

Adding Syntax Parameters to the Sweet.JS Macro Library for JavaScript

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The Designated Project Committee Approves the Project Titled

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ABSTRACT

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Lisp and Scheme have demonstrated the power of macros to enable programmers to evolve and craft languages. Mozilla Sweet.JS provides a way for developers to enrich their JavaScript code by adding new syntax to the language through the use of macros. Sweet.JS provides the possibility to define hygienic macros inspired by Scheme.

In this paper, I present the implementation of a “syntax parameter” feature for the Sweet.JS library. A syntax parameter is a mechanism for rebinding a macro definition within the dynamic context of a macro expansion. Some time hygienic macro bindings are insufficient such as with “anaphoric conditionals” where the value of the tested expression is available as an *it* binding. Syntax parameter are a outstanding instrument for resolving the setback of macro that demand to attach a recognized name. With syntax parameters, instead of introducing the binding unhygienically each time, we instead create one binding for the keyword, which we can then adjust later when we want the keyword to have a different meaning. As no new bindings are introduced hygiene is preserved. In my implementation I define “syntaxparam,” which defines and binds the syntax parameter part of the compiler; “syntaxLocalValue,” which pulls the syntax parameter definition in the defined scope and “replaceSyntaxParamTransform,” which expands the syntax parameter macro definition defined within the macro body using “syntaxLocalValue”.

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CHAPTER 1

Introduction

1.1 What are macros?

A macro is a rule or pattern that specifies how a certain input sequence should be mapped to an output sequence according to some defined procedure. Using a macro system a programmer can introduce new syntactic elements to the programming language. Macros found in a program are expanded by a *macro expander* and allow a programmer to enable code reuse. There are two types of macro systems

1. **Lexical macro systems**, such as the C preprocessor, transform the code before compilation. Lexical macros are ignorant of the grammar of the core programming language and therefore sometimes result in ill-formed programs and in accidental capture of identifiers [3]. They only require lexical analysis; that is they operate on the source text prior to any parsing, using simple substitution of tokenized character sequences for other tokenized character sequences, according to user-defined rules. Consider this example

```
#define INCI(i) {int a=0; ++i;}

int main(void){
    int a = 0, b = 0;
    INCI(a);
    INCI(b);
    printf("a is now %d, b is now %d\n", a, b);
    return 0;
}
```


Running through C preprocessor result in

```
int main(void)
{
    int a = 0, b = 0;

    {int a=0; ++a;};

    {int a=0; ++b;};

    printf("a is now %d, b is now %d\n", a, b);

    return 0;
}
```

The variable *a* declared in the top scope is shadowed by the *a* variable in the macro, which introduces a new scope. The output of the compiled program is:

```
a is now 0, b is now 1
```

The solution is to give the macro's variables names that do not conflict with any variable in the current program:

```
#define INCI(i) {int INCIfa=0; ++i;}

int main(void)
{
    int a = 0, b = 0;

    INCI(a);

    INCI(b);

    printf("a is now %d, b is now %d\n", a, b);

    return 0;
}
```

this solution produces the correct output:

```
a is now 1, b is now 1
```

The problem is solved for the current program, but this solution is not robust. This is where we require hygiene macro system which help in preserving the lexical scoping of all identifiers.

2. **Syntatic macro systems**, like those in the Lisp and Scheme [12] programming languages are aware of the grammar of the core programming language. They transform the syntax tree according to a number of user-defined rules. Rules can be written in the same programming language as the program or another language that relies on a fully external language to define the transformation, such as the XSLT preprocessor for XML [3]. Below is an example of a syntatic macro in scheme that swaps the values.

```
(define-syntax-rule (swap x y)
  (let ([tmp x])
    (set! x y)
    (set! y tmp)))
```

The define-syntax-rule is the template, used in place of a form that matches the pattern, except that each instance of a pattern variable in the template is replaced with the part of that macro use the pattern variable matched.

```
(let ([tmp 5]
      [other 6])
  (swap tmp other)
  (list tmp other))
```

The result of the above expression should be (6 5). The naive expansion of this use of swap, however, is

```
(let ([tmp 5]
      [other 6]))
(let ([tmp tmp])
  (set! tmp other)
  (set! other tmp))
(list tmp other))
```

whose result is (5 6). The problem is that the naive expansion confuses the *tmp* in the context where swap is used with the *tmp* that is in the macro template. Instead it produces

```
(let ([tmp 5]
      [other 6]))
(let ([tmp_1 tmp])
  (set! tmp other)
  (set! other tmp_1))
(list tmp other))
```

with the correct result of (6 5). Racket's [14] pattern-based macros automatically maintain lexical scope, so macro implementors can reason about variable references in macros and macro uses in the same way as for functions and function calls.

