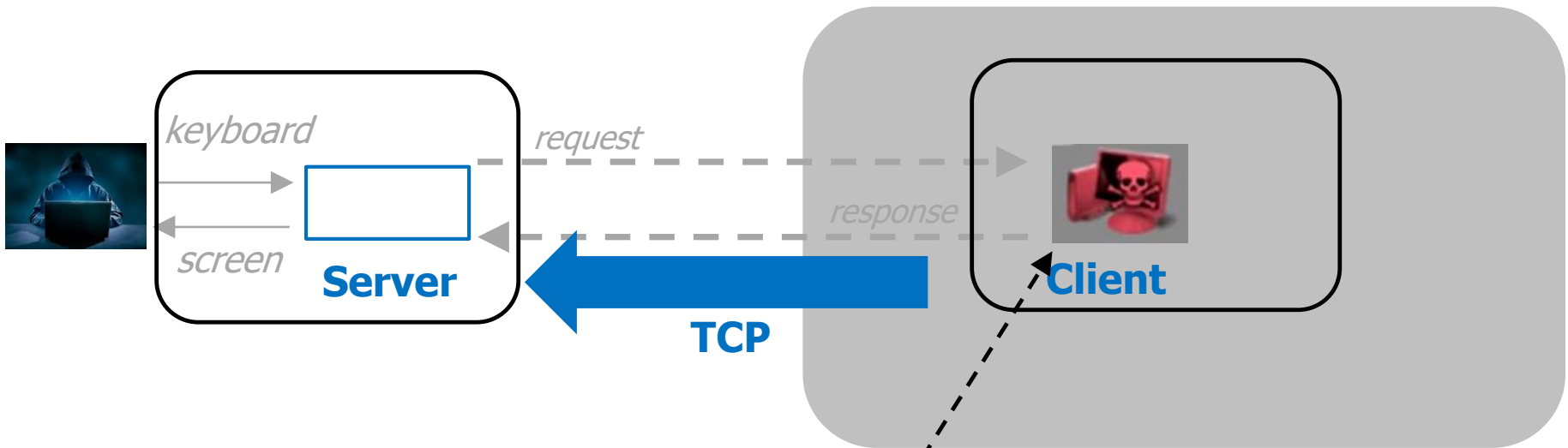


# Security Policy



# REVERSE Shell (REMIND)

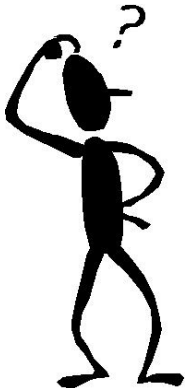


- ☐ **What can it do, exactly?**
- ☐ Can it kill other processes?
- ☐ Can it read the memory of other processes?
- ☐ Can it modify the autostart/bootstrap configuration?



# Important question (I)

- ❑ PC
- ❑ Dropbox app
- ❑ Chrome browser
  
- ❑ Can the Dropbox app **read**:
  - ❑ ...authentication cookies?
  - ❑ ...passwords stored in the browser?
  - ❑ ...encryption keys in the browser memory?



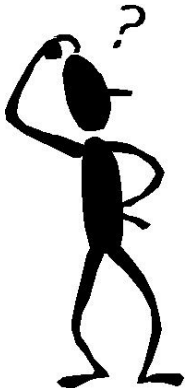
# Important question (II)

- ❑ PC
- ❑ Macro in Excel, downloaded as an email attachment
- ❑ Chrome browser
  
- ❑ Can the Excel Macro app **read**:
  - ❑ ...authentication cookies?
  - ❑ ...passwords stored in the browser?
  - ❑ ...encryption keys in the browser memory?



# Important question (III)

- ❑ Smartphone
- ❑ Gaming app
- ❑ Banking app
  
- ❑ Can the Gaming app **read**:
  - ❑ ...authentication token of Banking app?



# Security Policy



- ❑ **Set of rules** that determine "**who can do what**"
- ❑ **Every system** has one, **explicit** or **implicit**
  - ❑ Usually implicit
- ❑ We need to **understand** how these rules are **structured** in practice

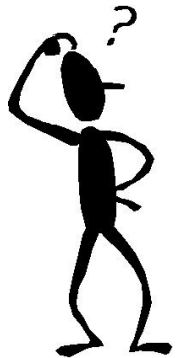
# Important question (IV)

- ❑ PC
- ❑ User U executes GUI / Shell
- ❑ How can you make sure that the GUI / Shell can **only** execute operations **allowed to U**?



# Important question (V)

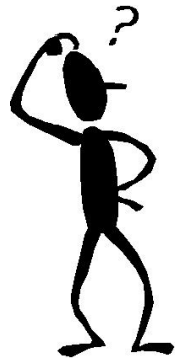
- ❑ PC
- ❑ User U executes some program P
- ❑ How can you make sure that P cannot **modify** the internal code/data **of the o.s.?**





# Important question (VI)

- ❑ Web server
- ❑ User U logged on a **webapp** (e.g., Banking)
- ❑ How can you make sure that U can **only** access "**his/her**" data?



# Access Control (I)



- We need to **understand** how these rules are structured in practice
- And how they are **enforced**

# Access Control (II)

- ❑ We need to understand how these rules are **structured** in practice
- ❑ And how they are **enforced**
  
- ❑ **Fundamental** problem at **every abstraction level**
  
- ❑ Application
  - ❑ Client→ Server resources (web documents, mailboxes, ...)
- ❑ Operating system
  - ❑ Process→ O.S. resources (files, network, ...)
- ❑ Hardware
  - ❑ CPU → Memory addresses

# Roadmap



1. Access control in operating systems
  2. How **enforced**
  3. How **described**, in an **idealized** way
  4. How **described**, in a **more realistic** way
  5. **Fundamental lessons**
  6. Access control "**in general**"
- Very simplified (many details omitted)

# Access Control: Preliminaries



# Account and Resources

- ❑ **Account:** Every **identity** in the system
  - ❑ **Username** (string)
  
- ❑ **Resource:** Every **"IT object"** in the system
  - ❑ File / Socket / ...
  - ❑ Server configuration / ...
  - ❑ Account attributes / Account configuration / ...
  - ❑ ...
  
- ❑ Accounts are often called "Users"...which may be **misleading**:  
certain accounts are **not** meant to be owned by a human operator

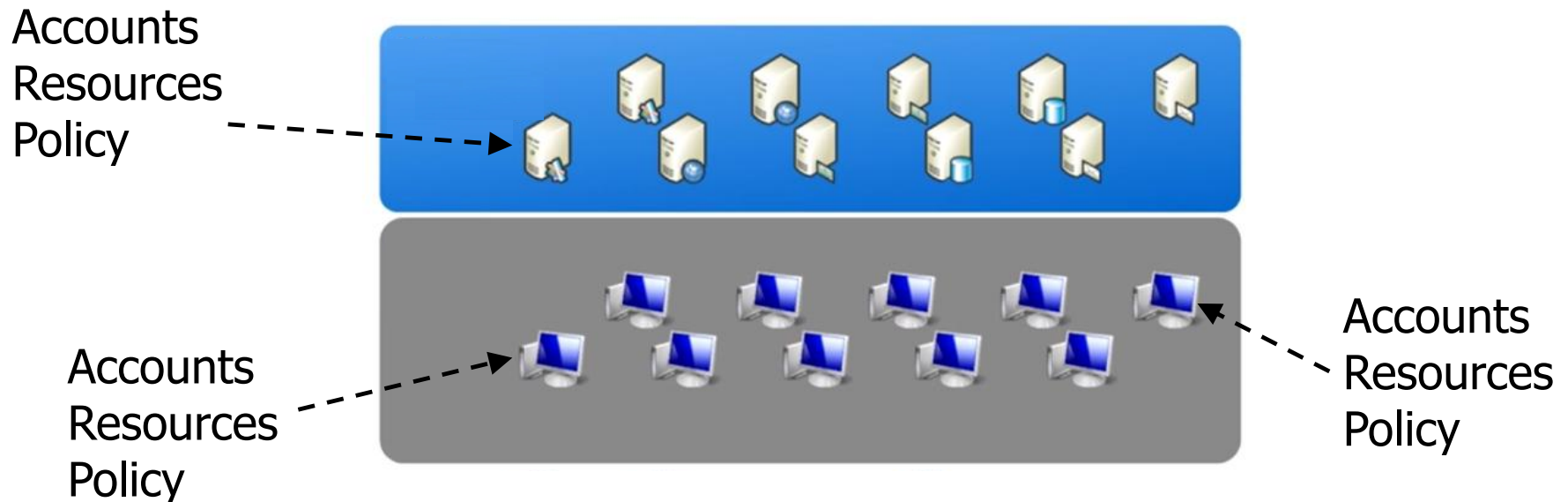
# Security Policy



- ❑ **Account:** Every **identity** in the system
- ❑ **Resource:** Every **"IT object"** in the system
- ❑ For each  $\langle \text{Account}, \text{Resource} \rangle$  which **Operations** are allowed

# Example Scenario (I)

- ❑ **Defined** and **enforced** by **each o.s.**
- ❑ Each machine **independent** of each other

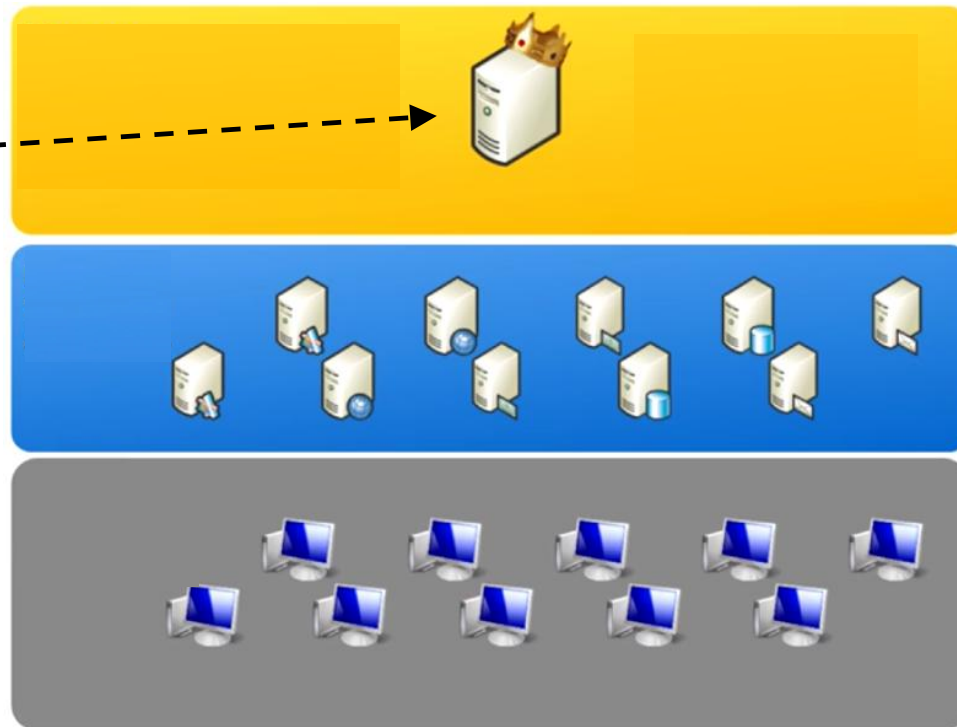




# Example Scenario (II)

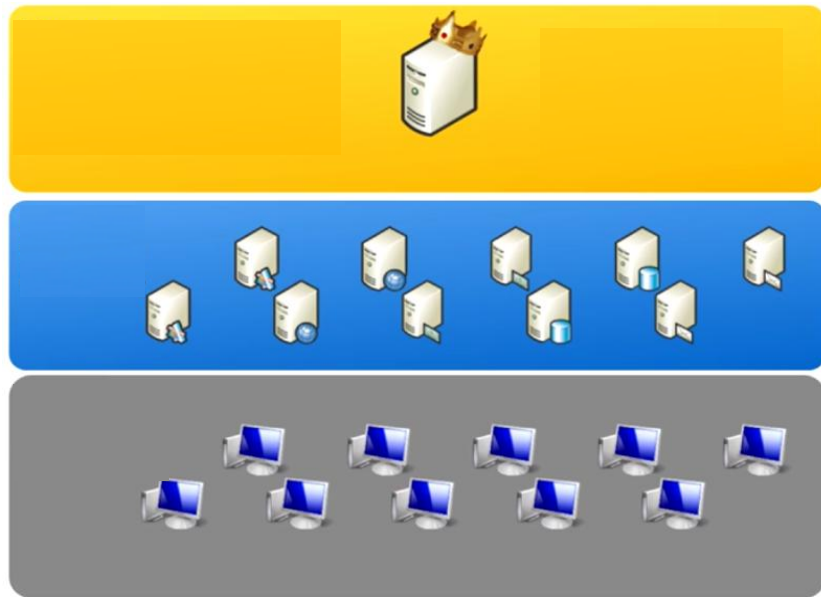
- ❑ Defined in **one** single place
- ❑ Enforced **everywhere**

Accounts  
Resources  
Policy



# Example Scenario (III)

- ❑ Defined and enforced in the cloud
- ❑ **Independent** of those of the organization



Accounts  
Resources  
Policy

# Access Control: Specification?

- ❑ For each  $\langle \text{Account}, \text{Resource} \rangle$  which **Operations** are allowed
- ❑ Account A can read/write every file that it owns
- ❑ Accounts of interns can only read files in directories D1, D2
- ❑ Accounts in group G1 can modify the composition of group G2
- ❑ ...but only if the account is not an intern

*Which rules can be **defined**?*



# Access Control: Enforcement?

- ❑ For each  $\langle \text{Account}, \text{Resource} \rangle$  which **Operations** are allowed
- ❑ Account A can read/write every file that it owns
- ❑ Accounts of interns can only read files in directories D1, D2
- ❑ Accounts in group G1 can modify the composition of group G2
- ❑ ...but only if the account is not an intern

*..and how are  
they **enforced**?*



# Access Control: Specification



- ❑ MANY models with different **expressiveness**
  
- ❑ Every concrete scenario:
  - ❑ **Hybrid** of several models
  - ❑ Many complex details
  
- ❑ Windows / Linux / Android / ...
- ❑ AWS / Azure / GCP / ...
- ❑ Tomcat / Postfix / MySQL / ...

# Access Control: Enforcement



- ❑ Strongly dependent on the **operational scenario**
  - ❑ One machine
  - ❑ Many machines in a single organization
  - ❑ Many machines in many organizations
  - ❑ Web apps
  - ❑ Web apps with delegated authentication / authorization
  - ❑ Cloud services
  - ❑ ...

