

**A Project Report on**  
**Enhancing Pneumonia Diagnosis through Chest Imaging  
and Machine Learning**

A Dissertation submitted to JNTU Hyderabad in partial fulfillment of the  
academic requirements for the award of the degree.

**Bachelor of Technology**  
**in**  
**Computer Science and Engineering**

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KANDLAKOYA, MEDCHAL ROAD, HYDERABAD - 501401.

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# **CMR COLLEGE OF ENGINEERING & TECHNOLOGY**

KANDLAKOYA, MEDCHAL ROAD, HYDERABAD – 501401

## **DEPARTMENT OF COMPUTER SCIENCE AND ENGINEERING**



### **CERTIFICATE**

This is to certify that the Major Project report entitled "**Enhancing Pneumonia Diagnosis through Chest Imaging and Machine Learning**" being submitted by Vinay Piska (20H51A0544), Harshitha Majety (20H51A0595), Y Rohith Reddy (20H51A05A8) in partial fulfillment for the award of **Bachelor of Technology in Computer Science and Engineering** is a record of bonafide work carried out under my guidance and supervision.

The results embodied in this project report have not been submitted to any other University or Institute for the award of any Degree.

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## ABSTRACT

Pneumonia, a leading cause of morbidity and mortality worldwide, disproportionately affects vulnerable populations, including children, the elderly, and individuals with compromised immune systems. Early and accurate diagnosis is crucial for timely intervention and improved patient outcomes. In this project, we address the challenge of pneumonia detection through a machine learning approach leveraging chest X-ray imaging. Drawing upon deep learning techniques, specifically convolutional neural networks (CNNs), pretrained on extensive image datasets, our system aims to develop a robust and precise pneumonia detection framework. Through the application of transfer learning, pretrained CNN models are fine-tuned to effectively discern pneumonia indicators in chest X-ray images. Furthermore, ensemble learning methodologies are employed to amalgamate predictions from diverse CNN models, augmenting the system's reliability and accuracy. By amalgamating these state-of-the-art methodologies, our project endeavors to furnish clinicians with an efficient and dependable tool for pneumonia diagnosis, thereby enhancing diagnostic efficiency and patient care outcomes.

Pneumonia is a common and potentially life-threatening lung infection. Early diagnosis and treatment are essential for a good prognosis. Chest X-rays are the most common imaging modality used to diagnose pneumonia. However, chest X-ray interpretation can be challenging, especially for less experienced radiologists. Convolutional neural networks (CNNs) have shown great promise in medical image analysis, including pneumonia diagnosis. CNNs are a type of deep learning model that can learn to extract features from images and classify them into different categories.

# **CHAPTER 1**

## **INTRODUCTION**



# CHAPTER 1

## INTRODUCTION

### 1.1.Problem Statement

Pneumonia, a prevalent respiratory infection, poses a significant global health challenge. Timely and accurate diagnosis is pivotal for effective treatment and mitigating associated mortality rates. Traditional methods of pneumonia diagnosis, especially through chest X-rays, often rely heavily on the expertise of radiologists, leading to delays in diagnosis and potential human errors. Additionally, in resource-constrained environments, the shortage of skilled medical professionals further exacerbates this problem, limiting the accessibility and efficiency of pneumonia diagnosis.

Furthermore, the conventional diagnostic process is labor-intensive and time-consuming, hindering prompt interventions. This delay not only impacts the individual patient's prognosis but also strains healthcare resources. Addressing these challenges, this project aims to leverage advanced deep learning techniques, specifically Convolutional Neural Networks (CNNs), to automate the pneumonia diagnosis process. By developing a robust CNN-based diagnostic system, this research endeavors to enhance diagnostic accuracy, expedite the diagnosis timeline, and make pneumonia diagnosis more accessible, especially in regions where skilled medical professionals are scarce.

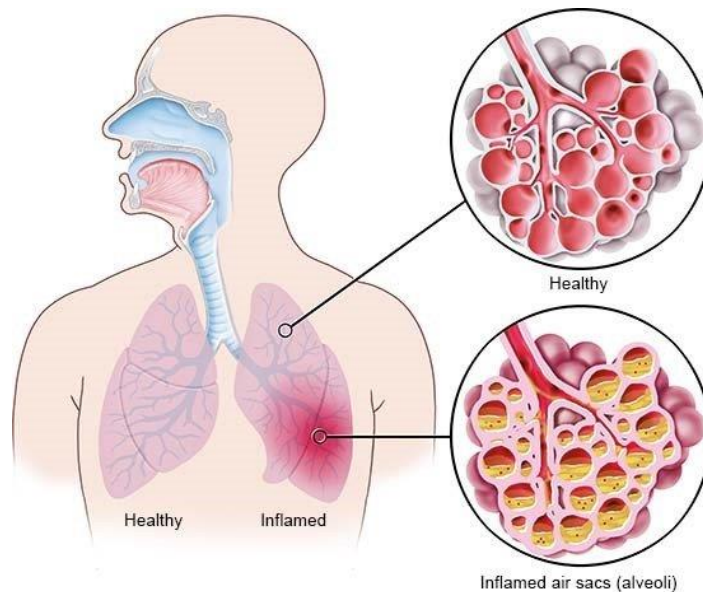


Figure.1.1:Pneumonia in Human Lungs

## **1.2.Research Objective**

This research project aims to develop an advanced diagnostic system using Convolutional Neural Networks (CNNs) tailored for pneumonia detection from chest X-ray images. The primary objective is to create a highly accurate and automated tool capable of swiftly identifying pneumonia-affected regions, reducing reliance on manual interpretation and expediting the diagnostic process. The research focuses on optimizing the CNN model to improve diagnostic accuracy, aiming to minimize false positives and false negatives in pneumonia detection. Additionally, the study seeks to validate the developed model comprehensively, employing standard metrics such as accuracy, precision, recall, F1-score, and ROC AUC to ensure its reliability. Special attention will be given to ensuring the generalizability and robustness of the model across diverse datasets, addressing real-world variations in X-ray images. Interpretability and transparency are key objectives, incorporating techniques to explain the model's decisions, enhancing trustworthiness in the diagnostic outcomes. Moreover, ethical considerations, including patient data privacy and bias mitigation, will be paramount throughout the research process. The research findings are intended not only to advance the field of medical image analysis but also to contribute valuable knowledge that can influence future developments in automated diagnostic systems, potentially revolutionizing healthcare practices.

## **1.3. Project Scope and Limitations**

### **Scope:**

The scope of this project encompasses the development and implementation of a Convolutional Neural Network (CNN)-based pneumonia diagnosis system using chest X-ray images. The primary focus is on creating an accurate, efficient, and automated tool for identifying pneumonia-affected regions, with the potential to revolutionize the diagnostic process. The research includes the exploration of various CNN architectures, optimization techniques, and interpretability methods to enhance the model's performance and reliability. Ethical considerations, including patient data privacy and fairness, are within the project's scope, ensuring responsible use of artificial intelligence in healthcare. The project aims to contribute

to the field of medical image analysis and advance the application of deep learning in pneumonia diagnosis.

**Limitations:**

1. **Data Limitations:** The accuracy and reliability of the developed CNN model heavily depend on the quality and diversity of the available chest X-ray dataset. Limited or biased data may affect the model's generalizability.
2. **Interpretability Challenges:** While efforts will be made to interpret the model's decisions, deep learning models, particularly CNNs, are inherently complex, making complete interpretability challenging.
3. **Resource Constraints:** The project operates within constraints such as computational resources, time, and expertise. These limitations may affect the depth of experimentation and the scale of the model.
4. **Real-time Application:** The application of the developed diagnostic system in real-time clinical settings may present challenges related to hardware requirements, latency, and integration with existing healthcare systems.
5. **Regulatory and Ethical Considerations:** Compliance with regulatory standards and ethical guidelines, especially concerning patient data privacy and security, might restrict certain aspects of the project's implementation and deployment.
6. **Clinical Validation:** The developed model may require further validation through extensive clinical trials and collaboration with medical professionals to assess its real-world efficacy and impact on patient outcomes.

Acknowledging these limitations, the project aims to maximize the accuracy and applicability of the developed CNN model within the defined scope, while also paving the way for future research and improvements in automated pneumonia diagnosis using deep learning techniques.

# **CHAPTER 2**

## **BACKGROUND WORK**

## **CHAPTER 2**

### **BACKGROUND WORK**

#### **2.1. Pneumonia detection in chest X-ray images using an ensemble of deep learning models**

##### **2.1.1. Introduction**

Pneumonia is an acute pulmonary infection that can be caused by bacteria, viruses, or fungi and infects the lungs, causing inflammation of the air sacs and pleural effusion, a condition in which the lung is filled with fluid [1]. It accounts for more than 15% of deaths in children under the age of five years. Pneumonia is most common in underdeveloped and developing countries, where overpopulation, pollution, and unhygienic environmental conditions exacerbate the situation, and medical resources are scanty. Therefore, early diagnosis and management can play a pivotal role in preventing the disease from becoming fatal. Radiological examination of the lungs using computed tomography (CT), magnetic resonance imaging (MRI), or radiography (X-rays) is frequently used for diagnosis. X-ray imaging constitutes a non-invasive and relatively inexpensive examination of the lungs. The white spots in the pneumonic X-ray (indicated with red arrows), called infiltrates, distinguish a pneumonic from a healthy condition. However, chest X-ray examinations for pneumonia detection are prone to subjective variability. Thus, an automated system for the detection of pneumonia is required. In this study, we developed a computer-aided diagnosis (CAD) system that uses an ensemble of deep transfer learning models for the accurate classification of chest X-ray images [2]. Deep learning is an important artificial intelligence tool, which plays a crucial role in solving many complex computer vision problems.

Deep learning models, specifically convolutional neural networks (CNNs), are used extensively for various image classification problems. However, such models perform optimally only when they are provided with a large amount of data. For biomedical image classification problems, such a vast amount of labeled data is difficult to acquire because it requires that expert doctors classify each image, which is an expensive and time-consuming task. Transfer learning is a work-around to surmount this obstacle. In this technique, to solve a problem that involves a small dataset, a model

trained on a large dataset is re-used and the network weights determined in this model are applied [3]. CNN models trained on a large dataset such as ImageNet, which consists of more than 14 million images, are frequently used for biomedical image classification tasks.

### 2.1.2. Merits, Demerits and Challenges

#### **Merits:**

**Automated Pneumonia Detection:** The use of deep learning models for pneumonia detection in chest X-ray images offers a highly automated and efficient method, reducing the reliance on subjective human interpretation. This can expedite the diagnostic process, especially in regions with limited medical resources.

**Transfer Learning:** Leveraging pre-trained deep learning models such as GoogLeNet, ResNet-18, and DenseNet-121 on the ImageNet dataset is a merit. This approach utilizes the knowledge learned from a vast dataset to improve the performance of the pneumonia detection system, even when limited labeled biomedical data is available.

**Ensemble Learning:** The use of ensemble learning is a significant merit as it combines multiple deep learning models, enhancing the overall accuracy and robustness of the system. By assigning optimal weights to classifiers based on evaluation metrics, the ensemble method aims to provide better results.

**Evaluation Metrics:** The study employs a variety of evaluation metrics such as precision, recall, F1-score, and the area under the ROC curve (AUC) to assess the performance of the classifiers.

#### **Demerits:**

**Data Quality:** The success of deep learning models, especially in medical applications, heavily relies on the quality and representativeness of the training data. If the Kermany and RSNA challenge datasets have limitations or biases.

**Complexity and Resource Requirements:** Deep learning models, especially ensembles, can be computationally intensive and require significant computational resources. This might limit the feasibility of implementing the system in resource-constrained environments.

**Model Interpretability:** Deep learning models are often seen as "black boxes," making it challenging to understand how and why a specific decision was made. Interpreting model decisions can be crucial.

**Challenges:**

**Limited Labeled Biomedical Data:** The availability of labeled biomedical data for training deep learning models is often limited. This challenge can impact the ability to train highly accurate models, making transfer learning and other techniques necessary.

**Class-Imbalanced Datasets:** Class imbalance can lead to challenges in training models and can result in a bias towards the majority class. Techniques to handle class imbalance, as mentioned in the passage, are essential.

**Model Hyperparameter Tuning:** The choice of hyperparameters, such as learning rates, batch sizes, and optimizer selection, can significantly affect the model's performance. Optimizing these hyperparameters can be a time-consuming process.

**Ethical and Legal Considerations:** The use of AI for medical diagnosis raises ethical and legal questions, especially regarding patient privacy, informed consent, and liability in the case of incorrect diagnoses.

**Hardware and Infrastructure:** The deployment of deep learning models in a clinical setting may require significant hardware and infrastructure, including high-performance GPUs, which may not be readily available in some healthcare facilities.

### **2.1.3. Implementation**

In this study, a five-fold cross-validation approach was employed to assess the proposed ensemble model's performance, using both the Kermany and RSNA challenge datasets [4]. The results demonstrated high accuracy and sensitivity (recall), indicating the reliability of the approach. Confusion matrices and ROC curves further illustrated the method's effectiveness across different folds and datasets.

The study explored various base learners in transfer learning, evaluating different optimizers. Adam optimizer yielded the best results for the chosen base learners: GoogLeNet, ResNet-18, and DenseNet-121 [5]. These three models were then combined in an ensemble framework, achieving an impressive accuracy rate of 98.81% on the

Kermany dataset. The study also experimented with freezing specific layers in the ensemble models, concluding that the best results were attained when all layers were trainable (0 layers frozen) on both datasets, as depicted [6]. This approach ensures optimal performance in pneumonia detection through the proposed ensemble system.

The ensemble learning model helps incorporate the discriminative information of all its constituent models, and thus, its predictions are superior to those of any of its constituent base learners. Weighted average ensembling is a powerful classifier fusion mechanism. However, the choice of the weights to be allocated to the respective base learners plays a pivotal role in ensuring the success of the ensemble [7]. Most approaches in the literature set the weights experimentally or based solely on the accuracy of the classifier. However, this may not be a good measure when a class imbalance exists in the dataset. The use of other evaluation measures, such as precision, recall (sensitivity), f1-score, and AUC, may provide relatively robust information for determining the priority of the base learners [10]. To this end, in this study, we devised a novel strategy for weight allocation, which is explained in the following.