1.2 Hygiene

Hygienic macros are macros whose expansion does not cause the accidental capture of identifiers introduced by the macro expander. Hygiene prevents variable names inside the macros from clashing with the variables in the surrounding code. Hygienic macro systems are a feature of programming languages such as Scheme. Consider the following Scheme macro for “or”

```
(define-syntax or
  (syntax-rules ()
    ((_ e1 e2)
      (let ((t e1))
        (if t t e2))))))
```

We can call the above macro as shown below:

```
(let ((t 5))
  (or #f t))
```

The macro call is expanded to:

```
(let ((t 5))
  (let ((t #f))
    (if t t t)))
```

This program evaluates to `#f`, which is not the desired output. On expanding the macro the binding “`t`” is shadowed to `#f`. If you run this in the scheme REPL, the output will be 5. One way to work around this, which was a common trick for LISP programmers of yore is to choose variable names that a programmer is unlikely to guess. We could modify the macro expander to automatically rename any variables

bound by a macro expansion. In this case, our simple test program would expand as follows, using our first definition of “or”:

```
(let ((t 5))
  (let ((t.1 #f))
    (if t.1 t.1 t)))
```

This program evaluates as expected.

There are occasions when traditional hygienic binding is insufficient: one example is the "anaphoric if condition" (version of the if-then-else construct which introduces an anaphor "*it*," which is bound to the result of the test clause), where expanding the macro definition at compile time may introduce new variable bindings, that capture variables in your own code. That is, the new binding might shadow a variable that you have already created.

```
(aif (big-long-calculation)
  (foo it)
  #f)
```

when the condition is true, an *it* identifier is automatically created and set to the value of the condition.

1.3 Syntax Parameters

Syntax parameters are a mechanism for rebinding a macro definition within the dynamic extent of a macro expansion. The ability to write functions that instead of accepting and returning values, accept and return pieces of source code, allows for abstractions and extensions that just simply aren't possible in other languages. With syntax parameters, instead of introducing the binding unhygienically each time, we

instead create one binding for the keyword, which we can then adjust later when we want the keyword to have a different meaning. As no new bindings are introduced hygiene is preserved. Looping macros are a common example of syntax parameter that introduces a “break” or “abort” function [1].

Below example shows how to define a syntax parameter in Racket:

```
#lang racket
(require racket/stxparam)
(define-syntax-parameter example-stx-parameter
  (lambda (stx)
    #'(displayln "I'm a syntax parameter!")))
```

All macros are functions that take as input a syntax object representing the piece of the program where it was located, and return a new syntax object to replace the old one within the program. So with the following syntax parameter defined, this code

```
(example-stx-parameter)
```

The expanded code is

```
(displayln "I'm a syntax parameter!")
```

Remember that this transformation happens at compile time before the code is run. The purpose of a syntax parameter is to be modified by other macros with the `syntax-parameterize` form, which looks like this

```
(syntax-parameterize
  ([example-stx-parameter (lambda (stx)
                             #'(displayln "I 'm parameterized!"))])
  (example-stx-parameter))
(example-stx-parameter)
```

The `syntax-parameterize` form "renames" the parameter, giving it a new value that applies only in the code inside the `syntax-parameterize` form. So when the above code is expanded, it produces

```
(displayln "I 'm parameterized!")
(displayln "I 'm a syntax parameter!")
```

The occurrence of “`example-stx-parameter`” inside the *syntax-parameterize* form used the function defined in `syntax-parameterize` to transform the code instead of the original function.

In this paper, I present the example of a macro that breaks hygiene in SweetJS and propose a solution taking inspiration from Scheme’s syntax parameters. The problem and solution discussed in up-coming chapters.