# Our approach



- ❑ Operational scenario:
  - ❑ **One machine**
  - ❑ Later: Many machines in a single organization
- ❑ Concrete implementation (specification and enforcement):
  - ❑ Linux, Windows
  - ❑ Just an **outline**

# Access Control Model (preliminary)



- ❑ **Every** access to **resources** is mediated (**guarded**) by a "Reference Monitor"
- ❑ ...that knows the Security Policy



# Access Control Model



- ❑ Think in terms of this **model**
- ❑ **Not** of how it is implemented

# Example



# Access Control: O.S.



# Computer Architecture in a nutshell

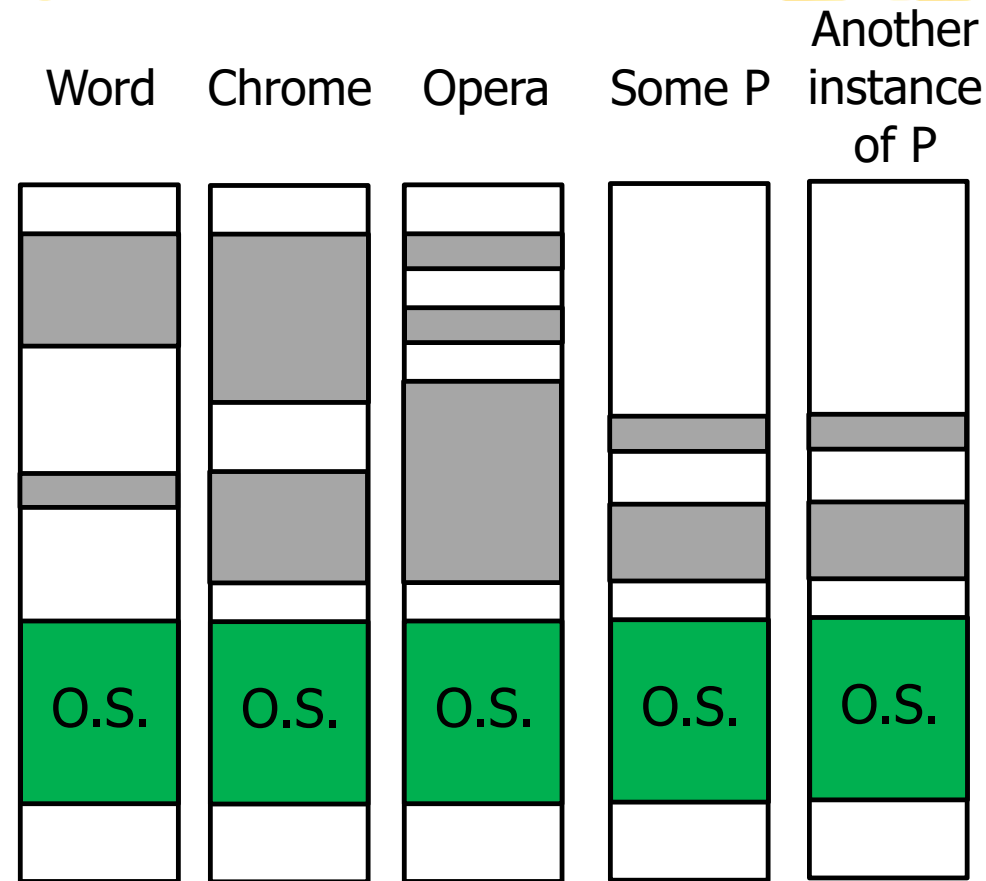


# Process Address Space (I)

- ❑ "The executed program"  
(**user-level** code)

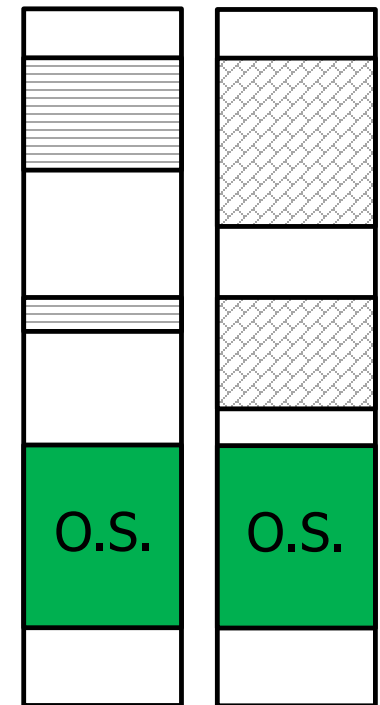
- ❑ Operating System  
(**system-level** code)

- ❑ Loaded at bootstrap



# Process Address Space (II)

- ❑ Every process has **its own** address space
- ❑ Address spaces are **isolated** from each other
  - ❑ CPU executes process **P** and issues `addr-x`
  - ❑ CPU executes process **Q** and issues `addr-x`
  - ❑ The referenced cell is **different**  
(it might contain the same value)
- ❑ Isolation implemented by **hardware + O.S.**
  - ❑ The O.S. places itself at the **same** address, in **every** address space



# Virtual Memory vs Physical Memory

- ❑ CPU executes process P and issues `addr-x`
- ❑ CPU executes process Q and issues `addr-x`
  - ❑ **Virtual** memory
- ❑ The referenced cell is **different**  
(it might contain the same value)
  - ❑ **Physical** memory
- ❑ Isolation implemented by **hardware + O.S.**
  - ❑ CPU emits (process-id, v-address)
  - ❑ Hardware with o.s. data maps to (p-address)
- ❑ Process address space: **virtual** memory
- ❑ Machine address space: **physical** memory

# Address Space Size: Virtual vs Physical

## ❑ **Virtual** address space size

### ❑ Memory of **each** process: $2^{64}$ addresses

$$\Rightarrow 2^{44} * 2^{20}$$

$$\Rightarrow 2^{44} \text{ M}$$

$$\Rightarrow 2^{32} * 2^{12} \text{ M}$$

$$\Rightarrow \mathbf{4 * 10^9 * 1024 \text{ M}}$$

## ❑ **Physical** address space size

### ❑ How much memory does your PC have? Maybe **16 GB**?

❑ **A lot of** virtual mem. mapped **to much smaller** physical mem.





# (Virtual) Address Space Allocation

- ❑ Every address space has parts that are **unallocated** (≈ not usable)
- ❑ CPU attempts to access an unallocated address ⇒
  1. Hardware error  
((process-id, v-address) → memory fault)
  2. O.S. procedure called automatically  
(memory fault handler)
- ❑ I am neglecting swapping on secondary storage for simplicity...



# Operating System

- ❑ The O.S. places itself in **every** address space

- ❑ Code

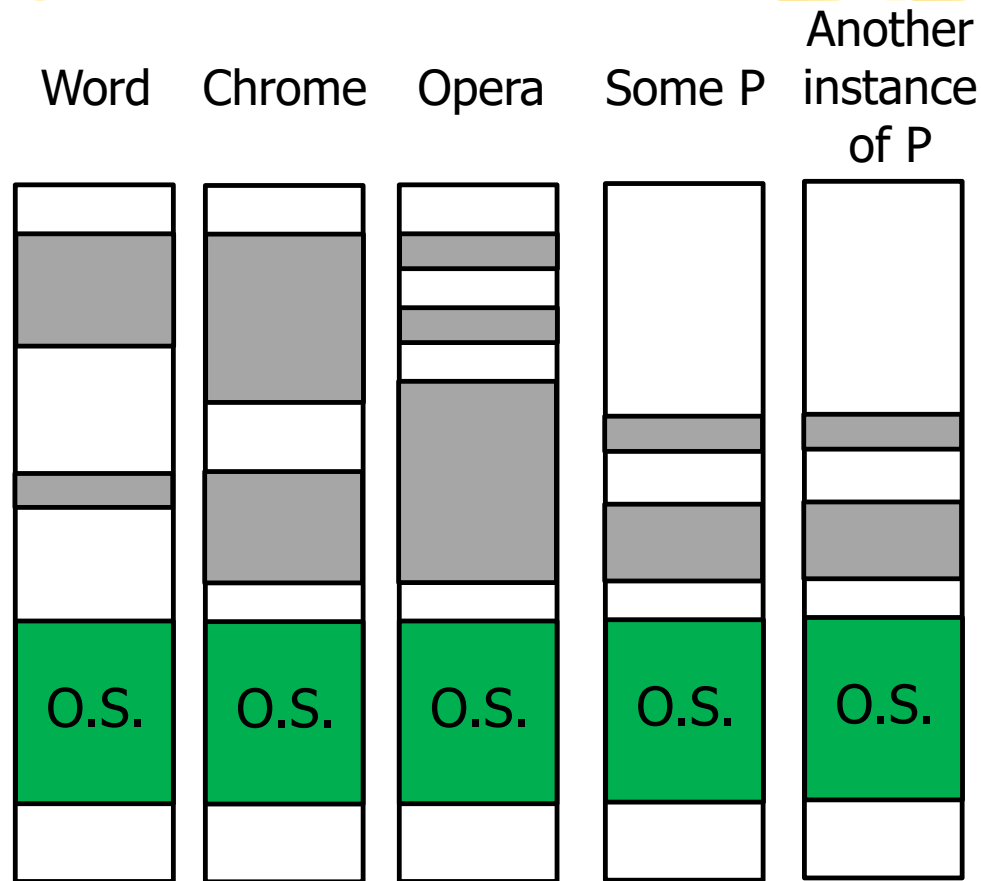
- ❑ Variables

- ❑ Open sockets

- ❑ Open files

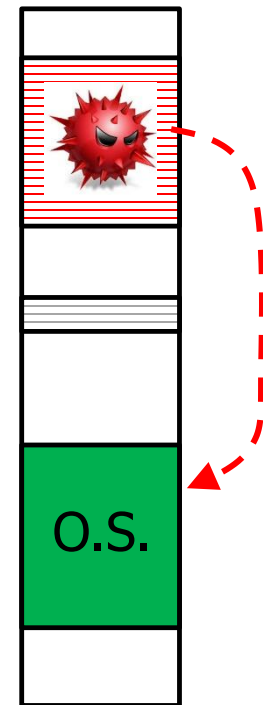
- ❑ "Permissions"

- ❑ ...



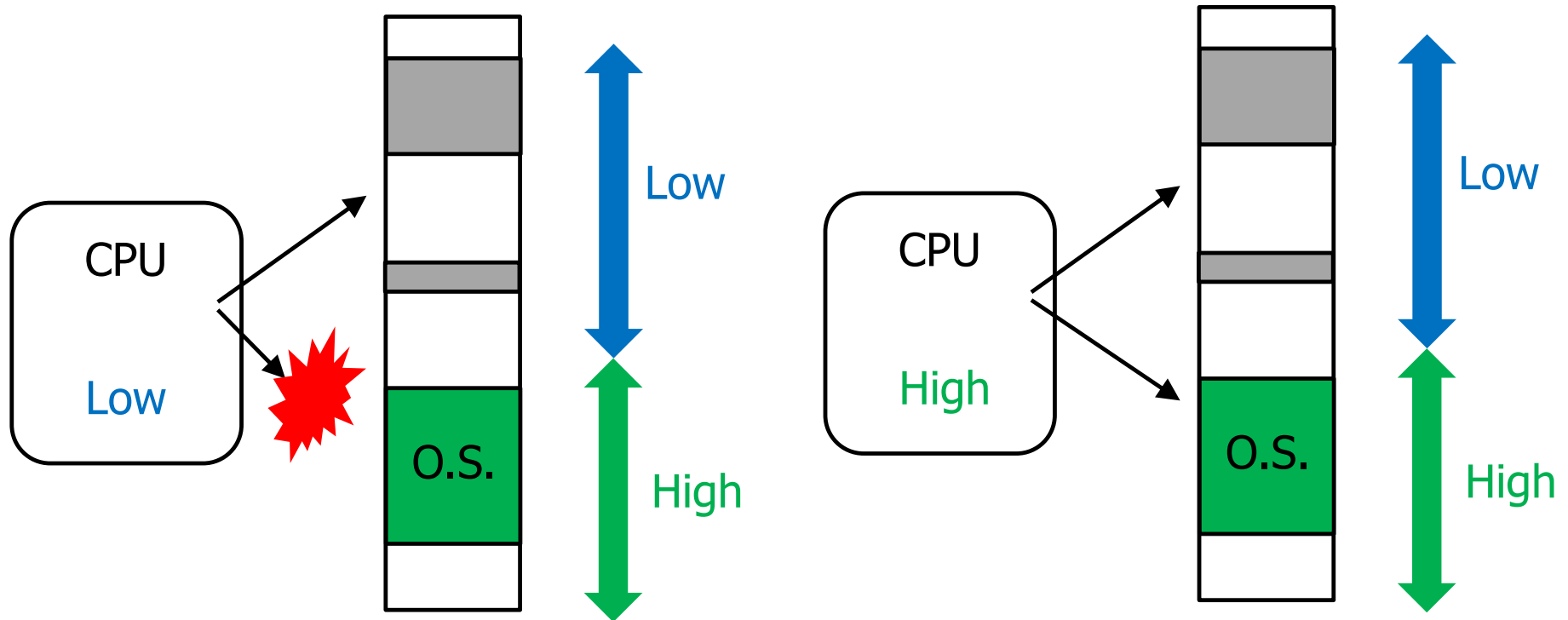
# O.S. Integrity?

- ❑ A **malicious process** could attempt to:
  - ❑ Read o.s. variables
  - ❑ Write o.s. variables
  - ❑ Jump to arbitrary o.s. addresses
- ❑ Read sensitive information  
(crypto keys / passwords / ...)
- ❑ Modify "access rights"  
(access files that should not be accessed)
- ❑ Skip permission checks



# CPU Privilege Level: Memory Access Rights

- Every CPU has (at least) two privilege levels: High and Low
  - High ⇒ CPU can access **every** address
  - Low ⇒ CPU can access only **some** addresses



# CPU Privilege Level: Privilege Switch



- Privilege level switch occurs in **hardware**

- **Low → High**

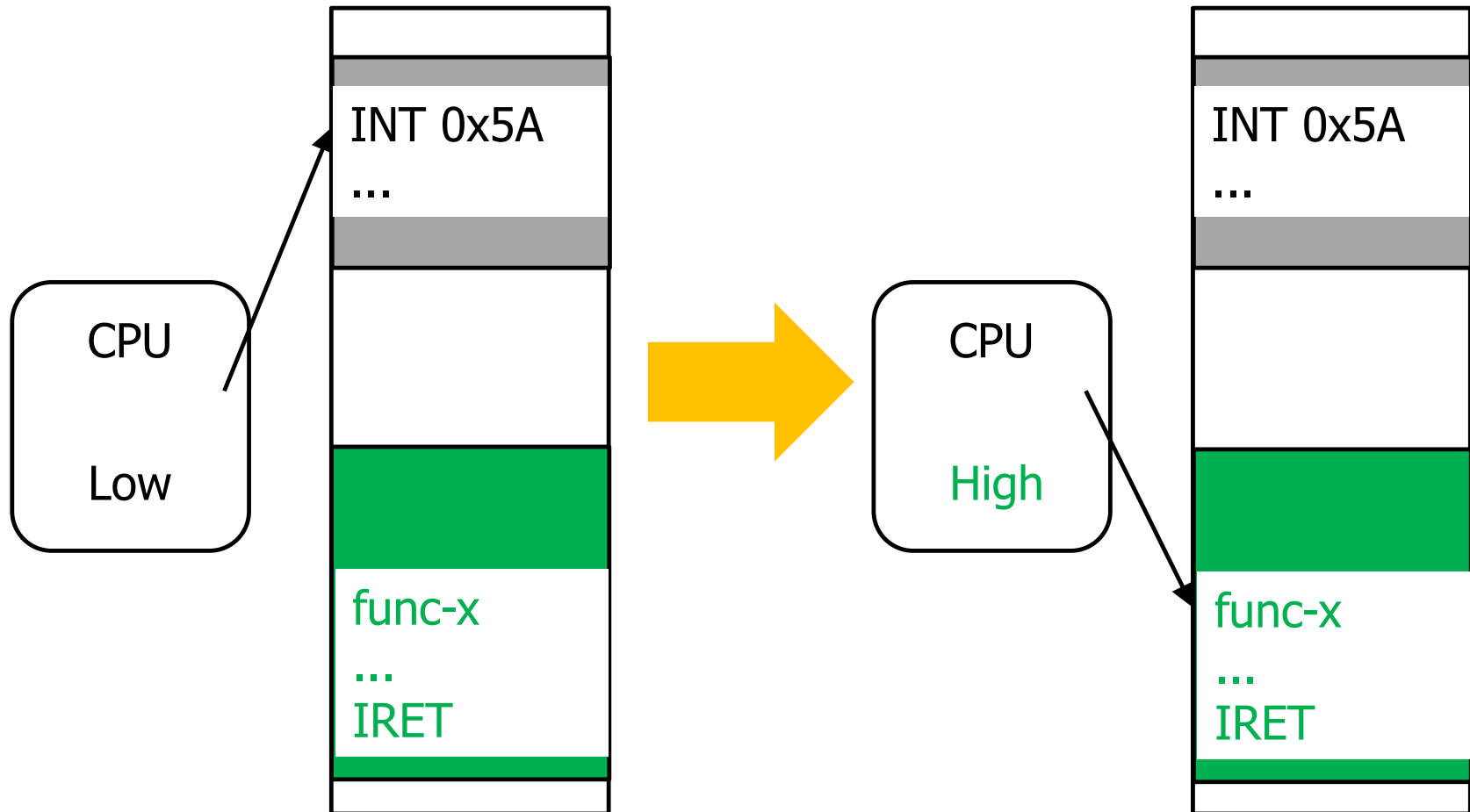
- `INT operand`      Calls a function in the o.s.

