### **Security Policy**

### Important question (I)

- PC
- Dropbox app
- Chrome browser

- Can the Dropbox app read:
  - ...authentication cookies?
  - ...passwords stored in the browser?
  - ...encryption keys in the browser memory?



### Important question (II)

- Macro in Excel, downloaded as an email attachment
- Chrome browser

- Can the Excel Macro app read:
  - ...authentication cookies?
  - ...passwords stored in the browser?
  - ...encryption keys in the browser memory?



### Important question (III)

- Smartphone
- Gaming app
- Banking app
- Can the Gaming app read:
  - ...authentication token of Banking app?



### **Security Policy**

- Set of rules that determine "who can do what"
- Every system has one, explicit or implicit
  - Usually implicit
- We need to understand how these rules are structured in practice

### Important question (IV)

- PC
- User U executes GUI / Shell
- How can you make sure that the GUI / Shell can only execute operations allowed to U?



### Important question (V)

- PC
- User U executes some program P
- How can you make sure that P cannot modify the internal code/data of the o.s.?



### Important question (VI)

- Web server
- User U logged on a webapp (e.g., Banking)
- How can you make sure that U can only access "his/her" data?



### **Access Control (I)**

- We need to understand how these rules are structured in practice
- And how they are enforced

### **Access Control (II)**

- ☐ We need to understand how these rules are **structured** in practice
- And how they are enforced
- Fundamental problem at every abstraction level
- Application
  - □ Client→ Server resources (web documents, mailboxes, …)
- Operating system
  - □ Process → O.S. resources (files, network, ...)
- Hardware
  - $\square$  CPU  $\rightarrow$  Memory addresses

### Roadmap

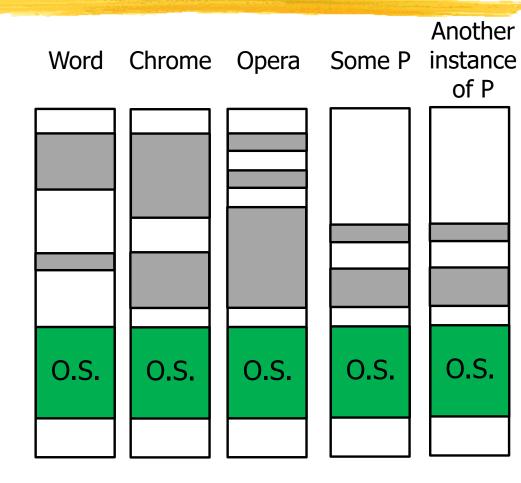
- 1. Access control in operating systems
- How enforced
- 3. How **described**, in an **idealized** way
- 4. How **described**, in a **more realistic** way
- 5. Fundamental lessons
- 6. Access control "in general"
- Very simplified (many details omitted)

### **Access Control: 0.S.**

### Process Address Space (I)

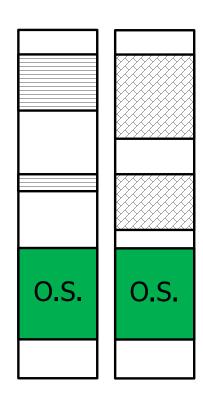
"The executed program" (user-level code)

- Operating System(system-level code)
- Loaded at bootstrap



### **Process Address Space (II)**

- Every process has its own address space
- Address spaces are isolated from each other
  - CPU executes process P and issues addr-x
  - CPU executes process Q and issues addr-x
  - The referenced cell is **different** (it might contain the same value)
- Isolation implemented by hardware + O.S.
  - The O.S. places itself at the same address, in every address space



## Virtual Memory vs Physical Memory

- □ CPU executes process P and issues addr-x
- ☐ CPU executes process Q and issues addr-x
  - Virtual memory
- ☐ The referenced cell is **different** (it might contain the same value)
  - Physical memory
- Isolation implemented by hardware + O.S.
  - CPU emits (process-id, v-address)
  - Hardware with o.s. data maps to (p-address)
- Process address space: virtual memory
- Machine address space: physical memory

## Address Space Size: Virtual vs Physical

- Virtual address space size
  - Memory of **each** process: 2^64 addresses
    - $\Rightarrow$  2^44 \* 2^20
    - $\Rightarrow$  2^44 G
    - $\Rightarrow$  2^32 \* 2^12 G
    - ⇒ 4 \* 10^9 \* 1024 G
- Physical address space size
  - □ How much memory does your PC have? Maybe 16 GB?

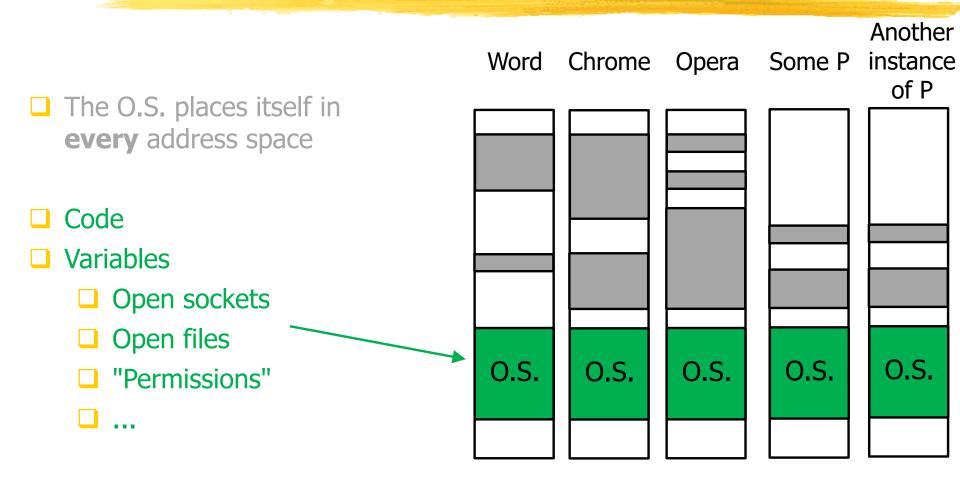
A lot of virtual mem. mapped to much smaller physical mem.



# (Virtual) Address Space Allocation

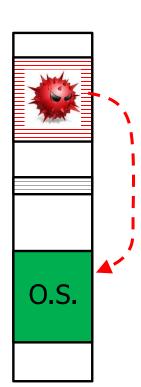
- Every address space has parts that are **unallocated**-(≈ not usable) CPU attempts to access an unallocated address  $\Rightarrow$ Hardware error ((process-id, v-address) → memory fault) 2. O.S. procedure called automatically (memory fault handler)
- I am neglecting swapping on secondary storage for simplicity...