CHAPTER 2

Basics of Sweet.JS

Sweet.JS [6] is a hygienic macro compiler for JavaScript that takes JavaScript macros and produces normal JavaScript code that one can run in a browser or using a standalone interpreter like Node.JS. The idea is that you define a macro with a name and a list of patterns. Whenever a macro is invoked, the code is matched and expanded at compile time and produces the expanded source that can be run in any JavaScript environment.

2.1 Types of macros in Sweet.JS

1. **Rule macros** Rule macros work by matching a syntax pattern and generating new syntax based on the template. To define a rule base macro the grammar is

```
macro <name> {  
  rule { <pattern> } => { <template> }  
}
```

The following macro defines swapping two values:

```
macro swap {  
  rule { ($x, $y) } => {  
    var tmp = $x;  
    $x = $y; $y = tmp;  
  }  
}
```



```
var foo = 5;
var tmp = 6;
swap(foo, tmp);
```

When the compiler hits "swap," it invokes the macro and runs each rule against the code after it. When a pattern is matched, it returns the code within the rule. You can bind identifiers & expressions within the matching pattern and use them within the code.

if Sweet.JS did not support hygiene, this macro might expand to

```
var foo = 5;
var tmp = 6;
var tmp = foo;
foo = tmp;
tmp = tmp;
```

The *tmp* created from the macro collides with my local *tmp*. This is a serious problem, but macros solve this issue by implementing hygiene. Basically they track the scope of variables during expansion and rename them to maintain the correct scope. Sweet.JS fully implements hygiene so it never generates the code you see above. It would actually generate the following code

```
var foo = 5;
var tmp$1 = 6;
var tmp$2 = foo;
foo = tmp$1;
tmp$1 = tmp$2;
```

Notice how two different "tmp" variables are created. This makes it extremely powerful to create complex macros elegantly.

2. **Case macros** Case macros are analogous to `syntax-case` in Scheme. Case macros allow the macro author to use JavaScript code to procedurally create and manipulate the syntax. To define case macros, the grammar is

```
macro <name> {  
    case { <pattern> } => { <body> }  
}
```

The following macro adds syntax for generating random numbers:

```
macro rand {  
    case { _ $x } => {  
        var r = Math.random();  
        let stx $r = [makeValue(r)];  
        return #{ var $x = $r }  
    }  
}  
  
rand x;
```

The above code expands to

```
var x$123 = 0.8367501533161177;
```

The body of a macro contains a mixture of templates and normal JavaScript that can create and manipulate syntax. The code within the “case” is run at

expand-time and you use `#{}` to create "templates" that construct code just like the syntax in the rule macros.

2.2 Sweet.JS compilation process

Sweet.JS includes a separate reader that converts a sequence of tokens into a sequence of token trees, analogous to s-expressions in scheme, without feedback from the parser [2].



Figure 1: Sweet.JS anatomy.

The parser gives structure to unstructured source code. The lexer converts a character stream to a token stream and the parser converts the token stream into an abstract syntax tree (AST) according to a context free grammar [2]. The macro expander must sit between the lexer and the parser. Here the reader records sufficiently history information in the form of token trees in order to decide how to parse the token, which is required to decide if token is a divisor or a regular expression. In traditional JavaScript compilers, the parser and lexer are intertwined; rather than running the entire program through the lexer once to get a sequence of tokens, the parser calls out to the lexer from a given grammatical context with a flag to indicate if the lexer should accept a regular expression or a divide operator and the input character are tokenized accordingly [2].

Example

```
macro id {  
    case { _ $x } => {  
        return #{ $x }  
    }  
}  
  
id 42
```

```
[  
  { type:3,value:"macro"},  
  {type:3,value:"id"},  
  {type:11,value:{},inner:  
    [{type:4,value:"case"},  
    {type:11,value:{},inner:[{type:3,value:"_"},{type:3,value:"$x"}]},  
    {type:7,value:"=>"},  
    {type:11,value:{},inner:[{type:4,value:"return"},{type:7,value:"#"},  
      {type:11,value:{},inner:[{type:3,value:"$x"}]}]}  
  ]  
]
```

Figure 2: Token tree.

Reader convert string of token to token tree, a token tree is similar to tokens produced by the standard esprima lexer [7] but with the critical difference being that token trees match delimiters.

```
[{  
  type:"Program",  
  body:[{type:"ExpressionStatement",expression:{type:"literal",value:42}  
    }],  
  error:[{..}]  
}]
```

Figure 3: Final AST from parser.