- Mapping `operand` values → functions **predetermined** by the o.s.

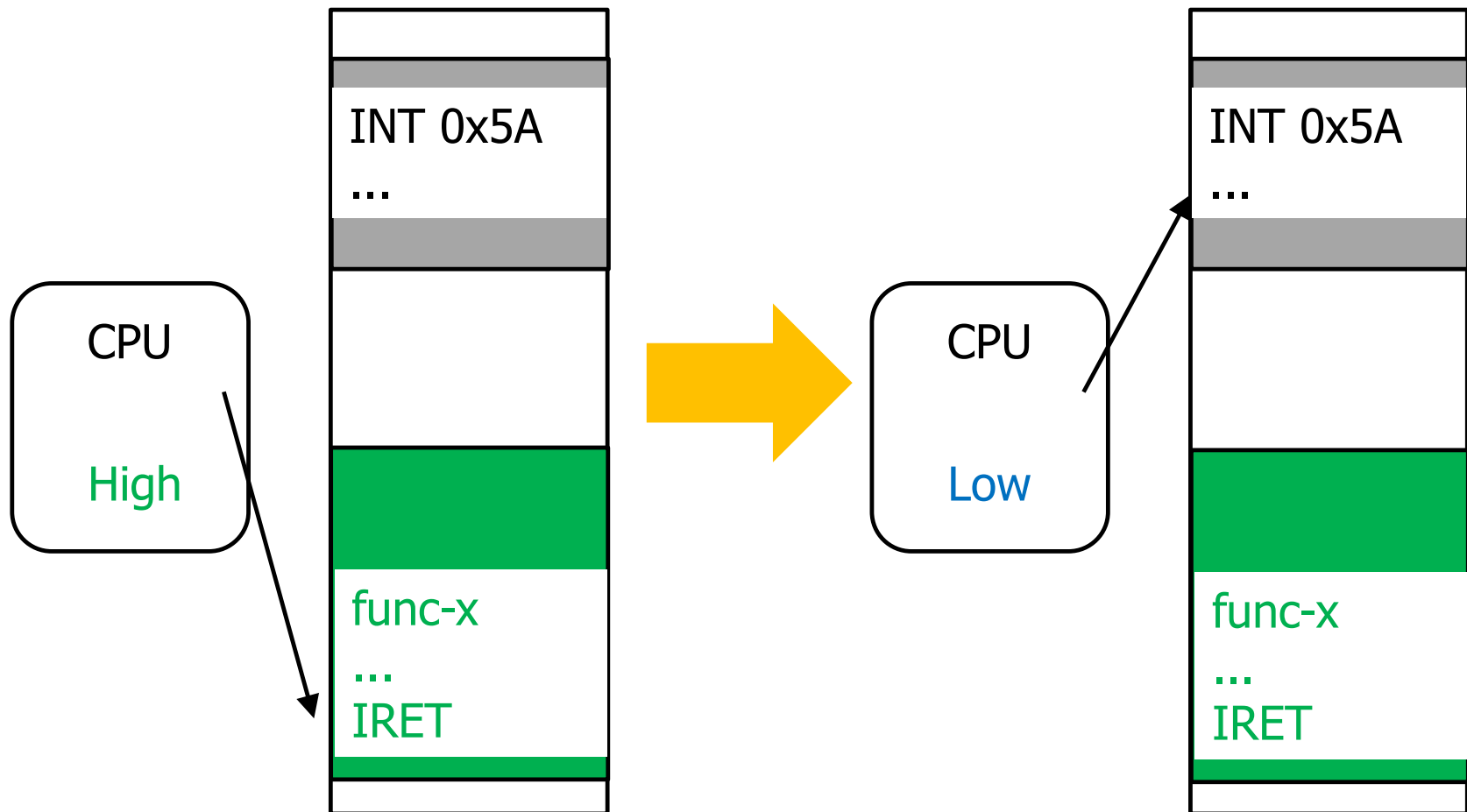
- **High → Low**

- `IRET`      Return to caller user code

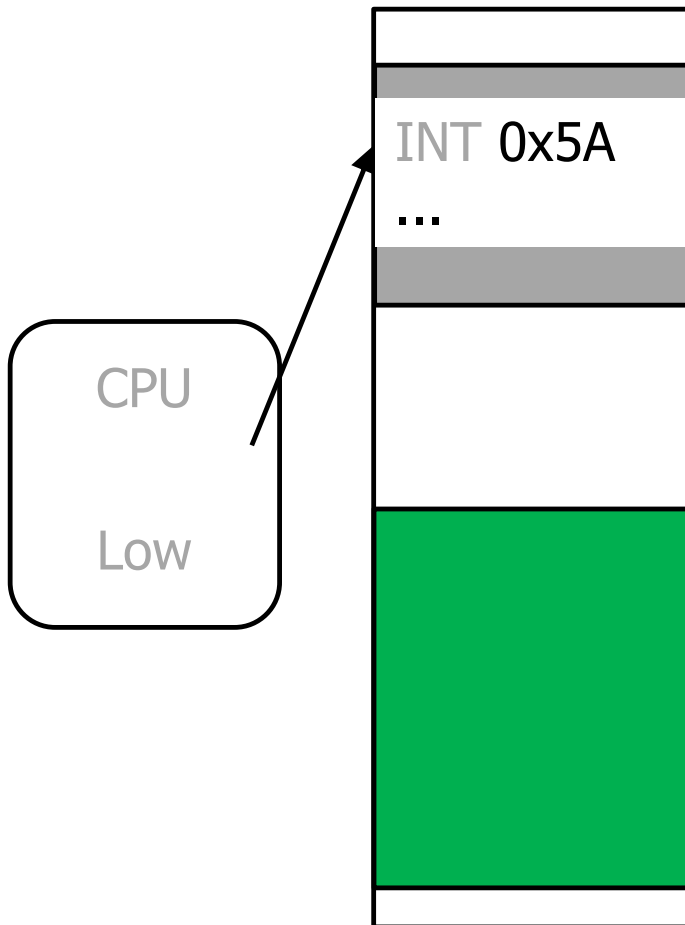
# System Call Invocation



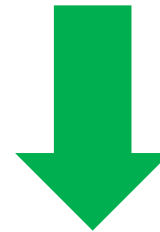
# System Call Return



# Remark



- ❑ CPU does **not** use operand as an address
- ❑ CPU uses operand as an **offset** in an o.s. table (that contains addresses)

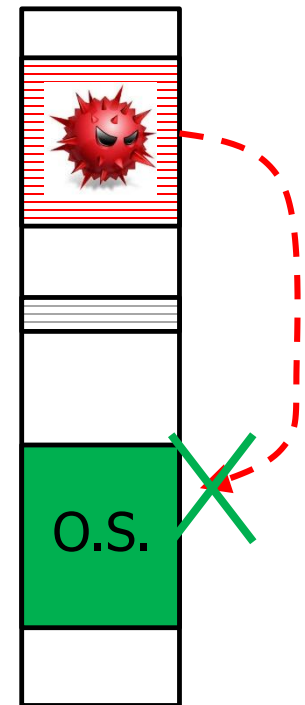


- ❑ Untrusted code can **only** call **predefined** addresses



# O.S. Integrity

- ❑ A malicious process could attempt to:
  - ❑ Read o.s. variables
  - ❑ Write o.s. variables
  - ❑ Jump to arbitrary o.s. addresses
- ❑ **Not possible:**
  - ❑ Read / Write o.s. variables  
(it executes with Low privilege)
  - ❑ Jump to arbitrary o.s. addresses  
(it can only call predefined addresses)



# Keep in mind

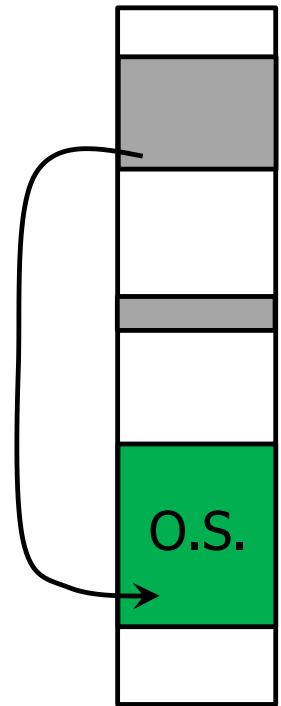
- ❑ User-level program executes with **Low** privilege
- ❑ O.S. executes with **High** privilege



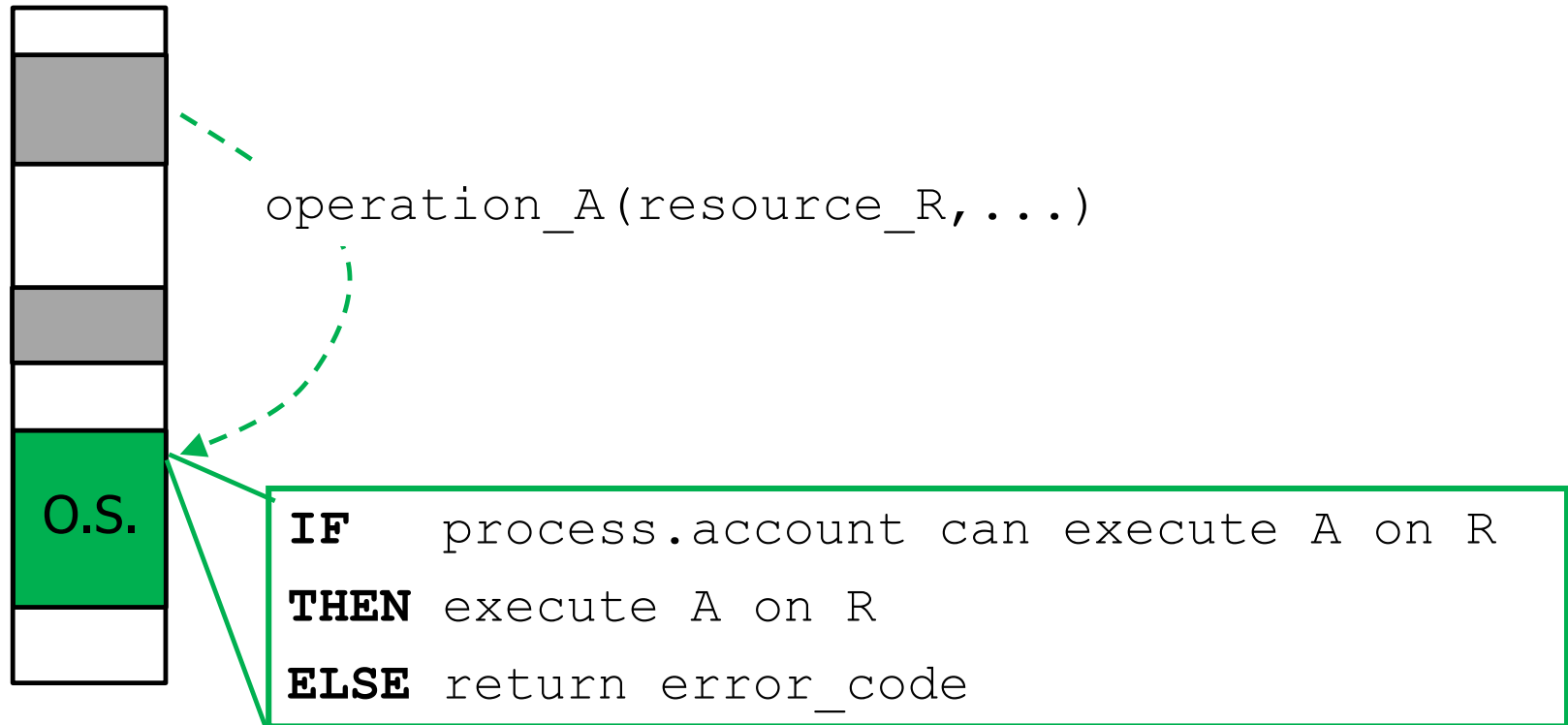
- ❑ User-level program:
  - ❑ Cannot access O.S. data
  - ❑ Can enter O.S. only at predefined points (by invoking a system call)

# Resource Access (I)

- ❑ Every **resource** is implemented by the o.s.
  - ❑ File
  - ❑ Socket
  - ❑ Screen
  - ❑ ...
- ❑ Every **operation** on a **resource** occurs by invoking a **system call**
- ❑ The o.s. decides whether to **grant** or **deny** the operation
  - ❑ We will see based on which criteria