### **Operating System**



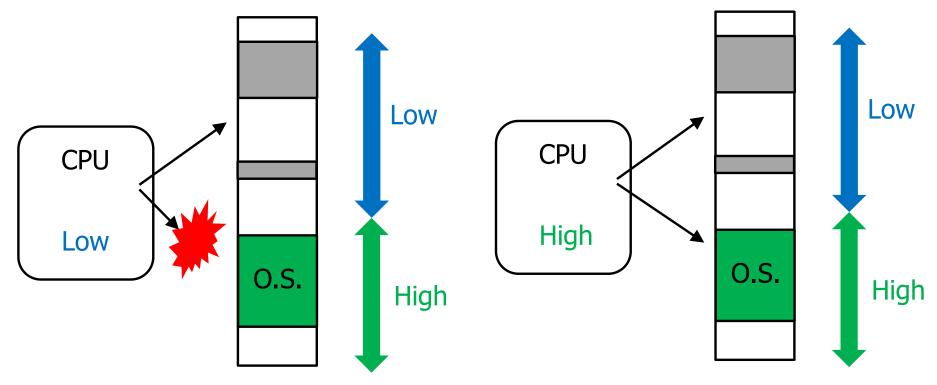
#### Hhmmm...

- □ A malicious process could attempt to:
  - Read o.s. variables
  - Write o.s. variables
  - Jump to arbitrary o.s. addresses
  - Read sensitive information (crypto keys / passwords / ...)
  - Modify "access rights" (access files that should not be accessed)
  - Skip permission checks



# **CPU Privilege Level: Memory Access Rights**

- Every CPU has (at least) two privilege levels: High and Low
  - □High
- $\Rightarrow$  CPU can access **every** address
- Low
- ⇒ CPU can access only **some** addresses



28/08/2025

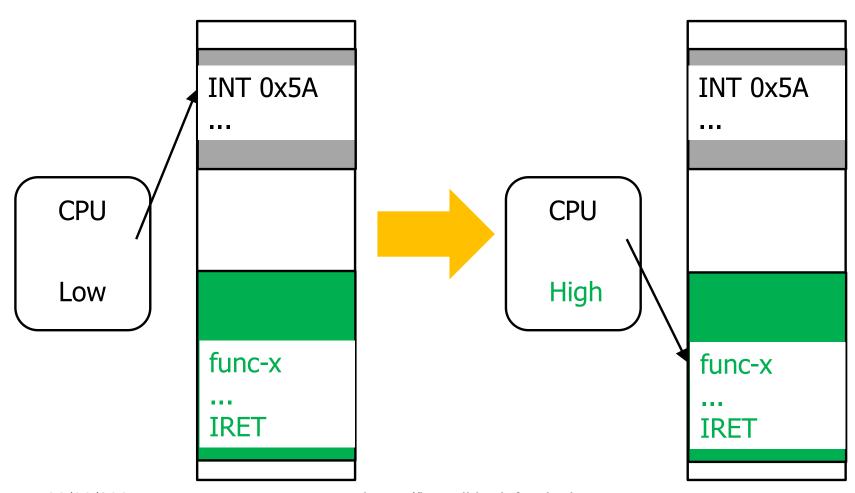
https://bartoli.inginf.units.it

## **CPU Privilege Level: Privilege Switch**

- Privilege level switch occurs in hardware
- □Low → High
  - ☐ INT operand Calls a function in the o.s.
  - ■Mapping operand values → functions predetermined by the o.s.
- □High → Low
  - □ IRET

Return to caller user code

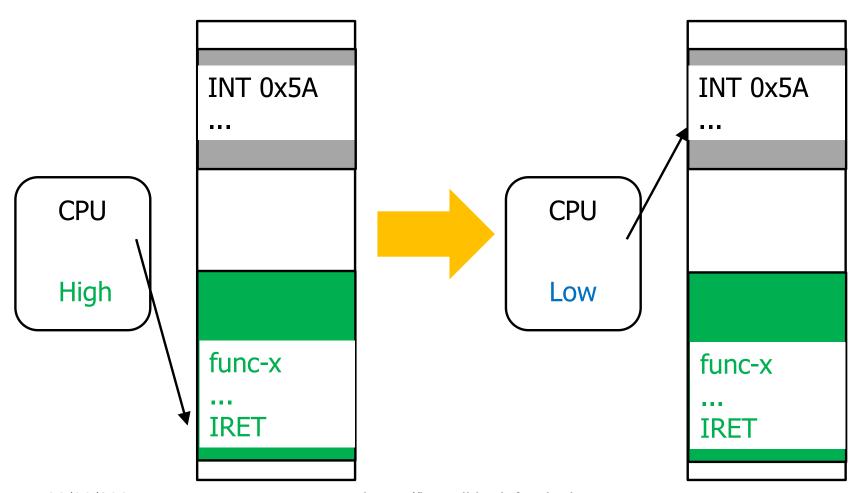
### **System Call Invocation**



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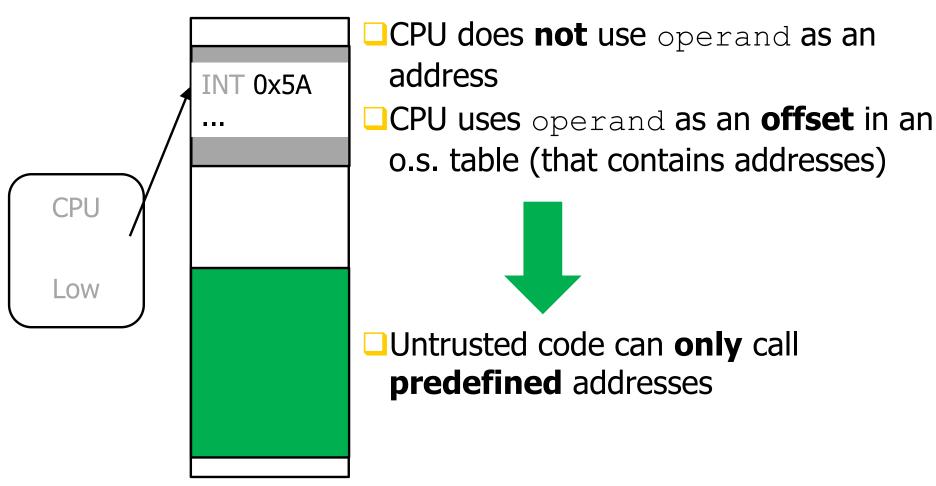
### **System Call Return**



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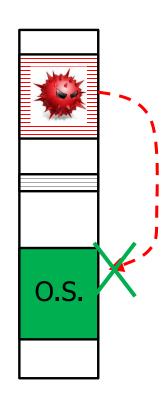
#### Remark



