Enhancing Large Language Models for a Dynamic World

Presenter: Zixuan Ke

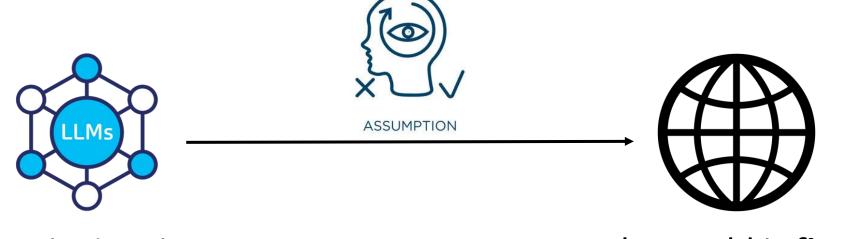
https://vincent950129.github.io/

LLM



Packed with knowledge and excels in many tasks

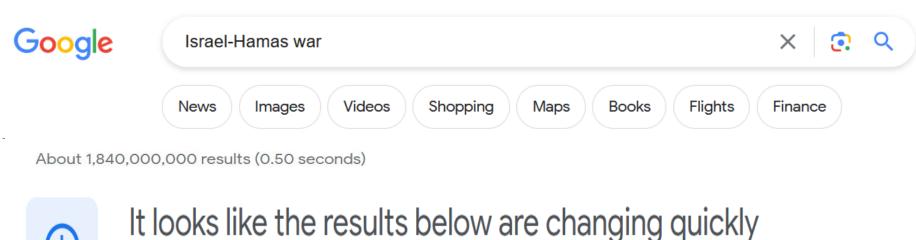
LLM in A Fixed World



Packed with knowledge and excels in many tasks

The world is **fixed** (i.i.d)

The World Changes Quickly



If this topic is new, it can sometimes take time for reliable sources to publish information

Check the source

Are they trusted on this topic?

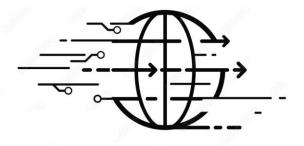
Come back later

Other sources might have more information on this topic in a few hours or days

Get more tips



Packed with knowledge and excels in many tasks



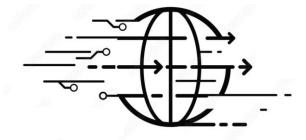
The world is **ever- changing**



Packed with knowledge and excels in many tasks

How to make knowledge in LLM more **reusable** and **updatable** in the **dynamic** world?

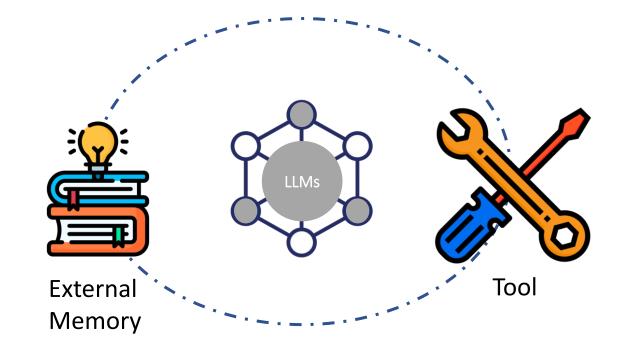




The world is **ever- changed**

How to make knowledge in LLM more **reusable** and **updatable** in the **dynamic** world?





Retrieval-augmented LLM



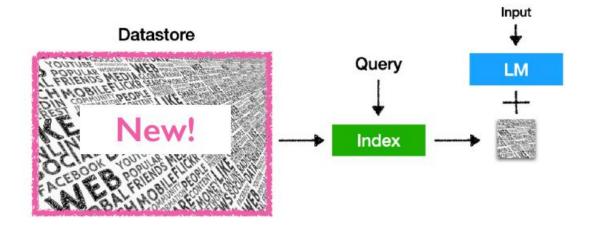
Who is the CEO of Twitter?



As of my **knowledge cutoff in September 2021**, the CEO of
Twitter is **Jack Dorsey**....



 The datastore can be easily updated and expanded - even without retraining!



How to make knowledge in LLM more **reusable** and **updatable** in the **dynamic** world?



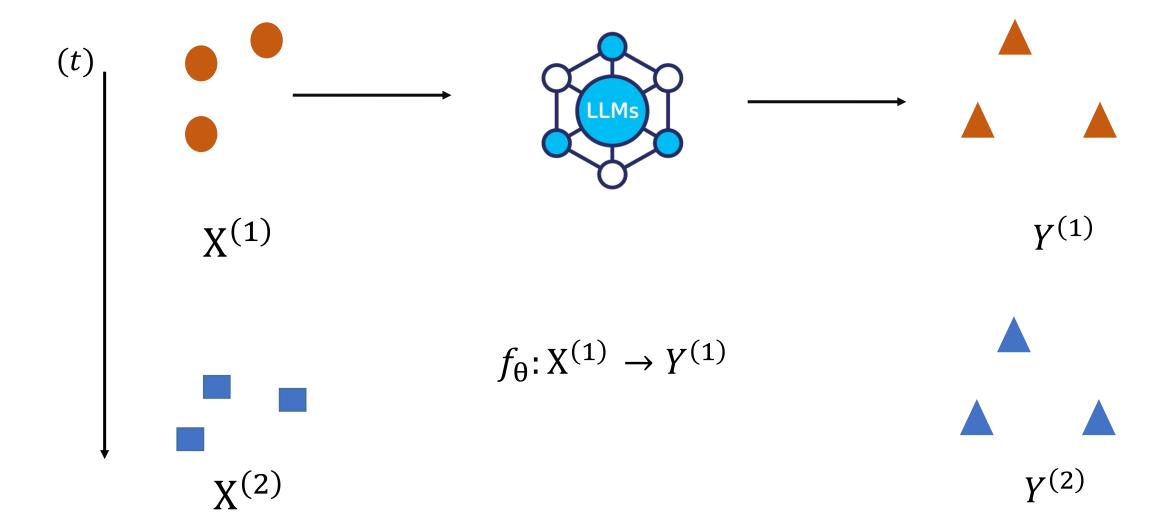


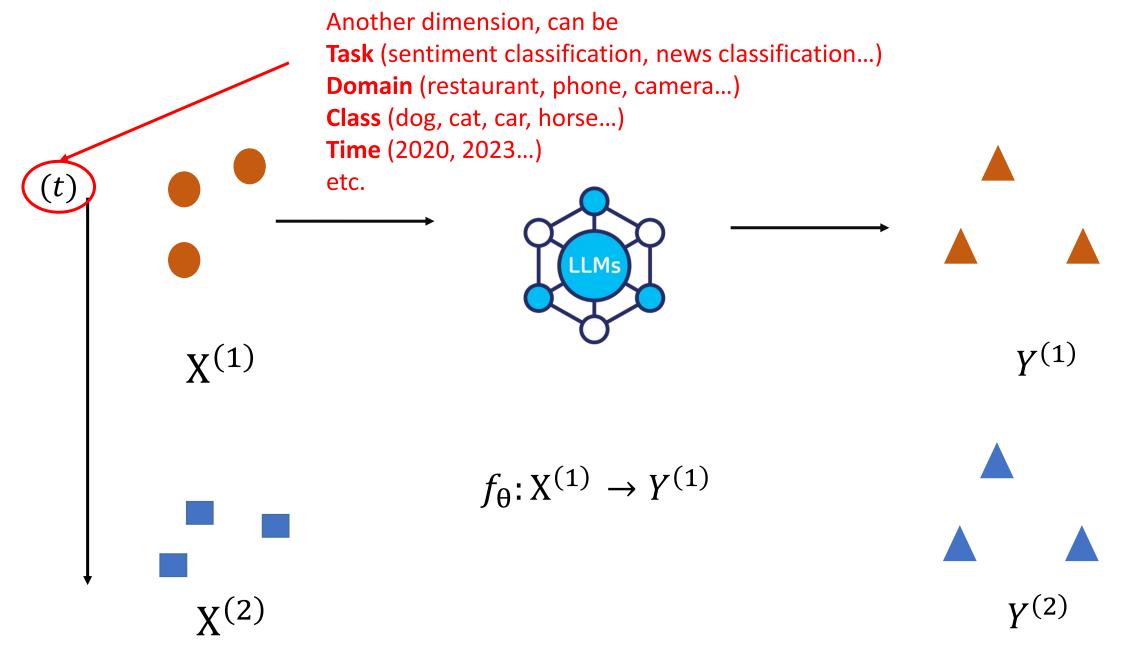
Continual Learning

What will happen if we update the LLM in a changing world?