# Resource Access (II)



# Resource Access (III)

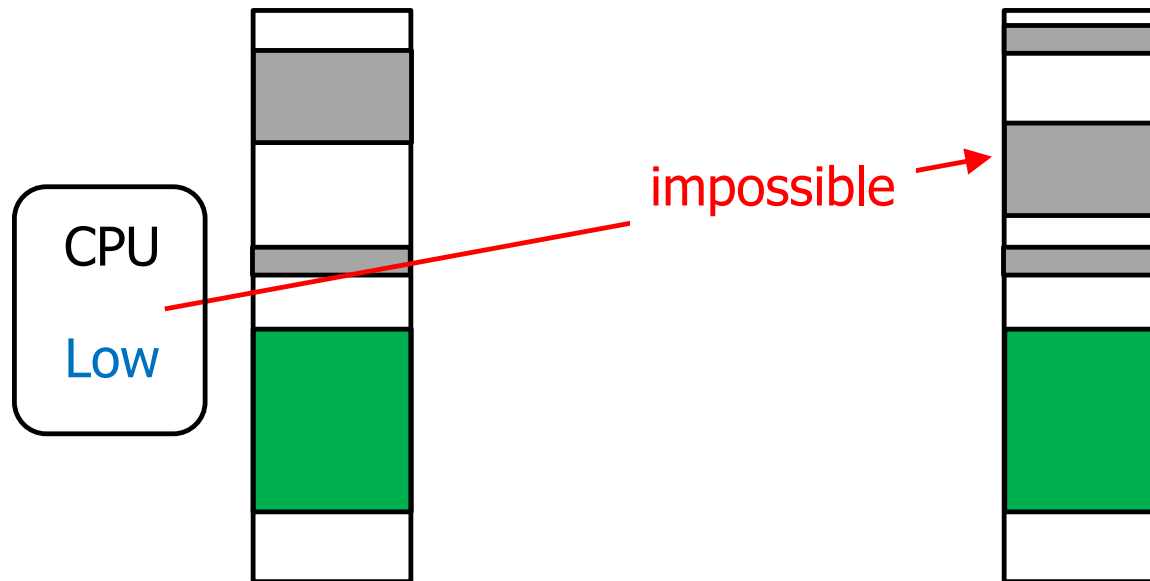


**Every** access to **resources**  
is mediated (**guarded**) by the O.S.

Resources can only be accessed  
through **system calls**

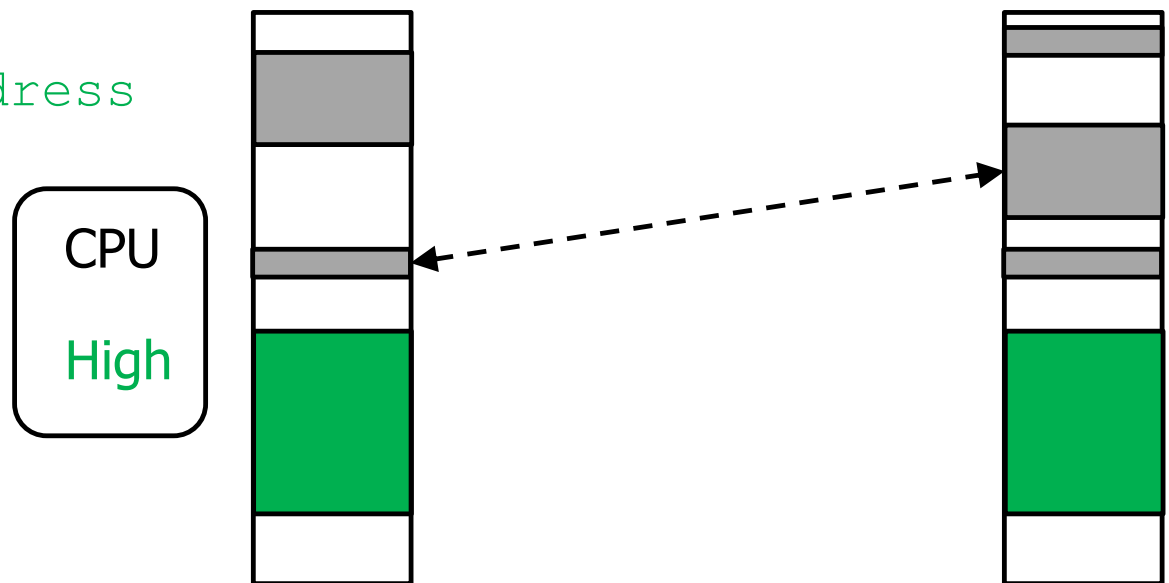
# Isolation (I)

- ❑ A process **cannot** access the memory of another process **directly**
  - ❑ (P,v-address) and (Q, v-address) always map to **different** physical memory regions
  - ❑ ...except for v-address of the o.s.

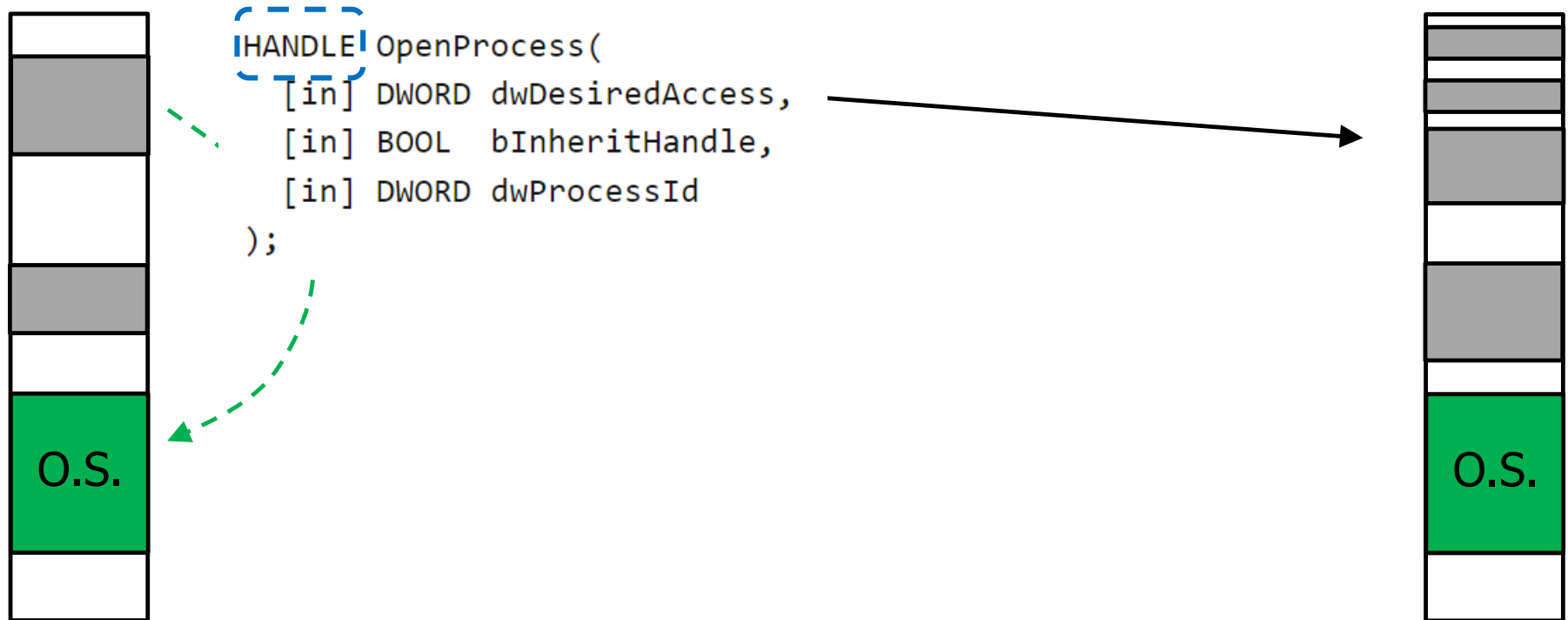


# Isolation (II)

- ❑ A process can invoke a **system call** for reading/writing the memory of **another** process
- ❑ Typical input parameters
  - ❑ `other-proc-id`
  - ❑ `other-proc-address`
  - ❑ `how-many`
  - ❑ `this-proc-address`



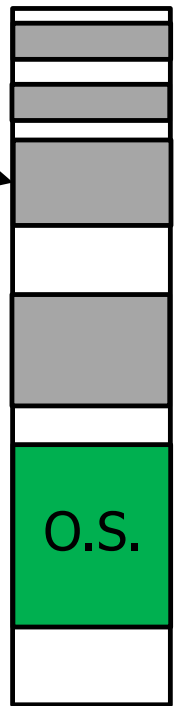
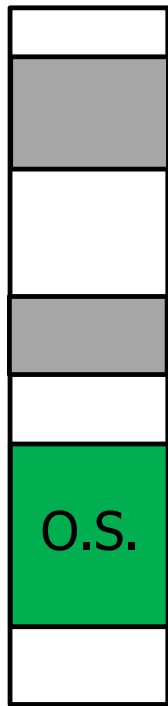
# Windows (Basic idea) (I)





# Windows (Basic idea) (II)


```
BOOL ReadProcessMemory(  
    [in] HANDLE hProcess,  
    [in] LPCVOID lpBaseAddress,  
    [out] LPVOID lpBuffer,  
    [in] SIZE_T nSize,  
    [out] SIZE_T *lpNumberOfBytesRead  
);
```



# Access Control Lists



# Process ↔ Account



- ❑ Every **Process** is owned by an **Account**

  - ❑ A field in the process descriptor within the o.s.


- ❑ Basic ideas (more details later)

  - ❑ Bootstrap: Root/System account

  - ❑ Server Process: Account specified in o.s. configuration

  - ❑ GUI / Shell Process: Account that has provided credentials

# Resource $\leftrightarrow$ Account



- ❑ Every Resource is **owned** by an Account
  - ❑ Usually it is the Account that **created** the Resource
- ❑  $\approx$  Resource.owner can do whatever it wants on the Resources that it owns

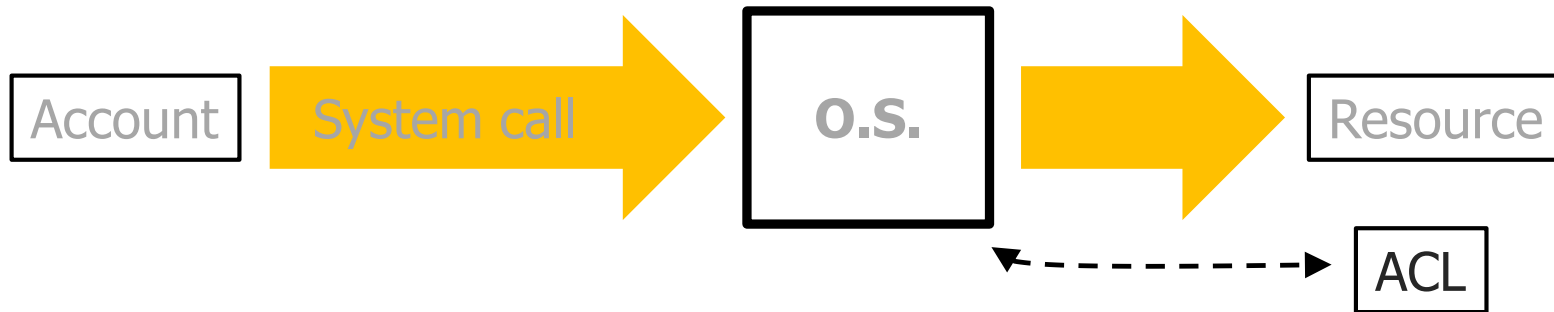
# Hmmm...



*How does the o.s. **decide** whether to grant or deny?*



# Resource ↔ ACL



- ❑ Every Resource has an ACL (**Access Control List**):

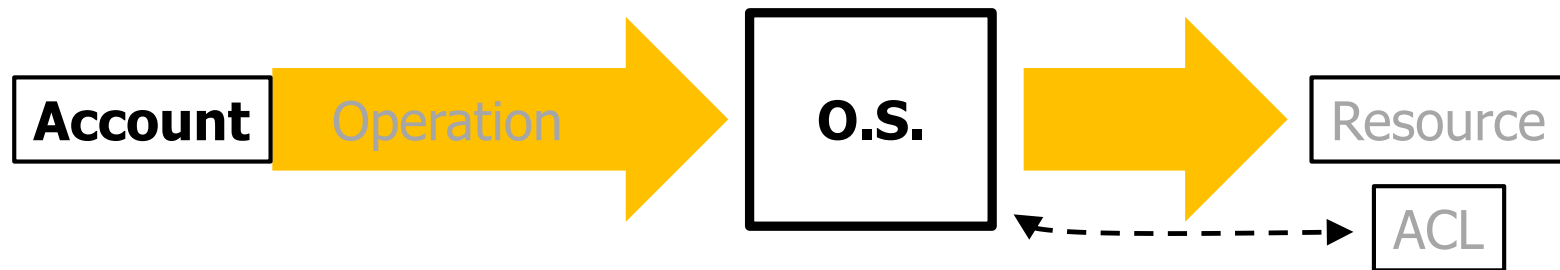
- ❑ For each Account,  
Operations that it can execute on the Resource

- ❑ **Resource.Owner controls Resource.ACL**

- ❑ R.Owner can execute Operations that modify R.ACL

# Preliminary model:

## Keep in mind



- ❑ Decisions taken **only** based on the requesting **Account**
- ❑ All **Processes** of the same account can execute the **same** operations ("have the same **access rights**")

# Groups and Privileges





# Account Groups



- ❑ Every Resource has an ACL (**Access Control List**):
  - ❑ For each Account,  
Operations that it can execute on the Resource
- ❑ Describing it **separately for each Account** may be too complex



- ❑ Accounts may be **grouped**
- ❑ ACL may be specified in terms of **groups**

# Resource Groups



- ❑ Every Resource has an ACL (**Access Control List**):
  - ❑ For each Account / Group,  
Operations that it can execute on the Resource
- ❑ Describing it **separately for each Resource** may be too complex



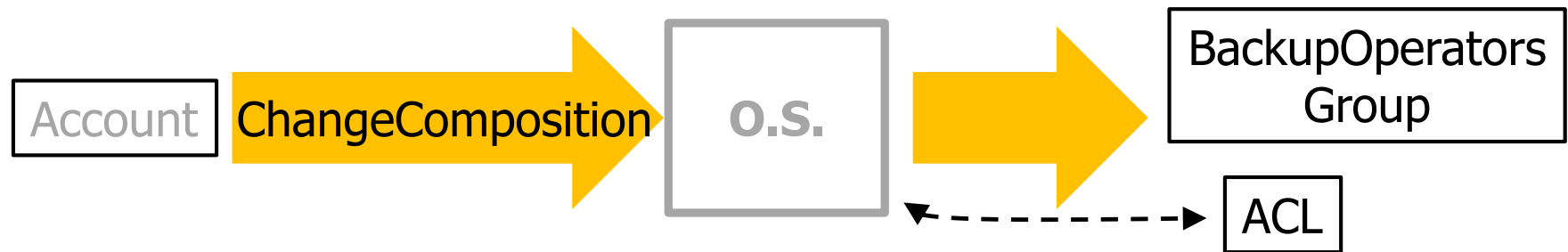
- ❑ Resources may be **grouped**
- ❑ ACL may be associated with **resource groups**

# Hmmm...

- ❑ Process P owned by account U
- ❑ Could it add U to **account group** BackupOperators?
- ❑ Could it add resource R to a **resource group** that U can access?



# Controlling Group Composition



- ❑ Account/Resource groups are **resources**
- ❑ ...they have their own **ACL**
- ❑ ...that **must be structured "correctly"**

# Hmmm...

- ❑ Every Resource has an ACL (**Access Control List**):
  - ❑ For each Account/Group,  
Operations that it can execute on the Resource
- ❑ Certain accounts **must** be able to execute certain operations on certain resources
  - ❑ Example: accounts in charge of executing backups must be able to read everything on a filesystem
  - ❑ Example: accounts in charge of managing the system must be able to terminate any running process
- ❑ Do we need to insert a suitable ACL in **every resource**?
- ❑ What if some resource.Owner **does not agree**?



# Privileges



- ❑ Every Resource has an ACL (**Access Control List**):
  - ❑ For each Account/Group,  
Operations that it can execute on the Resource
- ❑ The o.s. defines a set of **privileges**
- ❑ Each privilege allows executing a **predefined** set of operations on **every resource**
- ❑ ...irrespective of resource.ACL
  
- ❑ An account may have one or more privileges

# Hmmm...

❑ Process P associated with account U

❑ Could it add **privileges** to U?