First, the probability scores obtained during the training phase by the base learners are utilized to calculate the weights assigned to each base learner using the proposed strategy. These generated weights are used in the formation of an ensemble trained on the test set [9]. This strategy is implemented to ensure that the test set remains independent for predictions. The predictions of the  $i$ th model ( $\hat{y}_i$ ) are generated and compared with the true labels ( $y$ ) to generate the corresponding precision score ( $pre(i)$ ), recall score ( $rec(i)$ ), f1-score ( $f1(i)$ ), and AUC score ( $AUC(i)$ ) [11]. Assume that this forms an array  $A(i) = \{pre(i), rec(i), f1(i), AUC(i)\}$ . The weight ( $w(i)$ ) assigned to each classifier is then computed using the hyperbolic tangent function. The range of the hyperbolic tangent function is  $[0, 0.762]$  because  $x$  represents an evaluation metric, the value of which is in the range  $[0, 1]$  [11]. It monotonically increases in this range; thus, if the value of a metric  $x$  is high, the  $\tanh$  function rewards it by assigning to it a high priority; otherwise, the function penalizes it.



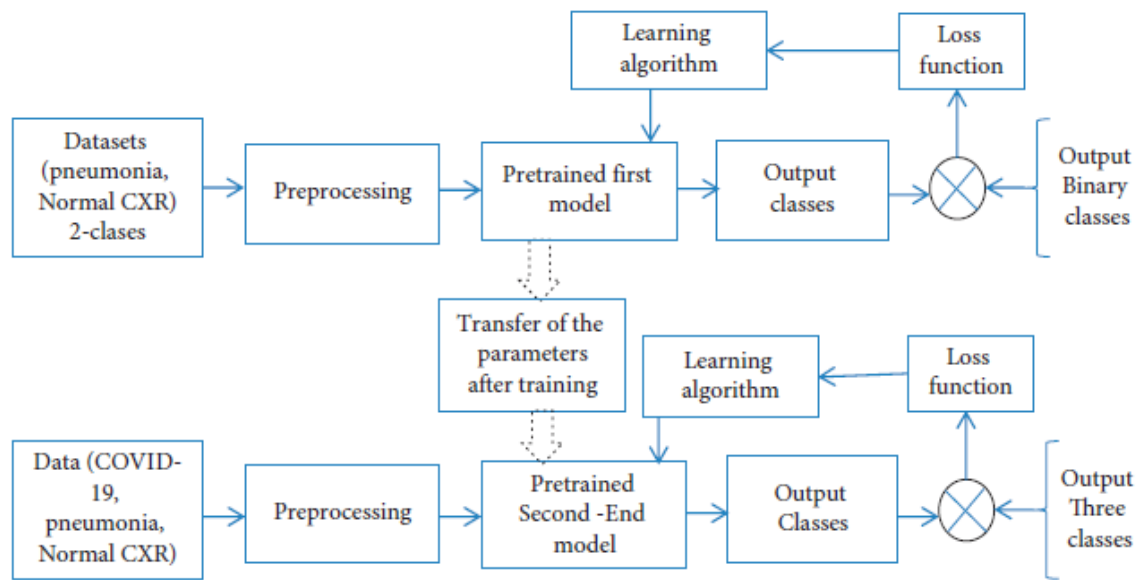


Figure.2.1: The architecture of existing system

## 2.2. Explainable DCNN based chest X-ray image analysis and classification for COVID-19 pneumonia detection

### 2.2.1. Introduction

To speed up the discovery of COVID-19 disease mechanisms by X-ray images, this research developed a new diagnosis platform using a deep convolutional neural network (DCNN) that is able to assist radiologists with diagnosis by distinguishing COVID-19 pneumonia from non-COVID-19 pneumonia in patients based on chest X-ray classification and analysis [12]. Such a tool can save time in interpreting chest X-rays and increase the accuracy and thereby enhance our medical capacity for the detection and diagnosis of COVID-19. The explainable method is also used in the DCNN to select instances of the X-ray dataset images to explain the behavior of training-learning models to achieve higher prediction accuracy. The average accuracy of our method is above 96%, which can replace manual reading and has the potential to be applied to large-scale rapid screening of COVID-9 for widely use cases [13].

The trained CNN is capable of interpreting new images by recognizing patterns that indicate certain diseases in the individual images. In this way, it imitates the training of a doctor, but the theory is that since it is capable of learning from a far larger set of

images than any human, the CNN approach has more accurate results. Ghoshal et al. introduced a deep learning-based technique to estimate the uncertainty and interpretability in the detection of coronavirus. The authors have used a Bayesian Convolutional Neural Network (BCNN) and publicly available COVID-19 CXR images and found that the prediction uncertainty is extremely correlated with prediction accuracy. The performance results demonstrate an improvement in detection accuracy from 85.2% to 92.9% using pretrained VGG-16 model [14]. They have also illustrated model interpretability by generating saliency maps to facilitate a better understanding of the results obtained by the proposed model. Narin et al. presented a transfer learning-based approach to the classification of CXR images into COVID-19 and normal categories. They have used three pretrained models such as InceptionV3, ResNet50, and InceptionResNetV2 in their system and achieved the highest 98% accuracy with ResNet50 for binary classification [15]. However, the number of COVID-19 images in the curated dataset is only 50.

### 2.2.2. Merits, Demerits and Challenges

#### **Merits:**

**Accelerated Diagnosis:** The use of a deep convolutional neural network (DCNN) for the analysis and classification of chest X-ray images is a merit, as it can expedite the diagnosis of COVID-19 pneumonia. This can be especially valuable during pandemics when a rapid diagnosis is crucial.

**Explainable AI:** The inclusion of an explainable method within the DCNN is significant. It provides transparency in model predictions, allowing users, particularly healthcare professionals, to understand how and why the model made a specific classification. This transparency enhances trust in the model's decisions.

**High Accuracy:** The reported average accuracy of over 96% is a substantial merit. Achieving such high accuracy can make the system a valuable tool for assisting radiologists in diagnosing COVID-19 pneumonia, potentially reducing the need for manual reading.

**Clinical Relevance:** The use of clinically relevant parts of X-ray images in the DCNN design is essential. By focusing on parts of the images that are most informative for diagnosis, the model is likely to produce more accurate results.

**Potential for Large-Scale Screening:** The mention of the potential for large-scale rapid screening of COVID-19 is a significant benefit. If the system can be applied widely, it can help identify cases more efficiently and play a vital role in controlling the spread of the disease.

**Demerits:**

**Data Quality and Representativeness:** The success of the DCNN heavily relies on the quality and representativeness of the X-ray dataset. Biases in the dataset or the lack of diversity in terms of patient populations, equipment, and imaging conditions can affect the model's generalizability.

**Model Complexity:** While the model is described as having been designed based on VGG-19, the passage mentions changing convolutional kernels to make it more feasible. This might introduce complexity that could impact the model's interpretability.

**Hardware Requirements:** Deep learning models like VGG-19 can be computationally intensive. Implementing such models in clinical settings may require powerful hardware, which might not be readily available in all healthcare facilities.

**Challenges:**

**Explainable AI Implementation:** Implementing an explainable method within a DCNN can be challenging. Ensuring that the explanations are meaningful and useful for healthcare professionals and that they align with the model's predictions is not straightforward.

**Interpretable RBF Function:** The use of a radial basis function (RBF) for measuring similarity between test images and ground truth images needs to be well-defined and interpretable. The choice of the RBF function and its parameters can impact the system's performance.

**Ethical and Regulatory Considerations:** The use of AI in medical diagnosis, especially during a pandemic, raises ethical and regulatory challenges. Patient privacy, informed consent, and adherence to medical standards and regulations must be addressed. Adhere to regulatory requirements and obtain necessary approvals from regulatory authorities such as the FDA or EMA before deploying the AI model for clinical use.

**Robustness and Generalization:** It's important to ensure that the DCNN's high predictive values observed during training hold up in real-world scenarios with diverse patients and X-ray machines. Robustness and generalization are key challenges.

**Integration into Clinical Workflow:** Integrating AI-based diagnostic tools into the clinical workflow, ensuring seamless interaction with healthcare professionals, and obtaining regulatory approvals can be complex and time-consuming.

### 2.2.3. Implementation

The theoretical basis of the algorithm. The primary step of this research is a deep CNN designed and trained to assist radiologists with diagnosis by distinguishing COVID-19 pneumonia from non-COVID-19 pneumonia in patients at hospital with high predictive values using clinically relevant parts of the images [16]. Then, this deep CNN is used to distinguish bacterial from viral pneumonia amongst those patients with pneumonia at hospital with high predictive values using clinically relevant parts of the images. The CNN is designed based on VGG-19 which is a variant of VGG model which in short consists of 19 layers (16 convolution layers, 3 Fully connected layer, 5 MaxPool layers and 1 SoftMax layer and reduced the levels, changing the convolutional kernels to make it more feasible [17]. The new structure of DCNN is designed as: let  $x$  be set as the input vector,  $\phi_i$  is the radial basis function,  $N$  is the number of input training samples, and  $y$  is the output of the neural network, a new method which measures the similarity between the test images and the ground truth images to improve the detection robust of the system.

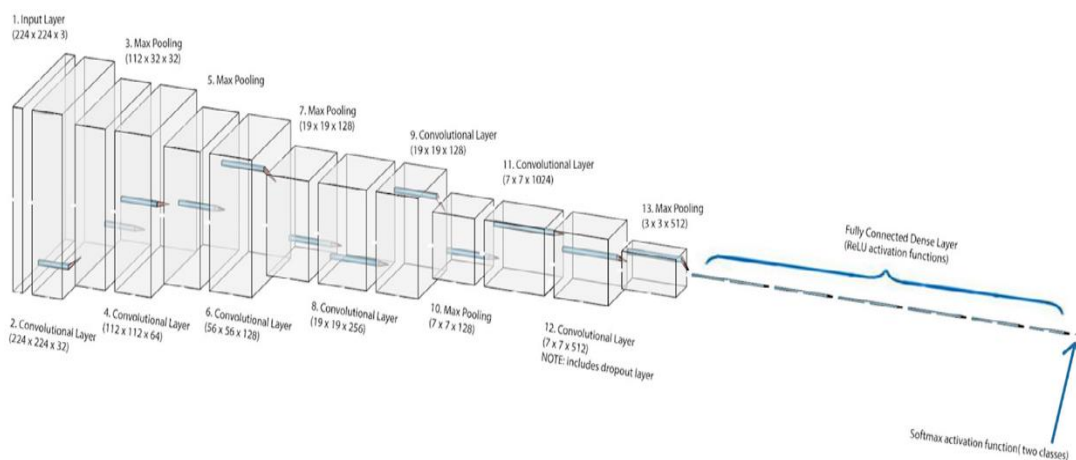


Figure.2.2.1: CNN architecture of existing system

The proposed algorithm: DCNN. Based on the above application background and theoretical basis, this section introduces the proposed DNN framework [18]. The DCNN is divided into two training levels. One innovation of our system is that two separate CNN are used for different categories detection, and the input of the second CNN is form one stream output of the first CNN.

- First, the first CNN-1 is trained by inputting the training samples with category labels, all the unknown parameters of CNN-1 are obtained, and the CNN-1 is valid by the validation data set.
- Then, CNN-1 is used to determine the test samples to separate out the standard set, the virus infection set and the bacterial infection set.
- Third, the virus infection output set is labelled for the CNN-2, which has three categories of normal, COVID-19 and other virus infection. So, the second CNN-2 is trained to obtain all the unknown parameters and the CNN-2 is valid by the validation set; Finally, CNN-2 is used to determine the final test samples to separate out the standard set, other virus infection set, and COVID-19 set.

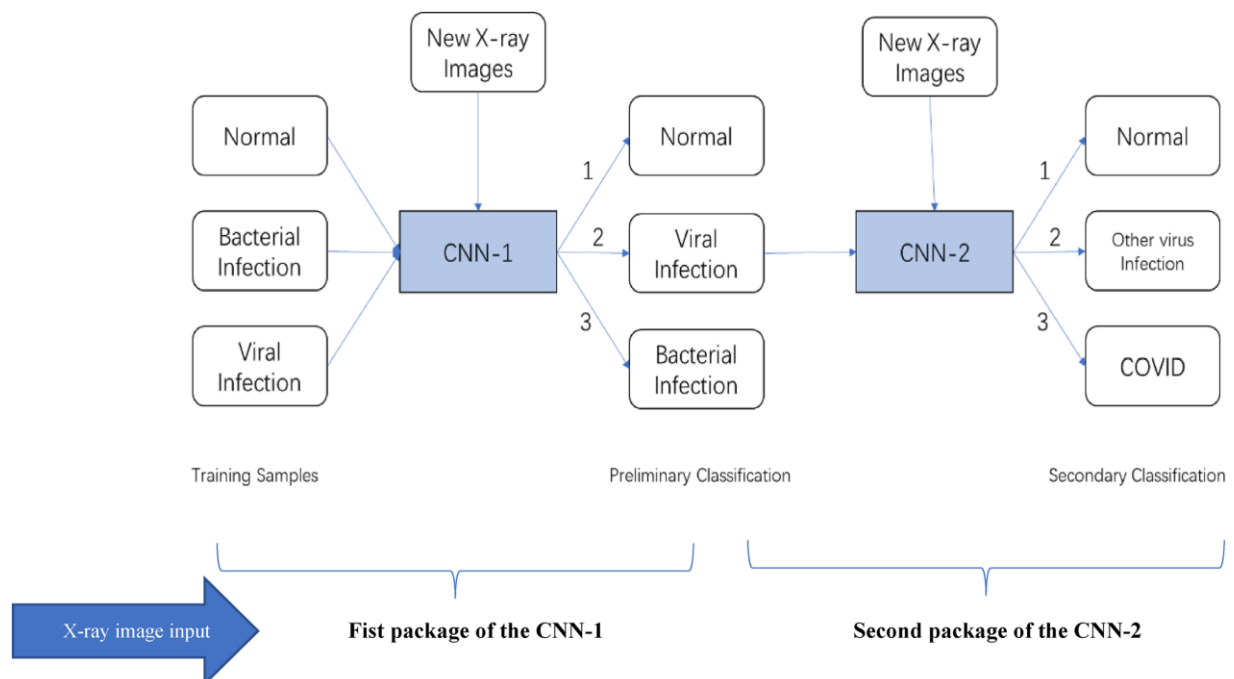


Figure.2.2.2: The execution framework of the proposed DCNN

## 2.3. Review on Pneumonia Image Detection: A Machine Learning Approach

### 2.3.1. Introduction

The number of individuals suffering from pneumonia is approximately more than 450 million a year. It is 7% of the overall population around the globe. Each year more than four million people die from Pneumonia. Pneumonia disease is prevalent among young children below 5 years old [19]. According to the report released by "our World in data", children below five have the highest death rate caused by pneumonia (Fig. 1). In 2017, 808,920 children died due to pneumonia, and this figure is 16 folds more than the deaths caused by cancer a year and ten folds higher than people who died from HIV.