The approach used in Sweet.JS is *Enforestation*, first pioneered by Honu [4]. Enforestation, extracts the sequence of terms produced by the reader to create a term tree. Consider the following `let` example

```
macro let{
  rule { $id= $init:expr }=>{
    var $id=$init
  }
}

function foo(x){
  let y=40+2
  return x+y;
}
foo(100);
```

Figure 4: Macro Expansion.

Enforestation begins by loading the *let* as shown in Figure:4, macro into the macro environment and converting the function declaration into a term tree.

```
<fn:foo,
params:(x),
body:{
  <var:x, init:<op:+,left:40,right:2 >
  <return: <op:+,left:x,right:y>
}>
<call:foo, args(100)>
```

Figure 5: Term tree.

Figure 5: shows the use of *let* is expanded away, *var* and *return* keyword is created.

A term tree is a kind of proto-AST that represent a partial parse of the program. As the expander passes through the token trees, it creates term trees that contain unexpanded sub trees that will be expanded once all macro definition have been discovered in the current scope [2].

```

macro aif {
  case {
    $aif_name
    ($cond ...) { $tru ... } else { $els ... }
  } => {
    letstx $it = [makeIdent("it", #{$aif_name})];
    return #{
      (function ($it) {
        if ($cond ...) {
          $tru ...
        } else {
          $els ...
        }
      })($cond ...);
    }
  }
}

macro unless {
  rule { ($cond ...) { $body ... } } => {
    while (true) {
      aif ($cond ...) {
        // `it` is correctly bound by `aif`
        console.log("loop finished at: " + it);
        // break;
      } else {
        $body ...
      }
    }
  }
}

unless (x) {
  // `it` is not bound!
  console.log(it)
}

```

Figure 6: Breaking hygiene.

2.3 Current limitation of Sweet.JS

Although the benefits of hygienic macros are well established, there are occasions when traditional hygienic bindings are insufficient. The classic example is "anaphoric conditionals" where the value of the tested expression is available as an *it* bindings. When the condition is true, an *it* identifier is automatically created and set to the value of the condition. An anaphoric macro is a type of programming macro that deliberately captures some form supplied to the macro which may be referred to by an anaphor (an expression referring to another).

```

1. while (true) {
2.   (function (it$2) {
3.     if (x) {
4.       // `it` is correctly bound by `aif`
5.       console.log('loop finished at: ' + it$2);
6.     } else {
7.       // `it` is not bound!
8.       console.log(it);
9.     }
10.   }(x));
11. }

```

Figure 7: Macro expansion.

In Figure 6, I define the *"unless"* macro which executes code if conditional is false. If the conditional is true, code specified in the else clause is executed. Here we used *anaphoric-if* condition which introduces an anaphor *it* which should bind to the result of the test clause.

In Figure 7, on macro expansion in line (8) the identifier *it* is not defined. Here we wish to introduce the identifiers deliberately breaking hygiene.

The same unhygienic macros are possible in Scheme as shown in the below example,

```

(define-syntax-rule (aif condition true-expr false-expr)
  (let ([it condition])
    (if it
        true-expr
        false-expr)))
aif #t (displayln it) (void))
it: undefined; //error
cannot reference an identifier before its definition
in module: 'program

```

When using `syntax-parameterize`, `it` acts as an alias for `tmp`. The alias behavior is created by `make-rename-transformer`. `define-syntax-parameter`, binds the keyword to the value obtained by evaluating the transformer. The transformer provides the default expansion for the syntax parameter. Usually, you will just want to have the transformer throw a syntax error indicating that the keyword is supposed to be used in conjunction with another macro as shown in the below example.

```
(require racket/stxparam)

(define-syntax-parameter it
  (lambda (stx)
    (raise-syntax-error (syntax-e stx)
      "can only be used inside aif")))

(define-syntax-rule (aif condition true-expr false-expr)
  (let ([tmp condition])
    (if tmp
      (syntax-parameterize ([it (make-rename-transformer #'tmp)])
        true-expr)
      false-expr)))
```

"`syntax-parameterize`," adjust keyword to use the values obtained by evaluating their transformer in the expansion of the expression. Each keyword must be bound to a syntax-parameter.

```
(aif 10 (displayln it) (void))
```

Inside the `syntax-parameterize`, `it` acts as an alias for `tmp` results of above code is 10. The alias behavior is created by `make-rename-transformer`.

