

# Classical Problems in Distributed Systems

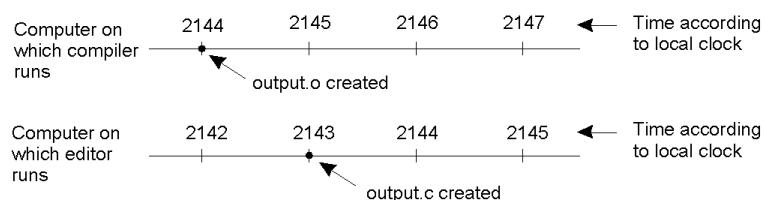
- Time ordering and clock synchronization (today)

Next few classes:

- Leader election
- Mutual exclusion
- Distributed transactions
- Deadlock detection
- CAP Theorem

## Clock Synchronization

- Time is unambiguous in centralized systems
  - System clock keeps time, all entities use this for time
- Distributed systems: each node has own system clock
  - Crystal-based clocks are less accurate (1 part in million)
  - *Problem:* An event that occurred after another may be assigned an earlier time

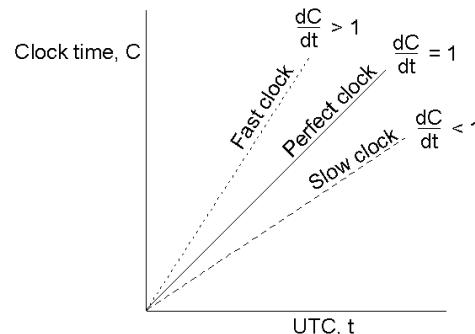


# Physical Clocks: A Primer

- How do you tell time?
  - Use astronomical metrics (solar day)
- Accurate clocks are atomic oscillators (one part in  $10^{13}$ )
- Coordinated universal time (*UTC*) – international standard based on atomic time
  - Add leap seconds to be consistent with astronomical time
  - UTC broadcast on radio (satellite and earth)
  - Receivers accurate to 0.1 – 10 ms
- Most clocks are less accurate (e.g., mechanical watches)
  - Computers use crystal-based blocks (one part in million)
  - Results in *clock drift*
- Need to synchronize machines with a master or with one another

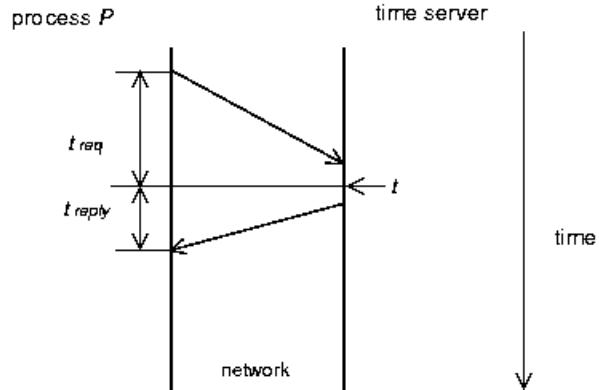
## Clock Synchronization

- Each clock has a maximum drift rate  $\rho$ 
  - $1-\rho \leq \frac{dC}{dt} \leq 1+\rho$
  - Two clocks may drift by  $2\rho \Delta t$  in time  $\Delta t$
  - To limit drift to  $\delta \Rightarrow$  resynchronize every  $\delta/2\rho$  seconds



