

Classification of symptom-side predominance in idiopathic Parkinson's disease

Delia-Lisa Feis^a, Esther A. Pelzer^{a,b}, Lars Timmermann^{b,*} Marc Tittgemeyer^{a,*}

^aMax Planck Institute for Metabolism Research, Cologne, Germany

^bUniversity Hospital Cologne, Department of Neurology, Cologne, Germany

* To whom correspondence should be addressed:

Prof. Dr. Lars Timmermann

Dr. Marc Tittgemeyer

University Hospital Cologne

Max-Planck-Institute for Metabolism Research

Kerpener Str. 62, 50937 Cologne

Gleueler Str 50, 50931 Cologne

Germany

Germany

lars.timmermann@uk-koeln.de

marc.tittgemeyer@sf.mpg.de

Running title: Symptom-side predominance in iPD

Total word count: 1072

keywords: Parkinson's Disease, predictive classification, symptom-side predominance, disease prognosis

Conflicts of interest:

LT received payments as a consultant for Medtronic Inc, Boston Scientific, SAPIENS, St. Jude Medical, Bayer Healthcare, UCB Schwarz Pharma, Archimedes Pharma. LT received honoraria as a speaker on symposia sponsored by TEVA Pharma, Lundbeck Pharma, Bracco, Gianni PR, Medas Pharma, UCB Schwarz Pharma, Desitin Pharma, Boehringer Ingelheim, GlaxoSmithKline, Eumecom, Orion Pharma, Medtronic, Boston Scientific, Cephalon, Abbott, GE Medical, Archimedes, Bayer, TAD Pharma.

Funding:

This study was supported from a grant of the German Research Foundation in the Clinical Research Group KFO 219. MT is also supported by funding from the German Research Foundation in the Transregional Collaborative Research Centre T-CRC 134.

1 Introduction

2 Parkinson's disease (PD) is one of the most common neurodegenerative diseases resulting in
 3 motor as well as in non-motor dysfunctions. Despite its heterogeneous clinical manifestation
 4 and course, the asymmetry of motor symptoms at the time of diagnosis is a pathological
 5 hallmark indicating Parkinson's disease (i.e., idiopathic Parkinsonian Syndrome) that clinically
 6 helps to differentiate from atypical forms of PS¹. Besides this important role in the definition of
 7 PD, side of disease onset and progression of motor impairment were reported to be strongly
 8 linked¹: While a right-sided symptom-onset is associated with a more favorable outcome in
 9 terms of cognitive impairment, a left-sided symptom-onset appears to be associated with a
 10 better outcome regarding motor progression¹⁻³. Both clinical pathways certainly imply
 11 considerable difference not only in forecasting potential progression, but also the individual
 12 therapeutic approach. Although the origin of a unilateral symptom prevalence still remains
 13 enigmatic, this has sparked much interest in studying symptom-side predominance². Whilst the
 14 clinical definition of a side predominance is not trivial with respect to the individual course of
 15 disease, the determination at disease onset seems consistent^{2,3}.

16 To date, numerous studies have employed multivariate decoding methods such as
 17 support vector machines to classify disease entities; rather infrequently these methods were
 18 applied to predict a treatment outcome or prognose disease progression at an individual subject
 19 level. With respect to PD, **decoding** methods have been used to delineate, e.g., essential tremor
 20 with rest tremor from tremor-dominant **PD patients**⁴. Support vector classification involving
 21 data from quantitative MRI approaches, such as diffusion tensor imaging (DTI), has been
 22 recently applied to **distinguish between PD patients and a group of patients with PD-mimicking**
 23 **conditions**⁵. Various white matter microstructural changes associated with PD⁶ thus reveal a
 24 **significant hint at DTI as valuable tool when investigating this pathology**. In fact, decoding
 25 methods on morphological markers from various MRI methods have changed the culture of
 26 clinical cohort analysis to the extent that they putatively reveal underlying neurobiological
 27 mechanisms, hence supporting to move **beyond descriptive phenomenological categorization**⁷.