28/08/2025

### **O.S.** Integrity

- □ A malicious process could attempt to:
  - Read o.s. variables
  - Write o.s. variables
  - ☐ Jump to arbitrary o.s. addresses
- Not possible:
  - Read / Write o.s. variables (it executes with Low privilege)
  - Jump to arbitrary o.s. addresses (it can only call predefined addresses)



### Keep in mind

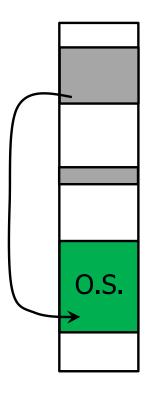
- User-level program executes with Low privilege
- O.S. executes with High privilege



- User-level program:
  - Cannot access O.S. data
  - Can enter O.S. only at predefined points (by invoking a system call)

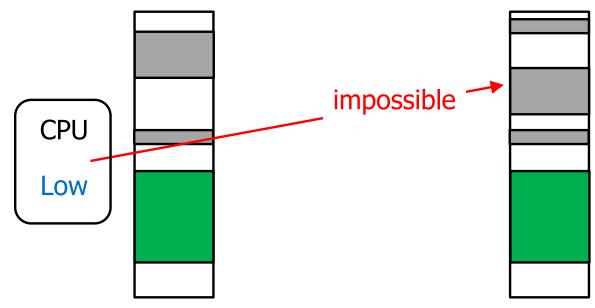
#### Resource Access

- Every resource is implemented by the o.s.
  - File
  - Socket
  - Screen
- Every operation on a resource occurs by invoking a system call
- The o.s. decides whether to grant or deny the operation
  - We will see based on which criteria



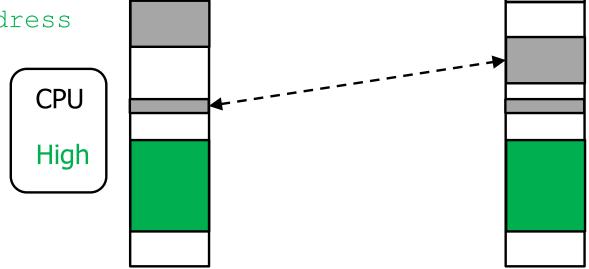
### Isolation (I)

- A process cannot access the memoryof another process directly
  - (P,v-address) and (Q, v-address) always map to different physical memory regions
  - ...except for v-address of the o.s.

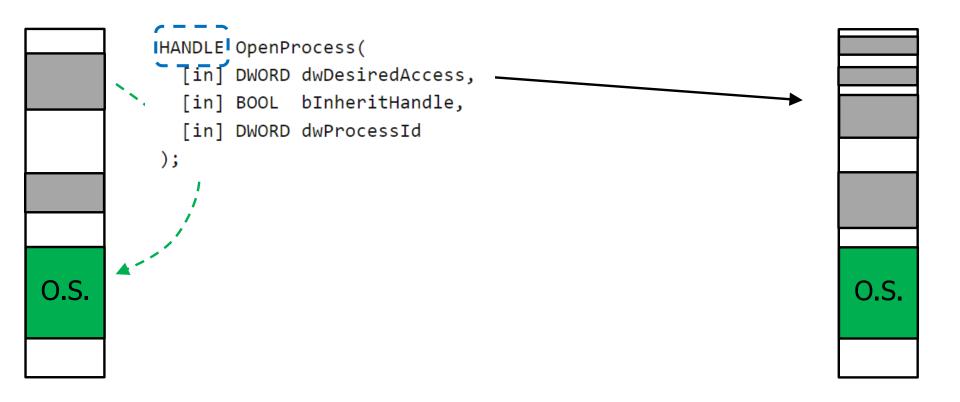


### Isolation (II)

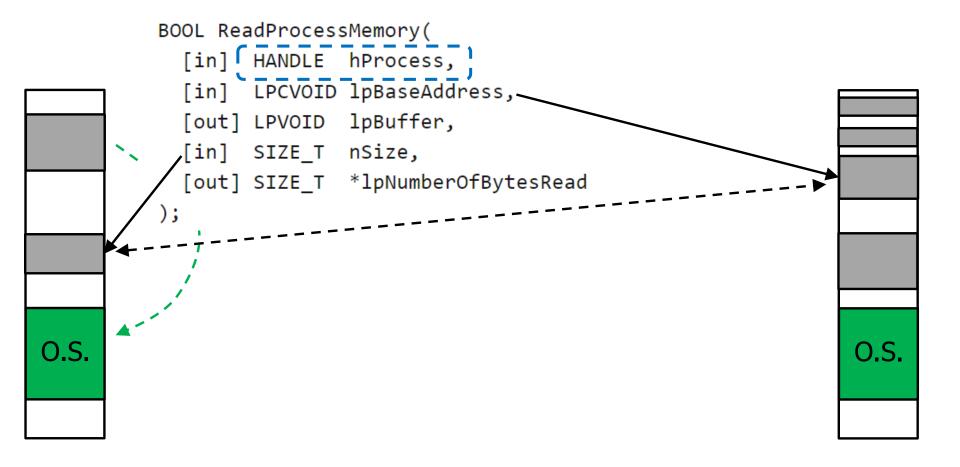
- A process can invoke a system call for reading/writing the memory of another process
- Typical input parameters
  - other-proc-id
  - other-proc-address
  - how-many
  - this-proc-address



### Windows (Basic idea) (I)



### Windows (Basic idea) (II)



## Accounts, Processes, Resources

### Account ("User")

- ■Account: Every identity in the system
  - **□Username** (string)
  - Credentials for the initial authentication
  - ☐ Internal identifier used by the o.s.

- Accounts are often called "Users"
- ...which may be misleading: certain accounts are **not** meant to be owned by a human operator

#### **Process** ↔ **Account**

- ■Every **Process** is owned by an **Account** 
  - □A field in the process descriptor within the o.s.