# "High Privilege" Account

- ❑ Each o.s. has one or more **predefined** accounts with "**high privilege**"
  - ❑ Linux `root`
  - ❑ Windows members of `Administrators` group
  - ❑ Windows `SYSTEM` (**not** associated with any user)
- ❑ Set of privileges that allows executing **every** operation on **every** resource
- ❑ ...irrespective of Resource.ACL



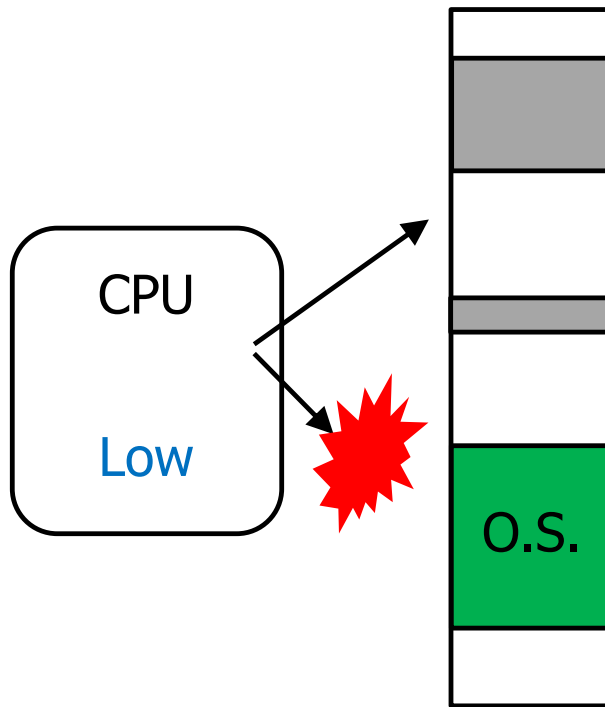
# High Privilege Account: What it means



- ❑ They can execute **every** operation on **every** resource
- ❑  $\approx$  Every system call invocation by a process of a High Privilege account will succeed
- ❑ Examples:
  - ❑ "Read memory page M of process P in my buffer B"
  - ❑ "Write my buffer B in memory page M of process P"
  - ❑ "Delete file F"

# High Privilege Account: What it does NOT mean

☐ ~~Can access every memory address~~



☐ It is an **o.s.** concept: not an **hardware** concept

# Think about this (I)

- ❑ Process P owned with account U
- ❑ P **creates** resource R
  - ❑ File
  - ❑ Network connection
  - ❑ Child process
  - ❑ ...

- ❑ Could it **change the owner** of R?



# Think about this (II)

❑ Process P associated with account U1

❑ Could it **change its account** to U2?




# Think about this (III)


- ❑ Process P1 associated with account U
- ❑ Could it **read/write** the memory of a different process P2?



# Understanding Process $\leftrightarrow$ Account



# Process ↔ Account (REMINDE)



- ❑ Every **Process** is associated with an **Account**

- ❑ A field in the process descriptor within the o.s.

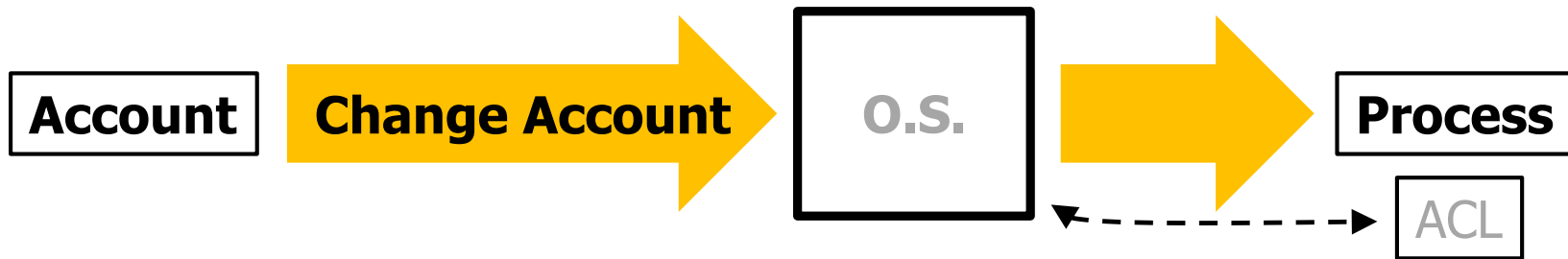
- ❑ Basic ideas (more details later)

- ❑ Bootstrap: Root/System account

- ❑ Server Process: Account specified in o.s. configuration

- ❑ GUI / Shell Process: Account that has provided credentials

# Changing Account



- ❑ Allowed only to **high privilege** accounts
- ❑ Linux `setuid()`
- ❑ Windows `ImpersonateLoggedOnUser`

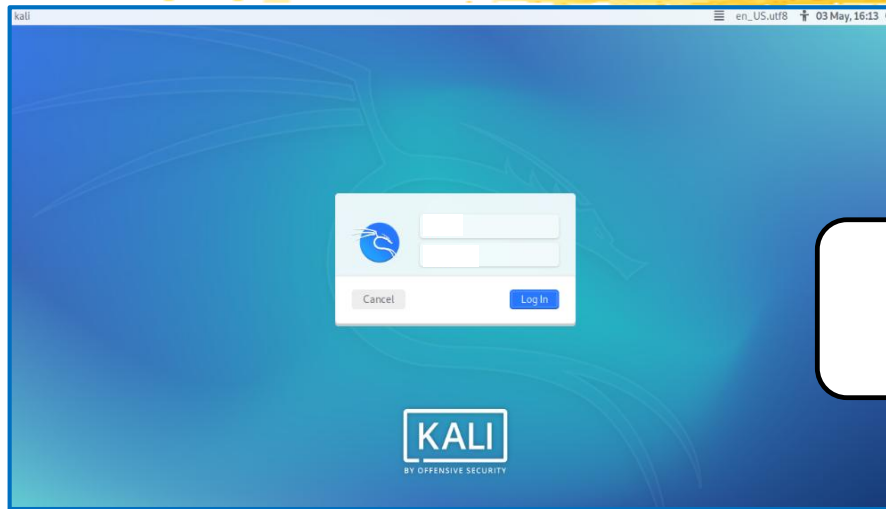


# Bootstrap



- ❑ **Configuration** file describes set of (service, account) to spawn
- ❑ **First** process:
  - ❑ Associated with an **account** with **high privilege**
  - ❑ Read configuration and spawns many **child processes**
  - ❑ Child processes can change account **at their will** (because they start associated with high privilege account)

# Interactive Logon (I)



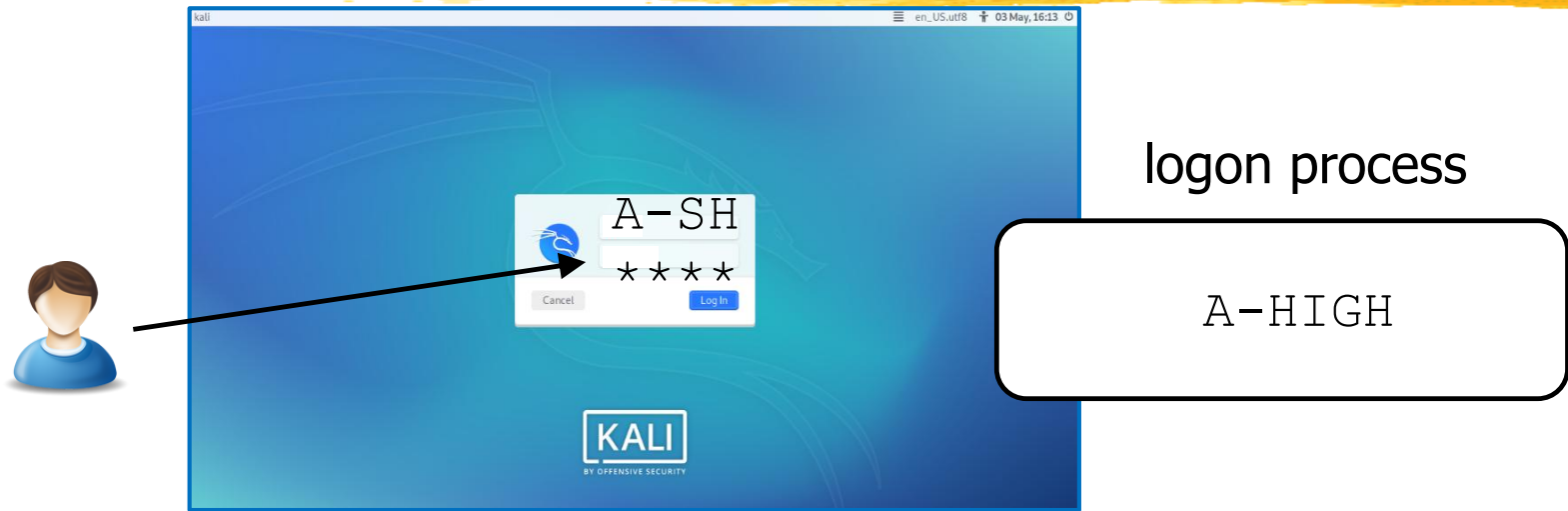
logon process

A-HIGH

*Spawned  
during  
bootstrap*

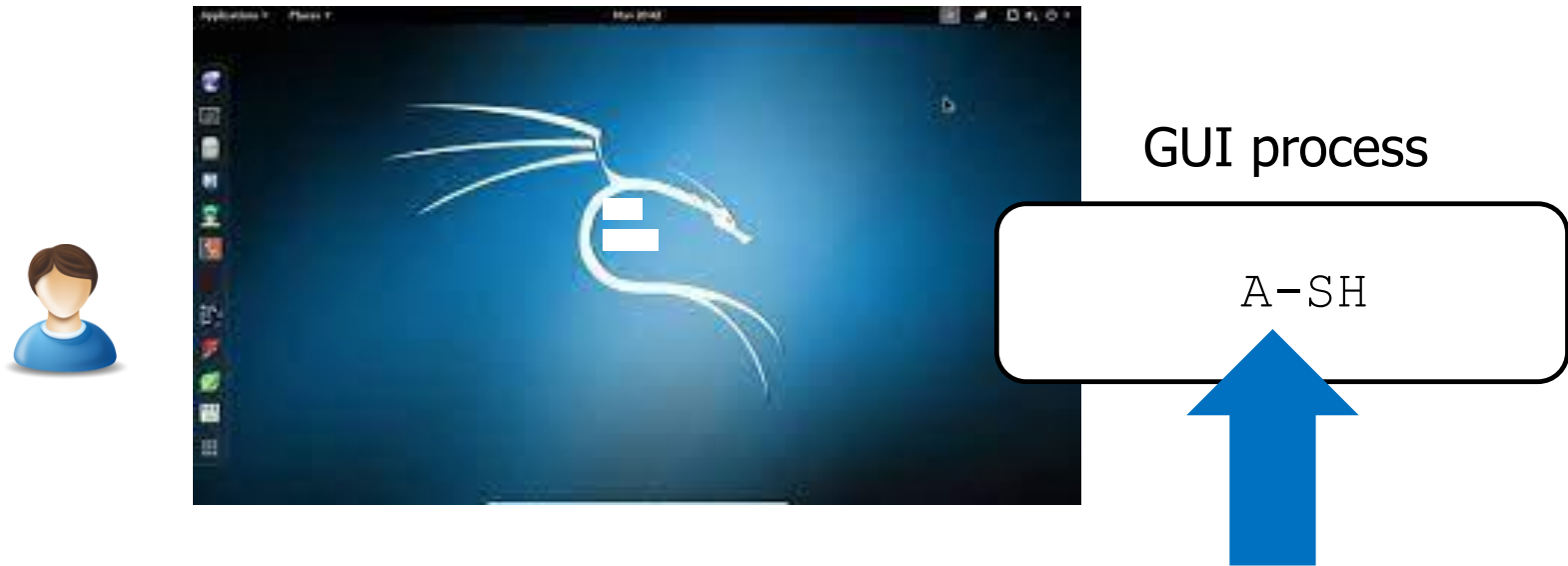
1. Wait for credentials
2. ...
3. ...

# Interactive Logon (II)



1. Wait for credentials
2. Validate inserted credentials (account A-SH)
3. ...

# Interactive Logon (III)



1. Wait for credentials
2. Validate credentials (authenticate account `A-SH`)
3. Spawn GUI process that **changes** account to `A-SH`

# Remote Shell (I)

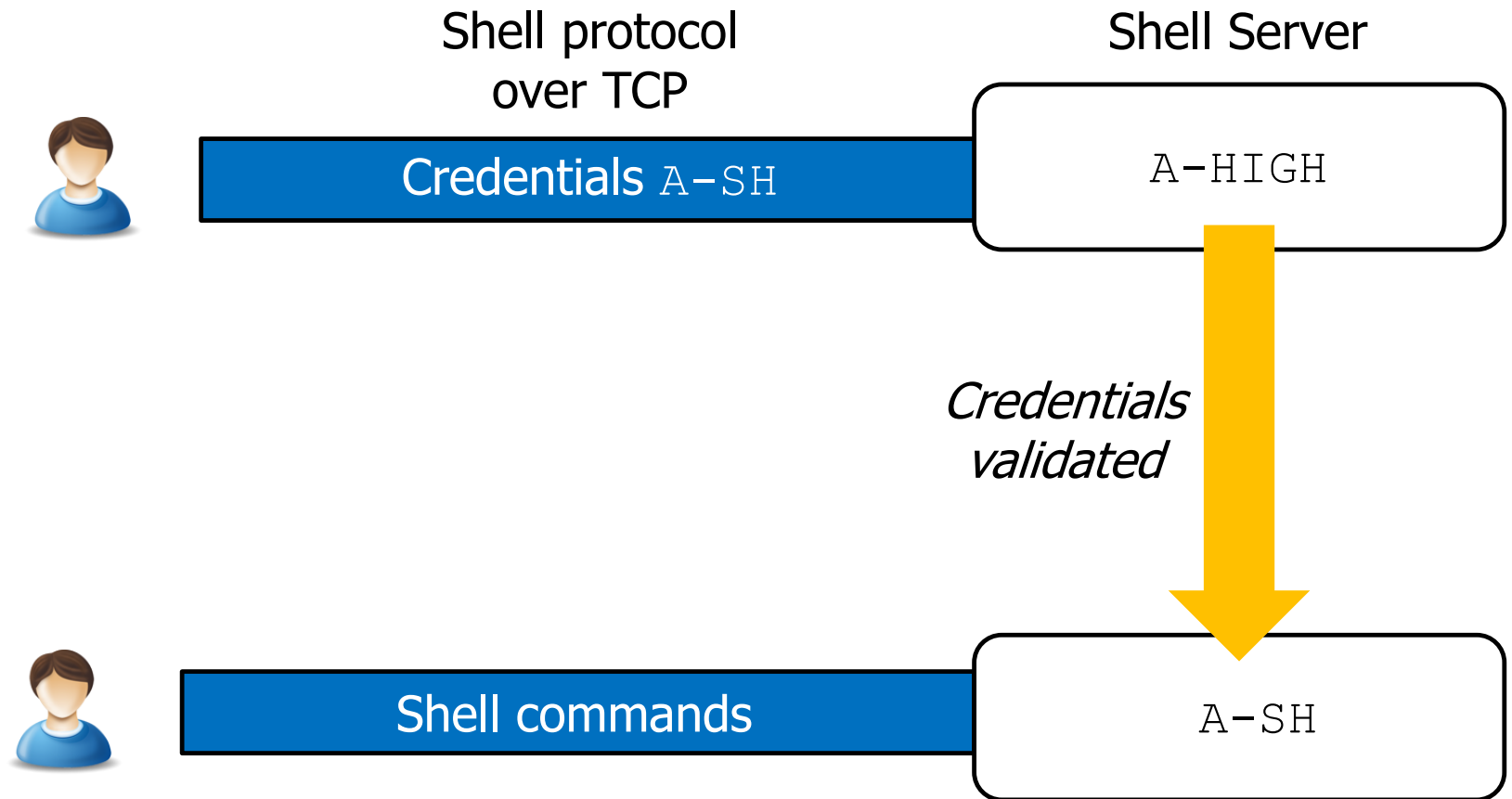


Shell Server

*listen*

A-HIGH

# Remote Shell (II)



# Shell session (I)

❑ Shell **process** owned by A-SH

1. Spawns a child process P

❑ Which is the **owner** account of P?

