CLID: A Chunk-Level Intent Detection Framework for Multiple Intent Spoken Language Understanding

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Abstract—Multi-intent spoken language understanding (SLU) that can handle an utterance containing multiple intents is more practical and attracts increasing attention. However, existing stateof-the-art models are either too coarse-grained (Utterance-level) or too fine-grained (Token-level) in intent detection, and thus may fail to recognize the intent transition point and the correct intents in an utterance. In this paper, we propose a Chunk-Level Intent Detection (CLID) framework, where we introduce a sliding window-based self-attention (SWSA) scheme for regional chunk intent detection. Based on the SWSA, an auxiliary task is introduced to identify the intent transition point in an utterance and obtain sub-utterances with a single intent. The intent of each sub-utterance is then predicted by assembling the intent predictions of the chunks (in a sliding window manner) within it. We conduct experiments on two public datasets, MixATIS and MixSNIPS, and the results show that our model achieves state-of-the-art performance.

Index Terms—Chunk-level, intent detection, multiple intents, spoken language understanding.

I. INTRODUCTION

POKEN language understanding (SLU) is a critical component of task-oriented dialogue systems, which aims to create a semantic frame that succinctly summarizes the user's request. Such a semantic frame is typically constructed using intent detection to identify users' intents and slot filling to extract relevant semantic constituents [1], [2], [3]. Since the two sub-tasks of intent detection and slot filling have a strong correlation, state-of-the-art models [4], [5], [6], [7], [8], [9], [10], [11], [12], [13] adopt joint models for modeling the relation between them.

In real-world scenarios, a user's utterance usually contains multiple intents. For example, a user who wants to inquire about the flight is also likely to pay attention to the ticket price. Fig. 1(a) shows an example with intents Flight_no and Airfare. To this end, a multi-intent SLU model that can handle one utterance containing multiple intents is shown to be more practical and attracts increasing attention [11], [12], [13], [14], [15].

Manuscript received 5 May 2022; revised 3 September 2022; accepted 18 September 2022. Date of publication 30 September 2022; date of current version 25 October 2022. This work was supported in part by the National Natural Science Foundation of China under Grant 71472068, in part by the Natural Science Foundation of Guangdong Province under Grant 2021A1515011864, and in part by the Special Funds for the Cultivation of Guangdong College Students through Scientific and Technological Innovation under Grant pdjh2022a007. The associate editor coordinating the review of this manuscript and approving it for publication was Dr. Sandro Cumani. (Corresponding author: Peijie Huang.)

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Digital Object Identifier 10.1109/LSP.2022.3211156

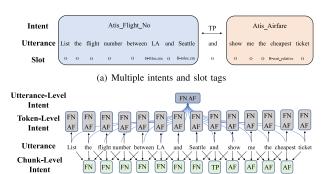


Fig. 1. An example with multiple intents. "FN," "AF" and "TP" denote "Flight_No," "Airfare" and "Transition point".

(b) Prior intent detection frameworks vs. our chunk-level framework

Gangadharaiah and Narayanaswamy [11] first proposed a joint model for multiple intent detection and slot filling. Their work introduced an utterance-level intent detection framework by using an intent context vector for predicting multiple intents. Qin et al. [12] also used utterance-level intent detection. In their work, an adaptive graph interaction framework (AGIF) was proposed to achieve fine-grained multi-intent integration for tokenlevel slot filling. Qin et al. [13] proposed a non-autoregressive framework to accelerate the inference speed while achieving high accuracy. They used token-level multi-intent detection, which predicts multiple intents on each token.

Though achieving the promising performance, the intent detection of the existing multi-intent SLU joint models is either too coarse-grained (Utterance-level) or too fine-grained (Tokenlevel) to capture the intent semantics, leading to two issues in multi-intent scenarios:

- They do not have an explicit model to learn and identify the intent transition points in multi-intent utterances. Identifying such transition points will help to further correctly recognize the intents of the sub-utterances. However, utterance-level intent detection methods ignore such intent transition points. While using the token-level mechanism, it is difficult to identify the intent transition point. For example, in the two-intents example shown in Fig. 1(a), the intent transition point cannot be determined simply by a token such as "and" (notice that "and" also appear in the first part of this example).
- Utterance-level intent detection models achieve satisfying performance in the single-intent scenario, but it is hard for them to compress all the necessary information of a multi-intent utterance into a fixed-length utterance context for intent detection. While token-level intent detection models predict intents on every token, which may lose