☐ Basic ideas (more details later)

□Bootstrap: Root/System account

Server Process: Account specified in o.s. configuration

□GUI / Shell Process: Account that has provided credentials

#### Resource

- **Resource**: Every "object" in the system
  - File
  - ■Socket
  - □I/O device
  - <u>\_\_...</u>
- ■Every resource access occurs through a System Call
  - Process invokes a system call
  - Parameters specify which operation on which resource

## Access Control Model (preliminary)

**Every** access to **resources** is mediated (**guarded**) by the O.S.



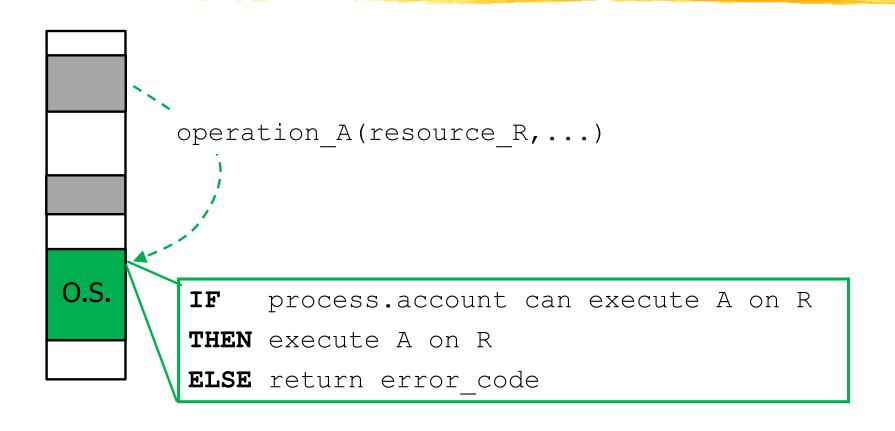
#### **Access Control Model**



**Every** access to **resources** is mediated (**guarded**) by the O.S.

- Think in terms of this model
- Not of how it is implemented
  - Process invokes System call
  - Low / High CPU privilege

## Implementation (basic idea)



#### Hhmmm...

**Every** access to **resources** is mediated (**guarded**) by the O.S.



How does the o.s. **decide** whether to grant or deny?



## Models vs Implementations (I)

- MANY models
  - Usually with some overlap
  - Different expressiveness
  - Different operational scenarios
    - One machine
    - Many machines in a single organization
    - Many machines in many organizations
    - Web apps
    - Web apps with delegated authentication / authorization
    - Cloud services

## Models vs Implementations (II)

■ MANY models

- Every implementation is a hybrid of several models
- Most implementations are very complex

#### Our approach

- Focus on one machine
- Most common model
- Implementation outline: Linux, Windows
- Later:
  - Many machines in a single organization
  - Many machines in many organizations
  - Web apps
  - → Web apps with delegated authentication / authorization
  - Cloud services

#### **Resource** ↔ **Account**

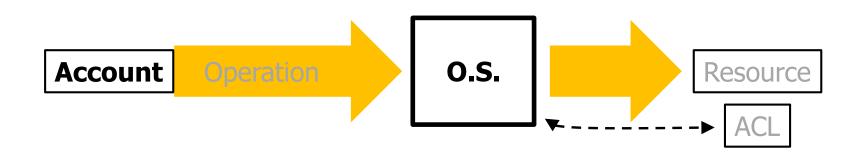
- Every Resource is owned by an Account
  - Usually it is the Account that created the Resource
- Resource.owner decides who can do what on the Resource

#### **Resource** ↔ **ACL**



- Resource.owner decides who can do what on the Resource
- Every Resource has an ACL (Access Control List):
  - For each Account,Operations that it can execute on the Resource
- Resource.Owner controls Resource.ACL
  - R.Owner can execute Operations that modify R.ACL

## Preliminary model: Keep in mind



- Decisions taken only based on the requesting Account
- All Processes of the same account can execute the same operations ("have the same access rights")

#### **Account Groups**

- ☐ Every Resource has an ACL (Access Control List):
  - ☐ For each Account,
    Operations that it can execute on the Resource
- Describing it separately for each Account may be too complex



- Accounts may be grouped
- ACL may be specified in terms of groups

#### Resource Groups

- ☐ Every Resource has an ACL (Access Control List):
  - For each Account / Group,
     Operations that it can execute on the Resource
- Describing it separately for each Resource may be too complex



- Resources may be grouped
- ACL may be associated with resource groups

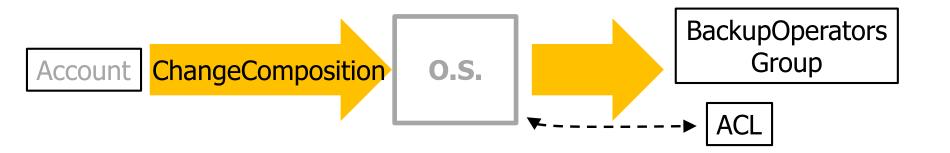
#### Hhmmm...

Process P owned by account U

- Could it add U to account group BackupOperators?
- Could it add resource R to a resource group that U can access?



# **Controlling Group Composition**



- Account/Resource groups are resources
- ...they have their own ACL
- ...that must be structured "correctly"

#### Hhmmm...

- ☐ Every Resource has an ACL (Access Control List):
  - For each Account/Group,Operations that it can execute on the Resource
- Certain accounts must be able to execute certain operations on certain resources
  - ☐ Example: accounts in charge of executing backups must be able to read everything on a filesystem
  - ☐ Example: accounts in charge of managing the system must be able to terminate any running process
- Do we need to insert a suitable ACL in every resource?
- □ What if some resource. Owner does not agree?

#### **Privileges**

- ☐ Every Resource has an ACL (Access Control List):
  - ☐ For each Account/Group,
    Operations that it can execute on the Resource
- The o.s. defines a set of privileges
- Each privilege allows executing a predefined set of operations on every resource
- ...irrespective of resource.ACL
- An account may have one or more privileges

#### Hhmmm...

Process P associated with account U

□ Could it add **privileges** to U?



#### "High Privilege" Account

- Each o.s. has one or more predefined accounts with "high privilege"
  - □ Linux root
  - Windows members of Administrators group
  - Windows SYSTEM (not associated with any user)

- Set of privileges that allows executing every operation on every resource
- ...irrespective of Resource.ACL

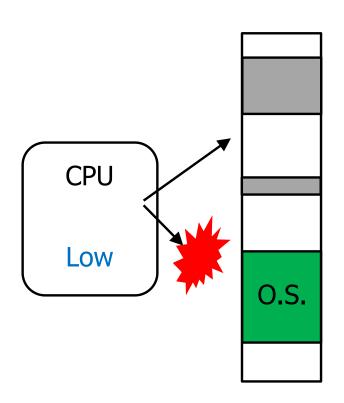
## High Privilege Account: What it means

- ☐ They can execute **every** operation on **every** resource
- □ ≈ Every system call invocation by a process of a High Privilege account will succeed

- Examples:
  - "Read memory page M of process P in my buffer B"
  - "Write my buffer B in memory page M of process P"
  - "Delete file F"

## High Privilege Account: What it does NOT mean

Can access every memory address



☐ It is an **o.s.** concept: not an **hardware** concept

## Think about this (I)

- Process P owned with account U
- P creates resource R
  - □ File
  - Network connection
  - Child process
  - <u>...</u>

Could it change the owner of R?



### Think about this (II)

Process P associated with account U1

Could it change its account to U2?



### Think about this (III)

Process P1 associated with account U

Could it read/write the memory of a different process P2?