28 Following this rationale, one might argue that despite the lateralization of dopaminergic
 29 deficit, wide-spread structural differences reflecting the asymmetry of PD can be systematically
 30 found. Therefore, we introduced a multimodal approach to model symptom-side at disease
 31 onset in brain morphology based only on different aspects of diffusion MR parameters and
 32 **multi-kernel support vector classification**⁸. Using this approach, we deemed to identify specific
 33 morphological markers between disease forms unraveling the enigma of variety in clinical
 34 pattern of disease.

1 Methods

2 Methods and any associated references are available in the supplementary information of this
3 paper.

4 Results

5 We used segregated brain gray (cortical aspect) and white (microarchitectural aspect) matter
6 images of 24 male idiopathic PD patients to predict left- and right-sided symptom onset of motor
7 signs. Remarkably, this framework yielded an above-chance accuracy of 96%. Classification
8 performance is demonstrated by means of the receiver operating characteristics (ROC) curve
9 with an area under the ROC curve of 0.9 (Figure 1A). The decision values that were provided by
10 the classifier highlight the considerable separability of both groups (Figure 1B). In absolute
11 terms, our classifier was able to correctly assign the symptom-side predominance in all but one
12 individual (sensitivity of 100% and specificity of 92%). Post-hoc inspection of the medical
13 records of this one misclassified patient (red circle) revealed a suicide attempt with a carbon
14 monoxide poisoning which differentiates him from all other patients.

15 When mapping the MRI relevant voxel that drive prediction results onto the
16 neuroanatomical space (cf. Figure 1C), the largest discriminative cluster identified is the
17 posterior head of the right hippocampus (putatively CA4⁹). Since predominantly the pattern for
18 explaining right-sided symptom onset (negative weight vector/blue color scale) occurred in this
19 region, this finding might indicate relatively higher mean diffusivity in the right-sided symptom
20 onset group as compared to the left-sided group.

21 Discussion

22 We demonstrate a strikingly high prediction of symptom-side predominance in iPD patients that
23 especially benefits from a novel multimodal whole-brain approach (due to the combination of
24 white and gray matter segregations of different images). Our multi-kernel decoding algorithm
25 discriminates body-side asymmetry with an accuracy of 96%, indicating excellent statistical
26 performance. Apart from one outlier, this predictive model yields a remarkable precision of
27 100%. The exact determination of symptom-side prevalence at disease onset is of major interest
28 since it has recently been linked to disease progression¹. Notably, post-hoc mapping of most
29 relevant regions revealed an aspect of the posterior head of the right hippocampus⁹. One might
30 speculate, that right-sided onset patients exhibit relatively more microstructural alterations in
31 this area as compared to left-sided onset patients. This discriminative region is especially
32 intriguing given the hippocampal role in spatio-temporal orientation and mnemonic function⁹. This
33 also resonates with previous findings, where patients with a right-sided symptom-onset were

1 associated with a more favorable outcome regarding cognitive impairment, and a left-sided
2 symptom-onset appeared to be associated with a more favorable outcome in terms of motor
3 progression¹⁻³. Here, our sole outlier attempted suicide by carbon monoxide poisoning three
4 years prior to our study. As hippocampal damage is one of the typical pathological features of
5 carbon monoxide poisoning¹⁰, we argue that this is a reasonable explanation for his
6 misclassification.

7 We explicitly chose the utility of diffusion-weighted brain imaging data here since these
8 data are susceptible for the neurodegenerative aspects of PD. In particular, we used a
9 combination of fractional anisotropy (segregated white matter) as well as mean diffusivity
10 (segregated gray matter) images to investigate both the integrity of the fiber architecture and
11 cortical differences at the same time (multimodal aspect). Especially the use of diffusion-
12 weighted images enables the detection of subtle anomalies as well as restricted diffusion in
13 white and gray matter in patients suffering from carbon monoxide poisoning¹⁰. Given that (i) our
14 framework is based on segments of diffusion tensor images and (ii) our major classification
15 pattern was identified in the hippocampus, even subtle changes in this one patients'
16 hippocampus could have misled the model to predict the wrong body-side.

17 In conclusion, because of the excellent performance and group separability, we are
18 convinced this proves a reliable predictive model for symptom-side diagnostics in iPD patients
19 based solely on parameters from diffusion tensor images. We consider these results a major step
20 in further predictive clinical models of Parkinson's disease incorporating the many clinical
21 aspects that determine individual prediction of progression as well as of a personalized
22 sustainable therapeutic approach. We propose that the described asymmetry parameter should
23 be incorporated as a covariate when striving for a comprehensive and particularly generalizable
24 future model of this disease.