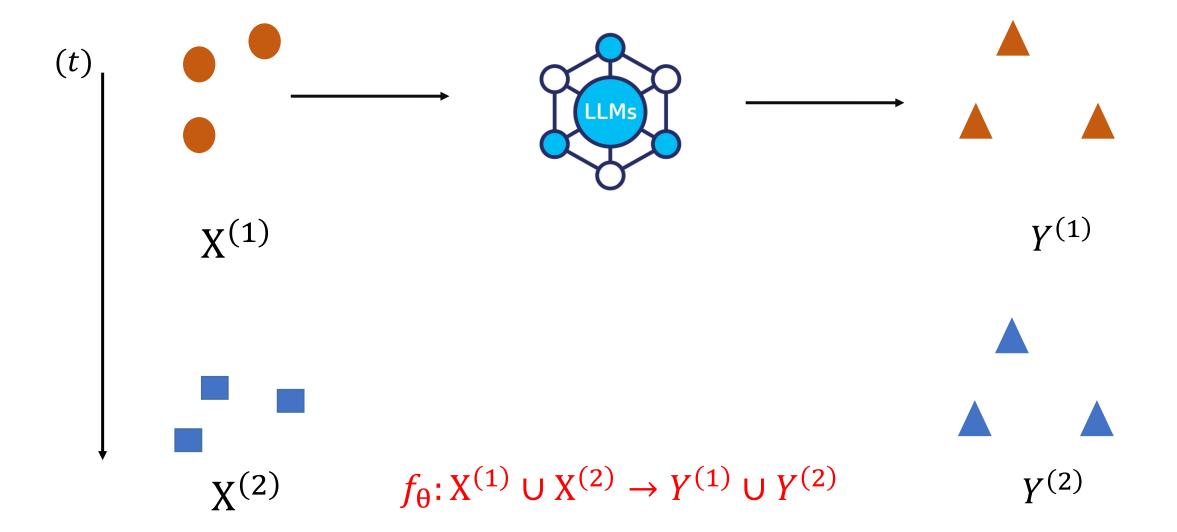


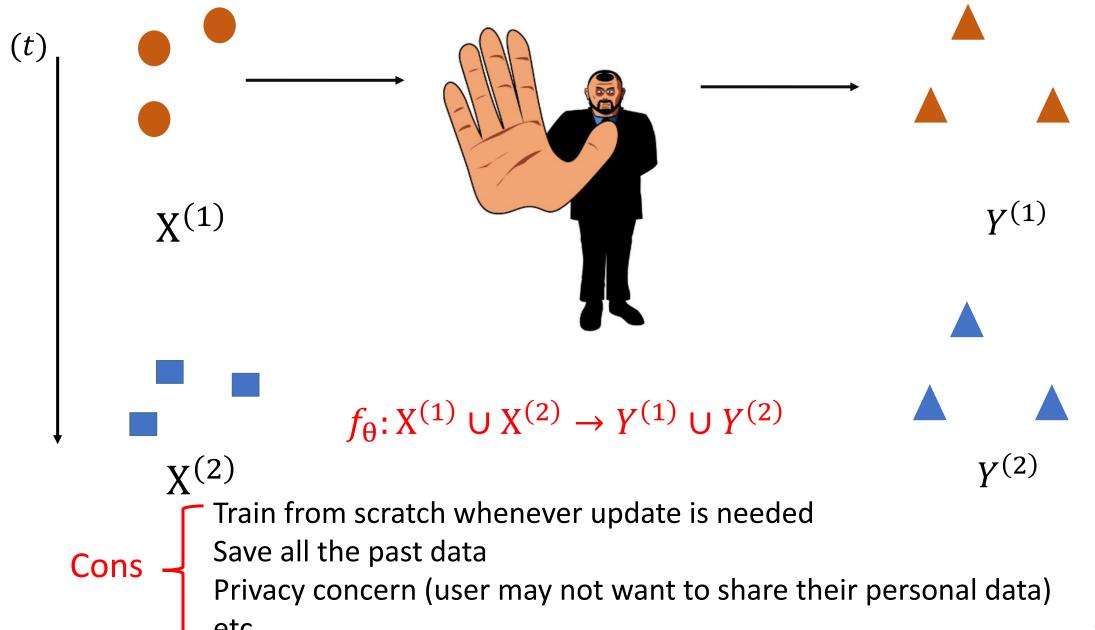
$$Y f_{\Theta}: X \to Y$$

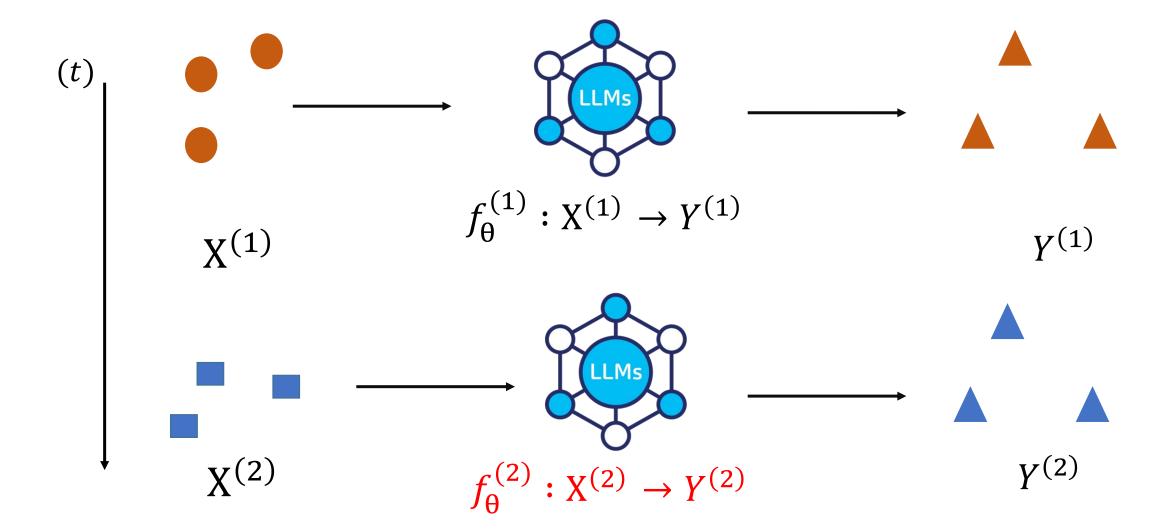


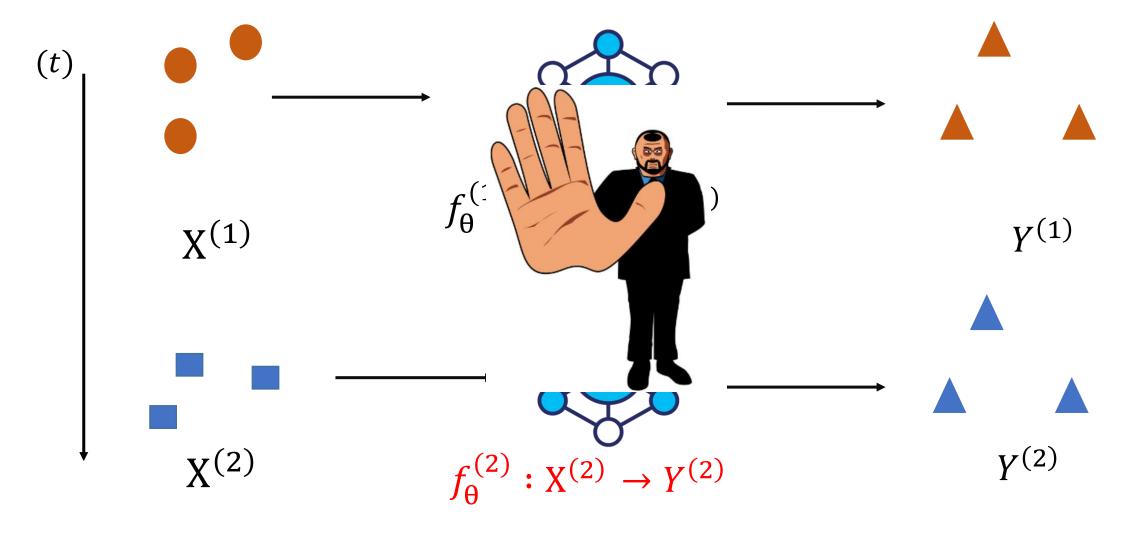


The dimension t can be called "task" in continual learning

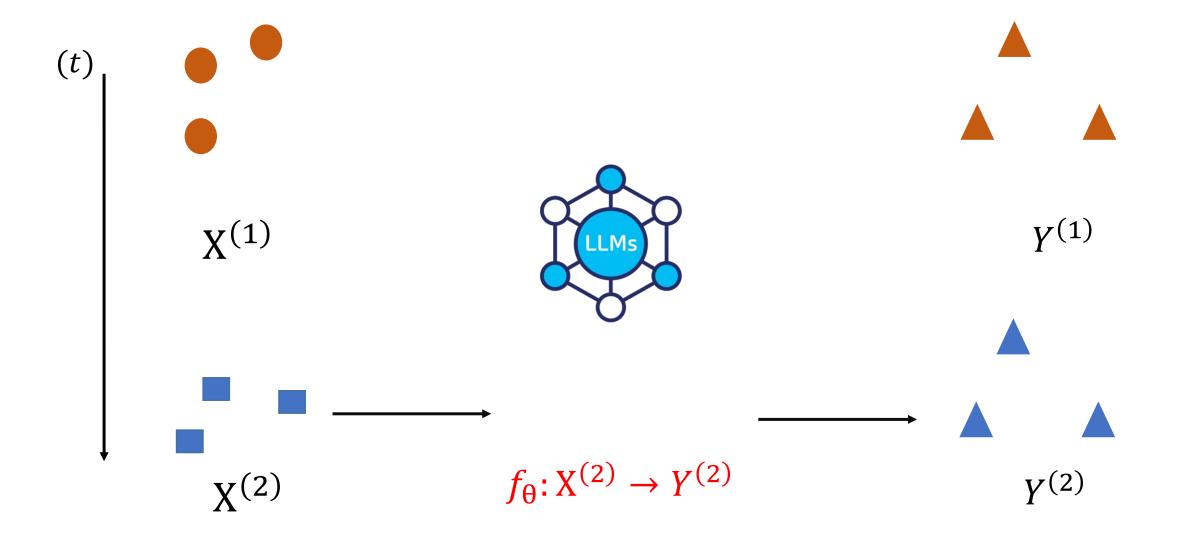


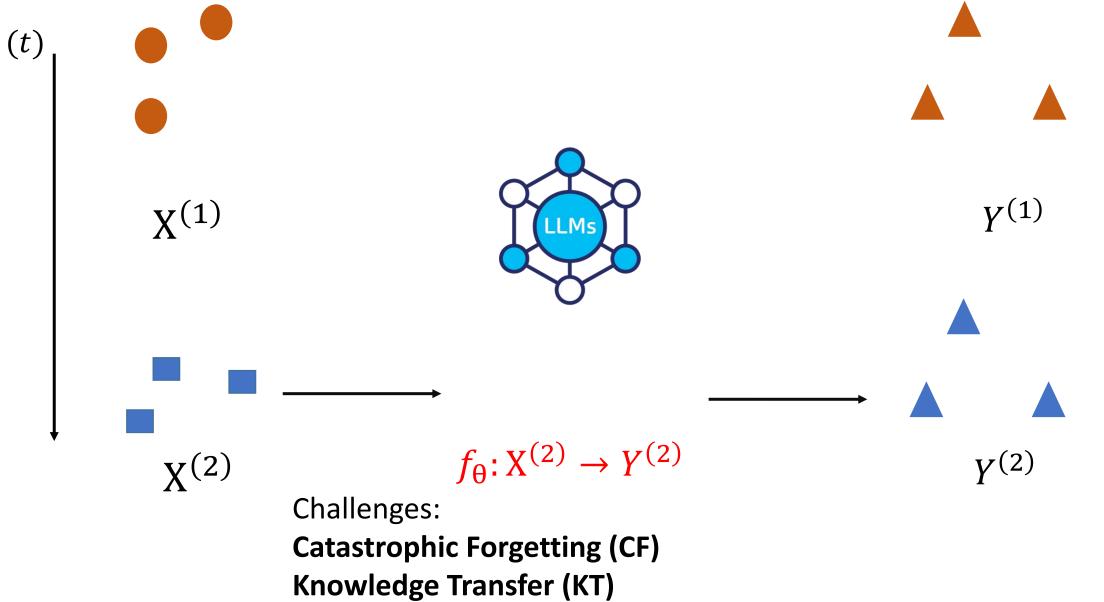






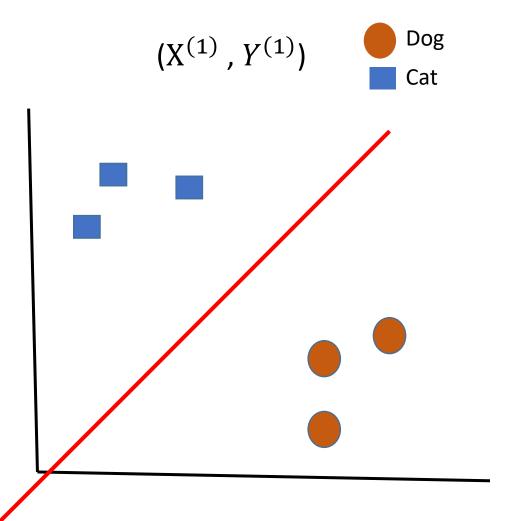
Models are isolated. They are hard to help each other Need to know the task belonging in testing Need to train a new model whenever update is needed etc.





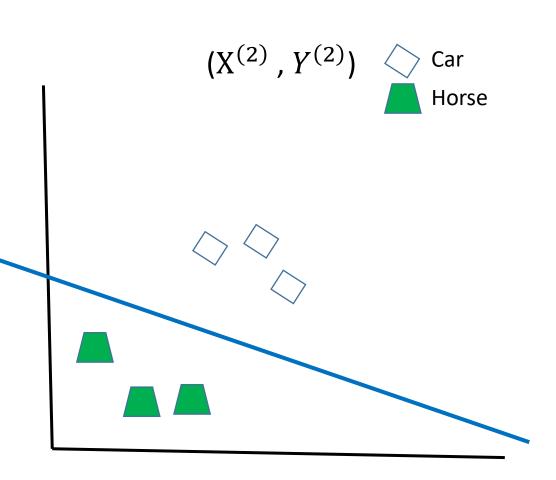
Catastrophic Forgetting

- Simple case:
 - 2 features
 - Learn a line in 2D plane
- You learn a perfect line for task 1



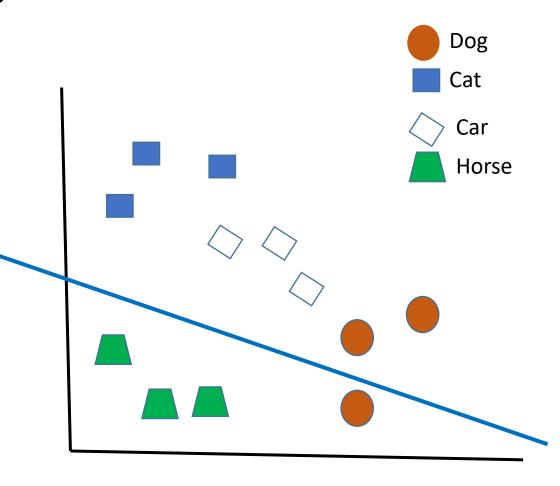
Catastrophic Forgetting

- Simple case:
 - 2 features
 - Learn a line in 2D plane
- Update the learned parameters, and learn another perfect separate line for task 2



Catastrophic Forgetting

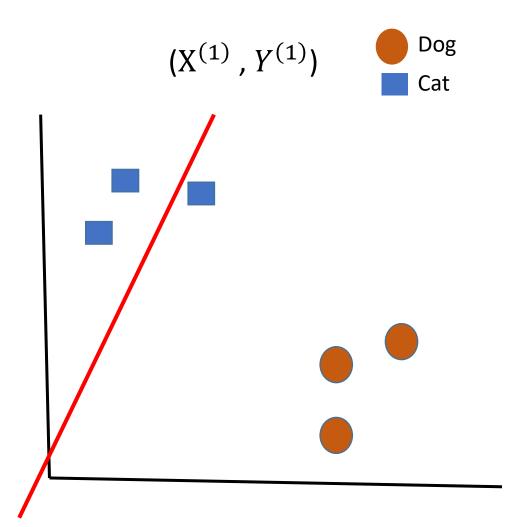
- Simple case:
 - Evaluate the final model on both learned tasks (assuming task id unknown)
- After learning a second task, you forget how to deal with the first task!



