

Distributed Transactions

- Distributed Transactions
- Concurrency control and locks

Transactions

- Transactions provide higher level mechanism for *atomicity* of processing in distributed systems
 - Have their origins in databases
- Banking example: Three accounts A:\$100, B:\$200, C:\$300
 - Client 1: transfer \$4 from A to B
 - Client 2: transfer \$3 from C to B
- Result can be inconsistent unless certain properties are imposed on the accesses

Client 1	Client 2
Read A: \$100	
Write A: \$96	
	Read C: \$300
	Write C:\$297
Read B: \$200	
	Read B: \$200
	Write B:\$203
Write B:\$204	

ACID Properties

- *Atomic*: all or nothing
- *Consistent*: transaction takes system from one consistent state to another
- *Isolated*: Immediate effects are not visible to other (serializable)
- *Durable*: Changes are permanent once transaction completes (commits)

Client 1	Client 2
Read A: \$100	
Write A: \$96	
Read B: \$200	
Write B:\$204	
	Read C: \$300
	Write C:\$297
	Read B: \$204
	Write B:\$207

Transaction Primitives

Primitive	Description
BEGIN_TRANSACTION	Make the start of a transaction
END_TRANSACTION	Terminate the transaction and try to commit
ABORT_TRANSACTION	Kill the transaction and restore the old values
READ	Read data from a file, a table, or otherwise
WRITE	Write data to a file, a table, or otherwise

Example: airline reservation

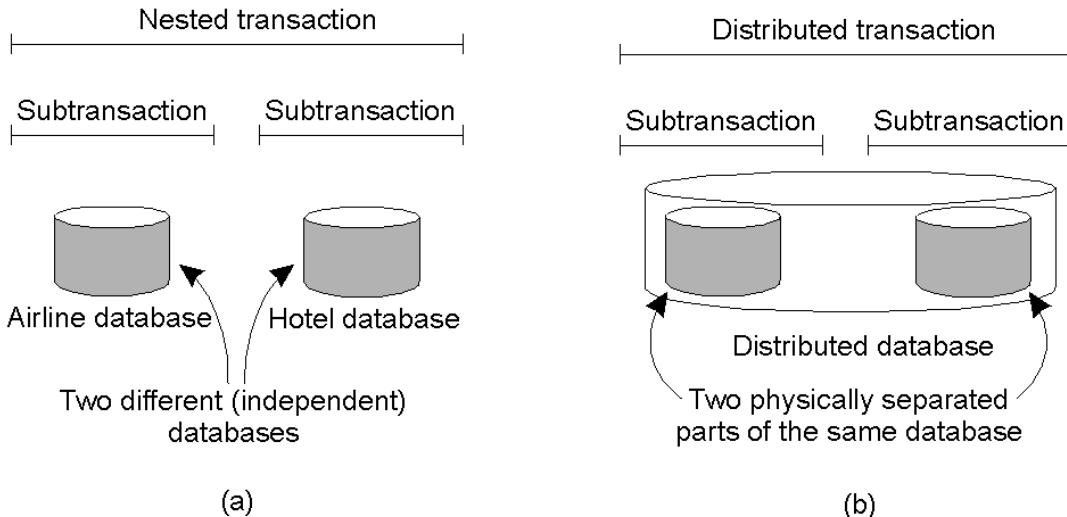
Begin_transaction

```
if(reserve(NY,Paris)==full) Abort_transaction  
if(reserve(Paris,Athens)==full) Abort_transaction  
if(reserve(Athens,Delhi)==full) Abort_transaction
```