According to the report released during World Pneumonia Day, it is estimated that more than 11 million infant children below the age of 5 years are likely to die from pneumonia by the year 2030. In the early nineteenth century, pneumonia was considered one of the significant causes of death amongst people. In the past, medical doctors relied on several methods such as clinical examination, medical history, and chest X-rays to diagnose patients suffering from pneumonia. Nowadays, Chest-X-rays have become increasingly cheaper due to rapid advancements in technologies such as biomedical equipment. The Chest X-ray is commonly used in detecting pulmonary diseases like pneumonia. The problem of lack of experts can be addressed through the use of different computer-aided diagnosis techniques [20]. Technological advancements in artificial intelligence (AI) have proven to be helpful in the diagnosis of disease. For instance, techniques like CNN are utilised for classifying Chest-X-rays in order to determine whether pneumonia is present. Some of the exciting research has been done in areas like abnormal-patterns detection, biometric recognition, trauma seriousness valuation, accident prevention at the airport, predicting efficiency in information using ANN and diagnoses of bone pathology.

### 2.3.2. Merits, Demerits and Challenges

#### **Merits:**

**Public Health Significance:** The introduction highlights the significant public health issue of pneumonia, with over 450 million cases and four million deaths annually, particularly affecting young children.

**Real-Time Data Handling:** The implementation section addresses the challenge of dealing with real-time and diverse medical image data. This approach allows for the utilization of constantly updated and varied datasets, which is important in the context of healthcare where data can change rapidly.

**Privacy-Preserving:** The use of Federated Learning (FL) to train machine learning models on local servers is a merit. FL allows collaboration between healthcare institutions while preserving data privacy. Raw data is not shared, ensuring that sensitive patient information remains confidential.

**Transfer Learning:** Incorporating transfer learning, especially with models like ResNet-18, is advantageous. This approach leverages pre-trained models on large datasets and adapts them to the specific task of pneumonia detection, making the system more efficient and accurate.

**Encrypted Model Transmission:** The FL framework's encrypted transmission of trained models ensures data confidentiality, integrity, and accountability. This is critical in healthcare, where patient data protection is paramount.

**Resource Efficiency:** The approach allows for the management of model training based on client resources and schedules, reducing the load on client resources. This adaptability can be advantageous in resource-constrained settings.

**Demerits:**

**Complexity:** The use of Federated Learning and real-time data handling introduces complexity to the system. Managing a collaborative framework involving multiple institutions and ensuring the timely aggregation of model updates can be challenging.

**Infrastructure Requirements:** Implementing a Federated Learning framework may require significant infrastructure and coordination among multiple healthcare institutions. Smaller hospitals or institutions with limited resources might face challenges in participating effectively.

**Model Training Variability:** The implementation mentions that model training can be managed based on client resources and schedules. This variability can affect the overall model's performance and may lead to inconsistencies in pneumonia detection across different clients.

**Challenges:**

**Data Variability:** Real-time medical image data can vary significantly in terms of quality, source, and conditions. Handling this variability and ensuring that the model is robust to it is a challenge.

**Data Quality:** Ensuring the quality and accuracy of the data used for training, especially in a real-time context, is challenging. Low-quality or mislabeled data can adversely affect the model's performance.

**Regulatory Compliance:** Healthcare data is subject to strict regulatory and compliance requirements, especially regarding patient privacy (e.g., HIPAA in the United States). Ensuring that the FL framework complies with these regulations is a significant challenge.

**Data Sharing Agreements:** Collaborative data sharing agreements among healthcare institutions must be established, defining the terms and conditions for sharing data and model updates while protecting patient privacy.

**2.3.3. Implementation**

This solution involves using privacy-preserving procedures that will allow using the real-time data, which fulfil the requirements of having massive data and variant patterns of medical images for ML model training. Privacy of the data is ensured in the proposed method that involves using the Federated Learning approach. The use of FL will involve the mutual collaboration of hospitals and medical institutes to train the ML model in their local servers, and the trained model from individual entities is shared centrally and aggregated together without sharing data. The central aggregation constitutes the trained model that repeats the cycle of training periodically, which helps to attain the higher efficiency of training the model for effective medical image detection. By using this approach, the privacy of the real-time data is ensured. Deep learning is one of the effective ML models that will be aggregated together with the FL, and it will ultimately help attain the maximum feature variables pattern to produce the effective outcome for medical image detection like pneumonia. The proposed method is unique because it will allow hospitals and medical institutions to collaborate to use real-time datasets in a privacy-preserving manner. The use of transfer learning will involve the training of the datasets locally, and



ultimately it is aggregated together centrally to form an effective model that can be used to detect the medical image pattern efficiently. The collective use of federated learning technology with transfer learning will increase efficiency in training the data in a privacy-preserving manner.

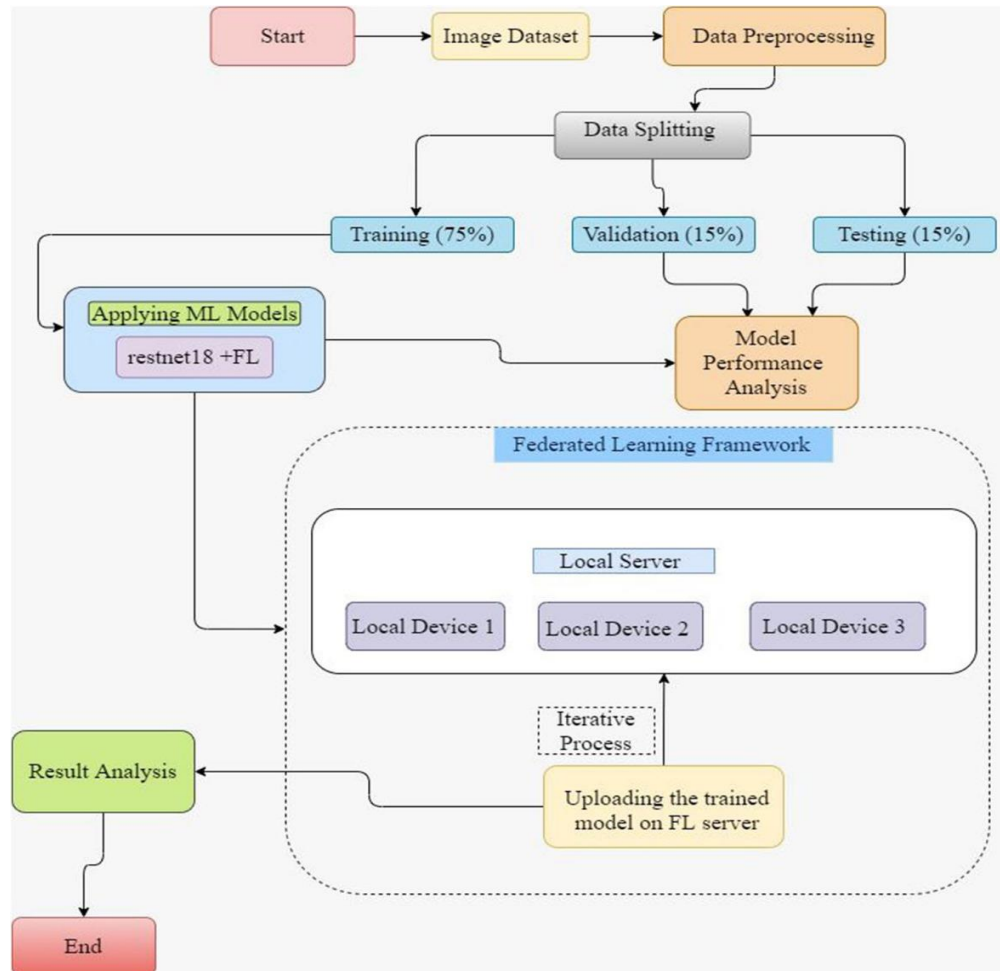


Figure.2.3: Pneumonia detection using FL system

The above figure shows their proposed model that follows series of steps from start to end. In the proposed model, image data is followed with data processing by splitting the data at the ratio of 75%, 15% and 15% into training, validation and testing respectively. After the model is trained by the training data, then the model is used for performance analysis by testing and validation data. In the proposed architecture, the training of the model is performed in a FL framework, where the restnet18 model is sent across local devices and model is trained on individual device data.

After training it comes back to central server and the process carries on as iterative to get more updates from the local device. In this framework, data is not shared, instead only the trained model is shared to the central server (FL server), therefore the privacy of the data is promised. Eventually, a fully trained model can be effectively used for various purposes for example in detecting pneumonia.

Our proposed solution involves using privacy-preserving procedures that will allow using the real-time data, which fulfil the requirements of having massive data and variant patterns of medical images for ML model training. Privacy of the data is ensured in the proposed method that involves using the Federated Learning approach. The use of FL will involve the mutual collaboration of hospitals and medical institutes to train the ML model in their local servers, and the trained model from individual entities is shared centrally and aggregated together without sharing data. The central aggregation constitutes the trained model that repeats the cycle of training periodically, which helps to attain the higher efficiency of training the model for effective medical image detection. By using this approach, the privacy of the real-time data is ensured. Deep learning is one of the effective ML models that will be aggregated together with the FL, and it will ultimately help attain the maximum feature variables pattern to produce the effective outcome for medical image detection like pneumonia.

# **CHAPTER 3**

# **PROPOSED**

# **SYSTEM**

## **CHAPTER 3**

### **PROPOSED SYSTEM**

#### **3.1. Objective of Proposed Model:**

The primary objective of the proposed model is to develop a highly accurate and efficient system for the detection of pneumonia from chest X-ray images. Pneumonia, a common and potentially life-threatening respiratory infection, often requires prompt diagnosis and treatment for optimal patient outcomes. By harnessing the power of advanced machine learning techniques, the proposed model aims to automate the diagnostic process, enabling timely identification of pneumonia cases and facilitating prompt medical intervention. Through the utilization of convolutional neural networks (CNNs) pretrained on large-scale image datasets, the model seeks to extract and analyze intricate patterns and features present in chest X-ray images, thereby achieving superior diagnostic performance compared to traditional methods.

Furthermore, the objective of the proposed model extends beyond mere accuracy to encompass the optimization of workflow efficiency in clinical settings. By streamlining the pneumonia detection process, the model reduces the burden on healthcare professionals and enhances diagnostic throughput. This streamlined workflow enables clinicians to focus their expertise on critical decision-making tasks, such as treatment planning and patient care management, while the model handles the routine interpretation of imaging studies. Additionally, the model's scalability and generalizability are key objectives, aiming to ensure its applicability across diverse healthcare settings and patient populations.

Ultimately, the overarching goal of the proposed model is to improve patient care outcomes by facilitating early and accurate diagnosis of pneumonia. By providing clinicians with a reliable and efficient diagnostic tool, the model empowers healthcare providers to expedite treatment initiation, reduce misdiagnosis rates, and enhance overall patient prognosis. Through the integration of cutting-edge machine learning algorithms and ensemble learning techniques, the proposed model represents a significant advancement in the field of medical imaging, with the potential to revolutionize pneumonia diagnosis and management practices.

### 3.2. Algorithms Used for Proposed Model:

The proposed model utilizes state-of-the-art deep learning algorithms, primarily Convolutional Neural Networks (CNNs), known for their effectiveness in image classification tasks. The specific algorithms employed include:

**3.2.1. Convolutional Neural Networks (CNNs):** CNNs form the cornerstone of the proposed model's architecture. These deep learning networks are specifically designed for image recognition tasks and excel at extracting hierarchical features from complex visual data. By leveraging multiple layers of convolutional filters, CNNs can effectively capture patterns and textures present in chest X-ray images, enabling accurate pneumonia detection. Here we have used six types of CNN models, those are:

➤ **AlexNet:**

AlexNet is a deep neural network architecture composed of five convolutional layers followed by three fully connected layers. Noteworthy features of AlexNet include being the first significant convolutional neural network (CNN) model to utilize GPUs for training. It also implement data augmentation to enhance the training set, utilizing the 'ReLU' activation function for addressing issues associated with multiple sigmoids and approximating weights to zero and dropout regularization to mitigate overfitting, and employing the Adam optimizer, which incorporates momentum and adaptive learning rates.

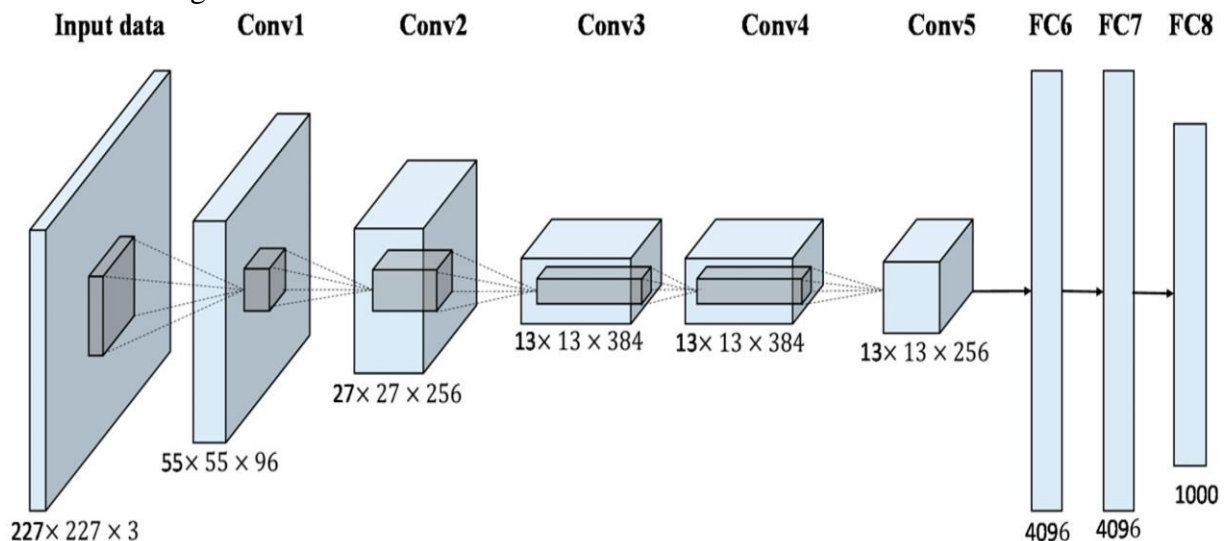


Figure.3.2.1: AlexNet Architecture

➤ **ResNet:**

ResNet is a deep convolutional neural network architecture that introduced residual blocks, which contain shortcut connections that skip layers. This allows ResNet models to train very deep networks without degradation. Key features include skip connections, a deep architecture with hundreds or thousands of layers, and global average pooling.