Catastrophic Forgetting (CF)

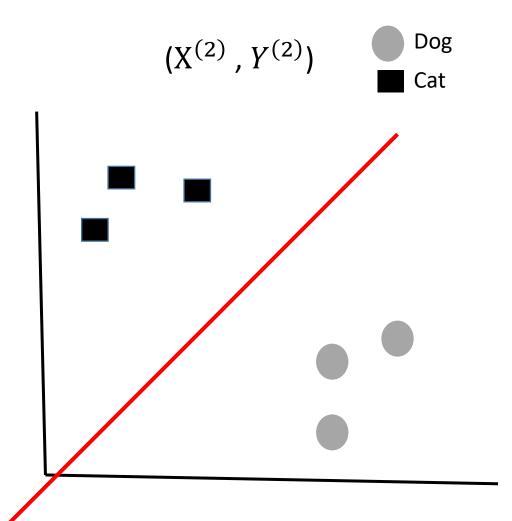
Knowledge Transfer

- Simple case:
 - 2 features
 - Learn a line in 2D plane
- This time, you learn an **imperfect** line for task 1



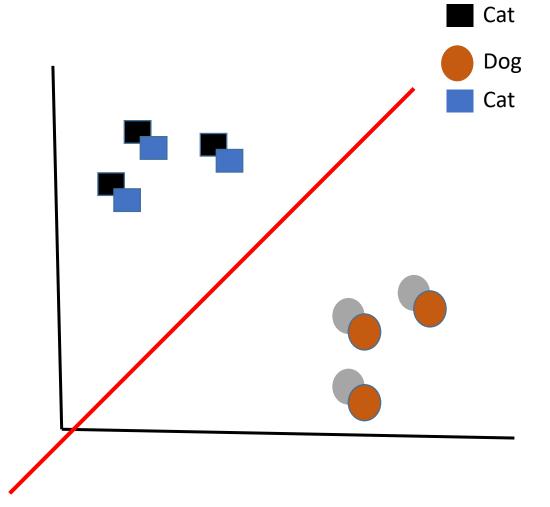
Knowledge Transfer

- Simple case:
 - 2 features
 - Learn a line in 2D plane
- Task 2 has similar label as task 1, but the input images becomes binary
- You learn a perfect line for task 2



Knowledge Transfer

- Simple case:
 - Evaluate the final model on both learned tasks
- After Learning a second task, the old task improved
- Because the knowledge from task 2 is helpful to task 1



Knowledge Transfer (KT)

Dog

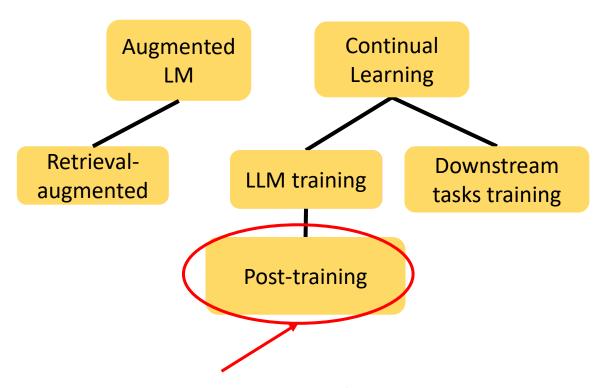
Continual Learning

How to

(1) Mitigate forgetting, i.e., perform reasonably well on what has been learned(2) Knowledge transfer, i.e., relevant tasks can help each other

Enhancing LLM for A Dynamic World

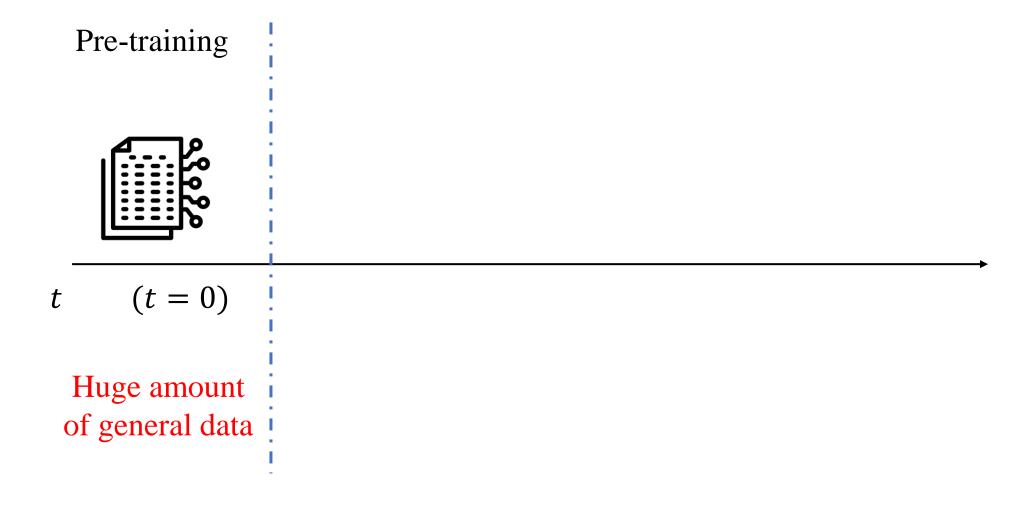
How to make knowledge in LLM more reusable and updatable?

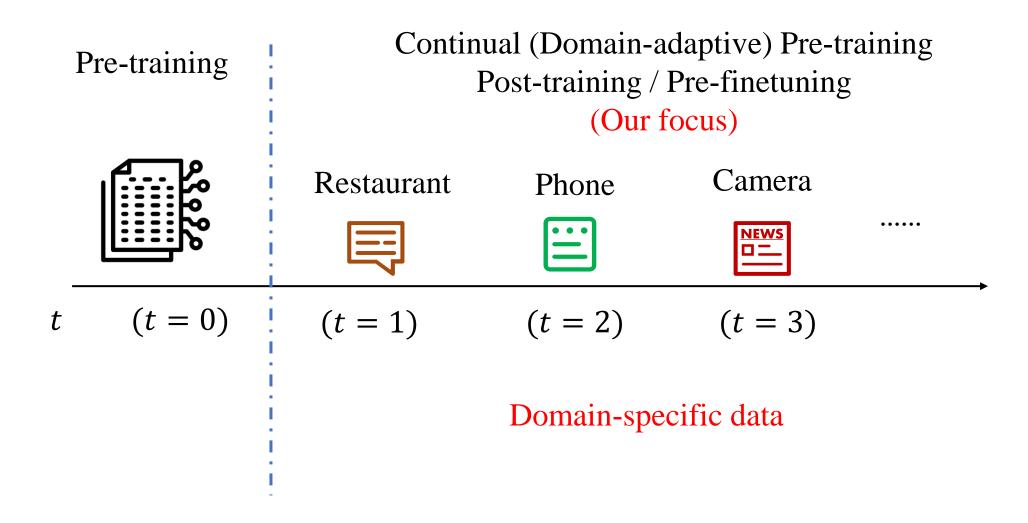


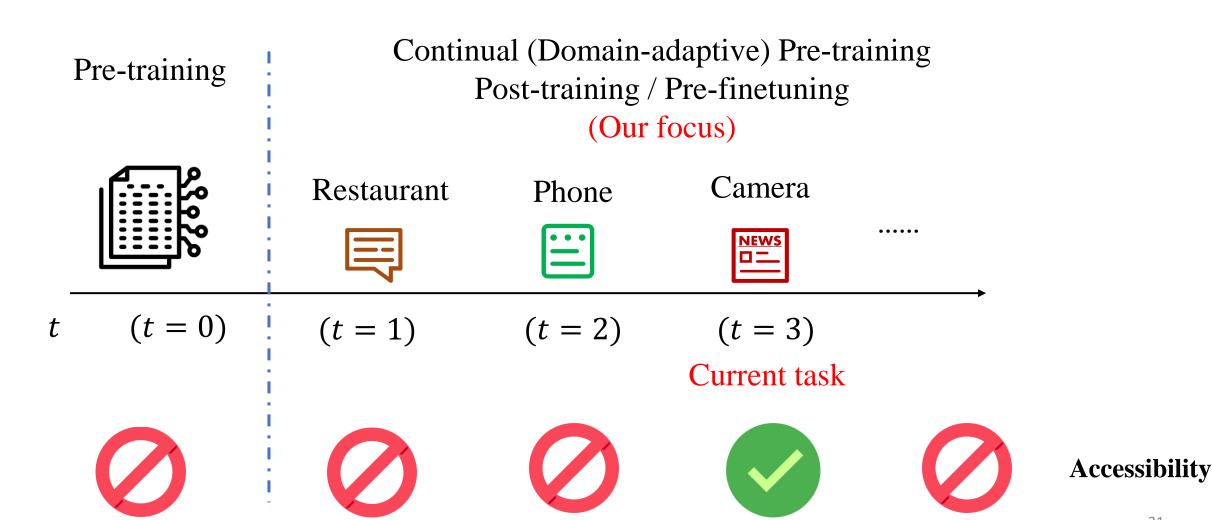
Continual Post-training of Language Models, Ke et al., ICLR 2023

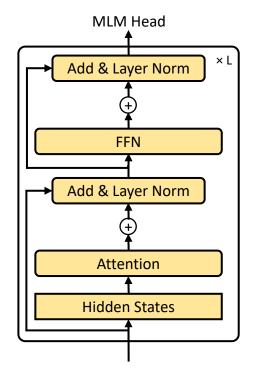
Plan

- Motivation
- Introduction
 - Continual Learning
- Continual Post-training of Language model
- Conclusion and future work

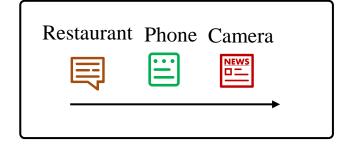






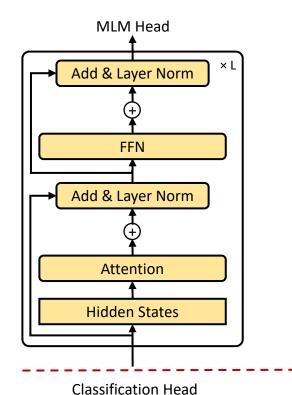


(A) Continual Post-training

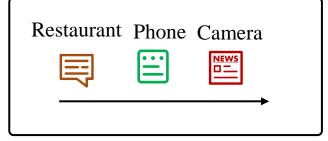


First, we continually post-trains a sequence of domains

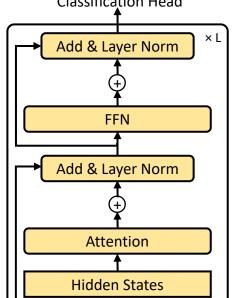
(We use RoBERTa in this work)



(A) Continual Post-training



First, we continually pre-trains **a sequence of domains**



(B) Individual Fine-tuning

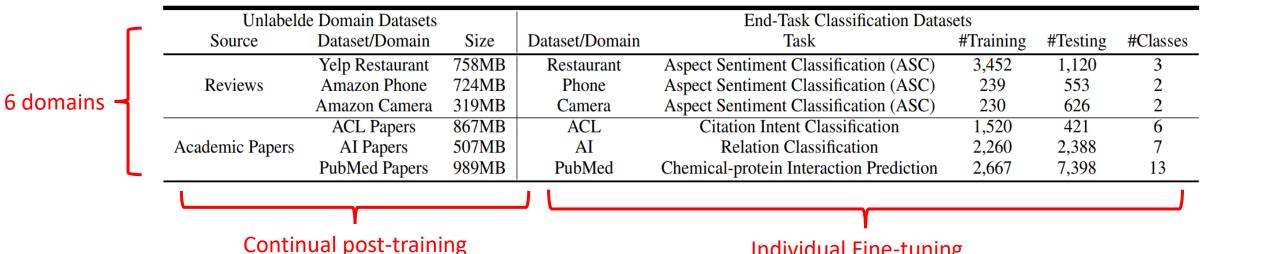
End-tasks

ASC-Restaurant
ASC-Phone
ASC-Camera

After (A), the performance is evaluated by end-tasks

Each end-task **corresponding** to one domain and has its **own** training and testing set. It is trained individually and **will not** affect the continual learning