CHAPTER 3

Syntax parameters

Syntax parameter are a mechanism for rebinding a macro definition within the dynamic extent of a macro expansion. With syntax parameters instead of introducing the unhygienic binding each time we instead create a binding for the keyword, which we can adjust later when we want the keyword to have a different meaning. As no new binding is introduced so hygiene is preserved, This is similar to the dynamic binding mechanism that we have at run time, except that the dynamic binding only occurs during macro expansion.

3.1 Pre-processing [Approach 1]

In this approach I tried to use pre-processing approach similar to C, where I transform the code before the main compiler gets hold of it. “`SyntaxParameter`” replaces and binds the parameter with its mapped value in the particular defined macro scope. Here the macro transformation happens during the parse phase by matching the pattern. To define syntax parameters in Sweet.JS, the programmer provides a new keyword that looks something like this:

```
SyntaxParameter(<parameter>,<Mapped to>,  
<Scope/Macro Name>,<Macro definition>)
```

“parameter” an identifier that is defined as a syntax parameter in the macro definition, “*Mapped to*” is the macro input variable that will be mapped to “*parameter*,” “*Scope*” define the context of the macro definition.

An example of its usage is Figure 8:

```
defineSyntaxParameter it { rule {} => { console.log(" to be used in aif") } }

macro aif {
  case {
    $aif_name
    ($cond ...) {$tru ...} else { $els ... }
  } => {
    SyntaxParameter(it, $cond ... , aif ,
    return #
    {
      (function () {
        if ($cond ...) {
          $tru ...
        } else {
          $els ...
        }
      })
    })
  }
}

macro unless {
  case {
    $unless_name
    ($cond ...) { $body ... } } => {
  return #{
    while (true) {
      aif ($cond ...) {
        // `it` is correctly bound by `aif`
        console.log("loop finished at: " + it);
      } else {
        $body ...
      }
    }
    if($cond ...) {break;}
  }}
}
```

Figure 8: Approach 1.

```
x=2
unless (x) {
  // `it` is bound! correctly
  console.log(it)
}
```

Figure 9: Calling unless macro

The expanded code looks like:

```
x = 2;
while (true) {
  (function() {
    if (x) {
      // `it` is correctly bound by `aif`
      console.log('loop finished at: ' + x);
    } else {
      // `it` is bound! correctly
      console.log(x);
    }
  })();
  if (x) {
    break;
  }
}
```

Figure 10: it identifier is correctly bounded to \$cond.. Of an anaphoric-if

As the example in Figure 10 shows, ‘it’ is correctly bounded to \$cond.. as desired, However this approach has certain disadvantages, since this won’t allow users to define macros named “SyntaxParameter” At the moment in Sweet.JS the only way to create a syntax transformer is by defining a macro. A macro is really just a function that takes syntax and returns syntax (thus a syntax transformer). To fix this we first need to implement some primitive function that help us to create and manipulate the arbitrary compile time syntax transformation. Macros are compile time syntax transformation, so when the “expander” encounters a macro definition, it converts the body of the macro into a function and loads it into the compile time environment.

3.2 Syntax parameter processing at compile time [Approach 2]

In this approach I defined “syntaxparam” similar to define-syntax-parameter in Scheme, which loads the primitive function in compile time environment, “syntaxLocalValue,” which load the compile time primitive function from the environment and “replaceSyntaxParam,” which transforms the identifier with the compile time value from the environment returned by “syntaxLocalValue,” within the defined scope of the macro. Example shown below

```
syntaxparam it => (function(x) {
    if(x==null) {
        return "To be used in Anaphoric-If"
    }
    else {
        return x;
    }
})

macro aif {
    case {$aif_name
        ($cond ...) {$tru ...} else { $els ... }
    } => {
        var stxId = syntaxLocalValue("#{it},#{ $aif_name}")
        var cond=stxId("#{ $cond ...}")

        replaceSyntaxParam("it",cond ,#{ $aif_name})
        return #
        {
            (function () {
                if ($cond ...) {
                    $tru ...
                } else {
                    $els ...
                }
            })
        }
    }
}
```

```

macro unless {
  case {
    $unless_name
    ($cond ...) { $body ... } => {
  return #{
    while (true) {
      aif ($cond ...) {
        // `it` is correctly bound by `aif`
        console.log("loop finished at: " + it);
      } else {
        $body ...
      }
    }
  }
}

x=2
unless (2+3) {
  // `it` is bound!
  console.log(it)
}

```

Figure 11: Approach 2. Syntax parameter implementation contd..