# Cristian's Algorithm

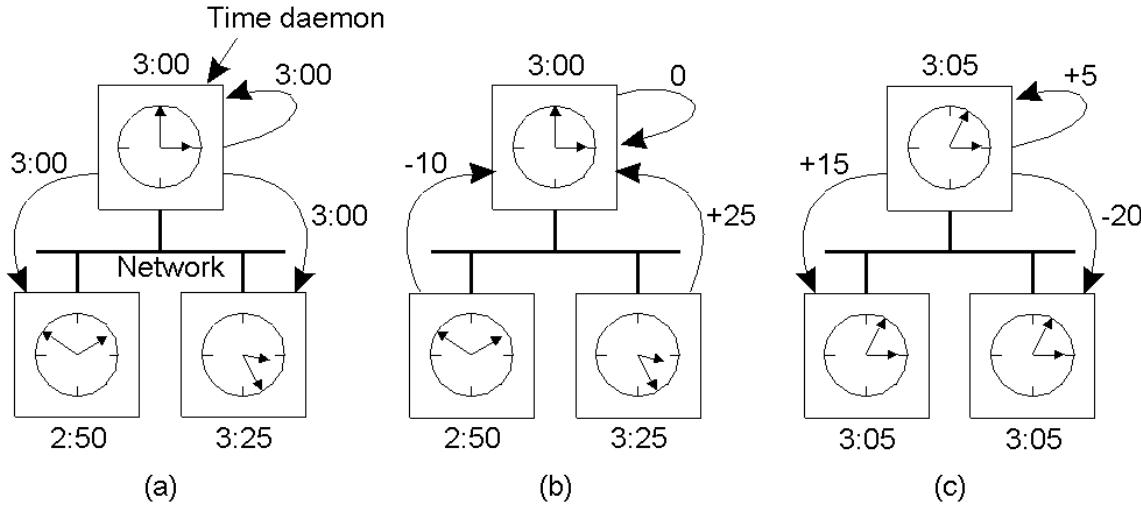
- Synchronize machines to a *time server* with a UTC receiver
- Machine P requests time from server every  $\delta/2\rho$  seconds
  - Receives time  $t$  from server, P sets clock to  $t+t_{\text{reply}}$  where  $t_{\text{reply}}$  is the time to send reply to P
  - Use  $(t_{\text{req}}+t_{\text{reply}})/2$  as an estimate of  $t_{\text{reply}}$
  - Improve accuracy by making a series of measurements



# Berkeley Algorithm

- Used in systems without UTC receiver
  - Keep clocks synchronized with one another
  - One computer is *coordinator*, other are *workers*
  - Master periodically polls slaves for their times
    - Average times and return differences to slaves
    - Communication delays compensated as in Cristian's algo
  - Failure of master => election of a new master

# Berkeley Algorithm



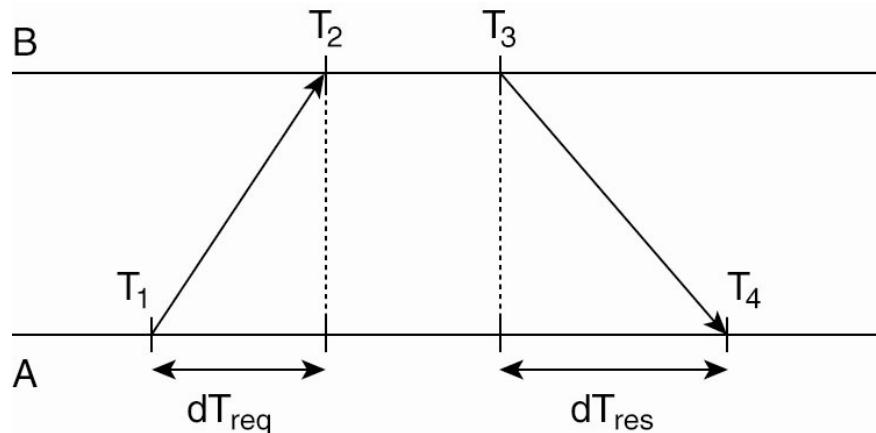
- a) The time daemon asks for all the other machines for their clock values
- b) The machines answer
- c) The time daemon tells everyone how to adjust their clock