ASC: Aspect Sentiment Classification



Individual Fine-tuning

Setting

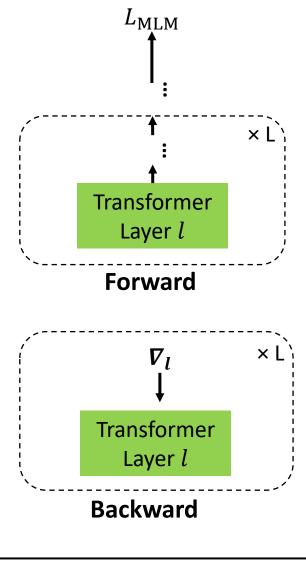
- Post-train a sequence of domains without access to the data that used in pre-training and previously learned domains
- End-task doesn't know its domain belonging

Goals

- CF prevention
- KT (backward and forward)

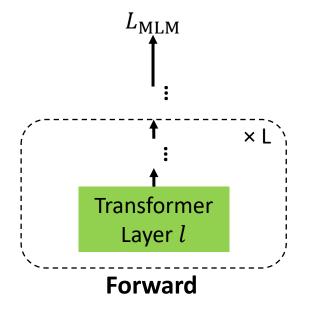
Related Work

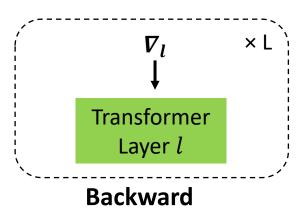
- There are CL and Post-training work but none directly on continual post-training.
- Approach
 - Continual Post-training with Soft-masking (CPS)



Sequence of domains



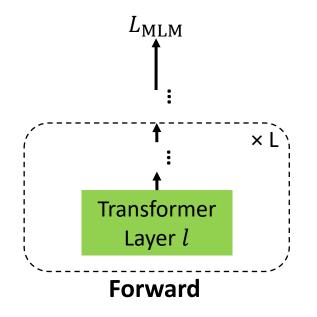




1st Issue: CF on the general knowledge

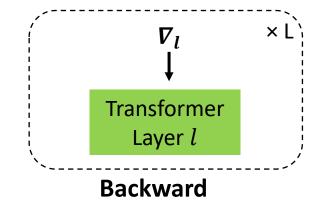
General knowledge means the knowledge in the original pre-trained LM

The knowledge learned from each domain alone will not be sufficient to recover it and give good end-task performances



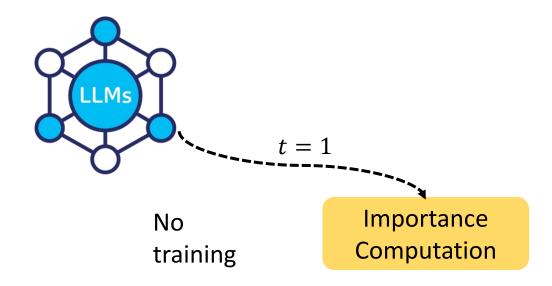
2nd Issue: CF on the previously learned domain knowledge

Because we post-train a sequence of domains





Pre-trained LM

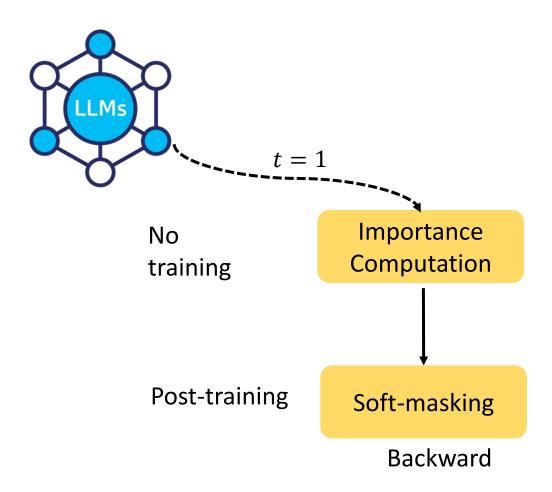




1) Detect importance of units (attention heads and neurons) for general and domain knowledge



1) How to detect importance for the two types of knowledge

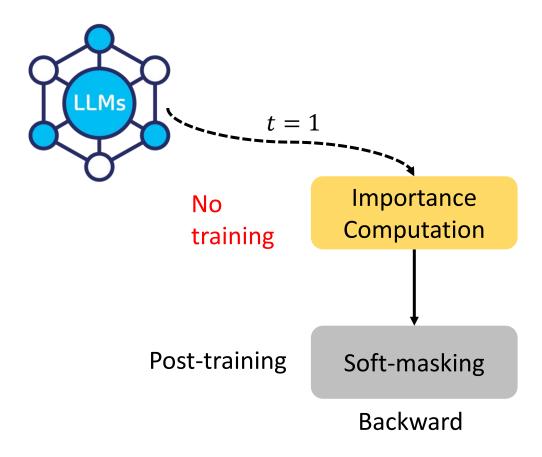




- 1) Detect importance of units for general and domain knowledge
- 2) Soft-masking the important units when training new tasks



- 1) How to detect importance for the two types of knowledge
- 2) How to soft-mask

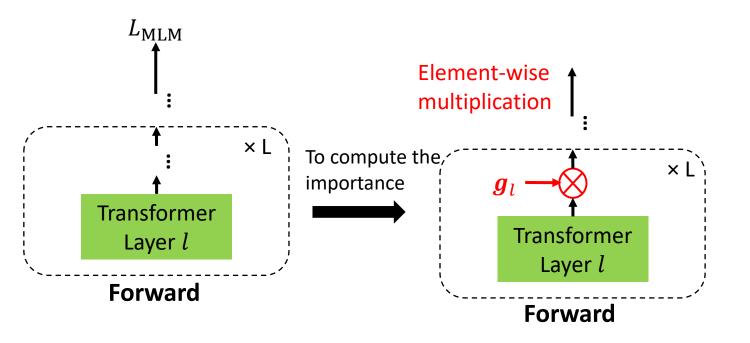


Goal: Compute the importance of units for **general** (and domain) knowledge

Why?

- 1) Not all units are important
- 2) Given the important units, we can protect them afterward

No training involved. We only need the importance

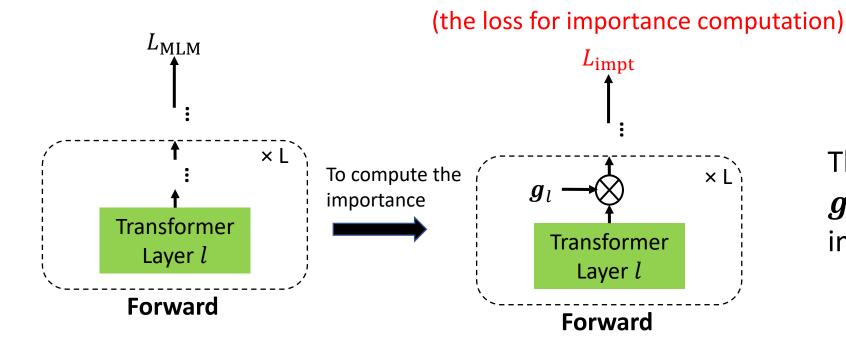


First, we added virtual parameters $oldsymbol{g}_l.$

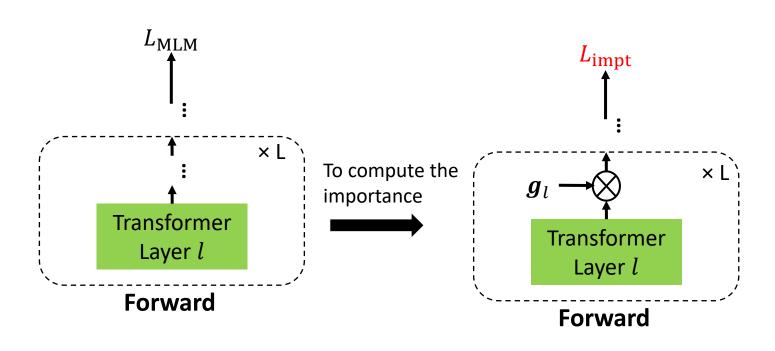
 g_l is the **virtual parameters.** Each virtual parameter $g_{l,i}$ in g_l corresponding to an attention head or neurons (units)

It is **initialized as all 1's**, and has its gradient but will **never change**.

Why? We only use its gradient to compute importance



The gradient of L_{impt} w.r.t g_l will be used to compute importance.



For domain knowledge,

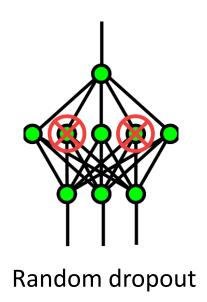
$$L_{\text{impt}} = L_{\text{MLM}}$$

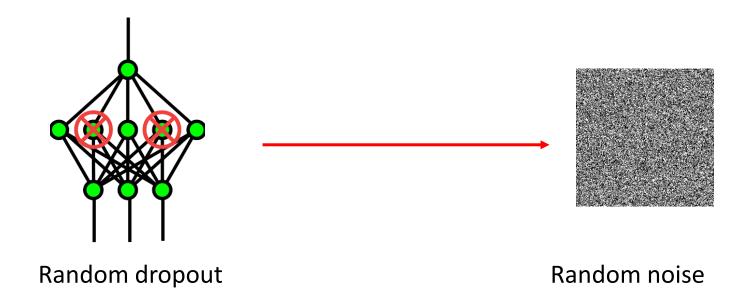
$$\boldsymbol{\nabla}_{g_l}^m = \frac{\partial L_{\text{impt}}(\boldsymbol{x}_m^{(t)}, \boldsymbol{y}_m^{(t)})}{\partial_{g_l}}$$
$$\boldsymbol{I}_l^{(t)} = \frac{1}{M} \sum_{M} |\boldsymbol{\nabla}_{g_l}^m|$$

Use **absolute gradient** to indicate importance^[1]

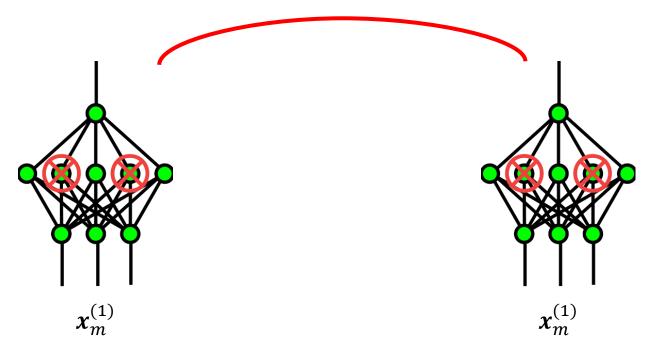
However, for general knowledge, we cannot do $L_{\rm impt}$ = $L_{\rm MLM}$ as we do not have the pre-training data.

