brain, in a manner similar to that used for molecular tracers in animals, is likely to be intrinsically problematic. Indeed, the **limitations** of DWI tractography techniques have been noted since their inception (8), and the anatomical accuracy of results from tractography based on the tensor model has been shown to be mixed (18). This inaccuracy has been attributed to two main factors. The first relates to the assumptions underlying tractography algorithms. For example, it has long been recognized that a **simple tensor model** (19) of local diffusion leads to problems in certain white matter regions where fibers cross within individual voxels. As a remedy, high angular resolution diffusion imaging (HARDI) methods (e.g., refs. 20–24) have been developed to enable better characterization of the diffusion displacement profile and to improve the accuracy of tractography. The second factor limiting accuracy stems from the **low quality** of clinical DWI **data** because of various sources of noise. Eddy current distortions,

## Significance

**Diffusion-weighted MRI (DWI) tractography is widely used to map structural connections of the human brain in vivo and has been adopted by large-scale initiatives such as the human connectome project. Our results indicate that, even with high-quality data, DWI tractography alone is unlikely to provide an anatomically accurate map of the brain connectome. It is crucial to complement tractography results with a combination of histological or neurophysiological methods to map structural connectivity accurately. Our findings, however, do not diminish the importance of diffusion MRI as a noninvasive tool that offers important quantitative measures related to brain tissue microstructure and white matter architecture.**

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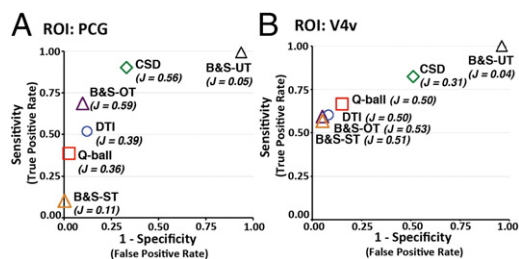
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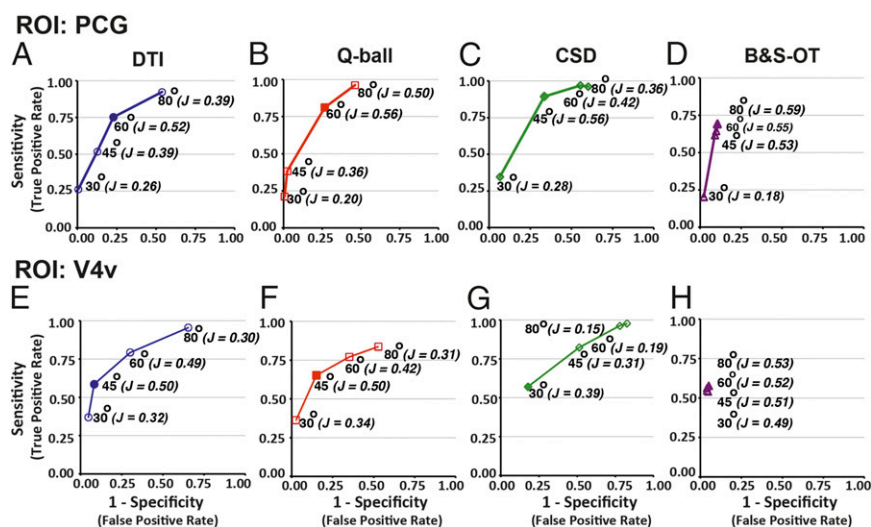
**Fig. 2.** The specificity and sensitivity of diffusion tractography techniques differ by diffusion model and location of the seed ROI. Specificity and sensitivity for seed ROI-PCG (A) and seed ROI-V4v (B). For all four types of diffusion models tested, the seed ROI was a sphere with a radius of 10 voxels, and the default angular threshold was used for deterministic (45°) and probabilistic (80°) tractography techniques. The Youden index value (J), which summarizes the performance of each tractography technique, is noted.

trajectories that are obviously anatomically incorrect. In general, the angular threshold is 45° for most deterministic tractography implementations and 80° for most probabilistic methods. Here, we explored the effect of four angular thresholds (30°, 45°, 60°, and 80°) on the specificity and sensitivity of the various tractography techniques. The key finding from our investigation is that, across all tractography methods, **sensitivity increases but specificity decreases as angular threshold increases** (Fig. 3). Our results suggest that for deterministic tractography techniques, a generic angular **threshold** such as 45° cannot be assumed because the optimal levels of specificity and sensitivity are **dependent on the type of** deterministic **tractography method** used, as well as on the location of the seed ROI. As shown in Fig. 3 A–C in the case of ROI-PCG, the optimal specificity and sensitivity are observed at 60° for DTI and Q-ball but at 45° for CSD. However, as is evident from Fig. 3 E–G, in the case of ROI-V4v, optimal specificity and sensitivity are observed at 45° for DTI and Q-ball and at 30° for CSD-based tractography.