2. P executes file F owned by A-F

❑ Which **access rights** on F must have A-SH?

3. P creates file F1

❑ Which is the **owner** account of F1?



# Shell session (II)

- ❑ Shell **process** owned by  $A-SH$

1. Spawns a child process  $P$
2.  $P$  executes file  $F$  owned by  $A-F$
3.  $P$  creates file  $F1$

- ❑ **"Shell identity propagated everywhere"**

- ❑ The owner of the executable files is **irrelevant**



# Back to the questions



# Important questions (I-III) (REMIND)

---

- ❑ Process P owned by account U
- ❑ ...can it access the **memory** of another process owned by **U**?
- ❑ ...can it access **files** owned by **U**?



# Answer in a nutshell



- ❑ Dropbox app and Chrome browser are Processes owned by **the same Account**
- ❑ All **Processes** of the same account can execute the **same** operations ("have the same **access rights**")



- ❑ Dropbox can read/modify any resource that Chrome can read/modify

# Remark



- ❑ Dropbox can read/modify any resource that Chrome can read/modify
- ❑ We are considering **resources of the operating system**
- ❑ The "dropbox account" / "google account" are identities used across the network, on certain **remote servers**
- ❑ They have **nothing** to do with **local accounts**

# Hmmm...



- ☐ Process P owned by account U
- ☐ ...can it access the **memory** of another process owned by **U**?
- ☐ ...can it access **files** owned by **U**?
  
- ☐ As far as we know so far: Yes
  
- ☐ Do you really want your **Candy Crush / Pokémon GO apps** to be able to access your **banking tokens**?
- ☐ Do you really want an **email attachment** to be able to wipe all **your files**?

# Further issues (I)



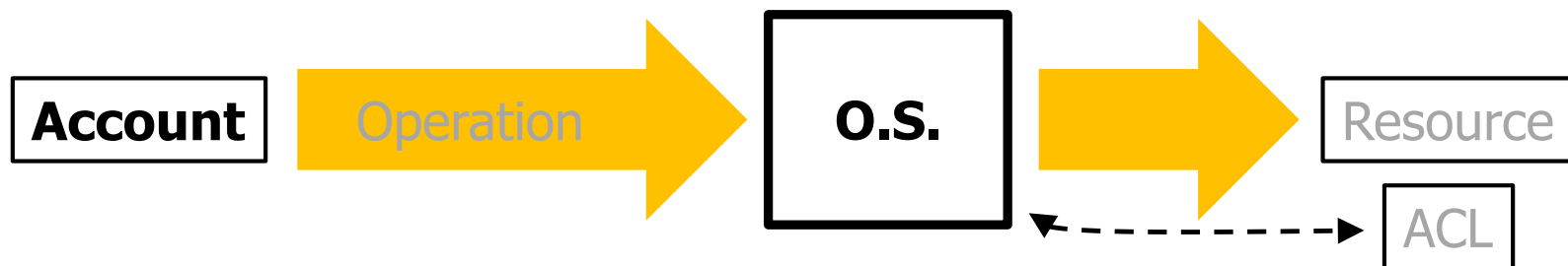
- ❑ Process P owned by account  $A-X$  requests to operate on a certain resource
- ❑ P executes:
  1. Google Chrome / Mozilla Firefox
  2. Excel Macro in an email attachment
  3. Application developed by some student
- ❑ The preliminary model decides **only** based on the **account**
- ❑ It may make sense to decide based **also** on the "**trust**" in the **process**

# Further issues (II)



- ❑ Process P owned by account  $A-X$  requests to operate on a certain resource
- ❑ P has been created after an authentication that occurred:
  1. Locally
  2. Over a local network
  3. From a remote network location
- ❑ The preliminary model decides **only** based on the **account**
- ❑ It may make sense to decide based **also** on the "**trust**" in the **process**

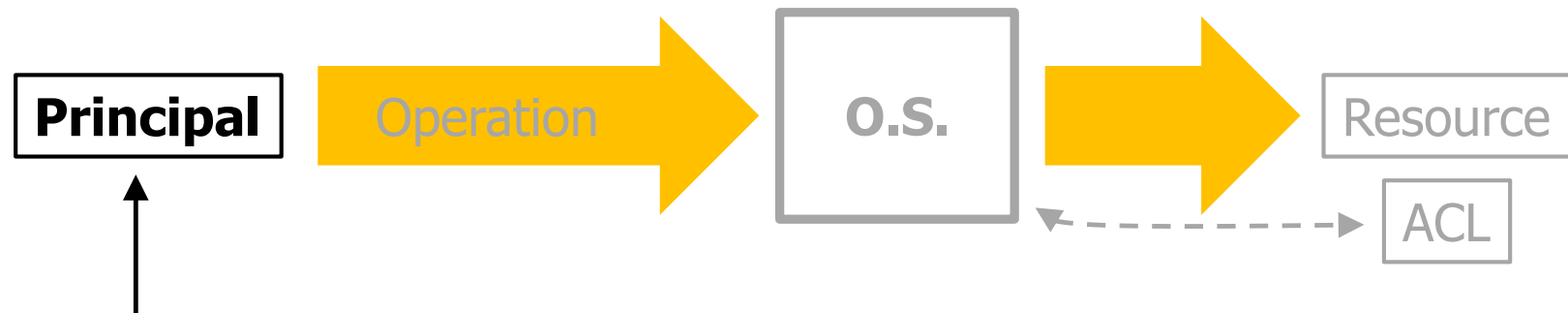
# The Account alone is NOT enough



- ❑ Decisions taken **only** based on the requesting **Account**
- ❑ All **Processes** of the same account can execute the **same** operations ("have the same **access rights**")



# Access Control: In practice

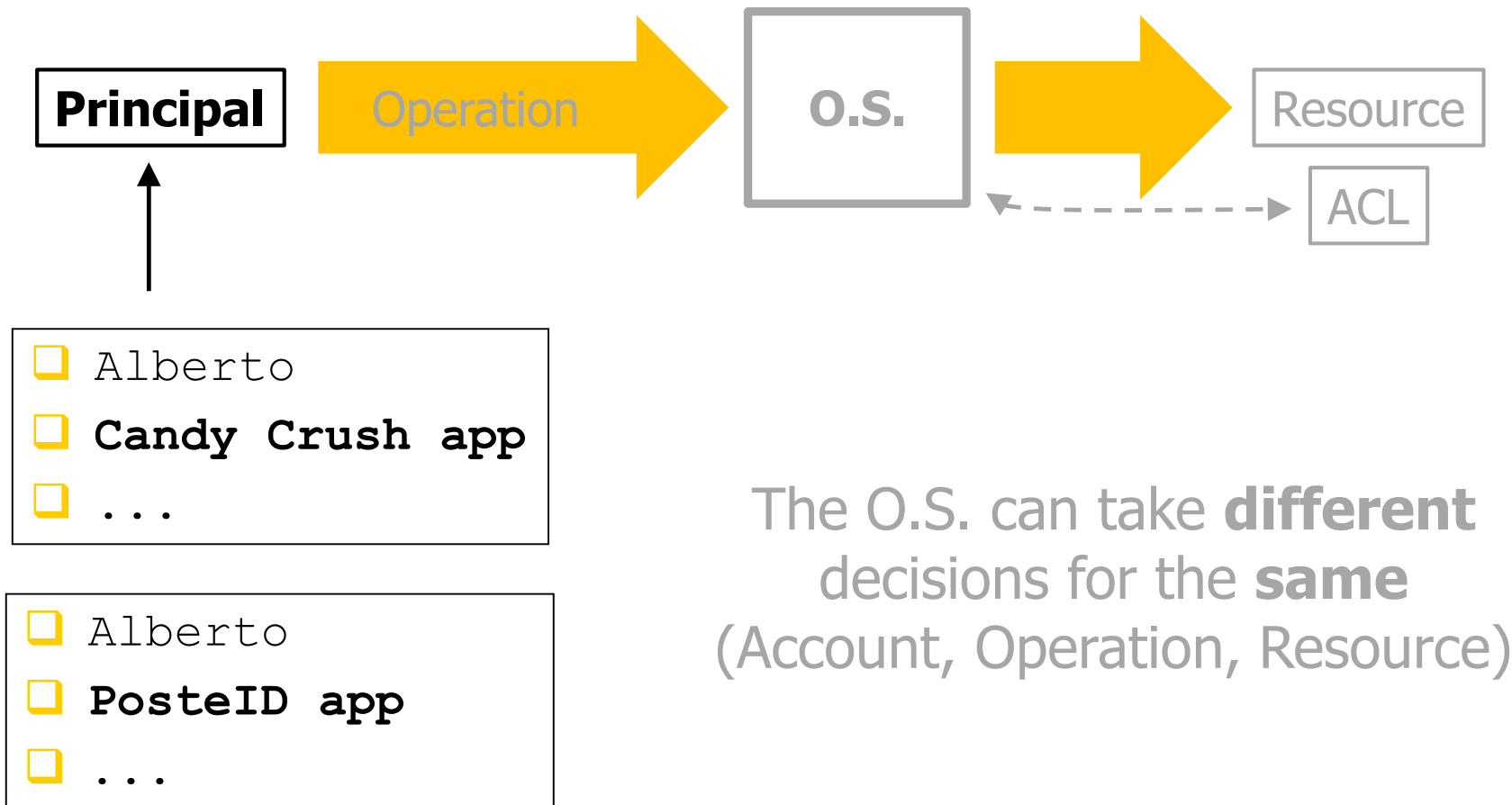


- Account
- Which executable
- How it was authenticated
- Local / Network
- ...

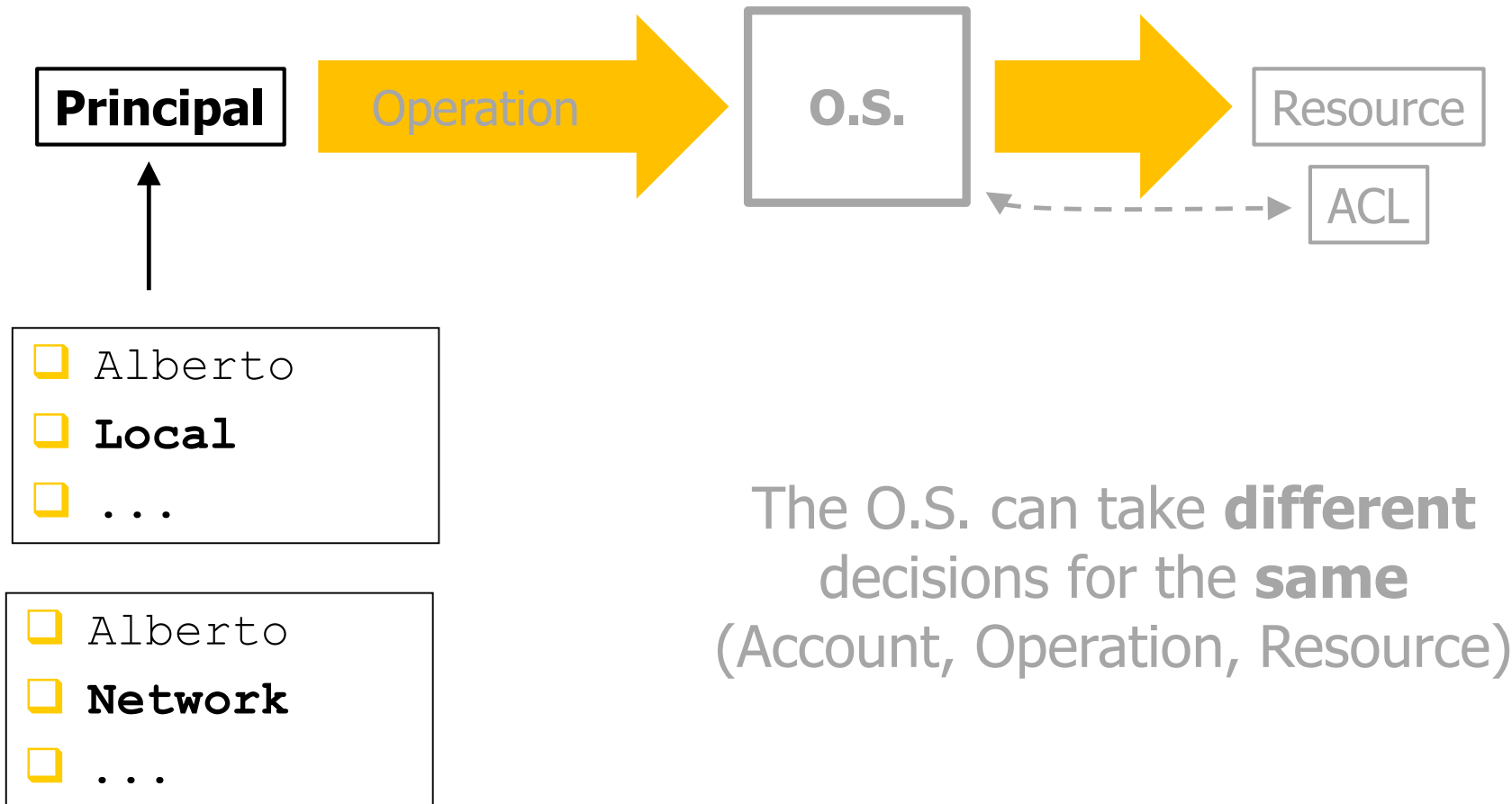
The O.S. can take **different** decisions for the **same** (Account, Operation, Resource)