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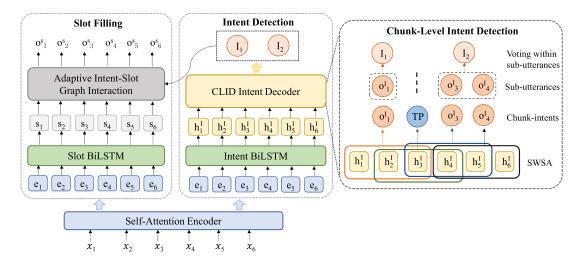


Fig. 2. Illustration of our proposed framework for multiple intent SLU.

regional context information, and thus fail to recognize the correct intent.

In this paper, to address the above issues, we propose a Chunk-Level Intent Detection (CLID) framework, which offers propergrained semantic information integration for intent recognition, as shown in Fig. 1(b). In practice, we introduce a sliding window-based self-attention (SWSA) scheme for regional intent detection, which can capture the contextual information of each token within a chunk and hence predict the intent label for each chunk. For the first issue, we introduce an auxiliary task based on SWSA to predict the intent transition point in an utterance. For the second issue, we obtain sub-utterances with a single intent, based on the identified transition point. The intent of a sub-utterance is computed by voting from the predicted intent of each chunk within the sub-utterance. The chunk-level prediction with sliding windows can avoid the problem of missing necessary information caused by utterance-level intent detection and the problem of missing context information for token-level intent detection. For the slot filling part, we implement the slot decoder part from the AGIF model [12]. Experimental results on two public datasets MixATIS and MixSNIPS show that our framework outperforms the state-of-the-art methods.

II. PROPOSED MODEL

Our proposed model shown in Fig. 2 consists of a shared self-attention encoder (\S II.A), a chunk-level intent detection (CLID) decoder (\S II.B), and a slot filling decoder (\S II.C). Both intent detection and slot filling are jointly trained via multi-task learning (\S II.D). The proposed CLID primarily involves: (i) sliding window-based self-attention (SWSA) scheme; (ii) chunk-intent detection and intent transition point (TP) identification; and (iii) sub-utterance intent prediction.

A. Self-Attention Encoder

Given an utterance with a sequence of token $\{t_1,t_2,\ldots,t_n\}$, the input embedding layer ϕ^{emb} maps the token sequences into a sequence of embedding $\boldsymbol{X} = \{\boldsymbol{x_1},\boldsymbol{x_2},\ldots,\boldsymbol{x_n}\} \in \mathbb{R}^{n\times d}$ (d indicates the embedding dimension). Following Qin et al. [12], a self-attention encoder with bidirectional LSTM (BiLSTM) is leveraged to capture the features within token orders and

contextual information. The BiLSTM [16] generate the contextual sensitive hidden states $H = \{h_1, h_2, ..., h_n\}$ by using the $h_i = BiLSTM(x_i)$. Inspired by Vaswani et al. [17], the self-attention mechanism is utilized over a token representation matrix. A = SelfAttention(H). H and A are concatenated into a matrix:

$$E = [H; A] \in \mathbb{R}^{n \times 2d},\tag{1}$$

where $E = \{e_1, ..., e_n\}$, and is fed into the decoder as final encoding representation.

B. Chunk-Level Intent Detection

The core contribution of this paper is the chunk-level intent detection to relieve the problem caused by token- and utterance-level intent prediction. We propose a SWSA scheme to capture the contextual information within a chunk for regional intent detection. Based on the SWSA, we predict the transition point at each utterance to cut the utterance into sub-utterances with a single intent. The intent of each sub-utterance is then predicted by assembling the intent predictions of the chunks (in a sliding window manner) within it.