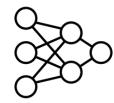
We need another L_{impt}



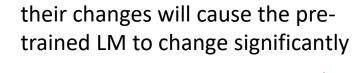


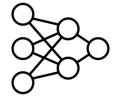
Same input but different output representation The distance indicate the **robustness**



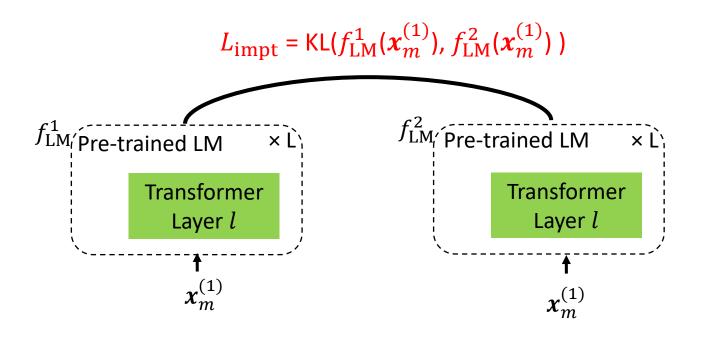


Units that are important to the robustness





Units that are important to the pre-trained/general knowledge

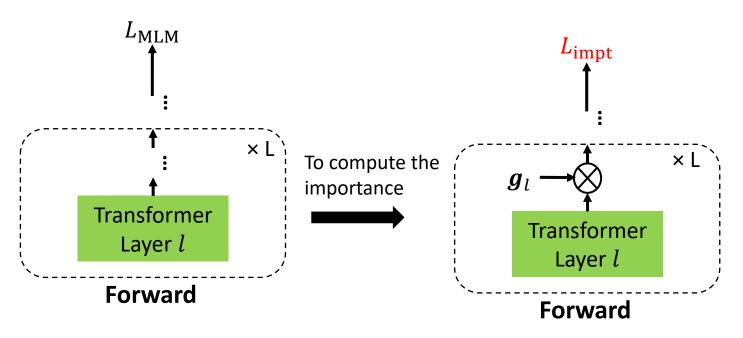


Based on the intuition, we propose another L_{impt} , which do not need pre-training data

KL: how different given two representations

 f_{LM}^1/f_{LM}^2 : Transformer with different dropouts

 $oldsymbol{x_m^{(1)}}$: We only use first domain data because we want the importance of units for the pretrained knowledge



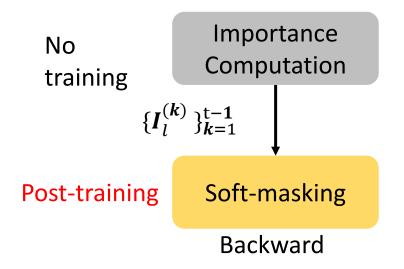
For general knowledge,

$$L_{\text{impt}} = \text{KL}(f_{\text{LM}}^{1}(\boldsymbol{x}_{m}^{(1)}), f_{\text{LM}}^{2}(\boldsymbol{x}_{m}^{(1)}))$$

$$\boldsymbol{\nabla}_{\boldsymbol{g}_{l}}^{m} = \frac{\partial L_{\text{impt}}(\boldsymbol{x}_{m}^{(1)})}{\partial_{\boldsymbol{g}_{l}}}$$

$$\boldsymbol{I}_{l}^{(0)} = \frac{1}{M} \sum_{M} |\boldsymbol{\nabla}_{\boldsymbol{g}_{l}}^{m}|$$

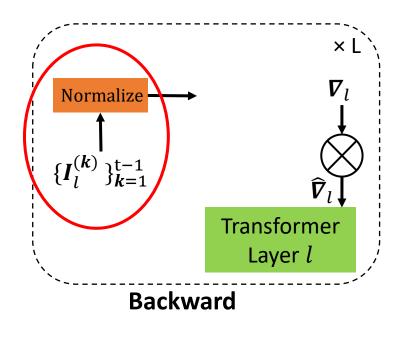
Importance of units for general knowledge



Goal: Soft-mask the **gradient** based on the importance

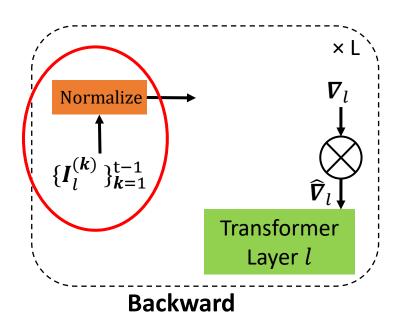
Why?

- 1) We need to protect them when training new domain
- 2) We want to allow knowledge transfer



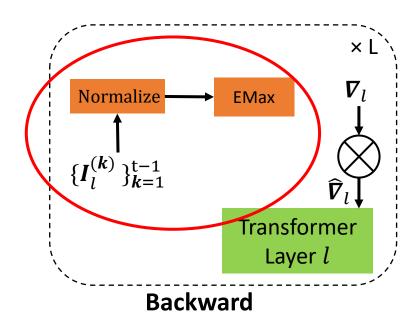
First, we normalized the importance so that they are comparable

$$I_l^{(k)} = |\text{Tanh}(\text{Norm}(I_l^{(k)}))|$$



First, we normalized the importance so that they are comparable

$$I_I^{(k)} = |\text{Tanh}(\text{Norm}(I_I^{(k)}))|$$
 make sure the importance is [0,1]

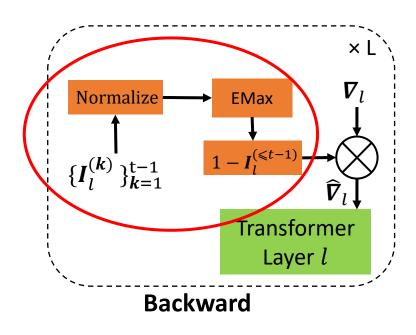


First, we normalized the importance so that they are comparable

$$I_l^{(k)} = |\text{Tanh}(\text{Norm}(I_l^{(k)}))|$$

Second, we accumulate all importance before current domain t

$$I_{l}^{(\leqslant t-1)} = \text{EMax}(\{I_{l}^{(t-1)}, I_{l}^{(t-2)}))$$



First, we normalized the importance so that they are comparable

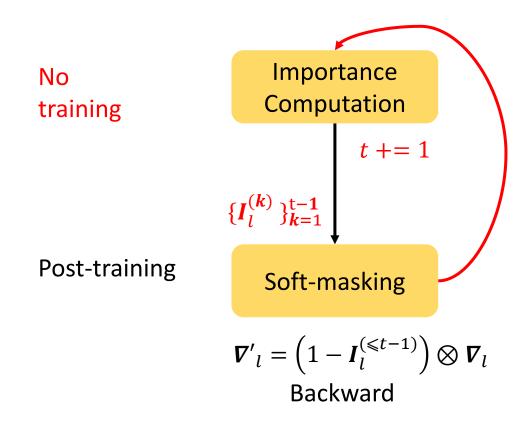
$$I_l^{(k)} = |\text{Tanh}(\text{Norm}(I_l^{(k)}))|$$

Second, we accumulate the importance

$$\boldsymbol{I}_{l}^{(\leqslant t-1)} = \mathrm{EMax}(\{\boldsymbol{I}_{l}^{(t-1)}, \boldsymbol{I}_{l}^{(t-2)}))$$

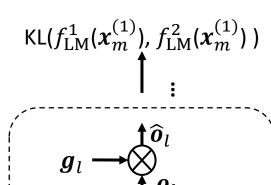
Third, we soft-mask the gradient (in backward pass)

$$\boldsymbol{\nabla}'_{l} = \left(1 - \boldsymbol{I}_{l}^{(\leqslant t-1)}\right) \otimes \boldsymbol{\nabla}_{l}$$





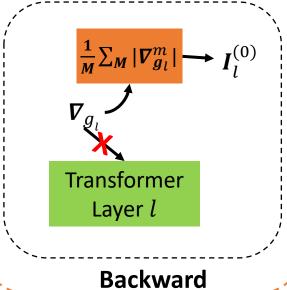




Forward

Transformer

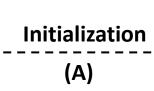
Layer *l*

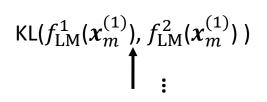


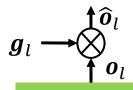
KL loss as $L_{
m impt}$

Use gradient to indicate importance, but the gradient does not optimize the layer