End_transaction

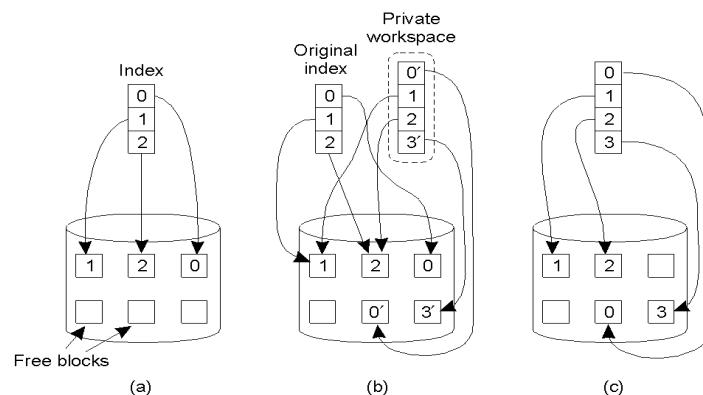
Distributed Transactions

- a) A nested transaction
- b) A distributed transaction



Implementation: Private Workspace

- Each transaction gets copies of all files, objects
- Can optimize for reads by not making copies
- Can optimize for writes by copying only what is required
- Commit requires making local workspace global



Option 2: Write-ahead Logs

- *In-place updates*: transaction makes changes *directly* to all files/objects
 - *Write-ahead log*: prior to making change, transaction writes to log on *stable storage*
 - Transaction ID, block number, original value, new value
 - Force logs on commit
 - If abort, read log records and undo changes [*rollback*]
 - Log can be used to rerun transaction after failure
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- Both workspaces and logs work for distributed transactions
 - Commit needs to be *atomic* [will return to this issue in later lecture]

Writeahead Log Example

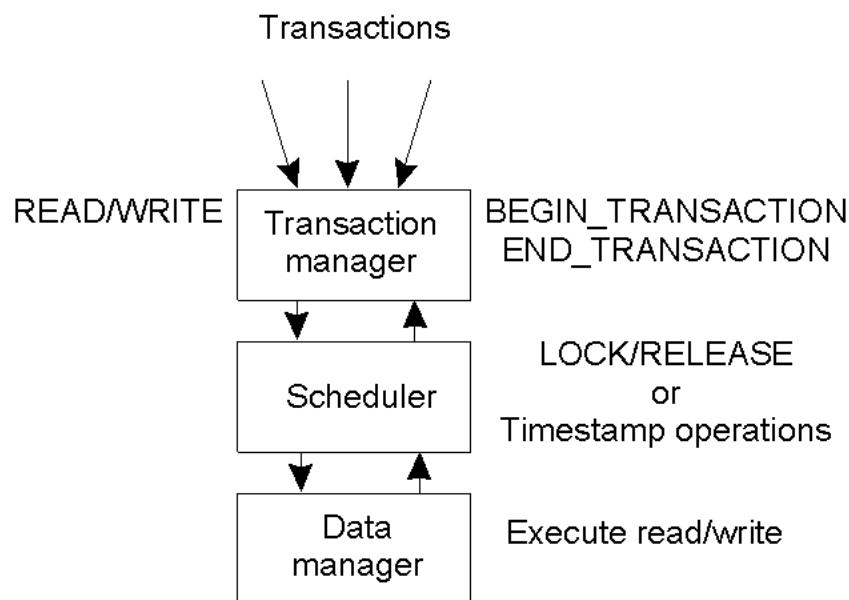
x = 0; y = 0; BEGIN_TRANSACTION; x = x + 1; y = y + 2 x = y * y; END_TRANSACTION;	Log [x = 0 / 1]	Log [x = 0 / 1] [y = 0/2]	Log [x = 0 / 1] [y = 0/2] [x = 1/4]
(a)	(b)	(c)	(d)