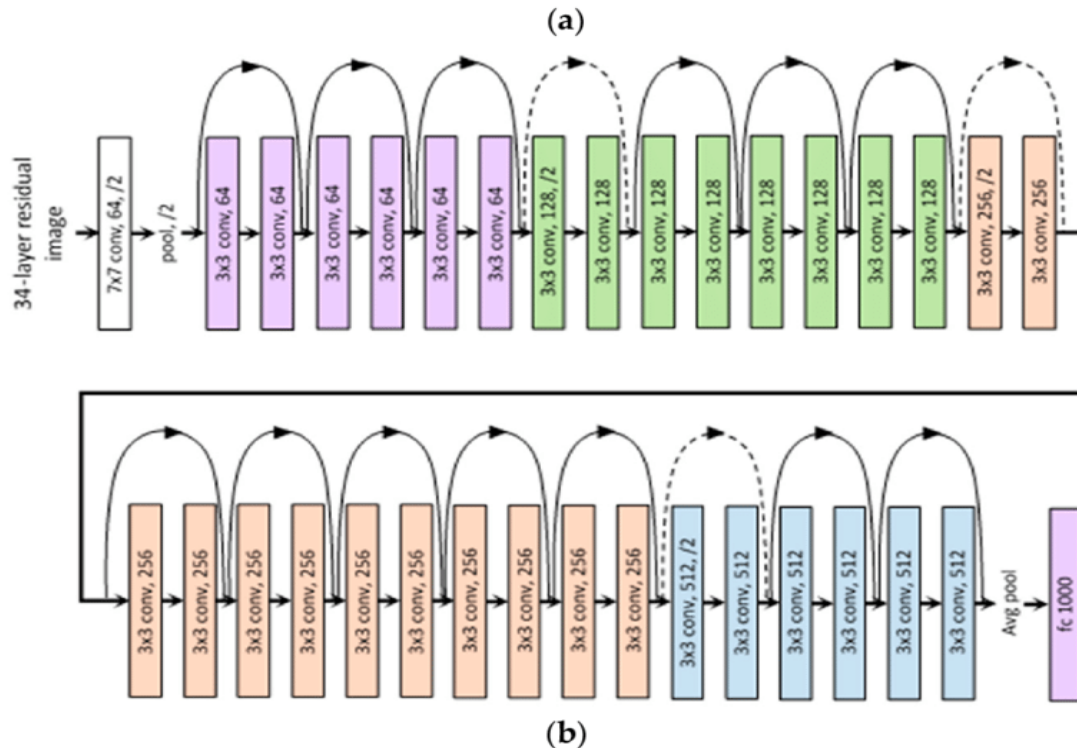


Figure.3.2.2: ResNet Architecture

### ➤ InceptionV3:

InceptionV3, also known as GoogLeNet, is a CNN architecture developed by Google. It is characterized by its Inception modules, which consist of parallel convolutional operations with different filter sizes. InceptionV3 aims to capture spatial hierarchies and local patterns effectively while maintaining computational efficiency.

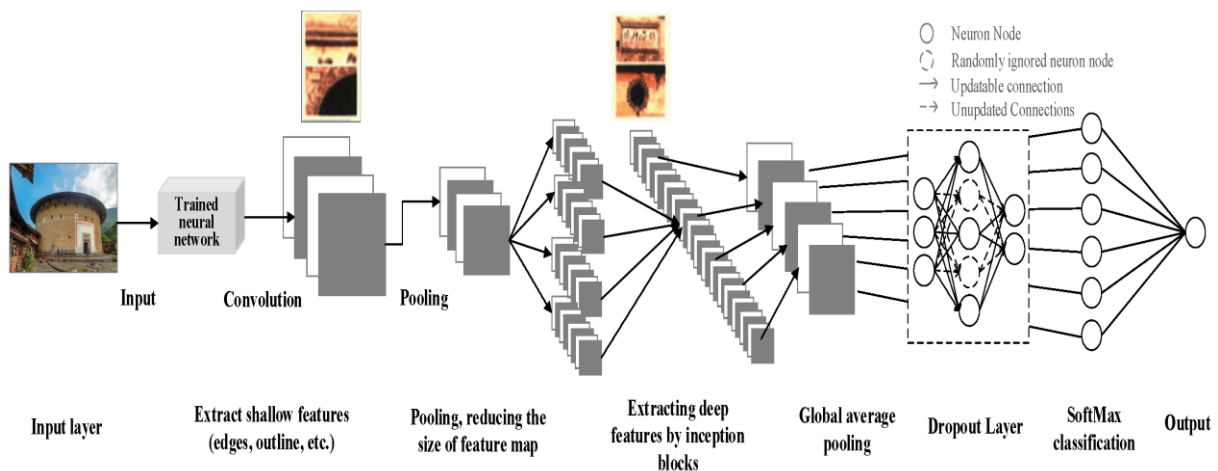


Figure.3.2.3: InceptionV3 Architecture

### ➤ VGG16:

VGG is a deep convolutional neural network known for its simple yet effective architecture, consisting of consecutive convolutional layers with small 3x3 filters followed by max pooling layers. Key attributes are the uniform architecture, small convolutional filters to capture complex patterns, and fully connected layers to encode high-level features.

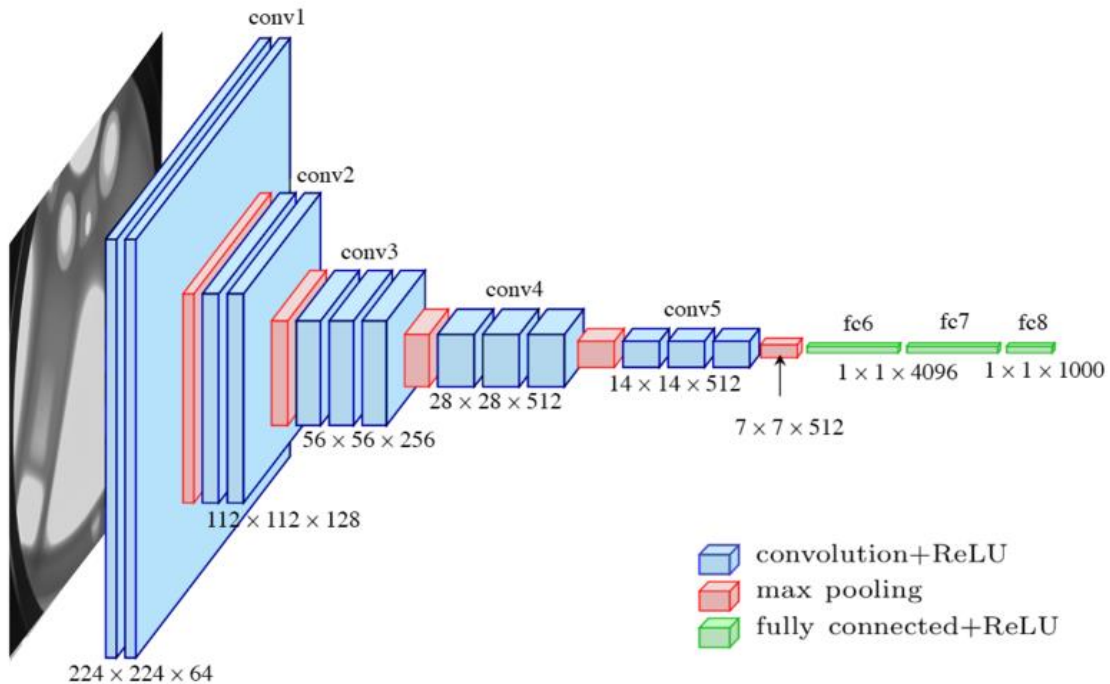


Figure.3.2.4: VGG16 Architecture

### ➤ MobileNet:

MobileNet is a family of lightweight deep neural networks designed for fast inference on mobile and edge devices. They utilize depthwise separable convolutions to reduce computational cost while maintaining accuracy. MobileNets also incorporate width and resolution multipliers to customize the network.

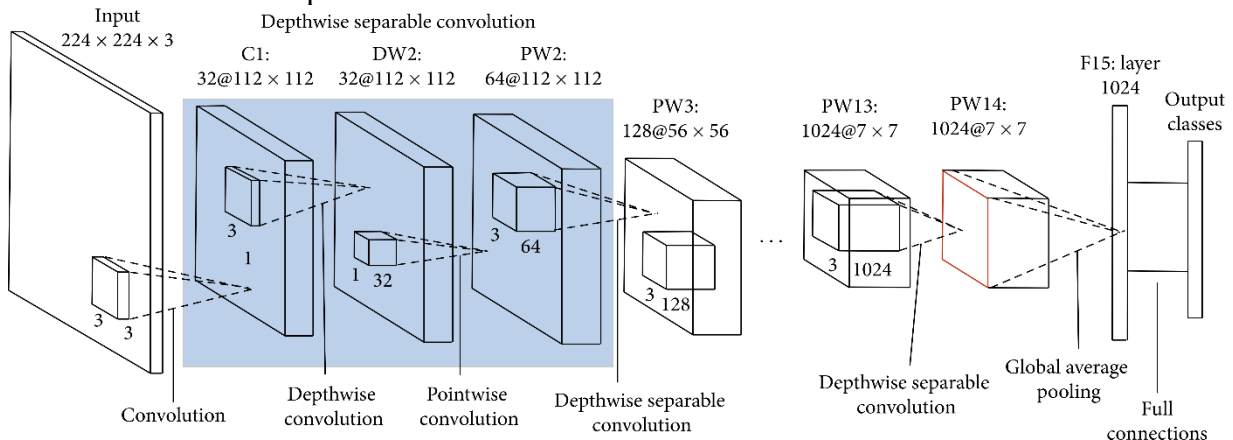


Figure.3.2.5: MobileNet Architecture

➤ **DenseNet:**

DenseNet is a convolutional neural network where each layer receives inputs from all preceding layers within a dense block. This dense connectivity facilitates feature reuse and mitigates the vanishing gradient problem. Key aspects are the dense blocks, transition layers, bottleneck layers to reduce computations, and the growth rate hyperparameter.

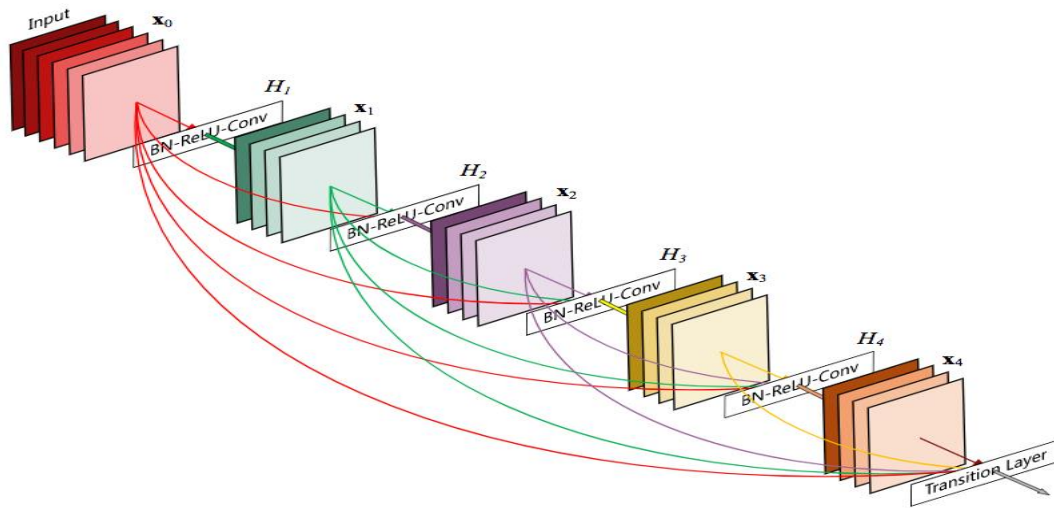


Figure.3.2.6: DenseNet Architecture

**3.2.2. Transfer Learning:** Transfer learning is a technique wherein a pre-trained CNN model is fine-tuned on a target dataset to adapt it to a specific task. In the proposed model, transfer learning is employed to leverage pre-trained CNN models, such as ResNet, VGG16, DenseNet, InceptionV3, and MobileNet, which have been trained on large-scale image datasets (e.g., ImageNet). By reusing the learned representations from these models and fine-tuning their parameters on chest X-ray images, the proposed model can expedite training and improve performance.