Specifically, \dot{E} is fed into a intent BiLSTM to promote its task-specific representation:

$$\boldsymbol{h}_{t}^{I} = BiLSTM(\boldsymbol{e}_{t}, \boldsymbol{h}_{t-1}^{I}, \boldsymbol{h}_{t+1}^{I}), \tag{2}$$

1) Sliding Window-Based Self-Attention: In the SWSA scheme, a window is used to slide through the utterance, and we do self-attention in the window. Then, \boldsymbol{h}_t^I is fed in window-self-attention to calculate the $\boldsymbol{H}_1^{win} = \{\boldsymbol{h}_1^{win}, \ldots, \boldsymbol{h}_w^{win}\}$, where w denotes the number of the window:

$$\mathbf{A}_{t} = softmax \left(\frac{\mathbf{Q}\mathbf{K}^{\top}}{\sqrt{d_{k}}}\right) \mathbf{V},\tag{3}$$

$$\boldsymbol{h}_{t}^{win} = \sum_{i=1}^{win_size} \boldsymbol{a}_{i}, \tag{4}$$

where $H_t^I = \{h_t^I, \dots, h_{t+win_size}^I\}$ is the matrix framed by a window, $A_t = \{a_1, \dots, a_i, \dots, a_{win_size}\}$ is the matrix computed by the self-attention, and the matrix $H_t^I \in \mathbb{R}^{win_size \times 2d}$ is mapped to queries Q, keys K and values V by utilizing the

| TABLE I |
|--|
| SLU PERFORMANCE ON TWO DATASETS. REPRODUCING RESULTS FOR GL-GIN ARE LABELED WITH †. THE NUMBERS WITH * INDICATE THAT THE |
| Improvement of Our Model Over All Baselines is Statistically Significant With $p < 0.05$ Under T-Test |

| Model | M | ixATIS Dat | aset | MixSNIPS Dataset | | | |
|----------------------|-------------|------------|--------------|------------------|----------|--------------|--|
| | Intent(Acc) | Slot(F1) | Overall(Acc) | Intent(Acc) | Slot(F1) | Overall(Acc) | |
| Attention BiRNN | 74.6 | 86.4 | 39.1 | 95.4 | 89.4 | 59.5 | |
| Slot-Gated | 63.9 | 87.7 | 35.5 | 94.6 | 87.9 | 55.4 | |
| Bi-Model | 70.3 | 83.9 | 34.4 | 95.6 | 90.7 | 63.4 | |
| SF-ID Network | 66.2 | 87.4 | 34.9 | 95.0 | 90.6 | 59.9 | |
| Stack-Propagation | 72.1 | 87.8 | 40.1 | 96.0 | 94.2 | 72.9 | |
| Joint Multiple ID-SF | 73.4 | 84.6 | 36.1 | 95.1 | 90.6 | 62.9 | |
| AGIF | 74.4 | 86.7 | 40.8 | 95.1 | 94.2 | 74.2 | |
| GL-GIN † | 75.6 | 87.2 | 41.6 | 95.2 | 93.7 | 72.4 | |
| CLID (ours) | 77.5* | 88.2* | 49.0* | 96.6* | 94.3 | 75.0* | |

distinct linear projection parameters W_q, W_k, W_v . The inner product between Q and K is used to calculate the attention weight. The production of self-attention $A_t \in \mathbb{R}^{win_size \times 2d}$ is a weighted sum of V.

2) Chunk-Intent Detection and Intent Transition Point Identification: Based on the SWSA, h_t^{win} is used for the chunk-intent detection at the t-th window:

$$\boldsymbol{y}_{t}^{I} = \sigma(\boldsymbol{W}_{I}(LeakyReLU(\boldsymbol{W}_{h}\boldsymbol{h}_{t}^{win} + \boldsymbol{b}_{h})) + \boldsymbol{b}_{I}), \quad (5)$$

$$o_t^I = argmax(\mathbf{y}_t^I), \tag{6}$$

where o_t^I is the predicted intent label at the t-th window; σ represents the sigmoid activation function; W_h and W_I are the trainable parameters. b_h and b_I denote bias.

An auxiliary task is introduced to identify the intent transition point (TP) in an utterance. Qin et al. [12] construct the multi-intent datasets by concatenating multiple utterances with conjunctions so that we can locate the conjunction easily and label the conjunctions as "TP" for training. The "TP" is regarded as another intent label. Then, based on the identified transition point, we can separate the utterance into several single-intent sub-utterances.

3) Sub-Utterance Intent Prediction: The intent of i-th sub-utterance I_i is generated by voting from the predicted chunk-intents (in a sliding window manner) within sub-utterance i:

$$I_i = argmax \sum_{t=1}^{l_i} \sum_{k=1}^{n_I} \alpha_k \mathbb{1}[o_t^I = k],$$
 (7)

where l_i is the number of chunks within i-th sub-utterance and n_I denotes the number of intent labels; α_k is a 0-1 vector $\alpha \in \mathbb{R}^{n_I}$ of which k-th unit is 1, and the others are 0.