It is noteworthy that the pattern of the effect of changes in angular threshold on specificity and sensitivity differs for the probabilistic tractography based on the B&S model. In particular, compared with all the deterministic tractography techniques, the probabilistic technique based on a data-driven estimation of the optimal visualization threshold (B&S-OT) shows the smallest decrease in specificity as the angular threshold increases from 30° to 80° (Fig. 3 D and H). However, unlike the deterministic tractography techniques, only a marginal increase in sensitivity

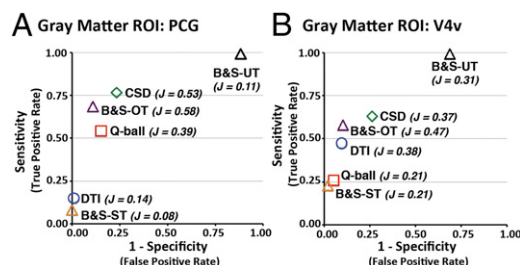
can be observed for ROI-PCG, and very little change in sensitivity can be detected for ROI-V4v (Fig. 3H). Indeed, even at the extreme angular threshold of 80°, the Youden index does not increase beyond 0.59.

**Composition of the Seed ROI.** With the anterograde tracer technique, the radioactively labeled tracer is absorbed selectively by the cell bodies (i.e., gray matter) and transported to the axon terminals. In contrast, with DWI data from a standard clinical scanner, it generally is difficult for tractography algorithms to generate trajectories in gray matter because of its low anisotropy. Therefore, most diffusion tractography techniques require the seed ROIs to be dilated to include voxels containing white matter (e.g., ref. 36). However, an arbitrary increase in ROI size can result in structural connectivity maps that are not anatomically accurate. Techniques such as probabilistic tractography based on the B&S model have been reported to overcome this limitation, partly by using a liberal angular threshold of 80° (37). We therefore set the angular threshold to 80° for all four tractography techniques and tested their anatomical accuracy using seed ROIs that were restricted to gray matter. As shown in Fig. 4, when the ROI contained predominantly gray matter voxels, DTI showed the lowest sensitivity for ROI-PCG, whereas Q-ball showed the lowest sensitivity for ROI-V4v. Among the deterministic techniques, CSD showed the highest sensitivity across the two ROIs. For the B&S probabilistic technique, the highest sensitivity and the lowest specificity were observed when a visualization threshold was not imposed (B&S-UT). Applying the standard threshold (B&S-ST) resulted in the lowest sensitivity compared with all other methods for both ROIs but also offered the highest specificity. However, of the four tractography techniques, B&S-OT provided the optimal levels of sensitivity and specificity. That said, a comparison of the Youden J values for tractography results based on gray matter ROIs versus tractography results based on ROIs dilated to include white matter voxels shows dramatic changes in Youden J values for all three deterministic techniques (Table S1) as well as for the B&S probabilistic technique when the results are unthresholded or are thresholded using standard recommendations. In summary, **sensitivity generally is reduced when the seed ROI is predominantly within gray matter**, and although dilating the ROI improves sensitivity with deterministic tractography techniques, it does so at the cost of dramatically reduced specificity. In addition, the **probabilistic technique** appears to be **less susceptible to changes in the composition of an ROI**, but only if an **optimized threshold** can be **derived** and used.



**Fig. 3.** The effect of changing the angular threshold on the specificity and sensitivity of results from the four tractography techniques across the two seed ROIs. (A–H) For both ROIs, the Youden index value (J) for each angular threshold is noted, and the threshold that yields the most optimal J value is depicted by a filled symbol. Note that for the B&S method, the specificity and sensitivity are presented only for the optimal threshold. See Figs. S1 and S2 for the ROC curves for each angular threshold.





**Fig. 4.** Sensitivity and specificity of the four tractography techniques in seed location PCG (A) and V4v (B) when the seed ROI was restricted within gray matter. For all four types of diffusion models tested, the angular threshold was set to 80°. See Fig. S3 for a summary of the true positives and false negatives for the two seed regions.