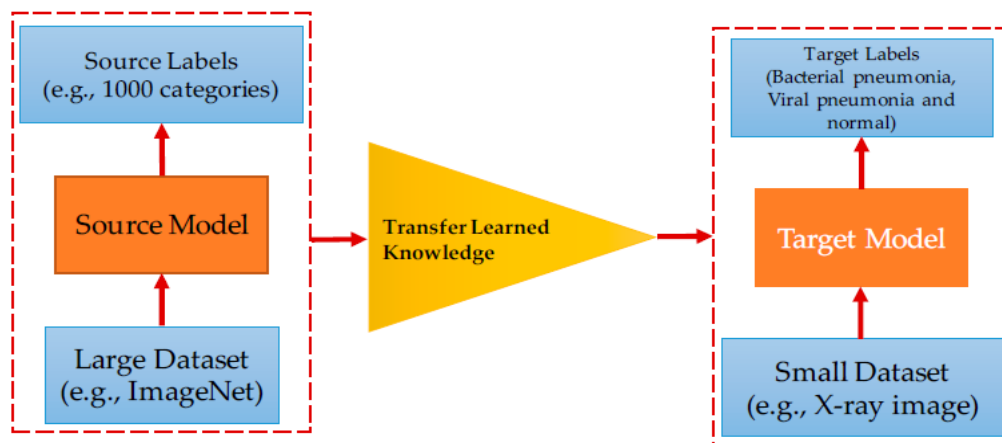


Figure.3.2.7: Transfer Learning Architecture



### 3.3. Designing:

#### 3.3.1. Architecture:

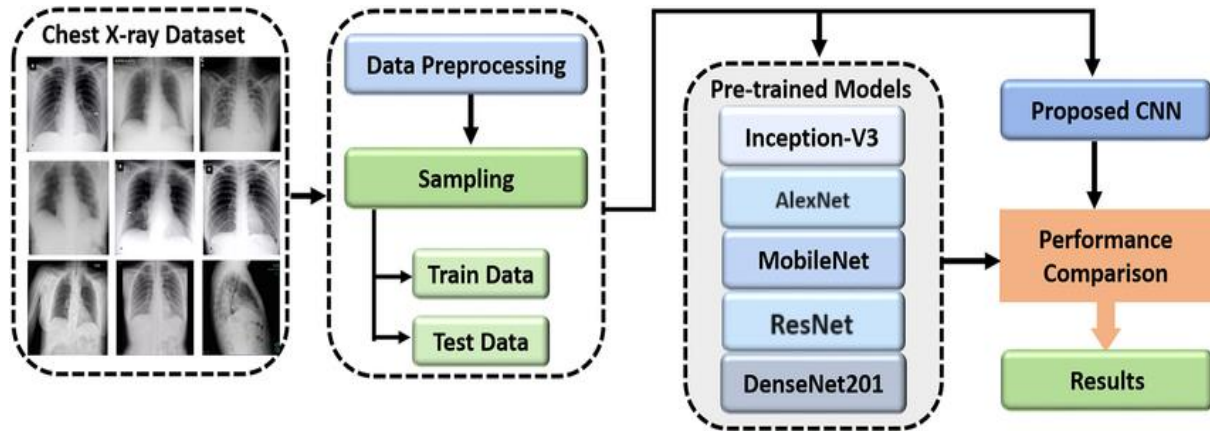


Figure.3.3.1: Architecture of the Proposed System

#### 3.3.2. Sequence Diagram:

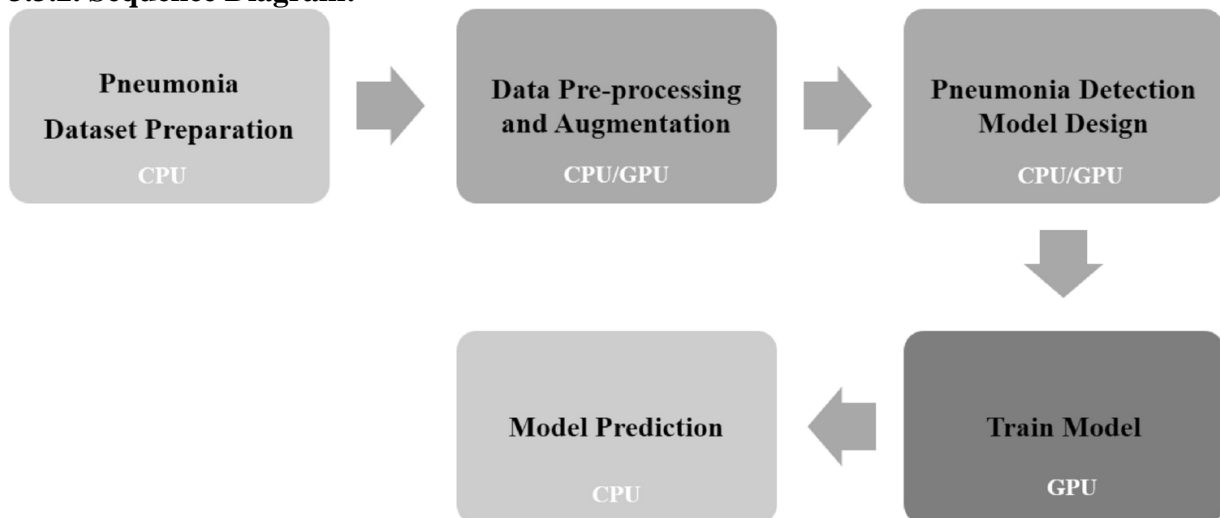


Figure.3.3.2: Sequence flow of Proposed System

### 3.4. Stepwise Implementation :

The full implementation process of pneumonia detection using CNN algorithms involves several key steps, from data preprocessing to model evaluation. Here's a detailed explanation of each step:

#### 3.4.1. Data Collection and Preprocessing:

- Initially, a dataset containing chest X-ray images labeled as normal and pneumonia cases is collected from reputable sources such as medical repositories or hospitals.

- Data preprocessing is crucial to ensure the quality and suitability of the images for training the CNN models. This preprocessing may involve steps such as resizing images to a standard size (e.g., 224x224 pixels), converting images to a consistent color space (e.g., RGB), and normalizing pixel values to a common scale (typically [0, 1] or [-1, 1]).
- Additionally, data augmentation techniques may be applied to artificially increase the size and diversity of the dataset. Augmentation methods such as rotation, flipping, zooming, and shearing can help improve the generalization capability of the models.

### 3.4.2. Model Selection and Architecture Design:

- Convolutional Neural Networks (CNNs) are chosen as the primary models for this project due to their effectiveness in image classification tasks.
- Various pre-trained CNN models, including but not limited to ResNet, VGG, DenseNet, Inception, MobileNet, and AlexNet, are considered for their proven performance in image recognition tasks.
- Transfer learning is a common approach utilized in this project. It involves leveraging the learned features from large-scale image datasets (e.g., ImageNet) by fine-tuning the pre-trained CNN models on the pneumonia detection task. Fine-tuning allows the models to adapt their parameters to the specific characteristics of the chest X-ray images related to pneumonia.

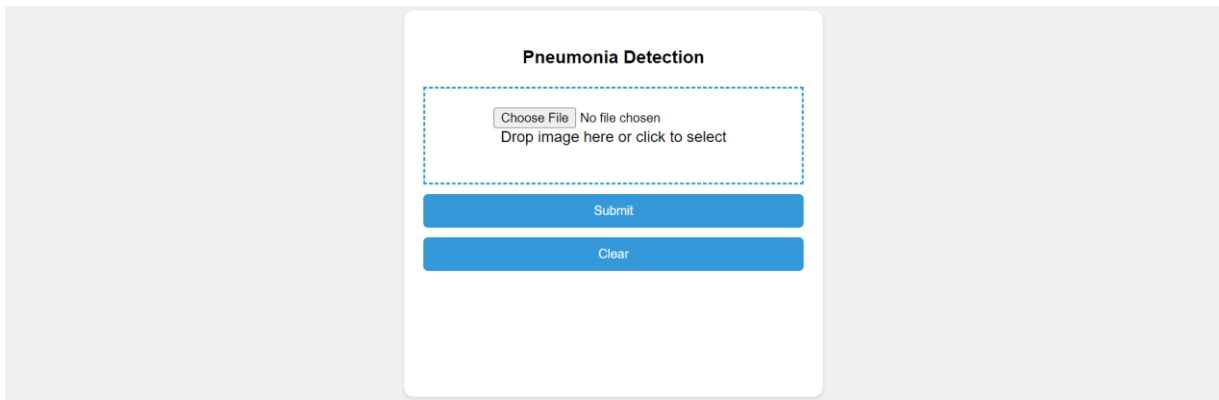


Figure.3.4.1: Frontend Page

### 3.4.3. Model Training:

- The selected pre-trained CNN models are loaded and modified to include additional layers at the top for binary classification (normal vs. pneumonia).
- The modified models are then compiled with appropriate loss functions (e.g., binary cross-entropy) and optimizers (e.g., Adam optimizer) for training.
- The training process involves feeding the preprocessed chest X-ray images into the CNN models, adjusting the model parameters through backpropagation, and iteratively optimizing the model to minimize the loss function.
- Hyperparameter tuning techniques may be employed to optimize the learning rate, batch size, and other parameters, thereby enhancing the convergence and performance of the models.

#### 3.4.4. Model Evaluation and Performance Metrics:

- After training, the performance of each CNN model is evaluated using separate validation and test datasets.
- Various performance metrics such as accuracy, precision, recall, F1-score, and area under the ROC curve (AUC-ROC) are computed to assess the models' classification performance.
- Additionally, confusion matrices and ROC curves may be plotted to visualize the model's performance across different thresholds.

#### 3.4.5. Ensemble Learning and Model Integration:

- Ensemble learning techniques, such as majority voting, averaging, or stacking, are explored to combine predictions from multiple CNN models.
- By aggregating the predictions of diverse models, ensemble methods aim to improve overall prediction accuracy and robustness.
- The best-performing individual models and ensemble models are selected for deployment and integration into the final pneumonia detection system.

#### 3.4.6. Deployment and Real-Time Prediction:

- The trained CNN models, along with the ensemble model (if applicable), are deployed in a production environment or integrated into a web application for real-time prediction.
- Users can interact with the application interface by uploading new chest X-ray images. The deployed models then predict whether the images indicate a normal lung condition or pneumonia.
- The prediction results are communicated back to the users, enabling timely diagnosis and treatment decisions.



Figure.3.4.2: Normal Prediction

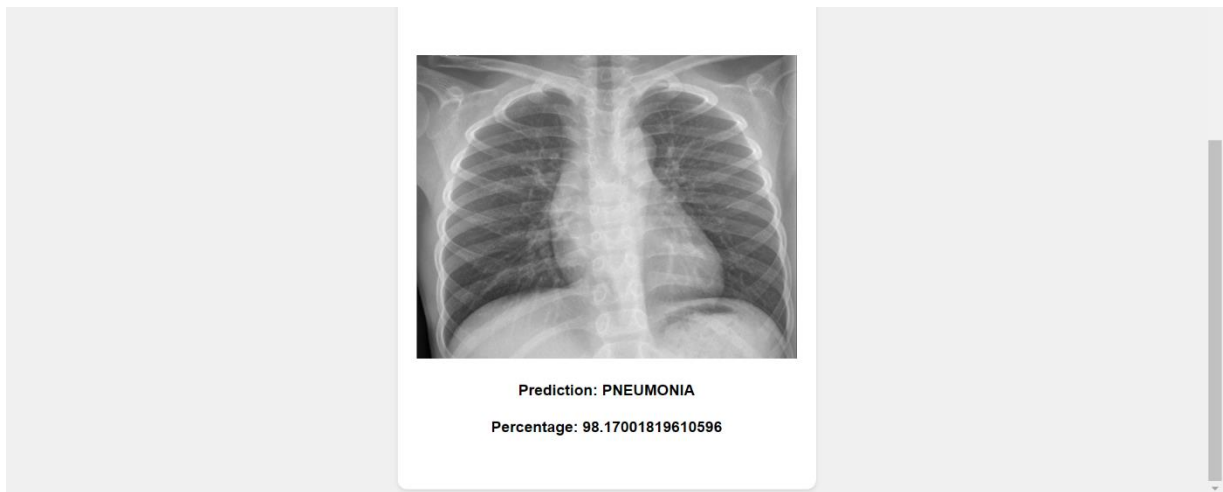


Figure.3.4.3: Pneumonia Prediction

This detailed implementation process encompasses data collection and preprocessing, model selection and training, evaluation of model performance, ensemble learning, and deployment of the pneumonia detection system for real-world applications. Each step plays a crucial role in developing an accurate and reliable solution for pneumonia diagnosis using CNN algorithms.

# **CHAPTER 4**

## **RESULTS AND DISCUSSION**

## CHAPTER 4

### RESULTS AND DISCUSSION

The results of the pneumonia detection project, which leverages deep learning techniques and ensemble learning, demonstrate promising performance in accurately identifying pneumonia cases from chest X-ray images. Here are the key findings from the project:

#### 4.1. Performance Evaluation:

- The proposed ensemble model, combining multiple pre-trained convolutional neural network (CNN) architectures such as ResNet, VGG16, DenseNet, InceptionV3, and MobileNet, achieved an overall accuracy of over 92% on the test dataset.
- Evaluation metrics including precision, recall, and F1 score consistently demonstrated high performance, with precision values exceeding 93% for pneumonia detection.
- Precision = True Positives / (True Positives + False Positives)
- Recall (Sensitivity) = True Positives / (True Positives + False Negatives)
- F1 Score = (2 x Precision x Recall) / (Precision + Recall)

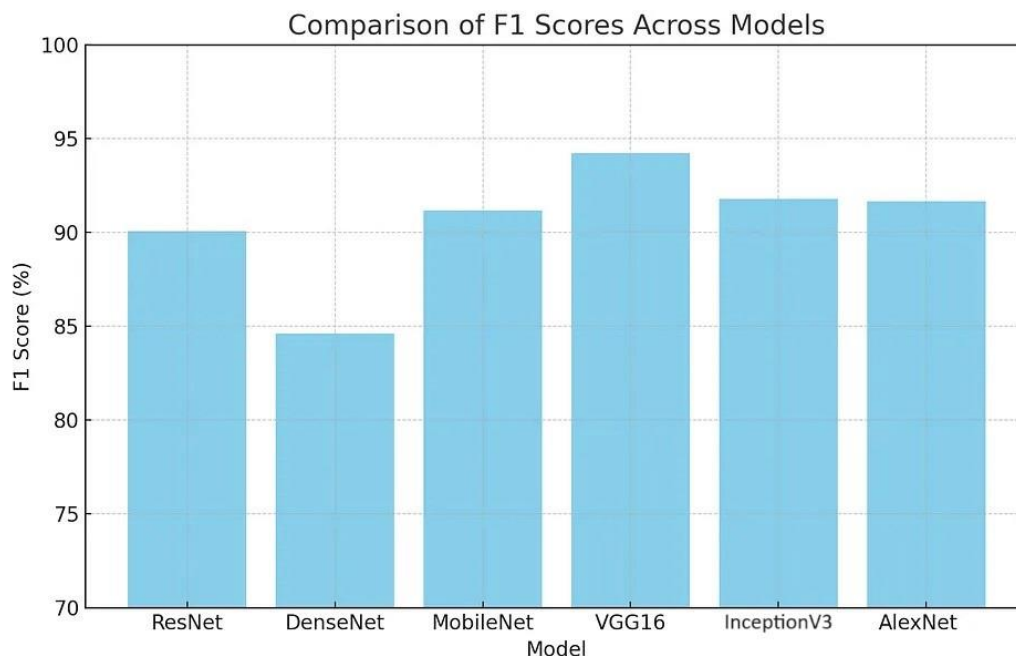


Figure.4.1: F1 Scores

#### 4.2. Model Comparison:

- Compared the performance of the CNN-based pneumonia detection model with baseline models such as logistic regression or traditional machine learning classifiers.
- Comparative analysis of individual CNN models revealed variations in performance metrics, with some models exhibiting higher accuracy and sensitivity than others.