*We will **not** discuss the details of these extensions*

# Example (outline) (I)



# Example (outline) (II)

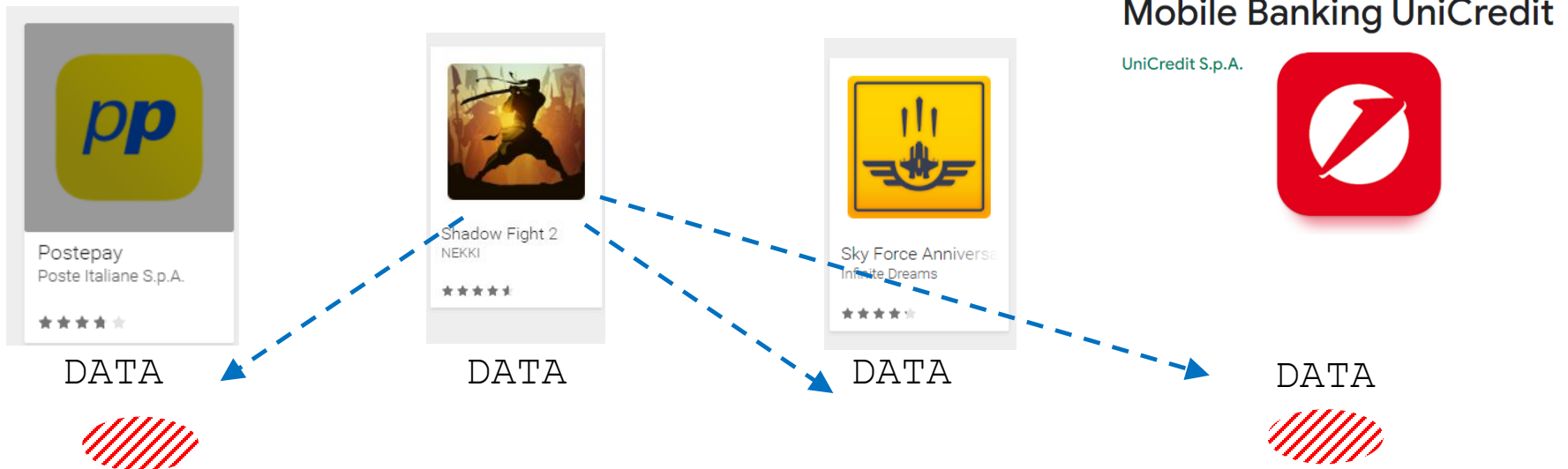


# **Smartphone Access Control (in a nutshell)**



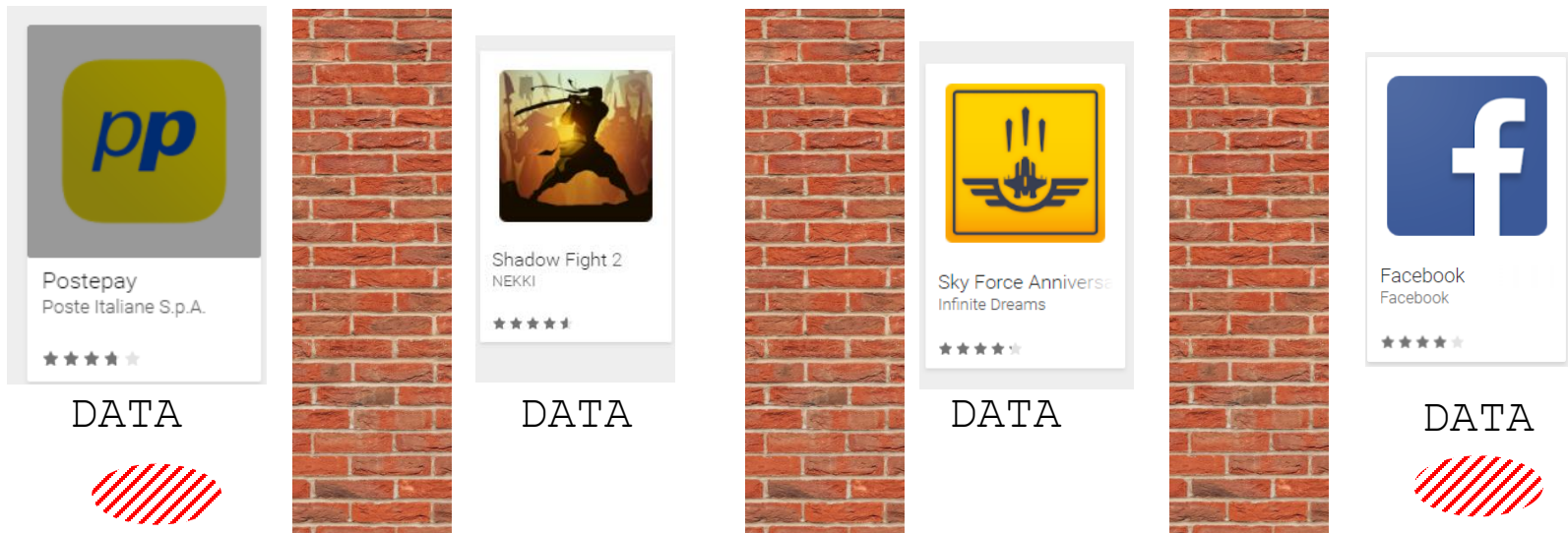
# ACL based ONLY on Accounts

- ❑ All **Processes** of the same account can execute the **same** operations ("have the same **access rights**")
- ❑ **Any app** of an o.s. account could access **all data of any other app** of that o.s. account
- ❑ No, no, no, ...



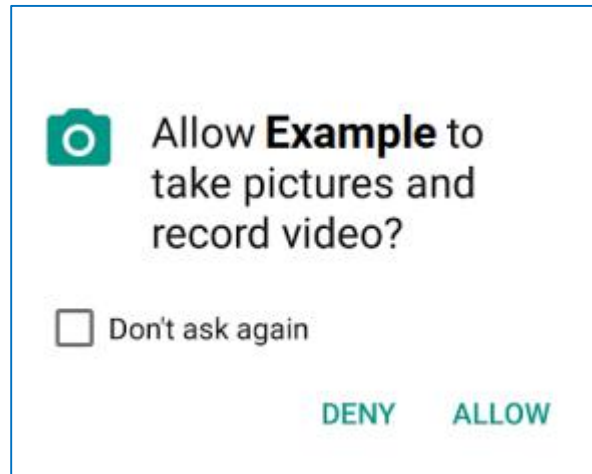
# ACL in Smartphone O.S. (I)

- ❑ Each installed app has an app-identifier
- ❑ Principal = [Account, app-identifier]
- ❑ Data of an app can be **isolated** from other apps of **the same** o.s. account




# ACL in Smartphone O.S. (II)

- Access Rights of an app on "critical" resources are granted **by the Human Operator** when **installing** the app



- Resource = Camera
- Resource.ACL = (Account, ExampleApp), (Operation1, Operation2, ...)

# ACL Examples: Linux, Windows





# Resource $\leftrightarrow$ ACL (REMINDE)



- ❑ Resource.owner decides who can do what on the Resource
- ❑ Every Resource has an ACL (**Access Control List**):
  - ❑ For each Account,  
Operations that it can execute on the Resource
- ❑ Resource.Owner controls Resource.ACL
  - ❑ R.Owner can execute Operations that modify R.ACL

# ACL Linux (in a nutshell)



# Operations vs Access Rights

- ❑ Possible **Operations**:

- ❑ Depend on the resource **type**

- ❑ Possible **Access Rights**:

- ❑ Read, Write, Execute
  - ❑ The **same** for each resource type

- ❑ Each operation requires **one or more** access rights

- ❑ Executing a file: R, X
  - ❑ Modifying the content of a directory: W, X
  - ❑ Set a directory as current directory: X
  - ❑ ...
  - ❑ Mapping is relatively intuitive

# Linux ACL

❑ Every Resource has an ACL (**Access Control List**):

❑ For each Account,  
Operations that it can execute on the Resource

❑ The set of all accounts is **partitioned**:

1. Resource.Owner
2. Accounts in Resource.Owner.Group
3. All the other accounts

❑ Each partition has **the same** access rights  
(thus can execute the same set of operations)

❑ Resource.Owner decides which ones

	R	W	X
Owner	x	x	x
Group	x		x
Other	x		

rwx r-x r--

# chmod



- ❑ Modify the ACL of a resource
- ❑ Can be executed only by Resource.Owner ("user") and by root
- ❑ `chmod u=rw, g=rw, o=r file`
- ❑ `chmod go-r file`
- ❑ `chmod o+w file`

# ACL Windows (in a nutshell)



# Windows Security Architecture



**EXTREMELY COMPLEX**

# Operations vs Access Rights

- ❑ Possible **Operations**:

- ❑ Depend on the resource **type** (**≈70-80**)

- ❑ Possible **Access Rights**:

- ❑ Type-independent set (Delete, WriteOwner, ...)
  - ❑ **Type-dependent** set

- ❑ Each operation requires one or more access rights

- ❑ Mapping is extremely complex

- ❑ "Impossible to remember":

- ❑ Types
  - ❑ Operations, Access rights
  - ❑ Operations→Access rights



# Accounts, Groups (I)

- ☐ The set of all accounts is **partitioned**.
  1. Resource.Owner
  2. Accounts in Resource.Owner.Group
  3. All the other accounts
- ☐ **Many predefined** groups
- ☐ Each account belongs to **many** groups

# Accounts, Groups (II)

```
PS C:\Users\alberto> whoami /groups

GROUP INFORMATION
-----

Group Name
=====
Mandatory Label\Medium Mandatory Level
Everyone
NT AUTHORITY\Local account and member of Administrators group
DESKTOP-H4GP16B\docker-users
BUILTIN\Administrators
BUILTIN\Users
NT AUTHORITY\INTERACTIVE
CONSOLE LOGON
NT AUTHORITY\Authenticated Users
NT AUTHORITY\This Organization
MicrosoftAccount\bartoli.alberto@gmail.com
NT AUTHORITY\Local account
LOCAL
NT AUTHORITY\Cloud Account Authentication
```

# Windows ACL



- ❑ Every Resource has an ACL (**Access Control List**)
- ❑ Sequence of ACE (Access Control **Entries**)
- ❑ Each ACE:
  - ❑ **Grant** access rights to account or **group**

# Show file ACL from shell

## ❑ Linux

❑ `ls -l filename`

## ❑ Windows

❑ `icacls filename`

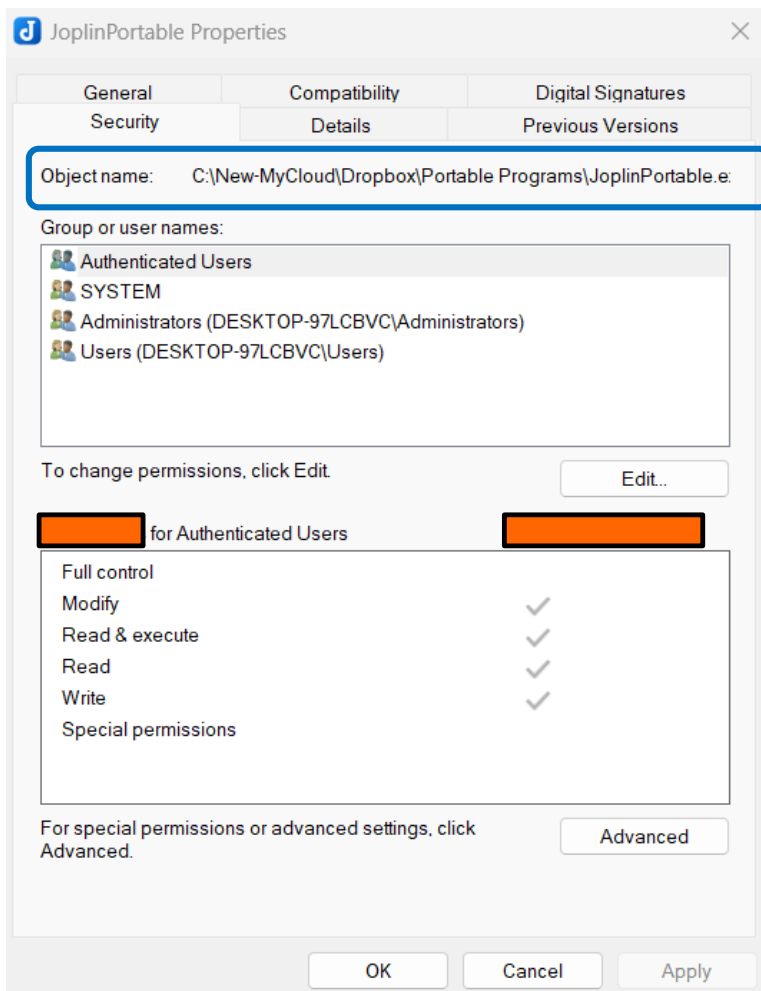
```
C:\New-MyCloud\Dropbox\Portable Programs>icacls JoplinPortable.exe
JoplinPortable.exe BUILTIN\Administrators:(I)(F)
                   NT AUTHORITY\SYSTEM:(I)(F)
                   BUILTIN\Users:(I)(RX)
                   NT AUTHORITY\Authenticated Users:(I)(M)
```

→  
*ACEs*

❑ Ask ChatGPT to explain output  
(please see next slides first)

❑ Can be used also for **modifying** the ACL

# Show file ACL from GUI



*users/groups that appear in ACEs*

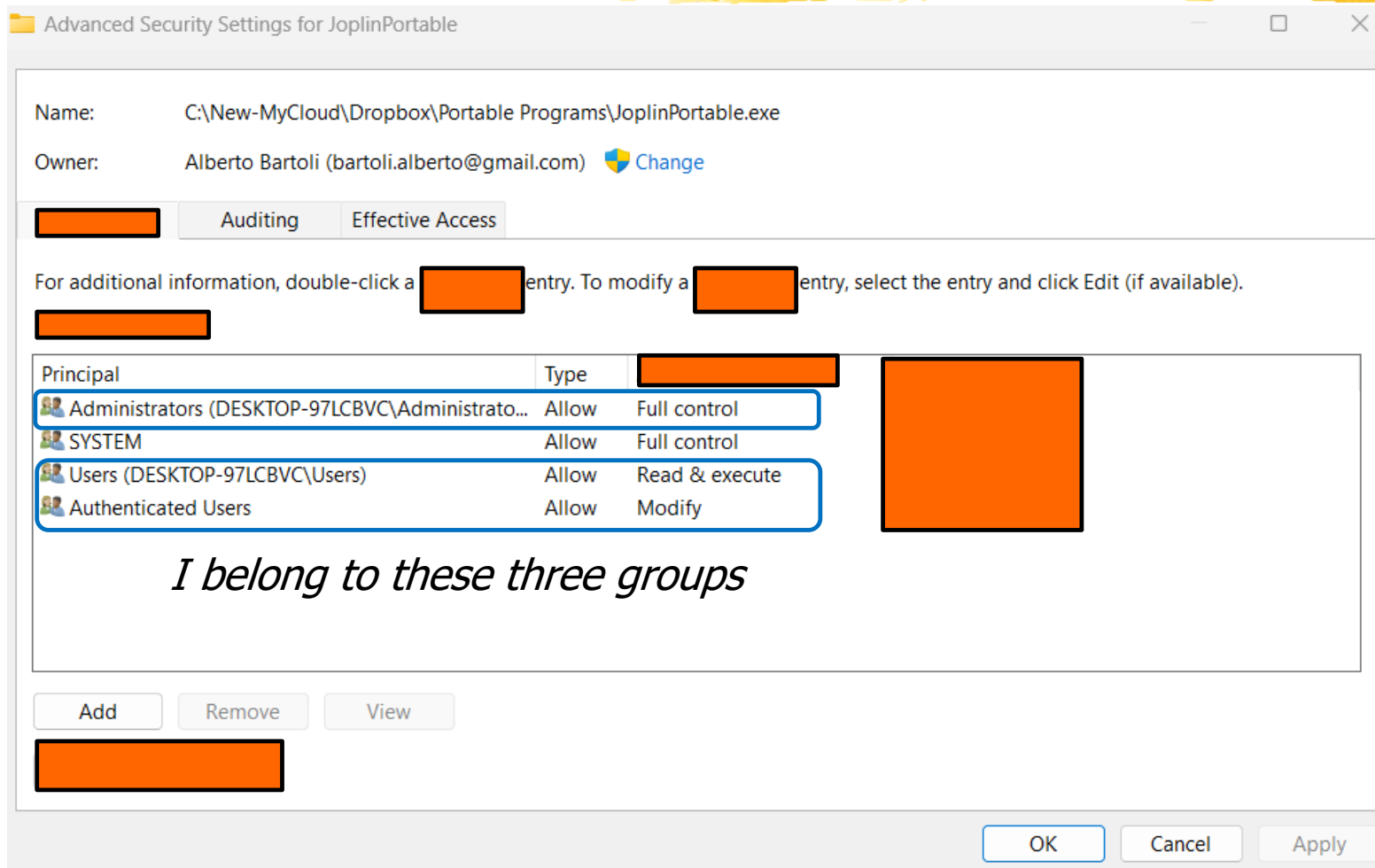
*Summary of what the selected user/group can do on this object*

# Windows ACL: Complication 1



- ❑ Every Resource has an ACL (**Access Control List**)
- ❑ Sequence of ACE (**Access Control Entries**)
- ❑ Each ACE:
  - ❑ **Grant** access rights to account or group
- ❑ An account may belong to **multiple** groups
- ❑ A **group** may belong to **multiple** groups
- ❑ Access rights of an account "**accumulate**" over **multiple** ACEs

# Example



# Windows ACL: Complication 2



- ❑ Every Resource has an ACL (**Access Control List**)
- ❑ Sequence of ACE (Access Control **Entries**)
- ❑ Each ACE:
  - ❑ Grants access rights to account or group
  - ❑ **Deny** access rights to account or group
- ❑ Access rights of an account "accumulate" over **multiple** ACEs
- ❑ **Complex** rules for composing sequences of ACEs
  - ❑ What if an ACE grants and another ACE denies?