 $m{I}_l^{(0)}$ indicates the importance for general knowledge

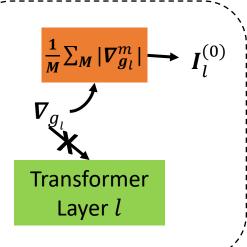






Transformer Layer *l*

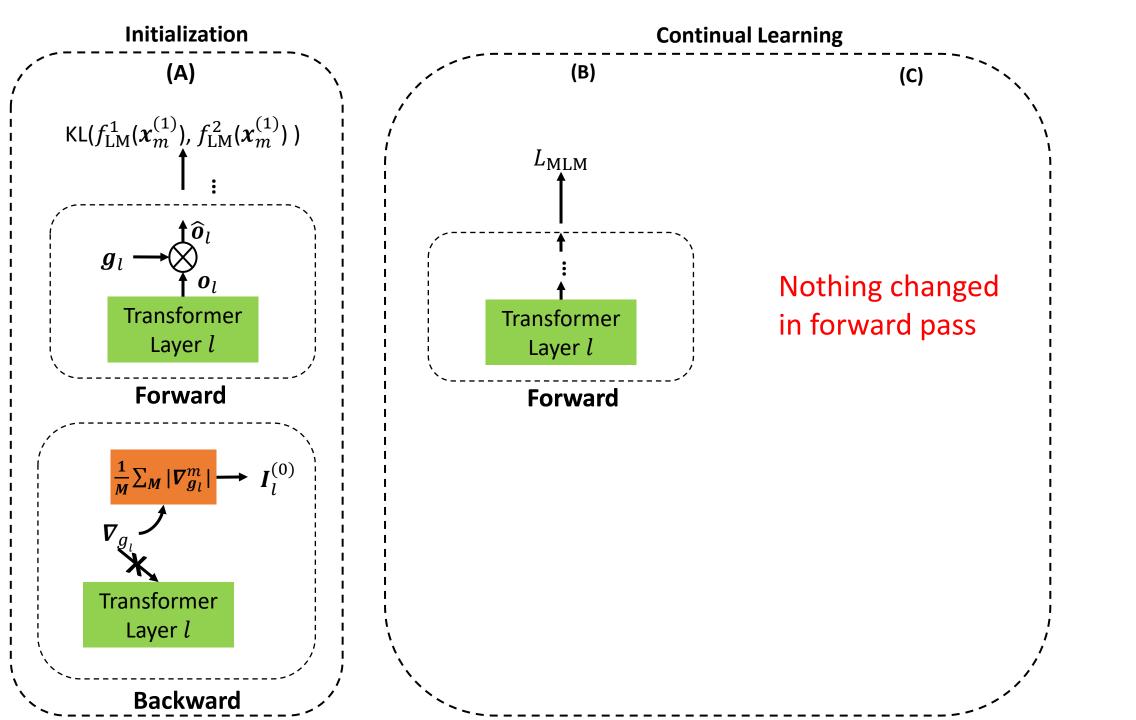
Forward

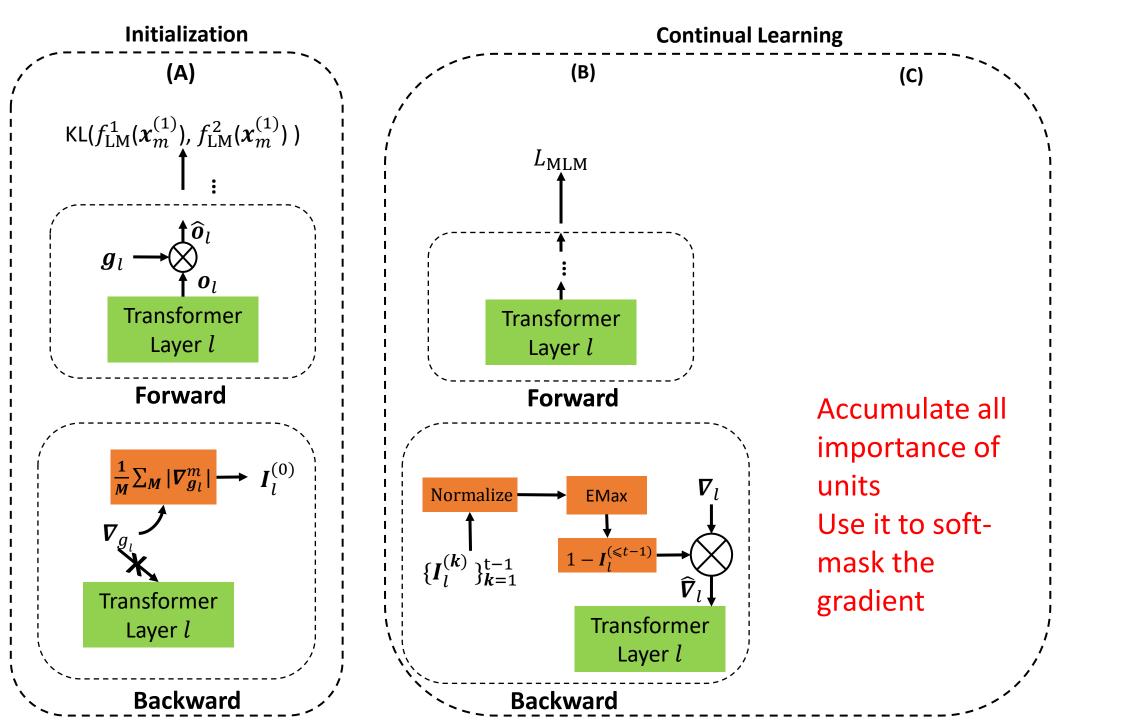


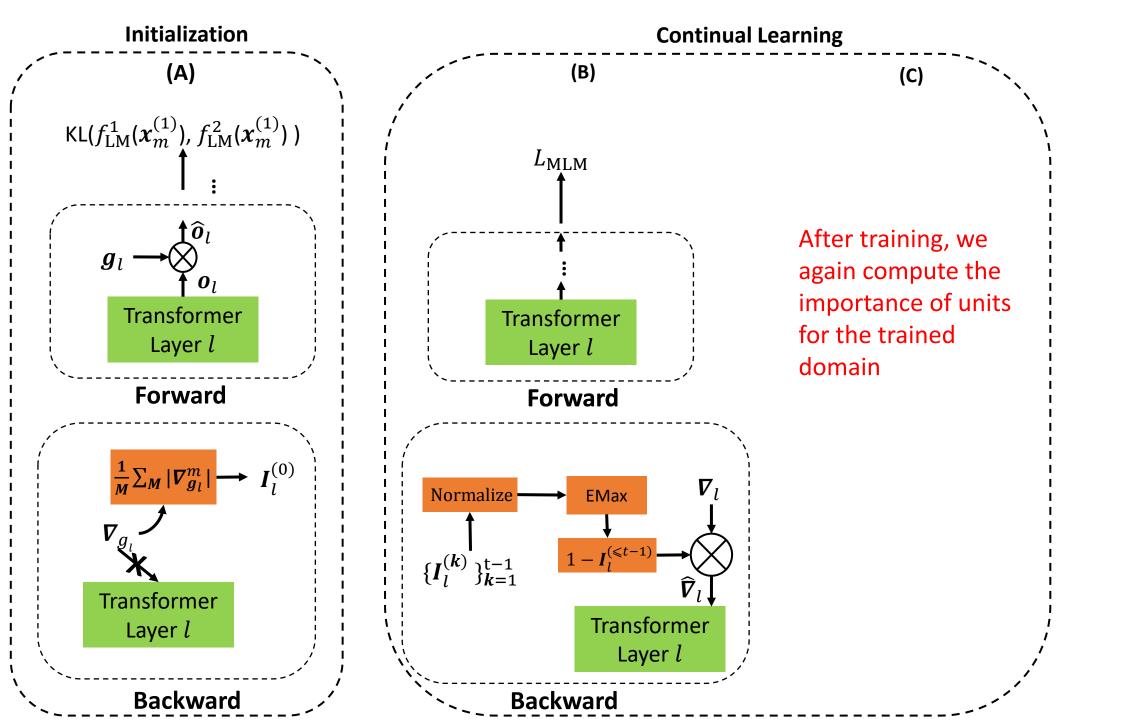
Backward

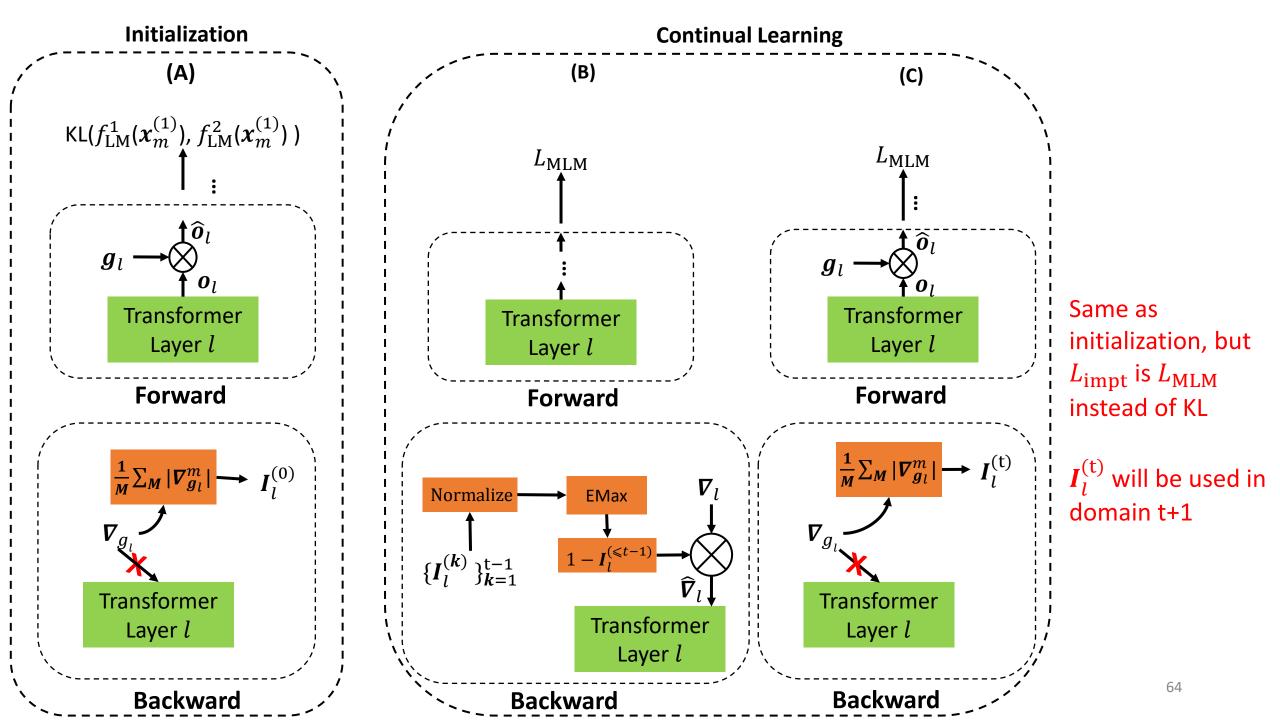
Continual Learning

Next, we start continual learning









Evaluation

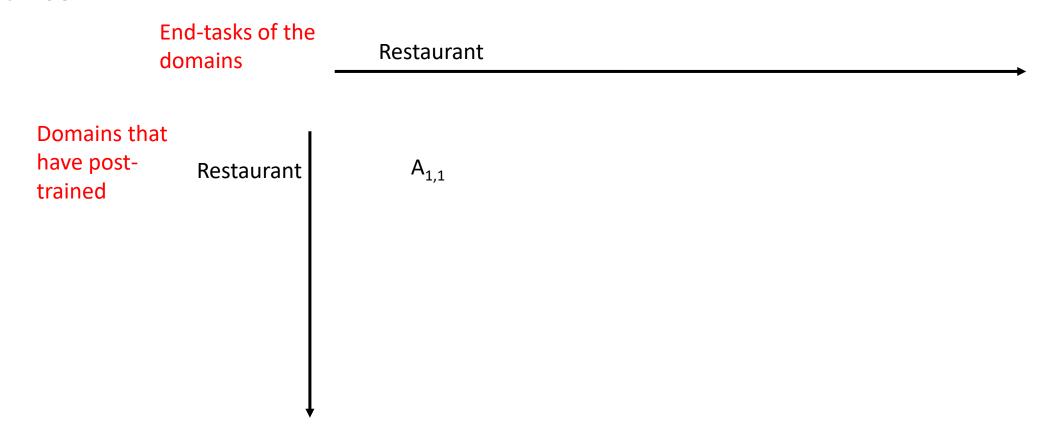
Goals

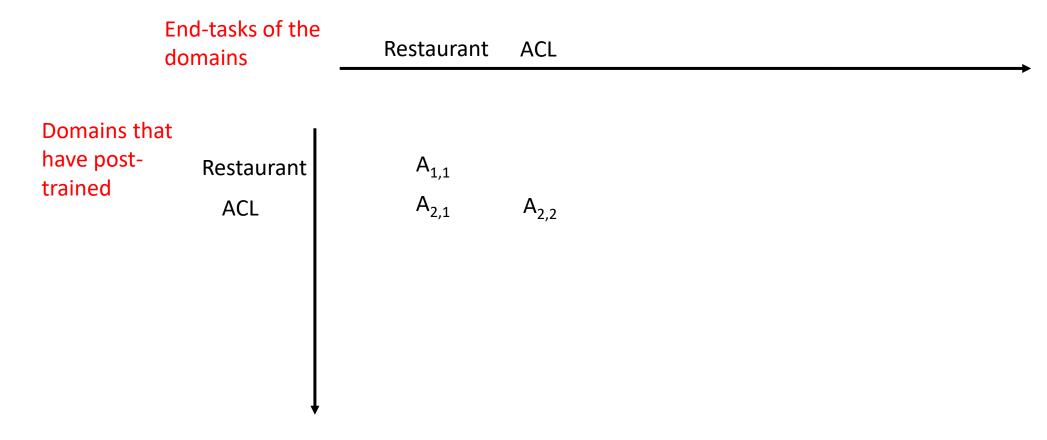
CF Prevention

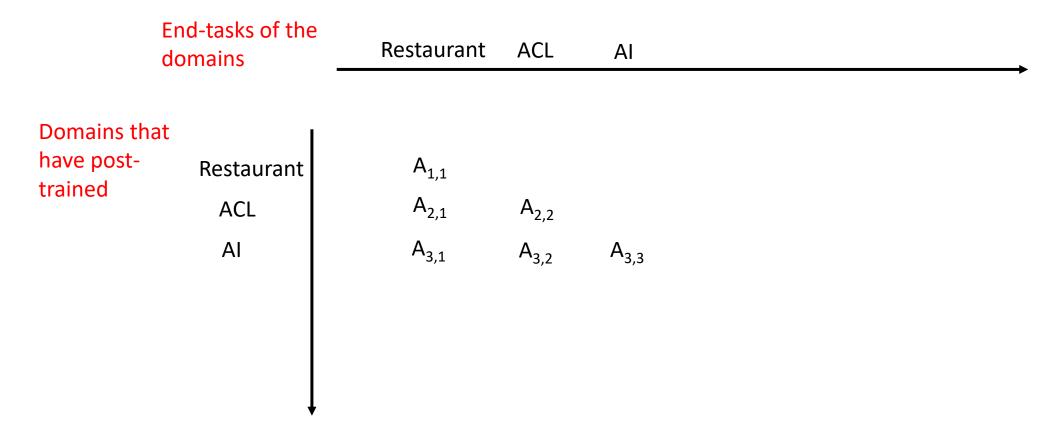
Forgetting Rate

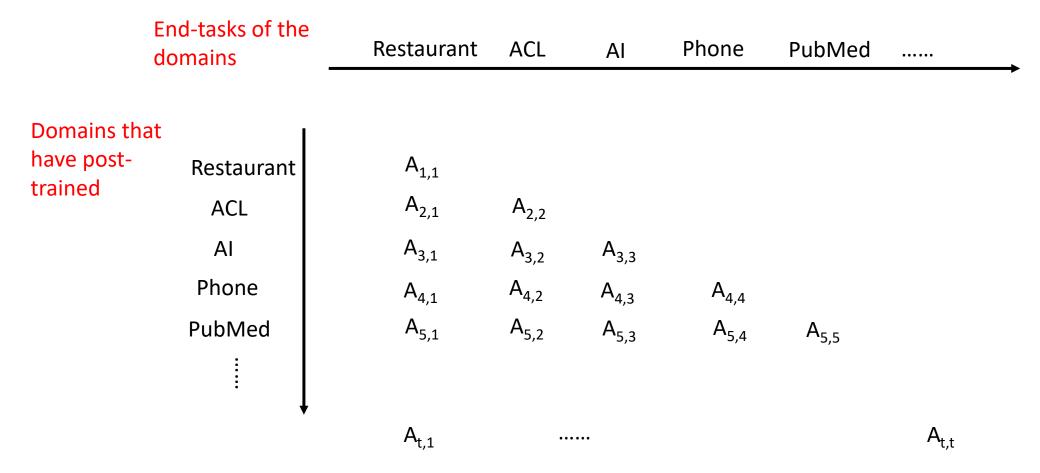
Knowledge
Transfer

Performance

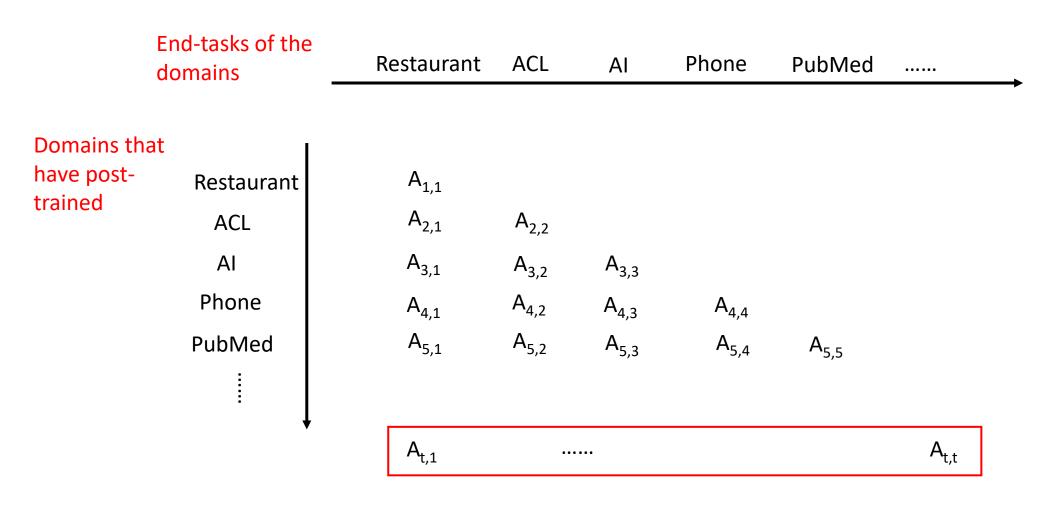








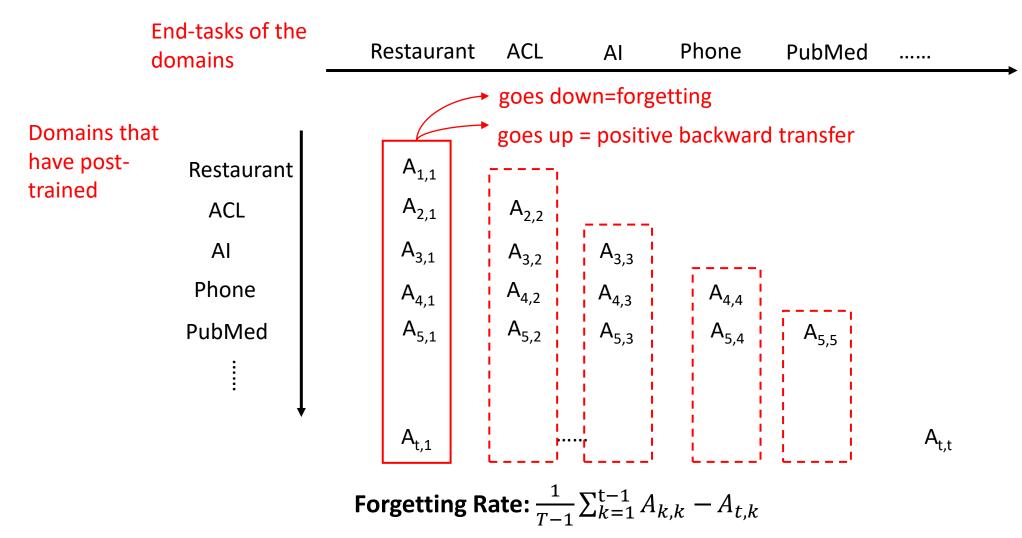
Final Performance



Final Performance: $\frac{1}{T}\sum_{i=1}^{T} R_{t,i}$

The higher, the better. The most popular metric

Forgetting Rate



The difference between a task **first learned** performance and its **final** performance **Positive**=forgetting; **Negative**=positive backward transfer

Overall Performance

Non-Continual Learning

Without post-train (directly fine-tune the LM)

Restaurant	ACL	Al	Phone	PubMed	Camera	Average
→ 79.81	66.11	60.98	83.75	72.38	78.82	73.64
× 80.84	68.75	68.97	82.59	72.84	84.39	76.4

Individual post-training

w/o Pre-trained < Individual Post-trained



This is not surprising, as post-training has been demonstrated to improve performance in the literature.

Overall Performance

Now we can look at continual learning

Without post-train (directly fine-tune the LM)

Individual post-training

Our continual post-training method (CPS)

R	estaurant	ACL	Al	Phone	PubMed	Camera	Average
→	79.81	66.11	60.98	83.75	72.38	78.82	73.64
→	80.84	68.75	68.97	82.59	72.84	84.39	76.4
#	80.34	69.36	70.93	85.99	72.8	88.16	77.93

w/o Pre-trained < Individual Post-trained < CPS



CPS is better than individual post-training
CPS can not only mitigate forgetting but also encourage knowledge transfer

Overall Performance

Continual Learning v.s. CPS

Forgetting Rate:
$$\frac{1}{T-1}\sum_{k=1}^{t-1}A_{k,k}-A_{t,k}$$

PubMed

72.8

Camera

88.16

Average

77.93

No post-train Non-Continuallearning Individual post-train

66.11 60.98 83.75 79.81 72.38 78.82 73.64 80.84 68.75 68.97 82.59 72.84 84.39 76.4 79.52 68.39 67.94 84.1 72.49 85.71 76.36

Phone

Naïve continual learning (**NCL**): continual learning without any specific technique

CPS



Restaurant

80.34

ACL

AI

+ forgetting rate in NCL, indicates it does suffer from forgetting

69.36 70.93 85.99



- forgetting rate in CPS, indicating it has positive transfer

Forgetting

Rate

1.14

-1.09

Non-Continua learning	I- Individual	st-train
G	ïve continual pos	•
		EWC
S	oTA continual	DER++
	earning	HAT
b	aselines	BCL
		CPS

Restaurant	ACL	AI	Phone	PubMed	Camera	Average	Forgetting Rate
79.81	66.11	60.98	83.75	72.38	78.82	73.64	
80.84	68.75	68.97	82.59	72.84	84.39	76.4	
79.52	68.39	67.94	84.1	72.49	85.71	76.36	1.14
80.98	65.94	65.04	82.32	71.43	83.35	74.84	0.02
79	67.2	63.96	83.22	72.58	87.1	75.51	2.36
79.29	68.25	64.84	81.44	71.61	82.37	74.63	-0.23
78.97	70.71	66.26	81.7	71.99	85.06	75.78	-0.06
80.34	69.36	70.93	85.99	72.8	88.16	77.93	-1.09