- a) A transaction
- b) – d) The log before each statement is executed

Concurrency Control

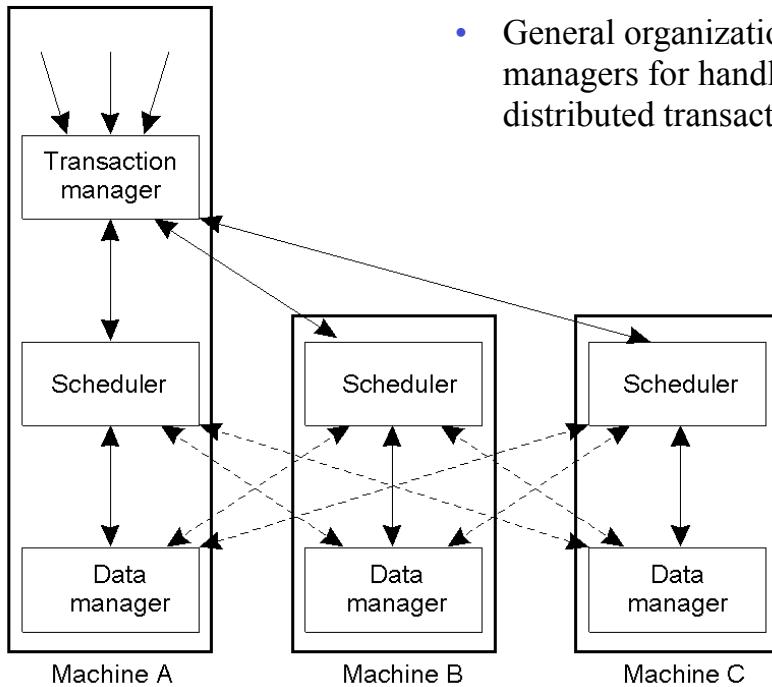
- Goal: Allow several transactions to be executing simultaneously such that
 - Collection of manipulated data item is left in a consistent state
- Achieve consistency by ensuring data items are accessed in an specific order
 - Final result should be same as if each transaction ran sequentially
- Concurrency control can implemented in a *layered* fashion

Concurrency Control Implementation



- General organization of managers for handling transactions.

Distributed Concurrency Control



- General organization of managers for handling distributed transactions.

Serializability

BEGIN_TRANSACTION
 $x = 0;$
 $x = x + 1;$
END_TRANSACTION

(a)

BEGIN_TRANSACTION
 $x = 0;$
 $x = x + 2;$
END_TRANSACTION

(b)

BEGIN_TRANSACTION
 $x = 0;$
 $x = x + 3;$
END_TRANSACTION

(c)

Schedule 1	$x = 0; x = x + 1; x = 0; x = x + 2; x = 0; x = x + 3$	Legal
Schedule 2	$x = 0; x = 0; x = x + 1; x = x + 2; x = 0; x = x + 3;$	Legal
Schedule 3	$x = 0; x = 0; x = x + 1; x = 0; x = x + 2; x = x + 3;$	Illegal

- **Key idea:** properly schedule conflicting operations
- Conflict possible if at least one operation is write
 - Read-write conflict
 - Write-write conflict

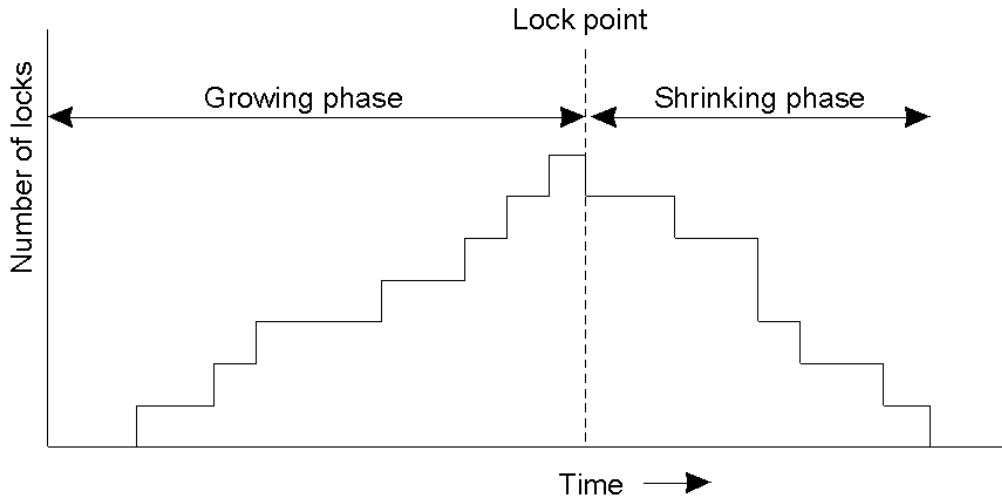
Optimistic Concurrency Control

- Transaction does what it wants and *validates* changes prior to commit
 - Check if files/objects have been changed by committed transactions since they were opened
 - Insight: conflicts are rare, so works well most of the time
- Works well with private workspaces
- Advantage:
 - Deadlock free
 - Maximum parallelism
- Disadvantage:
 - Rerun transaction if aborts
 - Probability of conflict rises substantially at high loads
- Not used widely