## Distributed Approaches

- Both approaches studied thus far are centralized
- Decentralized algorithms: use resync intervals
  - Broadcast time at the start of the interval
  - Collect all other broadcast that arrive in a period  $S$
  - Use average value of all reported times
  - Can throw away few highest and lowest values
- Approaches in use today
  - *rdate*: synchronizes a machine with a specified machine
  - Network Time Protocol (NTP) - discussed in next slide
    - Uses advanced techniques for accuracies of 1-50 ms

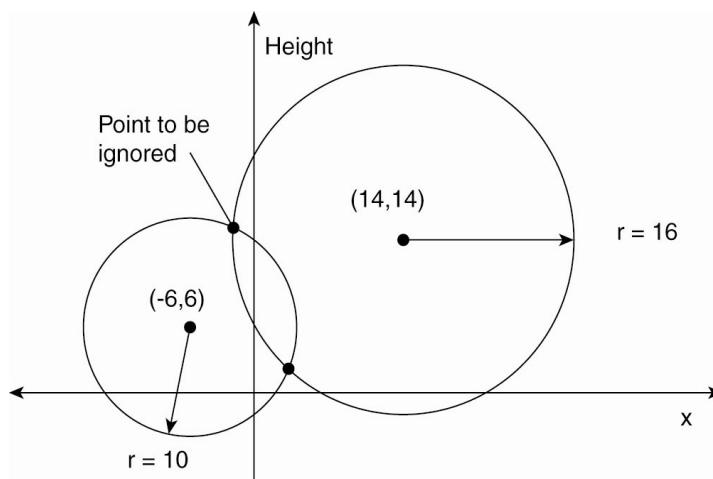
# Network Time Protocol

- Widely used standard - based on Cristian's algo
  - Uses eight pairs of delays from A to B and B to A.
- Hierarchical – uses notion of stratum
- **Clock can not go backward**



# Global Positioning System

- Computing a position in a two-dimensional space.



# Global Positioning System

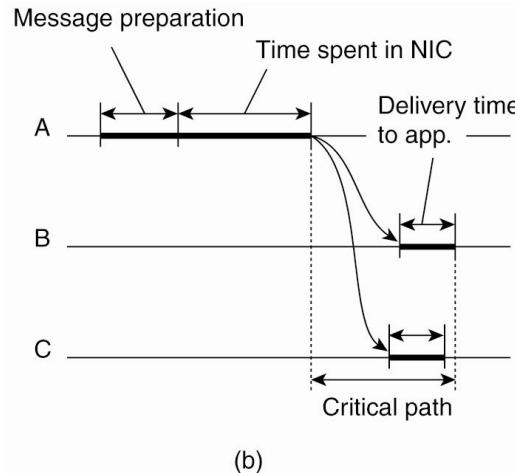
- Real world facts that complicate GPS
- It takes a while before data on a satellite's position reaches the receiver.
- The receiver's clock is generally not in sync with that of a satellite.

## GPS Basics

- $D_r$  – deviation of receiver from actual time
- Beacon with timestamp  $T_i$  received at  $T_{now}$ 
  - Delay  $D_i = (T_{now} - T_i) + D_r$
  - Distance  $d_i = c (T_{now} - T_i)$
  - Also  $d_i = \sqrt{(x_i - x_r)^2 + (y_i - y_r)^2 + (z_i - z_r)^2}$
- Four unknowns, need 4 satellites.
-

# Clock Synchronization in Wireless Networks

- Reference broadcast sync (RBS): receivers synchronize with one another using RB server
  - Mutual offset =  $T_{i,s} - T_{j,s}$  (can average over multiple readings)



## Logical Clocks

- For many problems, internal consistency of clocks is important
  - Absolute time is less important
  - Use *logical* clocks
- Key idea:
  - Clock synchronization need not be absolute
  - If two machines do not interact, no need to synchronize them
  - More importantly, processes need to agree on the *order* in which events occur rather than the *time* at which they occurred