25
26 Author contributions:

27 MT and LT designed the research, EAP and DLF acquired data, DLF contributed analytic tools
28 and analyzed the data, DLF wrote the first draft while MT and LT reviewed the manuscript.

1 References:

- 2 1 Baumann, C. R., Held, U., Valko, P. O., Wienecke, M. & Waldvogel, D. in *Mov. Disord.* Vol. 29
3 207-213 (2014).
- 4 2 Katzen, H. L., Levin, B. E. & Weiner, W. in *Mov. Disord.* Vol. 21 1947-1953 (2006).
- 5 3 Tomer, R., Levin, B. E. & Weiner, W. J. Side of onset of motor symptoms influences
6 cognition in Parkinson's disease. *Ann Neurol.* **34**, 579-584 (1993).
- 7 4 Cherubini, A. *et al.* in *Mov. Disord.* Vol. 29 1216-1219 (2014).
- 8 5 Haller, S. *et al.* in *AJNR Am J Neuroradiol* (2012).
- 9 6 Gattellaro, G. *et al.* in *AJNR Am J Neuroradiol* Vol. 30 1222-1226 (2009).
- 10 7 Stephan, K. E. & Mathys, C. in *Current Opinion in Neurobiology* Vol. 25 85-92 (2014).
- 11 8 Feis, D.-L., Brodersen, K. H., von Cramon, D. Y., Luders, E. & Tittgemeyer, M. in
12 *Neuroimage* Vol. 70 250-257 (2013).
- 13 9 Winterburn, J. L. *et al.* in *Neuroimage* Vol. 74 254-265 (Elsevier Inc., 2013).
- 14 10 Teksam, M., Casey, S. O., Michel, E., Liu, H. & Truwit, C. L. in *Neuroradiology* Vol. 44 109-
15 113 (2002).