Two-phase Locking

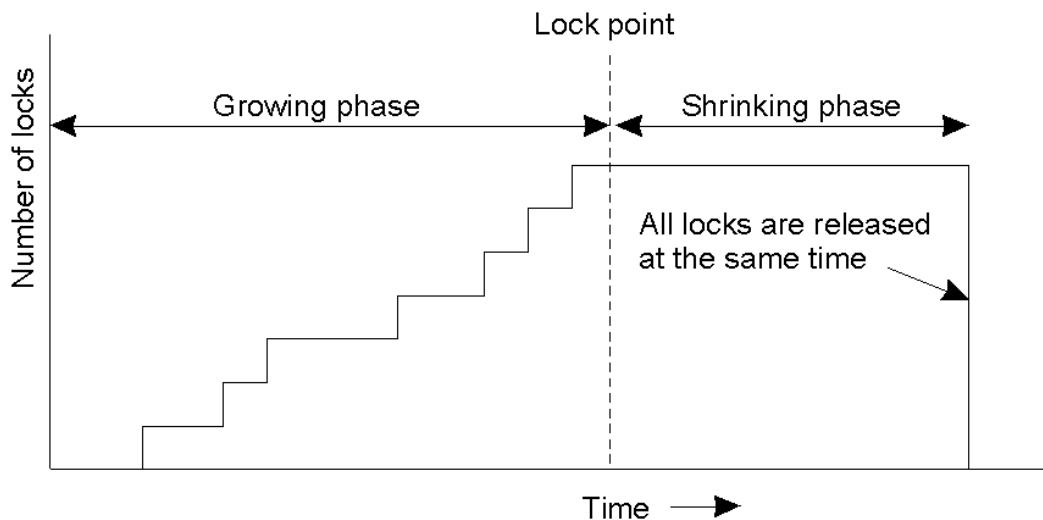
- Widely used concurrency control technique
- Scheduler acquires all necessary locks in growing phase, releases locks in shrinking phase
 - Check if operation on *data item x* conflicts with existing locks
 - If so, delay transaction. If not, grant a lock on *x*
 - Never release a lock until data manager finishes operation on *x*
 - Once a lock is released, no further locks can be granted
- Problem: deadlock possible
 - Example: acquiring two locks in different order
- Distributed 2PL versus centralized 2PL

Two-Phase Locking



- Two-phase locking.

Strict Two-Phase Locking



- Strict two-phase locking.

Timestamp-based Concurrency Control

- Each transaction T_i is given timestamp $ts(T_i)$
- If T_i wants to do an operation that conflicts with T_j
 - Abort T_i if $ts(T_i) < ts(T_j)$
- When a transaction aborts, it must restart with a new (larger) time stamp
- Two values for each data item x
 - $Max-rts(x)$: max time stamp of a transaction that read x
 - $Max-wts(x)$: max time stamp of a transaction that wrote x

Reads and Writes using Timestamps

- $Read_i(x)$
 - If $ts(T_i) < max-wts(x)$ then Abort T_i
 - Else
 - Perform $R_i(x)$
 - $Max-rts(x) = \max(max-rts(x), ts(T_i))$
- $Write_i(x)$
 - If $ts(T_i) < max-rts(x)$ or $ts(T_i) < max-wts(x)$ then Abort T_i
 - Else
 - Perform $W_i(x)$
 - $Max-wts(x) = ts(T_i)$

Pessimistic Timestamp Ordering