# Event Ordering

- *Problem:* define a total ordering of all events that occur in a system
- Events in a single processor machine are totally ordered
- In a distributed system:
  - No global clock, local clocks may be unsynchronized
  - Can not order events on different machines using local times
- Key idea [Lamport ]
  - Processes exchange messages
  - Message must be sent before received
  - Send/receive used to order events (and synchronize clocks)

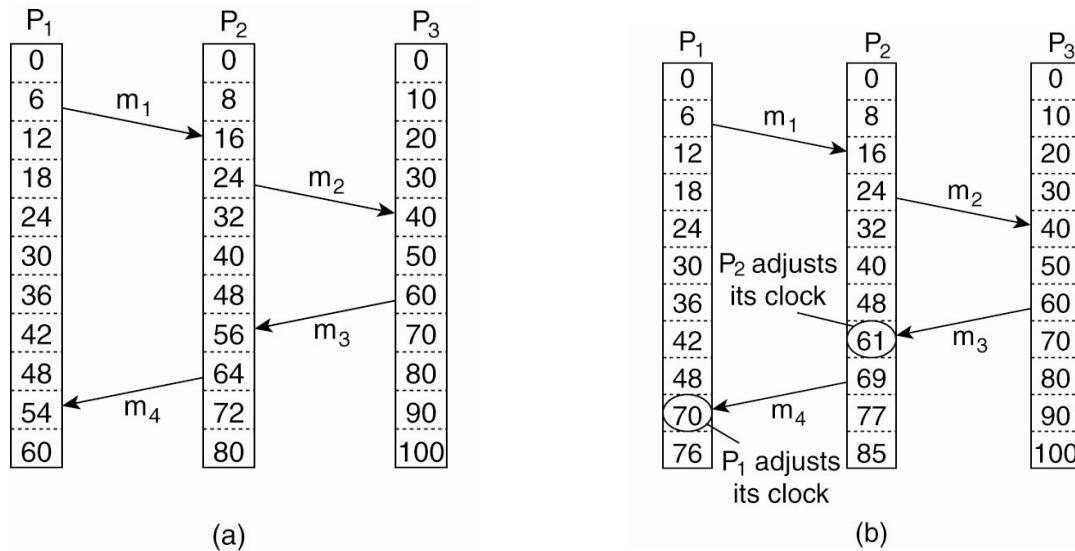
## Happened Before Relation

- If  $A$  and  $B$  are events in the same process and  $A$  executed before  $B$ , then  $A \rightarrow B$
- If  $A$  represents sending of a message and  $B$  is the receipt of this message, then  $A \rightarrow B$
- Relation is transitive:
  - $A \rightarrow B$  and  $B \rightarrow C \Rightarrow A \rightarrow C$
- Relation is undefined across processes that do not exchange messages
  - Partial ordering on events

# Event Ordering Using HB

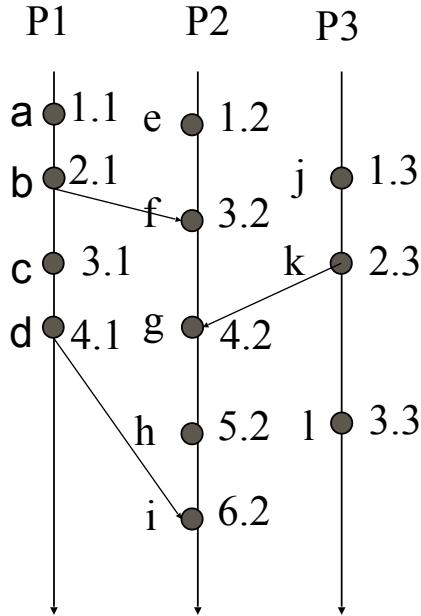
- Goal: define the notion of time of an event such that
  - If  $A \rightarrow B$  then  $C(A) < C(B)$
  - If  $A$  and  $B$  are concurrent, then  $C(A) <, =$  or  $> C(B)$
- Solution:
  - Each processor maintains a logical clock  $LC_i$
  - Whenever an event occurs locally at  $i$ ,  $LC_i = LC_i + 1$
  - When  $i$  sends message to  $j$ , piggyback  $LC_i$
  - When  $j$  receives message from  $i$ 
    - If  $LC_j < LC_i$  then  $LC_j = LC_i + 1$  else do nothing
  - Claim: this algorithm meets the above goals