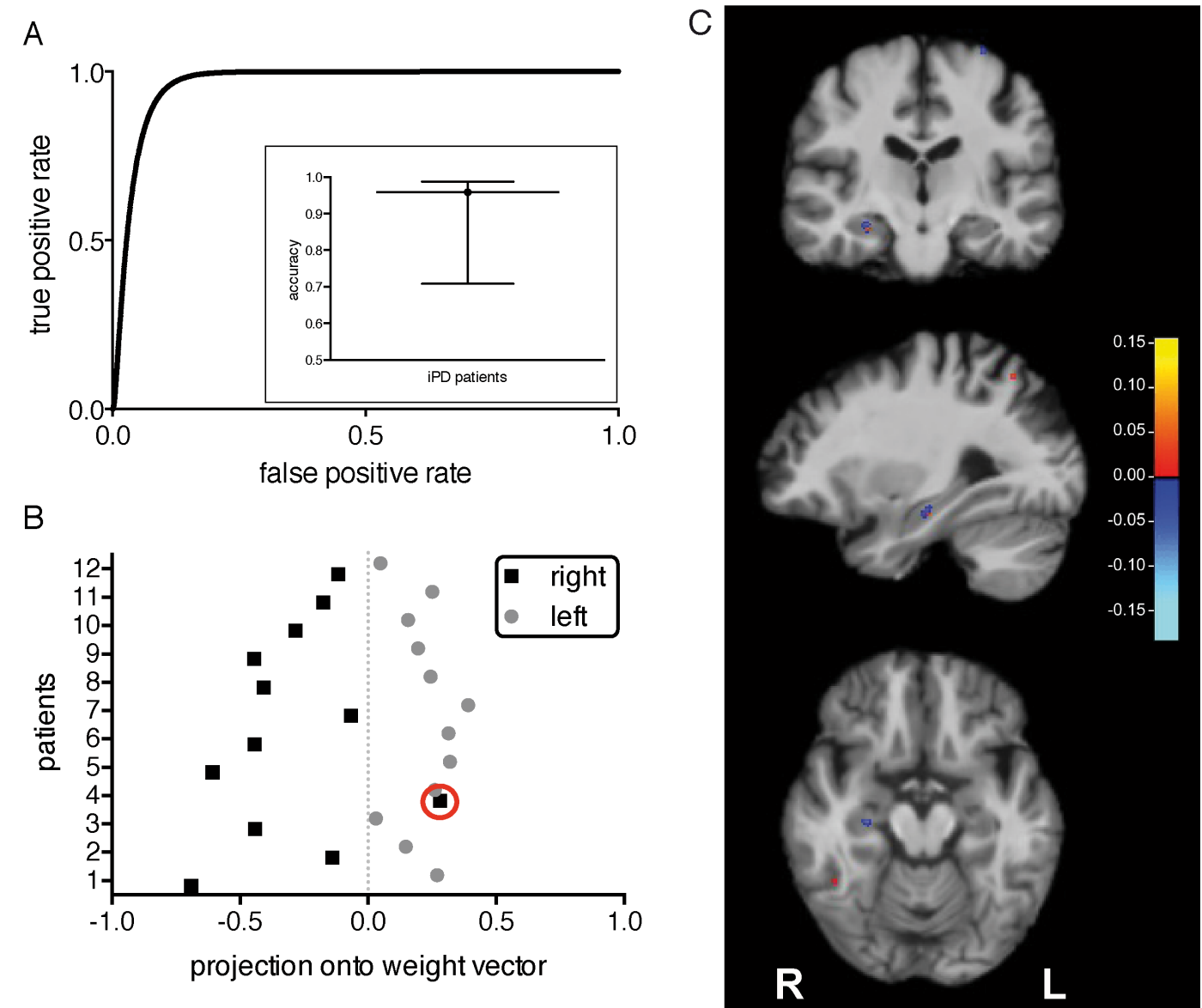
16

17

1 **Figure legend**

2 **Figure 1:** Classification performance with results mapped onto brain anatomy. (A) Receiver
3 operating characteristic curve of the symptom-side predominance classification with an area
4 under curve of 0.9. The inset shows the classification accuracy with its credible interval ranging
5 from 71% to 99%. (B) Best classification accuracy (96%) provided utilizing segregated brain
6 gray and white matter segments. (C) Neuroanatomical findings of symptom-side predominance
7 are superimposed onto a T₁-weighted image of an individual study brain. The spatially
8 contiguous patterns of discrimination reveal relatively higher cortical diffusivity (positive
9 pattern vector; red color scale) or relatively lower cortical diffusivity (negative pattern vector;
10 blue color scale) in left-sided patients. The largest predictive cluster is the posterior head of the
11 right hippocampus (putatively CA4), which is illustrated in a coronal (first row), a sagittal
12 (second row) and a horizontal slice (last row). The scale represents an arbitrary unit; L and R
13 indicate the left and right hemispheres, respectively.

Feis et al. Figure 1



SUPPLEMENTARY INFORMATION

Patients and methods

Patients. Given morphological gender differences in healthy subject ^{1,2} as well as in PD patients and the fact that men have a higher disease prevalence³ we exclusively studied 24 right-handed male patients with idiopathic PD (iPD). The symptom-side assignment of left and right body-side was done according to Tomer et al.⁴ by a neurologist with expertise in PD (E.A.P.). Our sample constituted of 12 left-sided and 12 right-sided symptom-onset patients, who did not differ in age, disease duration, their Levodopa equivalent daily dose or the degree of motor impairment off medication as measured by the unified Parkinson's disease rating scale-III (UPDRS III). For more information see Supplementary Table S1.

MRI acquisition parameters. Anatomical high-resolution T₁-weighted image were acquired using a 12-channel array head coil with a full-brain field of view (MDEFT3D: TR= 1930 ms, TI= 650ms, TE= 5.8 ms, 128 sagittal slices, resolution = 1x1x1.25mm³, flip angle = 18°). The VBM8 toolbox was used to preprocess these images as described in Feis et al. ⁵. Additionally, diffusion-weighted magnetic resonance (MR) imaging data were collected separately with a 32-channel array head coil from our sample using spin-echo echo-planar imaging (spin-echo-EPI: TR = 11200 ms, TE = 87 ms, 90 axial slices, resolution = 1.7 × 1.7 × 1.7 mm³). Diffusion weighting was isotropically distributed along 60 directions (b-value 1000 s/mm²). Seven images without diffusion weighting were acquired at the beginning and after each block of ten diffusion-weighted images, providing an anatomical reference for motion correction. The arithmetic mean across three consecutive scanning sessions was computed in order to increase the signal-to-noise ratio of the diffusion-weighted images. Mean diffusivity (MD) and fractional anisotropy (FA) images were estimated by fitting a diffusion tensor to the data within each voxel. Resulting images were co-registered to T₁-weighted images and nonlinearly normalized to an MNI template before being segmented into gray and white matter segments. To exploit information jointly encoded by different diffusion parameters and to ensure a whole-brain analysis, gray matter segments of the MD images and white matter segments of the FA images were submitted to the classifier.