## **Understanding Process ↔ Account**

# Process ↔ Account (REMIND)

- ■Every **Process** is associated with an **Account** 
  - □A field in the process descriptor within the o.s.

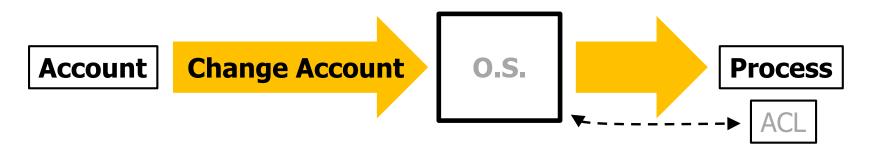
□ Basic ideas (more details later)

□Bootstrap: Root/System account

Server Process: Account specified in o.s. configuration

GUI / Shell Process: Account that has provided credentials

#### **Changing Account**

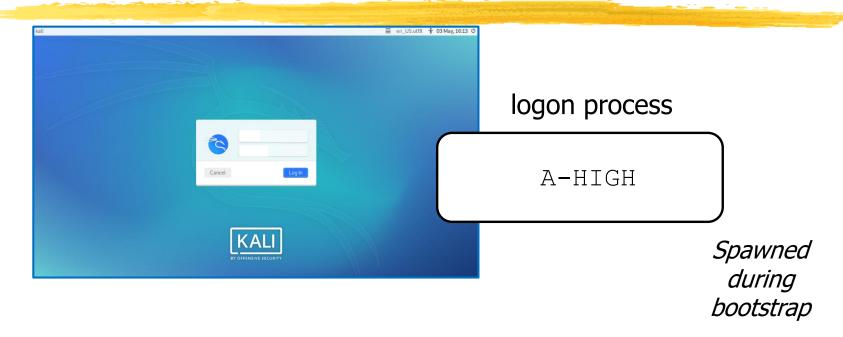


- Allowed only to high privilege accounts
- Linux setuid()
- ☐ Windows ImpersonateLoggedOnUser

#### **Bootstrap**

- Configuration file describes set of (service, account) to spawn
- First process:
  - Associated with an account with high privilege
  - Read configuration and spawns many child processes
  - Child processes can change account at their will (because they start associated with high privilege account)

#### **Interactive Logon (I)**



- 1. Wait for credentials
- 2. ...
- 3. ...

#### **Interactive Logon (II)**



- 1. Wait for credentials
- Validate inserted credentials (account A-SH)
- 3. ...

#### **Interactive Logon (III)**



- 1. Wait for credentials
- 2. Validate credentials (authenticate account A-SH)
- 3. Spawn GUI process that **changes** account to A-SH

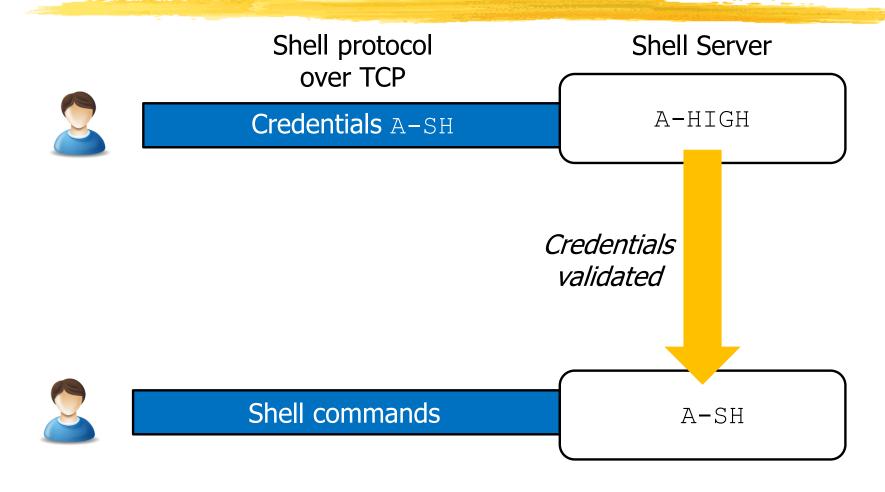
#### Remote Shell (I)

Shell Server

Iisten

A-HIGH

#### Remote Shell (II)



### Shell session (I)

- Shell **process** owned by A-SH
- 1. Spawns a child process P
  - Which is the **owner** account of P?
- 2. P executes file F owned by A-F
  - Which access rights on F must have A-SH?
- P creates file F1
  - Which is the **owner** account of F1?



### Shell session (II)

- ☐ Shell process owned by A-SH
- 1. Spawns a child process P
- 2. P executes file F owned by A-F
- 3. P creates file F1

- "Shell identity propagated everywhere"
- The owner of the executable files is irrelevant

### **Back to the questions**

# Important questions (I-III) (REMIND)

- Process P owned by account U
- ...can it access the memory of another process owned by U?
- ...can it access **files** owned by **U**?



#### **Answer in a nutshell**

- Dropbox app and Chrome browser are
   Processes owned by the same Account
- □ All Processes of the same account can execute the same operations ("have the same access rights")



 Dropbox can read/modify any resource that Chrome can read/modify

#### Remark

- Dropbox can read/modify any resource that Chrome can read/modify
- We are considering resources of the operating system
- The "dropbox account" / "google account" are identities used across the network, on certain remote servers
- They have nothing to do with local accounts

#### Hhmmm...

- Process P owned by account U
- ☐ ...can it access the **memory** of another process owned by **U**?
- ...can it access files owned by U?
- As far as we know so far: Yes
- Do you really want your Candy Crush / Pokémon GO apps to be able to access your banking tokens?
- Do you really want an **email attachment** to be able to wipe all **your files**?