The final utterance intent result $I = \{I_1, ..., I_s\}$ is the collection of the intent of sub-utterances, where s denotes the number of sub-utterances.

C. Slot Filling Decoder

We implement the same slot filling decoder as AGIF [12] for its promising performance on the interaction between slots and multiple intents. The predicted multiple intents information $I = \{I_1, \ldots, I_s\}$ is utilized to guide the t-th slot prediction:

$$y_t^s = Slot_Decoder(e_t, I),$$
 (8)

$$o_t^s = argmax(y_t^s), (9)$$

where o_t^s is the predicted slot for t-th token. For more details on the slot filling decoder, please refer to [12].

D. Multi-Task Training

Considering the correlation between two sub-tasks, we train our model jointly. The chunk-level intent detection objective is formulated as:

$$\mathcal{L}_{intent} = -\sum_{i=1}^{n} \sum_{j=1}^{n_I} \hat{y_i}^{(j,I)} log(y_i^{(j,I)}), \tag{10}$$

where the n_I is the number of the intent and $\hat{y_i}^{(j,I)}$ is the gold intent label.

Similarly, the task objective of slot filling is formulated as:

$$\mathcal{L}_{slot} = -\sum_{i=1}^{n} \sum_{j=1}^{n_S} \hat{y_i}^{(j,S)} log(y_i^{(j,S)}), \tag{11}$$

where the n_S is the number of the slot and $\hat{y_i}^{(j,S)}$ is the gold slot label. The final joint objective is:

$$\mathcal{L} = \alpha \mathcal{L}_{intent} + (1 - \alpha) \mathcal{L}_{slot}, \tag{12}$$

where α is a hyper-parameter.

III. EXPERIMENT

A. Experimental Setup

- 1) Datasets: We evaluate the proposed framework on two publicly available multi-intent datasets. One is MixATIS [12], [13], [18] with 13162, 756, 828 utterances for training, validation and testing. Another is MixSNIPS [12], [13], [19] with 39776, 2198, 2199 utterances for training, validation and testing. For both MixATIS and MixSNIPS, the percentages of utterances with 1-3 intents are 30%, 50% and 20% [12], [13].
- 2) Implementation Details: Adam [20] is used for optimizing the parameters of our model. The embedding size is set to 128 and 32 on MixATIS and MixSNIPS, respectively. The dimensionality of the LSTM hidden units is set to 256. The window size is 3. The number of graph attention networks is set to 2. The hyper-parameters are tuned using the validation set. In our experiments, we select the average of 10 times independent experiments as result.
- 3) Baselines: We compare the proposed *CLID* with the following baselines in three groups. (1) State-of-the-art single-intent SLU models including *Attention BiRNN* [4], *Slot-Gated* [5], *Bi-Model* [7], *SF-ID Network* [8], and *Stack-Propagation* [9]. (2) Multiple intent SLU models with utterance-level intent detection framework including *Joint Multiple ID-SF* [11] and *AGIF* [12]. (3) Multiple intent SLU models with token-level intent detection framework, *GL-GIN* [13].

| Model | intent num = 1 | | | intent num = 2 | | | intent num = 3 | | |
|-------------|----------------|----------|--------------|----------------|----------|--------------|----------------|----------|--------------|
| | Intent(Acc) | Slot(F1) | Overall(Acc) | Intent(Acc) | Slot(F1) | Overall(Acc) | Intent(Acc) | Slot(F1) | Overall(Acc) |
| AGIF | 90.4 | 88.4 | 73.2 | 71.6 | 86.9 | 36.4 | 56.3 | 86.4 | 21.1 |
| GL-GIN | 91.3 | 88.0 | 72.6 | 76.2 | 87.3 | 39.1 | 63.1 | 86.8 | 23.0 |
| CLID (ours) | 94.7 | 88.6 | 76.4 | 77.5 | 88.1 | 48.4 | 64.3 | 87.6 | 28.5 |

TABLE II
THE RESULT COMES FROM THE DATASET MIXATIS. THE INTENT NUM DENOTES THE NUMBER OF INTENTS IN AN UTTERANCE

In this section, we demonstrate the effectiveness of the proposed *CLID* from several perspectives. We answer the following research questions (RQs) in the experiments:

- **RQ1:** What is the performance of *CLID* as compared with baseline models?
- RQ2: How does the window size influence the performance of the proposed CLID?
- **RQ3:** Where do improvements of *CLID* come from?