## Lamport's Logical Clocks



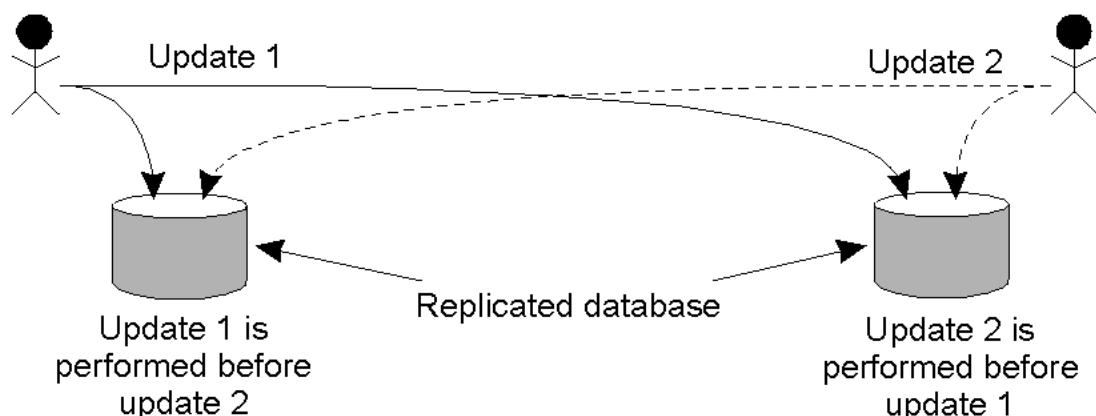
# Total Order

- Create total order by attaching process number to an event. If time stamps match, use process # to order



## Example: Totally-Ordered Multicasting

- Updating a replicated database and leaving it in an inconsistent state.



# Algorithm

- Totally ordered multicasting for banking example
  - Update is timestamped with sender's logical time
  - Update message is multicast (including to sender)
  - When message is received
    - It is put into local queue
    - Ordered according to timestamp,
    - Multicast acknowledgement
  - Message is delivered
    - It is at the head of the queue
    - It has been acknowledged by all processes
    - $P_i$  sends ACK to  $P_j$  if
      - $P_i$  has not made a request
      - $P_i$  update has been processed and  $P_i$ 's ID >  $P_j$ 's ID

# Causality

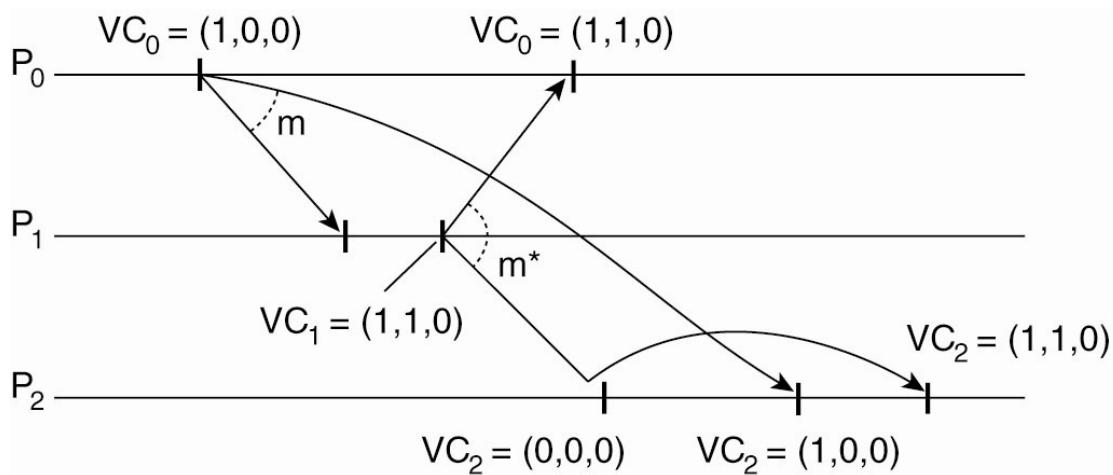
- Lamport's logical clocks
  - If  $A \rightarrow B$  then  $C(A) < C(B)$
  - Reverse is not true!!
    - Nothing can be said about events by comparing time-stamps!
    - If  $C(A) < C(B)$ , then ??
- Need to maintain *causality*
  - If  $a \rightarrow b$  then  $a$  is causally related to  $b$
  - *Causal delivery*: If  $\text{send}(m) \rightarrow \text{send}(n) \Rightarrow \text{deliver}(m) \rightarrow \text{deliver}(n)$
  - Capture causal relationships between groups of processes
  - Need a time-stamping mechanism such that:
    - If  $T(A) < T(B)$  then  $A$  should have causally preceded  $B$