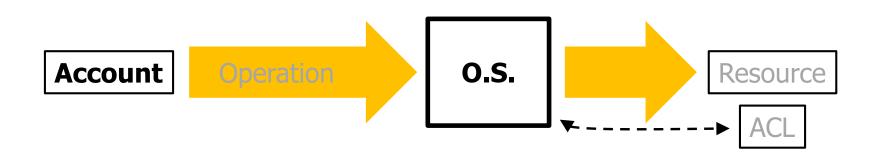
### Further issues (I)

- □ Process P owned by account A-X requests to operate on a certain resource
- P executes:
  - 1. Google Chrome / Mozilla Firefox
  - 2. Excel Macro in an email attachment
  - 3. Application developed by some student
- ☐ The preliminary model decides **only** based on the **account**
- It may make sense to decide based also on the "trust" in the process

### **Further issues (II)**

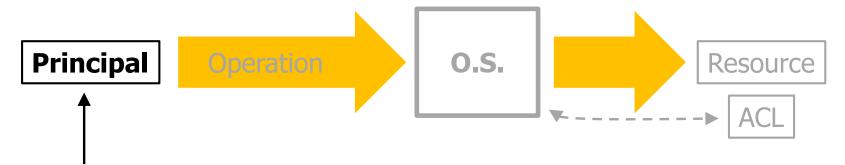
- □ Process P owned by account A-X requests to operate on a certain resource
- P has been created after an authentication that occurred:
  - 1. Locally
  - 2. Over a local network
  - 3. From a remote network location
- ☐ The preliminary model decides **only** based on the **account**
- ☐ It may make sense to decide based **also** on the "**trust**" in the **process**

# The Account alone is NOT enough



- Decisions taken only based on the requesting Account
- □ All **Processes** of the same account can execute the **same** operations ("have the same **access rights**")

#### **Access Control: In practice**

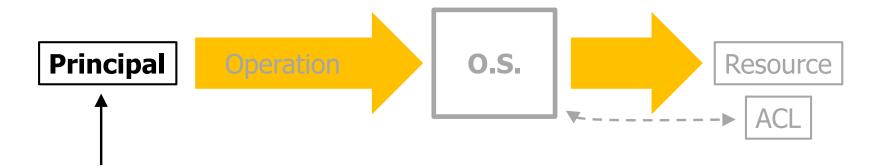


- Account
- Which executable
- How it was authenticated
- Local / Network

The O.S. can take **different** decisions for the **same** (Account, Operation, Resource)

We will **not** discuss the details of these extensions

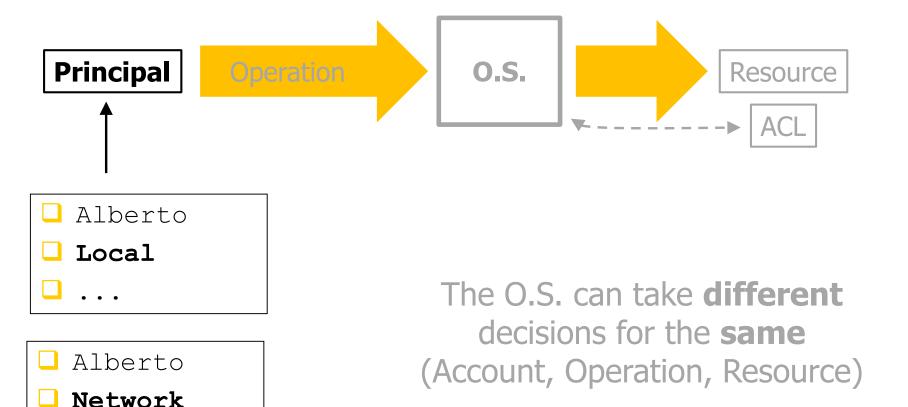
### **Example (outline) (I)**



- Alberto
- Candy Crush app
- Alberto
- PosteID app

The O.S. can take **different** decisions for the **same** (Account, Operation, Resource)

### **Example (outline) (II)**



# Smartphone Access Control (in a nutshell)

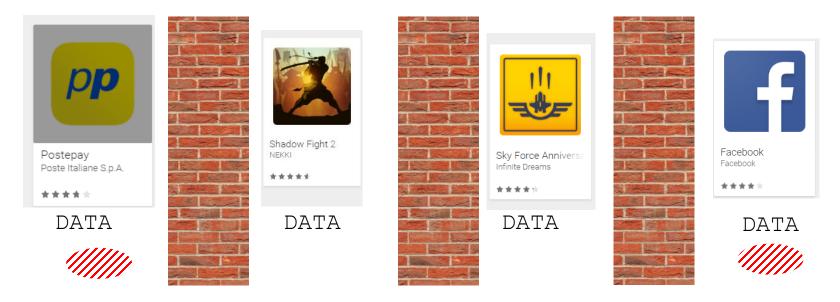
### ACL based ONLY on Accounts

- □ All **Processes** of the same account can execute the **same** operations ("have the same **access rights**")
- Any app of an o.s. account could access all data of any other app of that o.s. account
- □ No, no, no, ...



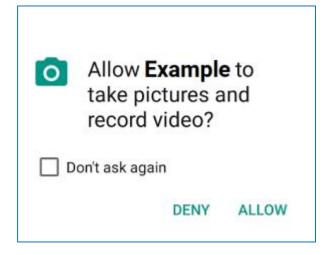
### ACL in Smartphone O.S. (I)

- Each installed app has an app-identifier
- Principal = [Account, app-identifier]
- □ Data of an app can be **isolated** from other apps of **the same** o.s. account



### ACL in Smartphone O.S. (II)

□ Access Rights of an app on "critical" resources are granted by the Human Operator when installing the app



- Resource = Camera
- Resource.ACL = (Account, ExampleApp), (Operation1, Operation2, ...)

# ACL Examples: Linux, Windows

# Resource ↔ ACL (REMIND)

- Resource.owner decides who can do what on the Resource
- ■Every Resource has an ACL (Access Control List):
  - For each Account,
     Operations that it can execute on the Resource
- Resource.Owner controls Resource.ACL
  - R.Owner can execute Operations that modify R.ACL

### **ACL Linux (in a nutshell)**

#### **Operations vs Access Rights**

- Possible Operations:
  - Depend on the resource type
- Possible Access Rights:
  - Read, Write, Execute
  - The same for each resource type
- Each operation requires one or more access rights
  - Executing a file:
    R, X
  - Modifying the content of a directory: W, X
  - Set a directory as current directory:
    X

  - Mapping is relatively intuitive

#### **Linux ACL**

- ☐ Every Resource has an ACL (Access Control List):
  - For each Account,Operations that it can execute on the Resource
- The set of all accounts is partitioned:
  - Resource.Owner
  - 2. Accounts in Resource.Owner.Group
  - 3. All the other accounts
- Each partition has **the same** access rights (thus can execute the same set of operations)
  - Resource.Owner decides which ones

	R	W	X
Owner	x	x	x
Group	x		x
Other	x		

rwx r-x r--

#### chmod

- Modify the ACL of a resource
- □Can be executed only by Resource.Owner ("user") and by root
- $\square$  chmod  $\mathbf{u}$ =rw, $\mathbf{g}$ =rw, $\mathbf{o}$ =r file
- □chmod **go**-r file
- $\square$ chmod  $\bullet$ +w file

### **ACL Windows (in a nutshell)**

### Windows Security Architecture

#### **EXTREMELY COMPLEX**

#### **Operations vs Access Rights**

- ☐ Possible **Operations**:
  - Depend on the resource type (≈70-80)
- ☐ Possible **Access Rights**:
  - Type-independent set (Delete, WriteOwner, ...)
  - Type-dependent set
- ☐ Each operation requires one or more access rights
  - Mapping is extremely complex
- "Impossible to remember":
  - Types
  - Operations, Access rights
  - □ Operations → Access rights