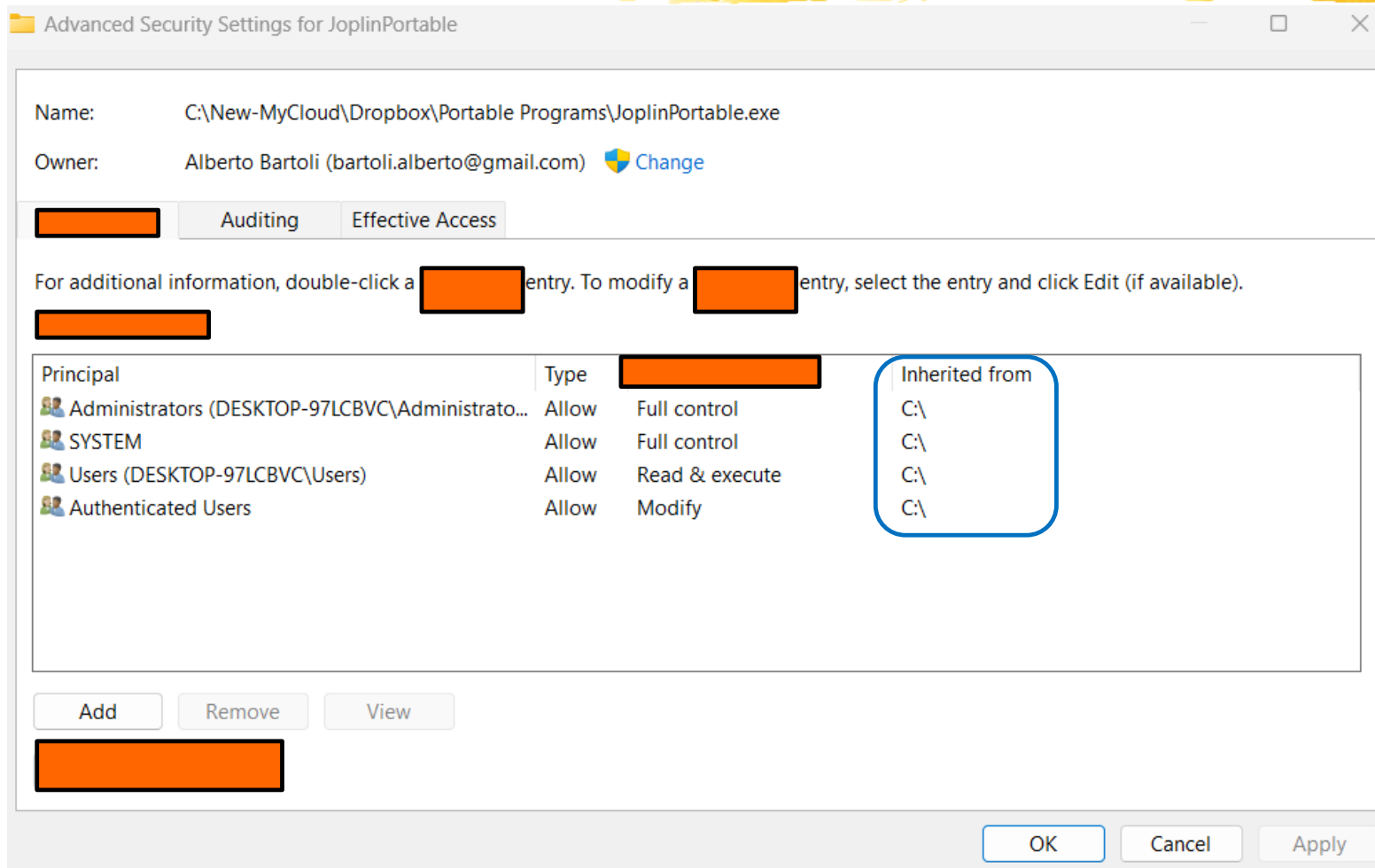


# Windows ACL: Complication 3



- ❑ A resource may be **contained** in another resource
  - ❑ A file is contained in a directory
  - ❑ A registry key is contained in its parent registry key
- ❑ An ACE may be **inherited** by all the contained resources
- ❑ Access rights of an account "accumulate" over **multiple** ACEs possibly **inherited** from **other** resources
- ❑ Complex rules for composing sequences of ACEs

# Example

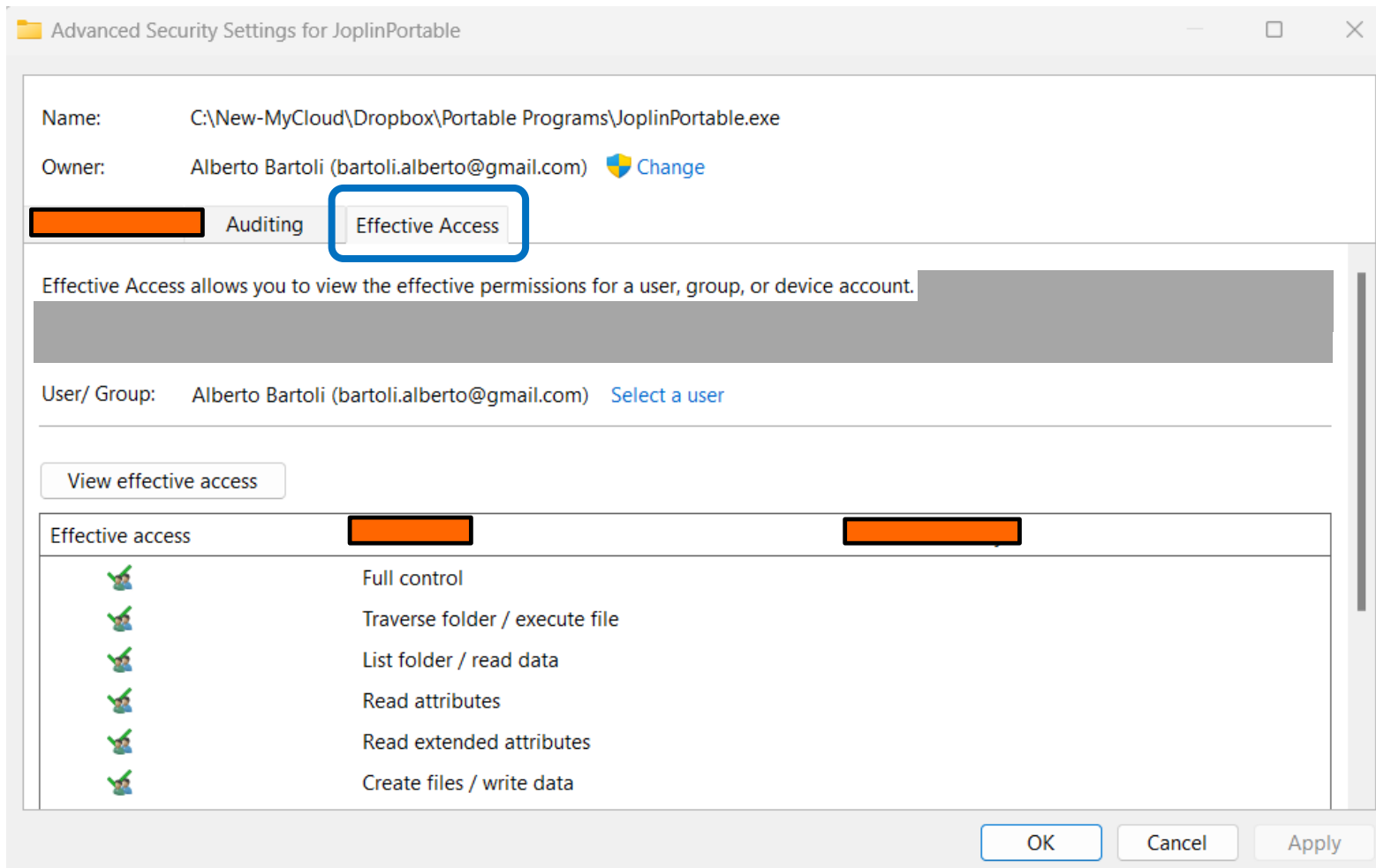


# Key fact



- ❑ Understanding who can do what on each resource is **extremely complex**
- ❑ The **actual** security policy resulting from ACLs may **not** be the **intended** one
- ❑ "Someone can do something they should **not** be able to do"

# Imagine to do that **FOR EACH** account/resource...


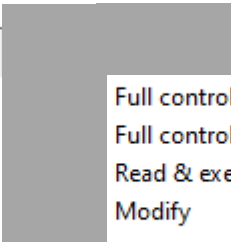





# Nightmare Terminology

*Shouldn't it be an **Access Control** entry?*



↓

Permission entries:		
Principal		
 Administrators (DESKTOP-H4GP16B\Administ...		Full control
 SYSTEM		Full control
 Users (DESKTOP-H4GP16B\Users)		Read & execute
 Authenticated Users		Modify

*more doubts omitted*

# **Access Control: Application Servers**



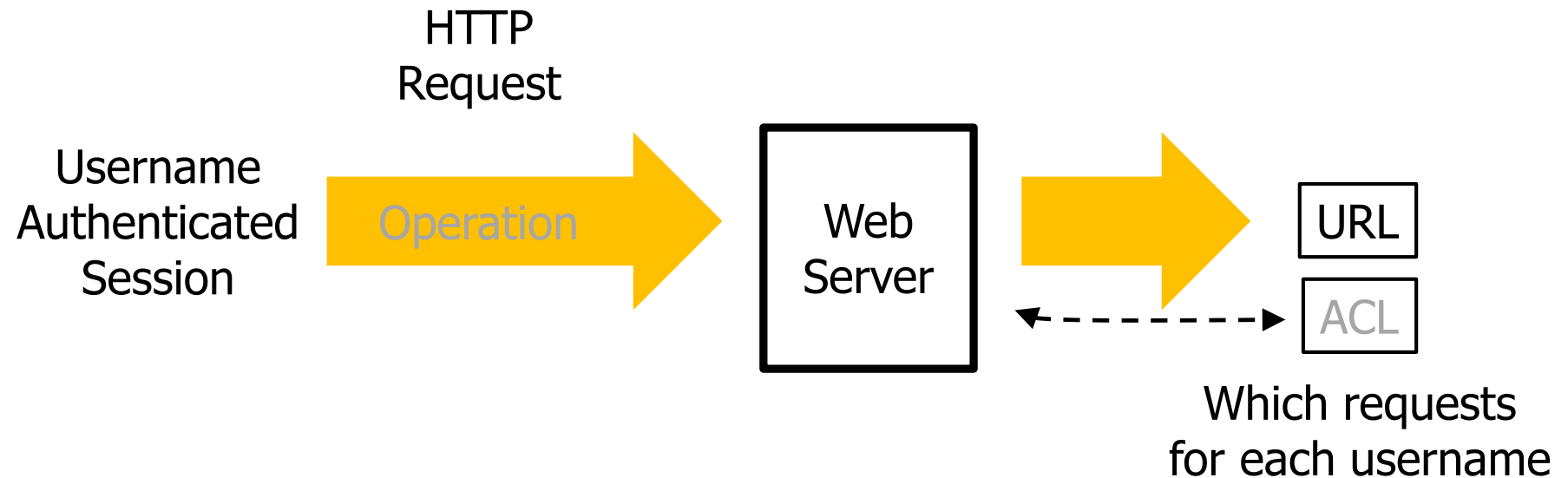
# Application Resources?

- ❑ **Mail server** manages **mailboxes**
- ❑ Mailbox operations are **not** defined in the o.s.
- ❑ Access decisions must be taken **by the mail server** (**not** the o.s.)
  
- ❑ **Web server** manages **URLs**
- ❑ URL operations are **not** defined in the o.s.
- ❑ Access decisions must be taken **by the web server** (**not** the o.s.)

How does access control work for servers?



# Access Control – Web Server



Access control must be implemented  
in the **application**

**Programmed** and/or Configured



# Example (I)

```
<?xml version='1.0' encoding='utf-8'?>
<tomcat-users>
  <role rolename="tomcat"/>
  <role rolename="role1"/>
  <user username="tomcat" password="tomcat" roles="tomcat"/>
  <user username="both" password="tomcat" roles="tomcat,role1"/>
  <user username="role1" password="tomcat" roles="role1"/>
</tomcat-users>
```

- ❑ Tomcat web server
- ❑ Identities and groups (roles)
- ❑ Nothing to do with those of the local o.s.

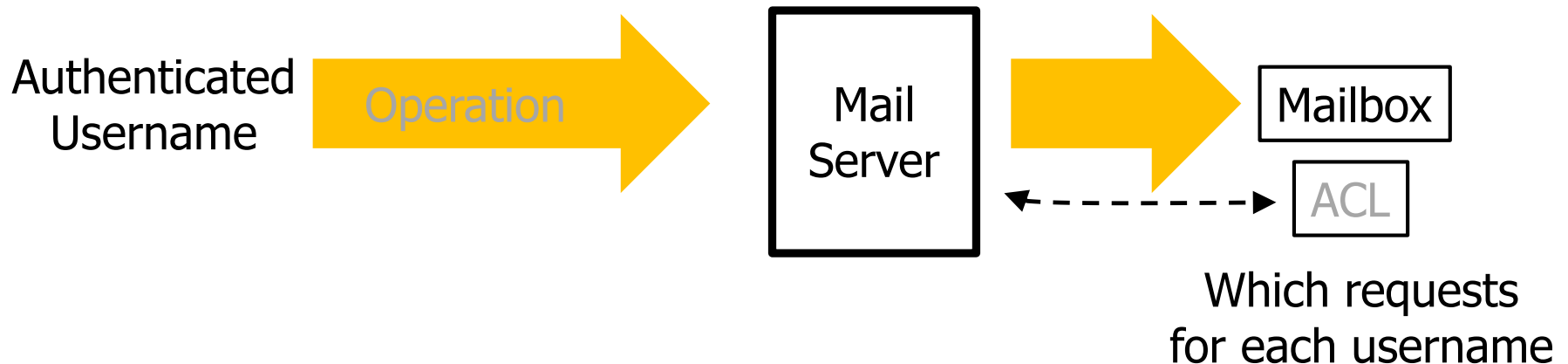
# Example (II)

```
<security-constraint>
  <web-resource-collection>
    <url-pattern>/dir2/*</url-pattern>
  </web-resource-collection>
  <auth-constraint>
    <role-name>tomcat</role-name>
  </auth-constraint>
</security-constraint>
```

- ❑ Tomcat web server
- ❑ Resources
- ❑ Nothing to do with those of the local o.s.

# Access Control – Mail Server

SMTP / POP / IMAP  
Request



Access control must be implemented  
in the **application**  
**Programmed** and/or Configured

# Access Control: O.S. vs Applications



- ❑ Operating system
  - ❑ Resources and Identities
  - ❑ **Mediates every resource access**
- ❑ Application server
  - ❑ Resources and Identities
  - ❑ **Mediates every resource access**
- ❑ **Independent of each other**
- ❑ Identities / Resources of the application server may have **nothing** to do with Identities / Resources of the o.s.