Prediction. To avoid statistics on a group level basis but rather classify individual patients, a multivariate machine learning technique called support vector machine (SVM, as implemented by Chang and Li ⁶) with a linear kernel was employed. The procedure used here to predict the symptom-side predominance of male PD patients at disease onset was previously described in ^{1,5}. In order to benefit from distinct parameter-specific physical apertures to the different tissue properties, we slightly modified our algorithm by employing a multi-kernel approach with an

equal weighting of the two diffusion images⁷. Generally, an SVM learns from labeled example training data to differentiate given groups. The major aim is to create a multimodal model based on MD (gray matter) and FA (white matter) imaging data that can accurately predict previously unseen patients having either left- or right-sided symptom onset (testing step; the data was not provided in the training phase). To this end, a decision function is learnt that discriminates the given training data to one of the two group labels. In this study, left-sided patients form the positive class; right-sided form the negative class.

Since both the MD and FA images consist of more voxels than iPD patients in our study, we preselected the most important voxels via **feature selection method** to ensure accurate prediction accuracy. In accordance to **previous articles**^{1,5}, a method called **Fisher's criterion** was applied to find the top 100 most relevant features⁸. Using a (nested) leave-one-patient-out cross-validation procedure on the training set, we automatically optimized the SVM regularization parameter⁹. Subsequently, we applied the optimal model to an independent set of test patients. Statistics such as sensitivity, specificity, F-measure, classification accuracy with its 95% credible interval and the area under the receiver operating characteristics (ROC) curve were calculated^{5,10} to assess the performance of our multimodal classifier.

References

- 1 Feis, D.-L., Brodersen, K. H., von Cramon, D. Y., Luders, E. & Tittgemeyer, M. in *Neuroimage* Vol. 70 250-257 (2013).
- 2 Goldstein, J. M. *et al.* in *Cereb. Cortex* Vol. 11 490-497 (2001).
- 3 Solla, P. *et al.* in *J. Neurol. Sci.* Vol. 323 33-39 (2012).
- 4 Tomer, R., Levin, B. E. & Weiner, W. J. Side of onset of motor symptoms influences cognition in Parkinson's disease. *Ann Neurol.* **34**, 579-584 (1993).
- 5 Feis, D.-L. *et al.* in *NeuroImage: Clinical* Vol. 2 903-911 (2013).
- 6 Chang, C. in *Citeseer* (2001).
- 7 Zhang, D. *et al.* in *Neuroimage* Vol. 55 856-867 (2011).
- 8 Furey, T. S. *et al.* in *Bioinformatics* Vol. 16 906-914 (2000).
- 9 Lemm, S., Blankertz, B., Dickhaus, T. & Müller, K.-R. in *Neuroimage* Vol. 56 387-399 (2011).

- 10 Brodersen, K. H., Ong, C. S., Stephan, K. E. & Buhmann, J. M. in *2010 20th International Conference on Pattern Recognition (ICPR)* 3121-3124 (IEEE, 2010).

Table S1 Patient demographics.

	Symptom-side predominance [mean \pm SD]		p-values of the Mann-Whitney U Test
	left	right	
age	61 \pm 10	64 \pm 10	0.45
disease duration	8 \pm 5	7 \pm 4	0.97
handedness	89 \pm 15	78 \pm 27	0.42
UPDRS III OFF medication	30 \pm 8	27 \pm 13	0.30
UPDRS III ON medication	16 \pm 3	13 \pm 7	0.14
Levodopa equivalent daily dose	768 \pm 404	533 \pm 260	0.21

Age and disease duration are given in years. The amount of Levodopa equivalent daily dose is indicated in milligram.