## Discussion

Our primary objective was to test the hypothesis that DWI data of exceptional quality and sophisticated DWI tractography techniques are sufficient to generate an anatomically accurate map of axonal connections. Using ultra-high-resolution ex vivo DWI in the macaque and previously published tracer results, we undertook a comprehensive assessment of the sensitivity and specificity of a variety of widely used tractography techniques. The main finding of our study is that a **tractography technique that shows high sensitivity** (a high rate of true positives) **most likely will show low specificity** (a high rate of false positives). In addition, the anatomical accuracy of tractography techniques was found to be highly dependent on a number of technical parameters, such as the type of diffusion model, the angular threshold, and the composition of the seed ROI. Moreover, our results indicate that the **choice of parameters** that produces the best combination of sensitivity and specificity varies for different pathways. Overall, even in ideal experimental conditions, the anatomical accuracy of DWI tractography is suboptimal.

This conclusion initially may seem at odds with the few published studies that have reported **validation of tractography** with histological data in the macaque (34, 38). However, in these studies the validation was based primarily on qualitative observations. Studies that assessed the accuracy of DWI tractography by quantifying the overlap fraction between tracer-labeled regions and tractography (39, 40) found that DWI tractography missed some of the tracer-labeled target regions (i.e., false negatives). However, these previous studies differ from the present study in three ways. First, they were focused primarily on assessing sensitivity rather than specificity (34, 38). Our study shows that a very high level of sensitivity can be achieved by changing certain tracking parameters such as the angular threshold. However, the gain in sensitivity comes at the cost of reduced specificity. Second, in the previous studies, the tractography results were constrained either by waypoints (34, 39) or orientation-specific ROIs (38). Using a priori anatomical knowledge to constrain tractography is likely to reduce the occurrence of false-positive trajectories but precludes an objective assessment of the ability of the technique to reveal the trajectory of fiber pathways that are currently unknown. Finally, previous studies were less general and systematic in assessing the effects of a broad range of tractography algorithms and implementations, because they focused on validating one specific implementation of tractography using specific parameters. In the present study we tested the accuracy of different types of tractography implementations ranging from the simple diffusion tensor model to sophisticated HARDI diffusion models that are considered capable of resolving complex fiber crossings. However, even the

most sophisticated tractography methods tested here did not consistently show superior sensitivity and specificity.

**Implications.** The major implication of our study is that a diffusion tractography technique that produces anatomically accurate results remains an elusive goal even with DWI data of exceptional quality. The ex vivo DWI data used here are of such high quality that, by our estimation, acquiring data of the same SNR and resolution from a human brain in a standard clinical scanner, in vivo, would require thousands of hours of scan time. We believe our results highlight an inherent limitation of DWI tractography: inferring fiber direction information from a water diffusion displacement profile is fundamentally a complex, underdetermined inverse problem that cannot be solved. Our results also suggest that this problem is unlikely to be overcome by using sophisticated HARDI tracking algorithms or by acquiring high-resolution (angular and spatial) DWI data. In this respect, our findings are consistent with an ex vivo DWI tractography study of the human optic chiasm that showed that **DWI tractography fails** to identify the ipsilateral and contralateral axonal pathways that branch at the optic chiasm, even at a resolution of 156  $\mu\text{m}$  (in-plane) (41). Despite this intrinsic limitation, tractography is likely to remain a widely used tool in neuroscience, because currently it is the only noninvasive method that allows visualization of white matter pathways in vivo. So how can our findings help improve the use of tractography?

Our results indicate that none of the four tractography techniques we assessed can be considered superior for all applications of tractography. Future improvements in the accuracy of diffusion tractography will require innovations in MRI hardware, sequence design, data acquisition strategies, and tractography algorithms (28, 42). Although such advances will lead to incremental improvements in the overall accuracy, they may not overcome the **inherent ambiguities in inferring long-range axonal connectivity based on local diffusion displacement profiles**. **One suggestion, therefore, is to select the tractography method, or combination of methods, most appropriate for a specific objective.** For example, if the objective is to reduce the possibility of identifying spurious pathways, a tractography method with better specificity, such as DTI, Q-ball, or B&S probabilistic tractography (using a conservative threshold), should be used. Alternatively, if the objective is to reduce the likelihood of missing salient pathways, a tractography technique with relatively high sensitivity, such as CSD and B&S probabilistic tractography (using a liberal visualization threshold), would be more appropriate. For example, to avoid inadvertent transection of critical fibers of passage, as in the case of palliative surgery for brain tumors, a tractography technique with low specificity but high sensitivity would be appropriate.