In Figure 11, i have defined an anaphoric-if macro named as *aif*, where *syntaxLocalValue* define an identifier *it* as a syntax parameter defined in the *aif* macro context, which also load the macro definition for *it* from the context environment. Once the macro definition is loaded, then *replaceSyntaxParam* replace the identifier with the defined identifier definition during expansion of the macro.

```

x = 2;
while (true) {
  (function () {
    if (2 + 3) {
      // `it` is correctly bound by `aif`
      console.log('loop finished at: ' + 2 + 3);
    } else {
      // `it` is bound!
      console.log(2 + 3);
    }
  });
}

```

Figure 12: Approach 2. Syntax parameter expansion

Figure 12, show the expanded result of the “unless” macro defined in Figure 11, and it expand as expected.

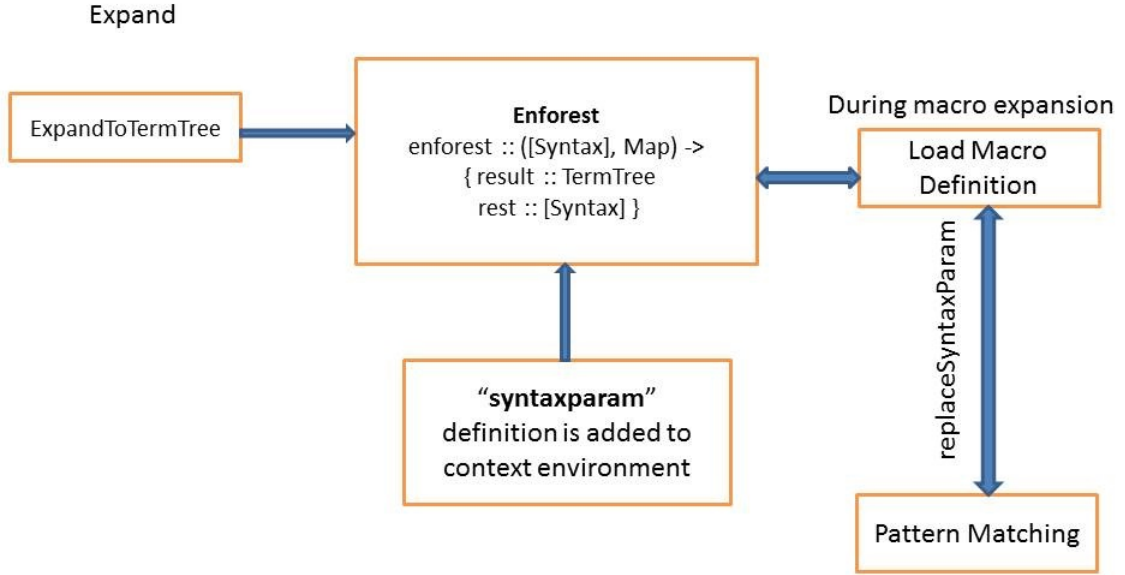


Figure 13: Approach 2. Implementation

The main entry point into the expander is, expand function is primarily responsible for handling hygiene. The `env` param is a mapping from identifier to macro definitions and `ctx` is a mapping of names to names. The expand function delegates to `expandToTermTree`, responsible for converting the syntax to `TermTrees` and loading any macro definitions it finds into the new `env` map.

Its in the “`expandToTermTree`,” it call `enforest` repeatedly until the entire token tree has been converted into a term tree. its in `enforest` function where “`syntaxparam`” definition loaded to context `env` map. When macro call is invoked it load the macro definition from the `env` in “`loadmacrodef`” function and during pattern matching “`replaceSyntaxParam`” which, bind the identifier with the value.

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