CPS outperforms SoTA



Most of the SoTA only focus on mitigating forgetting, which is not enough



Even replay-based method (DER++) is not good as post-training need much more replay data

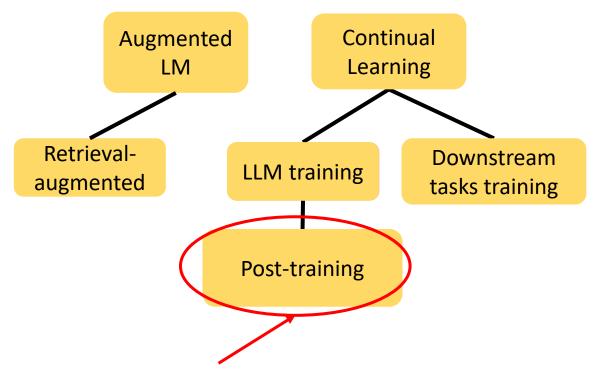


Continual Post-training of Language Model

- Computing **importance** of units for general and domain knowledge, with **different** $L_{\rm impt}$
- Soft-masking the backward propagation based on importance (which help CF and KT)



How to make knowledge in LLM more reusable and updatable?



Continual Post-training of Language Models, Ke et al., ICLR 2023



Why it could be increasingly important?



Why it could be increasingly important?

The fixed world assumption is way too limited!

Over just a few months, ChatGPT went from correctly answering a simple math problem 98% of the time to just 2%, study finds



How Is ChatGPT's Behavior Changing over Time?

Lingjiao Chen[†], Matei Zaharia[‡], James Zou[†]

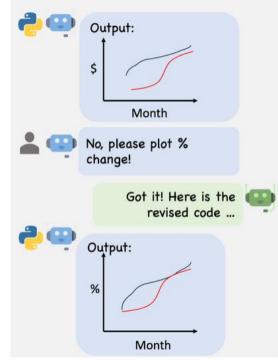
[†]Stanford University [‡]UC Berkeley



Why it could be increasingly important?

- The fixed world assumption is way too limited!
- LLMs are increasingly replacing/eliminating building blocks and memorizing more and more knowledge, yet these still depends on human efforts. A more ambitious goal is to make this fully autonomous, which require LLMs to self-initiate and adapt to new circumstances.



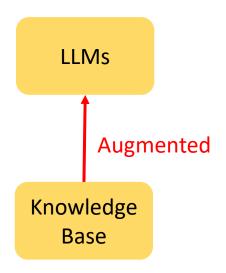




Why it could be increasingly important?

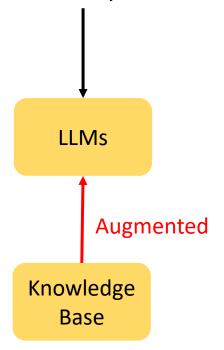
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- LLMs are increasingly replacing/eliminating building blocks and memorizing more and more knowledge, these still depends on human efforts. A more ambitious vision is to make this fully autonomous, which require LLMs to selfinitiate and adapt to new circumstances.
- It is still cutting-edge, and still, plenty of room to improve (see next!)





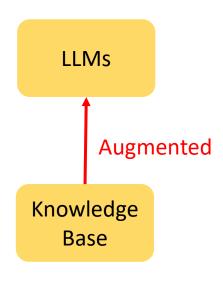


Complete the sentence in Trump's tone: "Between a wall and an egg that breaks it, I will always stand on the side of "



Retrieve Trump's speeches from the KB and augment the learner's working memory (context).



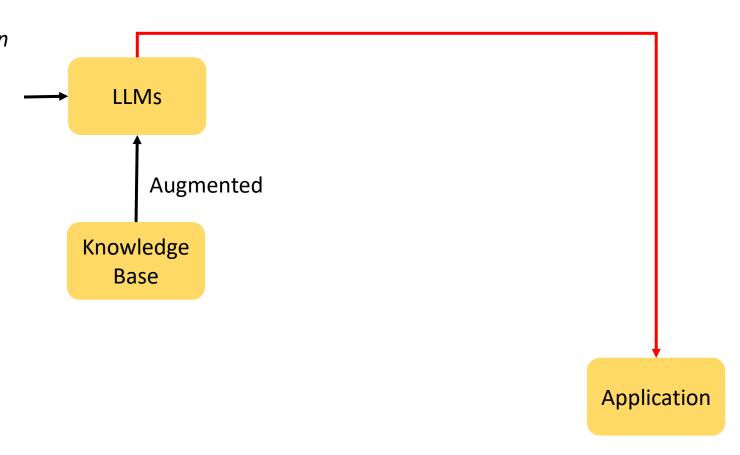


Research questions:

- What to retrieve (rerank/selection...)?
- How to better combine the retriever and LLM?
- When to use retrieval and when to update/use LLMs' parameters?

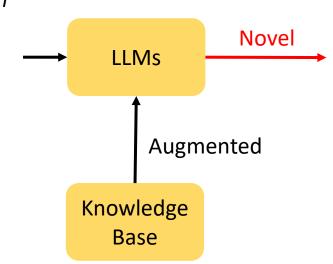


Complete the sentence in Trump's tone: "Between a wall and an egg that breaks it, I will always stand on the side of "





Complete the sentence in **Zixuan's** tone: "Between a wall and an egg that breaks it, I will always stand on the side of "



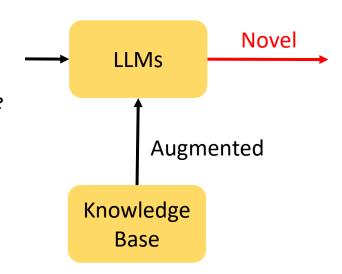
In some instances, there could be "novelty"

Novelty/Unknown/Unexpected/U nclear: anything that the LLM does not fully understand in order to accomplish the task



<u>Complete the sentence</u> <u>in Trump's tone</u>:

"Between a wall and an egg that breaks it, I will always stand on the side of "

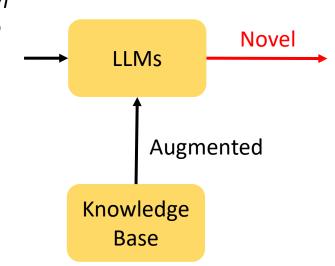


It could also be unclear to the LLM what 'in one's tone' means or what aspects should be focused on.