It is important to note that our results do not invalidate the utility of DWI tractography for visualizing major fasciculi. Indeed, many of the major fasciculi segmented using tractography are anatomically consistent with dissection studies in the human (43, 44). Instead, our results underscore the fact that DWI tractography alone will not be sufficient to build an anatomically accurate map of the human brain connectome. Moreover, given the inherent ambiguity of DWI tractography results, it is crucial to support inferences about the organization of pathways in the human with data from animal models, using converging methods. Granted, the use of animal models is somewhat limited because of interspecies differences in neuroanatomy (45, 46). However, given the similarities in the connectivity profile between the monkey and human brain (47, 48), macaque models will continue to serve as an indispensable tool for explicating general organizational principles of brain networks such as characterization of the directional transitions that axons make when entering or exiting gray matter (35, 49, 50). Insights from such studies may help improve the accuracy of tractography techniques.

**Caveats.** Our study has some potential limitations. One concern is that, because of the use of anterograde tracers, the tracer data used here document only the afferent projections from the site of the tracer injection. Connections visualized with DWI tractography, however, do not differentiate between afferent and efferent projections. Thus, some of the false positives identified in the present analysis could possibly be true positives; as a result, the specificity of all tractography techniques would be underestimated. However, studies that used retrograde tracers in the macaque (51) and other primates (52, 53) have found that the cortico-cortical and cortico-thalamic regions identified as being directly connected to the injection sites PCG and V4v tend to be bidirectional. Moreover, our own results suggest that this aspect does not contribute to a large bias in the rate of false positives. As is evident from Fig. 24, the rate of false positives for Q-ball reaches values very close to 0, and sensitivity is greater than chance, which would not be possible in the presence of false positives caused by retrograde connections. This pattern can be observed in the performance of B&S-OT and DTI for ROI-V4v as well (Fig. 2B).

Another potential issue is that the tracer and DWI data are not from the same animals, and therefore factors such as interindividual variability in neuroanatomy and mismatches in the placement of the ROIs used to assess agreement between DWI and tracer data could negatively impact the measured accuracy of the tractography methods tested here. With regard to variability in the connective neuroanatomy, tracer studies involving multiple monkeys suggest there is very little interindividual variability in the topography of connections (48, 54). Morphological variability can be present, but we have mitigated the potential effects of this confound by using careful registration strategies. The DWI data were 3D-registered to match the tracer atlas at each slice location optimally, ensuring local correspondence between anatomical landmarks such as major sulci and the location of subcortical nuclei and commissural pathways. To minimize the effects of potential mismatches in the extent and location of the ROIs used to assess agreement between DWI and tracer data, we defined a grid of relatively large, anatomically meaningful ROIs without requiring agreement at a fine level (e.g., on a voxel-by-voxel or a fixed millimeter-scale grid). Thus, a tracer ROI that was identified at the head or tail of the caudate nucleus would be transferred to the same anatomical region in the MRI slice even if the whole brain morphometry was slightly different in the two specimens. Our own results suggest that differences in neuroanatomy do not bias the performance of the tractography methods tested here. In fact, any systematic bias in the registration of the two datasets or anatomical parcellation should affect the measurement of accuracy of all the tractography techniques tested here, for all possible settings of the algorithm, which was not the case (Fig. S3).

Another aspect that potentially could impact tractography results is the choice of b-values used in the DWI acquisition. In the present study, we used a single b-value of 4,800 s/mm<sup>2</sup> that previously was found to be within the optimal range (~2,000 to ~8,000 s/mm<sup>2</sup>) for most tractography methods in fixed brain specimens (55). However, Dyrby et al. (55) found that some HARDI methods, such as Q-ball showed optimal tractography results with higher b-values. Given that the optimal b-value is method dependent, the performance of specific tractography methods can be expected to vary as a function of the b-value. However, we expect the sensitivity-specific tradeoff observed across the various tractography methods will persist regardless of the b-value used for data acquisition.