### Accounts, Groups (I)

- ☐ The set of all accounts is **partitioned**:
  - 1. Resource Owner
  - 2. Accounts in Resource. Owner. Group
  - 3. All the other accounts
- Many predefined groups
- Each account belongs to many groups

### Accounts, Groups (II)

```
PS C:\Users\alberto> whoami /groups
GROUP INFORMATION
Group Name
Mandatory Label\Medium Mandatory Level
Everyone
NT AUTHORITY\Local account and member of Administrators group
DESKTOP-H4GP16B\docker-users
BUILTIN\Administrators
BUILTIN\Users
NT AUTHORITY\INTERACTIVE
CONSOLE LOGON
NT AUTHORITY\Authenticated Users
NT AUTHORITY\This Organization
MicrosoftAccount\bartoli.alberto@gmail.com
NT AUTHORITY\Local account
LOCAL
NT AUTHORITY\Cloud Account Authentication
```

#### Windows ACL

- Every Resource has an ACL (Access Control List)
- ☐ Sequence of ACE (Access Control **Entries**)
- Each ACE:
  - Grant access rights to account or group

#### **Show file ACL from shell**

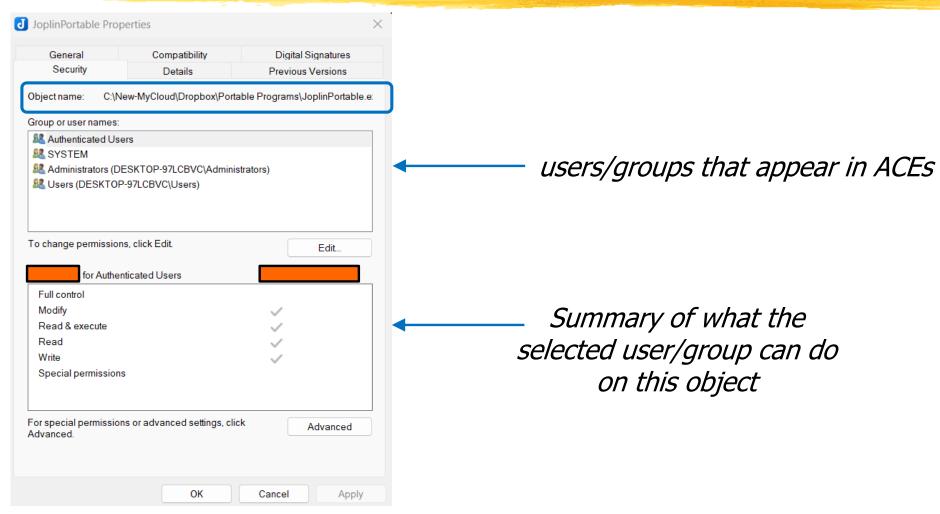
- Linux
  - □ls -l filename
- Windows
  - □icacls filename

```
C:\New-MyCloud\Dropbox\Portable Programs>icacls JoplinPortable.exe
JoplinPortable.exe
BUILTIN\Administrators:(I)(F)
NT AUTHORITY\SYSTEM:(I)(F)
BUILTIN\Users:(I)(RX)
NT AUTHORITY\Authenticated Users:(I)(M)
```

- □Ask ChatGPT to explain output (please see next slides first)
- □Can be used also for modifying the ACL

**ACEs** 

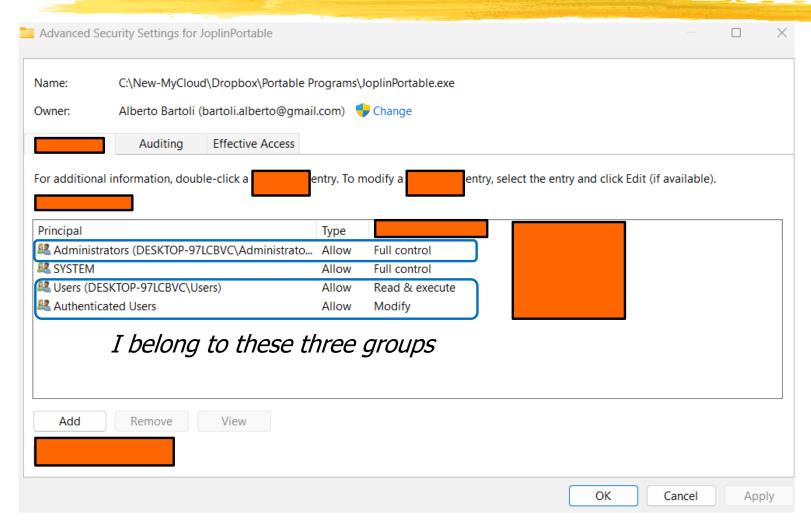
#### **Show file ACL from GUI**



# Windows ACL: Complication 1

- Every Resource has an ACL (Access Control List)
- ☐ Sequence of ACE (Access Control **Entries**)
- ☐ Each ACE:
  - Grant access rights to account or group
- An account may belong to multiple groups
- A group may belong to multiple groups
- Access rights of an account "accumulate" over multiple ACEs

#### **Example**



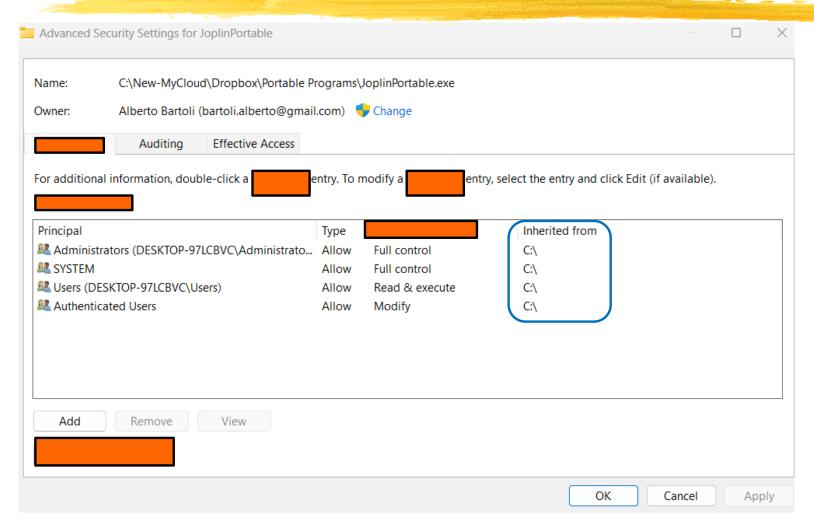
# Windows ACL: Complication 2

- ☐ Every Resource has an ACL (Access Control List)
- Sequence of ACE (Access Control Entries)
- ☐ Each ACE:
  - Grants access rights to account or group
  - Deny access rights to account or group
- Access rights of an account "accumulate" over multiple ACEs
- Complex rules for composing sequences of ACEs
  - What if an ACE grants and another ACE denies?