Novelty/Unknown/Unexpected/U nclear: anything that the LLM does not fully understand in order to accomplish the task



Complete the sentence in **Zixuan's** tone: "Between a wall and an egg that breaks it, I will always stand on the side of "



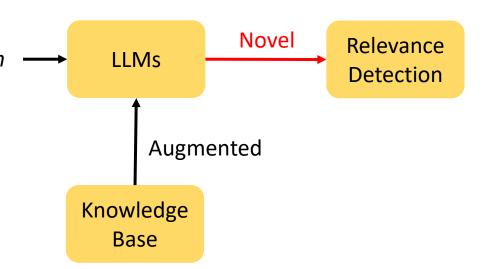
Research question:

How to detect novelty (knowledge that LLM does not already know)?



Hello, my name is

Vincent Bing. Please
complete the sentence in
Zixuan's tone: "Between
a wall and an egg that
breaks it, I will always
stand on the side of "

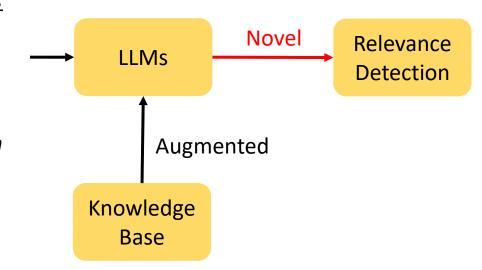


It is possible that the novelty occurs but is not related to the application.



Hello, my name is Bing.

Please complete the sentence in **Zixuan's** tone: "Between a wall and an egg that breaks it, I will always stand on the side of "

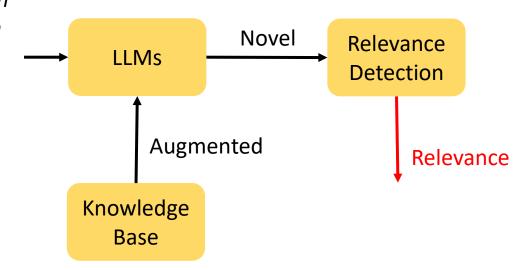


Research question:

How can we determine if the novelty is relevant to the final application? (there could be some noise!)

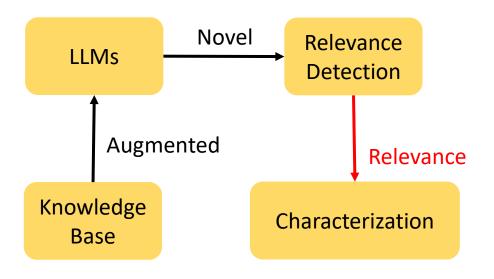


Complete the sentence in **Zixuan's** tone: "Between a wall and an egg that breaks it, I will always stand on the side of "



We still need to know that it is the part "Zixuan" that the LLM does not know



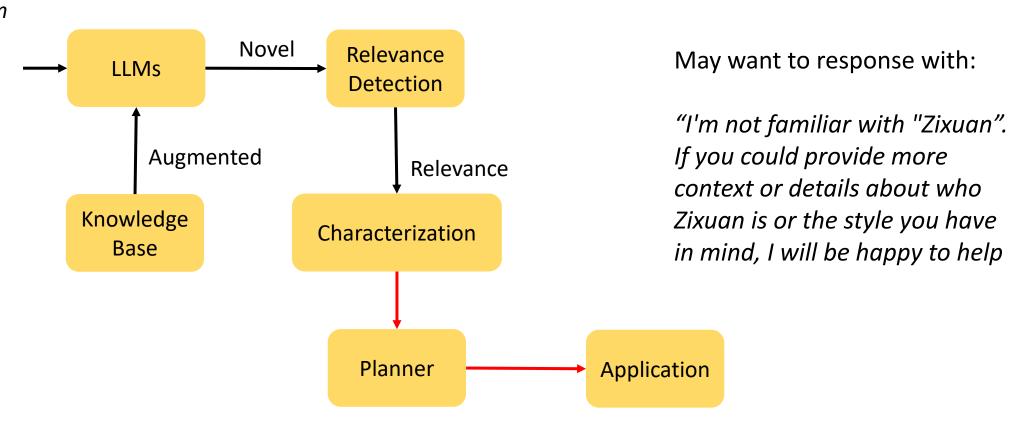


Research question:

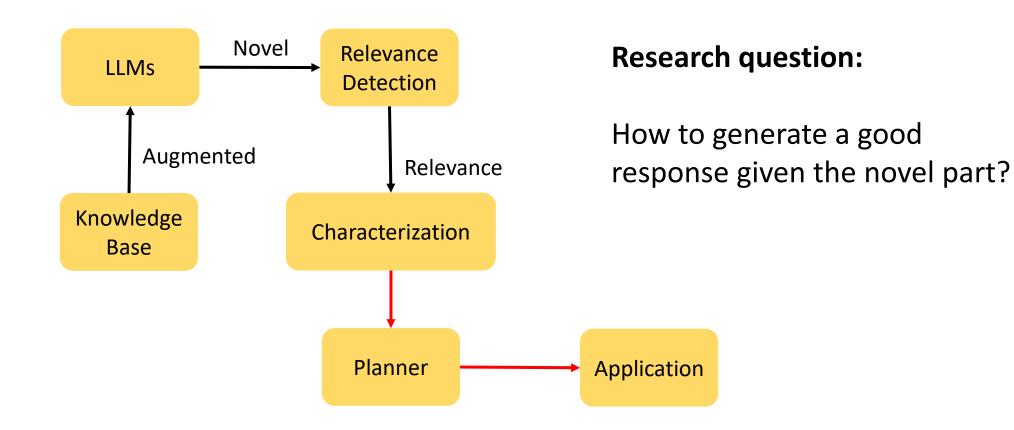
How to specify parts with novel knowledge?



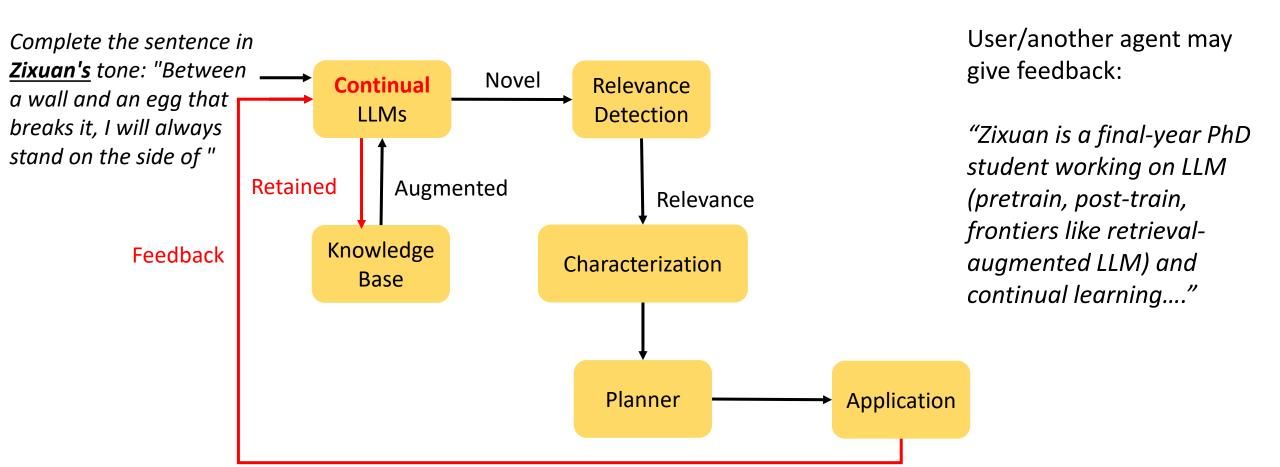
Complete the sentence in **Zixuan's** tone: "Between a wall and an egg that breaks it, I will always stand on the side of "



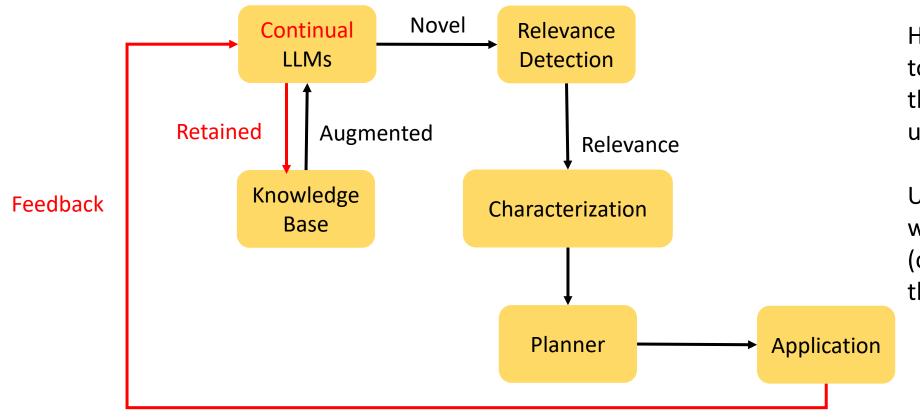










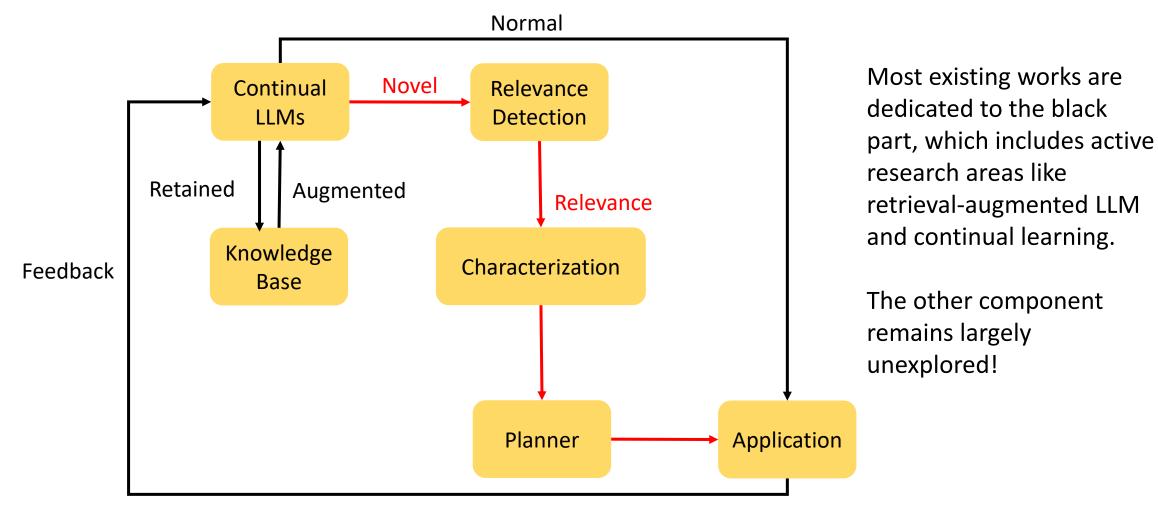


Research question:

How to use the feedback to continually update the LLM and retain the useful knowledge?

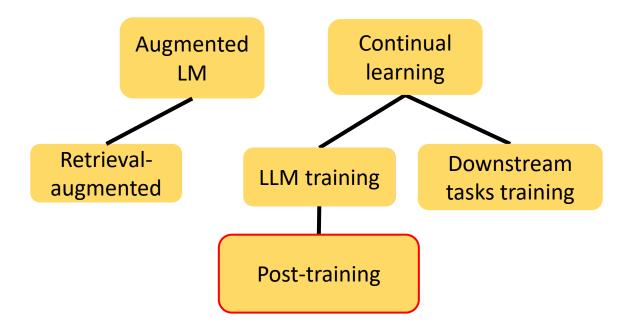
Using external memory, working memory (context), or updating the LLM?







How to make knowledge in LLM more reusable and updatable?



Ambitious goal: Fully autonomous LLM

Thank you