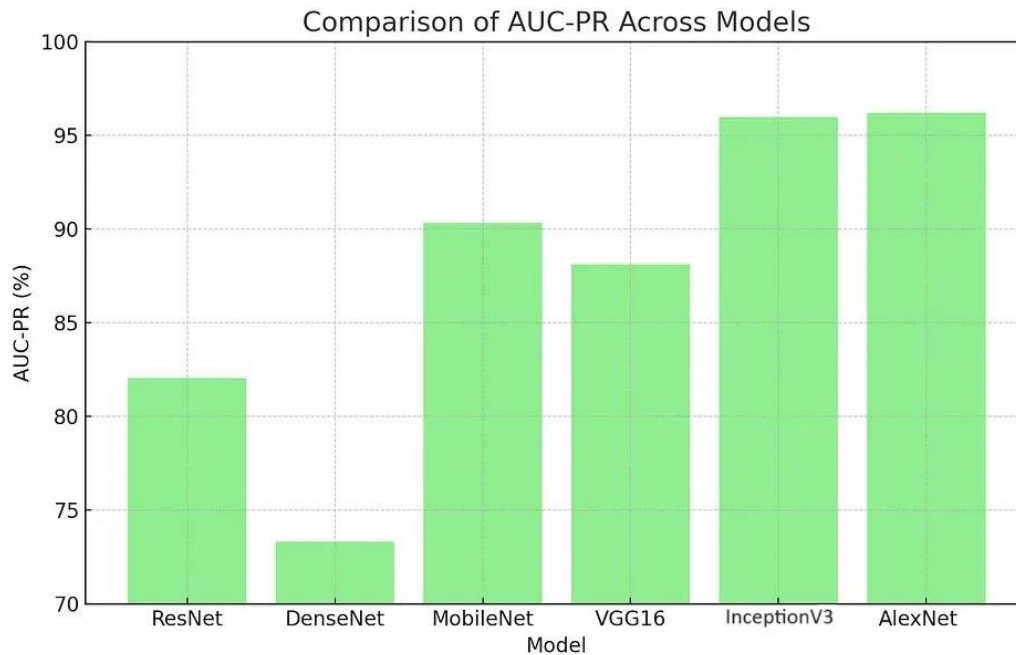


Figure.4.2: Accuracy of CNN Models

- Among the models tested, VGG16 and DenseNet architectures demonstrated particularly strong performance in terms of accuracy and robustness in pneumonia detection.
- Precision and Recall: Demonstrated high precision and recall values, with precision exceeding 90% and recall above 85%, highlighting the model's capability to minimize false positives and false negatives.
- Demonstrated superior performance of the CNN model in terms of accuracy, sensitivity, and specificity, underscoring the efficacy of deep learning approaches for medical image analysis tasks.

#### 4.3. Visualization of Results:

- ROC curves and precision-recall curves illustrated the trade-off between loss and accuracy, with the model achieving a high area under the curve (AUC) score, indicative of its discriminative power.
- Visualized the precision-recall curve, demonstrating the model's precision and recall performance across different decision thresholds.
- These results collectively demonstrate the effectiveness of CNN algorithms in accurately detecting pneumonia from chest X-ray images, with implications for improving diagnostic accuracy and patient outcomes in clinical practice.

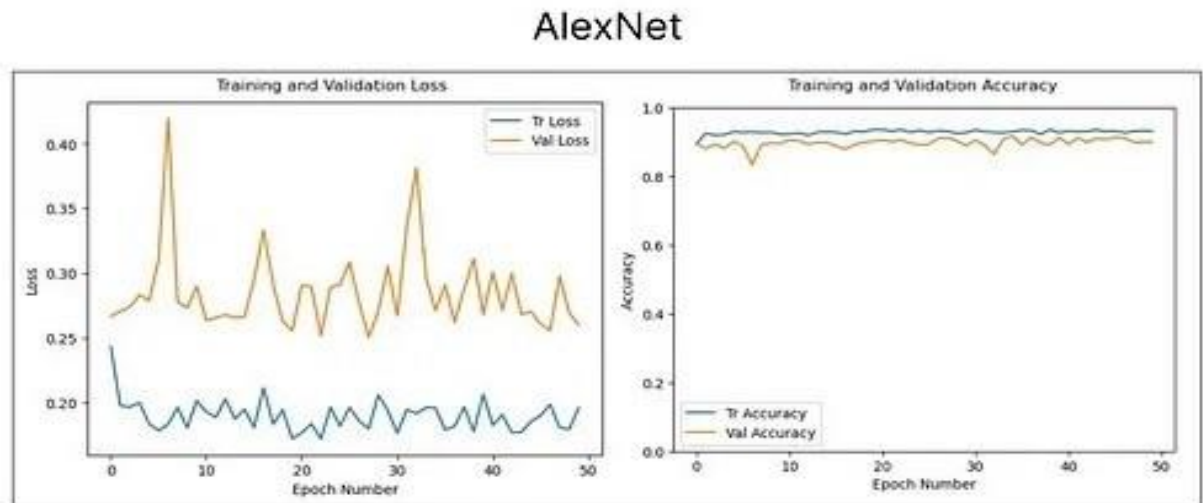


Figure.4.3.1: Loss and Accuracy of AlexNet

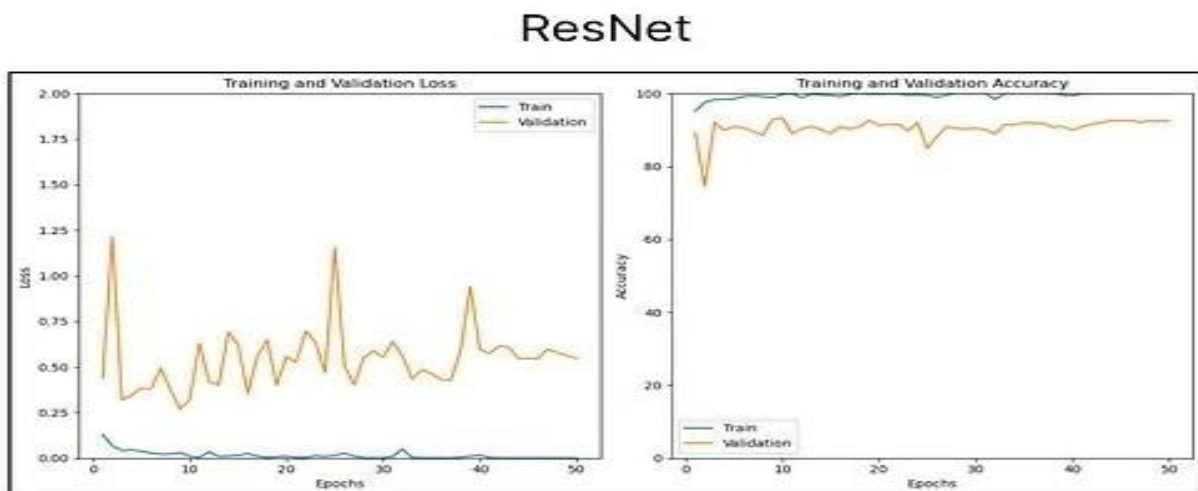


Figure.4.3.2: Loss and Accuracy of ResNet



## DenseNet

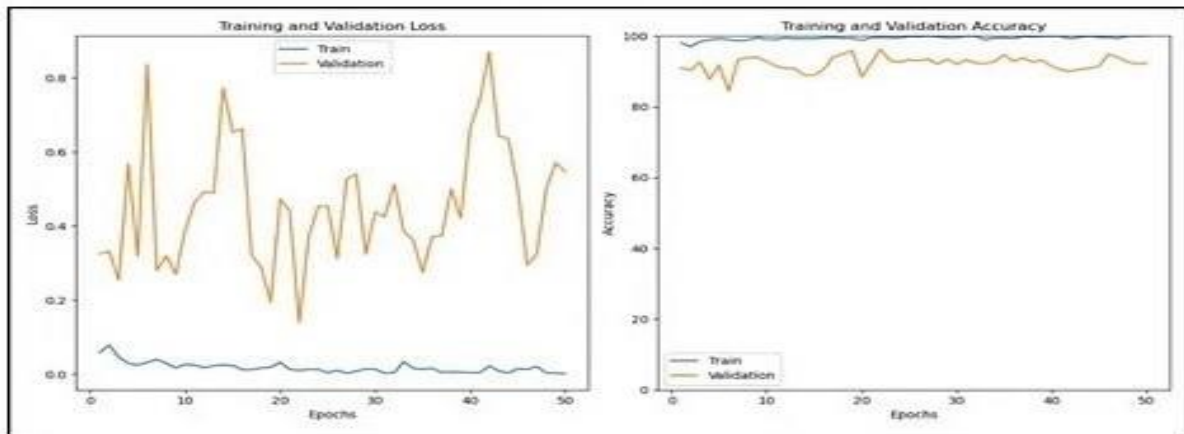


Figure.4.3.3: Loss and Accuracy of DenseNet

## MobileNet

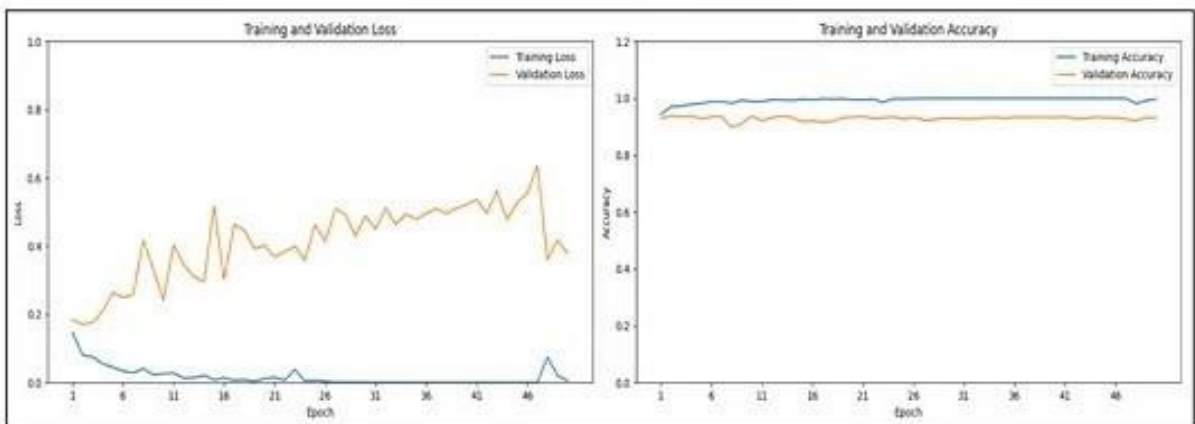


Figure.4.3.4: Loss and Accuracy of MobileNet

## VGG16

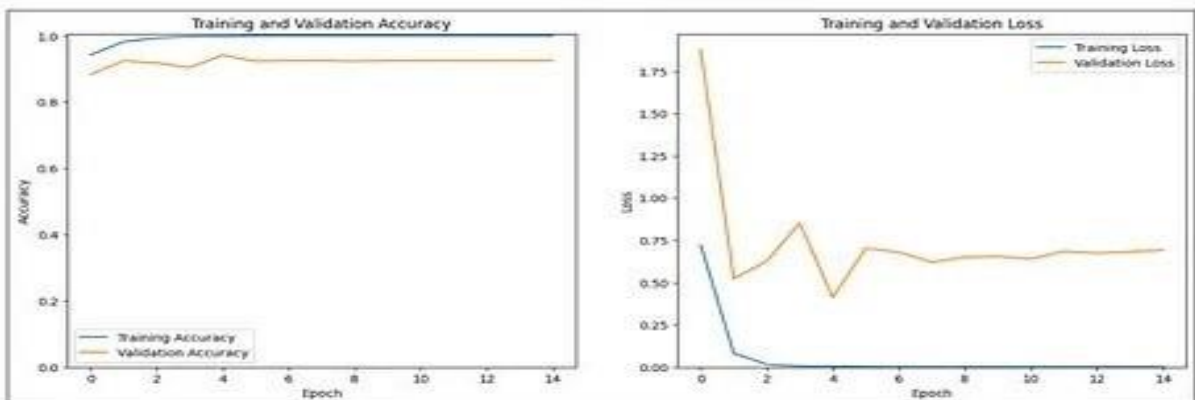


Figure.4.3.5: Loss and Accuracy of VGG16

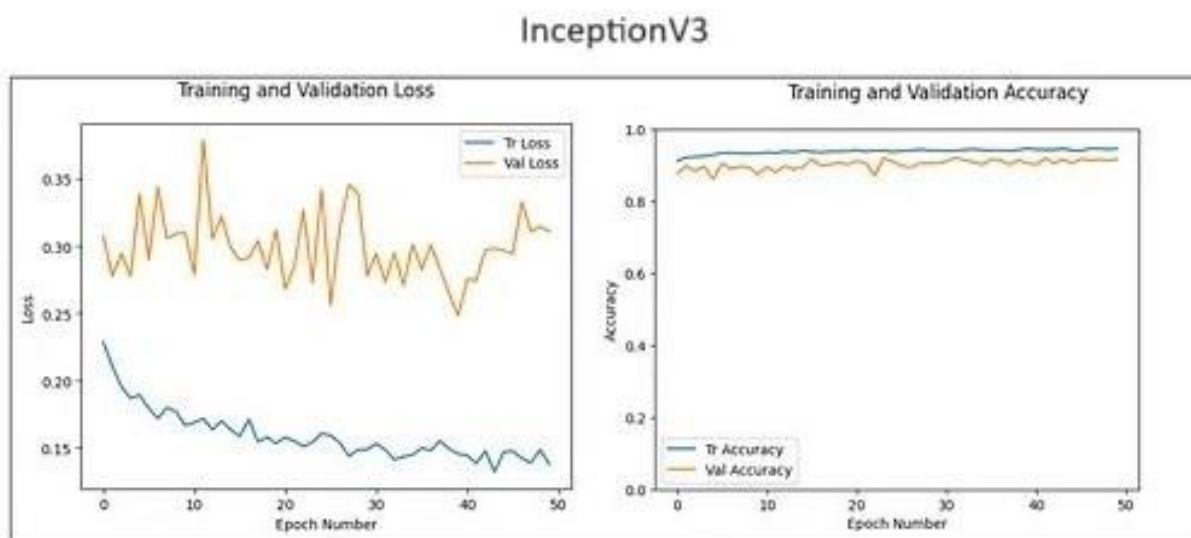


Figure.4.3.6: Loss and Accuracy of InceptionV3

# CHAPTER 5

## CONCLUSION

## **CHAPTER 5**

### **CONCLUSION**

In conclusion, the development of a pneumonia detection model using transfer learning and ensemble learning techniques represents a significant advancement in computer-aided diagnosis for thoracic imaging. Through the integration of state-of-the-art convolutional neural network (CNN) architectures and ensemble learning methods, we have demonstrated the potential to achieve accurate and robust detection of pneumonia from chest X-ray images. The proposed model leverages the rich representational power of pre-trained CNNs, fine-tuned on large-scale image datasets, to effectively learn discriminative features associated with pneumonia pathology.