Finally, we note that each of the tractography methods assessed here contains many more user-tunable parameters than those examined in the present study. For example, one could use the probabilistic version of the CSD method instead of the deterministic version to improve the robustness of the tractography results (56). Furthermore, adjusting parameters within each method, such as the harmonic degree used for CSD, the step size used in the tractography algorithm, and the type of interpolation performed, among others, would result primarily in a shift of the sensitivity/specificity

values along the ROC curves, although some effects on overall performance may be possible. A systematic examination of the **effect of all possible parameters** is beyond the scope of this work; however, the methodology we adopted here offers an objective approach for assessing the effect of additional parameters in the future and potentially fine-tuning their optimal value.

**Capitalizing on the Strengths of DWI.** Although the results of our study highlight a fundamental limitation in using DWI tractography to map brain connectivity accurately, DWI will continue to be an extremely valuable tool in neuroscience. The core strength of DWI is that it uses measurements of the displacement of water molecules to reveal the local microstructural features of biological tissue that typically are hidden in standard structural scans (Fig. S4). Specifically, DWI makes it possible to visualize the anisotropy of water diffusion in brain tissue and to derive quantitative measures related to the microstructural and architectural features of white matter and other types of brain tissue (57). This feature makes DWI an indispensable research tool for investigating brain pathology. In addition, DWI measurements of local tissue microstructure are being used to explore the relationship between individual differences in white matter architecture and behavior and to measure changes in the brain as a result of developmental maturation, learning, and aging. Moving forward, it is worthwhile to recognize that the diffusion displacement profile, mathematically described as the **diffusion propagator** (58, 59), contains not only orientational information but also information pertaining to water compartments and the exchange between those compartments. Therefore, more comprehensive characterization of the diffusion propagator can provide a series of novel quantitative measures (60) related to the microstructural properties of brain tissue. Such measures can further improve our ability to quantify changes in brain microstructure in healthy and clinical populations.

## Materials and Methods

**MRI Data Acquisition.** The brain of one adult male Rhesus macaque monkey (*Macaca mulatta*) (4.5 y old, 5.95 kg) was used in this study (see [SI Materials and Methods](#) for details regarding sample preparation.) A standard linear birdcage volume coil (72 mm i.d.) was used to image the brain in a 30-cm magnet bore 7-Tesla scanner (Bruker BioSpin). The scanner was equipped with a custom-designed gradient coil (Resonance Research Inc.) capable of a maximum gradient strength of 440 mT/m on each axis and 120- $\mu$ s ramp time. The diffusion data were acquired with a 3D spin-echo diffusion-weighted EPI sequence (DtiEpi) available in Bruker Paravision software. The diffusion-encoding part comprised a pair of Stejskal-Tanner gradient pulses, with  $\delta = 6$  ms and  $\Delta = 14$  ms, and the b-value was set at 4,800 s/mm<sup>2</sup>, which has been demonstrated to be sufficient to model multiple fiber populations in ex vivo specimens (55). A diffusion-weighting gradient table of 121 directions on vertices of a tessellated icosahedral hemisphere was used, and seven additional image volumes were collected with b = 0. A 3D EPI scan was used to obtain isotropic high-resolution images with high SNR. To avoid EPI ghosting artifacts, even and odd echoes were separately reconstructed into magnitude images before the two sets of images were averaged together (61). Echo time was reduced by acquiring the EPI train in 16 segments. Partial Fourier reconstruction was used in the EPI phase-encoding direction to reduce the echo time further, with an oversampling factor of 1.3. The 3D image matrix size was 278  $\times$  256  $\times$  238, resulting in an isotropic spatial resolution of 250  $\mu$ m. Scan repetition time was 500 ms; echo time was 34 ms. Each diffusion direction took about 32 min to acquire, and the entire DWI acquisition took ~71 h. All procedures followed the *Guide for the Care and Use of Laboratory Animals* (62) and were approved by the National Institute of Mental Health Animal Care and Use Committee.

**DWI Preprocessing and Tractography.** The data were preprocessed using the TORTOISE software package (63) and were corrected for eddy current distortions and motion-like artifacts caused by frequency drifts. The preprocessed DWI data were processed further through specific software pipelines to compute the voxelwise diffusion displacement profile, which forms the basis for all tractography methods. For all the tractography methods (DTI, Q-ball, CSD, and B&S), the only stopping criterion was the angular threshold, which was set to 30°, 45°, 60°, 80°, or its equivalent in terms of radius of curvature. Based on the tracer datasets, the location and the size of the seed ROIs were defined, and the

tractography results were visualized. Finally, the agreement between the tracer results and tractography results was computed using in-house software (for details see [SI Materials and Methods](#)).

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