# Windows ACL: Complication 3

- A resource may be contained in another resource
  - A file is contained in a directory
  - A registry key is contained in its parent registry key
- ☐ An ACE may be **inherited** by all the contained resources
- □ Access rights of an account "accumulate" over multiple ACEs possibly inherited from other resources
- ☐ Complex rules for composing sequences of ACEs

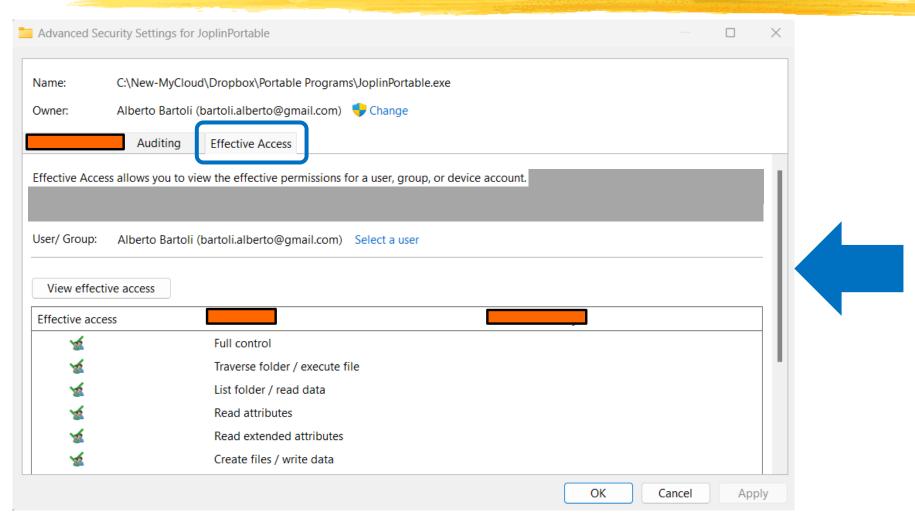
#### **Example**



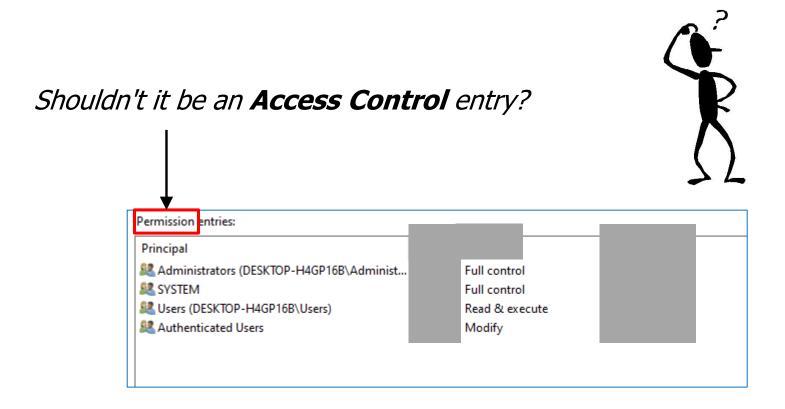
#### **Key fact**

- Understanding who can do what on each resource is extremely complex
- The actual security policy resulting from ACLs may not be the intended one
- "Someone can do something they should **not** be able to do"

## Imagine to do that FOR EACH account/resource...



### **Nightmare Terminology**



more doubts omitted

# **Access Control: Application Servers**

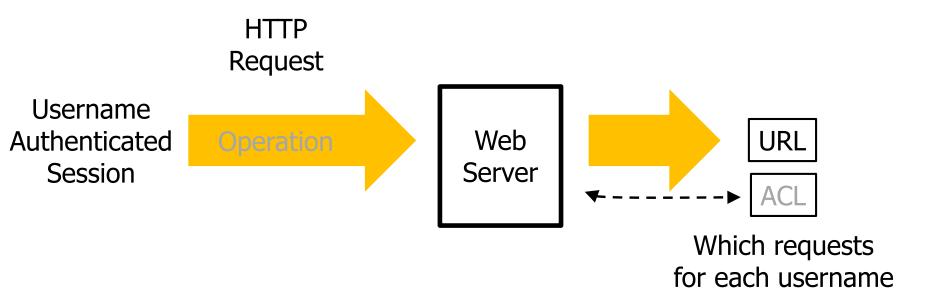
### **Application Resources?**

- Mail server manages mailboxes
- Mailbox operations are **not** defined in the o.s.
- Access decisions must be taken by the mail server (not the o.s.)
- Web server manages URLs
- URL operations are **not** defined in the o.s.
- Access decisions must be taken by the web server (not the o.s.)

How does access control work for servers?



#### **Access Control – Web Server**



Access control must be implemented in the **application Programmed** and/or Configured

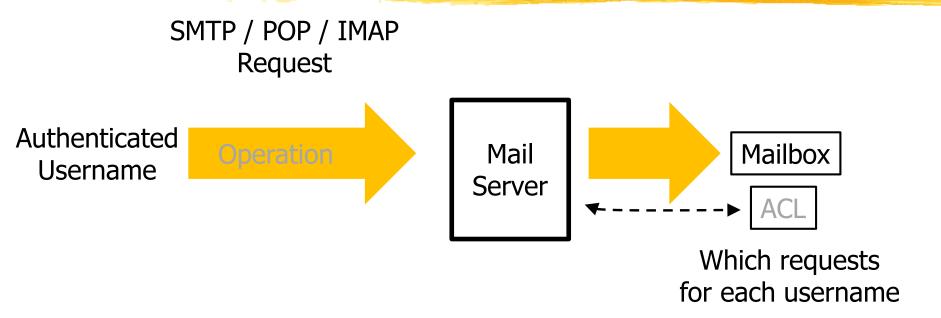
### Example (I)

- Tomcat web server
- Identities and groups (roles)
- Nothing to do with those of the local o.s.

#### Example (II)

- Tomcat web server
- Resources
- □ Nothing to do with those of the local o.s.

#### **Access Control – Mail Server**



Access control must be implemented in the **application Programmed** and/or Configured

# Access Control: O.S. vs Applications

- Operating system
  - Resources and Identities
  - Mediates every resource access
- Application server
  - Resources and Identities
  - Mediates every resource access

- Independent of each other
- Identities / Resources of the application server may have **nothing** to do with Identities / Resources of the o.s.