# Vector Clocks

- Each process  $i$  maintains a vector  $V_i$ 
  - $V_i[i]$  : number of events that have occurred at  $i$
  - $V_i[j]$  : number of events  $i$  knows have occurred at process  $j$
- Update vector clocks as follows
  - Local event: increment  $V_i[i]$
  - Send a message :piggyback entire vector  $V$
  - Receipt of a message:  $V_j[k] = \max( V_j[k], V_i[k] )$ 
    - Receiver is told about how many events the sender knows occurred at another process  $k$
    - Also  $V_j[j] = V_j[j] + 1$
- *Exercise:* prove that if  $V(A) < V(B)$ , then  $A$  causally precedes  $B$  and the other way around.

## Enforcing Causal Communication

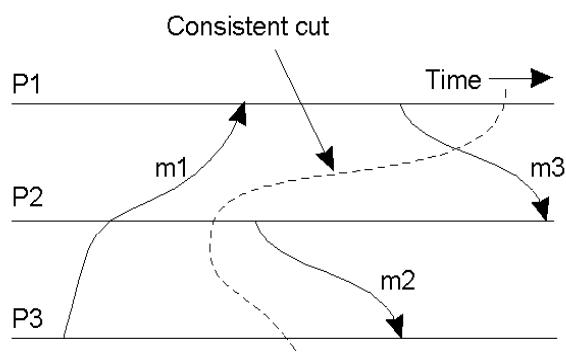
- Figure 6-13. Enforcing causal communication.



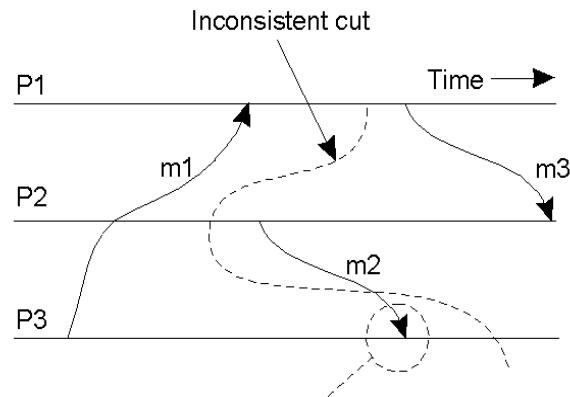
# Global State

- Global state of a distributed system
  - Local state of each process
  - Messages sent but not received (state of the queues)
- Many applications need to know the state of the system
  - Failure recovery, distributed deadlock detection
- Problem: how can you figure out the state of a distributed system?
  - Each process is independent
  - No global clock or synchronization
- Distributed snapshot: a consistent global state

## Global State (1)



(a)



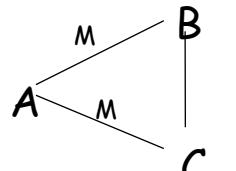
(b)