B. RQ1: Overall Comparisons

We compare the multi-intent SLU performances of all models in Table I. We run the published code of *GL-GIN* and the re-produced results are labeled with †, and the rest result of compared models are taken from [13]. Following Goo et al. [5] and Qin et al. [12], [13], we utilize Intent(Acc) and Slot(F1) to evaluate the intent detection and slot filling sub-task, respectively, and use Overall(Acc) to evaluate the utterance-level performance, which represents both slot filling and intent detection are correct in an utterance. Because the proposed *CLID* focuses on improving intent detection, we mainly observe the performance of Intent(Acc) and Overall(Acc) and also take into account the Slot(F1).

From the result in Table I, we have the following observations: (1) *CLID* achieves the best performance against all baselines in all metrics, which demonstrates the superior multi-intent SLU performance of *CLID* over existing methods. (2) Our model outperforms the token-level intent detection model GL- GIN^{\dagger} by 1.9% on Intent(Acc) and 7.4% on Overall(Acc) on the MixATIS dataset. Besides, we achieves 1.4% and 2.6% improvements on Intent(Acc) and Overall(Acc) on MixSNIPS dataset. (3) Compared to the utterance-level intent detection model AGIF that uses the same slot filling decoder as us, the Slot(F1) performance gained of CLID is 1.5% and 0.1% on MixATIS and MixSNIPS datasets, which indicates that the performance of slot filling also benefits from the improvement of intent detection.

C. RQ2: Effectiveness of the Window Size

In this section, we analyze the influence of the critical parameter, that is, the window size of the sliding window in the proposed SWSA scheme. The performance of the proposed CLID with a varying window size on MixATIS and MixSNIPS datasets is illustrated in Fig. 3. We can observe that the performance of all metrics first increases and then drops as the value of window size grows. The particular case is that the window size = 1 as an ablation study, where the SWSA scheme does not affect the proposed CLID. We can see that the performance drops significantly when window size = 1, from which we can conclude that the chunk-level semantics is crucial for improving multi-intent detection. Moreover, further increasing the window size might still hurt the performance. The potential reason may

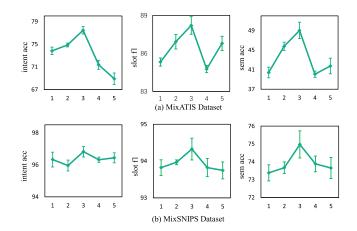


Fig. 3. Effect of different window sizes of the sliding window in the proposed SWSA scheme on two datasets.

be that the performance of the intent transition identification may drop with a too-long chunk.

D. RQ3: Improvement Analysis

In this section, we analyze the origins of improvements of *CLID* by investigating the performance differences in groups of intent numbers. We classify the utterances in the test set by the number of intents they carried, then put them into our model respectively to evaluate our model. The results are shown in Table II. The results of *AGIF* and *GL-GIN* in different intent groups are reproducing results. From Table II, we can observe that: (1) The performance improvement of our model on multiple intents utterances is significant. We gain 1.92%, 0.94% and 23.91% relative improvement on Intent(Acc), Slot(F1) and Overall(Acc) compared with *GL-GIN* in 3-intents utterances. (2) The relative improvement in single intent utterances is also significant. Compared with *GL-GIN*, *CLID* achieve 3.66%, 0.62% and 5.19% relative improvements on Intent(Acc), Slot(F1) and Overall(Acc).

IV. CONCLUSION

In this paper, we propose a chunk-level intent detection (CLID) framework to alleviate the problem that is too coarse-grained at utterance-level as well as too fine-grained at token-level. In CLID, we propose a sliding window-based self-attention (SWSA) scheme and introduce an auxiliary task of intent transition point identification to adapt to the characteristic of multiple intents utterances. By identifying the intent transition point in an utterance and obtaining sub-utterances with a single intent, our model can correctly recognize the intents of the sub-utterances. Experiments on two public multi-intent datasets show the effectiveness of the proposed model.

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