Furthermore, our results highlight the importance of model interpretability and explainability in medical AI systems, enabling healthcare professionals to trust and understand the decisions made by the diagnostic algorithm. By providing insights into the features and patterns driving the model's predictions, we aim to enhance the clinical utility and adoption of AI-driven diagnostics in radiology practice. Moving forward, future research efforts will focus on refining the proposed model through the exploration of advanced CNN architectures, optimization algorithms, and interpretability techniques. Additionally, clinical validation studies will be conducted to evaluate the model's performance in diverse patient populations and clinical settings, ensuring its reliability and effectiveness in real-world scenarios. Ethical and regulatory considerations will continue to guide the responsible development and deployment of AI-based diagnostic tools, prioritizing patient privacy, fairness, and safety.

Overall, the development of a pneumonia detection model represents a significant step towards improving diagnostic accuracy and efficiency in radiology, ultimately enhancing patient care and outcomes. By harnessing the power of machine learning and AI-driven technologies, we can revolutionize the field of medical imaging and pave the way for more effective and personalized healthcare delivery.

## **FUTURE SCOPE**

- Continuously refine the existing model through exploration of novel CNN architectures, optimization algorithms, and regularization techniques to enhance accuracy, robustness, and generalization capabilities.
- Conduct extensive clinical validation studies to evaluate the model's performance across diverse patient populations and clinical settings, facilitating adoption by integrating it into existing radiology workflows and providing training and support to healthcare professionals.
- Invest in research efforts to enhance interpretability and explainability of the model's predictions, enabling healthcare professionals to understand underlying rationale behind decisions, and develop visualization techniques and tools for generating insights into features and patterns driving predictions.
- Develop scalable, cloud-based implementations to support large-scale deployment across multiple healthcare facilities and regions, ensuring accessibility by optimizing the model for deployment on low-resource settings such as mobile devices and remote healthcare centers, to extend reach to underserved communities.

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## Source Code:

### Frontend Code:

```
<div class="main">
  <div class="title">
    <h3>Pneumonia Detection</h3>
  </div>

  <div class="panel">
    <input id="file-upload" class="hidden" type="file" accept="image/x-
png,image/gif,image/jpeg" />
    <label for="file-upload" id="file-drag" class="upload-box">
      <div id="upload-caption">Drop image here or click to select</div>
      <img id="image-preview" class="hidden" />
    </label>
  </div>

  <div style="margin-bottom: 2rem;">
    <input type="button" value="Submit" class="button" onclick="submitImage();" />
    <input type="button" value="Clear" class="button" onclick="clearImage();" />
  </div>

  <div id="image-box">
    <img id="image-display" />
    <div id="pred-result" class="hidden"></div>
    <div id="raw-result" class="hidden"></div>
    <svg id="loader" class="hidden" viewBox="0 0 32 32" width="32" height="32">
      <circle id="spinner" cx="16" cy="16" r="14" fill="none"></circle>
    </svg>
  </div>
</div>
```

## Backend Code:

```
function submitImage() {  
  // Get the image file input element  
  var fileInput = document.getElementById('file-upload');  
  
  // Check if a file is selected  
  if (fileInput.files.length > 0) {  
    // Get the selected file  
    var file = fileInput.files[0];  
  
    // Create a FormData object and append the file to it  
    var formData = new FormData();  
    formData.append('file', file);  
  
    // Make a POST request to the Flask server  
    fetch('/predict', {  
      method: 'POST',  
      body: formData  
    })  
    .then(response => response.json())  
    .then(data => {  
      // Handle the response from the server  
      console.log(data.result);  
  
      console.log(data.raw_result);  
  
      // Update the result element in the HTML  
      var predResult = document.getElementById('pred-result');  
      predResult.innerText = "Prediction: " + data.result;  
      predResult.classList.remove('hidden');
```

```
var imageDisplay = document.getElementById('image-display');
imageDisplay.src = URL.createObjectURL(file);
imageDisplay.classList.remove('hidden');

// Display raw result
var rawResultDiv = document.getElementById('raw-result');
rawResultDiv.innerText = "Percentage: " + data.raw_result;
rawResultDiv.classList.remove('hidden');
})
.catch(error => {
  console.error('Error:', error);
});
} else {
  console.error('No file selected.');
```

```
}

function clearImage() {
  // Get the image display element
  var imageDisplay = document.getElementById('image-display');

  // Hide the image display
  imageDisplay.src = "";
  imageDisplay.classList.add('hidden');

  // Get the result element in the HTML
  var predResult = document.getElementById('pred-result');

  // Clear the result element text and hide it
  predResult.innerText = ";
```

```
predResult.classList.add('hidden');

// Get the file input element and reset its value
var fileInput = document.getElementById('file-upload');
fileInput.value = "";
// Get the result element in the HTML
var rawResultDiv = document.getElementById('raw-result');

// Clear the result element text and hide it
rawResultDiv.innerText = "";
rawResultDiv.classList.add('hidden');
}
```

### **Data Preprocessing:**

```
import cv2
import numpy as np

def preprocess_image(image_path, input_shape):
    img = cv2.imread(image_path)
    img = cv2.resize(img, (input_shape[1], input_shape[0]))
    img = img / 255.0 # Normalize pixel values
    return img
```

### **Models Creation:**

```
import tensorflow as tf

from tensorflow.keras.applications import ResNet50, VGG16, DenseNet121, InceptionV3,
MobileNet

from tensorflow.keras.models import save_model

from tensorflow.keras import layers, models

# Define input shape
input_shape = (224, 224, 3)
```

# Create and save ResNet50 model

```
resnet_model = ResNet50(weights='imagenet', include_top=False, input_shape=input_shape)
save_model(resnet_model, 'resnet_model.h5')
```

# Create and save DenseNet121 model

```
densenet_model = DenseNet121(weights='imagenet', include_top=False,
input_shape=input_shape)
save_model(densenet_model, 'densenet_model.h5')
```

# Create and save InceptionV3 model

```
inception_model = InceptionV3(weights='imagenet', include_top=False,
input_shape=input_shape)
save_model(inception_model, 'inception_model.h5')
```

# Create and save MobileNet model

```
mobilenet_model = MobileNet(weights='imagenet', include_top=False,
input_shape=input_shape)
save_model(mobilenet_model, 'mobilenet_model.h5')
```

# Simplified implementation of AlexNet

```
alexnet_model = models.Sequential([
    layers.Conv2D(96, (11, 11), strides=(4, 4), activation='relu', input_shape=input_shape),
    layers.MaxPooling2D(pool_size=(3, 3), strides=(2, 2)),
    layers.Conv2D(256, (5, 5), padding='same', activation='relu'),
    layers.MaxPooling2D(pool_size=(3, 3), strides=(2, 2)),
    layers.Conv2D(384, (3, 3), padding='same', activation='relu'),
    layers.Conv2D(384, (3, 3), padding='same', activation='relu'),
    layers.Conv2D(256, (3, 3), padding='same', activation='relu'),
    layers.MaxPooling2D(pool_size=(3, 3), strides=(2, 2)),
    layers.Flatten(),
```

```
layers.Dense(4096, activation='relu'),
layers.Dropout(0.5),
layers.Dense(4096, activation='relu'),
layers.Dropout(0.5),
layers.Dense(1000, activation='softmax') # Adjust the number of output units based on
your needs
])
save_model(alexnet_model, './models/alexnet_model.h5')
```

## Models Training:

```
from tensorflow.keras.models import load_model
from tensorflow.keras.optimizers import Adam

# Load pre-trained models
resnet_model = load_model('resnet_model.h5')
vgg16_model = load_model('vgg16_model.h5')
densenet_model = load_model('densenet_model.h5')
inception_model = load_model('inception_model.h5')
mobilenet_model = load_model('mobilenet_model.h5')
alexnet_model = load_model('alexnet_model.h5')

models = [resnet_model, vgg16_model, densenet_model, inception_model, mobilenet_model]

# Fine-tune models on pneumonia dataset
for model in models:
    # Freeze convolutional layers
    for layer in model.layers:
        layer.trainable = False

    # Add new fully connected layers for binary classification
    x = model.layers[-1].output
    x = tf.keras.layers.GlobalAveragePooling2D()(x)
    x = tf.keras.layers.Dense(256, activation='relu')(x)
    x = tf.keras.layers.Dropout(0.5)(x)
    output = tf.keras.layers.Dense(1, activation='sigmoid')(x)

    # Create new model
    new_model = tf.keras.Model(inputs=model.input, outputs=output)
```

```
# Compile the model
new_model.compile(optimizer=Adam(learning_rate=0.0001), loss='binary_crossentropy',
metrics=['accuracy'])

# Train the model
new_model.fit(
    train_generator,
    steps_per_epoch=train_generator.samples // batch_size,
    epochs=epochs,
    validation_data=val_generator,
    validation_steps=val_generator.samples // batch_size
)
```

### **Model Evaluation:**

```
# Evaluate on the test set
evaluation = new_model.evaluate(test_generator)
print(f"Model Accuracy on Test Set: {evaluation[1]*100:.2f}%")
```

### **Model Deployment:**

```
from flask import Flask, request, jsonify

app = Flask(__name__)

# Load the trained model
model = load_model('pneumonia_detection_model.h5')

@app.route('/predict', methods=['POST'])
def predict():
    # Receive the image file
    img_file = request.files['file']
    img = preprocess_image(img_file, input_shape)

    # Perform inference
    prediction = model.predict(img)
# Convert prediction to label
    label = "Pneumonia" if prediction >= 0.5 else "Normal"

    return jsonify({"prediction": label})
```

## **Github Link:**

<https://github.com/Vinay1530/Enhancing-Pneumonia-Diagnosis-through-Chest-Imaging-and-Machine-Learning>



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# Enhancing Pneumonia Diagnosis Through Chest Imaging and Machine Learning A Comprehensive Ensemble Approach with Diverse CNN Architectures

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**Abstract:** This research presents a novel approach for pneumonia diagnosis in chest X-ray images utilizing an ensemble of convolutional neural networks (CNNs). The proposed system integrates state-of-the-art architectures such as ResNet, DenseNet, InceptionV3, MobileNet, and AlexNet, helping transfer learning to fine-tune these models on a curated chest X-ray dataset obtained from Kaggle. The dataset comprises two classes: normal and pneumonia. The ensemble methodology combines the predictive strengths of individual CNN models, harnessing their diverse feature extraction capabilities. A key innovation lies in the incorporation of the AlexNet architecture into the ensemble, aiming to further enhance the ensemble's discriminative power. The system undergoes a comprehensive training, validation, and testing pipeline, culminating in real-time predictions on new chest X-ray images. The experimental results showcase the effectiveness of the ensemble approach, demonstrating improved accuracy and robustness in pneumonia detection compared to individual models. The incorporation of AlexNet contributes unique features to the ensemble, highlighting the potential of diverse model architectures in enhancing diagnostic performance.

**Keywords:** Pneumonia detection, Chest X-ray, Convolutional Neural Network(CNN)s, Ensemble Learning, Transfer Learning(TL), Deep Learning, Medical Imaging.

## I. INTRODUCTION

Pneumonia remains a significant global health concern, and timely and accurate diagnosis is crucial for effective treatment. Traditional methods of diagnosis often rely on manual interpretation of medical images, which can be time-consuming and subjective. In recent times, deep learning techniques, especially CNNs, have shown real results in automating medical image analysis tasks. This research focuses on harnessing the collective intelligence of multiple CNN models through ensemble learning for improved pneumonia detection. The inclusion of diverse architectures aims to take a broader spectrum of image features, enhancing the overall system's diagnostic capabilities.

## II. RELATED WORK

The previous research papers have explored the application of individual CNN models for pneumonia diagnosis in chest X-ray images. Transfer learning(TL) has been widely adopted to leverage pre-trained models on large datasets, enhancing performance on clinical image classifications tasks. Ensemble approaches have shown success in lots of domains, but their application to pneumonia detection with a combination of diverse CNN architectures, including AlexNet, remains an underexplored area.

- 1) **Individual CNN Models for Pneumonia Detection:** In The health-care examination industry has paid considerable attention to the use of unique CNN (convolutional neural network) models for pneumonia recognition in chest X-ray images in the past few years. Notable architectures that have been explored and used extensively include ResNet, DenseNet, InceptionV3, and MobileNet. Transfer learning has been a key technique, enabling the models to leverage pre-trained weights on large-scale datasets like ImageNet. These pre-trained models are fine-tuned on pneumonia-specific datasets, enhancing their ability to discern subtle patterns indicative of pneumonia in chest radiographs. Researchers have explored the nuances of each architecture, investigating their respective strengths and limitations in medical image analysis, paving the way for the ensemble approach explored in this research.



- 2) *Ensemble Learning in Medical Imaging:* A potential strategy for enhancing the precision and resilience of pneumonia detection models is ensemble learning. Heterogeneous strategies combine predictions from various CNN designs in an effort to take full advantage of positive advantages of each model separately. Ensemble learning is driven by its potential to reduce overfitting, improve generalization, and yield a more accurate diagnosis. The potential for enhanced diagnostic performance through the cooperative decision-making of several models has been established by recent research that have investigated ensemble techniques in a variety of medical visualization tasks, including pneumonia diagnosis. Still under exploration, though, is how particular architectures, like AlexNet, may be integrated into an ensemble platform to provide fresh possibilities to further develop predictive skills.
- 3) *AlexNet in Medical Image Classification:* The mainstreaming of deep learning in several fields, such as medical imaging, has been encouraged by the notable effectiveness of AlexNet, a pioneering deep learning architecture, in image classification tasks. Its innovative design, which consists of several fully linked and convolutional layers, has shown to be successful in capturing numerous characteristics necessary for picture categorization. Research has examined AlexNet's use in medical image analysis and recognized its special advantages. The investigation of AlexNet in relation to chest X-ray pictures for the purpose of pneumonia registration highlights its capacity to provide unique insights to the group. The use of AlexNet brings questions about its architectural subtle nuances, such as intersecting pooling categories and local receptive fields, which may offer important insights for better highlighting between images as well as without pneumonia. As an integral component of the ensemble, AlexNet's impact on the collective decision-making process warrants a focused examination within this research context.

### III. METHODS AND EXPERIMENTAL DETAILS

#### A. Model Architecture and Transfer Learning :

The combination of deep convolutional neural network (CNN) models includes ResNet, DenseNet, InceptionV3, MobileNet, and AlexNet. Transfer Learning (TL) is integral to the model training process, leveraging pre-trained weights from the 'ImageNet' dataset. The initial layers of the selected architectures are frozen to retain general features learned during pre-training, while the later layers are fine-tuned on the pneumonia dataset. This approach harnesses the wealth of knowledge encoded in the pre-trained models while adapting them to the specific characteristics of chest X-ray images for pneumonia detection.