# Distributed Snapshot Algorithm

- Assume each process communicates with another process using unidirectional point-to-point channels (e.g, TCP connections)
- Any process can initiate the algorithm
  - Checkpoint local state
  - Send marker on every outgoing channel
- On receiving a marker
  - Checkpoint state if first marker and send marker on outgoing channels, save messages on all other channels until:
  - Subsequent marker on a channel: stop saving state for that channel

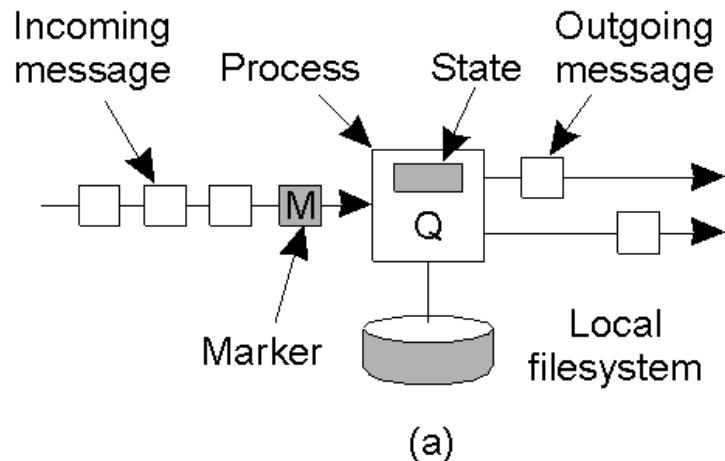
## Distributed Snapshot

- A process finishes when
  - It receives a marker on each incoming channel and processes them all
  - State: local state plus state of all channels
  - Send state to initiator
- Any process can initiate snapshot
  - Multiple snapshots may be in progress
    - Each is separate, and each is distinguished by tagging the marker with the initiator ID (and sequence number)



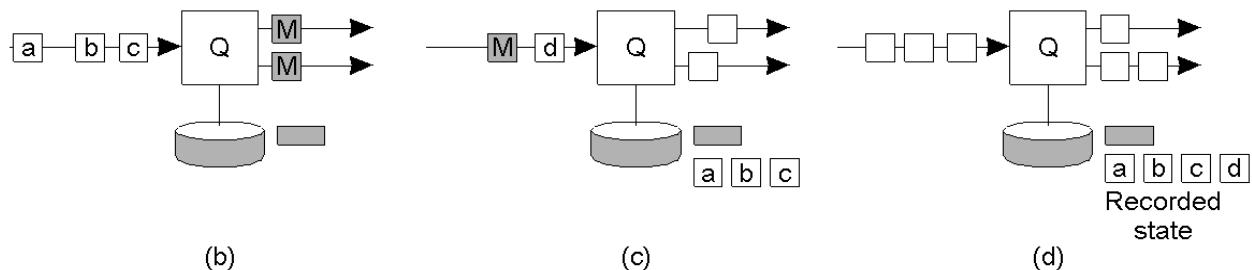
# Snapshot Algorithm Example

- a) Organization of a process and channels for a distributed snapshot



# Snapshot Algorithm Example

- b) Process  $Q$  receives a marker for the first time and records its local state  
c)  $Q$  records all incoming message  
d)  $Q$  receives a marker for its incoming channel and finishes recording the state of the incoming channel



# Termination Detection

- Detecting the end of a distributed computation
- Notation: let sender be *predecessor*, receiver be *successor*
- Two types of markers: Done and Continue
- After finishing its part of the snapshot, process  $Q$  sends a Done or a Continue to its predecessor
- Send a Done only when
  - All of  $Q$ 's successors send a Done
  - $Q$  has not received any message since it check-pointed its local state and received a marker on all incoming channels
  - Else send a Continue
- Computation has terminated if the initiator receives Done messages from everyone