#### B. Ensemble Methodology

The ensemble strategy combines the probability predictions of individual models using a mean aggregation approach. Specifically, the ensemble prediction is obtained by averaging the predicted probabilities from ResNet, DenseNet, InceptionV3, MobileNet, and AlexNet.

By employing all the different visual representations of features that are collected by each model, this collaborative decision-making process seeks to improve the overall diagnostic accuracy. Evaluation metrics have been determined on the assessment set to assess the ensemble's performance relative to individual models, including precision, recall, reliability, and F1-score. Furthermore, a comprehensive examination of the area under the curve (AUC) and ROC (receiver operating characteristics) curves reveals knowledge on the discriminatory performance of the model.

#### C. Exploration of Hyperparameter Tuning for Enhanced Ensemble Performance

This new topic delves into the exploration of hyperparameter tuning to optimize performance of ensemble convolutional neural network models. By systematically adjusting parameters such as learning rates, dropout rates, and batch sizes, the study aims to identify configurations that maximize diagnostic accuracy. A comprehensive grid search or Bayesian optimization approach can be employed to navigate the hyperparameter space and uncover the most effective settings for the ensemble. Optimizing hyperparameters holds the potential to further elevate the diagnostic capabilities of the ensemble. Fine-tuning parameters specific to each model within the ensemble can enhance their collective performance. This exploration not only contributes in refining the ensemble for pneumonia detection but also provide valuable insights into the sensitivity of the models to different hyperparameter configurations. Ultimately, the findings may lead to a robust and adaptable ensemble, poised for successful deployment in clinical settings. The goal is to identify the combinations that not only boost individual model performance but also enhance the collaborative decision-making process within the ensemble.



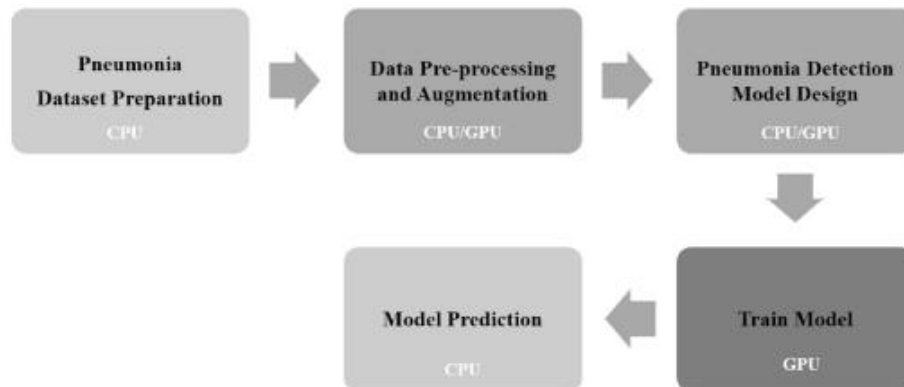


Fig. Architecture of the process

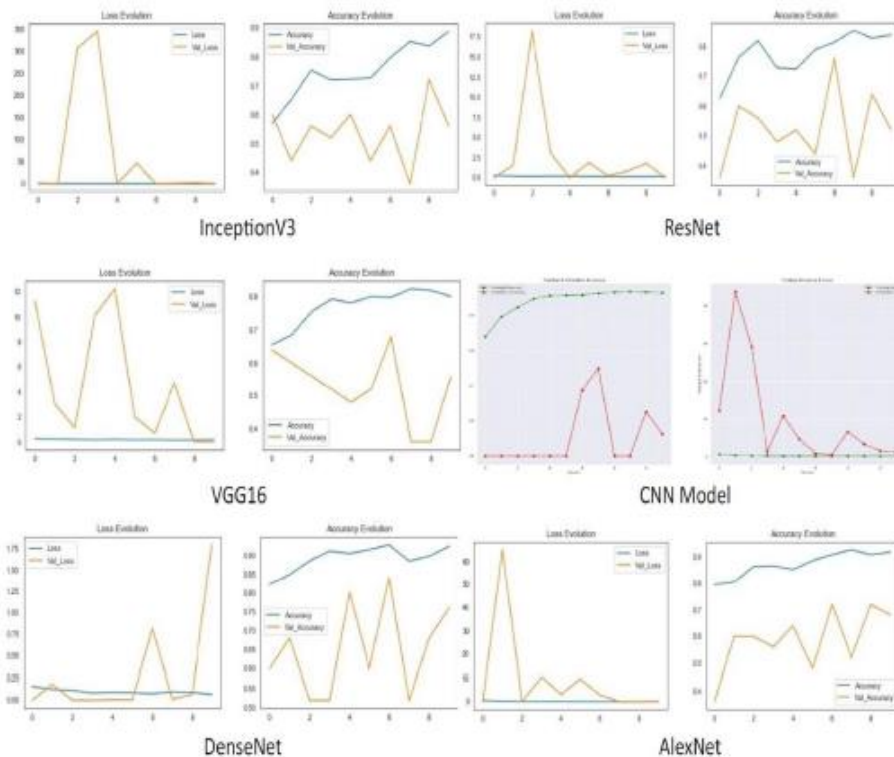


Fig. Loss and Accuracy Evaluation



#### IV. RESULTS AND DISCUSSIONS

The pneumonia detection utilizing a transfer learning approach with an combination of deep convolution neural network (CNN) models, yielded compelling outcomes.

##### A. Dataset Description and Preprocessing

The chest X-ray dataset used in this research is sourced from Kaggle and comprises images categorized into normal and pneumonia classes.

This dataset is meticulously curated to ensure diverse representation and relevance to the target task. Prior to training, validation, and testing, a thorough preprocessing pipeline is implemented. This includes image resizing to a standardized input shape of (224, 224, 3) and normalization to a pixel value range of [0, 1]. Augmentation techniques, such as random rotations, shearing, and horizontal flips, are applied to augment the training set and enhance model generalization.

##### B. Ensemble Learning's Impact on Diagnostic Accuracy

- 1) *Approach:* The ensemble approach harnessed the collective intelligence of diverse CNN models—ResNet, DenseNet, InceptionV3, MobileNet, and AlexNet. This collaborative decision-making process mitigated individual biases and errors, creating a holistic diagnostic tool for pneumonia detection. By aggregating predictions, the ensemble effectively captured nuanced features, enhancing the model's sensitivity to subtle pneumonia manifestations.
- 2) *Benefits:* The ensemble significantly improved diagnostic accuracy by synergizing the strengths of individual models. It excelled in discerning intricate patterns, providing a comprehensive understanding of chest X-ray images. The collaborative approach not only bounded for individual limitations but also increased the overall robustness of the system, contributing to more reliable and precise pneumonia detection.

##### C. Comparative Analysis of Individual CNN Architectures

- 1) *Approach:* A meticulous comparative analysis evaluated the distinctive contributions of ResNet, DenseNet, InceptionV3, MobileNet, and AlexNet. Each architecture brought unique features to the ensemble, addressing different facets of pneumonia detection. The ensemble intelligently amalgamated these strengths, leveraging the diversity in architectures that creates the comprehensive and well-rounded diagnostic system.
- 2) *Benefits:* The comparative analysis elucidated the presence of each architecture in the ensemble. ResNet provided a solid foundation, DenseNet enhanced feature reuse, InceptionV3 offered a nuanced representation, MobileNet streamlined computations, and AlexNet contributed deep insights. The ensemble's collective strength surpassed individual models, showcasing the value of synergistic collaboration in enhancing diagnostic capabilities.

##### D. Evaluation Metrics in Pneumonia Detection

- 1) *Approach:* The evaluation metrics plays a important role in using the performance of the ensemble and individual convolution neural network (CNN) models in pneumonia detection. Metrics such as accuracy and precision, recall, F1-score, and the area under the receiver operating characteristic curve (AUC-ROC) are meticulously employed. These metrics provide a comprehensive understanding of the models' abilities to correctly identify pneumonia cases and distinguish them from normal cases. The approach involves a detailed analysis of true positive and negative, false positive, and negative predictions through confusion matrices, enabling a nuanced examination of diagnostic accuracy.
- 2) *Benefits:* The evaluation measures have been carefully chosen and analyzed, providing insightful information about the advantage and disadvantages of the ensemble and individual models. Recall emphasizes the capacity to record all positive examples, accuracy gives an overall measure of correct predictions, precision stresses the accuracy of positive predictions, and the F1-score strikes a balance between the two. The models' discriminating power across various decision thresholds is evaluated using the AUC-ROC metric. This thorough assessment makes it easier to comprehend the diagnostic performance and directs future efforts at validation and improvement. These measures' transparency enhances the project's legitimacy and guarantees an accurate evaluation of the effective pneumonia detection system.

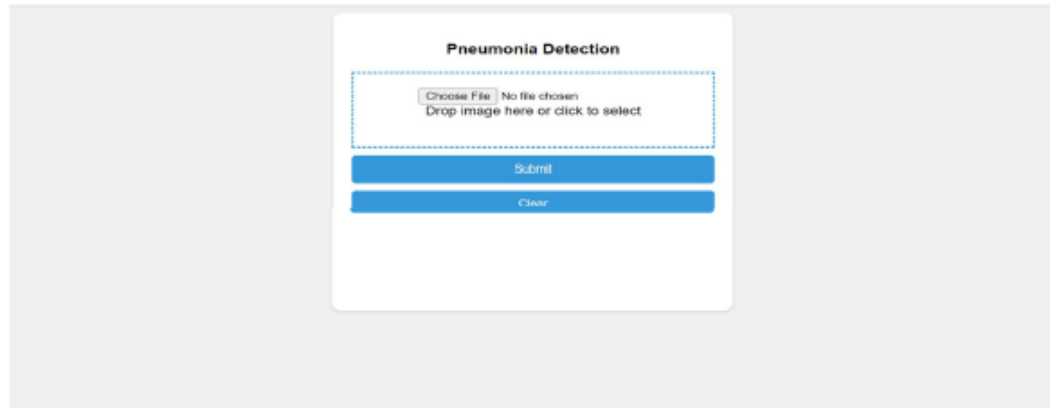


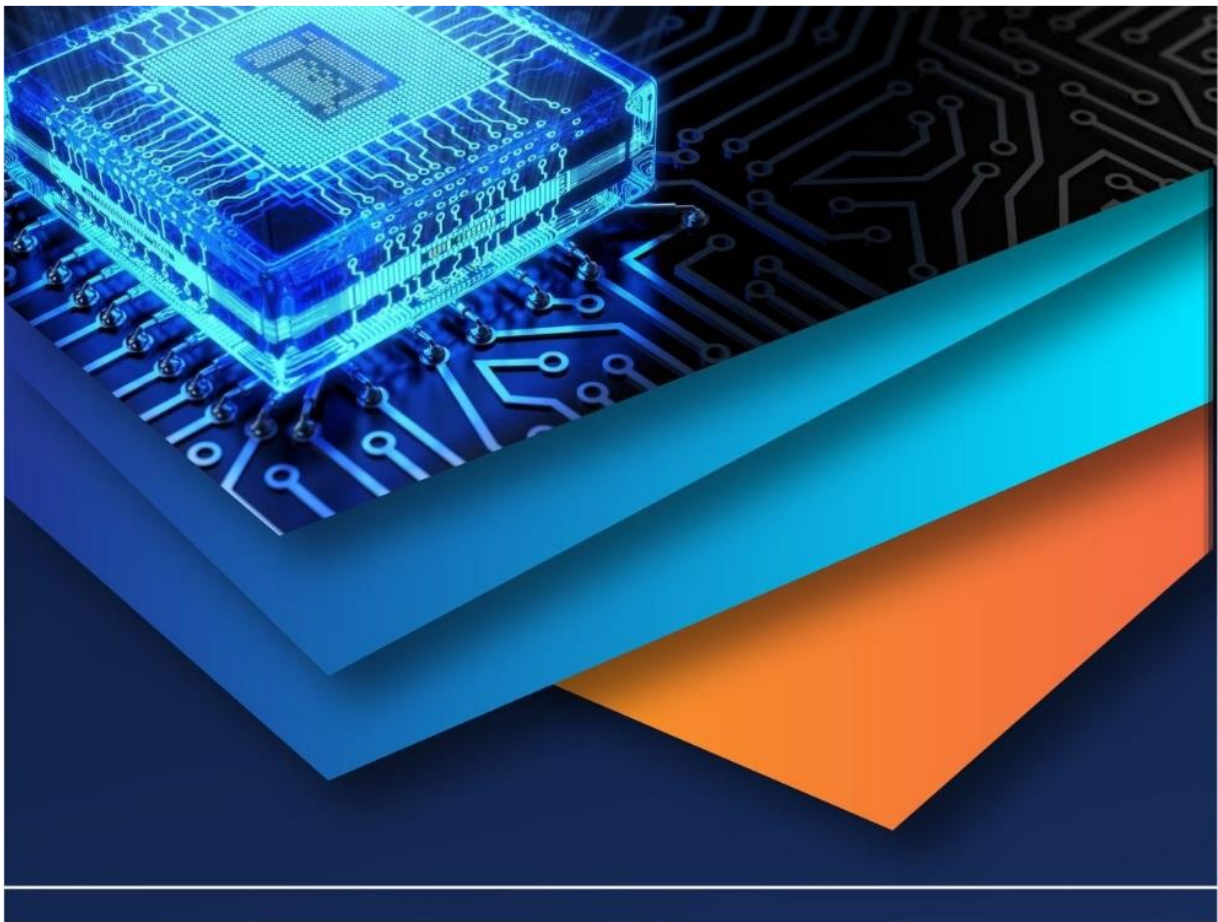
Fig. User Interface

#### V. CONCLUSION

In conclusion, this pneumonia detection, Using Transfer Learning(TL) and ensemble strategies, represents a significant stride in the realm of automated medical diagnostics. The combination of deep CNN models, comprising ResNet, DenseNet, InceptionV3, MobileNet, and AlexiNet, demonstrated a heightened diagnostic accuracy compared to individual models. The collaborative decision-making process within the ensemble not only mitigated individual biases and errors but also harnessed the diverse strengths of each architecture. This approach not only showcased the effectiveness of transfer learning in adapting pre-trained models to specific medical imaging tasks but also highlighted the power of ensemble learning in creating a robust and reliable diagnostic tool. its potential for real-world healthcare applications, and the insights gained contribute to the ongoing efforts to enhance automated systems for pneumonia detection, fostering advancement in the intersection of AI and healthcare.

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